

Energy Division Direction to Utilities on Disposition of Non-DEER HIM Workpaper Review

The Energy Division DMQC Review Team (Review Team) reviewed the utilities' non-DEER workpapers for high impact measures (HIMs) identified by 1) the utilities' E3 compliance filings, or 2) lists of "consensus non-DEER HIMs" provided by the utilities.

The disposition below represents the Review Team's recommendation to Energy Division based on the information provided by the utilities during the workpapers review process. There are three possible dispositions:

- *Approved:* Review Team recommends approval of workpaper at this time.
- *No Approval at This Time:* Review Team recommends that the measure or group of measures workpaper not be approved at this time. For these measures, the Review Team provided documentation intended to support this recommendation.
- *Approval Upon Inclusion of Revisions:* Review Team recommends approving the measure workpaper after the revisions listed are incorporated into the workpaper.

Based on the Review Team's recommendations on the non-DEER HIM workpapers, Energy Division directs the utilities as follow:

- For workpapers that the Review Team recommended 'Approval', the measure ex ante values are frozen. The utilities **shall** use the measure workpaper ex ante values for their accomplishment reporting towards 2010-2012 goals.
- For workpapers that the Review Team recommended 'No Approval at This time', the measure ex ante values contained in those workpapers are not frozen. The utilities **are not** to use those measure workpaper ex ante values for their accomplishment reporting towards goals; but may resubmit the revised workpaper per the Review Team's recommendations under Phase 2 workpaper review process as attached in the November 18, 2009 Administrative Law Judge's Ruling Regarding Non-Deer Measure Ex Ante Values.
- For workpapers that the Review Team recommended 'Approval Upon Inclusion of Revisions', Energy Division expects the utilities to incorporate the Review Team's recommendations, and upload the revised workpapers to the <https://energydivision.basecamphq.com/> website. The utilities shall clearly identify the revised measure workpapers uploaded, and clearly identify that the Review Team's revisions as listed are addressed. Upon uploading the revised workpapers, the utilities **shall** use the measure workpaper ex ante values for their accomplishment reporting towards 2010-2012 goals. Energy Division may review and verify these revised workpapers for accuracy at its discretion anytime during the program cycle. Should a utility not agree with the Review Team's workpaper review recommendations, the workpaper values will not be considered frozen ex ante values, and may be subject to ED's ex post EM&V for purposes of utility goal attainment and ED reporting.