

**CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS**

Advice Letter Cover Sheet

Utility Name: GOLDEN STATE WATER COMPANY

Date Mailed to Service List: 3/17/2022

District: COMPANY-WIDE

CPUC Utility #: 133 W

Protest Deadline (20th Day): 4/6/2022

Advice Letter #: 1873-W

Review Deadline (30th Day): 4/16/2022

Tier 1 2 3 Compliance

Requested Effective Date: 4/16/2022

Authorization

Rate Impact: n/a

Description: Request for new waiver to extend short-term borrowing for an additional 24-month period.

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

Utility Contact: Gladys Estrada

Utility Contact: Jon Pierotti

Phone: (909) 394-3600 x 527

Phone: (909) 394-3600 x 656

Email: grosendo@gswater.com

Email: Jon.Pierotti@gswater.com

DWA Contact: Tariff Unit

Phone: (415) 703-1133

Email: Water.Division@cpuc.ca.gov

DWA USE ONLY

DATE

STAFF

COMMENTS

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____

APPROVED

WITHDRAWN

REJECTED

Signature: _____

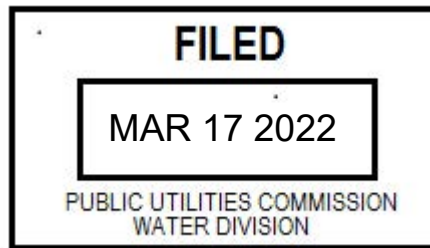
Comments: _____

Date: _____



March 17, 2022

Advice Letter No. 1873-W



(U 133 W)

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Golden State Water Company (GSWC) hereby transmits this advice letter to request a new waiver from the requirements of Public Utilities Code §818 and the Holding Company Rules (adopted in Decision 98-06-068) as they apply to GSWC's new short-term borrowings under its revolving credit arrangements. This request is pursuant to Decision No. (D.) 21-04-004, issued April 22, 2021, which granted GSWC's Petition For Modification of D. 20-05-010.

Background

On November 26, 2019, GSWC filed Application No. (A.) 19-11-023 requesting authorization to issue, sell, and deliver \$465,000,000 in aggregate of new securities and other debt instruments. The California Public Utilities Commission (Commission) issued (D.) 20-05-010 on May 13, 2020. On January 15, 2021, GSWC filed a Petition For Modification of D.20-05-010 requesting clarification of the date by which GSWC must file a Tier 2 Advice Letter to extend its authority to issue short-term debt with a new term of up to 24 months.

In D. 20-05-010, GSWC was authorized to issue new short-term debt securities for short-term purposes for the period 2020 through the beginning of 2024. GSWC was also granted a waiver from the requirements of Pub. Util. Code § 818 and the Holding Company Rules issued in D. 98-06-068. This waiver allowed short-term debt borrowing under its revolving credit arrangements for a period of 24-consecutive months.

GSWC's request for the Public Utilities Code § 818 waiver to remain in effect until the financing authority granted in A.19-11-023 was fully utilized was denied and GSWC was ordered to submit a Tier 2 Advice Letter for a new waiver before the expiration of each 24-month period.

GSWC's Petition For Modification of D.20-05-010 requested that the Commission modify Ordering Paragraph (OP) 13 to clarify the deadline by which it must submit a Tier 2 Advice Letter for a new waiver of Public Utilities Code § 818 and GSWC's Holding Company Rules. GSWC requested that OP 13 be updated to state that the Tier 2 Advice Letter for a new waiver must be submitted no later than March 31, 2024.

The Commission found GSWC's request for clarification of OP 13 in D.20-05-010 to be reasonable and in compliance with Commission requirements, and granted GSWC's request, with modifications. Instead of the single Tier 2 Advice Letter filing proposed in its Petition For Modification, GSWC must file a Tier 2 Advice Letter no later than

March 31, 2022, and another Tier 2 Advice Letter no later than March 31, 2024, to comply with the time periods granted in D.20-05-010.

OP 13 in Decision 20-05-010 is modified as follows:

“Golden State Water Company must submit a Tier 2 Advice Letter for a new waiver before the expiration of each twenty-four-month period from the date of this decision (Decision 20-05-010), no later than March 31, 2022 and March 31, 2024.”

Request

Pursuant to D.21-04-004, GSWC requests that a new waiver from the requirements of Public Utility Code § 818 and GSWC’s Holding Company Rules as they apply to GSWC’s new short-term borrowings under its revolving credit arrangements be extended for an additional 24-month period to issue short term debt under its borrowing arrangements for short-term purposes, as granted in D.20-05-010.

As stated in D.20-05-010, “[i]n D.12-11-034 the Commission recognized that the issuance of more short-term debt in order to accumulate debt securities, so that long-term debt securities can be issued in larger, less frequent issues, is a reasonable basis on which to authorize GSWC to issue short-term debt over a 24 month term instead of the twelve month term specified in Pub. Util. Code § 818 and D.98-06-068. Since GSWC plans to use this twenty-four-month short-term debt for proper short-term purposes (for example, working capital), and plans to record such as short-term debt, and given our recent authorization of similar requests, we grant GSWC a waiver from the requirements of Pub. Util. Code § 818 and the Holding Company Rules as they apply to short-term debt it issues over the period 2020 through the beginning of 2024.”¹

GSWC’s plans for the next 24-month period are consistent with its plans outlined in A.19-11-023. Under GSWC’s existing short-term borrowing arrangements, amounts are borrowed and repaid based on GSWC’s monthly cash needs to fund current operations. On a month-to-month basis, GSWC can be in a net borrowing or net payment position depending on its cash availability, but in any event such net outstanding borrowings are brought down to zero at least once every 12 months in accordance with Section 818 and the holding company rules. By continuing this waiver, GSWC would continue to be allowed to bring the balance down to zero at least once every 24 months. Even with the waiver, GSWC will continue using amounts borrowed under its short-term borrowing arrangements for working capital purposes and will continue to manage such borrowings as short-term debt. Such a waiver allows GSWC greater flexibility to defer the issuance of long-term debt and equity in the face of potential market disruptions in order to repay short-term borrowings under these agreements.²

¹ D.20-05-10 at 18.

² A.19-11-023 at 21.

The new waiver will continue to authorize GSWC to issue new short-term debt securities under its revolving credit arrangements for short-term purposes for an additional term of up to 24 months.

The request for the new waiver will not invalidate any of the requested New Debt Securities in A.19-11-023, nor any authority granted by D.20-05-010, Ordering Paragraphs 1 through 20.

Tier Designation

As directed, this advice letter is submitted with a Tier 2 designation. GSWC request that this filing become effective on April 16, 2022.

Customer Notice

Pursuant to Water Industry Rule No. 3.2 in the Commission's General Order 96-B, this advice letter does not require a customer notice nor a customer notice verification.

Response or Protest

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the Advice Letter (AL). A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

WD must receive a response or protest via email (**or** postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

Email Address:

Water.Division@cpuc.ca.gov

Mailing Address:

CA Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue
San Francisco, CA 94102

On the same day the response or protest is submitted to WD, the respondent or protestant shall send a copy of the protest to Golden State Water Company at:

Email Address:

regulatoryaffairs@gswater.com

Mailing Address:

Golden State Water Company
Attn: Gladys Estrada
630 East Foothill Blvd.
San Dimas, CA 91773

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

Replies

The utility shall reply to each protest and may reply to any response. Any reply must be received by WD within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

Sincerely,

/s/ Gladys Estrada

Gladys Estrada

Regulatory Analyst

- c: Jim Boothe, CPUC – Water Division
- Victor Chan, CPUC- CalPA
- Richard Rauschmeier, CPUC- CalPA
- Jeremy Ho, CPUC- Water Division

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Sacramento Suburban Water Dist.
3701 Marconi Avenue – Suite 100
Sacramento, CA 95821
HHernandez@sswd.org
DYork@sswd.org

Carmichael Water District
7837 Fair Oaks Blvd.
Carmichael, CA 95608-2405

Cypress Ridge Owner's Association
Attn: President
1400 Madonna Road
San Luis Obispo, CA 93405
Cory.Bauer@managementtrust.com

City of Folsom
50 Natoma Street
Folsom, CA 95630

Director
Sacramento County Water Agency
827-7th Street, Room 301
Sacramento, CA 95814
DWRexecsecretary@saccounty.net

Bay Point Municipal Advisory Council
3105 Willow Pass Road
Bay Point, CA 94565-3149

Contra Costa Water District
P. O. Box H2O
Concord, CA 94520

Diablo Water District
P. O. Box 127
Raley's Shopping Center – 2107 Main Street
Oakley, CA 94561-0127
Dmuelrath@diablowater.org
cbelleci@diablowater.org

City of Martinez
525 Henrietta Avenue
Martinez, CA 94553

Citrus Heights Water District
6230 Sylvan Road
Citrus Heights, CA 95610

California-American Water Co.
4701 Beloit Drive
Sacramento, CA 95838-2434
ca.rates@amwater.com

Fair Oaks Water District
10317 Fair Oaks Blvd.
Fair Oaks, CA 95628

Orange Vale Water Co
P. O. Box 620800
9031 Central Avenue
Orange Vale, CA 95662

City of Antioch
P. O. Box 5007
Antioch, CA 94531

City of Brentwood
Public Works Operations
James Wolfe, Water Operations Manager
2201 Elkins Way
Brentwood, CA 94513
jwolfe@brentwoodca.gov

Contra Costa County
Chief Assistant Clerk of the Board
651 Pine Street, Room 106
Martinez, CA 94553
Jami.napier@cob.cccounty.us

Richard Lou, Principal Management Analyst
East Bay Municipal Utility District
375 – 11th Street, MS#804
Oakland, CA 94607
Rlou@ebmud.com

Highlands Water Company
14580 Lakeshore Drive
Clearlake, CA 95422-8100

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Konocti County Water District
15844 – 35th Street
Clearlake, CA 95422
kcwd@mchsi.com

Arroyo Grande Municipal Water Dept.
P.O. Box 550
Arroyo Grande, CA 93420
staylor@arroyogrande.org

Cambria Community Services Dist.
1316 Tamson Drive – Suite 201
P.O. Box 65
Cambria, CA 93428

Los Osos CSD
2122 - 9th Street
Los Osos, CA 93402

S & T Mutual Water Co.
P.O. Box 6391
Los Osos, CA 93412
STMutualwater@gmail.com

Nipomo Community Services Dist.
147 S. Wilson Street
Nipomo, CA 93444-0326
MIglesias@ncsd.ca.gov

Calleguas Municipal Water District
2100 Olsen Road
Thousand Oaks, CA 91360
staylor@calleguas.com

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Clearlake, CA 95422
mswanson@clearlake.ca.us

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City of Santa Maria
110 East Cook Street
Santa Maria, CA 93454
rwhite@cityofsantamaria.org
jpatrick@cityofsantamaria.org
sspringer@cityofsantamaria.org

County Clerk
County of Sacramento
720 9th Street
Sacramento, CA 95814

Local Agency Formation Commission
P. O. Box 2694
Granite Bay, CA 95746
j.benoit4@icloud.com

Avila Beach Community Service District
P O Box 309
191 San Miguel Street
Avila Beach, CA 93424
avilacsd@gmail.com

Community Services District
P. O. Box 6064
Los Osos, CA 93412

Morro Bay City Water (City Hall)
595 Harbor Blvd.
Morro Bay, CA 93442
rlivick@morrobayca.gov

San Luis Obispo City Water
879 Morro Street
San Luis Obispo, CA 93403

City of Santa Maria
2065 East Main Street
Santa Maria, CA 93454
lmlong@ci.santa-maria.ca.us

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City of Clearlake
14050 Olympic Drive
Clearlake, CA 95422

City Clerk & City Attorney
City of Guadalupe
918 Obispo Street
Guadalupe, CA 93434

City Clerk & City Attorney
City of Simi Valley
2929 Tapo Canyon Road
Simi Valley, CA 93065

County Clerk
County of San Luis Obispo
1055 Monterey Street - #D-120
San Luis Obispo, CA 93408

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Department of Water Resources
Steve Pedretti, Division Chief
827 7th Street, Room 301
Sacramento, CA 95814
DWRExecsecretary@saccounty.net

County Counsel
105 East Anapamu Street, Rm. 201
Santa Barbara, CA 93101

LAFCO
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San Luis Obispo, CA 93401

**City of Bellflower
Water Department**
16600 Civic Center Drive
Bellflower, CA 90706
tsais@bellflower.org

**City of Downey
Director of Public Works**
P. O. Box 90241-7016
Downey, CA 90241

**City of Huntington Park
Water Department**
6550 Miles Street
Huntington Park, CA 90255

**City of Lakewood
Water Department**
P.O. Box 220
Lakewood, CA 90714-0220

**Honorable Mayor Eric Garcetti
City of Los Angeles**
200 N. Spring Street – Room 303
Los Angeles, CA 90012

**City of Norwalk
Water Department**
12700 Norwalk Blvd. – Room #5
Norwalk, CA 90650

**City of Santa Fe Springs
Water Department**
11736 E. Telegraph Road
Santa Fe Springs, CA 90670

County Counsel
County of San Luis Obispo
County Government Center - #D-320
San Luis Obispo, CA 93408

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Walnut Creek, CA 94596
jfarnkopf@hfh-consultants.com

Santa Barbara LAFCO
105 E. Anapamu - Room 406
Santa Barbara, CA 93101
lafco@sblafco.org

**City of Cerritos
Water Department**
P.O. Box 3130
Cerritos, CA 90703

City of Hawthorne
4455 W. 126th Street
Hawthorne, CA 90250

City of Inglewood
One Manchester Blvd. - Suite 900
P. O. Box 6500
Inglewood, CA 90301

**Long Beach Water Department
Chris Garner, General Manager**
1800 E. Wardlow Road
Long Beach, CA 90807

**City of Los Angeles
Department of Water & Power**
P O Box 51111
Los Angeles, CA 90051-0100

**City of Paramount
Water Department**
16420 Colorado Street
Paramount, CA 90723
sho@paramountcity.com

**City of South Gate
Water Department**
8650 California Street
South Gate, CA 90280
rdickey@sogate.org
dtorres@sogate.org

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City of Torrance
Water Department
3031 Torrance Blvd.
Torrance, CA 90503

California Water Service Co.
2632 West 237th Street
Torrance, CA 90505-5272
mduque@calwater.com

Liberty Utilities
9750 Washburn Road
Downey, CA 90241
AdviceLetterService@libertyutilities.com

Maywood Mutual Water - No. 2
3521 East Slauson Street
Maywood, CA 90270

Orchard Dale County
Water District
13819 East Telegraph Road
Whittier, CA 90604
rsilvett@odwd.org
ecastaneda@odwd.org
Misskey@odwd.org

San Gabriel Valley Water Co.
11142 Garvey Avenue
El Monte, CA 91733
ratesdepartment@sgvwwater.com

Tract 180 - Mutual Water Co.
4544 E. Florence Avenue
Cudahy, CA 90201
Tract180@hotmail.com

Central Basin MWD
General Manager
6252 Telegraph Road
Commerce, CA 90040-2512

Hilda Solis – 1st District
L.A. County Board of Supervisors
856 Kenneth Hahn Hall of Admin
500 West Temple Street
Los Angeles, CA 90012

City of Whittier
13230 Penn Street
Whittier, CA 90602

California Water Service
Rancho Dominguez District
2632 West 237th Street
Torrance, CA 90505-5272
hwind@calwater.com

Maywood Mutual Water - No. 1
5953 Gifford Street
Huntington Park, CA 90255
MaywoodWater1@aol.com

Maywood Mutual Water - No. 3
6151 Heliotrope Avenue
Maywood, CA 90270-3418

Pico County Water District
P. O. Box 758
Pico Rivera, CA 90660-0768

Robert Kelly, VP of Regulatory Affairs
Suburban Water Systems
1325 N. Grand Avenue, Suite 100
Covina, CA 91724-4044

Water Replenishment District
4040 Paramount Blvd.
Lakewood, CA 90712-4127
General Manager: stucker@ wrd.org
Rob Beste: rbeste@ wrd.org

West Basin MWD
Julie Frazier-Mathews – Executive Asst.
Uzi Daniels – Manager of Operations
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HollyJMitchell@bos.lacounty.gov
lmuraida@bos.lacounty.gov

GOLDEN STATE WATER COMPANY
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Artesia, CA 90701

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aclark@bellgardens.org

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Cerritos, CA 90703

Acting City Manager & City Clerk
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vsanchez@bellgardens.org – Veronica Sanchez

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ccornwell@comptoncity.org

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Culver City, CA 90230
City.clerk@culvercity.org
City.attorney@culvercity.org

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City of El Segundo
350 Main Street
El Segundo, CA 90245

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City of Hawaiian Gardens
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Hawaiian Gardens, CA 90716

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City of Huntington Park
6550 Miles Avenue
Huntington Park, CA 90255

City Clerk
City of Lakewood
5050 N. Clark Avenue
Lakewood, CA 90714
CityClerk@LakewoodCity.org

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

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Long Beach, CA 90802
cityclerk@longbeach.gov

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12700 Norwalk Blvd.
Norwalk, CA 90650

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Paramount, CA 90723
JCavanaugh@Cavanaughlaw.net

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12400 Imperial Highway
Norwalk, CA 90650

County Clerk
County of Orange
12 Civic Center Plaza
Santa Ana, CA 92702

County Counsel
City of Orange
333 W. Santa Ana Blvd., 4th Floor
Santa Ana, CA 92701

County of LA Waterworks Dist.
23533 West Civic Center Way
Malibu, CA 90265
Attn: Mark Carney
drydman@dpw.lacounty.gov

Apple Valley Ranchos Water Co.
Tony Penna – General Manager
21760 Ottawa Road
P. O. Box 7005
Apple Valley, CA 92308
tpenna@avrwater.com

Director of Public Services
Town of Apple Valley
14955 Dale Evans Parkway
Apple Valley, CA 92307

Barlen Mutual Water
P. O. Box 77
Barstow, CA 92311

California Department of Corrections
P. O. Box 5001
7018 Blair Road
Calipatria, CA 92233

California Dept. of Forestry
7105 Airway Drive
Yucca Valley, CA 92284

California Dept. of Forestry Hdqtrs
3800 N. Sierra Way
San Bernardino, CA 92405

County Water
P. O. Box 5001
Victorville, CA 92393-5001

Daggett Community Service
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Daggett, CA 92327

East Orange County Water
185 N. McPherson Road
Orange, CA 92869-3720
lohlund@eocwd.com
eoch20@eocwd.com

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

East Pasadena Water Co.
3725 Mountain View Avenue
Pasadena, CA 91107
Larry@epwater.com

Jurg Heuberger, CEP, Executive Officer
LAFCO
1122 W. State Street, Suite D
El Centro, CA 92243-2840

Local Agency Formation Commission
215 North D Street – Suite 204
San Bernardino, CA 92415-0490
lafco@lafco.sbcounty.gov

Morongo Valley Community
Service Distribution
P.O. Box 46
Morongo Valley, CA 92256

Rancheritos Water Co.
P. O. Box 348
Apple Valley, CA 92307
RMWC1954@gmail.com

Seeley County Water District
P. O. Box 161
Seeley, CA 92273

Sheep Creek Water Company
P. O. Box 291820
Phelan, CA 92329-1820
Attn: Chris Cummings

Twentynine Palms Water District
72401 Hatch Road
P.O. Box 1735
Twentynine Palms, CA 92277
RKolisz@29PalmsWater.org

Walnut Valley Water District
271 S. Brea Canyon Road
Walnut, CA 91789

Water Issues Committee
Wrightwood Property Owners Assoc.
P.O. Box 487
Wrightwood, CA 92397

City of Arcadia Water Co.
P. O. Box 60021
240 W. Huntington Drive
Arcadia, CA 91066-6021

Raymond Castillo, Chairman
County Administration Center
940 W. Main Street - #209
El Centro, CA 92243-2871

Juniper Riviera CWD
P.O. Box 386
Apple Valley, CA 92307

Mariana Ranchos County Water District
9600 Manzanita Street
Apple Valley, CA 92308
MarianaCWD@mrcwd.org

Navajo Mutual Water Company
P. O. Box 392
Apple Valley, CA 92307
Gmmwmc@gmail.com

San Gabriel County Water Co.
8366 Grand Avenue
Rosemead, CA 91770
Jim@sgcwd.com

Serrano Water Dist. – Villa Park
18021 East Lincoln Street
Villa Park, CA 92667

Sunny Slope Water Co.
1040 El Campo Drive
Pasadena, CA 91107-5506
Ken@SunnySlopeWaterCompany.com
Karen@SunnySlopeWaterCompany.com

Victor Valley Water District
14343 Civic Drive
P O Box 5001
Victorville, CA 92392

Westmorland Water Company
P.O. Box 698
Westmorland, CA 92281

City of Anaheim
City Clerk's Office
200 S. Anaheim Blvd. – Suite 217
Anaheim, CA 92805

City of Alhambra Utilities Dept.
111 S. First Avenue
Alhambra, CA 91801

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City of Brawley Water Co.
400 Main Street
Brawley, CA 92227
TSalcido@brawley-ca.gov

City of Brea
Water Department
#1 Civic Center Drive
Brea, CA 92621

City of Buena Park
6650 Beach Boulevard
Buena Park, CA 90620

City of Calexico Water Co.
608 Heber Avenue
Calexico, CA 92231

City of Covina
534 Barranca Avenue
Covina, CA 91723-2199
CMarcarelo@covinaca.gov

City of El Centro Water Co.
307 W. Brighton Avenue
El Centro, CA 92243

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11333 Valley Blvd.
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City of Fullerton Water
Fullerton Water Department
303 W. Commonwealth Avenue
Fullerton, CA 92631
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City of Garden Grove
13802 Newhope Street
Garden Grove, CA 92643
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GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

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REGION 1, 2 & 3 – SERVICE LIST

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