### BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

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Order Instituting Rulemaking to Integrate and Refine Procurement Policies and Consider Long-Term Procurement Plans.

#### Rulemaking 12-03-014 (Filed March 22, 2012)

### INTERVENOR COMPENSATION CLAIM OF CALIFORNIA ENVIRONMENTAL JUSTICE ALLIANCE AND DECISION ON INTERVENOR COMPENSATION **CLAIM OF CALIFORNIA ENVIRONMENTAL JUSTICE ALLIANCE**

| <b>Claimant:</b> California Environmental Justice Alliance | For contribution to D. 13-02-015                       |
|--|--|
| <b>Claimed (\$):</b> 180,536                               | Awarded (\$):  |
| Assigned Commissioner: Florio                              | Assigned ALJ: Gamson                                   |
| I hereby certify that the information I hav                | re set forth in Parts of this Claim is true to my best |

knowledge, information and belief. I further certify that, in conformance with the Rules of Practice and Procedure, this Claim has been served this day upon all required persons (as set forth in the Certificate of Service attached as Attachment 1).

|                      | Signature:    | /s/ Deborah Behles |
|----------------------|---------------|--------------------|
| <b>Date:</b> 4/12/13 | Printed Name: | Deborah Behles     |

### PART I: PROCEDURAL ISSUES (to be completed by Claimant except where indicated)

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| A. Brief Description of Decision: | This decision addressed the issues raised in Track I of the 2012 |
|-----------------------------------|--|
|                                   | Long-Term Procurement Plan. Track I focused on assessing the     |
|                                   | local capacity requirement for the LA Basin and Big              |
|                                   | Creek/Ventura Areas through 2021. The decision outlined          |
|                                   | procurement requirements and limitations based on the LCR.       |

### B. Claimant must satisfy intervenor compensation requirements set forth in Public **Utilities Code §§ 1801-1812:**

|   |                                   |                                   | CPUC Verified |
|---|-----------------------------------|-----------------------------------|---------------|
|   | Timely filing of notice of inte   | ent to claim compensation (NOI) ( | (§ 1804(a)):  |
| 4 | 1. Date of Prehearing Conference: | April 18, 2012                    |               |
| , | 2. Other Specified Date for NOI:  |                                   |               |
|   | 3. Date NOI Filed:                | May 10, 2012                      |               |

|      | Showing of customer or custom                           | er-related status (§ 1802(b)): |
|------|---|--------------------------------|
|      | 5. Based on ALJ ruling issued in proceeding number:     | A.11-05-023                    |
| 5    | 6. Date of ALJ ruling:                                  | April 23, 2012                 |
|      | 7. Based on another CPUC determination (specify):       |                                |
|      | 8. Has the Claimant demonstrated customer or custome    | r-related status?              |
|      | Showing of "significant finan                           | cial hardship" (§ 1802(g)):    |
| 6    | 9. Based on ALJ ruling issued in proceeding number:     | A.11-05-023                    |
| V    | 10. Date of ALJ ruling:                                 | April 23, 2012                 |
|      | 11. Based on another CPUC determination (specify):      |                                |
|      | 12. Has the Claimant demonstrated significant financial | hardship?                      |
|      | Timely request for com                                  | pensation (§ 1804(c)):         |
| Prog | 13. Identify Final Decision:                            | D. 13-02-015                   |
|      | 14. Date of Issuance of Final Order or Decision:        | February 13, 2013              |
|      | 15. File date of compensation request:                  | April 12, 2013                 |
|      | 16. Was the request for compensation timely?            |                                |

### C. Additional Comments on Part I (use line reference # as appropriate):

|   | # | Claimant   | CPUC | Comment  |
|---|---|--|------|--|
| 8 | 1 | California<br>Environmental<br>Justice<br>Alliance |      | The California Environmental Justice Alliance (CEJA) is an alliance of six<br>grassroots environmental justice organizations that are situated throughout the<br>state of California. CEJA's six organizations represent utility customers<br>throughout California that are concerned about their health and the environment.<br>The organizational members of CEJA are: Asian Pacific Environmental Network,<br>The Center for Community Action and Environmental Justice, Center on Race,<br>Poverty & the Environment, Communities for a Better Environment,<br>Environmental Health Coalition, and People Organizing to Demand<br>Environmental and Economic Justice. CEJA is an unincorporated organization<br>that is fiscally sponsored by the Environmental Health Coalition. All of the<br>members of CEJA are non-profit public interest entities. Together, the six<br>member organizations of CEJA are working to achieve environmental justice for<br>low-income communities and communities of color throughout the state of<br>California. In particular, CEJA is pushing for policies at the federal, state,<br>regional and local levels that protect public health and the environment. CEJA is<br>also working to ensure that California enacts statewide climate change policies<br>that protect low-income communities and communities of color. |

# **PART II: SUBSTANTIAL CONTRIBUTION** (to be completed by Claimant except where indicated)

# A. In the fields below, describe in a concise manner Claimant's contribution to the final decision (*see* § 1802(i), § 1803(a) & D.98-04-059). (For each contribution, support with specific reference to the record.)

| Contribution   | Specific References to Claimant's<br>Presentations and to Decision                             | Showing<br>Accepted<br>by CPUC |
|--|--|--------------------------------|
| 1. ISO's Model for LCR   |  |                                |
| CEJA described the improbable nature of the ISO's reliance on the 1-in-10 peak load  | CEJA Ex. 1 (B. Powers Test.) at p. 32.   |                                |
| assumption with two transmission lines out of service. CEJA's experts described the  | CEJA Ex. 3 (J. May Test.) at p. 36-43.   |                                |
| assumptions that CAISO made and the impact<br>of those assumptions on the reserve margin and   | CEJA Ex. 5 (J. May Reply Test.) at pp. 9-10.   |                                |
| procurement. CEJA also highlighted that some<br>of the contingencies in the ISO's modeling   | CEJA Track I Opening Brief at pp. 6-14 (served 9/24/2012, filed 10/5/2012) (hereinafter "CEJA  |                                |
| have never occurred. The Commission  | Opening Brief") ("In the 2006 RA proceeding,   |                                |
| recognized the rare and usual circumstances assumed by ISO's modeling and decided to   | CAISO provided the Commission with three options that were all compliant with NERC and         |                                |
| reevaluate the ISO's input assumptions in its final decision.  | WECC year-ahead reserve requirements.")  |                                |
|  | CEJA Track I Reply Brief at pp. 3-5  |                                |
|  | (10/12/2012) (hereinafter "CEJA Reply Brief").   |                                |
|  | D.13-02-015 at p. 40 ("We recognize that the   |                                |
|  | ISO models use assumptions of rare and unusual circumstances, which may never occur We         |                                |
|  | will generally use the ISO methodology for   |                                |
|  | consideration of LCR needs, with the caveats   |                                |
|  | concerning inputs discussed herein.")  |                                |
|  | D. 13-02-015 at 130, Order Para. No. 1.  |                                |
| 2. OTC Retirement Schedule Assumptions.  | CEJA Ex. 1 (Powers Test.) at pp. 27-30.  |                                |
| CEJA described how the retirement dates for<br>OTC units could change, and CEJA discussed<br>how OTC units do not need to retire to comply | CEJA Ex. 3 (J. May Test.) at pp. 35-36.  |                                |
| with OTC policy. CEJA argued that future<br>delays of retirement dates should be taken into  | CEJA Opening Brief at pp. 25-27.   |                                |
| account. The Commission agreed that this information can be taken into account in the  | CEJA Reply Brief at p. 6.  |                                |
| future.  | CEJA's Reply Comments on the Proposed Decision at 3 (1/22/2013).                               |                                |
| CEJA also argued that SONGS should not be  |  |                                |
| considered part of Track I since other parties<br>did not have a chance to submit evidence   | D.13-02-015 at pp. 41-42 ("if any extensions to<br>OTC closure deadlines do occur, this can be |                                |
| related to it. The Commission agreed and did   | taken into account in future procurement   |                                |
| not consider the SONGS outage in this Track.   | proceedings or in review of a procurement<br>application by SCE.")                             |                                |

|  | D.13-02-015, Finding of Fact no. 10 at p. 120<br>(" no finding on this point is intended to<br>apply to SONGS.")  |
|--|---|
| 3. Transmission Assumptions<br>CEJA advocated for the Commission to assume<br>that a 600 MW transmission load transfer<br>resolves the most critical contingency for the<br>LA Basin. The Commission agreed to rely on<br>CAISO's transmission assumptions, which<br>assume that the transfer occurs.<br>CEJA also advocated for the Commission to<br>consider future potential transmission upgrades<br>as potential ways to reduce needs.<br>The Commission stated it may be able to<br>incorporate transmission upgrades and new<br>transmission capacity in future procurement<br>proceedings and in SCE's procurement<br>application. | <ul> <li>CEJA Ex. 3 (J. May Opening Test.) at pp. 32-35.</li> <li>CEJA Ex. 4 (CAISO Data Request Responses to CEJA) at pp. 2-3.</li> <li>CEJA Ex. 5 (J. May Reply Test.) at pp. 1-2.</li> <li>CEJA Opening Brief at pp. 27-31</li> <li>CEJA's Reply Comments on the Proposed Decision at pp. 2-3 (1/22/2013).</li> <li>CEJA's Comments on the Proposed Decision at pp. 5-6 (1/14/2013).</li> <li>D.13-02-015 at 44 ("It is also possible that certain transmission fixes may become feasible and cost-effective In future procurement proceedings and in SCE's procurement application, we may be able to incorporate new information about transmission upgrades and new transmission capacity.")</li> <li>13-02-015, Finding of Fact 9 at p. 120 ("It is reasonable to use the ISO's analysis of transmission for the purpose of LCR forecasting in this proceeding.")</li> <li>D. 13-02-015, Finding of Fact 41 at p. 125.</li> <li>D. 13-02-015, Conclusions of Law 14 &amp; 15 at p. 129.</li> </ul> |
| 4. Energy Efficiency Assumptions<br>CEJA recommended that the Commission rely<br>on the estimate of uncommitted EE that it and<br>the CEC sent to CAISO for inclusion into the<br>2011/2012 Transmission Plan. CEJA defended<br>its position with expert testimony and state<br>laws and policies, which require EE to<br>materialize. CEJA's recommendation is<br>mirrored by the Commission's finding that "[i]t<br>is reasonable to assume that 100% of the<br>CEC's forecast of uncommitted energy<br>efficiency to determine minimum and<br>maximum LCR procurement level for the LA<br>basin local area."                            | <ul> <li>CEJA Ex. 1 (B. Powers Test.) at pp. 4-10.</li> <li>CEJA Ex. 3 (J. May Opening Test.) at pp. 2, 7-<br/>15.</li> <li>CEJA Ex. 5 (J. May Reply Test.) at pp. 12-14.</li> <li>CEJA x CAISO Ex. 1 (CAISO Data Request<br/>Responses) at pp. 2-3.</li> <li>CEJA Opening Brief at pp. 14-17, 32-34.</li> <li>CEJA Reply Brief at pp. 9-10.</li> </ul>   |

|  | D.13-02-015 at pp. 47-49.  |
|--|--|
|  | D.13-02-015, Conclusions of Law 6 & 8, at pp. 127-28.  |
| 5. Demand Response Assumption  | CEJA Ex.1 (B. Powers) at pp. 10-14.  |
| CEJA, in its expert testimony and briefing,<br>contended CAISO should have included<br>demand response resources in its OTC model.   | CEJA Ex. 3 (J. May Opening Test.) at pp. 2, 18-<br>22.   |
| CEJA estimated that 1064 MW of demand<br>response should be considered in the LCR  | CEJA Opening Brief at pp. 17-20, 35.   |
| calculation. The Commission agreed that it is<br>likely by 2020 that the amount of available DR<br>will be close to CEJA's estimate. Further,  | CEJA x SCE Ex. 3 (SCE witness Silsbee's projections for DR).   |
| during cross examination, CEJA elicited<br>information from SCE witness Silsbee about<br>the current levels of demand response available   | CEJA's Comment on Track I Proposed Decision (1/14/2013) at pp. 2-5.  |
| in the Western LA Basin. The Commission  | D.13-02-015 at pp. 51-52.  |
| relied on this information and found that it was<br>reasonable to subtract the conservative estimate<br>of 200 MW of DR, from ISO's Trajectory<br>Scenario to reduce the LA Basin's LCR needs<br>by 2020.  | D. 13-02-015 at p. 54 (citing CEJA's cross-<br>examination of SCE's witness).  |
|  | D.13-02-015, Findings of Fact 18, at p. 121<br>("By 2020 it is likely that the actual amount of<br>demand response resources available to reduce<br>LCR needs in the LA Basin will be considerably<br>more than 100 MW, and possibly closer to DRA<br>and CEJA's estimates of around 1000 MW."). |
|  | D.13-02-015, Findings of Fact 28 and 31, at pp. 123-24.  |
|  | D.13-02-015 Conclusions of Law 7, at p. 128.   |
| 6. Combined Heat and Power Assumption  | CEJA Ex. 1 (B. Power Test.) at pp. 26-27.  |
| Based on numerous authorities including the<br>Governor's goals of an additional 6,500 MW of<br>CHP by 2030 and a CARB 2008 Scoping Plan   | CEJA Ex. 3 (J. May Opening Test.) at pp. 31-<br>32.  |
| adopting a CHP goal of an additional 4000  | CEJA Opening Brief at pp. 20-21.   |
| MW of installed CHP capacity by 2020, CEJA<br>argued that the CAISO should have considered<br>at least the CEC's forecast for CHP levels in its<br>model. The Commission agreed that more<br>uncommitted CHP will be available in the LA<br>basin than included in the ISO Trajectory<br>scenario and should have been included in the<br>ISO models. The Commission ultimately<br>decided it was reasonable to assume a larger<br>overall CHP assumption for the LCR. | D.13-02-015 at pp. 58-59   |
|  | D.13-02-015, Findings of Fact 19 & 20, at p. 122.  |
|  | D.13-02-015, Conclusions of Law 6, at p. 127<br>("It is reasonable to assume that 100% of the<br>CEC's forecast of uncommitted energy<br>efficiency and CHP levels will exist in order to<br>determine minimum and maximum LCR<br>procurement level for the LA basin local area.").              |

| 7. <u>Energy Storage Resources</u><br>CEJA asserted that both SCE and ISO<br>recognize the value of storage and the<br>increasing viability of storage technology.  | CEJA Ex. 1 (B. Powers Test.) at pp. 14-19.<br>CEJA Ex. 3 (J. May Opening Test.) at pp. 28-<br>30.   |
|---|---|
| CEJA cited a number of energy storage   | CEJA Opening Brief, at pp. 54-57.   |
| initiatives and projects underway to increase<br>energy storage capacity. Based on this, CEJA   | CEJA Reply Brief at p. 2.   |
| recommended a minimum level of 48 MW of<br>energy storage for the Western LA Basin. The<br>Commission agreed and required procurement   | CEJA's and Clean Coalitions' Response to<br>MegaWatt Storage's Motion (10/22/12) at pp. 2-<br>5.  |
| of at least 50 MW of energy storage resources<br>in the LA basin local area.  | CEJA's Reply Comments on the Proposed<br>Decision (1/22/2013) at p. 5.  |
|   | D.13-02-015, Finding of Fact 28, at p. 123.   |
|   | D.13-02-015 Order Para. 1(b) & 12, at p. 131.   |
|   | D.13-02-015, Conclusion of Law 10, at pp. 60, 62, 128.  |
| 8. Reliance on CAISO's Sensitivity Study<br>CEJA advocated that the Commission should<br>rely on CAISO's sensitivity study as the basis<br>to reduce the procurement authorization. CEJA<br>submitted detailed information about the<br>assumptions made in the sensitivity study. The<br>Commission agreed with CEJA's<br>recommendation and relied on CAISO's<br>sensitivity study. | <ul> <li>CEJA Ex. 3 (J. May Opening Test.) at pp. 32-<br/>35.</li> <li>CEJA x CAISO Ex. 1 (CAISO Data Request<br/>Responses).</li> <li>CEJA Opening Brief at pp. 31-34.</li> <li>D.13-02-015 at pp. 51, 59.</li> </ul>                |
| 9. Consistency with the Loading Order   | CEJA Ex. 1 (B. Powers Test.) at p. 32.  |
| CEJA advocated for any potential procurement  | CEJA Ex. 3 (J. May Opening Test.) at pp. 7-12   |
| to follow the loading order and prioritize  | CEJA Opening Brief at pp. 48-49.  |
| preferred resources. The Commission set forth<br>requirements for a specific value of preferred<br>resources to ensure that all needs were not met  | CEJA Comments on Workshop (10/9/12) at pp. 2-4.   |
| by conventional resources.  | CEJA Reply Brief at pp. 14-16   |
|   | D. 13-02-015 at p. 78 ("By assuming higher<br>levels for these resources than the ISO, we are<br>promoting the policies of the Loading Order,<br>and reducing the anticipated LCR need.")   |
| 10. Consideration of OTC Plants to Meet LCR   | CEJA Opening Brief at pp. 25-27.  |
| Needs<br>CEJA contended that because SWRCB OTC  | CEJA's Comments on the Proposed Decision at pp. 6-7.  |
| policy does not require any OTC plants to<br>actually retire, many OTC units will comply<br>and continue to operate under Track I or II.<br>CEJA argued that these facilities should be able<br>to bid into the RFO. The Commission agreed<br>that these facilities should be allowed to bid  | D.13-02-015, Finding of Fact 46, at p. 125<br>("OTC plants that comply with SWRCB Track<br>2 policy (90+% reduction in water usage)<br>without retiring are potential resources to meet<br>SCE's local procurement needs. Such plants |

| into future RFOs. The Commission concluded<br>that SCE may consider retrofits to existing<br>OTC plants and ordered that OTC plants in<br>compliance be considered as a new resource to<br>meet LCR need.   | <ul> <li>may provide SCE with additional capacity options and potentially lower costs to ratepayers.").</li> <li>D.13-02-015, Conclusion of Law 18 at p. 129.</li> <li>D. 13-02-015 at p. 89 (SCE may negotiate with existing OTC plant owners, either through an RFO or consistent with § 454.6, to finance retrofits that will reduce these plants' environmental harm sufficiently to be in compliance with SWRCB policy.")</li> <li>D. 13-02-015 at 136, Order Para. 13, at p. 136.</li> </ul>   |
|---|--|
| <u>11. Request for Review of RFO</u><br>CEJA urged Commission oversight in the RFO<br>process. The Commission is requiring that<br>SCE's procurement plan is subject to review by<br>the Energy Division to ensure consistency with<br>the loading order and also requiring a<br>subsequent Commission application. | <ul> <li>CEJA Opening Brief at pp. 41-43</li> <li>CEJA's Comments on the Proposed Decision at p. 11.</li> <li>CEJA's Comments on the Preliminary Scoping Memo at pp. 3-5.</li> <li>CEJA's Reply Comments on the Proposed Decision at 4; <i>see also</i> CEJA's Comments Related to the Loading Order (10/9/12) (detailing the proposed phased approach).</li> <li>D. 13-02-015 at p. 92-94 ("All contracts stemming from the LCR procurement authorization we establish today shall be brought to the Commission for approval in a single application for the LA Basin local area and a single application for the Big Creek/Ventura local area.").</li> </ul> |
| <u>12. Flexibility</u><br>CEJA argued that flexibility should not limit<br>potential procurement to meet LCR. The<br>Commission found that SCE did not need to<br>consider flexibility attributes in the<br>procurement process.  | <ul> <li>CEJA Opening Brief at pp. 51-52.</li> <li>CEJA's Reply Brief at pp. 15-16.</li> <li>CEJA's Comments on the Proposed Decision at p. 10.</li> <li>D. 13-02-015 at pp. 96-97 ("we will not require SCE to take into account any particular flexible attributes in its procurement process").</li> </ul>  |

### B. Duplication of Effort (§§ 1801.3(f) & 1802.5):

|    |  | Claimant   | CPUC Verified |
|----|--|--|---------------|
| a. | Was the Division of Ratepayer Advocates (DRA) a party to the proceeding?   | Yes  |               |
| b. | Were there other parties to the proceeding with positions similar to yours?  | Yes  |               |
|    | c. If so, provide name of other parties:   |  |               |
|    | The Division of Ratepayer Advocates and Sierra Club California were the primary<br>intervenors taking positions similar to CEJA. Other parties that took some similar<br>positions include the Natural Resources Defense Council, the Clean Coalition, TURN,<br>CEERT, and Vote Solar Initiative.  |  |               |
| d. | Describe how you coordinated with DRA and other parties to avoid duplication or<br>how your participation supplemented, complemented, or contributed to that of<br>another party:<br>During the proceeding, CEJA identified two other parties as having positions most<br>similar to its own: Sierra Club California and the Division of Ratepayer Advocates.<br>CEJA was in regular contact with these organizations to discuss positions and ensure<br>that duplication was avoided. Before submitting briefs and testimony in the case, CEJA<br>discussed proposed coverage with these parties to prevent duplication.  |  |               |
|    |  |  |               |
|    | When similar issues were covered, CEJA provided analysis, studies, and<br>which highlighted its own arguments from its perspective as an alliance of<br>environmental justice organizations. The result was complementary show<br>off each other toward common objectives. A review of the decisions revo<br>multiple parties worked on an issue, the results were cumulative, not dup<br>party participation was necessary in light of the many parties advocating<br>positions for nearly every issue.   | of<br>wings that built<br>eals that when<br>licative. Multi- |               |
|    | When coordinating with other parties, CEJA covered issues in its testimony that other similar parties did not. For example, CEJA was the only environmental public interest party that provided an extensive analysis of the CAISO's input assumptions. In particular, CEJA provided extensive testimony about the input and transmission assumptions that CAISO relied on in its OTC study. CEJA also conducted several rounds of discovery to obtain information about the assumptions used in the study. Finally, CEJA extensively researched and cited to available programs and legal authorities in its briefing to support its positions. As a result of these analyses, the Track I Final Decision cited CEJA's arguments, experts, and discovery throughout the decision. |  |               |

|    | # | Claimant   | CPUC | Comment  |
|----|---|--|------|--|
| 11 | 1 | CEJA<br>substantially<br>contributed to<br>the<br>development of<br>the record by<br>conducting<br>extensive<br>discovery that<br>was included in<br>the record. |      | CEJA conducted extensive discovery of SCE and CAISO that added to the<br>development of the record. For example, CEJA was able to obtain the power<br>flow values used for the sensitivity run. These values were ultimately relied on<br>by the Commission in its final decision. CEJA was also able to obtain<br>information about transmission assumptions and other information that the<br>Commission considered and evaluated in its decision. |

#### C. Additional Comments on Part II (use line reference # or letter as appropriate):

# PART III: REASONABLENESS OF REQUESTED COMPENSATION (to be completed by Claimant except where indicated)

### A. General Claim of Reasonableness (§§ 1801 & 1806):

| a. Concise explanation as to how the cost of Claimant's participation<br>bears a reasonable relationship with benefits realized through<br>participation (include references to record, where appropriate)  | CPUC Verified |
|---|---------------|
| CEJA is asking for \$180,536 in fees and costs for its advocacy in Track I of<br>the proceeding. CEJA participated in all major aspects of this Track of the<br>proceeding, including filing multiple briefs, comments, extensive testimony,<br>and conducting substantial discovery. CEJA also participated in workshops<br>and hearings, including cross-examining several witnesses. In general, CEJA<br>advocated for consideration of preferred resources and no unneeded<br>procurement in SCE's local area. CEJA's arguments were relied upon to<br>lower the total amount of procurement authority that had been requested by<br>CAISO and SCE.   |               |
| CEJA's participation in this proceeding directly contributed to the<br>Commission's decision to rely on demand response, incremental CHP, and<br>uncommitted energy efficiency in its local needs assessment. CAISO's and<br>SCE's recommendations would have excluded these resources and led to the<br>procurement of unneeded generation. CEJA also provided detailed<br>information about the value of energy storage, which the Commission relied<br>on when requiring procurement of energy storage. CEJA further requested<br>that existing OTC facilities be allowed to bid into RFOs, which could<br>potentially save ratepayers the significant expenditures of building new<br>facilities. |               |
| CEJA's extensive participation and detailed filings and testimony ensured that<br>the Commission had sufficient information to make a determination from the<br>record.   |               |
| CEJA's request for fees and costs is likely to be a very small portion of the   |               |

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| Reasonableness of Hours C  | laimed.   |  |
|--|---|--|
| including filing multiple briefs,<br>conducting substantial discover<br>hearings, including cross-exami<br>and filings include hundreds of<br>amount of time CEJA spent on   | spects of this Track of the proce<br>comments, extensive testimony,<br>7. CEJA also participated in wor-<br>ning several witnesses. CEJA's<br>bages of detailed substantive ana<br>he proceeding is reasonable con<br>n and contribution to a wide-ran  | and<br>rkshops and<br>testimony<br>ilysis. The<br>sidering   |
| of using staff with the appropria<br>they performed; tasks that were<br>handled by law students, while<br>handled by the more experience<br>reasonable. In addition, the hou<br>ultimately not addressed in the<br>students. The rates requested for | w and Justice Clinic (ELJC) we<br>te amount of work experience for<br>appropriate for law students wer<br>asks that required more experier<br>d attorneys or experts. This kept<br>is claimed do not include time sp<br>lecision and time spent mentorin<br>these tasks are at the low end o<br>orneys, experts and law students. | or the tasks<br>re mainly<br>nee were<br>fees<br>pent on issues<br>og or assisting<br>f the ranges |
| counsel Shana Lazerow to assur<br>duplication is avoided in their ti<br>took a lead role for CEJA. For<br>a lead role in research and writi<br>this case included a significant a<br>students or a junior attorney wa                                | ole in this case. She coordinated<br>e that internal duplication was a<br>mesheets. When possible, junio<br>example, Shanna Foley and law<br>ng briefing. The briefing CEJA<br>mount of research on many topis<br>not available, or when deadline<br>CEJA's attorneys took a lead role  | voided. All<br>r attorneys<br>students took<br>submitted in<br>cs. When<br>es would not            |
| spent on the case. At Mr. Powe   | gnificantly reduce the time that l<br>rs direction, ELJC prepared an in<br>ng. Shanna Foley took a lead ro  | nitial draft of  |
| -  | ed briefs and comments through<br>onsidering the wide range of top  |  |
| not requesting time for over 600<br>excessive. CEJA eliminated the<br>collaboration. CEJA and ELJC<br>For example, for meetings and I<br>time for the primary attorney w   | nt cuts in the timesheets. CEJA<br>hours that it found to be duplica<br>majority of hours used for inter<br>tid a detailed review to eliminate<br>earings, CEJA and ELJC are on<br>to appeared at the meeting or he<br>le attorneys for meetings or hear<br>ot request hours on time spent a                                      | ative or<br>nal<br>e duplication.<br>ly requesting<br>aring. CEJA<br>rings. In                     |

| c. Allocation of Hours by Issue   |   |
|---|---|
| CEJA divided its work into five different issues: (1) CAISO's OTC Study; (<br>Resource Assumptions; (3) RFO Process and Requirements; (4) Hearings,<br>Meetings, and Coordination; (5) General Work on Track. The detailed<br>breakdown for each issue is provided in the timesheets, which are attached to<br>request. |   |
| Issue 1: 27.33%<br>Issue 2: 45.37%<br>Issue 3: 16.17%<br>Issue 4: 6.01%<br>Issue 5: 5.12%   |   |
| As the breakdown demonstrates, CEJA spent the majority of its time workin<br>the substantive issues in the proceeding. It only spent around 11% of its tota<br>on hearings, meetings, coordination, and general work in the proceeding.   | - |

### B. Specific Claim:

|                                     |               |           | CLAIMED |   |          |                  | CPUC Aw          | ARD      |  |
|-------------------------------------|---------------|-----------|---------|---|----------|------------------|------------------|----------|--|
| ATTORNEY, EXPERT, AND ADVOCATE FEES |               |           |         |   |          |                  |                  |          |  |
| ltem                                | Year          | Hours     | Rate    | Basis for Rate*   | Total \$ | Hours            | Rate             | Total \$ |  |
| Shana<br>Lazerow                    | 2012          | 13.8      | \$360   | Resolution ALJ-<br>281, Comment 5                           | \$4,968  |                  |                  |          |  |
| Shana<br>Lazerow                    | 2013          | 12.6      | \$375   | Resolution ALJ-<br>281, Comment 5                           | \$4,725  |                  |                  |          |  |
| Deborah<br>Behles                   | 2012          | 178.5     | \$315   | Resolution ALJ-<br>281, Comment 6                           | \$56,227 |                  |                  |          |  |
| Deborah<br>Behles                   | 2013          | 26.85     | \$330   | Resolution ALJ-<br>281, Comment 6                           | \$8,860  |                  |                  |          |  |
| Shanna<br>Foley                     | 2012-<br>2013 | 160       | \$150   | Resolution ALJ-<br>267, Resolution<br>ALJ-281,<br>Comment 7 | \$24,000 |                  |                  |          |  |
|                                     |               |           | •       | Subtotal:   | \$98,780 |                  | Subtotal:        |          |  |
| Ι                                   | Describe l    | iere what | OTHER H | OTHER FEE<br>IOURLY FEES you a                              |          | -<br>(paralegal, | travel **, etc.) | ):       |  |
| Item                                | Year          | Hours     | Rate    | Basis for Rate*   | Total \$ | Hours            | Rate             | Total \$ |  |
| Clinical Law<br>Students            | 2012          | 353.25    | \$100   | D.11-03-025,<br>D.04-04-12,                                 | \$35,325 |                  |                  |          |  |

|            |                    | 2013                                |                   |            | Comment 1                                |          |                 |           |          |
|------------|--------------------|-------------------------------------|-------------------|------------|--|----------|-----------------|-----------|----------|
| Juli       | a May              | 2012                                | 159.8             | \$220      | Resolution ALJ-<br>281, Comment 4        | \$35,156 |                 |           |          |
| Juli       | a May              | 2013                                | 14.7              | \$230      | Resolution ALJ-<br>281, Comment 4        | \$3,381  |                 |           |          |
| Bill       | l Powers           | 2012                                | 8                 | \$250      | D. 09-09-024, see<br>Comment 3           | \$2,000  |                 |           |          |
|            |                    |                                     |                   |            | Subtotal:                                | \$75,862 |                 | Subtotal: |          |
|            |                    | ]                                   | INTERVI           | ENOR CO    | OMPENSATION CI                           | AIM PRE  | PARATIO         | N **      |          |
|            | Item               | Year                                | Hours             | Rate       | Basis for Rate*                          | Total \$ | Hours           | Rate      | Total \$ |
|            | nical Law<br>dents | 2013                                | 30                | \$100      | D.11-03-025,<br>D.04-04-12,<br>Comment 2 | \$3,000  |                 |           |          |
| Sha<br>Laz | ina<br>zerow       | 2013                                | 4.9               | \$185      | Comment 2                                | \$906.50 |                 |           |          |
| Det<br>Beh | oorah<br>iles      | 2013                                | 10.9              | \$165      | Comment 2                                | \$1,798  |                 |           |          |
|            |                    |                                     |                   |            | Subtotal:                                | \$5,705  |                 | Subtotal: |          |
|            |                    |                                     |                   |            | COSTS                                    |          |                 |           |          |
| # Item     |                    |                                     | Detail            |            |  | Amount   | Amount          |           |          |
| 1.         | Postage            | Costs                               | Costs to          | send testi | mony and briefs                          | \$15.60  |                 |           |          |
| 2          | Copying            | Costs 1,743 copies at 10 cents each |                   | cents each | \$174.30                                 |          |                 |           |          |
|            | •                  |                                     | Subtotal:         |            |  |          |                 | Subtotal: |          |
| S6803445   |                    |                                     | TOTAL REQUEST \$: |            |  |          | TOTAL AWARD \$: |           |          |

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\*If hourly rate based on CPUC decision, provide decision number; otherwise, attach rationale.

\*\*Travel and Reasonable Claim preparation time typically compensated at ½ of preparer's normal hourly rate.

## C. Attachments Documenting Specific Claim and Comments on Part III (Claimant completes; attachments not attached to final Decision):

| Attachment or<br>Comment # | Description/Comment   |
|----------------------------|---|
| Attachment 1               | Certificate of Service  |
| Attachment 2               | CEJA Timesheets   |
| Attachment 3               | CEJA Expenses   |
| Attachment 4               | Resumes of Deborah Behles, Shanna Foley, Shana Lazerow and Julia May  |
| Comment 1                  | A rate of \$100 per hour for ELJC law student work was approved in D.11-03-025 at the beginning of 2011. D.04-04-012 approved ELJC law students for a rate of \$90 per hour for |

|           | work done in 2003. The rate took into account that the ELJC law students received academic credits for the work they did. D.07-04-032 approved \$100 per hour for work a law student did in 2006 (the decision deemed it within the guidelines set forth in D.07-10-014). CEJA requests the same \$100 per hour rate for ELJC law students that was previously approved in D.11-03-025   |
|-----------|--|
| Comment 2 | D.04-04-012 cites the usual method of cutting in half the approved rate of an attorney for work<br>they do on applications for intervenor compensation because the task does not need the<br>expertise of an attorney. However, D.04-04-012 did award the full rate approved for ELJC law<br>students for time spent on the application for intervenor compensation. Accordingly, we have<br>cut the attorney rate for time spent on the application for intervenor compensation in half,<br>while leaving the law student rate the same. As these rates were approved in D.11-03-025,<br>CEJA request their approval in this proceeding as well.  |
| Comment 3 | Bill Powers is an engineering expert with an emphasis on energy related issues and has over 30 years of experience in the field. Bill Powers has provided expert testimony in nine separate matters involving energy efficiency and compliance with the loading order. Resolution ALJ-267 sets rates for experts with 13+ years of experience at \$155 to \$390 per hour. In D. 11-03-025, Bill Powers' approved rate was \$225 per hour; however, in D. 09-09-024, Bill Powers' approved rate was \$250 per hour. D. 09-09-024 was issued 3 years ago in 2009 for work completed during 2007-2008. We request a rate of \$250 per hour for Bill Powers because of his extensive expertise and experience with energy issues and because his years of experience doubles the minimum number of years needed to qualify for this rate range. In addition, Mr. Powers was able to use information that he generated for another related project, which saved significant time. |
| Comment 4 | Julia May is Senior Staff Scientist at Communities for a Better Environment. For more than<br>twenty years, Ms. May has been providing technical advice to community members concerning<br>environmental and energy-related matters. Ms. May holds a BS in Electrical Engineering from<br>University of Michigan, Ann Arbor (1981). Based on Resolution ALJ-281, her requested rate<br>of \$220 is the lowest reasonable rate for an expert of her experience. Her 2013 rate of \$230<br>reflects the percentage rate increase authorized in Resolutions ALJ-267 and ALJ-281. Ms. May<br>provided invaluable testimony concerning many of the technical questions presented in Track<br>I, which enabled CEJA to make the significant contribution it made.  |
| Comment 5 | Shana Lazerow Ms. Lazerow is Chief Litigation Attorney at CBE. She graduated from law school at the University of California, Los Angeles in 1997. She has practiced environmental and administrative law for more than 13 years, and has held the position of Chief of Litigation at CBE since 2005. Based on Resolution ALJ-281, her requested rate of \$360 is the lowest reasonable rate for an attorney of her experience. ALJ-267 authorizes a 5% annual increase, which is reflected in the increase in Ms. Lazerow's 2013 rate of \$375.   |
| Comment 6 | Deborah Behles has been practicing environmental law since 2001 and has been practicing at<br>the ELJC since 2008. In D. 11-03-025, Deborah Behles's approved rate was \$280 per hour.<br>The lowest rate for attorneys with 8-10 experience in 2010 and 2011 was \$300. <i>See</i> Resolution<br>ALJ-247, Resolution ALJ-267. Deborah Behles's requested rate for 2010-2011 was \$300 for<br>work on R.10-05-006, which is the lowest end of the range for attorneys of her experience.<br>Resolutions ALJ-267 and ALJ-281 authorize up to two annual 5% step increases for<br>individuals within each experience level. By applying one step increase to her 2012 and 2013<br>rate, Deborah Behles's requested rate for 2012 is \$315 and her requested rate for 2013 is \$330.<br>These rates reflect the lowest rate for her experience with the authorized step adjustment.   |
| Comment 7 | Shanna Foley has been a practicing attorney since December 2010. The lowest rate for an attorney with 1-2 years of experience for 2011, 2012 and 2013 is \$150 per hour. <i>See</i> Resolution ALJ-267, Resolution ALJ 281. The requested rate for work Ms. Foley performed in the proceeding is \$150, the lowest end of the authorized range.  |

### D. CPUC Disallowances, Adjustments, and Comments (CPUC completes):

| _ |    | # | Reason |
|---|----|---|--------|
|   | 19 |   |        |
|   |    |   |        |

#### PART IV: OPPOSITIONS AND COMMENTS

Within 30 days after service of this Claim, Commission Staff or any other party may file a response to the Claim (see § 1804(c))

(CPUC completes the remainder of this form)

| A. Opposition: Did any party oppose the Claim? |  |
|--|--|
| If so:   |  |

| tion | CPUC Disposition | Reason for Opposition | Party Reason for Opposition |  |
|------|------------------|-----------------------|-----------------------------|--|
|      |                  |                       |                             |  |
|      |                  |                       |                             |  |
| _    |                  |                       |                             |  |

| B. Comment Period: Was the 30-day comment period waived (see |  |
|--|--|
| Rule 14.6(2)(6))?  |  |
|  |  |

If not:

| Party | Comment | <b>CPUC</b> Disposition |
|-------|---------|-------------------------|
|       |         |                         |
|       |         |                         |

### FINDINGS OF FACT

- 1. Claimant [has/has not] made a substantial contribution to Decision (D.)
- 2. The requested hourly rates for Claimant's representatives [,as adjusted herein,] are comparable to market rates paid to experts and advocates having comparable training and experience and offering similar services.
- 3. The claimed costs and expenses [,as adjusted herein,] are reasonable and commensurate with the work performed.
- 4. The total of reasonable contribution is \$\_\_\_\_\_.

### **CONCLUSION OF LAW**

1. The Claim, with any adjustment set forth above, [satisfies/fails to satisfy] all requirements of Public Utilities Code §§ 1801-1812.

### <u>ORDER</u>

- 1. Claimant is awarded \$\_\_\_\_\_.
- 2. Within 30 days of the effective date of this decision, \_\_\_\_\_\_ shall pay Claimant the total award. [for multiple utilities: "Within 30 days of the effective date of this decision, ^, ^, and ^ shall pay Claimant their respective shares of the award, based on their California-jurisdictional [industry type, for example, electric] revenues for the ^ calendar year, to reflect the year in which the proceeding was primarily litigated."] Payment of the award shall include interest at the rate earned on prime, three-month commercial paper as reported in Federal Reserve Statistical Release H.15, beginning \_\_\_\_\_, 200\_\_, the 75<sup>th</sup> day after the filing of Claimant's request, and continuing until full payment is made.
- 3. The comment period for today's decision [is/is not] waived.
- 4. This decision is effective today.

Dated \_\_\_\_\_, at San Francisco, California.