CALIFORNIA PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS

Advice Letter Cover Sheet

Date Mailed to Service List: May 09, 2022

Protest Deadline (20th Day): June 20, 2022

Review Deadline (30th Day): June 30, 2022

Requested Effective Date: June 30, 2022

Rate Impact: \$See AL

Utility Name: California American Water

District: Northern Division

□1 ⊠2

□3

☐ Compliance

CPUC Utility #: U210W

Tier

Advice Letter #: 1370

Authorization

Description:	Schedule 14.1 – Northern Acquisition	S	See AL%
	line for this advice letter is 20 days from th ' section in the advice letter for more infor		was mailed to the service list. Please
Utility Contact:	Preet Nagra	Utility Contact:	Jonathan Morse
Phone:	916-568-4255	Phone:	916-568-4237
Email:	Preet.nagra@amwater.com	Email:	Jonathan.morse@amwater.com
DWA Contact:	: Tariff Unit		
Phone:	: (415) 703-1133		
Email:	: Water.Division@cpuc.ca.gov		
	DWA USE	ONLY	
<u>DATE</u>	STAFF	<u>co</u>	<u>MMENTS</u>
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[]APPROVED	[]WITHI	DRAWN	[] REJECTED
Signature:	Com	ments:	
Date:			



4701 Beloit Drive Sacramento, CA 95838 P (916)-568-4251 F (916) 568-4260

www.amwater.com



May 9, 2022

ADVICE LETTER NO. 1370

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter, including the following tariff sheets applicable to the Meadowbrook, Fruitridge Vista, and Hillview service areas.

Purpose:

The purpose this Advice Letter is to include the Meadowbrook, Fruitridge, and Hillview services areas in Rule 14.1, establish Schedule 14.1 for each of the three service areas and to activate the Stage 2 water use restrictions as described in the Water Shortage Contingency Plan in Section D of Rule 14.1 for each service area.

Request:

The Advice Letter requests the following:

- (1) establishment of Schedule 14.1- MDB Water Shortage Contingency Plan for California American Water's Meadowbrook service area;
- (2) establishment of Schedule 14.1 FRT Water Shortage Contingency Plan for California American Water's Fruitridge service area; and
- (3) establishment of Schedule 14.1 HILL Water Shortage Contingency Plan for California American Water's Hillview service area;
- (4) activation of Stage 2 of the Water Shortage Contingency Plan as authorized per Rule 14.1 and Schedule 14.1 for Meadowbrook, Fruitridge, and Hillview; and
- (5) modification of Rule 14.1 to include Meadowbrook, Fruitridge and Hillview.

Background

Prior Implementation of Rule 14.1 Water Shortage Contingency Plan

California American Water's Rule 14.1 was approved via Advice Letter 831, with an effective date of October 17, 2008. Rule 14.1 has been updated several times since then, including most recently in June 2021 through Advice Letter 1354.

California American Water's Meadowbrook service area is geographically located within Merced County. California American Water's Fruitridge service area is geographically located in the Sacramento area. The Hillview service area is geographically located in Madera County but is part of the Sacramento tariff area for ratemaking purposes.

Rule 14.1 provides that California American Water may file a Tier 2 advice letter to designate a particular stage of its Water Shortage Contingency Plan.

Current Water Supply Conditions and the Need to Implementation of Stage 2

On October 19, 2021, Governor Gavin Newsom issued a Proclamation of a State of Emergency due to drought for the entire state and urged Californians to step up their water conservation efforts as the western U.S. was faced with a third dry year. The Proclamation, which is still in effect, asked local water suppliers to activate water shortage contingency plans at a level appropriate for local supply conditions.

On March 28, 2022, Governor Gavin Newsom issued Executive Order N-7-22, calling on all Californians to limit water use and use water more efficiently, and asking the State Water Resources Control Board to consider adopting emergency regulations that require calling on water providers to activate their customized Water Shortage Contingency Plans and move to "Level 2." The Executive Order comes after the driest first three months of a year in recorded California history and follow drought-related proclaimed states of emergency that continue today in all counties across the state.

Water Shortage Contingency Plans, required by state law, are developed by local water utilities to navigate drought and each plan is customized based on an agency's unique infrastructure and management. Triggering Level 2 of these plans involves implementing water conservation actions, like mandatory watering/irrigation schedules, to prepare for a water shortage level of up to 20 percent. In response to the Executive Order and in anticipation of the State Water Resources Control Board mandating the move to Stage 2,¹ California American Water is requesting authorization to activate Stage 2 of its Water Shortage Contingency plan and is asking customers to avoid prohibited uses of water, fix leaks promptly, and reduce water use outdoors.

Customer Noticing

In terms of customer outreach, the Public Advisor's Office has recently reviewed and approved notices activating Stage 2 for other service areas and California American Water has prepared similar draft customer notices for the Meadowbrook, Fruitridge, and Hillview service areas. California American Water notices contain updates and detailed information about Rule and Schedule 14.1, for its Meadowbrook, Fruitridge, and Hillview service area customers. California American Water anticipates mailing this notice to customers on or around May 17, 2022 and placing newspaper notices on or around June 1, 2022. Virtual public hearings for each service area are set for June 14, 2022 to discuss the filing and get customer input. Information on the virtual public hearing is provided in the customer notice. Additionally, California American Water has been communicating with customers about the drought and the programs we have available through direct mail, bill inserts, earned media and social media.

Tier Designation:

¹ The State Water Resources Control Board has indicated that it may require implementation of Stage 2 by June 10, 2022, but that deadline has not yet been finalized.

These tariffs are submitted pursuant to General Order No. 96-B and this advice letter is designated as a Tier 2 filing. California American Water is simply requesting an update of its tariffs so that it is in compliance with Executive Order B-40-17.

Effective Date:

California American requests an effective date of June 30, 2022.

Service List:

Service Lists – In accordance with General Rules 4.3 and 7.2, and Water Industry Rule 4.1, of General Order (GO) 96-B, Cal-Am served copies of AL 1370 to adjacent utilities and other parties requesting such notification, on April 12, 2022. Please note that, consistent with the Commission's guidelines for service during the COVID-19 pandemic, this advice letter is only being distributed electronically.

RESPONSE OR PROTEST²

Anyone may submit a response or protest for this AL. When submitting a response or protest, please include the utility name and advice letter number in the subject line.

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds³ are:

- 1. The utility did not properly serve or give notice of the AL;
- 2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- 3. The analysis, calculations, or data in the AL contain material error or omissions;
- 4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
- 5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- 6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.
- 7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (<u>or</u> postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, <u>please include the utility name and advice letter number in the subject line.</u>

The addresses for submitting a response or protest are:

Email Address: Mailing Address:

² G.O. 96-B, General Rule 7.4.1

³ G.O. 96-B, General Rule 7.4.2

Water.Division@cpuc.ca.gov CA Public Utilities Commission

Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

Email Address:	Mailing Address:
----------------	------------------

ca.rates@amwater.com 4701 Beloit Drive

Sacramento, CA 95838

preet.nagra@amwater.com 4701 Beloit Drive

Sacramento, CA 95838

sarah.leeper@amwater.com 555 Montgomery Street, Ste. 916

San Francisco, CA 94111

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES⁴

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Preet Nagra

Preet Nagra Operations Specialist

⁴ G.O. 96-B, General Rule 7.4.3

		Cancelling
Cal P.U.C. Sheet No.	Title of Sheet	Cal P.U.C. Sheet No.
XXXXX-W	Rule No. 14.1. WATER SHORTAGE CONTINGENCY PLAN ALL AREAS EXCEPT MONTEREY MAIN SYSTEM Sheet 1	10323-W
XXXXX-W	Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA Sheet 1	
XXXXX-W	Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA Sheet 2	
XXXXX-W	Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA Sheet 3	
XXXXX-W	Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA Sheet 4	
XXXXX-W	Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA Sheet 5	
XXXXX-W	Schedule No. 14.1 - HILL WATER SHORTAGE CONTINGENCY PLAN HILLVIEW SERVICE AREA Sheet 1	
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XXXXX-W	Schedule No. 14.1 - HILL WATER SHORTAGE CONTINGENCY PLAN HILLVIEW SERVICE AREA Sheet 5	

Attachment 1 Advice 1370 - 14.1 NOR ACQ

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
XXXXX-W	SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA Sheet 1	
XXXXX-W	SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA Sheet 2	
XXXXX-W	SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA Sheet 3	
XXXXX-W	SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA Sheet 4	
XXXXX-W	SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA Sheet 5	

San Diego, CA 92101

655 W. Broadway, Suite 1410

Revised Cancelling Revised Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No. XXXXX-W 10323-W

Rule No. 14.1. WATER SHORTAGE CONTINGENCY PLAN ALL AREAS EXCEPT MONTEREY MAIN SYSTEM

Sheet 1

A utility's water supply may be interrupted or reduced due to a variety of circumstances, for instance, a drought or a catastrophic event, such as an earthquake or fire that damages water delivery and storage facilities, or a power outage that affects water treatment or the pumping of water to customers, or in response to legal or court-imposed restrictions. This Water Shortage Contingency Plan ("Plan") enables the utility to respond effectively to a wide variety of water supply conditions or catastrophic events that cause severe water shortages from time to time.

A. GENERAL INFORMATION:

This rule is applicable within all systems served by California American Water Company and are listed on their tariffs except the Monterey Main System in Monterey County. Systems covered by this rule in the Southern Division include the districts of San Diego County District, the Los Angeles County District, comprised of the Duarte, San Marino, and Baldwin Hills Districts, the Ventura County District, the Rio Plaza and the East Pasadena Service Areas. It also covers the Northern Division districts of Fruitridge Vista, Hillview, Meadowbrook, Larkfield and Sacramento, which includes Dunnigan and Geyserville. This rule is also applicable to the Central Satellite systems in the Central Division which include the Toro, Ambler Park, Ralph Lane, Garrapata, and Chualar service areas. The Monterey Main System in Monterey County is included in a separate Water Shortage Contingency Plan.

Should supply conditions or government directives dictate, prior to, or in response to, a declaration of emergency issued by a water wholesaler or other government agency, a utility may request permission from the Commission to add a Schedule 14.1 - Staged Water Contingency Plan for one or more of the above districts, which sets forth charges for water waste and drought surcharge rates applicable to quantities of water consumed.

After Schedule No. 14.1 has been activated and the utility determines that water supplies are again sufficient to meet demands, and staged reduction measures are no longer necessary, the utility shall seek Commission authority via a Tier 1 advice letter to de-activate the particular stage of reduction that was previously authorized.

Unless otherwise specified in the Rule or Schedule 14.1, all expenses incurred by utility to implement Rule 14.1 and Schedule 14.1 and requirements of the California State Water Resources Control Board ("SWRCB") that have not been considered in a General Rate Case or other proceeding shall be accumulated in a separate memorandum account, authorized in Resolution W-4976, for disposition as directed or authorized from time to time by the Commission.

To the extent that a Stage of Water Shortage Contingency Plan in Schedule 14.1 has been activated, and a provision of this Rule is inconsistent with the activated Stage in Schedule 14.1, the provisions of Schedule 14.1 apply.

The utility shall make available to its customers water conservation kits as required by Rule 21. The utility shall notify all customers, at least annually, of the availability of conservation kits and other helpful information via bill inserts or direct mailers.

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1370	J. T. LINAM	Date Filed
Decision	DIRECTOR - Rates & Regulatory	Effective
		Resolution

Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA

Sheet 1

A. APPLICABILITY

(N)

- This schedule applies to all water customers served under all tariff schedules authorized by the Commission for Fruitridge Vista Service Area. It is only effective in times of implementation of the Water Shortage Contingency Plan enforcement stages, as required by Rule No. 14.1, and only for the period noted in the Special Conditions section below.
- 2. This Schedule shall remain dormant until activated by Commission authorization via a Tier 2 advice letter.
- Once the Schedule is activated, utility can implement Stages of the Schedule by filing a Tier 2 advice letter
- 4. When this schedule is activated, it shall remain in effect until the utility files a Tier 1 advice letter to deactivate a specific stage of the Water Shortage Contingency Plan and such is authorized by the Commission.

B. TERRITORY

 This Schedule applies to all customers in the Fruitridge Vista Service Area. All other customers served by California-American Water Company are excluded from this particular tariff but are included in separate and distinct Water Shortage Contingency Plans.

C. STAGES

- Stage 1 of the Water Shortage Contingency Plan enacts water conservation requirements established in Rule 14.1 Section D. The non-essential or unauthorized water uses in Section D are in effect at all times.
- 2. Stage 2 First Enforcement Stage of the Water Shortage Contingency Plan A Stage 2 Water Shortage Contingency Plan condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 2 of the Water Shortage Contingency Plan will be enacted upon a determination that water usage should be further reduced from current levels, that a temporary water emergency exists necessitating implementation or that the requirements of Stage 1 are ineffective in complying with the necessary reduction.
- 3. Stage 3 Second Enforcement Stage of the Water Shortage Contingency Plan A Stage 3 Water Shortage Contingency Plan Condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 3 will be enacted upon a determination that water usage should be reduced further from current levels, that a temporary water emergency exists necessitating implementation or that the requirements in Stages 1 and 2 are ineffective in complying with the necessary reduction.

(N)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1370	J. T. LINAM	Date Filed
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		Resolution

Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA

Sheet 2

C. STAGES (Continued)

(N)

- 4. Stage 4 Third Enforcement Stage of the Water Shortage Contingency Plan A Stage 4 Water Shortage Contingency Plan condition is also referred to as an "Emergency" condition. A Stage 4 condition exists when it is determined that a critical water shortage emergency exists, or that the measures in Stages 1 through 3 are ineffective in complying with a necessary reduction.
- 5. Stage 5 Mandatory Rationing. A rationing plan will be implemented when it is determined that the efforts in Stage 4 are insufficient to meet the regulatory or physical limitations of the available water supply.

D. WATER USE VIOLATION FINE

- 1. When an Enforcement Stage of the Water Shortage Contingency Plan has been activated by Commission authorization, the water use restrictions of Stage 1 in the Water Shortage Contingency Plan in Section D of Rule 14.1 become subject to fines and penalties imposed by the utility. The utility will first work closely with local law enforcement and public agencies charged with enforcing the mandatory water use restrictions. However, should the utility find that the local agency is not effectively enforcing the mandatory use restrictions, the utility, after written warnings, such as door hangers and letters, may begin to issue fines. If a customer is seen violating the water use restrictions, as outlined in Rule No. 14.1 and the Special Conditions below, the customer will be subject to the following fine structure:
 - a. First offense: Written warning, including explanation of penalty for subsequent offense.
 - b. Second offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and \$100 fine.
 - c. Third offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$250 fine.
 - d. Fourth offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$500 fine.
 - e. Fifth offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and service termination pursuant to Rule 11 and a \$500 fine.
 - f. Sixth offense within 1 year. (of the same restriction): Installation of a flow restricting device on customer's water meter for duration of enforcement stage of the Water Contingency Plan.
- 2. Offenses for separate water use restrictions will each start at the warning stage.
- The water use violation fine is in addition to the regular rate schedule charges and any applicable drought surcharge rates.

(N)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1370	J. T. LINAM	Date Filed
Decision	DIRECTOR - Rates & Regulatory	Effective
		Resolution

Original

Cal. P.U.C. Sheet No.

XXXXX-W

655 W. Broadway, Suite 1410 San Diego, CA 92101

Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA

Sheet 3

E. APPLICABLE PENALTY RATES

(N)

1. No drought surcharge rates will be applicable to Fruitridge Vista customers until meters are installed and customers begin billing under the volumetric tariff.

F. ENFORCEMENT

- 1. Letter/Fine: From second violation of the same restriction within a one year period and onwards, a violation letter will be posted on property and sent to billing address, if different.
- Aging of violation: Violations will accrue for the period of one year and be considered corrected and expunged one year after the violation occurs. The purpose of this rule is to prevent discrete violations from accruing in the event of a multi-year enforcement of the Water Contingency Plan.

(N)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1370	J. T. LINAM	Date Filed
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Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA

Sheet 4

3. Applies to all Enforcement Stages of Water Shortage Contingency Plan.

(N)

0.7 (pp::00 to aii Eiii	Violation 1	Valer Unortage Control Violation 2	Violation 3	Violation 4	Violation 5/6 ⁽²⁾
	V IOIAUOIT I	(of the same	(of the same	(of the same	(of the same
		restriction)	restriction)	restriction)	restriction)
Proof of	Employee or	Verification with	Verification with	Verification	Verification
violation	Customer reports,	a written report	a written report	with a written	with a written
	with no	_	-		
		by employee or	by employee or	report by	report by
	additional	contractor of	contractor of	employee or	employee or
	verification	CAW	CAW	contractor of	contractor of
	required			CAW	CAW
Letter/fine	Warning letter	Violation letter	Violation letter	Violation letter	Violation letter
	mailed to premise	posted and	posted and	posted and	posted and mailed,
	and billing	mailed with \$100	mailed with \$250	mailed with \$500	shut off per Rule 11
	address	penalty on next	penalty on next	penalty on next	and \$500 penalty on
		bill	bill	bill	next bill
Fixing leaks	Customer has:	Customer has:	Customer has:	Customer has:	Customer has:
Stage 1	5 days	5 days	5 days	5 days	5 days
Stage 2	72 hours	72 hours	72 hours	72 hours	72 hours
Stage 3	24 hours	24 hours	24 hours	24 hours	24 hours
Stage 4	Immediate	Immediate	Immediate	Immediate	Immediate
Time to	5 days	5 days	5 days	5 days	5 days
correct					
violation			10.1	10.4	
Time customer	14 days to contact	14 days to	10 days to file an	10 days to file an	10 days to file an
has to request variance of the	CAW in writing	contact CAW in	appeal with CAW	appeal with CAW	appeal with CAW
alleged		writing	in writing	in writing	in writing
violation					
If the customer	Further reported	If the customer	If the customer	If the customer	If the customer
does not agree	violations of the	disagrees with	disagrees with	disagrees with	disagrees with
with CAW's	same restricted use	CAW's	CAW's	CAW's	CAW's resolution,
resolution	will not be counted	resolution, they	resolution,	resolution, they	they may file a
(1)	in the determination	may file a	they may file a	may file a	formal complaint
Reference	of further action	formal	formal	formal	with the CPUC
Section	until one week after	complaint with	complaint with	complaint with	
K of Rule 14.1	the variance	the CPUC	the CPUC	the CPUC	
	request is resolved				
(4)	annealed the receipt of the ne	<u> </u>	<u> </u>	L	

⁽¹⁾ If a customer has appealed the receipt of the penalty, the penalty will continue to be posted on the customer's account, but will not result in further service action, until at least 14 days after the resolution of appeals. Once resolved, if in the customers favor, the penalty will be immediately removed from the account. If not resolved in the customers favor, then the penalty will be due and payable as part of the next billing cycle and subject to all such further actions as with any other billed charge.

(N)

(Continued)

ISSUED BY (TO BE INSERTED BY C.P.U.C.)

J. T. LINAM Date Filed

Advice 1370 J. T. LINAM
Decision DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY UTILITY)

Rates & Regulatory Effective Resolution

⁽²⁾ For violation 6 instead of shut-off per Rule 11 and \$500 penalty, a flow restrictor will be installed for duration of enforcement.

Original

Cal. P.U.C. Sheet No.

XXXXX-W

655 W. Broadway, Suite 1410 San Diego, CA 92101

Schedule No. 14.1 - FRT WATER SHORTAGE CONTINGENCY PLAN FRUITRIDGE VISTA SERVICE AREA

Sheet 5

G. FLOW RESTRICTOR REMOVAL CHARGE

(N)

The charge for removal of a flow-restricting device and/or reconnecting water service shall be:

Connection Size Removal Charges

5/8" to 1" \$150.00 1-1/2" to 2" \$200 3" and larger Actual Cost

H. SPECIAL CONDITIONS:

- 1. The Tier 2 advice letter requesting activation of any Enforcement Stage of Schedule 14.1 shall include documentation of the overall water shortage justifying activation of that particular stage.
- 2. This tariff schedule shall remain in effect until the utility files a Tier 1 advice letter to deactivate specific stage of Water Shortage Contingency Plan and such is authorized by the Commission.
- 3. Water use violation fines must be separately identified on each bill.
- 4. Water penalty surcharges must be separately identified on each bill.
- 5. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
- 6. All monies collected by the utility through drought surcharges or penalties or fees for water use violations shall be booked to the Water Revenue Adjustment Mechanism (WRAM) or a memorandum account to offset recovery of lost revenues. All flow restrictor removal charges collected by the utility and all expenses incurred by the utility to implement Rule 14.1 and Schedule 14.1, and the requirements of the California State Water Board Resources Control Board ("SWRCB"), or other agencies, that have not been considered in a General Rate Case or other proceeding, shall be tracked in a memorandum account for disposition as directed or authorized from time to time by the Commission and shall be recoverable by the utility if determined to be reasonable by the Commission.

(N)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
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Schedule No. 14.1 - HILL WATER SHORTAGE CONTINGENCY PLAN HILLVIEW SERVICE AREA

Sheet 1

A. APPLICABILITY

(N)

- This schedule applies to all water customers served under all tariff schedules authorized by the Commission for the Hillview Service Area. It is only effective in times of implementation of the Water Shortage Contingency Plan enforcement stages, as required by Rule No. 14.1, and only for the period noted in the Special Conditions section below.
- 2. This Schedule shall remain dormant until activated by Commission authorization via a Tier 2 advice letter.
- 3. Once the Schedule is activated, utility can implement Stages of the Schedule by filing a Tier 2 advice letter
- 4. When this schedule is activated, it shall remain in effect until the utility files a Tier 1 advice letter to deactivate a specific stage of the Water Shortage Contingency Plan and such is authorized by the Commission.

B. TERRITORY

1. This Schedule applies to all customers in the Hillview Service Area. All other customers served by California-American Water Company are excluded from this particular tariff, but are included in separate and distinct Water Shortage Contingency Plans.

C. STAGES

- 1. Stage 1 of the Water Shortage Contingency Plan enacts water conservation requirements established in Rule 14.1 Section D. The non-essential or unauthorized water uses in Section D are in effect at all times.
- 2. Stage 2 First Enforcement Stage of the Water Shortage Contingency Plan A Stage 2 Water Shortage Contingency Plan condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 2 of the Water Shortage Contingency Plan will be enacted upon a determination that water usage should be further reduced from current levels, that a temporary water emergency exists necessitating implementation or that the requirements of Stage 1 are ineffective in complying with the necessary reduction.
- 3. Stage 3 Second Enforcement Stage of the Water Shortage Contingency Plan A Stage 3 Water Shortage Contingency Plan Condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 3 will be enacted upon a determination that water usage should be reduced further from current levels, that a temporary water emergency exists necessitating implementation or that the requirements in Stages 1 and 2 are ineffective in complying with the necessary reduction.

(N)

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Schedule No. 14.1 - HILL WATER SHORTAGE CONTINGENCY PLAN HILLVIEW SERVICE AREA

Sheet 2

C. STAGES

(N)

- 4. Stage 4 Third Enforcement Stage of the Water Shortage Contingency Plan A Stage 4 Water Shortage Contingency Plan condition is also referred to as an "Emergency" condition. A Stage 4 condition exists when it is determined that a critical water shortage emergency exists, or that the measures in Stages 1 through 3 are ineffective in complying with a necessary reduction.
- Stage 5 Mandatory Rationing. A rationing plan will be implemented when it is determined that the efforts in Stage 4 are insufficient to meet the regulatory or physical limitations of the available water supply.

D. WATER USE VIOLATION FINE

- 1. When an Enforcement Stage of the Water Shortage Contingency Plan has been activated by Commission authorization, the water use restrictions of Stage 1 in the Water Shortage Contingency Plan in Section D of Rule 14.1 become subject to fines and penalties imposed by the utility. The utility will first work closely with local law enforcement and public agencies charged with enforcing the mandatory water use restrictions. However, should the utility find that the local agency is not effectively enforcing the mandatory use restrictions, the utility, after written warnings, such as door hangers and letters, may begin to issue fines. If a customer is seen violating the water use restrictions, as outlined in Rule No. 14.1 and the Special Conditions below, the customer will be subject to the following fine structure:
 - a. First offense: Written warning, including explanation of penalty for subsequent offense.
 - Second offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and \$100 fine.
 - c. Third offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$250 fine.
 - d. Fourth offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$500 fine.
 - e. Fifth offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and service termination pursuant to Rule 11 and a \$500 fine.
 - f. Sixth offense within 1 year. (of the same restriction): Installation of a flow restricting device on customer's water meter for duration of enforcement stage of the Water Contingency Plan.
- 2. Offenses for separate water use restrictions will each start at the warning stage.
- 3. The water use violation fine is in addition to the regular rate schedule charges and any applicable (N) drought surcharge rates.

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1370	J. T. LINAM	Date Filed
Decision	DIRECTOR - Rates & Regulatory	Effective
		Resolution

Original

Cal. P.U.C. Sheet No.

XXXXX-W

655 W. Broadway, Suite 1410 San Diego, CA 92101

Schedule No. 14.1 - HILL WATER SHORTAGE CONTINGENCY PLAN HILLVIEW SERVICE AREA

Sheet 3

E. APPLICABLE PENALTY RATES

(N)

- 1. When in Stage 3 of the Water Shortage Contingency Plan a surcharge rate be will be added to all residential water usage in excess of 8 CCF. The surcharge rate will be equal to the Tier 3 residential rate in effect at the time Stage 3 is enacted. The surcharge rate for low-income customers will be 50% of the Stage 3 surcharge rate. For all other customers there will be no surcharge imposed at Stage 3.
- 2. When in Stage 4 of the Water Shortage Contingency Plan The Stage 3 residential drought surcharge rates will be tripled for all usage in excess of 8 CCF in Stage 4. The surcharge rate for low-income customers will be 50% of the residential Stage 4 surcharge rate. All other customers will pay a drought surcharge rate of 25% of the regular rate on all usage in Stage 4.
- 3. Rule 14.1 includes provisions to allow customers to seek a variance to the drought surcharge rates. Those residential customers who prevail in their request for a variance will receive a 50% increase in the amount of usage not subject to the surcharge rate. The usage not subject to the surcharge rate would be increased from 8 CCF to 12 CCF.

F. ENFORCEMENT

- Letter/Fine: From second violation of the same restriction within a one-year period and onwards, a violation letter will be posted on property and sent to billing address, if different.
- Aging of violation: Violations will accrue for the period of one year and be considered corrected and expunged one year after the violation occurs. The purpose of this rule is to prevent discrete violations from accruing in the event of a multi-year enforcement of the Water Contingency Plan.

(N)

(Continued)

(TO BE INSERTED BY UTILITY)

Advice 1370

J. T. LINAM

Date Filed

Decision

DIRECTOR - Rates & Regulatory

Resolution

Schedule No. 14.1 - HILL WATER SHORTAGE CONTINGENCY PLAN HILLVIEW SERVICE AREA

Sheet 4

3. Applies to all Enforcement Stages of Water Shortage Contingency Plan.

(N)

5. Applies to all Enior	cement Stages of v	Vater Shortage Conti	ngency Plan.		
	Violation 1	Violation 2	Violation 3	Violation 4	Violation 5/6 ⁽²⁾
		(of the same	(of the same	(of the same	(of the same
		restriction)	restriction)	restriction)	restriction)
Proof of violation	Employee or	Verification with	Verification with	Verification	Verification
	Customer reports,	a written report	a written report	with a written	with a written
	with no	by employee or	by employee or	report by	report by
	additional	contractor of	contractor of	employee or	employee or
	verification	CAW	CAW	contractor of	contractor of
	required			CAW	CAW
Letter/fine	Warning letter	Violation letter	Violation letter	Violation letter	Violation letter
	mailed to premise	posted and	posted and	posted and	posted and mailed,
	and billing	mailed with \$100	mailed with \$250	mailed with \$500	shut off per Rule 11
	address	penalty on next	penalty on next	penalty on next	and \$500 penalty on
		bill	bill	bill	next bill
Fixing leaks	Customer has:	Customer has:	Customer has:	Customer has:	Customer has:
Stage 1	5 days	5 days	5 days	5 days	5 days
Stage 2	72 hours	72 hours	72 hours	72 hours	72 hours
Stage 3	24 hours	24 hours	24 hours	24 hours	24 hours
Stage 4	Immediate	Immediate	Immediate	Immediate	Immediate
Time to correct	5 days	5 days	5 days	5 days	5 days
violation	44.1	44.1	10.1 (71	10.1 (71	40.1 771
Time customer	14 days to	14 days to	10 days to file an	10 days to file an	10 days to file an
has to request variance of the	contact CAW in	contact CAW in	appeal with CAW	appeal with CAW	appeal with CAW
alleged	writing	writing	in writing	in writing	in writing
violation					
If the customer	Further reported	If the customer	If the customer	If the customer	If the customer
does not agree	violations of the	disagrees with	disagrees with	disagrees with	disagrees with
with CAW's	same restricted	ČAW's	CAW's	ČAW's	CAW's resolution,
resolution	use will not be	resolution, they	resolution,	resolution, they	they may file a
(1)	counted in the	may file a	they may file a	may file a	formal complaint
D 0 0 0	determination of	formal	formal	formal	with the CPUC
Reference Section	further action	complaint with	complaint with	complaint with	
K of Rule 14.1	until one week	the CPUC	the CPUC	the CPUC	
	after the variance				
	request is				
	resolved				

⁽¹⁾ If a customer has appealed the receipt of the penalty, the penalty will continue to be posted on the customer's account, but will not result in further service action, until at least 14 days after the resolution of appeals. Once resolved, if in the customers favor, the penalty will be immediately removed from the account. If not resolved in the customers favor, then the penalty will be due and payable as part of the next billing cycle and subject to all such further actions as with any other billed charge.

(2) For violation 6 instead of shut-off per Rule 11 and \$500 penalty, a flow restrictor will be installed for duration of enforcement.

(N)

(Continued	,
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(TO BE IN	NSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)	
Advice	1370	J. T. LINAM	Date Filed	
Decision		DIRECTOR - Rates & Regulatory	Effective	
			Resolution	

Schedule No. 14.1 - HILL WATER SHORTAGE CONTINGENCY PLAN HILLVIEW SERVICE AREA

Sheet 5

G. FLOW RESTRICTOR REMOVAL CHARGE

(N)

The charge for removal of a flow-restricting device and/or reconnecting water service shall be:

Connection Size Removal Charges

5/8" to 1" \$150.00 1-1/2" to 2" \$200 3" and larger Actual Cost

H. SPECIAL CONDITIONS:

- 1. The Tier 2 advice letter requesting activation of any Enforcement Stage of Schedule 14.1 shall include documentation of the overall water shortage justifying activation of that particular stage.
- 2. This tariff schedule shall remain in effect until the utility files a Tier 1 advice letter to deactivate specific stage of Water Shortage Contingency Plan and such is authorized by the Commission.
- 3. Water use violation fines must be separately identified on each bill.
- 4. Water penalty surcharges must be separately identified on each bill.
- 5. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
- 6. All monies collected by the utility through drought surcharges or penalties or fees for water use violations shall be booked to the Water Revenue Adjustment Mechanism (WRAM) or a memorandum account to offset recovery of lost revenues. All flow restrictor removal charges collected by the utility and all expenses incurred by the utility to implement Rule 14.1 and Schedule 14.1, and the requirements of the California State Water Board Resources Control Board ("SWRCB"), or other agencies, that have not been considered in a General Rate Case or other proceeding, shall be tracked in a memorandum account for disposition as directed or authorized from time to time by the Commission and shall be recoverable by the utility if determined to be reasonable by the Commission.

(N)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1370	J. T. LINAM	Date Filed
Decision	DIRECTOR - Rates & Regulatory	Effective
		Resolution

SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA

Sheet 1

A. APPLICABILITY

(N)

- This schedule applies to all water customers served under all tariff schedules authorized by the Commission for Meadowbrook Service Area. It is only effective in times of implementation of the Water Shortage Contingency Plan enforcement stages, as required by Rule No. 14.1, and only for the period noted in the Special Conditions section below.
- 2. This Schedule shall remain dormant until activated by Commission authorization via a Tier 2 advice letter.
- 3. Once the Schedule is activated, utility can implement Stages of the Schedule by filing a Tier 2 advice letter
- 4. When this schedule is activated, it shall remain in effect until the utility files a Tier 1 advice letter to deactivate a specific stage of the Water Shortage Contingency Plan and such is authorized by the Commission.

B. TERRITORY

1. This Schedule applies to all customers in the Meadowbrook Service Area. All other customers served by California-American Water Company are excluded from this particular tariff, but are included in separate and distinct Water Shortage Contingency Plans.

C. STAGES

- Stage 1 of the Water Shortage Contingency Plan enacts water conservation requirements established in Rule 14.1 Section D. The non-essential or unauthorized water uses in Section D are in effect at all times.
- 2. Stage 2 First Enforcement Stage of the Water Shortage Contingency Plan A Stage 2 Water Shortage Contingency Plan condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 2 of the Water Shortage Contingency Plan will be enacted upon a determination that water usage should be further reduced from current levels, that a temporary water emergency exists necessitating implementation or that the requirements of Stage 1 are ineffective in complying with the necessary reduction.
- 3. Stage 3 Second Enforcement Stage of the Water Shortage Contingency Plan A Stage 3 Water Shortage Contingency Plan Condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 3 will be enacted upon a determination that water usage should be reduced further from current levels, that a temporary water emergency exists necessitating implementation or that the requirements in Stages 1 and 2 are ineffective in complying with the necessary reduction.

(N)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
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Decision	DIRECTOR - Rates & Regulatory	Effective
		Resolution

SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA

Sheet 2

C. STAGES

(N)

(N)

- 4. Stage 4 Third Enforcement Stage of the Water Shortage Contingency Plan A Stage 4 Water Shortage Contingency Plan condition is also referred to as an "Emergency" condition. A Stage 4 condition exists when it is determined that a critical water shortage emergency exists, or that the measures in Stages 1 through 3 are ineffective in complying with a necessary reduction.
- 5. Stage 5 Mandatory Rationing. A rationing plan will be implemented when it is determined that the efforts in Stage 4 are insufficient to meet the regulatory or physical limitations of the available water supply.

D. WATER USE VIOLATION FINE

- When an Enforcement Stage of the Water Shortage Contingency Plan has been activated by Commission authorization, the water use restrictions of Stage 1 in the Water Shortage Contingency Plan in Section D of Rule 14.1 become subject to fines and penalties imposed by the utility. The utility will first work closely with local law enforcement and public agencies charged with enforcing the mandatory water use restrictions. However, should the utility find that the local agency is not effectively enforcing the mandatory use restrictions, the utility, after written warnings, such as door hangers and letters, may begin to issue fines. If a customer is seen violating the water use restrictions, as outlined in Rule No. 14.1 and the Special Conditions below, the customer will be subject to the following fine structure:
 - a. First offense: Written warning, including explanation of penalty for subsequent offense.
 - Second offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and \$100 fine.
 - c. Third offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$250 fine.
 - Fourth offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$500 fine.
 - e. Fifth offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and service termination pursuant to Rule 11 and a \$500 fine.
 - f. Sixth offense within 1 year. (of the same restriction): Installation of a flow restricting device on customer's water meter for duration of enforcement stage of the Water Contingency Plan.
- 2. Offenses for separate water use restrictions will each start at the warning stage.
- 3. The water use violation fine is in addition to the regular rate schedule charges and any applicable drought surcharge rates.

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1370	J. T. LINAM	Date Filed
Decision	DIRECTOR - Rates & Regulatory	Effective
		Resolution

SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA

Sheet 3

E. APPLICABLE PENALTY RATES

(N)

- 1. When in Stage 3 of the Water Shortage Contingency Plan a surcharge rate be will be added to all residential water usage in excess of 34 CGL. The surcharge rate will be equal to the Tier 3 residential rate in effect at the time Stage 3 is enacted. The surcharge rate for low-income customers will be 50% of the Stage 3 surcharge rate. For all other customers there will be no surcharge imposed at Stage 3.
- 2. When in Stage 4 of the Water Shortage Contingency Plan The Stage 3 residential drought surcharge rates will be tripled for all usage in excess of 34 CGL in Stage 4. The surcharge rate for low-income customers will be 50% of the residential Stage 4 surcharge rate. All other customers will pay a drought surcharge rate of 25% of the regular rate on all usage in Stage 4.
- 3. Rule 14.1 includes provisions to allow customers to seek a variance to the drought surcharge rates. Those residential customers who prevail in their request for a variance will receive a 50% increase in the amount of usage not subject to the surcharge rate. The usage not subject to the surcharge rate would be increased from 34 CGL to 51 CGL.

F. ENFORCEMENT

- 1. Letter/Fine: From second violation of the same restriction within a one-year period and onwards, a violation letter will be posted on property and sent to billing address, if different.
- Aging of violation: Violations will accrue for the period of one year and be considered corrected and expunged one year after the violation occurs. The purpose of this rule is to prevent discrete violations from accruing in the event of a multi-year enforcement of the Water Contingency Plan.

(IN)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1370	J. T. LINAM	Date Filed
Decision	DIRECTOR - Rates & Regulatory	Effective
		Resolution

(N)

655 W. Broadway, Suite 1410 San Diego, CA 92101

SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA

Sheet 4

Applies to all Enforc	Violation 1	Violation 2	Violation 3	Violation 4	Violation 5/6 ⁽²⁾
		(of the same	(of the same	(of the same	(of the same
		restriction)	restriction)	restriction)	restriction)
Proof of violation	Employee or	Verification with	Verification with	Verification	Verification
	Customer reports,	a written report	a written report	with a written	with a written
	with no	by employee or	by employee or	report by	report by
	additional	contractor of	contractor of	employee or	employee or
	verification	CAW	CAW	contractor of	contractor of
	required			CAW	CAW
Letter/fine	Warning letter	Violation letter	Violation letter	Violation letter	Violation letter
	mailed to premise	posted and	posted and	posted and	posted and mailed,
	and billing	mailed with \$100	mailed with \$250	mailed with \$500	shut off per Rule 11
	address	penalty on next	penalty on next	penalty on next	and \$500 penalty or
		bill	bill	bill	next bill
Fixing leaks	Customer has:	Customer has:	Customer has:	Customer has:	Customer has:
Stage 1	5 days	5 days	5 days	5 days	5 days
Stage 2	72 hours	72 hours	72 hours	72 hours	72 hours
Stage 3	24 hours	24 hours	24 hours	24 hours	24 hours
Stage 4	Immediate	Immediate	Immediate	Immediate	Immediate
Time to correct violation	5 days	5 days	5 days	5 days	5 days
Time customer	14 days to	14 days to	10 days to file an	10 days to file an	10 days to file an
has to request	contact CAW in	contact CAW in	appeal with CAW	appeal with CAW	appeal with CAW
variance of the	writing		in writing	in writing	in writing
المعملاه					
alleged	witting	writing	in writing	in writing	in wrung
alleged violation					
violation If the customer	Further reported	If the customer	If the customer	If the customer	If the customer
violation If the customer does not agree		If the customer disagrees with	If the customer disagrees with	If the customer disagrees with	If the customer disagrees with
violation If the customer does not agree with CAW's	Further reported	If the customer disagrees with CAW's	If the customer disagrees with CAW's	If the customer disagrees with CAW's	If the customer disagrees with CAW's resolution
violation If the customer does not agree with CAW's resolution	Further reported violations of the	If the customer disagrees with CAW's resolution, they	If the customer disagrees with CAW's resolution,	If the customer disagrees with CAW's resolution, they	If the customer disagrees with CAW's resolution they may file a
violation If the customer does not agree with CAW's	Further reported violations of the same restricted	If the customer disagrees with CAW's resolution, they may file a	If the customer disagrees with CAW's resolution, they may file a	If the customer disagrees with CAW's resolution, they may file a	If the customer disagrees with CAW's resolution they may file a formal complaint
violation If the customer does not agree with CAW's resolution	Further reported violations of the same restricted use will not be	If the customer disagrees with CAW's resolution, they may file a formal	If the customer disagrees with CAW's resolution, they may file a formal	If the customer disagrees with CAW's resolution, they may file a formal	If the customer disagrees with CAW's resolution they may file a
violation If the customer does not agree with CAW's resolution	Further reported violations of the same restricted use will not be counted in the	If the customer disagrees with CAW's resolution, they may file a formal complaint with	If the customer disagrees with CAW's resolution, they may file a formal complaint with	If the customer disagrees with CAW's resolution, they may file a formal complaint with	If the customer disagrees with CAW's resolution they may file a formal complaint
violation If the customer does not agree with CAW's resolution (1)	Further reported violations of the same restricted use will not be counted in the determination of	If the customer disagrees with CAW's resolution, they may file a formal	If the customer disagrees with CAW's resolution, they may file a formal	If the customer disagrees with CAW's resolution, they may file a formal	If the customer disagrees with CAW's resolution they may file a formal complaint
violation If the customer does not agree with CAW's resolution (1) Reference Section K of Rule 14.1	Further reported violations of the same restricted use will not be counted in the determination of further action until one week	If the customer disagrees with CAW's resolution, they may file a formal complaint with	If the customer disagrees with CAW's resolution, they may file a formal complaint with	If the customer disagrees with CAW's resolution, they may file a formal complaint with	If the customer disagrees with CAW's resolution they may file a formal complaint
violation If the customer does not agree with CAW's resolution (1) Reference Section K of Rule 14.1	Further reported violations of the same restricted use will not be counted in the determination of further action	If the customer disagrees with CAW's resolution, they may file a formal complaint with	If the customer disagrees with CAW's resolution, they may file a formal complaint with	If the customer disagrees with CAW's resolution, they may file a formal complaint with	If the customer disagrees with CAW's resolution they may file a formal complaint

⁽¹⁾ If a customer has appealed the receipt of the penalty, the penalty will continue to be posted on the customer's account, but will not result in further service action, until at least 14 days after the resolution of appeals. Once resolved, if in the customers favor, the penalty will be immediately removed from the account. If not resolved in the customers favor, then the penalty will be due and payable as part of the next billing cycle and subject to all such further actions as with any other billed charge.

(2) For violation 6 instead of shut-off per Rule 11 and \$500 penalty, a flow restrictor will be installed for duration of enforcement.

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1370	J. T. LINAM	Date Filed
Decision	DIRECTOR - Rates & Regulatory	Effective
		Resolution

(N)

SCHEDULE NO. 14.1 - MDB WATER SHORTAGE CONTINGENCY PLAN MEADOWBROOK SERVICE AREA

Sheet 5

G. FLOW RESTRICTOR REMOVAL CHARGE

(N)

The charge for removal of a flow-restricting device and/or reconnecting water service shall be:

Connection Size Removal Charges

5/8" to 1" \$150.00 1-1/2" to 2" \$200 3" and larger Actual Cost

H. SPECIAL CONDITIONS:

- 1. The Tier 2 advice letter requesting activation of any Enforcement Stage of Schedule 14.1 shall include documentation of the overall water shortage justifying activation of that particular stage.
- 2. This tariff schedule shall remain in effect until the utility files a Tier 1 advice letter to deactivate specific stage of Water Shortage Contingency Plan and such is authorized by the Commission.
- 3. Water use violation fines must be separately identified on each bill.
- 4. Water penalty surcharges must be separately identified on each bill.
- 5. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
- 6. All monies collected by the utility through drought surcharges or penalties or fees for water use violations shall be booked to the Water Revenue Adjustment Mechanism (WRAM) or a memorandum account to offset recovery of lost revenues. All flow restrictor removal charges collected by the utility and all expenses incurred by the utility to implement Rule 14.1 and Schedule 14.1, and the requirements of the California State Water Board Resources Control Board ("SWRCB"), or other agencies, that have not been considered in a General Rate Case or other proceeding, shall be tracked in a memorandum account for disposition as directed or authorized from time to time by the Commission and shall be recoverable by the utility if determined to be reasonable by the Commission.

(N)

(TO BE IN	SERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice	1370	J. T. LINAM	Date Filed
Decision		DIRECTOR - Rates & Regulatory	Effective
			Resolution

SACRAMENTO DISTRICT SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1370

BY MAIL:

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BY E-MAIL:

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Division of Ratepayer Advocates
California Public Utilities Commission

dra_water_al@cpuc.ca.gov

City of Sacramento, Water Division

1391 35th Avenue Sacramento, CA 95822

Amy Van, City Clerk

dsherry@cityofsacramento.com

Citrus Heights Water District 6230 Sylvan Road Citrus Heights, CA 95610

City of Citrus Heights 6237 Fountain Square Drive Citrus Heights, CA 95621 avan@citrusheights.net

Linda Garcia, City Clerk

City of Isleton
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Isleton, CA 95641
Igarcia@cityofisleton.com

Placer County Water Agency Customer Service Department customerservices@pcwa.net

Auburn, CA 95603

Florin County Water District P.O. Box 292055 Sacramento, CA 95829

Sacramento, CA 9582 fcwd@sbcglobal.net

rchurch@chwd.org

CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1370 SUPPORTING DOCUMENTATION FOR STAFF

Northern Division – Schedule 14.1 TABLE OF CONTENTS

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Cancelled Tariffs	2-1

Advice Letter Notice

Para más información en cómo este cambio impactará su factura, llame al 888-237-1333.

NOTICE OF ADVICE LETTER FILING 1370 California American Water's Filing to Activate Mandatory Conservation Measures Stage 2 of Rule and Schedule 14.1 ADVICE LETTER 1370: Fruitridge Water System

Why am I receiving this notice?

On May 9, 2022, California American Water submitted Advice Letter 1370 with the California Public Utilities Commission (CPUC). Advice Letter 1370 requests establishment o Schedule 14.1 – NOR - Fruitridge Water Shortage Contingency Plan for California American Water's Fruitridge service area and activation of the Stage 2 water use restrictions as described in California American Water's Rule 14.1, Water Shortage Contingency Plan.

If the CPUC approves the advice letter, mandatory conservation measures will go into effect for the Fruitridge water system, located in California American Water's Northern California District. The above-mentioned advice letter will update California American Water's Water Shortage Contingency Plan. All California American Water customers in the Fruitridge water system will be governed by the approved advice letter.

<u>California American Water may fine customers for repeated violations of its rules upon</u> proper notice.

California American Water is activating STAGE 2 of its Water Shortage Contingency Plan:

A Stage 2 condition exists when it is determined, due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 2 of the Water Shortage Contingency Plan is enacted upon a determination that water usage should be further reduced from current levels, a temporary water emergency exists necessitating implementation, or that the requirements in Stage 1 are ineffective in complying with the necessary reduction; and/or that a government agency requests implementation of this stage to meet physical supply limitations.

Why is California American Water activating its mandatory conservation measures now?

On March 28, 2022, Governor Gavin Newsom issued Executive Order N-7-22, calling on all Californians to limit water use and use water more efficiently, and asking the State Water Resources Control Board to consider adopting emergency regulations that require calling on water providers to activate their customized Water Shortage Contingency Plans and move to "Level 2." The Executive Order comes after the driest first three months of a year in recorded California history and follow drought-related proclaimed states of emergency that continue today in all counties across the state.

Water Shortage Contingency Plans, required by state law, are developed by local water utilities to navigate drought and each plan is customized based on an agency's unique infrastructure and management. Triggering Level 2 of these plans involves implementing water conservation actions, like mandatory watering/irrigation schedules, to prepare for a water shortage level of up to 20 percent. In response to the Executive Order and in anticipation of the State Water Resources Control Board mandating the move to Stage 2, California American Water is requesting authorization to activate Stage 2 of its Water Shortage Contingency plan and is asking customers to avoid prohibited uses of water, fix leaks promptly, and reduce water use outdoors.

California American Water will conduct an online public hearing to get input from customers

Date and Time: Tuesday, June 14, 2022, 6:00 p.m. – 7:00 p.m. Call-in Number: 862-294-2638, Meeting ID: 625 607 911#

Teams Meeting Link: https://bit.ly/caw-norcal

Note: Type the URL above into any web browser with all lowercase letters and no spaces to join the Teams meeting. Registration is not required.

Protests and Responses to Advice Letter 1370

The deadline to protest these advice letters is June 20, 2022. Please include "**Advice Letter 1370**" in any response or protest you submit.

The reasons for the protest can be one of the following:

- (1) The utility did not properly serve or give notice of the advice letter.
- (2) The relief requested in the advice letter would violate statute or CPUC order or is not authorized by statute or CPUC order on which the utility relies.
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions.
- (4) The relief requested in the advice letter is pending before the CPUC in a formal proceeding.
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require re-litigating a prior order of the CPUC).

If you would like to submit a protest or response about this advice letter, please write to:

California Public Utilities Commission Water Division, 3rd Floor 505 Van Ness Avenue, San Francisco, CA 94102 Email: Water.Division@cpuc.ca.gov

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to California American Water at the following address:

Preet Nagra
California American Water
4701 Beloit Drive, Sacramento CA 95838
Email: Preet.Nagra@amwater.com

Where can I get more information?

Customers with internet access may view and download California American Water's advice letter on their website by visiting www.amwater.com/caaw/Customer-Service-Billing/Water-Rates/Advice-Letters. If you have technical issues accessing the documents through the website, please e-mail Preet.Nagra@amwater.com for assistance and reference Advice Letter 1370 in your e-mail.

To request a hard copy of California American Water's Advice Letter, or to obtain more information about the Advice Letter, please write to:

California American Water Advice Letter **1370** 4701 Beloit Drive
Sacramento, CA 95838
Attention: Preet Nagra
Preet.Nagra@amwater.com

Approved Rule 14.1 Water Shortage Contingency Plans

The following are non-essential or prohibited water uses during a Stage 2 Water Shortage Condition:

1. Watering Days. The watering or irrigating of lawn, landscape, or other vegetated area with potable water <u>is limited to no more than three (3) days per week</u>. Additional limitations on the number of days of the week and times of the day may be enforced at the discretion of the utility. The other provisions of water days as detailed in Stage 1 (Below) continue unchanged.

This provision does not apply to landscape irrigation zones that exclusively use very low flow drip type irrigation systems when no emitter produces more than two (2) gallons of water per hour. This provision also does not apply to watering or irrigating by use of a hand-held bucket or similar container, a hand-held hose equipped with a positive self-closing water shut-off nozzle or device, or for very short periods of time for the express purpose of adjusting or repairing an irrigation system.

- 2. Watering hours. Watering or irrigating of lawn, landscape, or other vegetated areas with potable water is <u>prohibited between the hours of 9:00 a.m. and 5:00 p.m.</u> on any day, except by use of a handheld bucket or similar container, a handheld hose equipped with a positive self-closing water shut-off nozzle or device, or for the express purpose of adjusting or repairing an irrigation system.
- 3. Watering duration. Watering or irrigating of any lawn, landscape, or other vegetated area with potable water using a landscape irrigation system or a watering device that is not continuously attended is limited to no more than fifteen (15) minutes of watering per day per station. This subsection does not apply to landscape irrigations systems that exclusively use very low-flow drip type irrigation equipment when no emitter produces more than two (2) gallons of water per hour and weather-based controllers or stream rotor sprinklers that meet a 70% efficiency standard.
- 4. Watering during and for 48 hours after measurable precipitation. Watering or irrigating of any lawn, landscape, or other vegetated area with potable water during and for 48 hours following measurable precipitation is prohibited.
- 5. Excessive water flow or runoff. Watering or irrigating of any lawn, landscape, or other vegetated area in a manner that causes or allows excessive water flow or runoff such that water flows onto adjacent property, non-irrigated areas, private and public walkways, roadways, parking lots, or structures is prohibited.
- 6. Washing vehicles. The use of a hose that dispenses potable water to wash a motor vehicle, except where the hose is fitted with a shut-off nozzle or device attached to it is prohibited. Use of water for washing commercial aircraft, cars, buses, boats, trailers or other commercial vehicles at any time is prohibited, except at commercial or fleet vehicle or boat washing facilities operated at a fixed location where equipment using water is properly maintained to avoid wasteful use.
- 7. Washing down hard or paved surfaces. Use of potable water for washing buildings, structures, driveways, patios, parking lots, tennis courts, or other hard surfaced areas is prohibited, except in cases where health and safety are at risk.
- 8. Re-circulating water required for water fountains and decorative features. Operating a water fountain or other decorative feature that does not use re-circulated water is prohibited.

- 9. Commercial businesses, including restaurants and other food service providers can only serve drinking water to customers on request.
- 10. Hotel/motel operators must provide guests with the option of choosing not to have towels and linens laundered daily. Information about this option must be prominently displayed.
- 11. Unmetered fire hydrant water cannot be used by individuals for any reason other than fire suppression or utility system maintenance purposes.
- 12. Obligation to Fix Leaks, Breaks or Malfunctions: All leaks, breaks, or other malfunctions in the water user's plumbing or distribution system must be repaired within seventy-two (72) hours of notification from California American Water Company.
- 13. There cannot be unreasonable or excessive use of potable water for dust control or earth compaction.
- 14. Operation of commercial car washes that do not recycle the potable water used as required by the California Water Code Sections 10950-10953.
- 15. Other wasteful practices identified from time to time by the CPUC, utility, or governmental agency.

When the utility observes wasteful water use practices or when such wasteful uses of water are reported to the utility, the utility shall attempt face-to-face contact with the customer or other person on the premises to request compliance with these restrictions, or if that is not possible, shall leave at the premises a door hanger notice making such request.

Schedule 14.1 details how California American Water may enforce the prohibition of water uses detailed above. Although the company is requesting these authorities, it plans to prioritize education over enforcement:

A. APPLICABILITY

- 1. This schedule applies to all water customers served under all tariff schedules authorized by the CPUC for the Fruitridge Service Area. It is only effective in times of implementation of the Water Shortage Contingency Plan enforcement stages, as required by Rule No. 14.1, and only for the period noted in the Special Conditions section below.
- This Schedule shall remain dormant until activated by CPUC authorization via a Tier 2 advice letter.
- Once the Schedule is activated, utility can implement Stages of the Schedule by filing a Tier 1 advice letter.
- 4. When this schedule is activated, it shall remain in effect until the utility files a Tier 1 advice letter to deactivate a specific stage of the Water Shortage Contingency Plan and such is authorized by the CPUC.

B. TERRITORY

1. This Schedule applies to all customers in the Fruitridge water system. All other customers served by California-American Water Company are excluded from this particular tariff but are included in separate and distinct Water Shortage Contingency Plans.

C. STAGES

1. Stage 1 of the Water Shortage Contingency Plan enacts water conservation requirements established in Rule 14.1 Section D. The non-essential or unauthorized water uses in Section D are in effect at all times.

- 2. Stage 2 First Enforcement Stage of the Water Shortage Contingency Plan A Stage 2 Water Shortage Contingency Plan condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 2 of the Water Shortage Contingency Plan will be enacted upon a determination that water usage should be further reduced from current levels, that a temporary water emergency exists necessitating implementation or that the requirements of Stage 1 are ineffective in complying with the necessary reduction.
- 3. Stage 3 Second Enforcement Stage of the Water Shortage Contingency Plan A Stage 3 Water Shortage Contingency Plan Condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 3 will be enacted upon a determination that water usage should be reduced further from current levels, that a temporary water emergency exists necessitating implementation or that the requirements in Stages 1 and 2 are ineffective in complying with the necessary reduction.
- 4. Stage 4 Third Enforcement Stage of the Water Shortage Contingency Plan A Stage 4 Water Shortage Contingency Plan condition is also referred to as an "Emergency" condition. A Stage 4 condition exists when it is determined that a critical water shortage emergency exists, or that the measures in Stages 1 through 3 are ineffective in complying with a necessary reduction.
- 5. Stage 5 Mandatory Rationing. A rationing plan will be implemented when it is determined that the efforts in Stage 4 are insufficient to meet the regulatory or physical limitations of the available water supply.

D. WATER USE VIOLATION FINE

- 1. When an Enforcement Stage of the Water Shortage Contingency Plan has been activated by CPUC authorization, the water use restrictions of Stage 1 in the Water Shortage Contingency Plan in Section D of Rule 14.1 become subject to fines and penalties imposed by the utility. The utility will first work closely with local law enforcement and public agencies charged with enforcing the mandatory water use restrictions. However, should the utility find that the local agency is not effectively enforcing the mandatory use restrictions, the utility, after written warnings, such as door hangers and letters, may begin to issue fines. If a customer is seen violating the water use restrictions, as outlined in Rule No. 14.1 and the Special Conditions below, the customer will be subject to the following fine structure:
 - a. First offense: Written warning, including explanation of penalty for subsequent offense.
 - b. Second offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and \$100 fine.
 - c. Third offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$250 fine.
 - d. Fourth offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$500 fine.
 - e. Fifth offense within 1 year (of the same restriction): Written warning, including explanation
 of penalty for subsequent offense and service termination pursuant to Rule 11 and a
 \$500 fine.
 - f. Sixth offense within 1 year. (of the same restriction): Installation of a flow restricting device on customer's water meter for duration of enforcement stage of the Water Contingency Plan.
- Offenses for separate water use restrictions will each start at the warning stage.
- 3. The water use violation fine is in addition to the regular rate schedule charges and any applicable drought surcharge rates.
- E. APPLICABLE DROUGHT SURCHARGE RATES

1. No drought surcharge rates will be applicable to Fruitridge Vista customers until meters are installed and customers begin billing under the volumetric tariff.

F. ENFORCEMENT

- 1. Letter/Fine: From second violation of the same restriction within a one-year period and onwards, a violation letter will be posted on property and sent to billing address, if different.
- 2. Aging of violation: Violations will accrue for the period of one year and be considered corrected and expunged one year after the violation occurs. The purpose of this rule is to prevent discrete violations from accruing in the event of a multi-year enforcement of the Water Contingency Plan.

	Violation 1	Violation 2	Violation 3	Violation 4	(2)
	violation i				Violation 5/6 (2)
		(of the same	(of the same	(of the same	(of the same
		restriction)	restriction)	restriction)	restriction)
Proof of violation	Employee or	Verification with	Verification with	Verification	Verification
	Customer reports,	a written report	a written report	with a written	with a written
	with no	by employee or	by employee or	report by	report by
	additional	contractor of	contractor of	employee or	employee or
	verification	CAW	CAW	contractor of	contractor of
	required			CAW	CAW
Letter/fine	Warning letter	Violation letter	Violation letter	Violation letter	Violation letter
	mailed to premise	posted and	posted and	posted and	posted and mailed,
	and billing	mailed with \$100	mailed with \$250	mailed with \$500	shut off per Rule 11
	address	penalty on next	penalty on next	penalty on next	and \$500 penalty on
		bill	bill	bill	next bill
Fixing leaks	Customer has:				
Stage 1	5 days				
Stage 2	72 hours				
Stage 3	24 hours				
Stage 4	Immediate	Immediate	Immediate	Immediate	Immediate
Time to correct	5 days				
violation					
Time customer has	14 days to	14 days to	10 days to file an	10 days to file an	10 days to file an
to request variance	contact CAW in	contact CAW in	appeal with CAW	appeal with CAW	appeal with CAW in
of the alleged	writing	writing	in writing	in writing	writing
violation					
If the customer	Further reported	Customers have	Customers have	Customers have	Customers have 10
does not agree with	violations of the	14 days to file an	10 days to file an	10 days to file an	days to file an
CAW's resolution	same restricted	appeal with the	appeal with the	appeal with the	appeal with the
(1)	use will not be	CPUC's Division	CPUC's Division	CPUC's Division	CPUC's Division of
	counted in the	of Water and	of Water and	of Water and	Water and Audits.
Reference Section	determination of	Audits. If the	Audits. If the	Audits. If the	If the customer
K of Rule 14.1	further action	customer	customer	customer	disagrees with
	until one week	disagrees with	disagrees with	disagrees with	DWA's director,
	after the variance	DWA's director,	DWA's director,	DWA's director,	they may file a
	request is	they may file a	they may file a	they may file a	formal complaint
	resolved	formal complaint	formal complaint	formal complaint	with the CPUC
		with the CPUC	with the CPUC	with the CPUC	
				-	

CAW: California American Water

DWA: CPUC Division of Water and Audits

(1) If a customer has appealed the receipt of the penalty, the penalty will continue to be posted on the customer's account, but will not result in further service action, until at least 14 days after the resolution of appeals. Once resolved, if in the customer's favor, the penalty will be immediately removed from the account. If not resolved in the customer's favor, then the penalty will be due and payable as part of the next billing cycle and subject to all such further actions as with any other billed charge. (2) For violation 6 instead of shut-off per Rule 11 and \$500 penalty, a flow restrictor will be installed for duration of enforcement.

G. FLOW RESTRICTOR REMOVAL CHARGE

The charge for removal of a flow-restricting device and/or reconnecting water service shall be:

Connection Size	Removal Charges
5/8" to 1"	\$150.00
1-1/2" to 2"	\$200
3" and larger	Actual Cost

H. SPECIAL CONDITIONS

- 1. The Tier 1 advice letter requesting activation of any Enforcement Stage of Schedule 14.1 shall include documentation of the overall water shortage justifying activation of that particular stage.
- 2. This tariff schedule shall remain in effect until the utility files a Tier 1 advice letter to deactivate specific stage of Water Shortage Contingency Plan and such is authorized by the CPUC.
- 3. Water use violation fines must be separately identified on each bill.
- 4. Water penalty surcharges must be separately identified on each bill.
- 5. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
- 6. All monies collected by the utility through water use violation fines and water penalty surcharges shall not be accounted for as income. All expenses incurred by the utility to implement Rule 14.1 and Schedule 14.1, and the requirements of the California State Water Resources Control Board ("SWRCB") that have not been considered in a General Rate Case or other proceeding, shall be recoverable by the utility if determined to be reasonable by the CPUC. These monies shall be accumulated by the utility in a separate memorandum account for disposition as directed or authorized from time to time by the CPUC.

Advice Letter Notice

Para más información en cómo este cambio impactará su factura, llame al 888-237-1333.

NOTICE OF ADVICE LETTER FILING 1370 California American Water's Filing to Activate Mandatory Conservation Measures Stage 2 of Rule and Schedule 14.1 ADVICE LETTER 1370: Hillview Water System

Why am I receiving this notice?

On May 9, 2022, California American Water submitted Advice Letter 1370 with the California Public Utilities Commission (CPUC). Advice Letter 1370 requests establishment of Schedule 14.1 – HILL Water Shortage Contingency Plan for California American Water's Hillview service area and activation of the Stage 2 water use restrictions as described in California American Water's Rule 14.1, Water Shortage Contingency Plan.

If the CPUC approves the advice letter, mandatory conservation measures will go into effect for the Hillview water system, located in California American Water's Northern California District. The above-mentioned advice letter will also update California American Water's Water Shortage Contingency Plan. All California American Water customers in the Hillview water system will be governed by the approved advice letter.

California American Water may fine customers for repeated violations of its rules upon proper notice.

California American Water is activating STAGE 2 of its Water Shortage Contingency Plan:

A Stage 2 condition exists when it is determined, due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 2 of the Water Shortage Contingency Plan is enacted upon a determination that water usage should be further reduced from current levels, a temporary water emergency exists necessitating implementation, or that the requirements in Stage 1 are ineffective in complying with the necessary reduction; and/or that a government agency requests implementation of this stage to meet physical supply limitations.

Why is California American Water activating its mandatory conservation measures now?

On March 28, 2022, Governor Gavin Newsom issued Executive Order N-7-22, calling on all Californians to limit water use and use water more efficiently, and asking the State Water Resources Control Board to consider adopting emergency regulations that require calling on water providers to activate their customized Water Shortage Contingency Plans and move to "Level 2." The Executive Order comes after the driest first three months of a year in recorded California history and follow drought-related proclaimed states of emergency that continue today in all counties across the state.

Water Shortage Contingency Plans, required by state law, are developed by local water utilities to navigate drought and each plan is customized based on an agency's unique infrastructure and management. Triggering Level 2 of these plans involves implementing water conservation actions, like mandatory watering/irrigation schedules, to prepare for a water shortage level of up to 20 percent. In response to the Executive Order and in anticipation of the State Water Resources Control Board mandating the move to Stage 2, California American Water is requesting authorization to activate Stage 2 of its Water Shortage Contingency plan and is asking customers to avoid prohibited uses of water, fix leaks promptly, and reduce water use outdoors.

California American Water will conduct an online public hearing to get input from customers

Date and Time: Tuesday, June 14, 2022, 6:00 p.m. – 7:00 p.m. Call-in Number: 862-294-2638, Meeting ID: 625 607 911#

Teams Meeting Link: https://bit.ly/caw-norcal

Note: Type the URL above into any web browser with all lowercase letters and no spaces to join the Teams meeting. Registration is not required.

Protests and Responses to Advice Letter 1370

The deadline to protest these advice letters is June 20, 2022. Please include "Advice Letter 1370" in any response or protest you submit.

The reasons for the protest can be one of the following:

- (1) The utility did not properly serve or give notice of the advice letter.
- (2) The relief requested in the advice letter would violate statute or CPUC order or is not authorized by statute or CPUC order on which the utility relies.
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions.
- (4) The relief requested in the advice letter is pending before the CPUC in a formal proceeding.
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require re-litigating a prior order of the CPUC).

If you would like to submit a protest or response about this advice letter, please write to:

California Public Utilities Commission Water Division, 3rd Floor 505 Van Ness Avenue, San Francisco, CA 94102 Email: Water.Division@cpuc.ca.gov

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to California American Water at the following address:

Preet Nagra
California American Water
4701 Beloit Drive, Sacramento CA 95838
Email: Preet.Nagra@amwater.com

Where can I get more information?

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To request a hard copy of California American Water's Advice Letter, or to obtain more information about the Advice Letter, please write to:

California American Water Advice Letter **1370** 4701 Beloit Drive
Sacramento, CA 95838
Attention: Preet Nagra
Preet.Nagra@amwater.com

<u>Approved Rule 14.1 Water Shortage Contingency Plans</u>

The following are non-essential or prohibited water uses during a Stage 2 Water Shortage Condition:

1. Watering Days. The watering or irrigating of lawn, landscape, or other vegetated area with potable water <u>is limited to no more than three (3) days per week</u>. Additional limitations on the number of days of the week and times of the day may be enforced at the discretion of the utility. The other provisions of water days as detailed in Stage 1 (Below) continue unchanged.

This provision does not apply to landscape irrigation zones that exclusively use very low flow drip type irrigation systems when no emitter produces more than two (2) gallons of water per hour. This provision also does not apply to watering or irrigating by use of a hand-held bucket or similar container, a hand-held hose equipped with a positive self-closing water shut-off nozzle or device, or for very short periods of time for the express purpose of adjusting or repairing an irrigation system.

- 2. Watering hours. Watering or irrigating of lawn, landscape, or other vegetated areas with potable water is <u>prohibited between the hours of 9:00 a.m. and 5:00 p.m.</u> on any day, except by use of a handheld bucket or similar container, a handheld hose equipped with a positive self-closing water shut-off nozzle or device, or for the express purpose of adjusting or repairing an irrigation system.
- 3. Watering duration. Watering or irrigating of any lawn, landscape, or other vegetated area with potable water using a landscape irrigation system or a watering device that is not continuously attended is limited to no more than fifteen (15) minutes of watering per day per station. This subsection does not apply to landscape irrigations systems that exclusively use very low-flow drip type irrigation equipment when no emitter produces more than two (2) gallons of water per hour and weather-based controllers or stream rotor sprinklers that meet a 70% efficiency standard.
- 4. Watering during and for 48 hours after measurable precipitation. Watering or irrigating of any lawn, landscape, or other vegetated area with potable water during and for 48 hours following measurable precipitation is prohibited.
- 5. Excessive water flow or runoff. Watering or irrigating of any lawn, landscape, or other vegetated area in a manner that causes or allows excessive water flow or runoff such that water flows onto adjacent property, non-irrigated areas, private and public walkways, roadways, parking lots, or structures is prohibited.
- 6. Washing vehicles. The use of a hose that dispenses potable water to wash a motor vehicle, except where the hose is fitted with a shut-off nozzle or device attached to it is prohibited. Use of water for washing commercial aircraft, cars, buses, boats, trailers or other commercial vehicles at any time is prohibited, except at commercial or fleet vehicle or boat washing facilities operated at a fixed location where equipment using water is properly maintained to avoid wasteful use.
- 7. Washing down hard or paved surfaces. Use of potable water for washing buildings, structures, driveways, patios, parking lots, tennis courts, or other hard surfaced areas is prohibited, except in cases where health and safety are at risk.
- 8. Re-circulating water required for water fountains and decorative features. Operating a water fountain or other decorative feature that does not use re-circulated water is prohibited.

- 9. Commercial businesses, including restaurants and other food service providers can only serve drinking water to customers on request.
- 10. Hotel/motel operators must provide guests with the option of choosing not to have towels and linens laundered daily. Information about this option must be prominently displayed.
- 11. Unmetered fire hydrant water cannot be used by individuals for any reason other than fire suppression or utility system maintenance purposes.
- 12. Obligation to Fix Leaks, Breaks or Malfunctions: All leaks, breaks, or other malfunctions in the water user's plumbing or distribution system must be repaired within seventy-two (72) hours of notification from California American Water Company.
- 13. There cannot be unreasonable or excessive use of potable water for dust control or earth compaction.
- 14. Operation of commercial car washes that do not recycle the potable water used as required by the California Water Code Sections 10950-10953.
- 15. Other wasteful practices identified from time to time by the CPUC, utility, or governmental agency.

When the utility observes wasteful water use practices or when such wasteful uses of water are reported to the utility, the utility shall attempt face-to-face contact with the customer or other person on the premises to request compliance with these restrictions, or if that is not possible, shall leave at the premises a door hanger notice making such request.

Schedule 14.1 details how California American Water may enforce the prohibition of water uses detailed above. Although the company is requesting these authorities, it plans to prioritize education over enforcement:

A. APPLICABILITY

- 1. This schedule applies to all water customers served under all tariff schedules authorized by the CPUC for the Hillview Service Area. It is only effective in times of implementation of the Water Shortage Contingency Plan enforcement stages, as required by Rule No. 14.1, and only for the period noted in the Special Conditions section below.
- This Schedule shall remain dormant until activated by CPUC authorization via a Tier 2 advice letter.
- 3. Once the Schedule is activated, utility can implement Stages of the Schedule by filing a Tier 1 advice letter.
- 4. When this schedule is activated, it shall remain in effect until the utility files a Tier 1 advice letter to deactivate a specific stage of the Water Shortage Contingency Plan and such is authorized by the CPUC.

B. TERRITORY

1. This Schedule applies to all customers in the Hillview water system. All other customers served by California-American Water Company are excluded from this particular tariff but are included in separate and distinct Water Shortage Contingency Plans.

C. STAGES

1. Stage 1 of the Water Shortage Contingency Plan enacts water conservation requirements established in Rule 14.1 Section D. The non-essential or unauthorized water uses in Section D are in effect at all times.

- 2. Stage 2 First Enforcement Stage of the Water Shortage Contingency Plan A Stage 2 Water Shortage Contingency Plan condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 2 of the Water Shortage Contingency Plan will be enacted upon a determination that water usage should be further reduced from current levels, that a temporary water emergency exists necessitating implementation or that the requirements of Stage 1 are ineffective in complying with the necessary reduction.
- 3. Stage 3 Second Enforcement Stage of the Water Shortage Contingency Plan A Stage 3 Water Shortage Contingency Plan Condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 3 will be enacted upon a determination that water usage should be reduced further from current levels, that a temporary water emergency exists necessitating implementation or that the requirements in Stages 1 and 2 are ineffective in complying with the necessary reduction.
- 4. Stage 4 Third Enforcement Stage of the Water Shortage Contingency Plan A Stage 4 Water Shortage Contingency Plan condition is also referred to as an "Emergency" condition. A Stage 4 condition exists when it is determined that a critical water shortage emergency exists, or that the measures in Stages 1 through 3 are ineffective in complying with a necessary reduction.
- 5. Stage 5 Mandatory Rationing. A rationing plan will be implemented when it is determined that the efforts in Stage 4 are insufficient to meet the regulatory or physical limitations of the available water supply.

D. WATER USE VIOLATION FINE

- 1. When an Enforcement Stage of the Water Shortage Contingency Plan has been activated by CPUC authorization, the water use restrictions of Stage 1 in the Water Shortage Contingency Plan in Section D of Rule 14.1 become subject to fines and penalties imposed by the utility. The utility will first work closely with local law enforcement and public agencies charged with enforcing the mandatory water use restrictions. However, should the utility find that the local agency is not effectively enforcing the mandatory use restrictions, the utility, after written warnings, such as door hangers and letters, may begin to issue fines. If a customer is seen violating the water use restrictions, as outlined in Rule No. 14.1 and the Special Conditions below, the customer will be subject to the following fine structure:
 - a. First offense: Written warning, including explanation of penalty for subsequent offense.
 - b. Second offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and \$100 fine.
 - c. Third offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$250 fine.
 - d. Fourth offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$500 fine.
 - e. Fifth offense within 1 year (of the same restriction): Written warning, including explanation
 of penalty for subsequent offense and service termination pursuant to Rule 11 and a
 \$500 fine.
 - f. Sixth offense within 1 year. (of the same restriction): Installation of a flow restricting device on customer's water meter for duration of enforcement stage of the Water Contingency Plan.
- Offenses for separate water use restrictions will each start at the warning stage.
- 3. The water use violation fine is in addition to the regular rate schedule charges and any applicable drought surcharge rates.
- E. APPLICABLE DROUGHT SURCHARGE RATES

- 1. When in Stage 3 of the Water Shortage Contingency Plan A surcharge rate will be added to all residential water usage in excess of 8 CCF. The surcharge rate will be equal to the Tier 3 residential rate in effect at the time Stage 3 is enacted. The surcharge rate for low-income customers will be 50% of the Stage 3 surcharge rate. For all other customers there will be no surcharge imposed at Stage 3.
- 2. When in Stage 4 of the Water Shortage Contingency Plan The Stage 3 residential drought surcharge rates will be tripled for all usage in excess of **8 CCF** in Stage 4. The surcharge rate for low-income customers will be 50% of the residential Stage 4 surcharge rate. All other customers will pay a drought surcharge rate of 25% of the regular rate on all usage in Stage 4.
- 3. Rule 14.1 includes provisions to allow customers to seek a variance to the drought surcharge rates. Those residential customers who prevail in their request for a variance will receive a 50% increase in the amount of usage not subject to the surcharge rate. The usage not subject to the surcharge rate would be increased from 8 CCF to 12 CCF.

F. ENFORCEMENT

- 1. Letter/Fine: From second violation of the same restriction within a one-year period and onwards, a violation letter will be posted on property and sent to billing address, if different.
- 2. Aging of violation: Violations will accrue for the period of one year and be considered corrected and expunged one year after the violation occurs. The purpose of this rule is to prevent discrete violations from accruing in the event of a multi-year enforcement of the Water Contingency Plan.
- 3. Applies to all Enforcement Stages of Water Shortage Contingency Plan.

	Violation 1	Violation 2	Violation 3	Violation 4	Violation 5/6 (2)
	Violation 1	(of the same	(of the same	(of the same	(of the same
		restriction)	restriction)	restriction)	`
Proof of violation	Employee or	Verification with	Verification with	Verification	restriction) Verification
Froot of violation					with a written
	Customer reports,	a written report	a written report	with a written	
	with no	by employee or	by employee or	report by	report by
	additional	contractor of	contractor of	employee or	employee or
	verification	CAW	CAW	contractor of	contractor of
	required			CAW	CAW
Letter/fine	Warning letter	Violation letter	Violation letter	Violation letter	Violation letter
	mailed to premise	posted and	posted and	posted and	posted and mailed,
	and billing	mailed with \$100	mailed with \$250	mailed with \$500	shut off per Rule 11
	address	penalty on next	penalty on next	penalty on next	and \$500 penalty on
		bill	bill	bill	next bill
Fixing leaks	Customer has:				
Stage 1	5 days				
Stage 2	72 hours				
Stage 3	24 hours				
Stage 4	Immediate	Immediate	Immediate	Immediate	Immediate
Time to correct	5 days				
violation					
Time customer has	14 days to	14 days to	10 days to file an	10 days to file an	10 days to file an
to request variance	contact CAW in	contact CAW in	appeal with CAW	appeal with CAW	appeal with CAW in
of the alleged	writing	writing	in writing	in writing	writing
violation					
If the customer	Further reported	Customers have	Customers have	Customers have	Customers have 10
does not agree with	violations of the	14 days to file an	10 days to file an	10 days to file an	days to file an
CAW's resolution	same restricted	appeal with the	appeal with the	appeal with the	appeal with the
(1)	use will not be	CPUC's Division	CPUC's Division	CPUC's Division	CPUC's Division of
	counted in the	of Water and	of Water and	of Water and	Water and Audits.
Reference Section	determination of	Audits. If the	Audits. If the	Audits. If the	If the customer
K of Rule 14.1	further action	customer	customer	customer	disagrees with
	until one week	disagrees with	disagrees with	disagrees with	DWA's director,
	after the variance	DWA's director,	DWA's director,	DWA's director,	they may file a
	request is	they may file a	they may file a	they may file a	formal complaint
	resolved	formal complaint	formal complaint	formal complaint	with the CPUC
		with the CPUC	with the CPUC	with the CPUC	

CAW: California American Water

DWA: CPUC Division of Water and Audits

G. FLOW RESTRICTOR REMOVAL CHARGE

The charge for removal of a flow-restricting device and/or reconnecting water service shall be:

Connection Size

Removal Charges

⁽¹⁾ If a customer has appealed the receipt of the penalty, the penalty will continue to be posted on the customer's account, but will not result in further service action, until at least 14 days after the resolution of appeals. Once resolved, if in the customer's favor, the penalty will be immediately removed from the account. If not resolved in the customer's favor, then the penalty will be due and payable as part of the next billing cycle and subject to all such further actions as with any other billed charge. (2) For violation 6 instead of shut-off per Rule 11 and \$500 penalty, a flow restrictor will be installed for duration of enforcement.

5/8" to 1" \$150.00

1-1/2" to 2" \$200

3" and larger Actual Cost

H. SPECIAL CONDITIONS

1. The Tier 1 advice letter requesting activation of any Enforcement Stage of Schedule 14.1 shall include documentation of the overall water shortage justifying activation of that particular stage.

- 2. This tariff schedule shall remain in effect until the utility files a Tier 1 advice letter to deactivate specific stage of Water Shortage Contingency Plan and such is authorized by the CPUC.
- 3. Water use violation fines must be separately identified on each bill.
- 4. Water penalty surcharges must be separately identified on each bill.
- 5. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
- 6. All monies collected by the utility through water use violation fines and water penalty surcharges shall not be accounted for as income. All expenses incurred by the utility to implement Rule 14.1 and Schedule 14.1, and the requirements of the California State Water Resources Control Board ("SWRCB") that have not been considered in a General Rate Case or other proceeding, shall be recoverable by the utility if determined to be reasonable by the CPUC. These monies shall be accumulated by the utility in a separate memorandum account for disposition as directed or authorized from time to time by the CPUC.

aAdvice Letter Notice

Para más información en cómo este cambio impactará su factura, llame al 888-237-1333.

NOTICE OF ADVICE LETTER FILING 1370 California American Water's Filing to Activate Mandatory Conservation Measures Stage 2 of Rule and Schedule 14.1 ADVICE LETTER 1370: Meadowbrook Water System

Why am I receiving this notice?

On May 9, 2022, California American Water submitted Advice Letter 1370 with the California Public Utilities Commission (CPUC). Advice Letter 1370 requests establishment of Schedule 14.1 – NOR – Meadowbrook Water Shortage Contingency Plan for California American Water's Meadowbrook service area and activation of the Stage 2 water use restrictions as described in California American Water's Rule 14.1, Water Shortage Contingency Plan.

If the CPUC approves the advice letter, mandatory conservation measures will go into effect for the Meadowbrook water system, located in California American Water's Northern California District. The above-mentioned advice letter will also update California American Water's Water Shortage Contingency Plan. All California American Water customers in the Meadowbrook water system will be governed by the approved advice letter.

California American Water may fine customers for repeated violations of its rules upon proper notice.

California American Water is activating STAGE 2 of its Water Shortage Contingency Plan:

A Stage 2 condition exists when it is determined, due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 2 of the Water Shortage Contingency Plan is enacted upon a determination that water usage should be further reduced from current levels, a temporary water emergency exists necessitating implementation, or that the requirements in Stage 1 are ineffective in complying with the necessary reduction; and/or that a government agency requests implementation of this stage to meet physical supply limitations.

Why is California American Water activating its mandatory conservation measures now?

On March 28, 2022, Governor Gavin Newsom issued Executive Order N-7-22, calling on all Californians to limit water use and use water more efficiently, and asking the State Water Resources Control Board to consider adopting emergency regulations that require calling on water providers to activate their customized Water Shortage Contingency Plans and move to "Level 2." The Executive Order comes after the driest first three months of a year in recorded California history and follow drought-related proclaimed states of emergency that continue today in all counties across the state.

Water Shortage Contingency Plans, required by state law, are developed by local water utilities to navigate drought and each plan is customized based on an agency's unique infrastructure and management. Triggering Level 2 of these plans involves implementing water conservation actions, like mandatory watering/irrigation schedules, to prepare for a water shortage level of up to 20 percent. In response to the Executive Order and in anticipation of the State Water Resources Control Board mandating the move to Stage 2, California American Water is requesting authorization to activate Stage 2 of its Water Shortage Contingency plan and is asking customers to avoid prohibited uses of water, fix leaks promptly, and reduce water use outdoors.

California American Water will conduct an online public hearing to get input from customers

Date and Time: Tuesday, June 14, 2022, 6:00 p.m. – 7:00 p.m. Call-in Number: 862-294-2638, Meeting ID: 625 607 911#

Teams Meeting Link: https://bit.ly/caw-norcal

Note: Type the URL above into any web browser with all lowercase letters and no spaces to join the Teams meeting. Registration is not required.

Protests and Responses to Advice Letter 1370

The deadline to protest these advice letters is June 20, 2022. Please include "Advice Letter 1370" in any response or protest you submit.

The reasons for the protest can be one of the following:

- (1) The utility did not properly serve or give notice of the advice letter.
- (2) The relief requested in the advice letter would violate statute or CPUC order or is not authorized by statute or CPUC order on which the utility relies.
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions.
- (4) The relief requested in the advice letter is pending before the CPUC in a formal proceeding.
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require re-litigating a prior order of the CPUC).

If you would like to submit a protest or response about this advice letter, please write to:

California Public Utilities Commission Water Division, 3rd Floor 505 Van Ness Avenue, San Francisco, CA 94102 Email: Water.Division@cpuc.ca.gov

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to California American Water at the following address:

Preet Nagra
California American Water
4701 Beloit Drive, Sacramento CA 95838
Email: Preet.Nagra@amwater.com

Where can I get more information?

Customers with internet access may view and download California American Water's advice letter on their website by visiting www.amwater.com/caaw/Customer-Service-Billing/Water-Rates/Advice-Letters. If you have technical issues accessing the documents through the website, please e-mail Preet.Nagra@amwater.com for assistance and reference Advice Letter 1370 in your e-mail.

To request a hard copy of California American Water's Advice Letter, or to obtain more information about the Advice Letter, please write to:

California American Water Advice Letter **1370** 4701 Beloit Drive Sacramento, CA 95838 Attention: Preet Nagra Preet.Nagra@amwater.com

Approved Rule 14.1 Water Shortage Contingency Plans

The following are non-essential or prohibited water uses during a Stage 2 Water Shortage Condition:

1. Watering Days. The watering or irrigating of lawn, landscape, or other vegetated area with potable water is limited to no more than three (3) days per week. Additional limitations on the number of days of the week and times of the day may be enforced at the discretion of the utility. The other provisions of water days as detailed in Stage 1 (Below) continue unchanged.

This provision does not apply to landscape irrigation zones that exclusively use very low flow drip type irrigation systems when no emitter produces more than two (2) gallons of water per hour. This provision also does not apply to watering or irrigating by use of a hand-held bucket or similar container, a hand-held hose equipped with a positive self-closing water shut-off nozzle or device, or for very short periods of time for the express purpose of adjusting or repairing an irrigation system.

- 2. Watering hours. Watering or irrigating of lawn, landscape, or other vegetated areas with potable water is <u>prohibited between the hours of 9:00 a.m. and 5:00 p.m.</u> on any day, except by use of a handheld bucket or similar container, a handheld hose equipped with a positive self-closing water shut-off nozzle or device, or for the express purpose of adjusting or repairing an irrigation system.
- 3. Watering duration. Watering or irrigating of any lawn, landscape, or other vegetated area with potable water using a landscape irrigation system or a watering device that is not continuously attended is limited to no more than fifteen (15) minutes of watering per day per station. This subsection does not apply to landscape irrigations systems that exclusively use very low-flow drip type irrigation equipment when no emitter produces more than two (2) gallons of water per hour and weather-based controllers or stream rotor sprinklers that meet a 70% efficiency standard.
- 4. Watering during and for 48 hours after measurable precipitation. Watering or irrigating of any lawn, landscape, or other vegetated area with potable water during and for 48 hours following measurable precipitation is prohibited.
- 5. Excessive water flow or runoff. Watering or irrigating of any lawn, landscape, or other vegetated area in a manner that causes or allows excessive water flow or runoff such that water flows onto adjacent property, non-irrigated areas, private and public walkways, roadways, parking lots, or structures is prohibited.
- 6. Washing vehicles. The use of a hose that dispenses potable water to wash a motor vehicle, except where the hose is fitted with a shut-off nozzle or device attached to it is prohibited. Use of water for washing commercial aircraft, cars, buses, boats, trailers or other commercial vehicles at any time is prohibited, except at commercial or fleet vehicle or boat washing facilities operated at a fixed location where equipment using water is properly maintained to avoid wasteful use.
- 7. Washing down hard or paved surfaces. Use of potable water for washing buildings, structures, driveways, patios, parking lots, tennis courts, or other hard surfaced areas is prohibited, except in cases where health and safety are at risk.
- 8. Re-circulating water required for water fountains and decorative features. Operating a water fountain or other decorative feature that does not use re-circulated water is prohibited.
- 9. Commercial businesses, including restaurants and other food service providers can only serve drinking water to customers on request.

- 10. Hotel/motel operators must provide guests with the option of choosing not to have towels and linens laundered daily. Information about this option must be prominently displayed.
- 11. Unmetered fire hydrant water cannot be used by individuals for any reason other than fire suppression or utility system maintenance purposes.
- 12. Obligation to Fix Leaks, Breaks or Malfunctions: All leaks, breaks, or other malfunctions in the water user's plumbing or distribution system must be repaired within seventy-two (72) hours of notification from California American Water Company.
- 13. There cannot be unreasonable or excessive use of potable water for dust control or earth compaction.
- 14. Operation of commercial car washes that do not recycle the potable water used as required by the California Water Code Sections 10950-10953.
- 15. Other wasteful practices identified from time to time by the CPUC, utility, or governmental agency.

When the utility observes wasteful water use practices or when such wasteful uses of water are reported to the utility, the utility shall attempt face-to-face contact with the customer or other person on the premises to request compliance with these restrictions, or if that is not possible, shall leave at the premises a door hanger notice making such request.

Schedule 14.1 details how California American Water may enforce the prohibition of water uses detailed above. Although the company is requesting these authorities, it plans to prioritize education over enforcement:

A. APPLICABILITY

- 1. This schedule applies to all water customers served under all tariff schedules authorized by the CPUC for the Meadowbrook Service Area. It is only effective in times of implementation of the Water Shortage Contingency Plan enforcement stages, as required by Rule No. 14.1, and only for the period noted in the Special Conditions section below.
- This Schedule shall remain dormant until activated by CPUC authorization via a Tier 2 advice letter.
- Once the Schedule is activated, utility can implement Stages of the Schedule by filing a Tier 1 advice letter.
- 4. When this schedule is activated, it shall remain in effect until the utility files a Tier 1 advice letter to deactivate a specific stage of the Water Shortage Contingency Plan and such is authorized by the CPUC.

B. TERRITORY

1. This Schedule applies to all customers in the Meadowbrook water system. All other customers served by California-American Water Company are excluded from this particular tariff but are included in separate and distinct Water Shortage Contingency Plans.

C. STAGES

- 1. Stage 1 of the Water Shortage Contingency Plan enacts water conservation requirements established in Rule 14.1 Section D. The non-essential or unauthorized water uses in Section D are in effect at all times.
- Stage 2 First Enforcement Stage of the Water Shortage Contingency Plan A Stage 2 Water Shortage Contingency Plan condition exists when it is determined that due to drought or other

water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 2 of the Water Shortage Contingency Plan will be enacted upon a determination that water usage should be further reduced from current levels, that a temporary water emergency exists necessitating implementation or that the requirements of Stage 1 are ineffective in complying with the necessary reduction.

- 3. Stage 3 Second Enforcement Stage of the Water Shortage Contingency Plan A Stage 3 Water Shortage Contingency Plan Condition exists when it is determined that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a further consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions. Stage 3 will be enacted upon a determination that water usage should be reduced further from current levels, that a temporary water emergency exists necessitating implementation or that the requirements in Stages 1 and 2 are ineffective in complying with the necessary reduction.
- 4. Stage 4 Third Enforcement Stage of the Water Shortage Contingency Plan A Stage 4 Water Shortage Contingency Plan condition is also referred to as an "Emergency" condition. A Stage 4 condition exists when it is determined that a critical water shortage emergency exists, or that the measures in Stages 1 through 3 are ineffective in complying with a necessary reduction.
- 5. Stage 5 Mandatory Rationing. A rationing plan will be implemented when it is determined that the efforts in Stage 4 are insufficient to meet the regulatory or physical limitations of the available water supply.

D. WATER USE VIOLATION FINE

- 1. When an Enforcement Stage of the Water Shortage Contingency Plan has been activated by CPUC authorization, the water use restrictions of Stage 1 in the Water Shortage Contingency Plan in Section D of Rule 14.1 become subject to fines and penalties imposed by the utility. The utility will first work closely with local law enforcement and public agencies charged with enforcing the mandatory water use restrictions. However, should the utility find that the local agency is not effectively enforcing the mandatory use restrictions, the utility, after written warnings, such as door hangers and letters, may begin to issue fines. If a customer is seen violating the water use restrictions, as outlined in Rule No. 14.1 and the Special Conditions below, the customer will be subject to the following fine structure:
 - a. First offense: Written warning, including explanation of penalty for subsequent offense.
 - b. Second offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and \$100 fine.
 - c. Third offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$250 fine.
 - d. Fourth offense within 1 year (of the same restriction): Written warning, including explanation of penalty for subsequent offense and a \$500 fine.
 - e. Fifth offense within 1 year (of the same restriction): Written warning, including explanation
 of penalty for subsequent offense and service termination pursuant to Rule 11 and a
 \$500 fine.
 - f. Sixth offense within 1 year. (of the same restriction): Installation of a flow restricting device on customer's water meter for duration of enforcement stage of the Water Contingency Plan.
- 2. Offenses for separate water use restrictions will each start at the warning stage.
- The water use violation fine is in addition to the regular rate schedule charges and any applicable drought surcharge rates.

E. APPLICABLE DROUGHT SURCHARGE RATES

Wkpr 1-21

- 1. When in Stage 3 of the Water Shortage Contingency Plan A surcharge rate will be added to all residential water usage in excess of **34 CGL**. The surcharge rate will be equal to the Tier 3 residential rate in effect at the time Stage 3 is enacted. The surcharge rate for low-income customers will be 50% of the Stage 3 surcharge rate. For all other customers there will be no surcharge imposed at Stage 3.
- 2. When in Stage 4 of the Water Shortage Contingency Plan The Stage 3 residential drought surcharge rates will be tripled for all usage in excess of **34 CGL** in Stage 4. The surcharge rate for low-income customers will be 50% of the residential Stage 4 surcharge rate. All other customers will pay a drought surcharge rate of 25% of the regular rate on all usage in Stage 4.
- 3. Rule 14.1 includes provisions to allow customers to seek a variance to the drought surcharge rates. Those residential customers who prevail in their request for a variance will receive a 50% increase in the amount of usage not subject to the surcharge rate. The usage not subject to the surcharge rate would be increased from **34 CGL to 51 CGL**.

F. ENFORCEMENT

- 1. Letter/Fine: From second violation of the same restriction within a one-year period and onwards, a violation letter will be posted on property and sent to billing address, if different.
- 2. Aging of violation: Violations will accrue for the period of one year and be considered corrected and expunged one year after the violation occurs. The purpose of this rule is to prevent discrete violations from accruing in the event of a multi-year enforcement of the Water Contingency Plan.
- 3. Applies to all Enforcement Stages of Water Shortage Contingency Plan.

	Violation 1	Violation 2	Violation 3	Violation 4	Violation 5/6 (2)
		(of the same	(of the same	(of the same	(of the same
		restriction)	restriction)	restriction)	restriction)
Proof of violation	Employee or	Verification with	Verification with	Verification	Verification
	Customer reports,	a written report	a written report	with a written	with a written
	with no	by employee or	by employee or	report by	report by
	additional	contractor of	contractor of	employee or	employee or
	verification	CAW	CAW	contractor of	contractor of
	required			CAW	CAW
Letter/fine	Warning letter	Violation letter	Violation letter	Violation letter	Violation letter
	mailed to premise	posted and	posted and	posted and	posted and mailed,
	and billing	mailed with \$100	mailed with \$250	mailed with \$500	shut off per Rule 11
	address	penalty on next	penalty on next	penalty on next	and \$500 penalty on
		bill	bill	bill	next bill
Fixing leaks	Customer has:				
Stage 1	5 days				
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Stage 4	Immediate	Immediate	Immediate	Immediate	Immediate
Time to correct	5 days				
violation					
Time customer has	14 days to	14 days to	10 days to file an	10 days to file an	10 days to file an
to request variance		contact CAW in	appeal with CAW	appeal with CAW	appeal with CAW in
of the alleged	writing	writing	in writing	in writing	writing
violation					
If the customer	Further reported	Customers have	Customers have	Customers have	Customers have 10
does not agree with	violations of the	14 days to file an	10 days to file an	10 days to file an	days to file an
CAW's resolution	same restricted	appeal with the	appeal with the	appeal with the	appeal with the
(1)	use will not be	CPUC's Division	CPUC's Division	CPUC's Division	CPUC's Division of
	counted in the	of Water and	of Water and	of Water and	Water and Audits.
Reference Section	determination of	Audits. If the	Audits. If the	Audits. If the	If the customer
K of Rule 14.1	further action	customer	customer	customer	disagrees with
	until one week	disagrees with	disagrees with	disagrees with	DWA's director,
	after the variance	DWA's director,	DWA's director,	DWA's director,	they may file a
	request is	they may file a	they may file a	they may file a	formal complaint
	resolved	formal complaint	formal complaint	formal complaint	with the CPUC
		with the CPUC	with the CPUC	with the CPUC	

CAW: California American Water

DWA: CPUC Division of Water and Audits

G. FLOW RESTRICTOR REMOVAL CHARGE

The charge for removal of a flow-restricting device and/or reconnecting water service shall be:

Connection Size

Removal Charges

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5/8" to 1" \$150.00

1-1/2" to 2" \$200

3" and larger Actual Cost

H. SPECIAL CONDITIONS

1. The Tier 1 advice letter requesting activation of any Enforcement Stage of Schedule 14.1 shall include documentation of the overall water shortage justifying activation of that particular stage.

- 2. This tariff schedule shall remain in effect until the utility files a Tier 1 advice letter to deactivate specific stage of Water Shortage Contingency Plan and such is authorized by the CPUC.
- 3. Water use violation fines must be separately identified on each bill.
- 4. Water penalty surcharges must be separately identified on each bill.
- 5. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
- 6. All monies collected by the utility through water use violation fines and water penalty surcharges shall not be accounted for as income. All expenses incurred by the utility to implement Rule 14.1 and Schedule 14.1, and the requirements of the California State Water Resources Control Board ("SWRCB") that have not been considered in a General Rate Case or other proceeding, shall be recoverable by the utility if determined to be reasonable by the CPUC. These monies shall be accumulated by the utility in a separate memorandum account for disposition as directed or authorized from time to time by the CPUC.

CALIFORNIA-AMERICAN WATER COMPANY

655 W. Broadway, Suite 1410 San Diego, CA 92101

Revised Cancelling Revised Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No.

Wkpr 2-1 10323-W 10038-W

Rule No. 14.1. WATER SHORTAGE CONTINGENCY PLAN ALL AREAS EXCEPT MONTEREY MAIN SYSTEM Sheet 1

A utility's water supply may be interrupted or reduced due to a variety of circumstances, for instance, a drought or a catastrophic event, such as an earthquake or fire that damages water delivery and storage facilities, or a power outage that affects water treatment or the pumping of water to customers, or in response to legal or court-imposed restrictions. This Water Shortage Contingency Plan ("Plan") enables the utility to respond effectively to a wide variety of water supply conditions or catastrophic events that cause severe water shortages from time to time.

A. GENERAL INFORMATION:

This rule is applicable within all systems served by California American Water Company and are listed on their tariffs except the Monterey Main System in Monterey County. Systems covered by this rule in the Southern Division include the districts of San Diego County District, the Los Angeles County District, comprised of the Duarte. San Marino, and Baldwin Hills Districts, the Ventura County District, the Rio Plaza and the East Pasadena Service Areas. It also covers the Northern Division districts of Larkfield and Sacramento, which includes Dunnigan and Gevserville. This rule is also applicable to the Central Satellite systems in the Central Division which include the Toro, Ambler Park, Ralph Lane, Garrapata, and Chualar service areas. The Monterey Main System in Monterey County is included in a separate Water Shortage Contingency Plan.

Should supply conditions or government directives dictate, prior to, or in response to, a declaration of emergency issued by a water wholesaler or other government agency, a utility may request permission from the Commission to add a Schedule 14.1 – Staged Water Contingency Plan for one or more of the above districts, which sets forth charges for water waste and drought surcharge rates applicable to quantities of water consumed.

After Schedule No. 14.1 has been activated and the utility determines that water supplies are again sufficient to meet demands, and staged reduction measures are no longer necessary, the utility shall seek Commission authority via a Tier 1 advice letter to de-activate the particular stage of reduction that was previously authorized.

Unless otherwise specified in the Rule or Schedule 14.1, all expenses incurred by utility to implement Rule 14.1 and Schedule 14.1 and requirements of the California State Water Resources Control Board ("SWRCB") that have not been considered in a General Rate Case or other proceeding shall be accumulated in a separate memorandum account, authorized in Resolution W-4976, for disposition as directed or authorized from time to time by the Commission.

To the extent that a Stage of Water Shortage Contingency Plan in Schedule 14.1 has been activated, and a provision of this Rule is inconsistent with the activated Stage in Schedule 14.1, the provisions of Schedule 14.1 apply.

The utility shall make available to its customers water conservation kits as required by Rule 21. The utility shall notify all customers, at least annually, of the availability of conservation kits and other helpful information via bill inserts or direct mailers.

(Continued)

(TO BE INSERTED BY UTILITY) Advice 1354

Decision

ISSUED BY J. T. LINAM DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.) Date Filed 01/05/2022

Effective Resolution

03/12/2022

Cancelled