

From: Peterman, Carla J.  
Sent: 5/7/2013 9:28:20 AM  
To: Clifford Swint (Cliff@mfr.com)  
Cc: Cherry, Brian K (/O=PG&E/OU=CORPORATE/CN=RECIPIENTS/CN=BKC7);  
Fitch, Julie A. (julie.fitch@cpuc.ca.gov)  
Bcc:  
Subject: RE: Introduction

Cliff and Brian,

Thank you for the introduction and the offer to connect me further with the investment community. Lets connect later this year once I have more firm plans for a trip back east. I anticipate doing some travel towards the end of the year and happy to discuss a visit to New York.

I am including my chief of staff Julie Fitch for future follow-up.

Take care,

Carla

**Commissioner Carla Peterman**  
California Public Utilities Commission  
505 Van Ness Ave  
San Francisco CA 94102  
Main office: 415-703-4435

**From:** Clifford Swint [Cliff@mfr.com]  
**Sent:** Friday, May 03, 2013 9:18 AM  
**To:** Peterman, Carla J.  
**Cc:** Cherry, Brian K  
**Subject:** RE: Introduction

Good morning Commissioner Peterman,

First let me say that I am humbled by that very warm introduction afforded me by Brian but will strive to deliver on his words.

Last February I had the pleasure of organizing an event at the Harvard Club of New York where Commissioner Sandoval was able to meet Wall Street investors, banks executives and ratings agency analysts who, together, are key to funding the operating and capital expansion needs of California's utilities.

Please let me know a time next week when we can speak and I can walk you through materials describing the agenda, format and attendees of the Sandoval event and get your ideas on what may be of interest to you. Also please feel free to speak to Commissioner Sandoval directly for her views of the event as well as her experience in working with me.

I look forward to working with you and your staff.

Best regards,

Cliff

**Clifford C. Swint, CFA**  
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**Capital Markets Group**

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**From:** Cherry, Brian K [mailto:BKC7@pge.com]  
**Sent:** Thursday, May 02, 2013 8:03 PM  
**To:** Clifford Swint; carla.peterman@cpuc.ca.gov  
**Subject:** Introduction

Carla – I'd like to introduce you to Clifford Swint, Executive VP, Capital Markets for MFR Securities. MFR is a WMDBE investment banking and securities trading firm that PG&E and other utilities in California have used in our debt issuances on Wall Street. Cliff hosted an introductory event for Commissioner Sandoval to meet with the buy and sell side analysts in New York City a few years ago. It was an educational event that was well attended by the industry and I thought you might be interested in hearing about it given that the Wall Street analysts are going to be in your life for the next 6 years. Plus Cliff is a terrific guy.

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