

Case Date (mo/day/year)	Brief Description of Fraud Allegation	or Contractor Involved	Report Escalation	Status
2002 BCQ	{Redacted}	Director		Unsubstantiated
2002 BCQ		Officer		Closed
2002 BCQ		Officer		
2003 BCQ		Contractor		Closed
2003 BCQ		Officer		Closed
2003 BCQ		Director		Closed
2003 BCQ		Director		Closed

Confidential Attorney-Client Privileged Communication				
2003 BCQ		Contractor		Closed
2003 BCQ		Director		Closed
2003 BCQ		Contractor		Closed
2003 BCQ		Contractor		Closed
2003 BCQ		Director		closed
2004 BCQ		Officer		
2004 BCQ		Officer		
			2	

Confidential Attorney-Client Privileged Communication			
2004 BCQ	Contractor		
2004 BCQ	Contractor		
2004 BCQ	Contractor		Closed
2004 BCQ	Officer		Closed
2005 BCQ	Officer		

Confidential Attorney-Client Privileged Communication				
2005 BCQ				
2005 BCQ		Officer		
2005 BCQ		Contractor		
2005 BCQ		Director		
2006 BCQ		Director		
2006 BCQ		Director		
				4

Confidential Attorney-Client Privileged Communication		
2006 BCQ	Director	
2006 BCQ	Contractor	
2008 BCQ 12/31/2008		[REDACTED] Closed
2008 BCQ 12/31/2008	Director	HR [REDACTED] Closed
2008 BCQ 12/31/2008	Contractor	HR [REDACTED] Closed
2008 BCQ 12/31/2008	Officer	HR [REDACTED] Closed
2008 BCQ 12/31/2008	Officer	Investor Relations [REDACTED]
2008 BCQ 12/31/2008	Contractor	IA - Larrisa Ionin Closed
2008 BCQ 12/31/2008	Contractor	Corp Security Closed
2008 BCQ 12/31/2008	Contractor	Corp Security Closed
2008 BCQ 12/31/2008	Contractor	HR [REDACTED] Closed
2008 BCQ 12/31/2008	5	HR [REDACTED] Closed

Confidential Attorney-Client Privileged Communication 2008 BCQ 12/31/2008		Contractor	HR [REDACTED]	Closed
2008 BCQ 12/31/2008		Officer	Corporate Secretary - Linda Cheng	Closed
2009 BCQ 7/13/2009		Contractor	Given to HR and [REDACTED]	Closed
2009 BCQ 5/7/2009			Given to corp Security to review. HR was also involved.	Closed
2009 BCQ 6/25/2009		Contractor	HR	Closed
2009 BCQ 6/25/2009		Contractor	HR	Closed
2009 BCQ 6/30/2009		Director	HR	Closed
2010 Code Certification 6/26/2010				Closed

**Resolution**

{Redacted}













Case Date (mo/day/year)	Brief Description of Fraud Allegation	or Contractor Involved	Report Escalation	Status
2002 BCQ	{Redacted}	Director		Unsubstantiated
2002 BCQ	{Redacted}	Officer		Closed
2002 BCQ	{Redacted}	Officer		
2003 BCQ	{Redacted}	Contractor		Closed
2003 BCQ	{Redacted}	Officer		Closed
2003 BCQ	{Redacted}	Director		Closed
2003 BCQ	{Redacted}	Director		Closed

Confidential Attorney-Client Privileged Communication		
2003 BCQ	Contractor	Closed
2003 BCQ	Director	Closed
2003 BCQ	Contractor	Closed
2003 BCQ	Contractor	Closed
2003 BCQ	Director	closed
2004 BCQ	Officer	
2004 BCQ	Officer	
	2	

Confidential Attorney-Client Privileged Communication			
2004 BCQ	Contractor		
2004 BCQ	Contractor		
2004 BCQ	Contractor		Closed
2004 BCQ	Officer		Closed
2005 BCQ	Officer		

Confidential Attorney-Client Privileged Communication				
2005 BCQ				
2005 BCQ		Officer		
2005 BCQ		Contractor		
2005 BCQ		Director		
2006 BCQ		Director		
2006 BCQ		Director		
				4



Confidential Attorney-Client Privileged Communication		
2006 BCQ	Director	
2006 BCQ	Contractor	
2008 BCQ 12/31/2008		[REDACTED] Closed
2008 BCQ 12/31/2008	Director	HR [REDACTED] Closed
2008 BCQ 12/31/2008	Contractor	HR [REDACTED] Closed
2008 BCQ 12/31/2008	Officer	HR [REDACTED] Closed
2008 BCQ 12/31/2008	Officer	Investor Relations [REDACTED]
2008 BCQ 12/31/2008	Contractor	IA - Larrisa Ionin Closed
2008 BCQ 12/31/2008	Contractor	Corp Security Closed
2008 BCQ 12/31/2008	Contractor	Corp Security Closed
2008 BCQ 12/31/2008	Contractor	HR [REDACTED] Closed
2008 BCQ 12/31/2008	5	HR [REDACTED] Closed

Confidential Attorney-Client Privileged Communication 2008 BCQ 12/31/2008		Contractor	HR [REDACTED]	Closed
2008 BCQ 12/31/2008		Officer	Corporate Secretary - Linda Cheng	Closed
2009 BCQ 7/13/2009		Contractor	Given to HR and [REDACTED]	Closed
2009 BCQ 5/7/2009			Given to corp Security to review. HR was also involved.	Closed
2009 BCQ 6/25/2009		Contractor	HR	Closed
2009 BCQ 6/25/2009		Contractor	HR	Closed
2009 BCQ 6/30/2009		Director	HR	Closed
2010 Code Certification 6/26/2010				Closed

**Resolution**

{Redacted}









