Decision 97-08-083 August 26, 1997

BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Rulemaking on the Commission's Own Motion to Establish a Simplified Registration Process for Nondominant Telecommunication Firms.

Investigation on the Commission's Own Motion to Establish a Simplified Registration Process for Nondominant Telecommunication Firms.

R.94-02-003 (Filed February 3, 1994)

I.94-02-004 (Filed February 3, 1994)

ORDER CORRECTING ERRORS

Decision 97-06-107 issued on June 25, 1997, contains four errors in Attachment A, Form of Application for Registration:

- 1. The decision number is missing in question 1;
- 2. Question 2 contains a duplicate line;
- 3. Instruction 4 lacks specificity as to what type of facilities can be included; and
- 4. Instruction 7 is unclear what entity's financial information is required.

Ordering Paragraph 6 also contains an error in that it fails to fully cite the subsection of General Order 96-A from which interexchange carriers and competitive local carriers will be exempt. The complete citation is "III.G(1) and (2)."

This order corrects these errors.

Therefore, under the authority of Resolution A-4661,

IT IS ORDERED that Decision (D.) 97-06-107 is corrected at Attachment A, Form of Application for Registration to include "D.97-06-107" in question 1, to delete the duplicate line in question 2, to add the type of facilities which qualify for the exemption to instruction 4, and "applicant's" is added to instruction 7. A corrected form is

R.94-02-003, I.94-02-004 ALJ/MAB/rmn

attached. Ordering Paragraph 6 is corrected to add "III" to the citation to General Order 96-A.

This order is effective today.

Dated August 26, 1997, at San Francisco, California.

/s/ WESLEY M. FRANKLIN
WESLEY M. FRANKLIN
Executive Director

Attachment A

Form of Application for Registration

BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Named Below for R Carrier Telephone (Provisions of Public and D.97-06-107	ne Application of the Applicant Registration as an Interexchange Corporation Pursuant to the A. C Utilities Code Section 1013 name of applicant; see instruction ames, if any)	•
2 Applicant is: (Check only one; see instruction 2.)	A corporation (attach good standing certificate) A limited partnership (attach good standing certificate) A limited liability company (attach good standing certificate) A general partnership A sole proprietor A trust Other (describe) Attach name, street address, and telephone number of applicant's registered agent for service of process Attach list of the names, titles, and street addresses of all officers and directors, general partners, trustees, members, or other persons authorized to conduct the business of applicant at a similar level Attach list of all affiliated entities (see instruction 2)	0 0 0 0
3 Legal domicile	California	
of applicant is:	Other (identify):	<u> </u>
(Check only one; se	e instruction 3.)]
4 Applicant will operate as: (Check only one; see	Switchless reseller Facilities-based carrier e instruction 4.)	0
5 Applicant will provide service: (Check only one; see	Statewide In specific portions only (attach description and map)	0

6 Applicant will provide: voice and	True Not true	0	
data telecommuni - cations only		U	
(Check only one; see	Instruction 6.)		
7 No affiliate,	True		
officer, director, general partner, or	Not true	<u> </u>	
	than 10% of applicant, or anyone acting in such a capacity		
	lly appointed, held one of these positions with an IEC that filed for		
	en found either criminally or civilly liable by a court of appropriate		
	tion of § 17000 et seq. of the California Business and Professions	. •	
	ins which involved misrepresentations to consumers, and to the owledge, is not currently under investigation for similar violations.		
(Check only one; see			
[(Oneck only one, see	s instruction 2.)		
8 To the best of	True		
applicant's	Not true	0	
knowledge, neither			
applicant, any affiliate	o, officer, director, partner, nor owner of more than 10% of		
	son acting in such capacity whether or not formally appointed, has		
	he Federal Communications Commission or any state regulatory		
agency for failure to c	comply with any regulatory statute, rule or order.		
O Admirate has	Tara (allest deconstants)	-	
9 Applicant has a minimum of	True (attach documentation) Not true	0	
	se of a switchless reseller OR (b) \$100,000 in the case of		
	licant, in each case reasonably liquid and available to meet the		
	ses, including deposits required by local exchange carriers		
	iers or (c) has profitable interstate operations to generate	,	
	v. (Check only one; see instruction 7.)		
10 Applicant has	True		
the required	Not true		
expertise to operate a	is an interexchange carrier of the type indicated in the application.	j	
		 _	
11 Applicant is	True		
eligible for an	Not true		
	ng requirements as set out in Commission Decision 96-12-033 and	l	
seeks such an exemption. (Check only one; see instruction 8.)			

I hereby declare under penalty of perjury under the laws of the State of California that the forgoing information, and all attachments, are true, correct, and complete to the best of my knowledge and belief after due inquiry, and that I am authorized to make this application on behalf of the applicant named above.

Signed:			Name	
	·	•	Title Dated	
Street Address				
Telephone Fax				
Fax				

instructions:

- 1. Enter the legal name of applicant exactly as it appears on its articles or certificate of corporation or similar charter document.
- 2. Good standing certificates are available from the office of the Secretary of State of the State of California and should be dated of a date not more than 60 days prior to the date of filing the application. An original certificate must be attached to the manually signed copy of the application. An affiliated entity is any entity under common control with applicant. Common control exists if the same individuals or entities have the direct or indirect power to determine the action of applicant and such entity through the right to vote shares, by contract or agreement, or otherwise. Note whether any such entity is a reporting company for purposes of the Securities Exchange Act of 1934, as amended.
- 3. For individuals, domicile is the place of legal residence; for entities, it is the state of incorporation or organization.
- 4. A switchless reseller only uses the switch of another carrier; a facilities based carrier uses its own switch as well as the facilities of another carrier. Only facilities which meet the requirements for exemption from the California Environmental Quality Act (CEQA) pursuant to Commission Rule of Practice and Procedure 17.1(h)(1)(A)(1.) may be included in a CPCN registration. Specifically, minor alterations in an existing structure such as installing a switch in an existing building. All other facilities will require a formal application.
- 5. If service is to be provided to less than the entire State of California, specify the exact area for which authority is requested.
- 6. Applicants which will provide services other than ordinary voice and data communications may not use the registration system.
- 7. Attach applicant's audited balance sheet for the most recent fiscal year, an unaudited balance sheet as of the most recent fiscal quarter, a bank statement as of the month prior to the date of filing the application, or a third-party undertaking to provide the required amounts on behalf of applicant. If the balance sheet shows current liabilities in excess of current assets or negative equity, explain how applicant will be able to maintain sufficient liquidity for its first year of operations.
- 8. All NDIECs exempt from tariffing requirement must comply with the Consumer Protection Rules adopted in Decision 96-09-098.

(END OF ATTACHMENT A)

R.94-02-003

Decision 97-08-083 August 26, 1997

BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Rulemaking on the Commission's Own Motion to Establish a Simplified Registration Process for Nondominant Telecommunication Firms.

* (Filed February 3, 1994)

Investigation on the Commission's Own Motion to Establish a Simplified Registration Process for Nondominant Telecommunication Firms.

1.94-02-004 (Filed February 3, 1994)

ORDER CORRECTING ERRORS

Decision 97-06-107 issued on June 25, 1997, contains four errors in Attachment A, Form of Application for Registration:

- 1. The decision number is missing in question 1;
- 2. Question 2 contains a duplicate line;
- 3. Instruction 4 lacks specificity as to what type of facilities can be included; and
- 4. Instruction 7 is unclear what entity's financial information is required.

Ordering Paragraph 6 also contains an error in that it fails to fully cite the subsection of General Order 96-A from which interexchange carriers and competitive local carriers will be exempt. The complete citation is "III.G(1) and (2)."

This order corrects these errors.

Therefore, under the authority of Resolution A-4661,

IT IS ORDERED that Decision (D.) 97-06-107 is corrected at Attachment A, Form of Application for Registration to include "D.97-06-107" in question 1, to delete the duplicate line in question 2, to add the type of facilities which qualify for the exemption to instruction 4, and "applicant's" is added to instruction 7. A corrected form is

R.94-02-003, 1.94-02-004 ALJ/MAB/rmn

attached. Ordering Paragraph 6 is corrected to add "III" to the citation to General Order 96-A.

This order is effective today.

Dated August 26, 1997, at San Francisco, California.

/s/ WESLEY M. FRANKLIN
WESLEY M. FRANKLIN
Executive Director

Attachment A

Form of Application for Registration

BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Named Below for R Carrier Telephone (Provisions of Public and D.97-06-107	ne Application of the Applicant legistration as an Interexchange Corporation Pursuant to the A. Cutilities Code Section 1013 Iname of applicant; see Instruction ames, if any)	
2 Applicant is: (Check only one; see instruction 2.)	A corporation (attach good standing certificate) A limited partnership (attach good standing certificate) A limited liability company (attach good standing certificate) A general partnership A sole proprietor A trust Other (describe) Attach name, street address, and telephone number of applicant's registered agent for service of process Attach list of the names, titles, and street addresses of all officers and directors, general partners, trustees, members, or other persons authorized to conduct the business of applicant at a similar level Attach list of all affiliated entities (see instruction 2)	00000
3 Légal domicite	California	
of applicant is:	Other (identify):	
(Check only one; see	instruction 3.)	
4 Applicant will operate as: (Check only one; see	Switchless reseller Facilities-based carrier instruction 4.)	0
5 Applicant will provide service: (Check only one; see	Statewide In specific portions only (attach description and map)	0

6 Applicant will	True	0
provide: voice and	Not true	ñ
data telecommuni -	Motitina	u
The state of the s	·	
cations only	Lealmatian (1)	
(Check only one; see	instruction 6.)	
Ten Alice Appropria	T	
7 No affiliate,	True	<u>D</u>
officer, director,	Not true	
general partner, or		
	than 10% of applicant, or anyone acting in such a capacity	
	lly appointed, held one of these positions with an IEC that filed for	
	en found either criminally or civilly liable by a court of appropriate	
	ation of § 17000 et seq. of the California Business and Professions	
	his which involved misrepresentations to consumers, and to the	
	nowledge, is not currently under investigation for similar violations.	
(Check only one) see	e instruction 2.)	
<u></u>		···
8 To the best of	Trué	Ö
applicant's	Not trué	Q
knowledge, neither		
applicant, any affiliate	e, officer, director, partner, nor owner of more than 10% of	
applicant, or any pers	son acting in such capacity whether or not formally appointed, has	
been sanctioned by t	he Federal Communications Commission or any state regulatory	
agency for failure to d	comply with any regulatory statute, rule or order.	
9 Applicant has	True (attach documentation)	
a minimum of	Not trué	
(a) \$25,000 in the cas	se of a switchless reseller OR (b) \$100,000 in the case of	
	licant, in each case reasonably liquid and available to meet the	
	ises, including deposits required by local exchange carriers	
	iers or (c) has profitable interstate operations to generate	
	v. (Check only one; see instruction 7.)	
10 Applicant has	Trué	
the required	Not true	
	as an interexchange carrier of the type indicated in the application.	
Carporado to oporato t	o with the transfer of the tra	J
11 Applicant is	True	
eligible for an	Nột truệ	ö
	ng requirements as set out in Commission Decision 96-12-033 and	
	tion. (Check only one; see instruction 8.)	
Seeve anni an exemi	Judi. Tolleck only olfe, see instruction o.)	

R.94-02-003, I.94-02-004 ALJ/MAB/ran

I hereby declare under penalty of perjury under the laws of the State of California that the forgoing information, and all attachments, are true, correct, and complete to the best of my knowledge and belief after due inquiry, and that I am authorized to make this application on behalf of the applicant named above.

Name Title Dated	
Title Dated	
Dated	
Straet	
Street Address	
Telephone Fax	~~
Fax	

Instructions:

- 1. Enter the legal name of applicant exactly as it appears on its articles or certificate of corporation or similar charter document.
- 2. Good standing certificates are available from the office of the Secretary of State of the State of California and should be dated of a date not more than 60 days prior to the date of filing the application. An original certificate must be attached to the manually signed copy of the application. An affiliated entity is any entity under common control with applicant. Common control exists if the same individuals or entities have the direct or indirect power to determine the action of applicant and such entity through the right to vote shares, by contract or agreement, or otherwise. Note whether any such entity is a reporting company for purposes of the Securities Exchange Act of 1934, as amended.
- 3. For individuals, domicile is the place of legal residence; for entities, it is the state of incorporation or organization.
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- 8. All NDIECs exempt from tariffing requirement must comply with the Consumer Protection Rules adopted in Decision 96-09-098.

(END OF ATTACHMENT A)