

Attachment A



R.12-03-014 (LTPP Track 4) ALJ Rulings

Gamson, David M. <david.gamson@cpuc.ca.gov>

Thu, Nov 14, 2013 at 2:33 PM

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Parties:

The November 4, 2013 Motion of the Protect Our Communities Foundation for Official Notice of Exhibits, identified as Exhibits POC-3, POC-4 and POC-5, is hereby denied. These items will not be admitted into evidence in this proceeding.

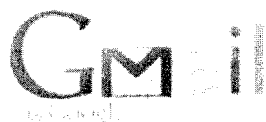
Administrative Law Judge

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Attachment B



R.12-03-014 (LTPP Track 4) ALJ Rulings

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Fri, Nov 15, 2013 at 9:33 AM

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Correction:

The November 4, 2013 Motion of the Protect Our Communities Foundation for Official Notice of Exhibits, identified as Exhibits POC-4, POC-5 and POC-6, is hereby denied. These items will not be admitted into evidence in this proceeding.

From: Gamson, David M.

Sent: Thursday, November 14, 2013 2:34 PM

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Subject: R.12-03-014 (LTPP Track 4) ALJ Rulings

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Attachment C

**BEFORE THE PUBLIC UTILITIES COMMISSION
OF THE STATE OF CALIFORNIA**

Order Instituting Rulemaking to Integrate and Refine
Procurement Policies and Consider Long-Term
Procurement Plans

Rulemaking 12-03-014
(Filed March 22, 2012)

OPENING BRIEF OF THE PROTECT OUR COMMUNITIES FOUNDATION

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November 25, 2013

SDG&E and CAISO have claimed that the N-1-1 of SWPL and Sunrise Powerlink is a Category C3 event. They further argue that, as the most severe Category C event for the San Diego local area, the N-1-1 of SWPL and Sunrise Powerlink must be used as the limiting critical contingency for the local area. This is not the case: WECC has a robust procedure for making individual exceptions to contingency categorizations; the N-1-1 used by SDG&E and CAISO qualifies for this process; and the N-1-1 is a *prima facie* probabilistic Category D that would almost certainly succeed in a re-categorization application.

- i. WECC has a robust process for making probabilistic exceptions to contingency categorizations.

The Western Electricity Coordinating Council (“WECC”) is the regional entity responsible for monitoring and enforcing mandatory NERC reliability standards in California. WECC has developed and implemented a robust process for making individual exceptions to NERC contingency categorizations.

This process, referred to as Probabilistic Based Reliability Criteria “PBRC” is described by WECC as follows:

The introduction of probabilistic planning is intended to optimize performance without degrading system reliability. The performance requirements of the NERC/WECC Planning Standards are established based on deterministic methods. For the rare case where a facility should meet a standard other than the classification dictated by the NERC/WECC Planning Standards, this probabilistic based reliability criteria (PBRC) will provide a means to reclassify the facility. If a facility has excessive outages for its classification, then it should be expected to meet the requirements of a more stringent performance category. If a facility can be shown to perform much better as compared to its normal classification, the facility operator may request that the facility be qualified to meet the requirements of a less stringent performance category.²⁰

To be granted a PBRC re-categorization for a contingency, the applicant must complete the

²⁰ WECC, Reliability Performance Evaluation Work Group Phase 1 Probabilistic Based Reliability Criteria Implementation Procedure, Available at: www.wecc.biz/committees/StandingCommittees/.../RPEWGImpProc.doc.

following seven-step process:²¹

1. Provide a description of the facility or project;
2. Provide an outage database for the facility or project with at least 10 years of data;
3. Calculate the facility or project's Mean Time Between Failure ("MTBF") using the outage data;
4. Robust line design – if a MTBF calculation is not possible due to limited data, an application may be made based on Robust Line Design Features. The applicant must be able to fully justify and conclude that significant risk does not exist for the project;
5. Exposure Analysis – describe customer exposure to critical outage;
6. Illustrate the Consequence of an outage;
7. Provide a report to the RPEWG that details the information in steps 1-6.

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Through this seven step PBRC process, an applicant must establish that the likelihood of a contingency occurring is sufficiently low (or high) to justify a re-categorization. As part of the PBRC, WECC assigned probabilistic values (stated as Outage Frequency Per Year) to the deterministic categories set forth in NERC's reliability standards. These values are set forth in the following chart:²²

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²¹ Ex. POC X CAISO 3 at p. 49

²² Ex. POC-1, Attachment 8 at p. 8

Table B: Phase I PBRC Performance Table for the NERC/WECC Planning Standards

**WECC DISTURBANCE-PERFORMANCE TABLE
OF ALLOWABLE EFFECTS ON OTHER SYSTEMS**

NERC and WECC Categories	Outage Frequency Associated with the Performance Category (outage/year)	Transient Voltage Dip Standard	Minimum Transient Frequency Standard	Post Transient Voltage Deviation Standard (See Note 2)
A	Not Applicable	Nothing in addition to NERC		
B	≥ 0.33	Not to exceed 25% at load buses or 30% at non-load buses. Not to exceed 20% for more than 20 cycles at load buses.	Not below 59.6 Hz for 6 cycles or more at a load bus.	Not to exceed 5% at any bus.
C	0.033 - 0.33	Not to exceed 30% at any bus. Not to exceed 20% for more than 40 cycles at load buses.	Not below 59.0 Hz for 6 cycles or more at a load bus.	Not to exceed 10% at any bus.
D	< 0.033	Nothing in addition to NERC		

(4)

For example, a utility seeking to re-categorize a specific Category B contingency as Category C through the PBRC process would qualify for a re-categorization if it established that the likelihood of the specific contingency event in question occurring was less than 0.33 outages per year.

In cross-examination, SDG&E and CAISO have acknowledged the existence of the PBRC process, and admitted that the process allows for probabilistic exceptions to NERC categorizations.²³

- ii. The SWPL and SPL N-1-1 qualifies for PBRC consideration

²³ Tr. Vol. 11, p. 1560, lines 1-19.

Both SDG&E and CAISO use the same N-1-1 event as their limiting critical contingency: an overlapping outage (N-1-1) event involving the Sunrise Powerlink transmission line and the Southwest Powerlink transmission line, with time for a system adjustment between the two outages.²⁴ This N-1-1 contingency qualifies for the PBRC re-categorization process.

There is nothing in the official WECC documents setting forth the PBRC that would limit the applicability of the PBRC process to the N-1-1 in this case. The broad language used by WECC in the official PBRC documents makes clear that the process is intended to apply to any NERC Category A through Category D contingency.²⁵

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During cross-examination, CAISO witness Sparks admitted that the Sunrise/SWPL N-1-1 qualifies for the PBRC process:

THE WITNESS [Mr. Sparks]: As I described, it [the PBRC process] applies to – I’ve seen it in examples applied to single contingencies being reclassified as Category C and sometimes it can reclassify double contingency to Category B. I’ve never seen it [the PBRC process] applied to Category C3, but I suppose it could be.²⁶

iii. The N-1-1 of SWPL and SPL is a *prima facie* probabilistic Category D

Although the N-1-1 relied upon by SDG&E and CAISO in this proceeding is currently classified as a Category C contingency, sufficient evidence has been presented in this proceeding to establish that the Southwest/Sunrise N-1-1 is a *prima facie* probabilistic Category D. SDG&E

would almost certainly be successful in a PBRC application to re-categorize the Southwest/Sunrise N-1-1.

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²⁴ Exhibit SDG&E-3 at p. 3,

²⁵ WECC, Seven Step Process for Performance Category Upgrade Request, at p. 1. Available at: https://www.wecc.biz/committees/StandingCommittees/PCC/RS/RPEWG/Shared%20Documents/Seven_Step_Process_BOD_Approved_12-7-04.pdf

²⁶ Tr. Vol. 11, p. 1562, lines 15-21.

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