

**BEFORE THE PUBLIC UTILITIES COMMISSION
OF THE STATE OF CALIFORNIA**

Order Instituting Rulemaking to Continue
Implementation and Administration of
California Renewables Portfolio Standard
Program

Rulemaking 11-05-005
(Filed May 5, 2011)

SUSTAINABLE CONSERVATION NOTICE OF EX PARTE COMMUNICATION

Jody London
P.O. Box 3629
Oakland, California 94609
510/459-0667
jody_london_consulting@earthlink.net

FOR Sustainable Conservation

June 5, 2011

In accordance with Article 8 of the *Rules of Practice and Procedure* of the California Public Utilities Commission (“Commission”), Sustainable Conservation submits this notice of ex parte communication. On Friday, June 3, 2011, Allen Dusault, Program Manager for Sustainable Conservation, and Jody London, Regulatory Consultant to Sustainable Conservation, met with Michael Colvin, Interim Advisor to Commissioner Ferron. Tommy Newman, Legal Intern in Commissioner Ferron’s office, also attended the meeting. The meeting occurred in the Commission offices at 505 Van Ness Avenue, San Francisco, from 11:30 a.m. – 12 p.m. The meeting was initiated by a written request from London.

Dusault and London discussed the importance for the Commission to immediately implement Senate Bill 32. The only written information was Attachment 1 from the May 31, 2011 *Joint Parties’ Comments on the Order Instituting Rulemaking 11-05-005*. The written material is included with this Notice.

Respectfully submitted,



Jody S. London

For SUSTAINABLE CONSERVATION
P.O. Box 3629
Oakland, CA 94609

June 5, 2011

ATTACHMENT 1

Attachment 1: Joint Parties' Recommendations on Scoping and Prioritization of Issues in R.11-05-005

LEGEND:
Tier 1
Tier 2
Tier 3
Ongoing: Issue that the CPUC should address throughout the proceeding or depending on the timing of advice letters or applications filed
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<i>Italicized issues or sub-issues have been added to the preliminary scoping order list included in the OIR.</i>

	PG&E	SCE	SDG&E	CaWEA	CUE	DRA	IEP	LSA	Nextera	TURN
Issue 1: Modify Program to Implement Recent Legislation										
1 Modify Renewables Portfolio Standard (RPS) compliance rules										
a. Adopt new RPS compliance targets by January 1, 2012. (399.15(b))	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1
b. Modify flexible compliance rules, including implementing different banking rules for different types of RPS contracts. (399.13(a)(4)(B))	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1
c. Modify annual compliance reporting requirements. (399.14(a)(3))	Tier 2	Tier 3	Tier 3	Tier 2	Tier 2	Tier 1		Tier 2	Tier 2	Tier 2
d. Resolve seams issues between the 20% RPS and 33% RPS compliance requirements, including implementing the provision that any retail seller procuring RPS eligible energy for at least 14% of retail sales in 2010 shall not have its RPS procurement deficits, if any, added to future procurement requirements. (399.15(a))	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1		Tier 1
2 Modify renewable energy credit (REC) trading rules (suggest instead calling category: Implement Product Content Requirements)										
a. Modify the definition of renewable energy credits to eliminate delivery requirement and other changes (399.12(g), 399.21(4-5))	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1
b. Modify REC trading rules to provide that, in order to count for RPS compliance, RECs must be retired in the tracking system within 36 months from the initial date of generation of the associate electricity. (399.21(a)(6))	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 2	Tier 1	Tier 1	Tier 1	Tier 1
c. Adopt rules for evaluating, and possibly auditing, the portfolio content category of all RPS transactions (399.16(b)). <i>Suggest instead this category be called: Implement auditing rules.</i>	Tier 2	Tier 3	Tier 3	Tier 2	Tier 1	Tier 2		Tier 2		Tier 1
d. Define new terms, e.g. "firmed and shaped", "incremental energy" and "unbundled" RECs. (399.16(b))	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1
e. Implement usage limitations on REC transactions (399.16(c)). <i>Suggest instead this category be called: Implement accounting rules for product content requirements.</i>	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1
f. Develop rules for REC contracts executed prior to June 1, 2010, including determining what it means for a contract to "count in full" toward RPS procurement requirements. (399.16(d))	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1
g. Develop a methodology for evaluating whether "procurement content requirements" (e.g. REC usage limits) should be reduced at the request of a retail seller. (399.16(e))	Tier 3	No advanced implementation necessary	No Advanced implementation necessary	Tier 3	Tier 3	Tier 3		Tier 3	Tier 3	Tier 3
3 Modify RPS procurement plans										
a. Modify the bid evaluation methodology (i.e., least-cost best-fit) to include evaluations or project viability and workforce recruitment. (399.13(a)(4)(A)(iii))	Tier 2	Tier 3	Tier 2	Tier 2	Tier 2	Tier 2		Tier 2	Tier 2	Tier 2
b. Adopt minimum margins of over-procurement (399.13(a)(4)(D))	Tier 2	Tier 3	Tier 2 (assuming minimums are not applied to the 2011 RFO)	Tier 2	Tier 2	Tier 1		Tier 2	Tier 1	Tier 2

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c. Modify annual RPS procurement plan requirements to include potential compliance delays, a status update on projects' development schedules, price adjustment mechanisms and risk assessments. (399.13(a)(5))	Tier 2	Tier 2 to extent this addresses improvements to plan approval process	Tier 2	Tier 2	Tier 2	Tier 1		Tier 2	Tier 2	Tier 2
d. Implement requirement that retail sellers must procure minimum quantity of long-term contracts prior to counting short-term contracts with existing facilities for RPS compliance, in place of requirement in D.07-05-028 setting minimum quantity of long-term contracts and/or short-term contracts with new facilities prior to counting short-term contracts with existing facilities. (399.13(b))	Tier 3	Tier 3	Tier 3	Tier 2	Tier 3	Tier 3		Tier 3	Tier 1	Tier 3
e. Develop a methodology for giving preference to "California-based projects". Including defining this term. (399.13(a)(7))	Tier 2	Tier 2	Tier 2	Tier 2	Tier 2	Tier 3		Tier 2		Tier 2
f. Interpret and implement provision that RPS transactions must be submitted for CPUC review "unless previously preapproved by the Commission" (399.13(d))	Tier 3	Tier 2	Tier 3	Tier 3	Tier 3	Tier 3		Tier 3		Tier 2
4 Develop RPS cost containment mechanism.										
a. Develop a methodology for calculating and administering an RPS cost limitation for each large and multi-jurisdictional utility. (399.14(c-d, f), 399.17(f).)	Tier 2	Tier 3	Tier 3	Tier 2	Tier 3	Tier 1		Tier 1		Tier 3
b. *Prepare report to Legislature by January 1, 2016 assessing whether each utility can achieve RPS targets within the adopted cost limitation (399.15(e))	N/A - as handled in different proceeding	n/a	n/a	N/A - as handled in different proceeding	N/A - as handled in different proceeding	N/A - as handled in different proceeding		N/A - as handled in different proceeding		
c. *Develop a methodology to determine, and continuously monitor, whether utilities may be close to exceeding the cost limitation. (399.15(g))	N/A - as handled in different proceeding	n/a	n/a	N/A - as handled in different proceeding	N/A - as handled in different proceeding	Tier 1*		N/A - as handled in different proceeding		
5 Modify RPS enforcement rules										
a. Establish the process and rules for implementing new RPS enforcement regime. (399.15(b)(5)). (<i>Suggest renaming issue to: Adopt standard process for seeking, granting, and implementing an RPS enforcement waiver</i>)	Tier 2	No advanced implementation necessary	No advanced implementation necessary	Tier 3	Tier 3	Tier 3		Tier 2	Tier 3	Tier 3
6 Modify and develop new rules for small and multi-jurisdictional utilities.										
a. Revise RPS rules for multi-jurisdictional utilities and qualifying successor entities (399.17)	Tier 1 if for targets and products (Tier 3 for other)	Tier 1 if for targets and products (Tier 3 for other)	Tier 1 if for targets and products (Tier 3 for other)	Tier 1 if for targets and products (Tier 3 for other)	Tier 1 if for targets and products (Tier 3 for other)	Tier 3		Tier 3 (except as appropriate in Tier 1)		Tier 1 if for targets and products (Tier 3 for other)
b. Implement new RPS rules for very small utilities (399.18)	Tier 1 if for targets and products (Tier 3 for other)	Tier 1 if for targets and products (Tier 3 for other)	Tier 1 if for targets and products (Tier 3 for other)	Tier 1 if for targets and products (Tier 3 for other)	Tier 1 if for targets and products (Tier 3 for other)	Tier 3		Tier 3 (except as appropriate in Tier 1)		Tier 1 if for targets and products (Tier 3 for other)
7 Other requirements										
a. *Receive annual reports from utilities that own electrical transmission facilities which identify electrical transmission facilities and upgrades that are reasonably necessary to achieve the RPS. (399.13(a)(2))	N/A - as handled in different proceeding	n/a	n/a	N/A - as handled in different proceeding	N/A - as handled in different proceeding	N/A - as handled in different proceeding		N/A - as handled in different proceeding		

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b. Implement new requirements for approving utility-owned renewable energy generation facilities. (399.14)	Tier 2	No advanced implementation necessary	Tier 2	Tier 3	N/A - handle in any future application proceeding	Tier 3		Tier 3		N/A - as handled in future application
c. *Issue annual cost report and biennial project development report (in consultation with the CEC). (910, 399.19)	N/A - as handled in different proceeding	n/a	n/a	N/A - as handled in different proceeding	N/A - as handled in different proceeding	N/A - as handled in different proceeding		N/A - as handled in different proceeding		
d. *Re-determine effective load carrying capacity requirements for resource adequacy purposes (399.26(d))	N/A - as handled in different proceeding	n/a	n/a	N/A - as handled in different proceeding	N/A - as handled in different proceeding	N/A - as handled in different proceeding		N/A - as handled in different proceeding		
e. *Implement new rules for reviewing applications for a certificate of public convenience and necessity for building or upgrading an electrical transmission line.	N/A - as handled in different proceeding	n/a	n/a	N/A - as handled in different proceeding	N/A - as handled in different proceeding	N/A - as handled in different proceeding		N/A - as handled in different proceeding		
Issue 2: Procurement Plans										
1 Direct the filing of procurement plans consistent with new statutes										
a. Review and accept, modify or reject each plan before commencement of procurement	Tier 2	Tier 2	Tier 2	Tier 2	Tier 2	Ongoing		Tier 2		Tier 2
b. Supervise plan implementation and RPS solicitation	Ongoing		Ongoing	Ongoing	Ongoing	Ongoing		Ongoing		
c. Review results	Ongoing		Ongoing	Ongoing	Ongoing	Ongoing		Ongoing		
Issue 3: Compliance, Reporting And Enforcement										
1 Monitor and enforce compliance of retail sellers with RPS requirements, including but not limited to:										
a. Update formats for reporting RPS-eligible procurement	Tier 2	Tier 3	Tier 3	Tier 2	Tier 2	Tier 2		Tier 2		
b. Clarify compliance requirements for years 2010-2013	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1		Tier 1
c. Act on motions, or on the Commission's own initiative, on specific compliance reports, as necessary	Ongoing	n/a	Ongoing	Ongoing	Ongoing	Ongoing		Ongoing		
Issue 4: Least-Cost Best-Fit										
1 Review and improve bid evaluation methodology, including adjustments, as necessary, for:										
a. Integration cost adders	Tier 3	Tier 3	Tier 3	Tier 3	Tier 3	Tier 2		Tier 1		Tier 3
b. Tradable renewable energy credits (TRECs) (Suggest instead referring to Firming and Shaping Products and Unbundled REC Products)	Tier 3	Tier 3	Tier 2	Tier 3	Tier 3	Tier 2		Tier 3		Tier 3
c. Resource adequacy value	Tier 2	Tier 3	Tier 3	Tier 2	Tier 3	Tier 2		Tier 2		Tier 3
d. Congestion cost adders	Tier 3	Tier 3	Tier 3	Tier 3	Tier 3	Tier 2		Tier 3		Tier 3
e. Appropriate allocation of risk among ratepayers, generation developers, utility shareholders, and financing entities	Tier 3	Tier 3	Tier 3	Tier 3	Tier 3	Tier 2		Tier 2		Tier 3
f. Other issues, including any new statutory requirements	Tier 2	Tier 3	Tier 3	Ongoing	Tier 3	Tier 2		Tier 1 (in conjunction with modifications for SBx1.2 above)		Tier 3

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Issue 5: Implement section 399.20, as amended										
1 Develop requirements for standard tariffs of utilities for RPS-eligible generators of up to three megawatts capacity, including but not limited to:										
a. Supplement briefs already submitted in R.08-08-009 to cover new statutory requirements	Tier 3	Tier 3	Tier 3	Tier 3	Tier 3	Tier 3		Tier 3		Tier 3
b. Establish methodology to determine market price for standard tariffs	Tier 3	Tier 3	Tier 3	Tier 3	Tier 3	Tier 2		Tier 3		Tier 3
c. Set up process for expedited interconnection procedures	Tier 3	Tier 3	Tier 3	Tier 3	Tier 3	Tier 3		Tier 3		Tier 3
Issue 6: Other Ongoing Implementation and Administration										
1 Implementation of amended or new statutes, if any	Ongoing		Ongoing	Ongoing	Ongoing	Ongoing		Ongoing		
2 Further consideration of rules for CCAs and ESPs, as necessary, such as but not limited to:										
a. When obligations start for new entrants	Tier 2	Tier 1 (to extent targets need to be addressed)	Tier 2	Tier 2	Tier 1	Tier 3		Tier 3		Tier 1
b. When obligations under D.07-05-028 and other procurement requirements commence	Tier 2	Tier 1 (to extent targets need to be addressed)	Tier 2	Tier 2	Tier 1	Tier 3		Tier 3		Tier 1
3 Integration of TRECs (Suggest substituting "Firming and Shaping Products and Unbundled REC Products" for "TRECs" throughout) into RPS procurement and compliance rules, such as but not limited to:										
a. Utility evaluation of TREC contracts	Ongoing	Tier 2	Tier 2	Ongoing	Ongoing	Ongoing		Ongoing		
b. Commission process for reviewing TREC contracts and modification, if necessary, of fast-track advice letter procedure (D.09-06-050)	Tier 2	Tier 2	Tier 2	Tier 2	Tier 2	Tier 3		Tier 2		Tier 3
c. Classification of RPS contracts using firm transmission	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1	Tier 1		Tier 1
4 Development of RPS Need Assessment Methodology										
a. Develop methodology to determine RPS resource need and integration into RPS procurement plans	Tier 3	Tier 3	Tier 3	Tier 3	Tier 3	Tier 1		Tier 3		
5 Review and revise STCs for new RPS contracts, as needed, including but not limited to										
a. Green attributes	Tier 2	Tier 3	Tier 3	Tier 2	Tier 2	Tier 2		Tier 2		
b. Eligibility	Tier 2	Tier 3	Tier 3	Tier 2	Tier 2	Tier 2		Tier 2		
c. Whether or not to add a term that provides for ongoing Commission jurisdiction over contract terms and conditions	Tier 3	Tier 3	Tier 3	Tier 3	Tier 3	Tier 3		Tier 2		
6 Ruling on unresolved motions, including but not limited to the Amended Motion of the City of Cerritos for Certain Determinations (December 3, 2010)	Tier 3	As need arises	n/a	Tier 3	Tier 3	Tier 3		Tier 3		
7 Continuation of any unresolved matter in R.08-08-009 that is specifically identified as an issue in the assigned Commissioner's Scoping Memo and Ruling in this successor proceeding	Ongoing	As need arises	Ongoing	Ongoing	Ongoing	Ongoing		Ongoing		
8 Other issues identified by parties or the Commission	Ongoing	As need arises	Ongoing	Ongoing	Ongoing	Ongoing		Ongoing		

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* DRA contends that this item should be included in this proceeding

Certificate of Service

I hereby certify that I have this day served a copy of "Sustainable Conservation Notice of Ex Parte Communication" on all known parties of record in R.11-05-005 by transmitting an e-mail message with the document attached to each party named in the official service list, and by serving a hard copy on the Administrative Law Judge.

Executed on June 6, 2011.

A handwritten signature in black ink, appearing to read "Jody S. London", with a horizontal line extending to the right.

Jody S. London

For SUSTAINABLE CONSERVATION
P.O. Box 3629
Oakland, CA 94609

SERVICE LIST

Proceeding: R1105005 - CPUC - OIR TO CONTIN

Filer: CPUC

List Name: LIST

Last changed: June 3, 2011

cadowney@cadowneylaw.com
chris.leveriza@glacialenergy.vi
ccasselmann@pilotpowergroup.com
douglass@energyattorney.com
liddell@energyattorney.com
jnelson@psrec.coop
matthew@turn.org
sheldon.kimber@recurrentenergy.com
wplaxico@axiopower.com
lwisland@ucsusa.org
Laurie.Mazer@bp.com
patrick.vanbeek@commercialenergy.net
rhardy@hardyenergy.com
Andrew.Luszcz@GlacialEnergy.com
dgulino@ridgewoodpower.com
rick_noger@praxair.com
rresch@seia.org
keith.mccrea@sablax.com
jim_p_white@transcanada.com
tlocascio@libertypowercorp.com
JCasadont@BlueStarEnergy.com
jkern@bluestarenergy.com
mkuchera@bluestarenergy.com
angela.gregory@DirectEnergy.com
kb@EnerCalUSA.com
TPhillips@TigerNaturalGas.com
jordan.white@pacificcorp.com
dsaul@pacificsolar.net
lean-claude.bertet@ladwp.com
GouletCA@email.laccd.edu
kelly.cauvel@build-laccd.org
thall@laccd.edu
npedersen@hanmor.com
mmazur@3PhasesRenewables.com
susan.munves@smgov.net
mmcdannel@lacsd.org
igoodman@commerceenergy.com
rmcmahon@amonix.com
ej_wright@oxy.com
d.moss@renewables100.org
stephen.burnage@gmail.com
pssed@adelphia.net
cathy.karlstad@sce.com
kswitzer@gswater.com
cponds@ci.chula-vista.ca.us
mary@solutionsforutilities.com
AMSmith@SempraUtilities.com
DAKing@SempraGeneration.com
fortlieb@sandiego.gov
jleslie@luce.com
thomaspcorr@gmail.com
tdarton@pilotpowergroup.com
kswitzer@scwater.com
GloriaB@anzaelectric.org
phil@reesechambers.com
andrea.morrison@directenergy.com
Joe.Langenberg@gmail.com
dorth@krccd.org
ek@a-klaw.com
tam.hunt@gmail.com
mdjoseph@adamsbroadwell.com
mpo@cpuc.ca.gov
jeanne.sole@sfgov.org
MGML@pge.com
nes@a-klaw.com
abrowning@votesolar.org
bcragg@goodinmacbride.com
jsqueri@goodinmacbride.com
jarmstrong@goodinmacbride.com
jrehfeld@naturener.net
mday@goodinmacbride.com
stevegreenwald@dwt.com
shong@goodinmacbride.com
dhuard@manatt.com
todd.edmister@bingham.com
jkarp@winston.com
edwardoneill@dwt.com
jeffgray@dwt.com
bobgex@dwt.com
ssmyers@att.net
gpetlin@3degreesinc.com
kerry.hattevik@nexteraenergy.com
wbooth@booth-law.com
info@calseia.org
jpross@sungevity.com
jody_london_consulting@earthlink.net
jkepke@ch2m.com
jkeyes@keyesandfox.com
kfox@keyesandfox.com
sstanfield@keyesandfox.com
Patrick.VanBeek@CommercialEnergy.net
gmorris@emf.net
ndesnoo@ci.berkeley.ca.us
clyde.murley@comcast.net
tomb@crossborderenergy.com
erasmussen@marinenergyauthority.org
janreid@coastecon.com
michaelboyd@sbcglobal.net
johnrredding@earthlink.net
jweil@aglet.org
jsanders@caiso.com
Ralf1241a@cs.com
cmkehrrein@ems-ca.com
dcarroll@downeybrand.com
davidb@cwo.com
jmcfarland@treasurer.ca.gov
jim.metropulos@sierraclub.org
wynne@braunlegal.com
blaising@braunlegal.com
aecaonline@gmail.com
sgp@eslawfirm.com
abb@eslawfirm.com
dkk@eslawfirm.com
jgg@eslawfirm.com
lmh@eslawfirm.com
wwester@smud.org
kristin@consciousventuresgroup.com
kmills@cfbf.com
peter.eichler@libertywater.com
kevin.lynch@iberdrolaren.com
jcolive@bpa.gov
Tom.Elgie@powerex.com
amber@iepa.com
freesa@thirdplanetwind.com
acitrin@prosoliana.com
WBlattner@SempraUtilities.com
ccollins@Energystrat.com
davidmorse9@gmail.com
dtownley@infiniacorp.com
ddavie@wellhead.com
elvine@lbl.gov
esison@smud.org
ehadley@reupower.com
Erin.Grizard@BloomEnergy.com
klatt@energyattorney.com
harry.singh@gs.com
HYao@SempraUtilities.com
jpepper@svpower.com
janice@strategenconsulting.com
jsanders@caiso.com
jspears@orrick.com
kmills@cfbf.com
KFranccone@energystrat.com

kgiddens@orrick.com
 sahm@fitcoalition.com
 lsherman@orrick.com
 lwhouse@innercite.com
 moxsen@calpine.com
 mfrazee@anaheim.net
 matt.miller@recurrentenergy.com
 mcox@calplg.com
 mpf@stateside.com
 mdeange@smud.org
 michael.wheeler@recurrentenergy.com
 nedrayoung@gmail.com
 obartha@smud.org
 seang@kroadpower.com
 sean.beatty@genon.com
 eddyconsulting@gmail.com
 siobhan.doherty@frv.com
 StephanieC@greenlining.org
 steven@iepa.com
 sue.mara@rtoadvisors.com
 tculley@keyesandfox.com
 ttutt@smud.org
 todd.johansen@recurrentenergy.com
 regulatory.affairs@recurrentenergy.com
 mrw@mrwassoc.com
 e-recipient@caiso.com
 regulatory@silveradopower.com
 artrivera@comcast.net
 CKebler@SempraGeneration.com
 cynthia.brady@constellation.com
 cynthia.brady@constellation.com
 Derek@AltaPowerGroup.com
 pstoner@lgc.org
 imcgowan@3DegreesInc.com
 Jennifer.Barnes@Navigantconsulting.com
 James.Stack@CityofPaloAlto.org
 judypau@dwt.com
 lmittchell@hanmor.com
 m.stout@meridianenergyusa.com
 martinhomec@gmail.com
 mniroula@water.ca.gov
 nrader@calwea.org
 pblood@columbiaenergypartners.com
 pshaw@suntechamerica.com
 richard.chandler@bp.com
 r.raushenbush@comcast.net
 rwalther@pacbell.net
 pletkarj@bv.com
 SEHC@pge.com
 ted@fitcoalition.com
 thomase.hobson@ge.com
 dwtcpucdockets@dwt.com
 mpr-ca@coolearthsolar.com
 TReid@fce.com
 Karen.Kochonies@MorganStanley.com
 nicole.fabri@clearenergybrokerage.com
 ron.cerniglia@directenergy.com
 catherine.krupka@sutherland.com
 alexandra.konieczny@sutherland.com
 tjaffe@energybusinessconsultants.com
 DBodine@LibertyPowerCorp.com
 cswoollums@midamerican.com
 abiecunasjp@bv.com
 nblack@calbioenergy.com
 cpacc@calpine.com
 echiang@elementmarkets.com
 jpittsjr@pcgconsultants.com
 roxane.perruso@tac-denver.com
 jon.jacobs@paconsulting.com
 kjsimonsen@ems-ca.com
 jenine.schenk@apses.com
 mark@pdsplc.com
 chilen@sppc.com
 emello@sppc.com
 tdillard@sppc.com
 jgreco@terra-genpower.com
 bryan.schweickert@ladwp.com
 leilani.johnson@ladwp.com
 randy.howard@ladwp.com
 Jeff.Newman@bth.ca.gov
 rkeen@manatt.com
 fyanney@fulbright.com
 gbawa@cityofpasadena.net
 Douglas@ldealab.com
 cchang@cityofpasadena.net
 jw3@pge.com
 vpuffer@gwpenergy.com
 fhall@solarelectricsolutions.com
 jackmack@suesec.com
 AMRO@pge.com
 BJeider@ci.burbank.ca.us
 HPandey@ci.burbank.ca.us
 ylehr@ci.azusa.ca.us
 case.admin@sce.com
 george.wiltsee@sce.com
 Joni.Templeton@sce.com
 Laura.Genao@sce.com
 nquan@gswater.com
 kswitzer@gswater.com
 rkmoore@gswater.com
 chad@cenergypower.com
 rgilleskie@san.rr.com
 j.miles.cox@sbcglobal.net
 ggisel@indenergysolutions.com
 gbass@noblesolutions.com
 SNelson@Sempra.com
 TRoberts@SempraUtilities.com
 CentralFiles@SempraUtilities.com
 farrellytc@earthlink.net
 marcie.milner@shell.com
 HRasool@SempraUtilities.com
 jpierce@semprautilities.com
 DNiehaus@SempraUtilities.com
 KDeremer@SempraUtilities.com
 CentralFiles@SempraUtilities.com
 sharding@iidenergy.com
 fwnoble@WintecEnergy.com
 peter.pearson@bves.com
 CBlack@riversideca.gov
 csteen@bakerlaw.com
 chestonem@sharpsec.com
 john@deweygroup.com
 leichnitz@lumospower.com
 galiteva@aol.com
 JLehman@anaheim.net
 msamra@anaheim.net
 hanigan@encous.com
 kerry.eden@ci.corona.ca.us
 pfmoritzburke@gmail.com
 janet.gagnon@solarworldusa.com
 Jeff.Hirsch@DOE2.com
 hal@rwitz.net
 Brian.Prusnek@sce.com
 nao@cpuc.ca.gov
 austin.yang@sfgov.org
 Dan.adler@calcef.org
 mramirez@sfwater.org
 mdacunha@ecoplexus.com
 mcampbell@sfwater.org
 tburke@sfwater.org
 norman.furuta@navy.mil
 andre.devilbiss@recurrentenergy.com
 dcover@esassoc.com
 reg@silveradopower.com
 jim.howell@recurrentenergy.com
 luke.dunnington@recurrentenergy.com
 marcel@turn.org
 sam.maslin@recurrentenergy.com
 snuller@ethree.com
 regulatory_affairs@recurrentenergy.com
 mcarboy@signalhill.com
 avege@firstwind.com
 RegRelCPUCCases@pge.com
 CRMd@pge.com
 ELL5@pge.com
 jay2@pge.com
 jsp5@pge.com
 filings@a-klaw.com
 ldri@pge.com
 MMCL@pge.com
 mginsburg@orrick.com
 tj1@a-klaw.com

spauker@wsgr.com
cmmw@pge.com
nxk2@pge.com
Eriks@ecoplexus.com
amartin@nextlight.com
fderosa@nextlight.com
jstoddard@manatt.com
jwoodruff@nextlight.com
jscancarelli@crowell.com
jared.johnson@lw.com
mchediak@bloomberg.net
markfumia@dwt.com
rafi.hassan@sig.com
sdhilton@stoel.com
tkaushik@manatt.com
vidhyaprabakaran@dwt.com
mday@goodinmacbride.com
lcottle@winston.com
tsolomon@winston.com
Diane.Fellman@nrgenergy.com
cem@newsdata.com
sho@ogrady.us
atk4@pge.com
CPUCCases@pge.com
BXSZ@pge.com
bkc7@pge.com
GXL2@pge.com
k1k3@pge.com
mrh2@pge.com
S2B9@pge.com
ryan.heidari@endimensions.com
chris@emeter.com
wetstone@alamedamp.com
beth@beth411.com
bill@fitcoalition.com

andy.vanhorn@vhcenergy.com
kowalewskia@calpine.com
barmackm@calpine.com
JChamberlin@LSPower.com
phanschen@mofo.com
timea.Zentai@navigantconsulting.com
masont@bv.com
dietrichlaw2@earthlink.net
alex.kang@itron.com
ramonag@ebmud.com
scott.goorland@fpl.com
bepstein@fablaw.com
nellie.tong@us.kema.com
cpucdockets@keyesandfox.com
ramesh.ramchandani@commercialenergy.com
ron.perry@commercialenergy.net
cwooten@lumenxconsulting.com
rschmidt@bartlewells.com
andykatz@sonic.net
gtrobinson@lbl.gov

ed.smeloff@sunpowercorp.com
dweisz@marinenergyauthority.org
sara@solaralliance.org
juliettea7@aol.com
lynn@lmaconsulting.com
tfaust@redwoodrenewables.com
paulfenn@local.org
anders.glader@elpower.com
jna@speakeasy.org
tim@marinemt.org
johnspilman@netzero.net
ed.mainland@sierraclub.org
keithwhite@earthlink.net
wem@igc.org
eric.cherniss@gmail.com
shani@scvas.org
renee@gem-corp.com
vsuravarapu@cera.com
sberlin@mccarthy.com
tom_victorine@sjwater.com
jrobertpayne@gmail.com
mjensen@mercedid.org
davido@mid.org
joyw@mid.org
lindaf@mid.org
brbarkovich@earthlink.net
dgrandy@caonsitegen.com
rmccann@umich.edu
tobinjmr@sbcglobal.net
saeed.farokhpay@ferc.gov
e-recipient@caiso.com

dennis@ddecuir.com
brian.theaker@nrgenergy.com
rick@sierraecos.com
david.oliver@navigantconsulting.com
kenneth.swain@navigantconsulting.com
cpucrulings@navigantconsulting.com
lpark@navigantconsulting.com
pmaxwell@navigantconsulting.com
tpomales@arb.ca.gov
tbrunello@calstrat.com
mclaughlin@braunlegal.com
danielle@ceert.org
jluckhardt@downeybrand.com
bernardo@braunlegal.com
steveb@cwo.com
Tiffany.Roberts@lao.ca.gov
dseperas@calpine.com
bsb@eslawfirm.com
jteslawfirm.com
rroth@smud.org
mdeange@smud.org
vwood@smud.org
lterry@water.ca.gov
hurlock@water.ca.gov

varanini@sbcglobal.net
karen@klindh.com
atrowbridge@daycartermurphy.com
dsanchez@daycartermurphy.com
DocToxics@aol.com
dbranchcomb@spi-ind.com
cpuc@liberty-energy.com
c.mentzel@cleanenergymaui.com
sas@a-klaw.com
mpa@a-klaw.com
cathie.allen@pacificcorp.com
Tashiana.Wangler@PacifiCorp.com
dws@r-c-s-inc.com
castille@landsenergy.com
lindsay_zaitsoff@transalta.com
djurijew@capitalpower.com
john_dunn@transcanada.com
meredith_lamey@transcanada.com
spillott@capitalpower.com
mark.thompson@powerex.com
Nancy.Norris@powerex.com
AEG@cpuc.ca.gov
CNL@cpuc.ca.gov
DBP@cpuc.ca.gov
MWT@cpuc.ca.gov
SMK@cpuc.ca.gov
TRH@cpuc.ca.gov
lgonzale@energy.state.ca.us
jmcmahon@8760energy.com
as2@cpuc.ca.gov
aes@cpuc.ca.gov
bwm@cpuc.ca.gov
clu@cpuc.ca.gov
ctd@cpuc.ca.gov
dbp@cpuc.ca.gov
dot@cpuc.ca.gov
gtd@cpuc.ca.gov
jm3@cpuc.ca.gov
jls@cpuc.ca.gov
jzr@cpuc.ca.gov
jp6@cpuc.ca.gov
jaa@cpuc.ca.gov
jf2@cpuc.ca.gov
jmh@cpuc.ca.gov
kar@cpuc.ca.gov
kho@cpuc.ca.gov
kwh@cpuc.ca.gov
mrl@cpuc.ca.gov
mjs@cpuc.ca.gov
mjd@cpuc.ca.gov
mc3@cpuc.ca.gov
sha@cpuc.ca.gov
nlr@cpuc.ca.gov
nil@cpuc.ca.gov
psd@cpuc.ca.gov
rmm@cpuc.ca.gov

rkn@cpuc.ca.gov
rmd@cpuc.ca.gov
srt@cpuc.ca.gov
svn@cpuc.ca.gov
tbo@cpuc.ca.gov
dietrichlaw2@earthlink.net
ys2@cpuc.ca.gov
Will.Brieger@doj.ca.gov
claufenb@energy.state.ca.us
cleni@energy.state.ca.us
hrait@energy.state.ca.us
kzocchet@energy.state.ca.us
mpryor@energy.state.ca.us
pdoughma@energy.state.ca.us
dvidaver@energy.state.ca.us
gherrera@energy.state.ca.us
jwoodwar@energy.state.ca.us
pbarthol@energy.state.ca.us
hcronin@water.ca.gov
rmiller@energy.state.ca.us