

BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Order Instituting Rulemaking to Continue Implementation and
Administration of California Renewables Portfolio Standard
Program.

Rulemaking 11-05-005
(Filed May 5, 2011)

Direct Energy Business, LLC

2012 Preliminary Annual 33% RPS Compliance Report

Reporting progress towards meeting the procurement quantity requirements for California's RPS
Program

August 1, 2013

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RPS Compliance Report: Summary

2011 Annual Summary	
1-Aug-13	

RPS Summary Report	2011	
	MWh	% of Total Retail Sales
Total Retail Sales	3,562,040	n/a
Annual RPS Target	712,408	20.0%
Total RPS Eligible RECs Procured	537,675	15.1%
Total RPS Eligible RECs Retired for Compliance	804,245	22.6%

RPS Procurement Resource Mix

RPS Procurement	2011	
	MWh	% of Total RPS Procurement
Biomass	0	0.0%
Digester Gas	32,990	6.1%
Biodiesel	0	0.0%
Landfill Gas	35,336	6.6%
Muni Solid Waste	0	0.0%
<i>Biopower Subtotal</i>	68,326	12.7%
Geothermal	16,100	3.0%
Small Hydro	169,170	31.5%
Conduit Hydro	0	0.0%
Solar PV	0	0.0%
Solar Thermal	0	0.0%
Wind	284,079	52.8%
Ocean/Tidal	0	0.0%
Fuel Cells	0	0.0%
Total RPS Eligible Procurement	537,675	

Claimed Portfolio Content Category of RPS Procurement

Portfolio Content Category	2011	
	MWh	% of Total RPS Procurement
RECs Procured from PCC 0 Eligible Resources	23,000	4.3%
RECs Procured from PCC 1 Eligible Resources	253,596	47.2%
RECs Procured from PCC 2 Eligible Resources	0	0.0%
RECs Procured from PCC 3 Eligible Resources	261,079	48.6%
	537,675	

RPS Compliance Report: Summary

2012 Annual Summary	
1-Aug-13	

RPS Summary Report	2012	
	MWh	% of Total Retail Sales
Total Retail Sales		n/a
Annual RPS Target		20.0%
Total RPS Eligible RECs Procured	919,619	
Total RPS Eligible RECs Retired for Compliance	0	0.0%

RPS Procurement Resource Mix

RPS Procurement	2012	
	MWh	% of Total RPS Procurement
Biomass	25,477	2.8%
Digester Gas	0	0.0%
Biodiesel	0	0.0%
Landfill Gas	22,831	2.5%
Muni Solid Waste	0	0.0%
<i>Biopower Subtotal</i>	48,308	5.3%
Geothermal	268,920	29.2%
Small Hydro	158,129	17.2%
Conduit Hydro	23,000	2.5%
Solar PV	0	0.0%
Solar Thermal	0	0.0%
Wind	421,262	45.8%
Ocean/Tidal	0	0.0%
Fuel Cells	0	0.0%
Total RPS Eligible Procurement	919,619	

Claimed Portfolio Content Category of RPS Procurement

Portfolio Content Category	2012	
	MWh	% of Total RPS Procurement
RECs Procured from PCC 0 Eligible Resources	0	0.0%
RECs Procured from PCC 1 Eligible Resources	502,049	54.6%
RECs Procured from PCC 2 Eligible Resources	125,000	13.6%
RECs Procured from PCC 3 Eligible Resources	292,570	31.8%
	919,619	

RPS Compliance Report: Summary

2011-13 Compliance Period	
1-Aug-13	

RPS Summary Report	2011	2012	2013	CP TOTAL	% of Total Retail Sales
	MWh	MWh	MWh	MWh	
Total Retail Sales	3,562,040				n/a
Annual RPS Targets and Compliance Period Procurement Requirement	712,408				20.0%
Total RPS Eligible RECs Procured	537,675	919,619	704,000	2,161,294	
Total RPS Eligible RECs Retired	804,245	0	0	804,245	

This amount represents total procurement quantity requirement

This amount represents quantity of RECs retired for this compliance period

RPS Procurement Resource Mix

RPS Procurement	2011	2012	2013	CP TOTAL	% of Total RPS Procurement
	MWh	MWh	MWh	MWh	
Biomass	0	25,477	0	25,477	1.3%
Digester Gas	32,990	0	0	32,990	1.7%
Biodiesel	0	0	0	0	0.0%
Landfill Gas	35,336	22,831	24,677	82,844	4.2%
Muni Solid Waste	0	0	0	0	0.0%
Biopower Subtotal	68,326	48,308	24,677	141,311	7.2%
Geothermal	16,100	268,920	219,000	504,020	25.5%
Small Hydro	169,170	158,129	0	327,299	16.6%
Conduit Hydro	0	23,000	0	23,000	1.2%
Solar PV	0	0	0	0	0.0%
Solar Thermal	0	0	0	0	0.0%
Wind	284,079	421,262	275,323	980,664	49.6%
Ocean/Tidal	0	0	0	0	0.0%
Fuel Cells	0	0	0	0	0.0%
Total RPS Eligible Procurement	537,675	919,619	519,000	1,976,294	

Claimed Portfolio Content Category of RPS Procurement

Portfolio Content Category	2011	2012	2013	CP TOTAL	% of Total RPS Procurement
	MWh	MWh	MWh	MWh	
RECs Procured from PCC 0 Eligible Resources	23,000	0	0	23,000	1.1%
RECs Procured from PCC 1 Eligible Resources	253,596	502,049	309,000	1,064,645	49.3%
RECs Procured from PCC 2 Eligible Resources	0	125,000	395,000	520,000	24.1%
RECs Procured from PCC 3 Eligible Resources	261,079	292,570	0	553,649	25.6%
Total	537,675	919,619	704,000	2,161,294	

Data Reported by:
 Direct Energy Business, LLC
 August 1, 2013

Compliance Summary and Charts

	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Retail Sales (MWh)	3,562,040						0	0	0	0
Annual % Target	20.0%	20.0%	20.0%	21.7%	23.3%	25.0%	27.0%	29.0%	31.0%	33.0%
Annual RPS Target	712,408						0	0	0	0
Procurement Quantity Requirement								0		

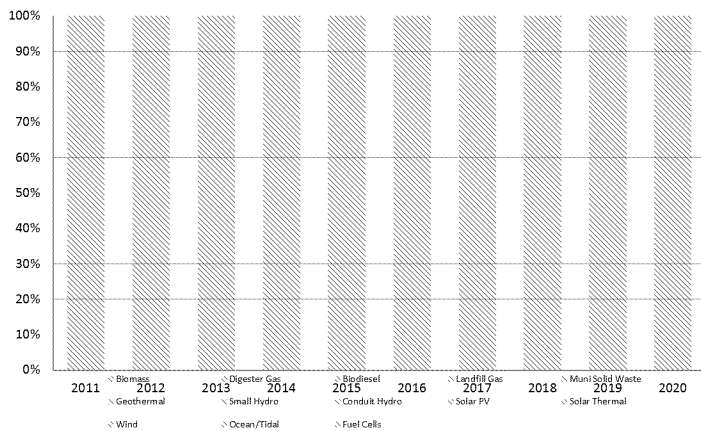
Resource Mix

	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Total RPS Procurement	537,675	919,619	519,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000
Biomass	0	25,477	0	0	0	0	0	0	0	0
Digester Gas	32,990	0	0	0	0	0	0	0	0	0
Biodiesel	0	0	0	0	0	0	0	0	0	0
Landfill Gas	35,336	22,831	24,677	30,000	30,000	30,000	30,000	30,000	30,000	30,000
Muni Solid Waste	0	0	0	0	0	0	0	0	0	0
Biopower Subtotal	68,326	48,308	24,677	30,000	30,000	30,000	30,000	30,000	30,000	30,000
Geothermal	16,100	268,920	219,000	0	0	0	0	0	0	0
Small Hydro	169,170	158,129	0	0	0	0	0	0	0	0
Conduit Hydro	0	23,000	0	0	0	0	0	0	0	0
Solar PV	0	0	0	0	0	0	0	0	0	0
Solar Thermal	0	0	0	0	0	0	0	0	0	0
Wind	284,079	421,262	275,323	0	0	0	0	0	0	0
Ocean/Tidal	0	0	0	0	0	0	0	0	0	0
Fuel Cells	0	0	0	0	0	0	0	0	0	0

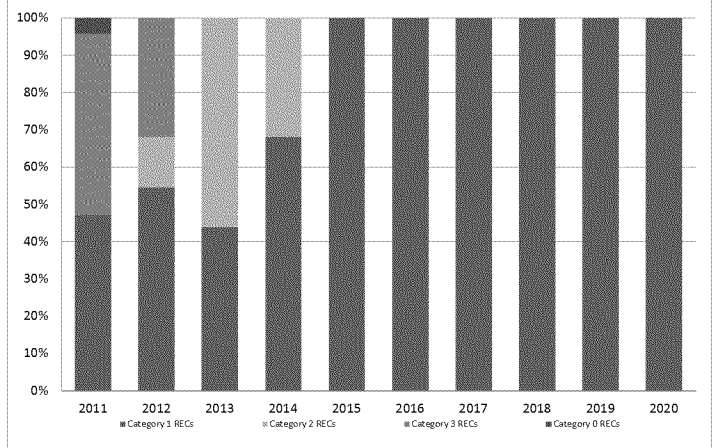
Portfolio Content Category Mix

	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Category 0 RECs	23,000	0	0	0	0	0	0	0	0	0
Category 1 RECs	253,596	502,049	309,000	150,000	30,000	30,000	30,000	30,000	30,000	30,000
Category 2 RECs	0	125,000	395,000	70,000	0	0	0	0	0	0
Category 3 RECs	261,079	292,570	0	0	0	0	0	0	0	0

Resource Mix Per Year



Portfolio Content Category Mix of RPS Procurement



	A	B	C	D	E	F	G	H	I	J	K	L	M
1													
2		Annual RPS Compliance Report: Compliance Status											
3		Data Reported by:											
4		Direct Energy Business, LLC											
5		August 1, 2013											
6													
7													
8													
9		Information from the 20% RPS Compliance Program (MWh)											
10													Actual
11		Total Retail Sales in 2010											2,747,200
12		Net Surplus/(Deficit) from 20% RPS Closing Report											126,022
13													
14													
15													
16													
17		Annual RPS Procurement and Percentages (MWh)											
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Item	Amount	Account
1	100.00	General Fund
2	200.00	Special Fund
3	300.00	Capital Projects
4	400.00	Debt Service
5	500.00	Grants
6	600.00	Interfund
7	700.00	Other
8	800.00	Reserves
9	900.00	Unassigned
10	1000.00	Total

Item 1: [Illegible text]

Item 2: [Illegible text]

Item 3: [Illegible text]

Item 4: [Illegible text]

Item 5: [Illegible text]

Item 6: [Illegible text]

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Item 40: [Illegible text]

Direct Energy Business, LLC
2012 Preliminary Annual 33% RPS Compliance Report
Narrative Reporting Requirements

Pursuant to Public Utilities Code Section 399.13(a)(3), each retail seller must include the following narrative information in each Annual Compliance Report and in the final report for each compliance period:

1. *The status of any necessary siting and permitting approvals from federal, state, and local agencies for those eligible renewable energy resources procured by the retail seller that have not yet attained their commercial on-line dates.*

Response: Direct Energy does not have any RPS supply contracts from facilities that have not yet attained their commercial on-line dates.

2. *Identification of all procurement from eligible renewable energy resources located outside California and within the WECC during the period covered by the report. Retail sellers should submit a list of facilities in a matrix format including: facility name, location (City and State), and the amount of renewable energy credits (RECs) procured during the year covered by the report (i.e. 2012 for this report). If a retail seller did not procure any RECs from out of state facilities, please include a brief summary on whether the retail seller intends to procure RECs from facilities located out of state in the future, and if so where these facilities may be located.*

Contract Detail	City	State	2011	2012
Bear Mountain Wind Park	Dawson Creek	BC	25000	25000
Burley Butte Wind Park	Burley	ID	0	5217
Camp Reed Wind Park	Hagerman	ID	0	8325
Campbell Hill	Glenrock	WY	0	2286
Central Oregon Siphon Power Project	Bend	OR	0	25000
Copper Dam Plant	Hood River	OR	0	13819
Dokie Wind	Chetwynd	BC	35565	93092
Dunlap I	Medicine Bow	WY	0	11621
Elkhorn Valley Wind Farm	Union	OR	44000	0
Glenrock I	Glenrock	WY	0	315
Golden Valley Wind Park	Burley	ID	0	22690
Harvest Wind	Roosevelt	WA	24724	14509
High Plains	Rock River	WY	0	13642
Hopkins Ridge	Dayton	WA	7000	0
Kittitas Valley Wind Farm	Cle Elum	WA	50000	0
Leaning Juniper	Arlington	OR	0	1000
Longview Pulp Mill	Longview	WA	0	25477
Marengo	Dayton	WA	0	2281
McFadden Ridge	Rock River	WY	0	3588
Milner Dam Wind Park	Burley	ID	0	14742
Oregon Trail Wind Park	Echo	OR	0	11004
Payne's Ferry Wind Park	Hagerman	ID	0	24696
Peters Drive Dam	Hood River	OR	0	9181

Pilgrim Stage Station Wind Park	Hagerman	ID	0	8281
Rolling Hills	Glenrock	WY	0	6267
Roosevelt Biogas	Roosevelt	WA	0	22831
Salmon Falls Wind Park	Hagerman	ID	0	18220
Thousand Springs Wind Park	Hagerman	ID	0	15225
Tuana Gulch Wind Park	Hagerman	ID	0	13099
Vansycle II	Athena	OR	25000	0
Wheat Field	Arlington	OR	0	94568
White Creek Wind	Roosevelt	WA	72790	0
Yahoo Creek Wind Park	Hagerman	ID	0	11594
		TOTAL	284,079	517,570

3. *Identification of all procurement of unbundled RECs during the period covered by the report. Retail sellers should submit a list of facilities in a matrix format including: facility name, location (City and State), and the amount of unbundled RECs procured during the year covered by the report (i.e. 2012 for this report). If a retail seller did not procure any unbundled RECs, please include a brief summary on whether the retail seller intends to procure unbundled RECs in the near future, and if so where these RECs originate.*

Contract Detail	City	State	2011	2012
Bear Mountain Wind Park	Dawson Creek	BC	25000	25000
Burley Butte Wind Park	Burley	ID	0	5217
Camp Reed Wind Park	Hagerman	ID	0	8325
Campbell Hill	Glenrock	WY	0	2286
Central Oregon Siphon Power Project	Bend	OR	0	25000
Copper Dam Plant	Hood River	OR	0	13819
Dokie Wind	Chetwynd	BC	35565	0
Dunlap I	Medicine Bow	WY	0	11621
Elkhorn Valley Wind Farm	Union	OR	44000	0
Glenrock I	Glenrock	WY	0	315
Golden Valley Wind Park	Burley	ID	0	22690
Harvest Wind	Roosevelt	WA	24724	0
High Plains	Rock River	WY	0	13642
Hopkins Ridge	Dayton	WA	7000	0
Kittitas Valley Wind Farm	Cle Elum	WA	50000	0
Leaning Juniper	Arlington	OR	0	1000
Longview Pulp Mill	Longview	WA	0	25477
Marengo	Dayton	WA	0	2281
McFadden Ridge	Rock River	WY	0	3588
Milner Dam Wind Park	Burley	ID	0	14742
Oregon Trail Wind Park	Echo	OR	0	11004
Payne's Ferry Wind Park	Hagerman	ID	0	24696
Peters Drive Dam	Hood River	OR	0	9181

Pilgrim Stage Station Wind Park	Hagerman	ID	0	8281
Rolling Hills	Glenrock	WY	0	6267
Salmon Falls Wind Park	Hagerman	ID	0	18220
Thousand Springs Wind Park	Hagerman	ID	0	15225
Tuana Gulch Wind Park	Hagerman	ID	0	13099
Vansycle II	Athena	OR	25000	0
White Creek Wind	Roosevelt	WA	72790	0
Yahoo Creek Wind Park	Hagerman	ID	0	11594
TOTAL			284,079	292,570

4. *Recommendations to remove impediments to making progress toward achieving the renewable energy resources procurement requirements established by statute and implemented by Commission decision.*

Response: Nothing at this time.

Each retail seller that is also an electrical corporation must, in addition to the four items set forth above, include the following narrative information in each annual compliance report and in the final report for each compliance period:

1. *The current status and progress made during the prior year toward construction of, and upgrades to, transmission and distribution facilities and other electrical system components owned by that electrical corporation to interconnect eligible renewable energy resources and to supply the electricity generated by those resources to load. The narrative must specifically include, but is not limited to, the status of planning, siting, and permitting of transmission facilities by federal, state, and local agencies. If a retail seller does not currently own any transmission lines, describe any plans to own transmission lines in the future, if at all.*

Response: Direct Energy is not an electrical corporation.

OFFICER VERIFICATION FORM

I am an officer of the reporting corporation herein, and am authorized to make this verification on its behalf. The statements in the foregoing document are true of my own knowledge, except as to matters which are therein stated on information or belief, and as to those matters I believe them to be true. The spreadsheet format used to file this compliance report has not [has] been altered from the version issued or approved by Energy Division [, with the alterations described in this report].

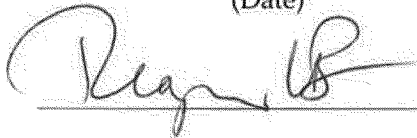
I declare under penalty of perjury that the foregoing is true and correct.

Executed on 30 July 2013 at Houston, TEXAS

(Date)

(Name of city)

(State)



ASSISTANT SECRETARY

(Signature and Title of Corporate Officer)