BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

A.08-11-001	
(Filed November 4, 2008)	
R.06-02-013	
R.04-04-003	
R.04-04-025	
** 00 44 0**	
R.99-11-022	

JOINT PETITION FOR MODIFICATION OF DECISION NO. 10-12-035

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BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

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JOINT PETITION FOR MODIFICATION OF DECISION NO. 10-12-035

Pursuant to Rule 16.4 of the Rules of Practice and Procedure of the Public Utilities

Commission of the State of California (Commission), the California Municipal Utilities

Association (CMUA) and the parties to the Qualifying Facility and Combined Heat and

Power (CHPC) Program Settlement Agreement (Csettlement Agreement), namely Pacific Gas
and Electric Company, Southern California Edison Company, San Diego Gas & Electric

Company, the California Cogeneration Council, the Independent Energy Producers Association,
the Cogeneration Association of California, the Energy Producers and Users Coalition, the

Division of Ratepayer Advocates, and The Utility Reform Network (the Settling Parties)

(collectively, with CMUA, the Joint Parties) hereby file this Petition for Modification of

Decision (D.O) 10-12-035 (Petition). The proposed modifications of D.10-12-035 would

resolve CMUA Application for Rehearing of D.10-12-035. Accordingly, upon Commission

adoption, without change, of the modifications proposed herein, CMUA will submit a letter to the Executive Director of the Commission withdrawing CMUA Application for Rehearing or, alternatively, the Commission may, concurrent with its adoption of the modifications proposed herein, deny CMUA Application for Rehearing as moot. The Joint Parties respectfully request that the Commission expeditiously approve this Petition so that California may timely realize the benefits of the Settlement Agreement.

I. Background.

While in general CMUA supports the Settlement Agreement, CMUA strenuously objects to the Commission approval of the provisions of the Settlement Agreement that would impose *new* nonbypassable charges (NBCs) on municipal departing load (MDL) customers as a result of the CHP Program. On January 20, 2011, CMUA filed an Application for Rehearing of D.10-12-035, asking the Commission to grant CMUA Application for Rehearing, apply Commission precedent with respect to the imposition of NBCs on MDL customers, and

D.10-12-035 at 2.

 $^{^{2}}$ Id.

determine that new NBCs shall not be applied to MDL customers as a result of the CHP Program. The Settling Parties filed a response to CMUA'S Application for Rehearing on February 4, 2011.

Since CMUA filed its Application for Rehearing, CMUA and the Settling Parties have engaged in several meetings to discuss the potential to resolve, through mutually agreed upon proposed modifications to D.10-12-035, the issues raised in CMUA Application for Rehearing. On March 16, 2011, CMUA filed a Motion for Abeyance of the CMUA Application for Rehearing in order to allow CMUA and the Settling Parties time to finalize agreed upon changes and make an appropriate filing with the Commission proposing modifications to D.10-12-035. The Commission granted CMUA Motion for Abeyance on March 24, 2011 in D.11-03-051 ([Rehearing Decision]). The Rehearing Decision disposes of all issues except the MDL-related issues raised in CMUA Application for Rehearing, and the similar MDL-related issues and the due process issue raised in the City and County of San Francisco (CCSF) Application for Rehearing of D.10-12-035.

The Joint Parties have finalized proposed changes and now file this Petition with the expectation that approval of the changes without modification by the Commission will satisfactorily resolve CMUA'S Application for Rehearing such that CMUA will by letter to the Executive Director of the Commission withdraw CMUA'S Application for Rehearing or,

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See Rehearing Decision at 24; Ordering Paragraph 2.

See id; Ordering Paragraph 3. CCSF Application for Rehearing of D.10-12-035 primarily focuses on issues relating to Community Choice Aggregators (CCAs) and Electric Service Providers (ESPs), however, like CMUA CCSF also objects to the provisions of the Settlement Agreement that would impose new NBCs on MDL customers as a result of the CHP Program.

alternatively, the Commission may, concurrent with its adoption of the modifications proposed herein, deny CMUA Application as moot.

II. Commission Approval Of This Petition Will Resolve The Discrete MDL Issues Raised In CMUA'S Application For Rehearing.

Under the Settlement Agreement, New and Transferred MDL customers could be subject to new NBCs as a result of resource procurement by the investor owned utilities (☐OUs☐) under the CHP Program.⁵ In its Application for Rehearing, CMUA argued that such new NBCs violate Commission precedent established in D.08-09-012 and, therefore, the Commission should not adopt the provisions of the Settlement Agreement that would impose NBCs on MDL customers.⁶ For example, in D.08-09-012, the Commission concluded that new generation procurement costs should not be allocated to MDL or Customer Generation Departing Load (☐CGDL☐) customers, applying the ☐air share ☐principle. ☐T]he rule is that when costs are incurred on its behalf, that customer must pay its fair share of the costs. A corollary rule is that if no costs are incurred on its behalf, then the customer ☐s fair share can be determined to be zero. ☐ Ordering Paragraph 2 of D.08-09-012 provides:

Because [CGDL] and [MDL] are excluded, as classes, from the adopted load forecasts on which the investor owned utilities (IOUs) long term procurement plans (LTPPs) are based, CGDL and MDL customers are excluded from having to pay the D.04-12-048 and D.06-07-029 NBCs, including any above market costs related to RPS contracts, with the exception of [large municipalizations] described in Ordering Paragraph 3.8

See, e.g., Settlement Agreement Sections 13.1 and 16.2.5. New MDL customers are customers who take service in the first instance from a POU. Transferred MDL customers are customers who depart IOU service to take service from a POU.

⁶ CMUA Application for Rehearing at 5-12.

⁷ *Id.* at 5 (*citing* D.08-09-012 at 10-11).

Ordering Paragraph 3 provides that an IOU may file an application requesting implementation of new generation NBCs on departing load associated with large municipalizations.

The Settling Parties disagree with CMUA that the NBC provisions of the Settlement Agreement conflict with D.08-09-012. In general, the Settling Parties argue that the CHP Program is factually different from the procurement considered in D.08-09-012 and thus D.10-12-035 appropriately adopted the NBC provisions of the Settlement Agreement. The Settling Parties assert that the CHP procurement targets that are based on GHG Emissions Reductions Targets are not based on forecasts of load, but are based on actual current retail sales. 10

In an effort to reach a solution to this dispute that would (1) allow CMUA and the Settling Parties to avoid additional litigation over the discrete MDL issues raised in CMUA Application for Rehearing, and (2) expedite bringing the benefits of the CHP Program to the State of California, CMUA and the Settling Parties have developed mutually agreed upon changes and clarifications to D.10-12-035. The proposed changes and clarifications are as follows¹¹:

Joint Parties Proposed Changes and Clarifications to D.10-12-035

Transferred MDL

Transferred MDL customers who have departed IOU service as of the Settlement Effective Date will not be responsible for any NBC¹² associated with the Settlement Agreement, but will remain responsible for whatever other charges they will incur at the

⁹ Settling Parties Response to Applications for Rehearing of D.10-12-035 at 4.

¹⁰ *Id*.

Defined terms used below shall have the same meaning as in the Settlement Agreement; if terms are not defined in the Settlement Agreement (e.g., $\square NBC$ for CHP Settlement PPAs \square), they shall have the meanings as defined herein.

NBC has the same meaning as Non-Bypassable Charge as defined in the Settlement Agreement; □NBC for CHP Settlement PPAs □ means the departing load charge applicable to Transferred MDL to recover above market costs, if any, of the CHP Settlement PPAs as set forth below.

time of departure under the Status Quo (e.g., Ongoing Competition Transition Charges ($\mathbb{C}T\mathbb{C}\square$, etc.).

Transferred MDL customers who depart IOU service after the Settlement Effective Date will be responsible for an NBC for Settlement PPAs, namely, an NBC associated with PPAs entered into to meet the 3,000 MW Target established in Section 5.1.1 of the Settlement Agreement (CHP Settlement PPAs) executed before the date of the Transferred MDL customers departure (i.e., on a vintage basis). The NBC for CHP Settlement PPAs will be determined by comparing the cost of the CHP Settlement PPAs to market value of those PPAs using the market price benchmark adopted in R.07-05-025 or subsequent Commission proceeding. If the difference between the cost of CHP Settlement PPAs and their market value is positive (i.e., there are above-market costs), these costs will be allocated through the NBC for CHP Settlement PPAs. If the difference between the cost of CHP Settlement PPAs and their market value is negative (i.e., the cost of the CHP Settlement PPAs is below market) the negative amount will be tracked in a memorandum account and be available to offset future above-market costs of CHP Settlement PPAs

In no event shall the NBC for CHP Settlement PPAs apply to Transferred MDL customers after July 1, 2027. Moreover, after July 1, 2022 the cost of Existing CHP Facilities shall not be included in the NBC for CHP Settlement PPAs applicable to Transferred MDL customers.

Transferred MDL customers who have departed IOU service after the Settlement Effective Date will also be responsible for whatever other charges they would incur at the time of departure under Status Quo (*e.g.*, Ongoing CTC, etc.).

Transferred MDL customers who depart IOU service after the Settlement Effective Date will not be responsible for any CHP Program costs associated with the Second Program Period and the IOUs Greenhouse Gas Emissions Reduction Targets.

New MDL

New MDL customers will not be responsible for any NBC associated with the Settlement Agreement, including but not limited to the NBC for CHP Settlement PPAs. New MDL customers will continue to be responsible for whatever other charges they would incur under Status Quo (*e.g.*, Ongoing CTC, etc.).

Ongoing CTC

As applicable to MDL customers, Ongoing CTC is defined under Ongoing CTC below to include the Transition Power Purchase Agreements (PPAs) through July 1, 2015.

Ongoing CTC currently includes QF contract costs as well as other costs under previous Commission decisions. The Transition PPA costs will be included in the Ongoing CTC recovered from Transferred and New MDL; provided, however, after July 1, 2015 the Transition PPA costs shall not be included in the Ongoing CTC applicable to MDL customers.

Status Quo

Status Quo for the purposes of this set of changes and clarifications means the current (*i.e.*, the date of final agreement among the Joint Parties, March 16, 2011) statutory conditions, regulations and existing agreements applicable to MDL treatment for applicable charges. CMUA and the Settling Parties recognize and accept that the Status Quo may be changed by subsequent legislative, regulatory or administrative action, or by agreement of the parties to modify existing agreements, including but not limited to regulatory action reflecting possible changes to the market price benchmark currently under consideration in R.07-05-025. Unless otherwise stated herein, the changes and clarifications to D.10-12-035, as described above, are not intended to affect charges under current law, regulation or existing agreements, *i.e.*, the Status Quo, including the existing calculation methodologies, exemptions, and the ongoing applicability of existing NBC agreements.

The Joint Parties request that the Commission grant this Petition and incorporate into D.10-12-035 the changes and clarifications set forth above, without modification. In so doing, the Commission will fully resolve all MDL-related issues in CMUAs and CCSFs respective Applications for Rehearing of D.10-12-035. Thus, concurrent with Commission approval, without change, of the modifications set forth herein, the Commission may deny as moot CMUAs Application for Rehearing and that portion of CCSFs Application for Rehearing relating to MDL issues. Proposed changes to D.10-12-035 to effectuate these results are set forth in Attachment A hereto.

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CCSF has authorized CMUA to state the

CCSF has authorized CMUA to state that while CCSF does not support this Petition it does not intend to oppose it, provided that, the Petition does not affect issues raised by CCSF in its Application for Rehearing of D.10-12-035 other than MDL-related issues, or CCSF's ability to pursue those non-MDL-related issues.

III. Conclusion.

For all of the foregoing reasons, the Joint Parties respectfully request that the Commission timely grant this Petition, and modify D.10-012-035 as proposed herein.

Dated: April 1, 2011 Respectfully submitted, 15

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The Joint Parties submit this Petition jointly, but pursuant to Commission Rule 1.8(d), only CMUA counsel has signed it.

ATTACHMENT A

PROPOSED MODIFICATIONS TO D.10-12-035

Findings of Fact (FofF)

28. The GHG Emission Reduction Targets are based on actual retail sales data that includes all current bundled service customers, not load forecasts that exclude MDL.

New FofF: CMUA filed an Application for Rehearing of D.10-12-035 on January 20, 2011 addressing discrete MDL issues. The Settling Parties responded on February 4, 2011.

New FofF: On March , 2011, CMUA and the Settling Parties filed a Joint Petition for Modification of D.10-12-035 that would fully resolve the discrete MDL issues raised in CMUA and CCSF respective Applications for Rehearing.

Conclusions of Law (CofL)

- 13. It is appropriate to provide an <u>a limited</u> exception to the D.08-09-012 conditions to ensure recovery of the QF/CHP program costs that will be incurred over the entire term of the PPAs.
- 16. Requiring MDL customers to bear a share of the IOU costs incurred on their behalf, as set forth in the Joint Petition for Modification of D.10-12-035 of CMUA and the Settling Parties, is appropriate, and it is therefore appropriate to approve an exception to D.08-09-012 related to MDL.
- New Cof L: The modifications proposed by CMUA and the Settling Parties in the Joint Petition for Modification of D.10-12-035 are reasonable and should be adopted.
- 23. The cost allocation method set forth in Section 13.1.2.2 of the Term Sheet should be adopted, subject to the modifications proposed by CMUA and the Settling Parties in the Joint Petition for Modification of D.10-12-035.

Ordering Paragraphs (COPC)

3. □ then exceptions to previous decisions are approved as follows, subject to the modifications proposed by CMUA and the Settling Parties in the Joint Petition for Modification of D.10-12-035 and adopted in OP __:

New OP: The following modifications proposed by CMUA and the Settling Parties in the Joint Petition for Modification of D.10-12-035 are hereby adopted. Accordingly, relevant costs of this

QF/CHP Program and of new Purchase Power Agreements entered into pursuant to the QF/CHP Program may only be recovered from MDL customers as follows¹:

Transferred MDL

Transferred MDL customers who have departed IOU service as of the Settlement Effective Date will not be responsible for any NBC² associated with the Settlement Agreement, but will remain responsible for whatever other charges they will incur at the time of departure under the Status Quo (e.g., Ongoing Competition Transition Charges ($\Box CTC\Box$), etc.).³

Transferred MDL customers who depart IOU service after the Settlement Effective Date will be responsible for an NBC for Settlement PPAs, namely, an NBC associated with PPAs entered into to meet the 3,000 MW Target established in Section 5.1.1 of the Settlement Agreement (CHP Settlement PPAs executed before the date of the Transferred MDL customers departure (i.e., on a vintage basis). The NBC for CHP Settlement PPAs will be determined by comparing the cost of the CHP Settlement PPAs to market value of those PPAs using the market price benchmark adopted in R.07-05-025 or subsequent Commission proceeding. If the difference between the cost of CHP Settlement PPAs and their market value is positive (i.e., there are above-market costs), these costs will be allocated through the NBC for CHP Settlement PPAs. If the difference between the cost of CHP Settlement PPAs and their market value is negative (i.e., the cost of the CHP Settlement PPAs is below market) the negative amount will be tracked in a memorandum account and be available to offset future above-market costs of CHP Settlement PPAs.

In no event shall the NBC for CHP Settlement PPAs apply to Transferred MDL customers after July 1, 2027. Moreover, after July 1, 2022 the cost of Existing CHP Facilities shall not be included in the NBC for CHP Settlement PPAs applicable to Transferred MDL customers.

Defined terms used below shall have the same meaning as in the Settlement Agreement; if terms are not defined in the Settlement Agreement (e.g., $\square NBC$ for CHP Settlement PPAs \square), they shall have the meanings as defined herein.

NBC has the same meaning as Non-Bypassable Charge as defined in the Settlement Agreement; □NBC for CHP Settlement PPAs □means the departing load charge applicable to Transferred MDL to recover above market costs, if any, of the CHP Settlement PPAs as set forth below.

As applicable to MDL customers, Ongoing CTC is defined under Ongoing CTC below to include the Transition Power Purchase Agreements (PPAs) through July 1, 2015.

Transferred MDL customers who have departed IOU service after the Settlement Effective Date will also be responsible for whatever other charges they would incur at the time of departure under Status Quo (*e.g.*, Ongoing CTC, etc.).

Transferred MDL customers who depart IOU service after the Settlement Effective Date will not be responsible for any CHP Program costs associated with the Second Program Period and the IOUs Greenhouse Gas Emissions Reduction Targets.

New MDL

New MDL customers will not be responsible for any NBC associated with the Settlement Agreement, including but not limited to the NBC for CHP Settlement PPAs. New MDL customers will continue to be responsible for whatever other charges they would incur under Status Quo (*e.g.*, Ongoing CTC, etc.).

Ongoing CTC

Ongoing CTC currently includes QF contract costs as well as other costs under previous Commission decisions. The Transition PPA costs will be included in the Ongoing CTC recovered from Transferred and New MDL; provided, however, after July 1, 2015 the Transition PPA costs shall not be included in the Ongoing CTC applicable to MDL customers.

Status Quo

Status Quo for the purposes of this set of changes and clarifications means the current (*i.e.*, the date of final agreement among the Joint Parties, March 16, 2011) statutory conditions, regulations and existing agreements applicable to MDL treatment for applicable charges. CMUA and the Settling Parties recognize and accept that the Status Quo may be changed by subsequent legislative, regulatory or administrative action, or by agreement of the parties to modify existing agreements, including but not limited to regulatory action reflecting possible changes to the market price benchmark currently under consideration in R.07-05-025. Unless otherwise stated herein, the changes and clarifications to D.10-12-035, as described above, are not intended to affect charges under current law, regulation or existing agreements, *i.e.*, the Status Quo, including the existing calculation methodologies, exemptions, and the ongoing applicability of existing NBC agreements.

New OP: Commission adoption without modification of the changes to D.10-12-035 proposed by the Settling Parties fully resolves the MDL-related issues in CMUAS and CCSFS respective Applications for Rehearing. Accordingly, the Commission hereby denies as moot CMUAS Application for Rehearing and that portion of CCSFS Application for Rehearing relating to MDL issues.

CERTIFICATE OF SERVICE

I certify that the following is true and correct:

On April 1, 2011, I caused to be served via electronic mail, or first class mail in the event of no electronic mail address, true copies of the attached:

JOINT PETITION FOR MODIFICATION OF DECISION NO. 10-12-035

on all parties to A.08-11-001 (see attached service list).

Executed this 1st day of April, 2011 at Sacramento, California.

Ryan Bernardo

Service List: A.08-11-00

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