



**Public Utilities Commission**  
STATE OF CALIFORNIA

Citation Date:  
Citation #: yy--001  
Operator ID#: \_\_

**CITATION FOR VIOLATION(S)  
ISSUED PURSUANT TO RESOLUTION ALJ-274  
OF GENERAL ORDER 112-E**

**Gas Corporation (Operator):** Gas Utility Name  
*To Which Citation Is Issued*

**RESPONDENT:**

Name  
Vice President, Gas Operations  
Gas Utility Name  
Mailing Address  
City, CA Zip

**CITATION:**

Operator is hereby cited at a Level # resulting in a financial penalty of \$\$.

**VIOLATIONS:**

Operator is cited with having violated General Order 112-E, as described below. These violations occurred during the period YYYY through YYYY. [List all code or rule violations being cited; where a rule is cited, include a reference to the code, if applicable]

1. Title 49 CFR § 000: "Enter Code description here"
2. Title 49 CFR § 000: "Enter Code description here"
3. GO 112-E, Rule \_\_\_\_: "Enter title of rule here"



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### **ENCLOSURES:**

The following enclosures were used to establish the findings of fact: [select those that apply]

1. *Enclosure 1 (e.g. SED Investigation Report; also list any other documents the SED Investigation Report relies upon)*
2. *Enclosure 2 (e.g. self-reported violation by a utility)*
3. *Enclosure 3 (e.g. utility root cause analysis)*
4. *Enclosure 4 (e.g. utility corrective action plan)*

### **STATEMENT OF FACTS:**

The above violation(s) are based on one or more of the following:

5. *Fact # 1*
6. *Fact # 2*



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### SED CITATION ANALYSIS

Element of Sentencing Schedule	Staff Finding
Number of violation (s) and duration of violation (s) since inception	<i>Number of individual code violations considered in this citation and corresponding number of days</i>
Maximum Fine per P.U. Code § 2107 and P.U. Code § 2108	<i># of violations &amp; days multiplied by \$50,000</i>
Severity of the offense: overall level of risk of violation(s)	<i>Risk Level #</i>
The conduct of the utility before, during, and after the offense	<i>Cooperation with staff etc.</i>
Previous occurrence of similar violations by the utility	<i>Previous occurrences, if known</i>
Self-reporting of the violation	<i>Yes/No or N/A</i>
Indication of the violation (s) being willful	<i>Yes/No or N/A</i>
Actions taken by the utility to address the violation	<i>Corrective actions; root cause analysis; shareholder money invested</i>
Associated safety related condition	<i>Yes/No or N/A</i>
Financial resources of the utility	<i>Number of customers, annual revenue, etc.]</i>
The totality of the circumstances	<i>Mitigating/aggravating factors</i>
The amount of the fine in the context of other SED citations or Commission decisions	<i>Relevant historical fines</i>
Other factors deemed relevant by SED	<i>Mitigating/aggravating factors</i>
<b>Resultant Citation Taking All Of These Factors Into Account</b>	<b>\$</b>



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### **RESPONSE:**

Respondent is hereby called upon to provide a response to this Citation by: **5:00 PM (PST) on Month Day, Year.**\_

By way of such response Respondent, **within 10 calendar days**, may either:

- (1) Correct the violations as soon as feasible, with any immediate safety hazard requiring immediate correction, and/or submit a Compliance Plan to the Director of SED for correcting those violations requiring more than 10 days to correct, **and** pay a fine pursuant to Pub. Util. Code § 2107. (Submit a check payable to California Public Utilities Commission using the attached *Citation Payment Form*. Upon payment, the fine will be deposited in the State Treasury to the credit of the General Fund and this citation will become final); **or**
- (2) Confirm that the violation(s) noted in this Citation have been corrected and/or otherwise do not present an on-going safety hazard to the Operator's employees and the general public, and /or submit a Compliance Plan to the Director of SED for correcting those violations requiring more than 10 days to correct, **and** contest this citation by completing and submitting a *Notice of Appeal Form*. Please see the attached document, "Directions For Submitting An Appeal To A Citation Issued Pursuant To Resolution ALJ-274" for information on the appeals process and the attached "Notice of Appeal Of Citation Form."

Respondent's failure to provide a response, as noted above, within 10 calendar days from the date the citation is served, will place Respondent in default of the citation and will result in forfeiture of Respondent's rights to appeal the citation. A late payment will be subject to a penalty of 10% per year, compounded daily and to be assessed beginning the calendar day following the payment-due date. The Commission may take additional action to recover any unpaid fine and ensure compliance with applicable statutes and Commission orders.

### **NOTIFICATION TO PUBLIC AGENCIES:**

As soon as is reasonable and necessary, and no later than 10 calendar days after service of the citation is effected, Respondent must provide a notification to the City Manager or similar local agency authority in the city and county where a citation is issued. Within 10 days of providing such notification, Respondent must serve an affidavit to the Director of SED, at the mail or e-mail address noted below, attesting that the local authorities have been notified; the date(s) for when notification was provided; and the name(s) and contact information for each local authority so notified.



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The CPUC expects Operators to take actions, as soon as feasible, to correct, mitigate, or otherwise make safe all violations noted on the Citation regardless of the Operator's intentions to accept or appeal the violation(s) noted in the Citation.

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Name

Director

Safety and Enforcement Division

California Public Utilities Commission

505 Van Ness Avenue

San Francisco, CA 94102

[emory.hagan@cpuc.ca.gov](mailto:emory.hagan@cpuc.ca.gov)



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**CITATION PAYMENT FORM**

I (we) \_\_\_\_\_ hereby agree to comply with this citation dated \_\_\_\_\_, and have corrected/mitigated the violation(s) noted in the citation on \_\_\_\_\_ and no later than \_\_\_\_\_, all work to make permanent corrections to any mitigated, or otherwise remaining concerns related to the violation(s) will be completed as noted in the Compliance Plan we have submitted to the Director of SED and, herewith, pay a fine in the amount of \$ \_\_\_\_\_ as included in the citation.

Signature of Gas Corporation Treasurer,  
Chief Financial Officer, or President/CEO

\_\_\_\_\_  
(Signature) (Date)

\_\_\_\_\_

(Printed Name and Title)

Payment with a check must be made payable to the **California Public Utilities Commission** and sent to:

**California Public Utilities Commission**  
**Attn: Fiscal Office**  
**505 Van Ness Avenue**  
**San Francisco, CA 94102-3298**

NOTE: A copy of the completed Citation Payment Form must be sent to the Director of the Safety and Enforcement Division, via email or regular mail, to the addresses provided on the Citation.



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### DIRECTIONS FOR SUBMITTING AN APPEAL TO A CITATION ISSUED PURSUANT TO RESOLUTION ALJ-274

Within 10 calendar days of the Respondent being served with a **CITATION FOR VIOLATION(S) ISSUED PURSUANT TO RESOLUTION ALJ-274**, Respondent may appeal the citation. Beyond 10 calendar days of being served with the citation, Respondent is in default and, as a result, is considered as having forfeited rights to appeal the citation. The Respondent must still correct the violation(s) as feasible unless, within 10 calendar days from the date of service of the citation, the Respondent submits to the Director of SED, a Compliance Plan that provides a detailed description of when the violation(s) will be corrected, the methodology to be utilized, and a statement, supported by an affidavit from the Gas Corporation's Chief Executive Officer, that in the Respondent's best judgment, the time necessary to correct the violation(s) will not affect the integrity of the operating system or unduly endanger the public.

To appeal the citation, Respondent must complete and submit the below *Notice of Appeal Form* within 10 calendar days of the date on which the Respondent is served the Citation. The Respondent's appeal must explain with specificity all grounds for the appeal of the citation. The completed *Notice of Appeal Form*, along with copies of any materials the Respondent wants to provide in support of its appeal, must be sent to:

**California Public Utilities Commission**  
**505 Van Ness Ave, Room 2205**  
**San Francisco, CA 94102**  
**Attn: Director, Safety and Enforcement Division**

Respondent must also serve a copy of the *Notice of Appeal Form*, along with copies of any supporting materials, at the address noted above, on the Commission's Executive Director, Chief Administrative Law Judge, General Counsel, and Director of the Division of Ratepayer Advocates.

**NOTE:** Submission of a *Notice of Appeal Form* in no way diminishes Respondent's responsibility for correcting the violation described in the citation, or otherwise ensuring the safety of facilities or conditions that underlie the violations noted in the Citation.

After SED receives the Respondent's *Notice of Appeal Form*, a hearing will be convened before an Administrative Law Judge. At least ten business days before the date of the hearing, the Respondent will be notified and provided with the location, date, and time for the hearing. At the hearing,

- (a) Respondent may be represented by an attorney or other representative, but any such representation shall be at the sole expense of the Respondent;



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- (b) Respondent may request a transcript of the hearing, but must pay for the cost of the transcript in accordance with the Commission's usual procedures;
- (c) Respondent is entitled to the services of an interpreter at the Commission's expense upon written request to the Chief Administrative Law Judge not less than three business days prior to the date of the hearing; and
- (d) Respondent may bring documents to offer in evidence and/or call witnesses to testify on Respondent's behalf.

At the Commission's discretion, the hearing in regard to the Respondent's appeal can be held in a hearing room at either of the Offices of the CPUC at the following locations:

**San Francisco:**

505 Van Ness Avenue  
San Francisco, CA 94102

**Los Angeles:**

320 West 4<sup>th</sup> Street, Suite 500  
Los Angeles, CA 90013

The hearing(s) held in regard to the Respondent's appeal will be adjudicated in conformance with all applicable Public Utilities Code requirements.





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**Notice of Appeal Form**  
**(For A Citation Issued Pursuant to Resolution ALJ-274)**

**Respondent:**

Name  
Vice President, Gas Operations  
Gas Utility Name  
Mailing Address  
City, CA Zip

Citation Date:  
Citation #: \_\_\_\_-\_\_-\_\_\_\_  
Operator ID#: \_\_\_\_  
Appeal Date: \_\_\_\_\_  
\_\_\_\_\_

Statements supporting Respondent's Appeal of Citation (You may use additional pages if needed and/or attach copies of supporting materials along with this form).



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**Enclosures**

**Enclosure 1** - *SED Investigation Report; (also list any other documents the SED Investigation Report relies upon)*

**Enclosure 2** *(e.g. self-reported violation by a utility)*

**Enclosure 3** *(e.g. utility root cause analysis)*

**Enclosure 4** *(e.g. utility corrective action plan)*