

**CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS**

Advice Letter Cover Sheet

Utility Name: GOLDEN STATE WATER COMPANY

Date Mailed to Service List: 8/30/2022

District: SANTA MARIA

CPUC Utility #: 133 W

Protest Deadline (20th Day): 9/19/2022

Advice Letter #: 1884-W

Review Deadline (30th Day): 9/29/2022

Tier 1 2 B Compliance

Requested Effective Date: 9/29/2022

Authorization

Rate Impact: \$0.00

Description: Update Special Condition for tariff schedules SM-1-R, SM-1-NR regarding new service connections & ADUs

0%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

Utility Contact: Gladys Estrada

Utility Contact: Brad Powell

Phone: (909) 394-3600 x 527

Phone: (909) 394-3600 x 422

Email: grosendo@gswater.com

Email: Brad.Powell@gswater.com

DWA Contact: Tariff Unit

Phone: (415) 703-1133

Email: Water.Division@cpuc.ca.gov

DWA USE ONLY

DATE

STAFF

COMMENTS

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

[] APPROVED

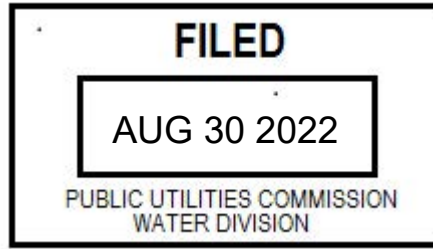
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Signature: _____

Comments: _____

Date: _____



August 30, 2022

Advice Letter No. 1884-W

(U 133 W)

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Golden State Water Company (GSWC) hereby transmits the following tariff sheets applicable to Santa Maria Customer Service Area (CSA):

<u>CPUC Sheet No</u>	<u>Title of Sheet</u>	<u>Canceling CPUC Sheet No.</u>
Revised No. 9083-W	Schedule No. SM-1-NR Santa Maria Customer Service Area Non-Residential Metered Service, Page 2	Revised No. 8989-W
Revised No. 9084-W	Schedule No. SM-1-NR Santa Maria Customer Service Area Non-Residential Metered Service, Page 3	Revised No. 9041-W
Revised No. 9085-W	Schedule No. SM-1-R Santa Maria Customer Service Area Residential Metered Service, Page 2	Revised No. 8990-W
Revised No. 9086-W	Schedule No. SM-1-R Santa Maria Customer Service Area Residential Metered Service, Page 3	Revised No. 9040-W
Revised No. 9087-W	Rule No. 15 Main Extensions, Page 8	Revised No. 7490-W
Revised No. 9088-W	Rule No. 15 Main Extensions, Page 9	Revised No. 7742-W
Revised No. 9089-W	Rule No. 15 Main Extensions, Page 10	Revised No. 7492-W
Revised No. 9090-W	Rule No. 15 Main Extensions, Page 11	Revised No. 7493-W
Revised No. 9091-W	Rule No. 16 Service Connections, Meters and Customer's Facilities, Page 1	Revised No. 8231-W
Revised No. 9092-W	Rule No. 16 Service Connections, Meters and Customer's Facilities, Page 2	Revised No. 8232-W

Revised No. 9093-W	Rule No. 16 Service Connections, Meters and Customer's Facilities, Page 3	Revised No. 8233-W
Revised No. 9094-W	Rule No. 16 Service Connections, Meters and Customer's Facilities, Page 4	Revised No. 8234-W
Revised No. 9095-W	Rule No. 16 Service Connections, Meters and Customer's Facilities, Page 5	Revised No. 8235-W
Revised No. 9096-W	Rule No. 16 Service Connections, Meters and Customer's Facilities, Page 6	Revised No. 8401-W
Revised No. 9097-W	Rule No. 16 Service Connections, Meters and Customer's Facilities, Page 7	Revised No. 8237-W
Revised No. 9098-W	Rule No. 16 Service Connections, Meters and Customer's Facilities, Page 8	Revised No. 8238-W
Revised No. 9099-W	Rule No. 16 Service Connections, Meters and Customer's Facilities, Page 9	Revised No. 8239-W
Revised No. 9100-W	Rule No. 16 Service Connections, Meters and Customer's Facilities, Page 10	Revised No. 8240-W
Revised No. 9101-W	Rule No. 16 Service Connections, Meters and Customer's Facilities, Page 11	Revised No. 8241-W
Original No. 9102-W	Rule No. 16 Service Connections, Meters and Customer's Facilities, Page 12	
Revised No. 9103-W	Table of Contents Page 6	Revised No. 9059-W
Revised No. 9104-W	Table of Contents Page 5	Revised No. 9069-W
Revised No. 9105-W	Table of Contents Page 1	Revised No. 9082-W

Purpose

GSWC is seeking authority from the California Public Utilities Commission (Commission) to update a Special Condition in its Santa Maria tariffs, its Rule No. 15 and Rule No. 16, as they relate to restrictions on new water service connection requests, supplemental water fees and the recently adopted legislation permitting certain types of Accessory dwelling Units (ADUS).

Background

On March 13, 2017, GSWC filed Advice Letter 1674-WB (Supplement to Advice Letter No. 1674-WA and Advice Letter No. 1674-W) with the Commission, to request authority to add to its tariffs a Special Condition requiring customers to provide a source of supplemental water to offset the new water demand associated with a new service connection in accordance with the provisions set forth in Sections V.E.4 and VI.E.5¹ of the Stipulation adopted by the court in the Santa Maria groundwater litigation² and approved by the Commission in Decision No. 13-05-011.³

The Commission approved Advice Letter 1674-WB with an effective date of November 25, 2016. The complete Special Condition language states:

Applicants requesting a new service connection, a new water meter or an increase in the size of their existing service connection and/or existing water meter resulting in increased demand within the Santa Maria Customer Service Area, as defined on the Service Area Maps, must provide a source of supplemental water to offset the increased water demand, pursuant to the Court adopted Stipulation in Santa Maria Valley Water Conservation District v. City of Santa Maria, et al. (and related actions), Lead Case No. CV 770214, Superior Court of the State of California, County of Santa Clara, in January 2008, and Commission Decision No. 13-05-011. Where and when available, applicants may remit payment to a third party public agency a water resource demand offset fee in lieu of providing a source of supplemental water, provided such fee fully offsets the cost, and results in the dedication to GSWC, of a source of supplemental water sufficient to meet the water demands of the service requested.

The approved Special Condition addressed the requirements set forth in the Stipulation. Any requests for new or expanded water service in GSWC's Santa Maria CSA, must either provide supplemental water or a water development fee to offset the increase in water service. The requirements set forth by the courts in the Stipulation preceded adoption of the State's recent ADU legislation and therefore did not consider these regulations. Therefore, the existing special condition language needs to be modified to be consistent with the new ADU laws.

¹ Section V refers to Physical Solution: Provisions Specific to Santa Maria Valley Management Area; Section VI. refers to Physical Solution: Provisions Specific to Nipomo Mesa Management Area. The entire Santa Maria CSA falls within the Santa Maria Valley or Nipomo Mesa Management Areas.

² Santa Maria Valley Water Conservation District v. City of Santa Maria, et al., Superior Court of the State of California, County of Santa Clara, Consolidated Cases CV770214.

³ Decision No. 13-05-011 at 115-116 (Ordering Paragraph 42).

Accessory Dwelling Units Legislation

In response to the statewide housing crisis, the Legislature recently adopted certain statutory changes intended to streamline the permitting and construction of ADUs or granny flats on existing residential properties.

State legislation addressing ADUs including Senate Bill (SB) 1069, Assembly Bill (AB) 2299, and AB 2406 were signed by Governor Brown in September 2016 requiring all cities and counties in California to permit the establishment of ADUs beginning January 1, 2017.

In October 2019, Governor Newsom signed additional State legislation including AB68, AB881 and SB13, addressing ADUs and Junior Accessory Dwelling Units (JADUs) with new State regulations going into effect on January 1, 2020.

In September 2020, Governor Newsom signed AB 3182 as a cleanup bill to the previous bills signed in October 2019 regarding ADUs and JADUs.

The new State laws (AB 3182) restrict, and in some circumstances prohibit, the imposition of connection fees, water resource impact fees and other charges on ADUs. In GSWC's assessment, its current special condition for supplemental water fees, included in its Santa Maria CSA's residential and non-residential tariffs (SM-1-R and SM-1-NR), must be modified to be consistent with new state laws regarding ADUs. The current language imposing the supplemental water requirement on certain types of ADUs is inconsistent with State law.

Currently, GSWC's tariffs require all customers to provide a supplemental water fee or offset when any proposed land use change may result in an increase in water use. The new state ADU laws now provide that a qualified ADU is not to be considered new residential use when calculating water connection fees or capacity charges. The intent behind these restrictions is to classify most ADUs as ministerial land use projects that do not involve a "change in land use" for the purpose of charging a supplemental water fee for ADUs.

This interpretation is consistent with the State's policy to encourage the development of ADUs to address California's housing crisis. The Legislature has clarified that ADUs are an essential component of California's housing supply. Indeed, other provisions of these new laws prohibit agencies, special districts and water corporations from charging impact fees on smaller ADUs and encourage land use entities to waive all impact and any other land use fees for ADUs.

Request

Based on the incidental additional water use expected from the construction of ADUs within GWSC's Santa Maria CSA, GSWC proposes a modification to its tariffs so that the supplemental water requirement is imposed in a manner consistent with the new ADU laws.

GSWC requests to include additional language to the current Special Condition and include an exemption to the language regarding restrictions on new water service connection requests. The proposed exemption takes into consideration the State legislation and local regulations for ADUs in the Santa Maria CSA.

The current Special Condition in the SM-1-R and SM-1-NR tariffs requiring customers to offset Supplemental Water for new connections or when requesting an increase in meter size, does not take into account the new State's new ADU legislation or property owners with a vacant parcel having an approved agreement to receive water service prior to the Stipulation. The ADU regulations were not enacted when the Stipulation was accepted by the courts or when the original special condition was included in the Santa Maria tariffs and its Rule No. 15 and Rule No. 16.

Adding the proposed additional exemption language to the current Special Condition in GSWC's tariffs will clarify application to proposed ADUs and will minimize any questions or concerns as to why it is currently not extending water connections to new developments in its Santa Maria service areas.

Proposed additional language to clarify an exemption to Condition

The condition will not apply if one (1) the applicant is not an existing Golden State Water Company customer and provides Golden State Water Company with a final Can and Will Serve Letter and/or recorded land use entitlement demonstrating a prior obligation to serve the parcel with water service, or two (2) the applicant is an existing residential customer (with an existing connection, water meter, and service agreement) and all of the following criteria are satisfied:

- a. The new dwelling is authorized under applicable state and/or local Accessory Dwelling Unit ("ADU") regulations, as they may be amended from time to time.
- b. The new dwelling (and/or request land use entitlement) only requires a ministerial land use permit or other form of land use entitlement.
- c. The applicant currently has one (1) service line/connection of 1-inch or less.
- d. Water service to both the existing dwelling structure and the proposed ADU can be provided with one (1), 1-inch service line/connection or less.

Tier Designation

This advice letter is submitted with a Tier 2 designation. GSWC is requesting that this filing become effective on September 29, 2022.

Response or Protest

Anyone may submit a response or protest for this Advice Letter (AL). When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding;
or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

The Water Division (WD) must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

Email Address:

Water.Division@cpuc.ca.gov

Mailing Address:

CA Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue
San Francisco, CA 94102

On the same day the response or protest is submitted to WD, the respondent or protestant shall send a copy of the protest to Golden State Water Company at:

Email Address:

regulatoryaffairs@gswater.com

Mailing Address:

Gladys Estrada
Golden State Water Company
630 East Foothill Blvd.
San Dimas, CA 91773

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform WD, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

Replies

The utility shall reply to each protest and may reply to any response. Any reply must be received by WD within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

Sincerely,

/s/ Gladys Estrada

Gladys Estrada
Regulatory Analyst

cc: Jim Boothe, CPUC - Water Division
Victor Chan Ma, CPUC- CalPA
Richard Rauschmeier, CPUC- CalPA
Jeremy Ho, CPUC- Water Division

Schedule No. SM-1-NR
Santa Maria District
Non-Residential Metered Service

SPECIAL CONDITIONS

1. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
2. As authorized by Rule 9.1.e. for customers with more than one meter on a single service line, the customer's service charge rate is based on a factor multiplied by the service charge applicable to the nearest standard meter size of the combined meters as determined by the equivalent diameter methodology.
3. Non-residential Sprinkler rates can be added as needed utilizing the multiplication factors identified in Appendix F of D. 17-03-001, applied to the 5/8" meter charge.
4. Pursuant to Decision No. 19-05-044 and Advice Letter No. 1842-W, a surcharge of \$0.095 per Ccf will be applied to all metered customer bills excluding customers that are receiving the CAP credit. This surcharge will offset the CAP credits and CAP administrative program costs recorded in the CAP Balancing Account.

5. Applicants requesting a new service connection, a new water meter or an increase in the size of their existing service connection and/or existing water meter resulting in increased demand within the Santa Maria Customer Service Area, as defined on the Service Area Maps, must provide a source of supplemental water to offset the increased water demand, pursuant to the Court adopted Stipulation in Santa Maria Valley Water Conservation District v. City of Santa Maria, et al. (and related actions), Lead Case No. CV 770214, Superior Court of the State of California, County of Santa Clara, in January 2008, and Commission Decision No. 13-05-011. Where and when available, applicants may remit payment to a third party public agency a water resource demand offset fee in lieu of providing a source of supplemental water, provided such fee fully offsets the cost, and results in the dedication to GSWC, of a source of supplemental water sufficient to meet the water demands of the service requested.

(L)

The condition will not apply if one (1) the applicant is not an existing Golden State Water Company customer and provides Golden Stater Water Company with a final Can and Will Serve Letter and/or recorded land use entitlement demonstrating a prior obligation to serve the parcel with water service, or two (2) the applicant is an existing customer (with an existing connection, water meter, and service agreement) and all of the following criteria are satisfied:

(N)

- a. The new dwelling is authorized under applicable state and/or local Accessory Dwelling Unit ("ADU") regulations, as they may be amended from time to time.
- b. The new dwelling (and/or request land use entitlement) only requires a ministerial land use permit or other form of land use entitlement.
- c. The applicant currently has one (1) service line/connection of 1-inch or less.
- d. Water service to both the existing dwelling structure and the proposed ADU can be provided with one (1), 1-inch service line/connection or less.

(N)

(L)

(Continued)

(To be inserted by utility)	<i>Issued By</i>	(To be inserted by P.U.C.)
Advice Letter No. <u>1884-W</u>	R. J. Sprows	Date Filed _____
Decision No. _____	President	Effective _____
		Resolution No. _____

Schedule No. SM-1-NR
Santa Maria District
Non-Residential Metered Service

SPECIAL CONDITIONS

- (D)
6. Pursuant to D. 13-05-011, to recover the Santa Maria Water Rights Litigation post expense balance as of December 31, 2005 a surcharge of \$0.128 per Ccf is to be added to the quantity rate and is subject to recalibration annually until May 21, 2023 or until the SMWRMA is fully recovered, whichever is sooner. (L)
7. Beginning July 1, 2021, as required by Section 792.5 of the Public Utilities Code, an increase in purchased power of \$0.02730/kWh and \$0.52496/Therms and an increase in purchased water of \$1.99923/Ccf, relative to the Purchased Power and Purchased Water cost adopted by Decision No. 19-05-044, and an associated revenue increase of 2.3%, are being tracked in a reserve account. (L)

(To be inserted by utility)

Advice Letter No. 1884-W
Decision No. _____

Issued By
R. J. Sprowls
President

(To be inserted by P.U.C.)

Date Filed _____
Effective _____
Resolution No. _____

Schedule No. SM-1-R
Santa Maria District
Residential Metered Service

SPECIAL CONDITIONS

1. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
2. Pursuant to Decision No. 19-05-044 and Advice Letter No. 1842-W, a surcharge of \$0.095 per Ccf will be applied to all metered customer bills excluding customers that are receiving the CAP credit. This surcharge will offset the CAP credits and CAP administrative program costs recorded in the CAP Balancing Account.
3. Pursuant to D. 13-05-011, to recover the Santa Maria Water Rights Litigation post expense balance as of December 31, 2005 a surcharge of \$0.128 per Ccf is to be added to the quantity rate and is subject to recalibration annually until May 21, 2023 or until the SMWRMA is fully recovered, whichever is sooner.
4. Applicants requesting a new service connection, a new water meter or an increase in the size of their existing service connection and/or existing water meter resulting in increased demand within the Santa Maria Customer Service Area, as defined on the Service Area Maps, must provide a source of supplemental water to offset the increased water demand, pursuant to the Court adopted Stipulation in Santa Maria Valley Water Conservation District v. City of Santa Maria, et al. (and related actions), Lead Case No. CV 770214, Superior Court of the State of California, County of Santa Clara, in January 2008, and Commission Decision No. 13-05-011. Where and when available, applicants may remit payment to a third party public agency a water resource demand offset fee in lieu of providing a source of supplemental water, provided such fee fully offsets the cost, and results in the dedication to GSWC, of a source of supplemental water sufficient to meet the water demands of the service requested.

The condition will not apply if one (1) the applicant is not an existing Golden State Water Company customer and provides Golden State Water Company with a final Can and Will Serve Letter and/or recorded land use entitlement demonstrating a prior obligation to serve the parcel with water service, or two (2) the applicant is an existing customer (with an existing connection, water meter, and service agreement) and all of the following criteria are satisfied:

- a. The new dwelling is authorized under applicable state and/or local Accessory Dwelling Unit ("ADU") regulations, as they may be amended from time to time.
- b. The new dwelling (and/or request land use entitlement) only requires a ministerial land use permit or other form of land use entitlement.
- c. The applicant currently has one (1) service line/connection of 1-inch or less.
- d. Water service to both the existing dwelling structure and the proposed ADU can be provided with one (1), 1-inch service line/connection or less.

(N)

(N)

(L)

(To be inserted by utility)

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Decision No. _____

Issued By
R. J. Sprows
President

(To be inserted by P.U.C.)

Date Filed _____
Effective _____
Resolution No. _____

Schedule No. SM-1-R
Santa Maria District
Residential Metered Service

SPECIAL CONDITIONS

5. Beginning July 1, 2021, as required by Section 792.5 of the Public Utilities Code, an increase in purchased power of \$0.02730/kWh and \$0.52496/Therms and an increase in purchased water of \$1.99923/Ccf, relative to the Purchased Power and Purchased Water cost adopted by Decision No. 19-05-044, and an associated revenue increase of 2.3%, are being tracked in a reserve account.

(D)
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(L)

(To be inserted by utility)

Advice Letter No. 1884-W
Decision No. _____

Issued By
R. J. Sprowls
President

(To be inserted by P.U.C.)

Date Filed _____
Effective _____
Resolution No. _____

Rule No. 15
Main Extensions

C. Extensions to Serve Subdivisions, Tracts, Housing Projects, Industrial Developments, Commercial Buildings, or Shopping Centers

1. Advances (continued)

c. In lieu of providing the advances in accordance with Sections C.1.a. and C.1.b., the applicant for the main extension shall be permitted, if qualified in the Judgment of the utility, to construct and install the facilities himself, or arrange for their installation pursuant to competitive bidding procedures initiated by him and limited to the qualified bidders. The cost, including the cost of inspection and supervision by the utility, shall be paid directly by applicant. The applicant shall provide the utility with a statement of actual construction cost in reasonable detail. The amount to be treated as an advance subject to refund shall be the lesser of (1) the actual cost or (2) the price quoted in the utility's detailed cost estimate. The installation shall be in accordance with the plans and specifications submitted by the utility pursuant to Section A.5.b.

d. If, in the opinion of the utility, it appears that proposed main extension will not, within a reasonable period, develop sufficient revenue to make the extension self-supporting, or if for some other reason it appears to the utility that a main extension contract would place an excessive burden on customers, the utility may require non-refundable contributions of plant facilities from developers in lieu of a main extension contract.

If an applicant for a main extension contract who is asked to contribute the facilities believes such a request to be unreasonable, such applicant may refer the matter to the Commission for determination, as provided for in Section A.8. of this rule.

e. Applicants requesting a new service connection, a new water meter or an increase in the size of their existing service connection and/or existing water meter resulting in increased demand within the Santa Maria Customer Service Area, as defined on the Service Area Maps, must provide a source of supplemental water to offset the increased water demand, pursuant to the Court adopted Stipulation in Santa Maria Valley Water Conservation District v. City of Santa Maria, et al. (and related actions), Lead Case No. CV 770214, Superior Court of the State of California, County of Santa Clara, in January 2008, and Commission Decision No. 13-05-011. Where and when available, applicants may remit payment to a third party public agency a water resource demand offset fee in lieu of providing a source of supplemental water, provided such fee fully offsets the cost, and results in the dedication to GSWC, of a source of supplemental water sufficient to meet the water demands of the service requested. (D)

(Continued)

(To be inserted by utility)

Advice Letter No. 1884-W
Decision No. _____

Issued By
R. J. Sprowls
President

(To be inserted by P.U.C.)

Date Filed _____
Effective _____
Resolution No. _____

Rule No. 15
Main Extensions

C. Extensions to Serve Subdivisions, Tracts, Housing Projects, Industrial Developments, Commercial Buildings, or Shopping Centers

2. Refunds

- a. The amount advanced under Sections C.1.a., C.1.b., and C.1.c. shall be subject to refund by the utility, in cash, without interest, to the party or parties entitled thereto as set forth in the following two paragraphs. The total amount so refunded shall not exceed the total of the amount advanced and for a period not to exceed 40 years after the date of the contract.
- b. Payment of refunds shall be made not later than June 30 of each year, beginning the year following execution of contract, or not later than 6 months after the contract anniversary date if on an anniversary date basis.
- c. Whenever costs of main extensions and/or special facilities have been advanced pursuant to Section C.1.a., C.1.b. or C.1.c., the utility shall annually refund to the contract holders an amount equal to 2-1/2 percent of the advances until the principal amounts of the contracts have been fully repaid.

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Whenever costs of special facilities have been advanced pursuant to Sections C.1.b. or C.1.c., the amount so advanced shall be divided by the number of lots (or living units, whichever is greater) which the special facilities are designed to serve, to obtain an average advance per lot (or living unit) for special facilities. When another builder applies for a main extension to serve any lots for which the special facilities are to be used, the new applicant shall, in addition to the costs of his proposed main extension, also advance an amount for special facilities. This amount shall be the average advance per lot for special facilities for each lot to be used less 2-1/2 percent of the average for each year in which advances have been due and payable on the original contract, prorated to June 30 on a monthly basis.

The amount advanced to the utility by the new applicant shall be immediately refunded to the holder of the original contract, which included the cost of the special facilities, and the original contract advance will be reduced accordingly. The utility will thenceforth refund 2-1/2 percent annually on each of the contract amounts, as determined above, to the holders of the contracts.

Advances and refunds based on additional builder participation will be determined in similar manner.

In no case shall the refund on any contract exceed the amount advanced.

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(Continued)

(To be inserted by utility)

Advice Letter No. 1884-W
Decision No. _____

Issued By
R. J. Sprowls
President

(To be inserted by P.U.C.)

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Resolution No. _____

Rule No. 15
Main Extensions

C. Extensions to Serve Subdivisions, Tracts, Housing Projects, Industrial Developments, Commercial Buildings, or Shopping Centers

2. Refunds (Continued)

- d. With respect to a contract entered into before the effective date of this tariff sheet if, at any time during the 20-year refund period, 80 percent of the bona fide customers for which the extension or special facilities were designed are being served therefrom, the utility may, with the approval of the contract holder, modify the contract so that the utility shall become obligated to pay, in cash, any balance which may remain un-refunded at the end of said 20-years period. Such balance shall be refunded in five equal annual installments, payable beginning 21 years after the date of the contract.

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 (L)

3. Termination of Main Extension Contracts

- a. Any contract whose refunds are based on a percentage of the amount advanced may be purchased by the utility and terminated provided that the terms are mutually agreed to by the parties or their assignees and Section C.3.c. and Section C.3.d. are complied with. The maximum price that may be paid by the utility to terminate a contract shall be calculated by multiplying the remaining unrefunded contract balance times the appropriate termination factor set out below. No contract that has been in effect for less than 10 years shall be terminated without prior Commission approval.

Termination Factors

<u>Years Remaining</u>		<u>Years Remaining</u>		<u>Years Remaining</u>		<u>Years Remaining</u>	
	<u>Factor</u>		<u>Factor</u>		<u>Factor</u>		<u>Factor</u>
1	.8929	11	.5398	21	.3601	31	.2608
2	.8450	12	.5162	22	.3475	32	.2535
3	.8006	13	.4941	23	.3356	33	.2465
4	.7593	14	.4734	24	.3243	34	.2399
5	.7210	15	.4541	25	.3137	35	.2336
6	.6852	16	.4359	26	.3037	36	.2276
7	.6520	17	.4188	27	.2942	37	.2218
8	.6210	18	.4028	28	.2851	38	.2136
9	.5920	19	.3877	29	.2766	39	.2111
10	.5650	20	.3729	30	.2685	40	.2061

(Continued)

(To be inserted by utility)

Advice Letter No. 1884-W
 Decision No. _____

Issued By
R. J. Sprows
President

(To be inserted by P.U.C.)

Date Filed _____
 Effective _____
 Resolution No. _____

Rule No. 16
Service Connections, Meters, And Customer's Facilities

A. General

1. Utility's Responsibility

- a. (1) In urban areas with dedicated front streets, rear service roads, or public utility easements, the Utility will furnish and install the service pipe, curb stop, meter and meter box at its own expense for the purpose of connecting its distribution system to the customer's piping, except for temporary services and as otherwise provided in Rule No. 15, Main Extensions. The service connection, curb stop, meter and meter box will be installed at a convenient place between the property line and the curb, or inside the customer's property line where necessary. Please refer to Section B. of this Rule No. 16 for any current charges for service connections. (T)

- (2) In areas which do not have dedicated front streets, rear service roads, or public utility easements the utility will furnish and install the service pipe, curb stop, meter and meter box as above provided but at a convenient point on or near the customer's property except for service beyond the service area.

- b. The service connection will determine the point of delivery of water service to the customer.

2. Customer's Responsibility

- a. Condition Precedent to Receiving Service

The customer as a condition precedent to receiving service shall:

- (1) Furnish and lay the necessary piping to make the connection from the service connection to the place of consumption and shall keep such piping in good repair in accordance with such reasonable requirements of the utility as may be incorporated in its rules herein.
- (2) Provide a main valve on the piping between the service connection and the point of customer use.
- (3) Where service is rendered at or near the service area boundary for use beyond the service area, install, operate and maintain the facilities necessary to provide service.

(Continued)

(To be inserted by utility)

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Rule No. 16
Service Connections, Meters, And Customer's Facilities

2. Customer's Responsibility (Cont'd)
a. Condition Precedent to Receiving Service (Cont'd)

- (4) Applicants requesting a new service connection, a new water meter or an increase in the size of their existing service connection and/or existing water meter resulting in increased demand within the Santa Maria Customer Service Area, as defined on the Service Area Maps, must provide a source of supplemental water to offset the increased demand, pursuant to the Court adopted Stipulation in Santa Maria Valley Water Conservation District v. City of Santa Maria, et al. (and related actions), Lead Case No. CV770214, Superior Court of the State of California, County of Santa Clara, in January 2008 and Commission Decision No. 13-05-011. Where and when available, applicants may remit payment to a third party public agency a water resource demand offset fee in lieu of providing a source of supplemental water, provided such fee fully offsets the cost, and results in the dedication to GSWC, of a source of supplemental water sufficient to meet the water demands of the service requested.

The condition will not apply if one (1) the applicant is not an existing Golden State Water Company customer and provides Golden State Water company a final Can and Will Serve Letter and/or recorded land use entitlement demonstrating a prior obligation to serve the parcel with water service, or two (2) the applicant is an existing customer (with an existing connection, water meter, and service agreement) and all of the following criteria are satisfied:

- a. The new dwelling is authorized under applicable state and/or local Accessory Dwelling Unit ("ADU") regulations, as they may be amended from time to time.
- b. The new dwelling (and/or request land use entitlement) only requires a ministerial land use permit or other form of land use entitlement.
- c. The applicant currently has one (1) service line/connection of 1-inch or less.
- d. Water service to both the existing dwelling structure and the proposed ADU can be provided with one (1), 1-inch service line/connection or less.

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Service Connections, Meters, And Customer's Facilities

2. Customer's Responsibility (Cont'd)

b. The customer's piping shall extend to that point on the curb line or property line of easiest access to the utility from its existing distribution system or requiring the least extension of the existing distribution main. The utility shall be consulted before installation thereof and its approval of location secured.

3. Ownership and Absence of Rental Obligation Where Facilities Are on Premises of Customer.

a. The service pipe, curb stop, meter, and meter box furnished by or on behalf of the utility and located wholly or partially upon a customer's premise are the property of the utility.

b. No rent or other charge will be paid by the utility where the utility-owned service facilities are located on a customer's premises.

4. Access to Premises of Customer

a. The utility shall at all reasonable hours have access to meters, service connections and other property owned by it which may be located on customer's premises for purposes of installation, maintenance, operation or removal of the property at the time service is to be terminated. The customer's system should be open for inspection at all reasonable times to authorized representatives of the utility.

b. Any inspection work or recommendations made by the utility or its agents in connection with plumbing or appliances or any use of water on customers premises, either as a result or a complaint or otherwise, will be made without charge.

5. Responsibility for Loss or Damages

a. The utility will not be responsible for any loss or damage caused by any negligence or wrongful act of a customer or of a customer's authorized representatives in installing, maintaining, operating or using any or all appliances, facilities or equipment for which service is supplied.

b. The customer will be held responsible for damage to utility's meters and other property resulting from the use or operation of appliances and facilities on customer's premises, including but not limited to damage caused by steam, hot water, or chemicals.

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(Continued)

(To be inserted by utility)

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Rule No. 16
Service Connections, Meters, And Customer's Facilities

B. Services

1. Charges for Service Connections

Except as provided in subparagraphs (a), (b), (c) or (d) below, the utility shall make no charge to the customer for making a service connection except in case of connections for private fire protection service, connections for temporary service, changes made at the request and for the convenience of the customer, where additional connections are requested because of divisions of land ownership when the land before division was receiving service, and as otherwise provided in the utility's main extension rules. (L)

a. Individual Customer Connection Fee. A Class A utility district or subsidiary serving 2,000 or fewer connections, may accept connection fees from individual customers as Contributions (as defined in Rule 15, Section E) calculated pursuant to the Commission's Connection Fee Data Form (or equivalent) contained in the Utility's tariffs (including a collection of an Income Tax Component of Contribution ["ITCC"] [also known as a "tax gross-up"] pursuant to Rule 15).

b. In lieu of paying a connection fee, an applicant for a service connection may retain a licensed contractor, qualified in the judgment of the utility, to install the service connection. Cost to the Utility of inspection and supervision of the installation, including an ITCC pursuant to Rule 15, shall be paid by the applicant. The applicant shall provide the utility with a statement of actual construction cost in reasonable detail. The amount shall be treated as a Contribution to the Utility. The installation shall be in accordance with plans and specifications of the Utility.

c. Individual Customer Facilities Fee. A Class A utility district or subsidiary serving 2,000 or fewer connections, may accept from individual customers amounts in contribution as a facilities fee calculated pursuant to tariffs approved by the Commission (including a collection of an ITCC pursuant to Rule 15).

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(Continued)

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Rule No. 16
Service Connections, Meters, And Customer's Facilities

B. Services (Cont'd)

1. Charges for Service Connections (continued)

d. Connection Fees. This fee is applicable to all new service in the following districts:

<u>District</u>	<u>Facilities Fee</u>
Bay Point	\$2,050 per residential lot
Clearlake	\$2,500 per residential lot

These fees, and corresponding ITCC, are for a 5/8 x 3/4" meter.

The districts and applicable fees and ITCC by meter size are shown below:

	CONNECTION FEES					
	Bay Point	ITCC @ 28%	Total Charge	Clearlake	ITCC @ 28%	Total Charge
5/8" x 3/4"	\$2,050	\$574	\$2,624	\$2,500	\$700	\$3,200
3/4"	\$3,075	\$861	\$3,936	\$3,750	\$1,050	\$4,800
1"	\$5,125	\$1,435	\$6,560	\$6,250	\$1,750	\$8,000
1 1/2"	\$10,250	\$2,870	\$13,120	\$12,500	\$3,500	\$16,000
2"	\$16,400	\$4,592	\$20,992	\$20,000	\$5,600	\$25,600
3"	\$30,750	\$8,610	\$39,360	\$37,500	\$10,500	\$48,000
4"	\$51,250	\$14,350	\$65,600	\$62,500	\$17,500	\$80,000
6"	\$102,500	\$28,700	\$131,200	\$125,000	\$35,000	\$160,000
8"	\$164,000	\$45,920	\$209,920	\$200,000	\$56,000	\$256,000
10"	\$235,750	\$66,010	\$301,760	\$287,500	\$80,500	\$368,000
Fire Sprinkler 1" to 5/8"	\$2,132	\$597	\$2,729	\$2,550	\$714	\$3,264
Fire Sprinkler 1" to 3/4"	\$3,106	\$870	\$3,976	\$3,750	\$1,050	\$4,800
Fire Sprinkler 1 1/2" to 3/4"	\$3,506	\$982	\$4,488	\$3,975	\$1,113	\$5,088
Fire Sprinkler 2" to 3/4"	\$3,659	\$1,025	\$4,684	\$4,050	\$1,134	\$5,184
Fire Sprinkler 1 1/2" to 1"	\$5,535	\$1,550	\$7,085	\$6,438	\$1,803	\$8,241
Fire Sprinkler 2" to 1"	\$5,689	\$1,593	\$7,282	\$6,563	\$1,838	\$8,401
Fire Sprinkler 3" to 5/8"	\$4,241	\$1,187	\$5,428	\$5,021	\$1,406	\$6,427
Fire Sprinkler 3" to 3/4"	\$5,251	\$1,470	\$6,721	\$6,254	\$1,751	\$8,005
Fire Sprinkler 3" to 1"	\$7,284	\$2,040	\$9,324	\$8,734	\$2,446	\$11,180
Fire Sprinkler 3" to 1 1/2"	\$12,195	\$3,415	\$15,610	\$14,738	\$4,127	\$18,865
Fire Sprinkler 3" to 2"	\$18,252	\$5,111	\$23,363	\$22,131	\$6,197	\$28,328
Fire Sprinkler 4" to 5/8"	\$4,896	\$1,371	\$6,267	\$5,775	\$1,617	\$7,392
Fire Sprinkler 4" to 3/4"	\$5,907	\$1,654	\$7,561	\$7,008	\$1,962	\$8,970
Fire Sprinkler 4" to 1"	\$7,940	\$2,223	\$10,163	\$9,489	\$2,657	\$12,146

(Continued)

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Rule No. 16
Service Connections, Meters, And Customer's Facilities

B. Services (Continued)

1. Charges for Service Connections (Continued)

d. Connection fees

	CONNECTION FEES						
	Bay Point	ITCC @ 28%	Total Charge	Clearlake	ITCC @ 28%	Total Charge	
Fire Sprinkler 4" to 1 1/2"	\$12,850	\$3,598	\$16,448	\$15,492	\$4,338	\$19,830	
Fire Sprinkler 4" to 2"	\$18,908	\$5,294	\$24,202	\$22,885	\$6,408	\$29,293	
Fire Sprinkler 4" to 3"	\$31,405	\$8,793	\$40,198	\$38,254	\$10,711	\$48,965	
Fire Sprinkler 6" to 5/8"	\$6,444	\$1,804	\$8,248	\$7,556	\$2,116	\$9,672	
Fire Sprinkler 6" to 3/4"	\$7,455	\$2,087	\$9,542	\$8,790	\$2,461	\$11,251	
Fire Sprinkler 6" to 1"	\$9,488	\$2,657	\$12,145	\$11,270	\$3,156	\$14,426	
Fire Sprinkler 6" to 1 1/2"	\$14,398	\$4,031	\$18,429	\$17,273	\$4,836	\$22,109	
Fire Sprinkler 6" to 2"	\$20,456	\$5,728	\$26,184	\$24,667	\$6,907	\$31,574	
Fire Sprinkler 6" to 3"	\$32,953	\$9,227	\$42,180	\$40,035	\$11,210	\$51,245	
Fire Sprinkler 6" to 4"	\$52,798	\$14,783	\$67,581	\$64,281	\$17,999	\$82,280	
Fire Sprinkler 8" to 5/8"	\$7,287	\$2,040	\$9,327	\$8,590	\$2,405	\$10,995	
Fire Sprinkler 8" to 3/4"	\$8,298	\$2,323	\$10,621	\$9,760	\$2,733	\$12,493	
Fire Sprinkler 8" to 1"	\$10,331	\$2,893	\$13,224	\$12,241	\$3,427	\$15,668	
Fire Sprinkler 8" to 1 1/2"	\$15,241	\$4,267	\$19,508	\$18,243	\$5,108	\$23,351	
Fire Sprinkler 8" to 2"	\$21,299	\$5,964	\$27,263	\$25,637	\$7,178	\$32,815	
Fire Sprinkler 8" to 3"	\$33,797	\$9,463	\$43,260	\$41,006	\$11,482	\$52,488	
Fire Sprinkler 8" to 4"	\$53,641	\$15,019	\$68,660	\$65,252	\$18,271	\$83,523	
Fire Sprinkler 8" to 6"	\$103,343	\$28,936	\$132,279	\$125,970	\$35,272	\$161,242	
Fire Sprinkler 10" to 5/8"	\$11,162	\$3,125	\$14,287	\$12,985	\$3,636	\$16,621	
Fire Sprinkler 10" to 3/4"	\$12,172	\$3,408	\$15,580	\$14,218	\$3,981	\$18,199	
Fire Sprinkler 10" to 1"	\$14,206	\$3,978	\$18,184	\$16,699	\$4,676	\$21,375	
Fire Sprinkler 10" to 1 1/2"	\$19,116	\$5,352	\$24,468	\$22,702	\$6,357	\$29,059	
Fire Sprinkler 10" to 2"	\$25,173	\$7,048	\$32,221	\$30,095	\$8,427	\$38,522	
Fire Sprinkler 10" to 3"	\$37,672	\$10,548	\$48,220	\$45,465	\$12,730	\$58,195	
Fire Sprinkler 10" to 4"	\$57,516	\$16,104	\$73,620	\$69,710	\$19,519	\$89,229	
Fire Sprinkler 10" to 6"	\$107,218	\$30,021	\$137,239	\$130,429	\$36,520	\$166,949	
Fire Sprinkler 10" to 8"	\$167,874	\$47,005	\$214,879	\$205,096	\$57,427	\$262,523	

Sprinkler rates are for customers that require a larger meter due to fire code requirements.

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Rule No. 16
Service Connections, Meters, And Customer's Facilities

B. Services (Continued)

1. Charges for Service Connections (Continued)

(L)

d. Connection fees (Continued)

These connection fees, and corresponding ITCC, are applicable in the Apple Valley and Morongo Valley Customer Service Areas to all applicants requesting new service line and meter connection to the existing distribution system, not including the restoration of Services which were previously disconnected.

Meter Size	Flow gpm	Specified Maximum Flow Rate	Capital Facilities Charge	ITCC @ 28%	Total Charge
		Ratio to a 5/8 x 3/4" Meter			
5/8 x 3/4"	20	1.0	\$ 995	\$ 279	\$ 1,274
3/4"	30	1.5	\$ 1,492	\$ 418	\$ 1,910
1"	50	2.5	\$ 2,487	\$ 696	\$ 3,183
1-1/2"	100	5.0	\$ 4,974	\$ 1,393	\$ 6,367
2"	160	8.0	\$ 7,958	\$ 2,228	\$ 10,186
3"	320	16.0	\$15,917	\$ 4,457	\$ 20,374
4"	500	25.0	\$24,870	\$ 6,964	\$ 31,834

Over 4" (Determined on the same basis, but subject to available capacity.)

Service connection fees are to be considered as Contribution-in-Aid-Of-Construction and will be applied as a reduction to rate base to offset cost of capital expenditures for facilities necessitated by the addition of new customers to the existing system.

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(Continued)

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Rule No. 16
Service Connections, Meters, And Customer's Facilities

B. Services (Continued)

2. Size of Service Pipe

(L)

- a. The minimum size of service pipe installed by the utility will not be less than 3/4-inch nominal size.
- b. The utility may require the customer to provide such data as may be necessary for the utility properly to size a service larger than 3/4-inch nominal size consistent with pressure requirements.

3. Installation

Only duly authorized employees or agents of the utility (or contractors, upon approval of the utility) will be permitted to install a service pipe from the utility's main to the location of the service connection. The connection from the meter to the customer's piping will be made by the utility; provided, however, that if the customer's piping requires repair or replacement, the connection may, at the option of the utility, be made by the customer or his agent.

C. Cross-Connections

1. Protective Regulation

No physical connection between the potable water supply system of the public utility and that of any other water supply or source of actual or potential contamination will be permitted except in compliance with the regulations of the State Water Resources Control Board (SWRCB) contained in Title 17, Sections 7583-7605 of the California Code of Regulations under "Regulations Relating to Cross-Connections."

2. Backflow Preventers Required

The utility will evaluate the degree of potential health hazard to the public water supply which may be created as a result of conditions existing on a user's premises. As a minimum, the evaluation will consider: the existence of cross-connections, the nature of materials handled on the property, the probability of a backflow occurring, the degree of piping system complexity and the potential for piping system modification. The utility may implement a Cross Connection Control Fee to administer the Cross-Connection Control Program as indicated on Schedule No. CCCF.

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Service Connections, Meters, And Customer's Facilities

C. Cross-Connections (continued)

2. Backflow Preventers Required (continued)

The utility will require the installation of approved backflow preventers of required type under any of the following conditions:

- a. Where a fresh water supply which has not been approved by the SWRCB Division of Drinking Water is already available from a well, spring, reservoir or other source. (If the customer agrees to abandon this other supply and agrees to remove all pumps and piping necessary for the utilization of this supply, the installation of backflow preventers will not be required.)
- b. Where salt water, or water otherwise contaminated, is available for industrial or fire protection purposes at the same premises.
- c. Where the premises are or may be engaged in industrial processing using or producing process waters or liquid industrial wastes, or where the premises are or may be engaged in handling sewage or any other dangerous substances.
- d. Where fresh water hydrants or other outlets are or may be installed on piers or docks.
- e. Where the circumstances are such that there is special danger of backflow of sewage or other contaminated liquids through plumbing fixtures or water-using or treating equipment, or storage tanks and reservoirs.
- f. Premises that have internal cross-connections that are not abated to the satisfaction of the utility or the health agency.
- g. Premises where cross-connections are likely to occur and entry is restricted so that cross-connection inspections cannot be made with sufficient frequency or at sufficiently short notice to assure that cross-connections do not exist.
- h. Premises having a repeated history of cross-connections being established or re-established.

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Service Connections, Meters, And Customer's Facilities

C. Cross-Connections (continued)

3. Type and Expense of Backflow Preventers

Any backflow preventer utilized shall be of the type and design specified and approved for the circumstances in Section 7604, Title 17 of the California Code of Regulations, except that a customer may utilize an approved backflow preventer providing greater protection than required by Section 7604. Such backflow preventers shall be installed by and at the expense of the customer, in a manner approved by the utility and the public health agency having jurisdiction. Backflow preventers shall be installed as close as practical to the customer's connection to the utility and in a location which is readily available for periodic inspection.

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Backflow preventers shall be tested, repaired or replaced at the expense of the customer.

4. Periodic Testing of Backflow Preventers

Whenever a backflow preventer is installed, relocated, or repaired the customer shall have it tested by persons who have demonstrated their competency in testing of these preventers to the utility or health agency. Backflow preventers shall be tested at least annually or more frequently if determined to be necessary by the health agency of utility. The utility shall notify the customer when testing of backflow preventers is needed. The notice shall also inform the customer that, following the compliance date, the utility may have all untested assemblies tested and, if needed, repaired or replaced. The costs of all testing, repair, or replacement will be borne by the customer, and the utility may add such costs to the customer's water bill. In tenant-landlord situations, the utility shall not be responsible for determining the responsible party beyond notification of the customer of record. The notice shall give the date when the test must be completed. Reports of testing and maintenance shall be maintained by the utility for a minimum of three years.

Whenever a backflow prevention assembly is found to have failed, it must be repaired or replaced as soon as repair parts or a replacement assembly is available, but in no event later than the testing compliance date, or 20 days after testing, whichever comes first. If the assembly cannot or will not be repaired within 3 days of discovery of the failure, the backflow prevention assembly tester must notify the utility of the failure. In cases where the failed assembly presents an immediate risk to public health, the service will be discontinued until the repairs or replacement is completed.

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Rule No. 16
Service Connections, Meters, And Customer's Facilities

C. Cross-Connections (continued)

(L)

5. Refusal to Serve or Discontinuance of Service

The utility may refuse or discontinue service:

- a. Until there has been installed on the customer's piping an approved backflow preventer of the required type, if one is required.
- b. Where the utility has been denied access to the customer's premises to make an evaluation.
- c. Where the customer refuses to test a backflow preventer, or to repair or replace a faulty backflow preventer.
- d. Where there is a direct or indirect connection between the public water system and a sewer line.
- e. Where there is an unprotected direct or indirect connection between the public water system and a system or equipment containing contaminants.
- f. Where there is an unprotected direct or indirect connection between the public water system and auxiliary water system.
- g. When there is a situation which presents an immediate health hazard to the public water system.

6. Pumps and Boosters

When a customer receiving service at the utility's main or service connection must, by means of a pump of any kind, increase the pressure of the water received, the pump shall not be attached to any pipe directly connected to the utility's main or service pipe. Such pumping or boosting of pressure shall be done, at the option of the utility, either:

- a. From a sump, cistern or storage tank which must be served through an air gap connection, or
- b. From a combination of an approved backflow preventer plus a device approved by the water utility to prevent the booster pump from drawing the utility's system pressure below 20 psi.

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Rule No. 16
Service Connections, Meters, And Customer's Facilities

has

C. Cross-Connections (continued)

(L)

6. Pumps and Boosters (continued)

This requirement shall not apply to American Water Works Association (AWWA) Class 2 Fire Protection systems, except as provided for in the information Bulletin issued by the Office of State Fire Marshall on December 10, 1984.

AWWA Class 2 fire protection systems have direct connections from public water mains only; no pumps, tanks or reservoirs, except that booster pumps may be installed in the connections from the street mains to the fire protection systems; no physical connection from other water supplies; no antifreeze or other additives of any kind; all sprinkler drains discharging to atmosphere, dry well, or other safe outlets.

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R. J. Sprowls
 President

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GOLDEN STATE WATER COMPANY

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