

BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Order Instituting Rulemaking to Continue Implementation and  
Administration of California Renewables Portfolio Standard  
Program.

Rulemaking 11-05-005  
(Filed May 5, 2011)

**3 Phases Renewables, LLC**

**2013 Preliminary Annual 33% RPS Compliance Report**

Reporting progress towards meeting the procurement quantity requirements for California's RPS  
Program in the first compliance period (2011-2013)

**PUBLIC VERSION**

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**RPS Compliance Report: 2011 Annual Summary**

|                            |
|----------------------------|
| <b>2011 Annual Summary</b> |
| 1-Aug-14                   |

| RPS Summary Report                             | 2011   |                         |
|--|--------|-------------------------|
|  | MWh    | % of Total Retail Sales |
| Total Retail Sales                             | 57,432 | n/a                     |
| Annual RPS Target                              | 11,486 | 20.0%                   |
| Total RPS Eligible RECs Procured               | 6,071  | 10.6%                   |
| Total RPS Eligible RECs Retired for Compliance | 6,071  | 10.6%                   |

**RPS Procurement Resource Mix**

| RPS Procurement                       | 2011         |                            |
|---------------------------------------|--------------|----------------------------|
|                                       | MWh          | % of Total RPS Procurement |
| Biomass                               | 0            | 0.0%                       |
| Digester Gas                          | 0            | 0.0%                       |
| Biodiesel                             | 0            | 0.0%                       |
| Landfill Gas                          | 71           | 1.2%                       |
| Muni Solid Waste                      | 0            | 0.0%                       |
| <i>Biopower Subtotal</i>              | 71           | 1.2%                       |
| Geothermal                            | 0            | 0.0%                       |
| Small Hydro                           | 0            | 0.0%                       |
| Conduit Hydro                         | 0            | 0.0%                       |
| Solar PV                              | 0            | 0.0%                       |
| Solar Thermal                         | 0            | 0.0%                       |
| Wind                                  | 6,000        | 98.8%                      |
| Ocean/Tidal                           | 0            | 0.0%                       |
| Fuel Cells                            | 0            | 0.0%                       |
| <b>Total RPS Eligible Procurement</b> | <b>6,071</b> |                            |

**Claimed Portfolio Content Category of RPS Procurement**

| Portfolio Content Category                  | 2011  |                            |
|---|-------|----------------------------|
|   | MWh   | % of Total RPS Procurement |
| RECs Procured from PCC 0 Eligible Resources | 0     | 0.0%                       |
| RECs Procured from PCC 1 Eligible Resources | 71    | 1.2%                       |
| RECs Procured from PCC 2 Eligible Resources | 0     | 0.0%                       |
| RECs Procured from PCC 3 Eligible Resources | 6,000 | 98.8%                      |
|   | 6,071 |                            |

**RPS Compliance Report: 2012 Annual Summary**

|                            |
|----------------------------|
| <b>2012 Annual Summary</b> |
| 1-Aug-14                   |

| RPS Summary Report                             | 2012    |                         |
|--|---------|-------------------------|
|  | MWh     | % of Total Retail Sales |
| Total Retail Sales                             | 148,820 | n/a                     |
| Annual RPS Target                              | 29,764  | 20.0%                   |
| Total RPS Eligible RECs Procured               | 20,825  | 14.0%                   |
| Total RPS Eligible RECs Retired for Compliance | 17,273  | 11.6%                   |

**RPS Procurement Resource Mix**

| RPS Procurement                       | 2012          |                            |
|---------------------------------------|---------------|----------------------------|
|                                       | MWh           | % of Total RPS Procurement |
| Biomass                               | 0             | 0.0%                       |
| Digester Gas                          | 0             | 0.0%                       |
| Biodiesel                             | 0             | 0.0%                       |
| Landfill Gas                          | 1,544         | 7.4%                       |
| Muni Solid Waste                      | 0             | 0.0%                       |
| <i>Biopower Subtotal</i>              | 1,544         | 7.4%                       |
| Geothermal                            | 5,803         | 27.9%                      |
| Small Hydro                           | 0             | 0.0%                       |
| Conduit Hydro                         | 0             | 0.0%                       |
| Solar PV                              | 0             | 0.0%                       |
| Solar Thermal                         | 0             | 0.0%                       |
| Wind                                  | 13,478        | 64.7%                      |
| Ocean/Tidal                           | 0             | 0.0%                       |
| Fuel Cells                            | 0             | 0.0%                       |
| <b>Total RPS Eligible Procurement</b> | <b>20,825</b> |                            |

**Claimed Portfolio Content Category of RPS Procurement**

| Portfolio Content Category                  | 2012   |                            |
|---|--------|----------------------------|
|   | MWh    | % of Total RPS Procurement |
| RECs Procured from PCC 0 Eligible Resources | 0      | 0.0%                       |
| RECs Procured from PCC 1 Eligible Resources | 7,347  | 35.3%                      |
| RECs Procured from PCC 2 Eligible Resources | 1,490  | 7.2%                       |
| RECs Procured from PCC 3 Eligible Resources | 11,988 | 57.6%                      |
|   | 20,825 |                            |

**RPS Compliance Report: 2013 Annual Summary**

|                            |
|----------------------------|
| <b>2013 Annual Summary</b> |
| 1-Aug-14                   |

| RPS Summary Report                             | 2013   |                         |
|--|--------|-------------------------|
|  | MWh    | % of Total Retail Sales |
| Total Retail Sales                             |        | n/a                     |
| Annual RPS Target                              |        | 20.0%                   |
| Total RPS Eligible RECs Procured               | 45,274 |                         |
| Total RPS Eligible RECs Retired for Compliance | 34,500 |                         |

**RPS Procurement Resource Mix**

| RPS Procurement                       | 2013          |                            |
|---------------------------------------|---------------|----------------------------|
|                                       | MWh           | % of Total RPS Procurement |
| Biomass                               | 0             | 0.0%                       |
| Digester Gas                          | 0             | 0.0%                       |
| Biodiesel                             | 0             | 0.0%                       |
| Landfill Gas                          | 1,174         | 2.6%                       |
| Muni Solid Waste                      | 0             | 0.0%                       |
| <i>Biopower Subtotal</i>              | 1,174         | 2.6%                       |
| Geothermal                            | 16,687        | 36.9%                      |
| Small Hydro                           | 3,592         | 7.9%                       |
| Conduit Hydro                         | 0             | 0.0%                       |
| Solar PV                              | 0             | 0.0%                       |
| Solar Thermal                         | 0             | 0.0%                       |
| Wind                                  | 23,821        | 52.6%                      |
| Ocean/Tidal                           | 0             | 0.0%                       |
| Fuel Cells                            | 0             | 0.0%                       |
| <b>Total RPS Eligible Procurement</b> | <b>45,274</b> |                            |

**Claimed Portfolio Content Category of RPS Procurement**

| Portfolio Content Category                  | 2013   |                            |
|---|--------|----------------------------|
|   | MWh    | % of Total RPS Procurement |
| RECs Procured from PCC 0 Eligible Resources | 0      | 0.0%                       |
| RECs Procured from PCC 1 Eligible Resources | 24,564 | 54.3%                      |
| RECs Procured from PCC 2 Eligible Resources | 12,901 | 28.5%                      |
| RECs Procured from PCC 3 Eligible Resources | 7,809  | 17.2%                      |
|   | 45,274 |                            |

**RPS Compliance Report: 2011-2013 Compliance Period Summary**

|                                  |
|----------------------------------|
| <b>2011-13 Compliance Period</b> |
| 1-Aug-14                         |

| RPS Summary Report   | 2011   | 2012    | 2013   | CP TOTAL | % of Total Retail Sales |
|--|--------|---------|--------|----------|-------------------------|
|  | MWh    | MWh     | MWh    | MWh      |                         |
| Total Retail Sales   | 57,432 | 148,820 |        |          | n/a                     |
| Annual RPS Targets and Compliance Period Procurement Requirement | 11,486 | 29,764  |        |          | 20.0%                   |
| Total RPS Eligible RECs Procured                                 | 6,071  | 20,825  | 45,274 | 72,170   |                         |
| Total RPS Eligible RECs Retired                                  | 6,071  | 17,273  | 34,500 | 57,844   |                         |

This amount represents total procurement quantity requirement

This amount represents quantity of RECs retired for this compliance period

**RPS Procurement Resource Mix**

| RPS Procurement                       | 2011         | 2012          | 2013          | CP TOTAL      | % of Total RPS Procurement |
|---------------------------------------|--------------|---------------|---------------|---------------|----------------------------|
|                                       | MWh          | MWh           | MWh           | MWh           |                            |
| Biomass                               | 0            | 0             | 0             | 0             | 0.0%                       |
| Digester Gas                          | 0            | 0             | 0             | 0             | 0.0%                       |
| Biodiesel                             | 0            | 0             | 0             | 0             | 0.0%                       |
| Landfill Gas                          | 71           | 1,544         | 1,174         | 2,789         | 3.9%                       |
| Muni Solid Waste                      | 0            | 0             | 0             | 0             | 0.0%                       |
| <i>Biopower Subtotal</i>              | 71           | 1,544         | 1,174         | 2,789         | 3.9%                       |
| Geothermal                            | 0            | 5,803         | 16,687        | 22,490        | 31.2%                      |
| Small Hydro                           | 0            | 0             | 3,592         | 3,592         | 5.0%                       |
| Conduit Hydro                         | 0            | 0             | 0             | 0             | 0.0%                       |
| Solar PV                              | 0            | 0             | 0             | 0             | 0.0%                       |
| Solar Thermal                         | 0            | 0             | 0             | 0             | 0.0%                       |
| Wind                                  | 6,000        | 13,478        | 23,821        | 43,299        | 60.0%                      |
| Ocean/Tidal                           | 0            | 0             | 0             | 0             | 0.0%                       |
| Fuel Cells                            | 0            | 0             | 0             | 0             | 0.0%                       |
| <b>Total RPS Eligible Procurement</b> | <b>6,071</b> | <b>20,825</b> | <b>45,274</b> | <b>72,170</b> |                            |

**Claimed Portfolio Content Category of RPS Procurement**

| Portfolio Content Category                  | 2011         | 2012          | 2013          | CP TOTAL      | % of Total RPS Procurement |
|---|--------------|---------------|---------------|---------------|----------------------------|
|   | MWh          | MWh           | MWh           | MWh           |                            |
| RECs Procured from PCC 0 Eligible Resources | 0            | 0             | 0             | 0             | 0.0%                       |
| RECs Procured from PCC 1 Eligible Resources | 71           | 7,347         | 24,564        | 31,982        | 44.3%                      |
| RECs Procured from PCC 2 Eligible Resources | 0            | 1,490         | 12,901        | 14,391        | 19.9%                      |
| RECs Procured from PCC 3 Eligible Resources | 6,000        | 11,988        | 7,809         | 25,797        | 35.7%                      |
| <b>Total</b>                                | <b>6,071</b> | <b>20,825</b> | <b>45,274</b> | <b>72,170</b> |                            |

Data Reported by:  
 3 Phases Renewables, LLC  
 August 1, 2014

**2011-2020 Compliance Summary and Charts**

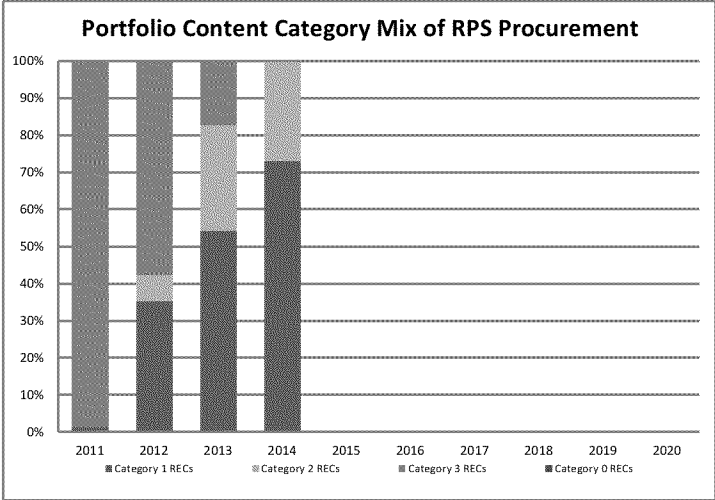
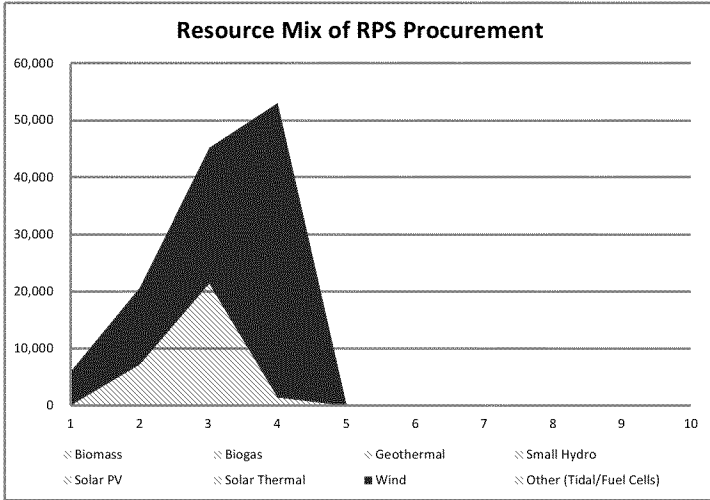
|                                  | 2011   | 2012    | 2013  | 2014  | 2015  | 2016  | 2017  | 2018    | 2019    | 2020    |
|----------------------------------|--------|---------|-------|-------|-------|-------|-------|---------|---------|---------|
| Retail Sales (MWh)               | 57,432 | 148,820 |       |       |       |       |       | 100,000 | 100,000 | 100,000 |
| Annual % Target                  | 20.0%  | 20.0%   | 20.0% | 21.7% | 23.3% | 25.0% | 27.0% | 29.0%   | 31.0%   | 33.0%   |
| Annual RPS Target                | 11,486 | 29,764  |       |       |       |       |       | 29,000  | 31,000  | 33,000  |
| Procurement Quantity Requirement |        |         |       |       |       |       |       |         |         |         |

**Resource Mix**

|                              | 2011         | 2012          | 2013          | 2014          | 2015     | 2016     | 2017     | 2018     | 2019     | 2020     |
|------------------------------|--------------|---------------|---------------|---------------|----------|----------|----------|----------|----------|----------|
| <b>Total RPS Procurement</b> | <b>6,071</b> | <b>20,825</b> | <b>45,274</b> | <b>53,150</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |
| Biomass                      | 0            | 0             | 0             | 0             | 0        | 0        | 0        | 0        | 0        | 0        |
| Digester Gas                 | 0            | 0             | 0             | 100           | 0        | 0        | 0        | 0        | 0        | 0        |
| Biodiesel                    | 0            | 0             | 0             | 0             | 0        | 0        | 0        | 0        | 0        | 0        |
| Landfill Gas                 | 71           | 1,544         | 1,174         | 0             | 0        | 0        | 0        | 0        | 0        | 0        |
| Muni Solid Waste             | 0            | 0             | 0             | 0             | 0        | 0        | 0        | 0        | 0        | 0        |
| Biopower Subtotal            | 71           | 1,544         | 1,174         | 100           | 0        | 0        | 0        | 0        | 0        | 0        |
| Geothermal                   | 0            | 5,803         | 16,687        | 0             | 0        | 0        | 0        | 0        | 0        | 0        |
| Small Hydro                  | 0            | 0             | 3,592         | 1,350         | 0        | 0        | 0        | 0        | 0        | 0        |
| Conduit Hydro                | 0            | 0             | 0             | 0             | 0        | 0        | 0        | 0        | 0        | 0        |
| Solar PV                     | 0            | 0             | 0             | 0             | 0        | 0        | 0        | 0        | 0        | 0        |
| Solar Thermal                | 0            | 0             | 0             | 0             | 0        | 0        | 0        | 0        | 0        | 0        |
| Wind                         | 6,000        | 13,478        | 23,821        | 51,700        | 0        | 0        | 0        | 0        | 0        | 0        |
| Ocean/Tidal                  | 0            | 0             | 0             | 0             | 0        | 0        | 0        | 0        | 0        | 0        |
| Fuel Cells                   | 0            | 0             | 0             | 0             | 0        | 0        | 0        | 0        | 0        | 0        |

**Portfolio Content Category Mix**

|                 | 2011  | 2012   | 2013   | 2014   | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 |
|-----------------|-------|--------|--------|--------|------|------|------|------|------|------|
| Category 0 RECs | 0     | 0      | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    |
| Category 1 RECs | 71    | 7,347  | 24,564 | 38,850 | 0    | 0    | 0    | 0    | 0    | 0    |
| Category 2 RECs | 0     | 1,490  | 12,901 | 14,200 | 0    | 0    | 0    | 0    | 0    | 0    |
| Category 3 RECs | 6,000 | 11,988 | 7,809  | 100    | 0    | 0    | 0    | 0    | 0    | 0    |



**Annual RPS Compliance Report: Compliance Status**

Data Reported by:  
3 Phases Renewables, LLC  
August 1, 2014

|  |                           |
|--|---------------------------|
|  | Input Required            |
|  | Actual Data               |
|  | Forecasted Data           |
|  | Information is Hard Coded |

| Information from the 20% RPS Compliance Program (MWh) | Actual |
|---|--------|
| Total Retail Sales in 2010                            | 53,205 |
| Net Surplus/(Deficit) from 20% RPS Closing Report     | 29,374 |

| Annual RPS Procurement and Percentages (MWh) | Compliance Period 1 |         |        | Compliance Period 2 |       |       | Compliance Period 3 |       |         |         |         |
|--|---------------------|---------|--------|---------------------|-------|-------|---------------------|-------|---------|---------|---------|
|  | 2011                | 2012    | 2013   | 2014                | 2015  | 2016  | Forecast            |       |         |         |         |
| Annual Retail Sales                          | 57,432              | 148,820 |        |                     |       |       |                     |       | 100,000 | 100,000 | 100,000 |
| Annual RPS Procurement                       | 6,071               | 20,825  | 45,274 | 53,150              | 0     | 0     | 0                   | 0     | 0       | 0       | 0       |
| Annual Percentage Targets                    | 20.0%               | 20.0%   | 20.0%  | 21.7%               | 23.3% | 25.0% | 27.0%               | 29.0% | 31.0%   | 33.0%   |         |
| Annual RPS Procurement Percentage            | 10.6%               | 14.0%   |        |                     | 0.0%  | 0.0%  | 0.0%                | 0.0%  | 0.0%    | 0.0%    | 0.0%    |

| Procurement Quantity Requirement (MWh)     | Compliance Period 1 |        |        | Compliance Period 2 |      |      | Compliance Period 3 |      |      |      |
|--|---------------------|--------|--------|---------------------|------|------|---------------------|------|------|------|
|  | 2011                | 2012   | 2013   | 2014                | 2015 | 2016 | 2017                | 2018 | 2019 | 2020 |
| Procurement Quantity Requirement (PQR)     |                     |        |        |                     |      |      |                     |      |      |      |
| PCC 0 RECs Retired (Annual)                | 0                   | 0      | 0      | n/a                 | n/a  | n/a  | n/a                 | n/a  | n/a  | n/a  |
| PCC 1 RECs Retired (Annual)                | 71                  | 7,347  | 21,599 | n/a                 | n/a  | n/a  | n/a                 | n/a  | n/a  | n/a  |
| PCC 2 RECs Retired (Annual)                | 0                   | 1,490  | 12,901 | n/a                 | n/a  | n/a  | n/a                 | n/a  | n/a  | n/a  |
| PCC 3 RECs Retired (Annual)                | 6,000               | 8,436  | 0      | n/a                 | n/a  | n/a  | n/a                 | n/a  | n/a  | n/a  |
| Annual Percentage Targets                  | 20.0%               | 20.0%  | 20.0%  | n/a                 | n/a  | n/a  | n/a                 | n/a  | n/a  | n/a  |
| RECs Retired as Percentage of Retail Sales | 10.6%               |        |        | n/a                 | n/a  | n/a  | n/a                 | n/a  | n/a  | n/a  |
| Total RECs Retired (Compliance Period)     |                     | 57,844 |        |                     | n/a  |      |                     |      | n/a  |      |

| Portfolio Balance Requirements (MWh)      | Compliance Period 1 |        |      | Compliance Period 2 |      |      | Compliance Period 3 |      |      |      |
|---|---------------------|--------|------|---------------------|------|------|---------------------|------|------|------|
|   | 2011                | 2012   | 2013 | 2014                | 2015 | 2016 | 2017                | 2018 | 2019 | 2020 |
| PCC 1 RECs Retired (Compliance Period)    |                     | 29,017 |      |                     | n/a  |      |                     |      | n/a  |      |
| PCC 2 RECs Retired (Compliance Period)    |                     | 14,391 |      |                     | n/a  |      |                     |      | n/a  |      |
| PCC 3 RECs Retired (Compliance Period)    |                     | 14,436 |      |                     | n/a  |      |                     |      | n/a  |      |
| PCC 1 Balance Requirement                 |                     | 28,921 |      |                     | n/a  |      |                     |      | n/a  |      |
| Excess/(Shortfall) Retirements from PCC 1 |                     | 96     |      |                     | n/a  |      |                     |      | n/a  |      |
| PCC 3 Balance Limitation                  |                     | 14,461 |      |                     | n/a  |      |                     |      | n/a  |      |
| Ineligible Category 3                     |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |

| Excess Procurement Calculation (MWh)   | Compliance Period 1 |        |      | Compliance Period 2 |      |      | Compliance Period 3 |      |      |      |
|--|---------------------|--------|------|---------------------|------|------|---------------------|------|------|------|
|  | 2011                | 2012   | 2013 | 2014                | 2015 | 2016 | 2017                | 2018 | 2019 | 2020 |
| PCC 0 RECs applied to PQR  |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |
| Long-Term PCC 1 RECs applied to PQR  |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |
| Short-Term PCC 1 RECs applied to PQR   |                     | 29,017 |      |                     | n/a  |      |                     |      | n/a  |      |
| Long-Term PCC 2 RECs applied to PQR  |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |
| Short-Term PCC 2 RECs applied to PQR   |                     | 14,391 |      |                     | n/a  |      |                     |      | n/a  |      |
| Long-Term PCC 3 RECs applied to PQR  |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |
| Short-Term PCC 3 RECs applied to PQR   |                     | 14,436 |      |                     | n/a  |      |                     |      | n/a  |      |
| Excess RECs Eligible for Banking   |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |
| Excess PCC 0 RECs  |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |
| Excess PCC 1 RECs  |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |
| Excess PCC 2 RECs  |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |
| Remaining Excess RECs Eligible for Banking and Requiring a Category Assignment |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |

| Excess Procurement Bank (MWh)    | Compliance Period 1 |        |      | Compliance Period 2 |      |      | Compliance Period 3 |      |      |      |
|----------------------------------|---------------------|--------|------|---------------------|------|------|---------------------|------|------|------|
|                                  | 2011                | 2012   | 2013 | 2014                | 2015 | 2016 | 2017                | 2018 | 2019 | 2020 |
| PCC 0 Bank                       |                     | 29,374 |      |                     | n/a  |      |                     |      | n/a  |      |
| PCC 1 Bank                       |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |
| PCC 2 Bank                       |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |
| Application of PCC 0 Banked RECs |                     | 29,274 |      |                     | n/a  |      |                     |      | n/a  |      |
| Application of PCC 1 Banked RECs |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |
| Application of PCC 2 Banked RECs |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |
| Cumulative PCC 0 Bank            |                     | 100    |      |                     | n/a  |      |                     |      | n/a  |      |
| Cumulative PCC 1 Bank            |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |
| Cumulative PCC 2 Bank            |                     | 0      |      |                     | n/a  |      |                     |      | n/a  |      |

| RPS Compliance Status (MWh)   | Compliance Period 1 |      |      | Compliance Period 2 |      |      | Compliance Period 3 |      |      |      |
|---|---------------------|------|------|---------------------|------|------|---------------------|------|------|------|
|   | 2011                | 2012 | 2013 | 2014                | 2015 | 2016 | 2017                | 2018 | 2019 | 2020 |
| Additional RECs Needed to meet RPS Procurement Quantity Requirement |                     | 0    |      |                     | n/a  |      |                     |      | n/a  |      |

| Provisional 20% Closing Report                                     | 2011  | 2012   | 2013 |
|--|---|--------|------|
|  | Deficit from Provisional 20% RPS Closing Report |        | N/A  |
| PCC 0 RECs applied to PQR  |   | 0      |      |
| Long-Term PCC 1 RECs applied to PQR                                |   | 0      |      |
| Short-Term PCC 1 RECs applied to PQR                               |   | 29,017 |      |
| Long-Term PCC 2 RECs applied to PQR                                |   | 0      |      |
| Short-Term PCC 2 RECs applied to PQR                               |   | 14,391 |      |
| Long-Term PCC 3 RECs applied to PQR                                |   | 0      |      |
| Short-Term PCC 3 RECs applied to PQR                               |   | 14,436 |      |
| Application of PCC 0 RECs to 20% Closing Report Deficit            |   | 0      |      |
| Application of Long-term PCC 1 RECs to 20% Closing Report Deficit  |   | 0      |      |
| Application of Short-term PCC 1 RECs to 20% Closing Report Deficit |   | 0      |      |
| Application of Long-term PCC 2 RECs to 20% Closing Report Deficit  |   | 0      |      |
| Application of Short-term PCC 2 RECs to 20% Closing Report Deficit |   | 0      |      |
| Application of Long-term PCC 3 RECs to 20% Closing Report Deficit  |   | 0      |      |
| Application of Short-term PCC 3 RECs to 20% Closing Report Deficit |   | 0      |      |
| Cumulative Closing Report Deficit                                  |   | N/A    |      |

| Procurement Category   | 2013         | 2014         | 2015         | 2016         | 2017         | 2018         | 2019         | 2020         | 2021         | 2022         | 2023         | 2024         | 2025         | 2026         | 2027         | 2028         | 2029         | 2030         |
|------------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| Construction           | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        |
| Information Technology | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        |
| Professional Services  | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        |
| Travel                 | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        |
| Other                  | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        | 1,211        |
| <b>Total</b>           | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> | <b>6,055</b> |

| Line Item | Description            | 2013  | 2014  | 2015  | 2016  | 2017  | 2018  | 2019  | 2020  | 2021  | 2022  | 2023  | 2024  | 2025  | 2026  | 2027  | 2028  | 2029  | 2030  | Contract Status | Vendor Name | Contract Number    | Contract Value | Contract Start Date | Contract End Date | Contract Status | Contract Value | Contract Start Date | Contract End Date |            |
|-----------|------------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-----------------|-------------|--------------------|----------------|---------------------|-------------------|-----------------|----------------|---------------------|-------------------|------------|
| 100       | Construction           | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211           | Active      | ABC Construction   | 100-1          | 1,211               | 2013-01-01        | 2013-12-31      | Completed      | 1,211               | 2013-01-01        | 2013-12-31 |
| 101       | Information Technology | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211           | Active      | XYZ Tech Solutions | 101-1          | 1,211               | 2013-01-01        | 2013-12-31      | Completed      | 1,211               | 2013-01-01        | 2013-12-31 |
| 102       | Professional Services  | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211           | Active      | DEF Consulting     | 102-1          | 1,211               | 2013-01-01        | 2013-12-31      | Completed      | 1,211               | 2013-01-01        | 2013-12-31 |
| 103       | Travel                 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211           | Active      | GHI Travel Agency  | 103-1          | 1,211               | 2013-01-01        | 2013-12-31      | Completed      | 1,211               | 2013-01-01        | 2013-12-31 |
| 104       | Other                  | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211 | 1,211           | Active      | JKL Supplier       | 104-1          | 1,211               | 2013-01-01        | 2013-12-31      | Completed      | 1,211               | 2013-01-01        | 2013-12-31 |





Annual RPS Compliance Report: Retirement Detail

Date Prepared: 9/28/2014  
 Prepared By: J. Prasad  
 August 1, 2014

Input Required: Actual Data  
 Forecasted Data

| Retirement Summary(MWh)                          | Actual Years |        |        |      |      | Forecasted Years |      |      |      |      |
|--|--------------|--------|--------|------|------|------------------|------|------|------|------|
|  | 2011         | 2012   | 2013   | 2014 | 2015 | 2016             | 2017 | 2018 | 2019 | 2020 |
| Total Area of Retirement                         | 6,673        | 17,273 | 34,509 | 0    | 0    | 0                | 0    | 0    | 0    | 0    |
| Long-Term PFC-2 RPS Retired                      | 0            | 0      | 0      | 0    | 0    | 0                | 0    | 0    | 0    | 0    |
| Long-Term PFC-3 RPS Retired                      | 0            | 0      | 0      | 0    | 0    | 0                | 0    | 0    | 0    | 0    |
| Short-Term PFC-1 RPS Retired                     | 71           | 7,847  | 24,599 | 0    | 0    | 0                | 0    | 0    | 0    | 0    |
| Long-Term PFC-2 RPS Retired                      | 0            | 0      | 0      | 0    | 0    | 0                | 0    | 0    | 0    | 0    |
| Short-Term PFC-3 RPS Retired                     | 0            | 1,809  | 15,208 | 0    | 0    | 0                | 0    | 0    | 0    | 0    |
| Long-Term PFC-3 RPS Retired                      | 0            | 0      | 0      | 0    | 0    | 0                | 0    | 0    | 0    | 0    |
| Short-Term PFC-3 RPS Retired                     | 6,602        | 9,426  | 0      | 0    | 0    | 0                | 0    | 0    | 0    | 0    |
| Total RPS Retired per Compliance Period          | 0            | 57,944 | 0      | 0    | 0    | 0                | 0    | 0    | 0    | 0    |
| Amount of PFC-3 Short-Term RPS Excluded from PAF | 0            | 0      | 0      | 0    | 0    | 0                | 0    | 0    | 0    | 0    |
| Amount of PFC-2 Short-Term RPS Excluded from PAF | 0            | 0      | 0      | 0    | 0    | 0                | 0    | 0    | 0    | 0    |
| Amount of PFC-3 Short-Term RPS Excluded from PAF | 0            | 0      | 0      | 0    | 0    | 0                | 0    | 0    | 0    | 0    |
| Retired RPS Eligible for Compliance              | 0            | 57,944 | 0      | 0    | 0    | 0                | 0    | 0    | 0    | 0    |

| RPS Eligible Procurement by Resource Type | 2011  | 2012  | 2013   | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 |
|---|-------|-------|--------|------|------|------|------|------|------|------|
| Biomass                                   | 0     | 0     | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Gas                                       | 0     | 0     | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Hydro                                     | 0     | 0     | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Landfill Gas                              | 71    | 1,544 | 3,500  | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Market                                    | 0     | 0     | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Municipal Solid Waste                     | 0     | 0     | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Onshore Wind                              | 71    | 1,544 | 3,500  | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Geothermal                                | 0     | 5,803 | 15,497 | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Small Hydro                               | 0     | 0     | 948    | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Coal                                      | 0     | 0     | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Oil                                       | 0     | 0     | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Natural Gas                               | 0     | 0     | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Nuclear                                   | 0     | 0     | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Other                                     | 0     | 0     | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Wind                                      | 6,600 | 9,292 | 15,112 | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| Other                                     | 0     | 0     | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |

| EPRI ID | Contract Year | Contract Detail                  | 2011  | 2012   | 2013   | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | Resource Type | Expected Classification | Contract Length | Non-Term Contract Eligibility |
|---------|---------------|----------------------------------|-------|--------|--------|------|------|------|------|------|------|------|---------------|-------------------------|-----------------|-------------------------------|
| 2011    | 2011          | Monterey Regional Waste Mgt Dist | 6,673 | 17,273 | 34,500 | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Landfill Gas  | PFC-1                   | Short-Term      | Eligible Short-term           |
| 2012    | 2012          | Monterey Regional Waste Mgt Dist | 71    | 1,544  | 3,500  | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Landfill Gas  | PFC-1                   | Short-Term      | Eligible Short-term           |
| 2012    | 2012          | White Creek Wind 1               | 6,600 | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Wind          | PFC-1                   | Short-Term      | Eligible Short-term           |
| 2013    | 2013          | Capline Geothermal Unit 1B       | 0     | 5,803  | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Geothermal    | PFC-1                   | Short-Term      | Eligible Short-term           |
| 2013    | 2013          | Capline Geothermal Unit 1A       | 0     | 0      | 6,697  | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Geothermal    | PFC-1                   | Short-Term      | Eligible Short-term           |
| 2013    | 2013          | Capline Geothermal Unit 1B       | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Geothermal    | PFC-1                   | Short-Term      | Eligible Short-term           |
| 2013    | 2013          | Capline Geothermal Unit 1B       | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Geothermal    | PFC-1                   | Short-Term      | Eligible Short-term           |
| 2013    | 2013          | Jupiter Canyon Wind Power        | 0     | 1,809  | 9,311  | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Wind          | PFC-3                   | Short-Term      | Eligible Short-term           |
| 2013    | 2013          | Jupiter Canyon Wind Power        | 0     | 9,496  | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Wind          | PFC-3                   | Short-Term      | Eligible Short-term           |
| 2013    | 2013          | Jupiter Canyon Wind Power        | 0     | 0      | 3,111  | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Wind          | PFC-1                   | Short-Term      | Eligible Short-term           |
| 2013    | 2013          | Camelot Hill - Camelot Hill      | 0     | 0      | 1,974  | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Wind          | PFC-2                   | Short-Term      | Eligible Short-term           |
| 2013    | 2013          | Dunlap 1 - Dunlap 1              | 0     | 0      | 1,389  | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Wind          | PFC-2                   | Short-Term      | Eligible Short-term           |
| 2013    | 2013          | Overlook 1 - Overlook 1          | 0     | 0      | 1,869  | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Wind          | PFC-2                   | Short-Term      | Eligible Short-term           |
| 2013    | 2013          | High Plains - High Plains        | 0     | 0      | 1,012  | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Wind          | PFC-2                   | Short-Term      | Eligible Short-term           |
| 2013    | 2013          | Balfie Creek Ranch               | 0     | 0      | 939    | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Small Hydro   | PFC-1                   | Short-Term      | Eligible Short-term           |
| 2013    | 2013          | Robinsiana Hydroelectric Project | 0     | 0      | 118    | 0    | 0    | 0    | 0    | 0    | 0    | 0    | Small Hydro   | PFC-1                   | Short-Term      | Eligible Short-term           |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |
|         |               | Contract Name                    | 0     | 0      | 0      | 0    | 0    | 0    | 0    | 0    | 0    | 0    |               |                         |                 |                               |



**Annual RPS Compliance Report: (2011) 36 Month Retirement**

Data Reported by:  
3 Phases Renewables, LLC  
August 1, 2014



Input Required  
Information is Hard Coded (No Input Required)

**Note:** Please create one line per combination of REC vintage and REtirement date. For example RECs that have the same vintage are retired on two separate dates, please include one line per retirement date. Likewise if RECs of different vintage (e.g. June 2013 and July 2013) are retired on the same date, please include one line per vintage.

| CPUC ID | RPS ID | WREGIS_ID | Facility Name                               | Vintage Month/Year | Retirement Date (DD/MM/YYYY) | Last Month to Retire REC (Month/Year) | Do RECs "Pass" or "Fail" Requirements of 36 Month Rule: | MWh REC Quantity Retired |
|---------|--------|-----------|---|--------------------|------------------------------|---------------------------------------|---|--------------------------|
|         | 60207A | W540      | Monterey Regional Waste Management District | Dec-11             | 11/26/2013                   | Nov-2014                              | PASS  | 71                       |
|         | 60721A | W360      | White Creek Wind 1                          | Jan-11             | 11/26/2013                   | Dec-2013                              | PASS  | 996                      |
|         | 60721A | W360      | White Creek Wind 1                          | Feb-11             | 11/26/2013                   | Jan-2014                              | PASS  | 908                      |
|         | 60721A | W360      | White Creek Wind 1                          | Mar-11             | 11/26/2013                   | Feb-2014                              | PASS  | 982                      |
|         | 60721A | W360      | White Creek Wind 1                          | Apr-11             | 11/26/2013                   | Mar-2014                              | PASS  | 3194                     |

**Annual RPS Compliance Report: (2012) 36 Month Retirement**

Data Reported by:  
3 Phases Renewables, LLC  
August 1, 2014

|  |
|--|
|  |
|  |

Input Required  
Information is Hard Coded (No Input Required)

**Note:** Please create one line per combination of REC vintage and RERetirement date. For example RECs that have the same vintage are retired on two separate dates, please include one line per retirement date. Likewise if RECs of different vintage (e.g. June 2013 and July 2013) are retired on the same date, please include one line per vintage.

| CPUC ID | RPS ID | WREGIS_ID | Facility Name                                    | Vintage Month/Year | Retirement Date (DD/MM/YYYY) | Last Month to Retire REC (Month/Year) | Do RECs "Pass" or "Fail" Requirements of 36 Month Rule: | MWh REC Quantity Retired |
|---------|--------|-----------|--|--------------------|------------------------------|---------------------------------------|---|--------------------------|
|         | 60008A | W125      | Geysers Power Plant - Calpine Geothermal Unit 18 | May-12             | 12/31/2013                   | Apr-2015                              | PASS  | 5883                     |
|         | B1202A | W1690     | Juniper Canyon                                   | May-12             | 6/27/2014                    | Apr-2015                              | PASS  | 436                      |
|         | B1202A | W1690     | Juniper Canyon                                   | May-12             | 12/31/2013                   | Apr-2015                              | PASS  | 8000                     |
|         | B1202A | W1690     | Juniper Canyon                                   | Dec-12             | 12/31/2013                   | Nov-2015                              | PASS  | 1493                     |
|         | B0107A | W540      | Monterey Regional Waste Management District      | Jan-12             | 12/31/2013                   | Dec-2014                              | PASS  | 836                      |
|         | B0107A | W541      | Monterey Regional Waste Management District      | Jan-12             | 12/31/2013                   | Dec-2014                              | PASS  | 718                      |

**Annual RPS Compliance Report: (2013) 36 Month Retirement**

Data Reported by:  
3 Phases Renewables, LLC  
August 1, 2014



Input Required  
Information is Hard Coded (No Input Required)

**Note:** Please create one line per combination of REC vintage and RERetirement date. For example RECs that have the same vintage are retired on two separate dates, please include one line per retirement date. Likewise if RECs of different vintage (e.g. June 2013 and July 2013) are retired on the same date, please include one line per vintage.

| CPUC ID | RPS ID | WREGIS_ID | Facility Name   | Vintage Month/Year | Retirement Date (DD/MM/YYYY) | Last Month to Retire REC (Month/Year) | Do RECs "Pass" or "Fail" Requirements of 36 Month Rule: | MWh REC Quantity Retired |
|---------|--------|-----------|---|--------------------|------------------------------|---------------------------------------|---|--------------------------|
|         | 60195A | W566      | Bailey Creek Ranch - Bailey Creek Ranch                               | May-13             | 6/27/2014                    | Apr-2016                              | PASS  | 231                      |
|         | 60195A | W566      | Bailey Creek Ranch - Bailey Creek Ranch                               | Jun-13             | 6/27/2014                    | May-2016                              | PASS  | 202                      |
|         | 60195A | W566      | Bailey Creek Ranch - Bailey Creek Ranch                               | Jul-13             | 6/27/2014                    | Jun-2016                              | PASS  | 49                       |
|         | 60195A | W566      | Bailey Creek Ranch - Bailey Creek Ranch                               | Aug-13             | 6/27/2014                    | Jul-2016                              | PASS  | 36                       |
|         | 60195A | W566      | Bailey Creek Ranch - Bailey Creek Ranch                               | Sep-13             | 6/27/2014                    | Aug-2016                              | PASS  | 25                       |
|         | 60195A | W566      | Bailey Creek Ranch - Bailey Creek Ranch                               | Oct-13             | 6/27/2014                    | Sep-2016                              | PASS  | 22                       |
|         | 60195A | W566      | Bailey Creek Ranch - Bailey Creek Ranch                               | Nov-13             | 6/27/2014                    | Oct-2016                              | PASS  | 26                       |
|         | 60195A | W566      | Bailey Creek Ranch - Bailey Creek Ranch                               | Apr-15             | 6/27/2014                    | Mar-2016                              | PASS  | 208                      |
|         | 60195A | W566      | Bailey Creek Ranch - Bailey Creek Ranch                               | Dec-15             | 6/27/2014                    | Nov-2016                              | PASS  | 24                       |
|         | 60006A | W125      | Geysers Power Plant - Calpine Geothermal Unit 16                      | Oct-13             | 6/27/2014                    | Sep-2016                              | PASS  | 5000                     |
|         | 60008A | W125      | Geysers Power Plant - Calpine Geothermal Unit 18                      | Mar-13             | 6/27/2014                    | Feb-2016                              | PASS  | 6687                     |
|         | 60009A | W125      | Geysers Power Plant - Calpine Geothermal Unit 19                      | Dec-13             | 6/27/2014                    | Nov-2016                              | PASS  | 2090                     |
|         | 61017A | W1363     | Campbell Hill - Campbell Hill   | Nov-13             | 6/2/2014                     | Oct-2016                              | PASS  | 1074                     |
|         | 61189A | W1687     | Dunlap 1 - Dunlap 1   | Nov-13             | 6/2/2014                     | Oct-2016                              | PASS  | 1209                     |
|         | 60805A | W964      | Glenrock 1 - Glenrock 1   | Nov-13             | 6/2/2014                     | Oct-2016                              | PASS  | 1069                     |
|         | 60899A | W1334     | High Plains - High Plains   | Nov-13             | 6/2/2014                     | Oct-2016                              | PASS  | 1032                     |
|         | 61202A | W1690     | Juniper Canyon - Juniper Canyon                                       | Feb-13             | 6/2/2014                     | Jan-2016                              | PASS  | 3592                     |
|         | 61202A | W1690     | Juniper Canyon - Juniper Canyon                                       | Feb-13             | 6/2/2014                     | Jan-2016                              | PASS  | 3111                     |
|         | 61202A | W1690     | Juniper Canyon - Juniper Canyon                                       | Feb-13             | 6/2/2014                     | Jan-2016                              | PASS  | 1657                     |
|         | 61202A | W1690     | Juniper Canyon - Juniper Canyon                                       | Feb-13             | 12/9/2013                    | Jan-2016                              | PASS  | 2590                     |
|         | 61202A | W1690     | Juniper Canyon - Juniper Canyon                                       | Jan-13             | 6/2/2014                     | Dec-2015                              | PASS  | 788                      |
|         | 60129A | W621      | Monterey County Water Res. Agency - Monterey County Water Res. Agency | Sep-13             | 6/27/2014                    | Aug-2016                              | PASS  | 110                      |
|         | 60107A | W770      | Monterey Regional Waste Management District - MRWMD U2J02             | Dec-13             | 6/27/2014                    | Nov-2016                              | PASS  | 379                      |
|         | 60107A | W771      | Monterey Regional Waste Management District - MRWMD U3J08             | Dec-13             | 6/27/2014                    | Nov-2016                              | PASS  | 379                      |
|         | 60107A | W541      | Monterey Regional Waste Management District - MRWMD L4J09             | Feb-13             | 6/30/2014                    | Jan-2016                              | PASS  | 100                      |

**3 Phases Renewables, LLC**  
**2013 Preliminary Annual 33% RPS Compliance Report**  
**Narrative Reporting Requirements**

1. To date, 3 Phases has not entered into any supply contracts for California RPS-eligible products from facilities that have not yet attained their commercial on-line dates.
2. 3 Phases procured the following RECs produced by RPS-eligible facilities located outside of California in 2013.

| Facility Name              | Location         | Amount        |
|----------------------------|------------------|---------------|
| Juniper Canyon Wind Power  | Bickleton, WA    | 3,111         |
| Juniper Canyon Wind Power  | Bickleton, WA    | 8,517         |
| Campbell Hill              | Glenrock, WY     | 1074          |
| Dunlap I                   | Medicine Bow, WY | 1209          |
| Glenrock I                 | Glenrock, WY     | 1069          |
| High Plains                | Rock River, WY   | 1032          |
| Horseshoe Bend Wind Park   | Great Falls, MT  | 4,809         |
| Seven Mile Hill I          | Medicine Bow, WY | 1,674         |
| High Plains                | Rock River, WY   | 90            |
| Thousand Springs Wind Park | Hagerman, ID     | 259           |
| Seven Mile Hill II         | Medicine Bow, WY | 422           |
| Top of the World           | Glenrock, WY     | 555           |
|                            | <b>TOTAL</b>     | <b>23,821</b> |

3. 3 Phases procured the following unbundled RECs in 2013:

| Facility Name              | Location         | Amount       |
|----------------------------|------------------|--------------|
| Horseshoe Bend Wind Park   | Great Falls, MT  | 4,809        |
| Seven Mile Hill I          | Medicine Bow, WY | 1,674        |
| High Plains                | Rock River, WY   | 90           |
| Thousand Springs Wind Park | Hagerman, ID     | 259          |
| Seven Mile Hill II         | Medicine Bow, WY | 422          |
| Top of the World           | Glenrock, WY     | 555          |
|                            | <b>TOTAL</b>     | <b>7,809</b> |

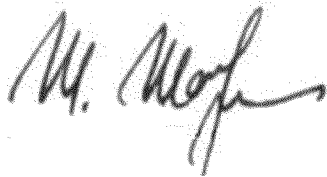
4. 3 Phases has no recommendations at this time with regard to removing impediments to making progress toward achieving California RPS procurement requirements.

**OFFICER VERIFICATION FORM**

I am an officer of the reporting corporation herein, and am authorized to make this verification on its behalf. The statements in the foregoing document are true of my own knowledge, except as to matters which are therein stated on information or belief, and as to those matters I believe them to be true. The spreadsheet format used to file this compliance report has not been altered from the version issued or approved by Energy Division.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on August 1, 2014 at at Manhattan Beach, CA

A handwritten signature in black ink, appearing to read "M. Mazur", with a stylized flourish at the end.

Michael Mazur, Principal