

From: [Redacted]
Sent: 5/1/2012 3:58:39 PM
To: gina.adams@cpuc.ca.gov (gina.adams@cpuc.ca.gov)
Cc: Smith, Bruce T (/O=PG&E/OU=Corporate/cn=Recipients/cn=BTS1)
Bcc:
Subject: I.12-01-007-PGE Response to CPSD DR #2, Q10

Gina,

Attached is Pacific Gas and Electric Company's response to CPSD's Data Request Number 2, Question 10 for I.12-01-007.

If you have any questions feel free to call myself at [Redacted] or Bruce Smith at (415) 973-2616.

Thanks,

[Redacted]

Regulatory Case Coordinator

Pacific Gas and Electric Company

77 Beale Street, [Redacted]

San Francisco, CA 94105

[Redacted]

From: Adams, Gina A. [mailto:gina.adams@cpuc.ca.gov]
Sent: Monday, March 12, 2012 2:17 PM
To: Reg Rel SB Requests
Cc: San Bruno Gas Safety
Subject: Data Request 03 12 12

To whom it may concern:

Please find attached questions related to the PG&E internal operations. Please provide answers to these questions by 3-30-12.

Thank you,

Gina Adams

- 1) DR CPUC_198-Q11 asked about the Long-Term Incentive Plan:
 - a. Provide the names and titles of the members of the Compensation Committee;
 - b. Provide all nomination documents submitted by supervisors for each of the recipients of the awards identified in: DR_CPUC_198-Q11Atch07, page 2; DR_CPUC_198-Q11Atch08, page 4; DR_CPUC_198-Q11Atch09, page 2; and, DR_CPUC_198-Q11Atch10, page 7. Documents shall include the supervisors' nomination forms and performance evaluations, including but not limited to, performance criteria, performance goals, the employee's actual performance, and whether that employee met those goals. Documents shall also include any other criteria used to determine whether the candidate will be awarded the Long-Term Incentive Plan shares; and,
 - c. Identify the "Rounded Payout" or settlement percentage figure that was used to calculate the value of the Performance Shares for each year of each year, 2006 through 2010.
- 2) The Short-term Incentive Plan uses a number of criteria to determine the company performance score used to calculate the amount of the awards. Provide:
 - a. Initial STIP goals for each year from 2005-present;
 - b. Actual performance for each criterion, 2005-present; and,
 - c. The final annual STIP score for each criterion.
- 3) DR CPUC_216-Q3 referred to a 2007 Earning Guidance and Capital Investment Plan. Provide a copy of that plan.
- 4) Provide a copy of the Business Transformation plan.
 - a. Provide all documents and notices provided to management and employees that identify directives to carry out the initiatives or directives in the plan;

- b. Provide all reports that identify the financial benefits the plan is expected to deliver, as well as other non-financial benefits, for each line of business;
 - c. Identify all Functional Areas that were targeted and expected goals of each functional area;
 - d. Identify all 77 initiatives that were approved by the end of 2006. Provide any changes made to the initiatives;
 - e. Provide all documents that measured the success of the initiatives; including all interim biannual measurements and all final measurements; and,
 - f. Provide all documents that identify expected savings, if any, and all documents that identify actual savings per initiative.
- 5) Identify how much was collected from ratepayers for federal and state taxes for each year from 1995 to present. Identify the amount of federal and state taxes actually paid to the IRS and/or FTB on behalf of PG&E Utility.
- 6) Provide a list of all short-term and long-term debt mechanisms where: (1) PG&E Company is the lender; and, (2) PG&E Company assets are used as collateral when PG&E Company is not the borrower.
- a. Provide all promissory notes or other instruments that document short- and long-term loans, revolving credit facilities, and all other forms of short- and long-term debt obligations;
 - b. All security agreements, pledge agreements, mortgages, deeds of trust, and other collateral agreements in connection with other debt, where PG&E Company pledges or grants a security interest in, or a lien on any or all real or personal property of PG&E Company or provides collateral as security for a PG&E Company affiliate or PG&E Corporation affiliate's obligations to such lenders; and,
 - c. All agreements with regard to short-term debt, including but not limited to commercial paper and extendible commercial notes, term loan facilities, synthetic letter of credit facilities, and inter-company borrowing.
- 7) Provide Profit and Loss statements for each PG&E Company subsidiary and each PG&E Corporation subsidiary identified in the "Legal Entity Structure" from the CPUC_198-Q01 organizational charts for each year, 2006 through 2010.
- 8) Data Request CPUC_198-Q01, dated October 3, 2011, requested a list of all transferred assets from PG&E Company to any other PG&E Company or Corporation subsidiary in the amount of \$1 million or more and the dates of the transfers. Provide that information.
- 9) For compliance with the Sarbanes-Oxley Act of 2002, Section 404, provide the following for each year, 2006 through 2010:

- a. The information in annual reports concerning the scope and adequacy of the internal control structure and procedures for financial reporting;
- b. An assessment of the effectiveness of such internal controls and procedures; and,
- c. The accounting firm's report on the assessment on the effectiveness of the internal control structure and procedures for financial reporting.

10) DR CPUC_198-Q05 requested information on the 2% reduction to the workforce. Please provide the following:

- a. The documents that each supervisor submitted for each line of business that identified the number of positions that could be eliminated, and the job titles of those positions. Also state whether those identified positions were actually eliminated or not;
- b. Any and all documents that authorized the reductions, or authorized an amended list of reductions/eliminations;
- c. A list of each effected person and position, and whether it was a voluntary elimination or an involuntary elimination;
- d. Specific information on the positions in Gas Transmission and Distribution and any other gas-related positions; and,
- e. Specific information on the positions in Internal Auditing, or the department that performs internal audits of PG&E Company.

Gina Adams

California Public Utilities Commission

(916)324-5945