CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS

Advice Letter Cover Sheet

Utility Name: GOLDEN STATE WATER COMPANY

District: COMPANY WIDE

CPUC Utility #: 133 W

Advice Letter #: 1897-W

Tier ☐1 ☒2 ☐3 ☐ Compliance

Authorization

Description: Water Cost of Capital Mechanism Trigger

Date Mailed to Service List: 6/30/2023

Protest Deadline (20th Day): 7/20/2023

Review Deadline (30th Day): 7/30/2023

Requested Effective Date: 7/31/2023

Rate Impact: $0

0%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

Utility Contact: Marcus Gomez

Phone: (909) 394-3600 x 432

Email: Marcus.Gomez@gswater.com

Utility Contact: Brad Powell

Phone: (909) 394-3600 x 422

Email: Brad.Powell@gswater.com

DWA Contact: Tariff Unit

Phone: (415) 703-1133

Email: Water.Division@cpuc.ca.gov

DWA USE ONLY

DATE	STAFF	COMMENTS

[ ] APPROVED [ ] WITHDRAWN [ ] REJECTED

Signature: _____

Date: _____

Comments: _____

Golden State Water Company
A Subsidiary of American States Water Company

FILED
JUN 30 2023
PUBLIC UTILITIES COMMISSION
WATER DIVISION

June 30, 2023

Advice Letter No. 1897-W **(U 133 W)**

California Public Utilities Commission

Golden State Water Company ("GSWC") hereby submits this advice letter applicable to all of water operations.

CPUC Sheet No	**Title of Sheet**	**Canceling CPUC Sheet No.**
Revised No. 9150-W	Preliminary Statement, Part GG, Water Cost of Capital Adjustment Mechanism	Revised No. 5607-W
Revised No. 9151-W	Table of Contents, Page 1	Revised No. 9149-W

Subject: ***Water Cost of Capital Mechanism Trigger – 2023***

Purpose

This AL is submitted to adjust GSWC's authorized Cost of Capital adopted in California Public Utilities Commission ("Commission") Decision No. ("D.") 23-06-025[1], adopted June 29, 2023 and General Order No. ("GO") 96-B.

The purpose of this AL is to propose an adjustment to GSWC's adopted cost of capital for the year 2023 and 2024. D.23-06-025 authorizes GSWC to continue with its Water Cost of Capital Mechanism (WCCM) for years 2023, and 2024, using the base year 2022[2]. GSWC has followed the directives previously adopted in D. 09-07-051 (and continued in D.12-07-009 and D.18-03-035) to adjust its authorized cost of capital for 2023.

Background

On May 3, 2021, GSWC along with California-American Water Company, California Water Service and San Jose Water Company, filed simultaneous applications for approval of their respective proposed cost of capital for the three-year period beginning

[1] Ordering Paragraph 3 and 7
[2] Ibid

January 1, 2022. On June 29, 2023, D.23-06-025 was adopted, which adopted the cost of capital for 2022 and continued the WCCM.

Ordering Paragraphs 3 and 7 of the Decision state,

> *3. Golden State Water Company is authorized an 8.85% return on equity and a 5.10% cost of debt with a 43.00% debt to 57.00% equity ratio resulting in a 7.24% return on rate base for the calendar years 2022, 2023, and 2024.*
>
> *7. California-American Water Company, California Water Service Company, Golden State Water Company, and San Jose Water Company shall continue with their Water Cost of Capital Mechanism for the years 2023, and 2024, using the base year 2022 adopted in this decision.*

Workpapers outlining the calculations relating to the change in return on equity, long-term debt costs and preferred stock costs are being submitted with this advice letter to the Commission's Water Division and to the Public Advocates Office.

Discussion

The automatic adjustment process is triggered in any year where the difference between the current 12-month October through September average Moody's utility bond rates and the existing rate benchmark exceeds the 100-basis point trigger. An automatic adjustment to GSWC's return on equity (ROE) is made as follows:

- ROE is adjusted by one-half of the difference between the AA utility bond average for AA credit-rated utilities or higher and BAA utility bond average for BBB credit-rated utilities or lower and the current benchmark.

Long-term debt and preferred stock costs are updated to reflect actual August month-end embedded costs in that year and forecasted interest rates for variable long-term debt and new long-term debt and preferred stock scheduled to be issued. Although long-term debt costs have been updated, the updates have resulted in no change to the adopted cost of debt in D.23-06-025.

Adopted Cost of Capital Structure

D.23-06-025 approved the following capital structures, cost of equity, cost of debt and rate of return for GSWC for the period 2022-2024:

Golden State Water Company Adopted Base Year 2022					
	Cost of Equity	Cost of Debt	Capital Structure	Rate of Return	
	8.85%	5.10%	43.00% debt, 57.00% equity	7.24%	

Trigger Calculation
The comparison between the October 2021-September 2022 12-month average AA utility bond rate of 3.913%, and the benchmark of 2.885%, is a difference of 103 basis points. Because this difference is greater than 100 basis points, an automatic cost of capital adjustment is triggered.

Cost of Capital Adjustment
As described above, the trigger rate was higher than the benchmark by 103 basis points. ROE is therefore adjusted upward by one half that amount, or 51 basis points to 9.36% from 8.85%. GSWC's long-term debt cost adopted in the D.23-06-025 is unchanged. Incorporating the new ROE into the authorized capital structure results in a revised total rate of return on rate base of 7.53%, an increase of 29 basis points from its previous authorized level of 7.24%.

Proposed 2023 Cost of Capital Structure

Golden State Water Company Proposed Year 2023					
	Cost of Equity	Cost of Debt	Capital Structure	Rate of Return	
	9.36%	5.10%	43.0% debt, 57.0% equity	7.53%	

Revenue Requirement
The conditions of the WCCM require that when a trigger event occurs, GSWC is to file an advice letter incorporating revenue requirement adjustments. Because of the delay in the GRC proceeding, before implementation of the GRC rates, GSWC must submit advice letters to determine the 2023 escalation year rates[3]. At this time, it is not currently possible to calculate the adjustment to 2023 revenue requirements at this time.

Tier Designation
This advice letter is submitted with a Tier 2 designation.

Effective Date
GSWC requests that this filing become effective on July 31, 2023.

Response or Protest
Anyone may submit a response or protest for this Advice Letter (AL). When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds are:

1. The utility did not properly serve or give notice of the AL;

[3] D.23-06-024, OP 6

2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.
WD must receive a response or protest via email (**or** postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.** The addresses for submitting a response or protest is:

California Public Utilities Commission
Water Division
505 Van Ness Avenue
San Francisco, CA 94102
E-mail: Water.Division@cpuc.ca.gov

On the same day the response or protest is submitted to WD, the respondent or protestant shall send a copy of the protest to Golden State Water Company, addressed to:

Golden State Water Company
Attn: Marcus Gomez
630 East Foothill Blvd.
San Dimas, CA 91773
E-mail: regulatoryaffairs@gswater.com

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

Replies
The utility shall reply to each protest and may reply to any response. Any reply must be received by WD within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

No individuals or utilities have requested notification of filing of tariffs. Distribution of this advice letter is being made to the attached service list in accordance with General Order No. 96-B.

Sincerely,

/s/ Marcus Gomez
Marcus Gomez
Senior Regulatory Analyst
Regulatory Affairs Deptartment

cc: Jim Boothe, CPUC – Water Division
Victor Chan, CPUC- CalPA
Richard Rauschmeier, CPUC- CalPA
Jeremy Ho, CPUC- Water Division

Preliminary Statement
Water Cost of Capital Adjustment Mechanism

GG. Water Cost of Capital Adjustment Mechanism

Golden State Water Company ("GSWC") requests to establish a Water Cost of Capital Adjustment Mechanism.

1. Purpose
The purpose of the Water Cost of Capital Adjustment Mechanism is to provide an automatic adjustment, up or down, to Golden State Water's adopted return on equity for 2022 (and thus its overall rate of return on rate base for 2022) for calendar years 2023 and 2024 only if there is a positive or negative difference of more than 100 basis points between the then current 12-month October 1 through September 30 average Moody's utility bond rates and a benchmark. (T) (T) (T)

2. Applicability
All Regions of Golden State Water Company.

3. GSWC shall maintain the Water Cost of Capital Adjustment Mechanism as follows:

   a. For 2023, Golden State Water's initial benchmark is equal to the average interest rate of Moody's Aa utility bonds if it has an AA or A credit-rating or higher, or Moody's Baa utility bonds if Golden State Water has a BBB+ credit-rating or lower for the period of October 1, 2020 to September 30, 2021. The subsequent October 1 through September 30 average shall be based on the foregoing parameters. (T) (T) (T)

   b. If the 100 basis point "deadband" (a range of change in interest rates that may occur without automatically triggering a change in return on equities) is exceeded, Golden State Water's return on equity will be adjusted by one-half of the difference between the benchmark and the October 1 to September 30 average.

   c. In any year where the 12-month October through September average of Moody's utility bond rates triggers an automatic return on equity adjustment, that average becomes the new benchmark.

   d. If the 100 basis point "deadband" is exceeded, Golden State Water will file a Tier 2 advice letter by October 15 that updates return on equity and related rate adjustments to become effective on January 1 of the following year. The advice letter would also update long-term debt and preferred stock costs to reflect actual August month-end embedded costs in that year and forecasted interest rates for variable long-term debt and new long-term debt and preferred stock scheduled to be issued.

   e. Golden State Water's capital structure, as adopted for base year 2022, shall not be adjusted. (T)

   f. Workpapers outlining the calculations relating to the change in return on equity, long-term debt costs and preferred stock costs are required to accompany the advice letter. (T)

4. Effective Date
The WCCM shall be effective for rates implemented in 2023 after a final decision is adopted in A.21-05-001, *et seq.*, and for rates implemented in 2024, unless modified by order of the Commission. (T) (T) (T)

Table of Contents

The following tariff sheets contain all effective rates and rules affecting rates and service of the utility, together with information relating thereto:

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M	Santa Maria Water Rights Memorandum Account	5096-W	
Q	Customer Assistance Program (CAP) Balancing Account	8888-W	
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GG	Water Cost of Capital Adjustment Mechanism	9150-W	(C)
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UU	Santa Maria Steelhead Recovery Plan Memorandum Account	6103-W	
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GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Sacramento Suburban Water Dist.
3701 Marconi Avenue – Suite 100
Sacramento, CA 95821
HHernandez@sswd.org
DYork@sswd.org

Citrus Heights Water District
6230 Sylvan Road
Citrus Heights, CA 95610

Carmichael Water District
7837 Fair Oaks Blvd.
Carmichael, CA 95608-2405

California-American Water Co.
520 Capitol Mall, Suite 630
Sacramento, CA 95814
ca.rates@amwater.com

Cypress Ridge Owner's Association
Attn: President
1400 Madonna Road
San Luis Obispo, CA 93405

Fair Oaks Water District
10317 Fairoaks Blvd.
Fairoaks, CA 95628

City of Folsom
50 Natoma Street
Folsom, CA 95630
myasutake@folsom.ca.us

Orange Vale Water Co
P. O. Box 620800
9031 Central Avenue
Orange Vale, CA 95662

Director
Sacramento County Water Agency
827-7th Street, Room 301
Sacramento, CA 95814
DWRexecsecretary@saccounty.net

City of Antioch
P. O. Box 5007
Antioch, CA 94531

Bay Point Municipal Advisory Council
3105 Willow Pass Road
Bay Point, CA 94565-3149

City of Brentwood
Public Works Operations
James Wolfe, Water Operations Manager
2201 Elkins Way
Brentwood, CA 94513
jwolfe@brentwoodca.gov

Contra Costa Water District
P. O. Box H2O
Concord, CA 94520

Contra Costa County
Chief Assistant Clerk of the Board
651 Pine Street, Room 106
Martinez, CA 94553
Jami.napier@cob.cccounty.us

Diablo Water District
P. O. Box 127
Raley's Shopping Center – 2107 Main Street
Oakley, CA 94561-0127
Dmuelrath@diablowater.org
cbelleci@diablowater.org

Richard Lou, Principal Management Analyst
East Bay Municipal Utility District
375 – 11th Street, MS#804
Oakland, CA 94607
Rlou@ebmud.com

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City of Martinez
525 Henrietta Avenue
Martinez, CA 94553

Konocti County Water District
15844 – 35th Street
Clearlake, CA 95422
kcwd@mchsi.com

Arroyo Grande Municipal Water Dept.
P.O. Box 550
Arroyo Grande, CA 93420
staylor@arroyogrande.org

Cambria Community Services Dist.
1316 Tamson Drive – Suite 201
P.O. Box 65
Cambria, CA 93428

Los Osos CSD
2122 - 9th Street
Los Osos, CA 93402

S & T Mutual Water Co.
P.O. Box 6391
Los Osos, CA 93412
STMutualwater@gmail.com

Nipomo Community Services Dist.
147 S. Wilson Street
Nipomo, CA 93444-0326
MIglesias@ncsd.ca.gov

Calleguas Municipal Water District
2100 Olsen Road
Thousand Oaks, CA 91360
staylor@calleguas.com

City Clerk
City of Clearlake
14050 Olympic Drive
Clearlake, CA 95422
mswanson@clearlake.ca.us

Highlands Water Company
14580 Lakeshore Drive
Clearlake, CA 95422-8100

Local Agency Formation Commission
P. O. Box 2694
Granite Bay, CA 95746
j.benoit4@icloud.com

Avila Beach Community Service District
P O Box 309
191 San Miguel Street
Avila Beach, CA 93424
avilacsd@gmail.com

Community Services District
P. O. Box 6064
Los Osos, CA 93412

Morro Bay City Water (City Hall)
595 Harbor Blvd.
Morro Bay, CA 93442
eriddiough@morrobayca.gov
pnewman@morrobayca.gov

San Luis Obispo City Water
879 Morro Street
San Luis Obispo, CA 93403

City of Santa Maria
2065 East Main Street
Santa Maria, CA 93454
lmlong@ci.santa-maria.ca.us

City Attorney
City of Clearlake
14050 Olympic Drive
Clearlake, CA 95422

City Clerk & City Attorney
City of Guadalupe
918 Obispo Street
Guadalupe, CA 93434

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City Attorney & City Clerk
City of Santa Maria
110 East Cook Street
Santa Maria, CA 93454
rwhite@cityofsantamaria.org
jpatrick@cityofsantamaria.org
sspringer@cityofsantamaria.org

City Clerk & City Attorney
City of Simi Valley
2929 Tapo Canyon Road
Simi Valley, CA 93065

County Clerk
County of Sacramento
720 9th Street
Sacramento, CA 95814

County Clerk
County of San Luis Obispo
1055 Monterey Street - #D-120
San Luis Obispo, CA 93408

Department of Water Resources
Steve Pedretti, Division Chief
827 7th Street, Room 301
Sacramento, CA 95814
DWRexecsecretary@saccounty.net

County Counsel
County of San Luis Obispo
County Government Center - **#D-320**
San Luis Obispo, CA 93408

County Counsel
105 East Anapamu Street, Rm. 201
Santa Barbara, CA 93101

John Farnkopf, Senior Vice President
HILTON FARNKOPF & HOBSON, LLC
2175 N. California Blvd – Suite 990
Walnut Creek, CA 94596

LAFCO
1042 Pacific Street, Suite A
San Luis Obispo, CA 93401
mbing@slo.lafco.ca.gov

Santa Barbara LAFCO
105 E. Anapamu - Room 406
Santa Barbara, CA 93101
lafco@sblafco.org

City of Bellflower
Water Department
16600 Civic Center Drive
Bellflower, CA 90706
tsais@bellflower.org

City of Cerritos
Water Department
P.O. Box 3130
Cerritos, CA 90703

City of Downey
Director of Public Works
P. O. Box 90241-7016
Downey, CA 90241

City of Hawthorne
4455 W. 126th Street
Hawthorne, CA 90250

City of Huntington Park
Water Department
6550 Miles Street
Huntington Park, CA 90255

City of Inglewood
One Manchester Blvd. - Suite 900
P. O. Box 6500
Inglewood, CA 90301

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City of Lakewood
Water Department
P.O. Box 220
Lakewood, CA 90714-0220

Long Beach Water Department
Chris Garner, General Manager
1800 E. Wardlow Road
Long Beach, CA 90807

Honorable Mayor Karen Bass
City of Los Angeles
200 N. Spring Street – Room 303
Los Angeles, CA 90012

City of Los Angeles
Department of Water & Power
P O Box 51111
Los Angeles, CA 90051-0100

City of Norwalk
Water Department
12700 Norwalk Blvd. – Room #5
Norwalk, CA 90650

City of Paramount
Water Department
16420 Colorado Street
Paramount, CA 90723
sho@paramountcity.com

City of Santa Fe Springs
Water Department
11736 E. Telegraph Road
Santa Fe Springs, CA 90670

City of South Gate
Water Department
8650 California Street
South Gate, CA 90280
rdickey@sogate.org
dtorres@sogate.org

City of Torrance
Water Department
3031 Torrance Blvd.
Torrance, CA 90503

City of Whittier
13230 Penn Street
Whittier, CA 90602

California Water Service Co.
2632 West 237th Street
Torrance, CA 90505-5272
mduque@calwater.com

California Water Service
Rancho Dominguez District
2632 West 237th Street
Torrance, CA 90505-5272
hwind@calwater.com

Liberty Utilities
9750 Washburn Road
Downey, CA 90241
AdviceLetterService@libertyutilities.com
Dan.Marsh@libertyutilities.com
Cindy.Fisher@libertyutilities.com
Kelsey.Wren@libertyutilities.com
Tiffany.Thong@libertyutilities.com

Maywood Mutual Water - No. 1
5953 Gifford Street
Huntington Park, CA 90255
MaywoodWater1@aol.com

Maywood Mutual Water - No. 2
3521 East Slauson Street
Maywood, CA 90270

Maywood Mutual Water - No. 3
6151 Heliotrope Avenue
Maywood, CA 90270-3418

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Orchard Dale County
Water District
13819 East Telegraph Road
Whittier, CA 90604
rsilvett@odwd.org
ecastaneda@odwd.org
Mliskey@odwd.org

Pico County Water District
P. O. Box 758
Pico Rivera, CA 90660-0768

San Gabriel Valley Water Co.
11142 Garvey Avenue
El Monte, CA 91733
ratesdepartment@sgvwater.com

Robert Kelly, VP of Regulatory Affairs
Suburban Water Systems
1325 N. Grand Avenue, Suite 100
Covina, CA 91724-4044

Tract 180 - Mutual Water Co.
4544 E. Florence Avenue
Cudahy, CA 90201
Tract180@hotmail.com

Water Replenishment District
4040 Paramount Blvd.
Lakewood, CA 90712-4127
General Manager: stucker@wrd.org
Rob Beste: rbeste@wrd.org

Central Basin MWD
General Manager
6252 Telegraph Road
Commerce, CA 90040-2512

West Basin MWD
Uzi Daniels – Manager of Operations
E. J. Caldwell – Interim General Manager
17140 S. Avalon Blvd. – Suite 210
Carson, CA 90746-1296
GeyzzaV@westbasin.org
UziD@westbasin.org
EdwardC@westbasin.org

Hilda Solis – 1st District
L.A. County Board of Supervisors
856 Kenneth Hahn Hall of Admin
500 West Temple Street
Los Angeles, CA 90012

Holly Mitchell – 2nd District
L. A. County Board of Supervisors
Room 866 - Hall of Administration
500 West Temple Street
Los Angeles, CA 90012
HollyJMitchell@bos.lacounty.gov
lmuraida@bos.lacounty.gov

City Attorney & City Clerk
City of Artesia
18747 Clarksdale Avenue
Artesia, CA 90701

City Attorney, Clerk & Manager
City of Bell
6330 Pine Avenue
Bell, CA 90201
Daleshire@awattorneys.com – Dale Aleshire
ABustamonte@CityofBell.org – City Clerk
vsanchez@bellgardens.org – Veronica Sanchez

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City Manager
City of Bell Gardens
7100 S. Garfield Avenue
Bell Gardens, CA 90201
ssimonian@bellgardens.org
joropeza@bellgardens.org
aclark@bellgardens.org

City Attorney & City Clerk
City of Carson
701 E. Carson Street
Carson, CA 90745
cityclerk@carson.ca.us

City Attorney & City Clerk
City of Cerritos
P.O. Box 3130
Cerritos, CA 90703
city_clerk@cerritos.us

City Attorney & City Clerk
City of Compton
205 W. Willowbrook Avenue
Compton, CA 90220
ccornwell@comptoncity.org

Acting City Manager & City Clerk
City of Cudahy
5250 Santa Ana Street
Cudahy, CA 90201

City Attorney & City Clerk
City of Culver City
9770 Culver Blvd.
Culver City, CA 90230
City.clerk@culvercity.org
City.attorney@culvercity.org

City Attorney & City Clerk
City of Downey
11111 Brookshire Avenue
Downey, CA 90241
cityclerk@downeyca.gov

City Attorney & City Clerk
City of El Segundo
350 Main Street
El Segundo, CA 90245

City Clerk
City of Gardena
1700 W. 162nd Street
Gardena, CA 90247
cityclerk@ci.gardena.ca.us

City Attorney & City Clerk
City of Hawaiian Gardens
21815 Pioneer Blvd.
Hawaiian Gardens, CA 90716

City Attorney & City Clerk
City of Hawthorne
4460 W. 126th Street
Hawthorne, CA 90250
cityclerk@cityofhawthorne.org

City Clerk
City of Huntington Park
6550 Miles Avenue
Huntington Park, CA 90255

City Attorney & City Clerk
City of Inglewood
One Manchester Blvd.
P O Box 6500
Inglewood, CA 90301

City Clerk
City of Lakewood
5050 N. Clark Avenue
Lakewood, CA 90714
CityClerk@LakewoodCity.org

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City Attorney & City Clerk
City of La Mirada
13700 La Mirada Blvd.
La Mirada, CA 90638
AHaraksin@CityofLaMirada.org

City Attorney & City Clerk
City of Lawndale
14717 Burin Avenue
Lawndale, CA 90260
tvickrey@awattorneys.com

City Clerk
City of Long Beach
333 Ocean Boulevard
Long Beach, CA 90802
cityclerk@longbeach.gov

City Attorney & City Clerk
City of Norwalk
12700 Norwalk Blvd.
Norwalk, CA 90650

City Attorney & City Clerk
City of Paramount
16400 S. Colorado Avenue
Paramount, CA 90723
JCavanaugh@Cavanaughlaw.net

City Attorney & City Clerk
City of Santa Fe Springs
11710 E. Telegraph Road
Santa Fe Springs, CA 90670
janetmartinez@santafesprings.org

City Attorney & City Clerk
City of South Gate
8650 California Avenue
South Gate, CA 90280

County Clerk
County of Los Angeles
12400 Imperial Highway
Norwalk, CA 90650

County Clerk
County of Orange
12 Civic Center Plaza
Santa Ana, CA 92702

County Counsel
City of Orange
333 W. Santa Ana Blvd., 4th Floor
Santa Ana, CA 92701

County of LA Waterworks Dist.
23533 West Civic Center Way
Malibu, CA 90265
Attn: Mark Carney
drydman@dpw.lacounty.gov

Apple Valley Ranchos Water Co.
General Manager
21760 Ottawa Road
P. O. Box 7005
Apple Valley, CA 92308

Director of Public Services
Town of Apple Valley
14955 Dale Evans Parkway
Apple Valley, CA 92307

Barlen Mutual Water
P. O. Box 77
Barstow, CA 92311

California Department of Corrections
P. O. Box 5001
7018 Blair Road
Calipatria, CA 92233

California Dept. of Forestry
7105 Airway Drive
Yucca Valley, CA 92284

California Dept. of Forestry Hdqtrs
3800 N. Sierra Way
San Bernardino, CA 92405

County Water
222 W. Hospitality Lane, 2nd Floor
San Bernardino, CA 92408

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Daggett Community Service
P.O. Box 308
Daggett, CA 92327

East Orange County Water District
185 N. McPherson Road
Orange, CA 92869-3720
David Youngblood:
dyoungblood@eocwd.com
Sylvia Prada: sprado@eocwd.com
eoch2o@eocwd.com

East Pasadena Water Co.
3725 Mountain View Avenue
Pasadena, CA 91107
Larry@epwater.com

Imperial County Board of Supervisors
Attn: Supervisor John Hawk, District 5
County Administration Center
940 W. Main Street - #209
El Centro, CA 92243-2871
johnhawk@co.imperial.ca.us

Jurg Heuberger, CEP, Executive Officer
LAFCO
1122 W. State Street, Suite D
El Centro, CA 92243-2840

Juniper Riviera CWD
P O Box 618
Lucerne Valley, CA 92356

Local Agency Formation Commission
215 North D Street – Suite 204
San Bernardino, CA 92415-0490
lafco@lafco.sbcounty.gov

Mariana Ranchos County Water District
9600 Manzanita Street
Apple Valley, CA 92308
MarianaCWD@mrcwd.org

Morongo Valley Community Service Distribution
P.O. Box 46
Morongo Valley, CA 92256

Navajo Mutual Water Company
P. O. Box 392
Apple Valley, CA 92307
Gmnmwc@gmail.com

Rancheritos Water Co.
P. O. Box 348
Apple Valley, CA 92307
RMWC1954@gmail.com

San Gabriel County Water Co.
8366 Grand Avenue
Rosemead, CA 91770
Jim@sgcwd.com

Seeley County Water District
P. O. Box 161
Seeley, CA 92273

Serrano Water Dist. – Villa Park
18021 East Lincoln Street
Villa Park, CA 92667

Sheep Creek Water Company
P. O. Box 291820
Phelan, CA 92329-1820
Attn: Chris Cummings

Sunny Slope Water Co.
1040 El Campo Drive
Pasadena, CA 91107-5506
Ken@SunnySlopeWaterCompany.com
Karen@SunnySlopeWaterCompany.com

Twentynine Palms Water District
72401 Hatch Road
P.O. Box 1735
Twentynine Palms, CA 92277

Victor Valley Water District
14343 Civic Drive
P O Box 5001
Victorville, CA 92392

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Walnut Valley Water District
271 S. Brea Canyon Road
Walnut, CA 91789

Water Issues Committee
Wrightwood Property Owners Assoc.
P.O. Box 487
Wrightwood, CA 92397

City of Arcadia Water Co.
P. O. Box 60021
240 W. Huntington Drive
Arcadia, CA 91066-6021

City of Brawley Water Co.
400 Main Street
Brawley, CA 92227
TSalcido@brawley-ca.gov

City of Buena Park
6650 Beach Boulevard
Buena Park, CA 90620

City of Covina
534 Barranca Avenue
Covina, CA 91723-2199
CMarcarello@covinaca.gov

City Attorney
City of El Monte
11333 Valley Blvd.
El Monte, CA 91732
cmoseley@elmonte.ca.gov

City of Garden Grove
13802 Newhope Street
Garden Grove, CA 92643
Zackb@ci.garden-grove.ca.us

Heber Public Utility District
P. O. Box H
Heber, CA 92249

Westmorland Water Company
P.O. Box 698
Westmorland, CA 92281

City of Anaheim
City Clerk's Office
200 S. Anaheim Blvd. – Suite 217
Anaheim, CA 92805

City of Alhambra Utilities Dept.
111 S. First Avenue
Alhambra, CA 91801

City of Brea
Water Department
#1 Civic Center Drive
Brea, CA 92621

City of Calexico Water Co.
608 Heber Avenue
Calexico, CA 92231
saldanaj@calexico.ca.gov

City of El Centro Water Co.
307 W. Brighton Avenue
El Centro, CA 92243

City of Fullerton Water
Fullerton Water Department
303 W. Commonwealth Avenue
Fullerton, CA 92631
garh@ci.fullerton.ca.us

City of Glendora
116 East Foothill Blvd.
Glendora, CA 91740

City of Hesperia
Water Department
9700 Seventh Avenue
Hesperia, CA 92345
jwyman@cityofhesperia.us

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City of Imperial
Water Department
420 S. Imperial Avenue
Imperial, CA 92251

City of La Palma
7822 Walker Street
La Palma, CA 90623
Attn: Jeff Moneda, PW Director

City of La Verne
Water Department
3660 "D" Street
La Verne, CA 91750
lestrella@ci.la-verne.ca.us

Monte Vista Water District
10575 Central Avenue
Montclair, CA 91763

City of Monterey Park Water Co.
320 W. Newmark Avenue
Monterey Park, CA 91754
rgonzales@montereypark.ca.gov

City Attorney
City of Monterey Park
2600 W. Olive Avenue, Suite 500
Burbank, CA 91505
Kberger@hensleylawgroup.com

City of Monrovia Water Company
415 S. Ivy Avenue
Monrovia, CA 91016

City of Orange
Water Department
189 South Water Street
Orange, CA 92866
jdefrancesco@cityoforange.org

City of Santa Ana Water
20 Civic Center Plaza
Santa Ana, CA 92702
ryhernandez@santa-ana.org

City of San Dimas
245 E. Bonita Avenue
San Dimas, CA 91773
dblack@sandimasca.gov
bmckinney@sandimasca.gov

City of Seal Beach
211 8th Street
Seal Beach, CA 90740

City of Upland
Water Department
460 N. Euclid Street
Upland, CA 91786
BYu@uplandca.gov
JRobles@ci.upland.ca.us
MMadriz@ci.upland.ca.us

City of West Covina
Water Department
825 S. Sunset Avenue
West Covina, CA 91790

City of Westminster
8200 Westminster Blvd.
Westminster, CA 92683
smiller@westminster-ca.gov

Steve Conklin, Acting General Manager
Yorba Linda Water District
1717 E. Miraloma Avenue
Placentia, CA 92870
Sconklin@ylwd.com
RWeston@ylwd.com

City Attorney
City of Arcadia
240 West Huntington Drive
Arcadia, CA 91006
cityattorney@arcadiaca.gov

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City Attorney
City of Barstow
222 E. Mountain View Street
Barstow, CA 92311

City Attorney
City of Claremont
P. O. Box 880
Claremont, CA 91711

City Attorney
City of Cypress
5275 Orange Avenue
Cypress, CA 90630

City of El Monte
11333 Valley Blvd.
El Monte, CA 91731
jmussenden@ci.el-monte.ca.us

City Attorney
City of La Palma
7822 Walker Street
La Palma, CA 90680

Administrative Services Director
City of Los Alamitos
3191 Katella Avenue
Los Alamitos, CA 90720
CKoehler@cityoflosalamitos.org

City Attorney
City of Montclair
5111 Benito Avenue
Montclair, CA 91763
der@robbinsholdaway.com

City Attorney
City of Orange
300 E. Chapman Avenue
Orange, CA 92666
wwinthers@cityoforange.org

City Attorney
Oswalt & Associates
Mr. William (Bill) Smerdon
P O Box 607
Imperial, CA 92251

City Attorney
City of Covina
125 E. College Street
Covina, CA 91723

City Attorney
City of Duarte
1600 Huntington Drive
Duarte, CA 91010
jmelching@rutan.com

City Attorney
City of Irwindale
5050 N. Irwindale Avenue
Irwindale, CA 91706

City Attorney
City of La Verne
3660 'D' Street
La Verne, CA 91750

City Attorney
City of Monrovia
415 South Ivy Avenue
Monrovia, CA 91016
csteele@rwglaw.com

City Attorney, Karl H. Berger
City of Monterey Park
2600 W. Olive Avenue, Suite 500
Burbank, CA 91505
kberger@hensleylawgroup.com

City Attorney
City of Placentia
401 E. Chapman Avenue
Placentia, CA 92870

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City of Pomona
505 S. Garey Avenue
Pomona, CA 91766
Rozaluia_outley@ci.pomona.ca.us

Interim Water Resources Director
City of Pomona
148 N. Huntington Street
Pomona, CA 91768
chris_diggs@ci.pomona.ca.us

City Attorney, Bonifacio Garcia
City of Rosemead
8838 E. Valley Blvd.
Rosemead, CA 91770

City Manager
City of San Dimas
245 E. Bonita Avenue
San Dimas, CA 91773
cconstantin@sandimasca.gov

City Attorney
City of San Gabriel
425 S. Mission Drive
San Gabriel, CA 91776

City Attorney
City of Seal Beach
211 8th Street
Seal Beach, CA 90740
csteele@rwglaw.com

City Attorney
City of Stanton
7800 Katella Avenue
Stanton, CA 90680

City Attorney
City of Temple City
9701 Las Tunas Drive
Temple City, CA 91780

City Manager
City of Yorba Linda
4845 Casa Loma Avenue
Yorba Linda, CA 92886-3364

City Council
City of Calipatria
125 North Park Avenue
Calipatria, CA 92233

City Clerk
City of Barstow
222 E. Mountain View St – Suite A
Barstow, CA 92311
aflores@barstowca.org
crudsell@barstowca.org

City Clerk
City of Claremont
P.O. Box 880
Claremont, CA 91711
sdesautels@ci.claremont.ca.us

Community Services Director, Jeremy Swan
City of Claremont
1616 Monte Vista Avenue
Claremont, CA 91711
jswan@ci.claremont.ca.us

City Clerk
City of Covina
125 E. College Street
Covina, CA 91723

City Clerk and City Manager
City of Cypress
5275 Orange Avenue
Cypress, CA 90630
adm@cypress.ca.us
pgrant@cypressca.org

Management Analyst
City of Duarte
1600 Huntington Drive
Duarte, CA 91010
vrocha@accessduarte.com

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City Clerk
City of El Monte
11333 Valley Blvd.
El Monte, CA 91731

City Clerk
City of Irwindale
5050 N. Irwindale Avenue
Irwindale, CA 91706
LindaK@ci.irwindale.ca.us

City Clerk
City of La Palma
7822 Walker Street
La Palma, CA 90680

City Clerk
City of La Verne
3660 'D' Street
La Verne, CA 91750

City Clerk
City of Los Alamitos
3191 Katella Avenue
Los Alamitos, CA 90720
WQintanar@cityoflosalamitos.org

City Clerk
City of Monrovia
415 S. Ivy Avenue
Monrovia, CA 91016

City Clerk
City of Montclair
5111 Benito Avenue
Montclair, CA 91763
CityClerk@CityofMontclair.org

City Clerk
City of Orange
300 E. Chapman Avenue
Chapman, CA 92666
Cperez@cityoforange.org

City Clerk
City of Placentia
401 E. Chapman Avenue
Placentia, CA 92870

City of Rosemead
8838 Valley Blvd.
Rosemead, CA 91770
EHernandez@CityofRosemead.org
NHaworth@CityofRosemead.org

City Clerk's Department
City of San Gabriel
425 S. Mission Drive
San Gabriel, CA 91776
CityClerk@sgch.org

City Clerk
City of Seal Beach
211 8th Street
Seal Beach, CA 90740
PGallegos@sealbeachca.gov
TKelsey@sealbeachca.gov

City Clerk, Patricia Vazquez
City of Stanton
7800 Katella Avenue
Stanton, CA 90680
PVazquez@ci.stanton.ca.us

City Manager
City of Temple City
9701 Las Tunas Drive
Temple City, CA 91780

County Counsel
County of San Bernardino
385 N. Arrowhead Avenue, 2nd Floor
San Bernardino, CA 92415-0140

City Clerk
City of Yorba Linda
4845 Casa Loma Avenue
Yorba Linda, CA 92686

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Chamber President
Niland Chamber of Commerce
P. O. Box 97
Niland, CA 92257

County Counsel
County of Los Angeles
500 W. Temple Street – 5th Floor
Los Angeles, CA 90012

Scott Blaising
Braun Blaising McLaughlin & Smith PC
915 L Street, Suite 1270
Sacramento, CA 95814
blaising@braunlegal.com

Naval Facilities Engineering Command
Rea D. Estrella
Southwest Division
1220 Pacific Highway
San Diego, CA 92132
Rea.estrella@navy.mil

County of Ventura
800 S. Victoria Street
Ventura, CA 93009

George Carpenter
141 Morella Court
Roseville, CA 95747
georgemcarpenter@comcast.net

County of San Bernardino
Water & Sanitation Area
222 W. Hospitality Lane, 2nd Floor
San Bernardino, CA 92408

Michael Kent
Contra Costa Health Services
597 Center Avenue, Suite 320
Martinez, CA 94553-4635

Fred G. Yanney
Yanney Law Office
17409 Marquardt Ave., Unit C-4
Cerritos, CA 90703
FredYanney@gmail.com

Downey Brand LLP
455 Market Street, Suite 1500
San Francisco, CA 94105
MSomogy@DowneyBrand.com
tmacbride@DowneyBrand.com
mday@DowneyBrand.com

LOCAL AGENCEY FORMATION COMMISSION
Attention: Alisha O'Brien
80 South Lake Avenue, Suite 870
Pasadena, CA 91101