

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS**

**Advice Letter Cover Sheet**

<b>Utility Name:</b> CA Utilities (SWR)	<b>Date Mailed to Service List:</b> 03/23/21
<b>District:</b> n/a	
<b>CPUC Utility #:</b> SWR 418	<b>Protest Deadline (20<sup>th</sup> Day):</b> 04/12/21
<b>Advice Letter #:</b> 79	<b>Review Deadline (30<sup>th</sup> Day):</b> 04/22/21
<b>Tier</b> <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> Compliance	<b>Requested Effective Date:</b> 04/15/21
<b>Authorization</b> Resolution W-4837	
<b>Description:</b> Authorization to collect State Water Resource Control Board and Monterey Bay Unified Air Pollution Control Board fees of \$24,078.39 for 2020, not included in rates.	<b>Rate Impact:</b> \$24,078.39 1.30%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

**Utility Contact:** Thomas R. Adcock

**Phone:** (831) 424-0441

**Email:** [tom@alcowater.com](mailto:tom@alcowater.com)

**Utility Contact 2:** Marino Rodriguez

**Phone 2:** (831) 424-0441

**Email 2:** [marino@alcowater.com](mailto:marino@alcowater.com)

**DWA Contact:** Tariff Unit

**Phone:** (415) 703-1133

**Email:** [Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**DWA USE ONLY**

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

APPROVED

WITHDRAWN

REJECTED

**Signature:** \_\_\_\_\_

**Comments:** \_\_\_\_\_

**Date:** \_\_\_\_\_

\_\_\_\_\_

# CALIFORNIA UTILITIES SERVICE, INC.

A California Corporation

Thomas R. Adcock  
President  
( 831 ) 424 - 0442 Phone

P.O. Box 5100  
Salinas, CA 93915  
( 831 ) 424 - 0611 Fax

March 23, 2020

ADVICE LETTER NO. 79

CALIFORNIA UTILITIES SERVICE, INC (U 418-S)

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California Utilities Service, Inc. (CUS) hereby transmits for filing four copies each of the following tariff schedules, which are attached hereto:

<u>CPUC</u> <u>SHEET NO.</u>	<u>TITLE OF SHEET</u>	<u>CANCELING CPUC</u> <u>SHEET NO.</u>
371-SS	Schedule No. 1, General Flat Rate Service (continued)	362-SS
372-SS	Table of Contents	370-SS

The present rates of California Utilities Service, Inc. (CUS) became effective on March 27, 2020, pursuant to Advice Letter No. 76, which adopted a CPI offset of \$41,400 or 2.3% annually, due to the 2019 CPI-U of 2.3%. The last general rate increase became effective on August 18, 2010 pursuant to Resolution No.W-4837, which authorized a general rate increase of \$723,777 or 88.4%, and a return based on a rate of margin of 21.7%.

Through this advice letter, CUS requests approval of a surcharge of \$21.14 per Equivalent Dwelling Unit (EDU) over a period of eight months for a monthly surcharge of \$2.64 per EDU per month to recover its required regulatory permit fees paid to the State Water Resources Control Board (SWRCB) and the Monterey Bay Unified Air Pollution Control District (MBUAPCD) for invoices dated January 2020 through December 2020. This increase of these paid expenses will not result in a rate of margin which exceeds the authorized rate of margin of 21.7%. Workpapers justifying this recovery have been provided to the CPUC Water Utilities Branch staff.

In CUS's last GRC, CUS requested balancing account treatment for the payment of required regulatory permit fees to the SWRCB and the MBUAPCD. Resolution W-4837 granted balancing account treatment of these fees, as stated below.

## **Background**

Resolution No. W-4837, dated August 12, 2010, established CUS's balancing account in its Paragraph No. 3, which states:

*“California Utilities Service, Inc. is granted balancing account treatment for the payments of required regulatory permit fees to the State Water Resources Control Board and the Monterey Bay Unified Air Pollution Control District”*

By Advice Letter No. 46, CUS updated its tariff sheets to include a balancing account to track payments to the SWRCB and the MBUAPCD.

In compliance with Ordering Paragraph No. 3 of Resolution No. W-4837, CUS respectfully requests authorization to make effective the attached tariff sheets for the collection of its required regulatory permit fees paid to the State Water Resources Control Board and the Monterey Bay Unified Air Pollution Control District as recorded in CUS's balancing account for invoices dated January 2020 through December 2020 in the amount of \$24,552. However, in the previous year, an over collection on the balancing account of \$473.61 was recorded and CUS is requesting that the over collection be used to reduce this year's collection. Therefore, the amount to be recovered is \$24,078.39, or 1.30%, to be collected through a surcharge of \$21.14 per EDU for a period of one month. The enclosed Attachment A shows the calculation of the surcharge and percentage increase.

This filing is made under the provisions of General Order No. 96-B, Water Industry Rules 7.3.1(1) and 7.3.1(3). This filing will not cause withdrawal of service nor conflict with any other schedule or rule.

### **Requested Effective Date**

This filing is a Tier 1 Advice Letter and may be effective the day it is filed; CUS respectfully requests that the effective date of this increase be April 15, 2021.

### **Notice**

In compliance with Section 4.3 of General Order No. 96-B, a copy of this advice letter has been mailed to all parties listed on the enclosed service list. In conformance with Resolution W-4664, Ordering Paragraph 3, California Utilities Service, Inc. will provide notice to its customers of the increase in dollar and percentage terms with the first bill that includes the increase.

### **Protests and Responses:**

Anyone may respond to or protest this advice letter. A response supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds are:

- (1) The utility did not properly serve or give notice of the advice letter;
- (2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions;
- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding; or

- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require relitigating a prior order of the Commission.)

A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

A response or protest must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3rd floor  
California Public Utilities Commission  
505 Van Ness Avenue  
San Francisco, CA 94102  
[water\\_division@cpuc.ca.gov](mailto:water_division@cpuc.ca.gov)

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy of the protest by mail or e-mail to us, addressed to:

California Utilities Service, Inc.  
249 Williams Road  
Salinas, CA 93905  
Fax: (831) 424-0611

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

Replies: The utility shall reply to each protest and may reply to any response. Each reply must be received by the Division of Water and Audits within five business days after the end of the protest period, and shall be served on the same day to the person who filed the protest or response.

California Utilities Service, Inc.

By:           /s/ Thomas R. Adcock            
Thomas R. Adcock  
President

Attachment

Schedule No. 1  
(continued)

GENERAL FLAT RATE SERVICE

SPECIAL CONDITIONS (continued):

15. As authorized by the Commission, pursuant to PU Code Section 792.5 and GO 96-B Water Industry Rules 7.3.1(1) and 8.5, beginning April 25, 2019, and continuing for 24 months, there will be a Purchased Power Balancing Account (PPBA) surcharge in the amount of \$4.39 per EDU per month, except the Toro Park School's surcharge will be \$62.69 per month and the Corral de Tierra Country Club's surcharge will be \$42.58 per month. The purpose of this surcharge is to recover the recorded PPBA under-collections for the years 2010-2018. As required by Section 792.5 of the PU Code, the associated surcharge collections are being tracked in CUS's Purchased Power Balancing Account.

16. As authorized by the California Public Utilities Commission per Resolution No. W-4837 Ordering Paragraph No. 3, all bills are subject to a surcharge of \$2.64 per EDU per month for a period of eight months, except the Toro Park School surcharge will be \$37.70 per month for a period eight months and the Corral de Tierra County Club surcharge will be \$25.61 per month for a period of eight months, to recover the amounts recorded in the Balancing Account for payments to the State Water Resources Control Board (SWRCB) and the Monterey Bay Unified Air Pollution Control District (MBUAPCD) for invoices dated January 2020 through December 2020. This surcharge will become effective for the billing period on or after April 15, 2021 and will continue for a total of eight billing periods.

(D)  
(N)  
|  
(N)

(To be inserted by Utility)  
Advice Letter No. 79

Decision No. \_\_\_\_\_

*Issued by*  
Thomas R. Adcock  
NAME  
President  
TITLE

(To be inserted by Cal. P.U.C.)  
Date Filed \_\_\_\_\_

Effective \_\_\_\_\_

Resolution No. \_\_\_\_\_

CERTIFICATE OF SERVICE

I hereby certify that I have this day served a true copy via first class United States mail and via E-mail of the following document, California Utilities Service, Inc. Advice Letter No. 79, upon the California Public Utilities Commission as shown on the Service List attached hereto, a copy thereof properly addressed to each party. Additionally, I hereby certify that I have this day served a true copy via United States mail of the following document, California Utilities Service, Inc. Advice Letter No. 79, upon all additional parties as shown on the Service List attached hereto, a copy thereof properly addressed to each party.

Executed in Salinas, California, on the 23<sup>rd</sup> day of March 2021.

/s/ Andrea Schmitz  
Andrea Schmitz, Executive Assistant

CALIFORNIA UTILITIES SERVICE, INC.  
ADVICE LETTER NO. 79  
SERVICE LIST  
(AS PER SECTION 7.2 OF GENERAL ORDER NO. 96-B)

Via First Class United States Mail and Via  
E-mail:

Bruce DeBerry  
Water Division  
California Public Utilities Commission  
505 Van Ness Avenue  
San Francisco, CA 94102  
[water\\_division@cpuc.ca.gov](mailto:water_division@cpuc.ca.gov)

Via United States Mail, w/out attachments:

California-American Water Company  
4701 Beloit Drive  
Sacramento, CA 95838-2434

County Clerk  
County Administrative Office  
168 West Alisal Street, 1st Floor  
Salinas, CA 93901

California Utilities Service, Inc.  
 Advice Letter No. 79  
 SWRCB and MBUAPCD Balancing Account  
 Year 2020

**2020 Additions:**

SWRCB Annual Permit - Plant	20,362.00
SWRCB Annual Permit - Collection System	2,848.00
MBUAPCD - Permit Fees	1,342.00
<b>Total SWRCB and MBUAPCD Fees to be Recovered</b>	<u><u>\$ 24,552.00</u></u>

**Surcharge Calculation Per Equivalent Dwelling Unit (EDU):**

Amount to be recovered	\$ 24,552.00
Less: Over-collection from Previous Year	<u>\$ (473.61)</u>
Amount to be recovered	<u><u>\$ 24,078.39</u></u>

Number of EDUs (1,115 + 14.28 + 9.70 )\* 1,138.98

Surcharge per EDU \$ 21.14

Recovery Period in Months 8

Surcharge per EDU per month \$ 2.64

**Percent Calculation:**

2019 Sewer Revenue \$ 1,853,019

Surcharge Amount \$ 24,078.39

Percentage 1.30%

\*One EDU is equivalent to the use of a single family dwelling and Toro Park School and Corral De Tierra County Club have the equivalent of 14.28 EDUs and 9.70 EDUs, respectively. Therefore, to calculate the total surcharge amount per month for the latter two, their respective EDUs are multiplied by the per EDU surcharge amount of \$21.14, as follows:

	EDU	Surcharge / Mo	Total Surcharge Amount
Toro Park School	14.28	\$ 2.64	\$ 37.70
Corral De Tierra Country Club	9.70	\$ 2.64	\$ 25.61
One EDU	1	\$ 2.64	\$ 2.64





# INVOICE

## Annual Permit Fees Required by Sections 13260 & 13269 of the California Water Code

FACILITY ID (WDID): 3 271001001  
FACILITY NAME: CALIFORNIA UTILITIES  
16625 RESERVATION  
SALINAS, CA 93908

INVOICE NO: WD-0178538  
BILLING PERIOD: 07/01/20 - 06/30/21  
INVOICE DATE: 11/24/2020  
INDEX NO: 423412

CALIFORNIA UTILITIES SERVICE  
THOMAS ADCOCK  
P. O. BOX 5100  
SALINAS, CA 93915



Total Amount Due by  
Thursday, December 24, 2020

\$ 20,362.00

**ENTERED**

180600

Invoice details are shown on the back

### STATE WATER RESOURCES CONTROL BOARD Annual Permit Fee

Facility ID: 3 271001001

Billing Period: 07/01/20 - 06/30/21

Invoice No: WD-0178538

Amount Due:

\$ 20,362.00

Due By: Thursday, December 24, 2020

**PLEASE REMIT YOUR PAYMENT ON OR BEFORE THE DUE DATE SHOWN ABOVE. LATE PAYMENT COULD RESULT IN PENALTIES UNDER PROVISIONS OF THE WATER CODE SECTION 13261. THESE ACTIONS COULD INCLUDE DAILY PENALTIES IN ADDITION TO YOUR FEE OR OTHER ACTIONS DEEMED APPROPRIATE BY THE REGIONAL BOARD.**

Make your check payable to State Water Resources Control Board

If you have any questions about this invoice, please call the Water Board at 805-549-3550.

Retain this portion for your records



# INVOICE

## Annual Permit Fees Required by Sections 13260 & 13269 of the California Water Code

FACILITY ID (WDID): 3SSO11512  
FACILITY NAME: CALIFORNIA UTILITIES SERVICE C  
PO BOX 5100  
SALINAS, CA 93915

INVOICE NO: WD-0176106  
BILLING PERIOD: 07/01/20 - 06/30/21  
INVOICE DATE: 11/24/2020  
INDEX NO: 420980



Total Amount Due by  
Thursday, December 24, 2020

\$ 2,848.00

CALIFORNIA UTILITIES SERVICE  
THOMAS R. ADCOCK  
PO BOX 5100  
SALINAS, CA 93915

**ENTERED**

Invoice details are shown on the back

180600

### STATE WATER RESOURCES CONTROL BOARD Annual Permit Fee

Facility ID: 3SSO11512

Billing Period: 07/01/20 - 06/30/21

Invoice No: WD-0176106

Amount Due:

\$ 2,848.00

Due By: Thursday, December 24, 2020

**PLEASE REMIT YOUR PAYMENT ON OR BEFORE THE DUE DATE SHOWN ABOVE. LATE PAYMENT COULD RESULT IN PENALTIES UNDER PROVISIONS OF THE WATER CODE SECTION 13261. THESE ACTIONS COULD INCLUDE DAILY PENALTIES IN ADDITION TO YOUR FEE OR OTHER ACTIONS DEEMED APPROPRIATE BY THE REGIONAL BOARD.**

Make your check payable to State Water Resources Control Board

If you have any questions about this invoice, please call the Water Board at 805-549-3550.

Retain this portion for your records



# ANNUAL RENEWAL INVOICE

104-113020

CALIFORNIA UTILITY SERVICES, INC.  
PO BOX 5100  
SALINAS, CA 93915

Account Number	104
Invoice Date	11/30/2020
Due Date	1/31/2021

\$ \_\_\_\_\_  
AMOUNT REMITTED

Permit Number	Address/Description	Equipment Type	Amount
0017754	16625 RESERVATION ROAD, SALINAS TOXICS	WaterTreatment	209.00
15770	VARIOUS SITES WITHIN DISTRICT, TOXICS	PortableDiesel	577.00
8402C	16625 RESERVATION ROAD, SALINAS TOXICS	IntCombustEng	253.00
<b>Total Amount Due</b>			<b>\$1,342.00</b>

ENTERED

**IMPORTANT**

If payment is not received by DUE DATE, penalties will be assessed from invoice date: 25% after 60 days, add'l 25% after 90 days. Permits expire at 120 days if ALL FEES AND PENALTIES are not paid. Continued operation after expiration may result in fines to \$10,000/day.

**STATE WATER RESOURCES CONTROL BOARD  
INVOICE DETAILS**

**FACILITY ID (WDID):** 3SSO11512  
**ORDER NO:** 2006-0003-DWQ

**INVOICE NO:** WD-0176106  
**BILLING PERIOD:** 07/01/20 - 06/30/21  
**REGION:** 3

<b>DESCRIPTION</b>	<b>AMOUNT</b>
58 WDR Fee Basis: Threat/Complexity 3C	2,848.00
<b>TOTAL AMOUNT DUE</b>	<b>\$ 2,848.00</b>

If you have any questions about this invoice, please call  
the Water Board at 805-549-3550.

or you may send an email (preferred) to: [Timothy.Dinh@waterboards.ca.gov](mailto:Timothy.Dinh@waterboards.ca.gov)

Web Site Address: Visit the Water Quality Fees website for more information: [https://www.waterboards.ca.gov/resources/fees/water\\_quality/](https://www.waterboards.ca.gov/resources/fees/water_quality/)

For payment status of your invoice, please go to the "Stormwater and Wastewater permit Fee Invoice Information" link at

<http://infofees.waterboards.ca.gov/FeeInfo/DischargerInvoice.aspx>

Please allow 10 business days after mailing for your payment to be posted to the database.

**BILLING ADDRESS CORRECTIONS**

Please print the new billing address information in the space provided below

**STATE WATER RESOURCES CONTROL BOARD  
INVOICE DETAILS**

**FACILITY ID (WDID):** 3 271001001  
**ORDER NO:** R3-2007-0008

**INVOICE NO:** WD-0178538  
**BILLING PERIOD:** 07/01/20 - 06/30/21  
**REGION:** 3

<b>DESCRIPTION</b>	<b>AMOUNT</b>
58 WDR Fee Basis: Threat/Complexity 2B	20,362.00
<b>TOTAL AMOUNT DUE</b>	<b>\$ 20,362.00</b>

If you have any questions about this invoice, please call  
the Water Board at 805-549-3550.

or you may send an email (preferred) to: [Timothy.Dinh@waterboards.ca.gov](mailto:Timothy.Dinh@waterboards.ca.gov)

Web Site Address: Visit the Water Quality Fees website for more information: [https://www.waterboards.ca.gov/resources/fees/water\\_quality/](https://www.waterboards.ca.gov/resources/fees/water_quality/)

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