

From: Cooke, Michelle
Sent: 9/2/2011 3:55:11 PM
To: Cherry, Brian K (/O=PG&E/OU=CORPORATE/CN=RECIPIENTS/CN=BKC7);
Malliett, Valerie (Intern) (valerie.malliett@cpuc.ca.gov)
Cc:
Bcc:
Subject: RE: Data Request

ALL Internal Auditing reports, not limited as described in questions 2 and 3.

Thank you.

Michelle

From: Cherry, Brian K [mailto:BKC7@pge.com]
Sent: Friday, September 02, 2011 3:52 PM
To: Malliett, Valerie (Intern)
Cc: Cooke, Michelle
Subject: RE: Data Request

Thanks Valerie. I will forward this to the appropriate parties.

Michelle - for clarification on question one, do you want ALL audits for the last 20 years or were you specifically referring to audits of the type in questions 2 and 3 ?

From: Malliett, Valerie (Intern) [mailto:valerie.malliett@cpuc.ca.gov]
Sent: Friday, September 02, 2011 3:46 PM
To: Cherry, Brian K
Cc: Cooke, Michelle
Subject: Data Request

Data Request from the California Public Utilities Commission

DR Date Sent: September, 2, 2011

DR Response Due: September 16, 2011

DR Response To: Michelle Cooke, Interim Director mlc@cpuc.ca.gov

Valerie Malliett, vm1@cpuc.ca.gov

Consumer Protection and Safety Division

California Public Utilities Commission

505 Van Ness Ave.

San Francisco, CA 94102

Please provide the following:

1. Any and all internal audit reports prepared by PG&E's Internal Audit Department (or predecessor or successor organizations) over the last 20 years.
 - a. To what level each report was elevated within the company
 - b. All steps PG&E took in response to each audit
 - c. An explanation when no response was made

2. Copies of any and all complaints filed by employees and former employees of PG&E and PG&E contractors over the last 10 years in state's or US district courts which may allege that the corporation, any officer of the corporation, any manager of the corporation, or any contractor working on behalf of PG&E has committed fraud (in particular to avoid making repairs, changing corporate policy, or bearing additional expense), covered up and/or committed safety violations.
 - a. Include the status of each case, whether it is on-going, has settled or been dismissed

3. Copies of complaints by PG&E employees filed in house which may allege that the corporation, any officer of the corporation, any manager of the corporation, or any contractor working on behalf of PG&E has committed fraud (in particular to avoid making repairs, changing corporate policy, or bearing additional expense), covered up and/or committed safety violations.

- a. Include the status of each complaint
- b. How the report was escalated
 - i. Who (name and position) was involved in researching and escalation of the report
- c. How was the report resolved
- d. If there was no resolution or correction made, an explanation

Valerie Malliett

California Public Utilities Commission

505 Van Ness Ave.

San Francisco, CA 94102

415-703-1815

vm1@cpuc.ca.gov