

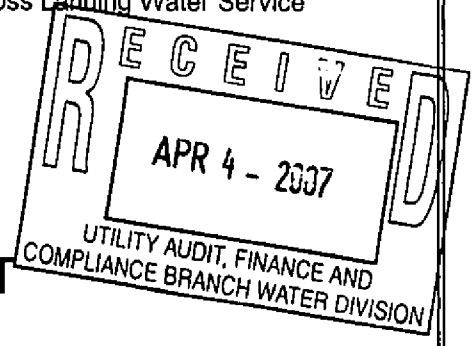
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Examined \_\_\_\_\_

**CLASS D  
WATER UTILITIES**

Normco Water Service and Moss Landing Water Service

U# \_\_\_\_\_



**2006  
ANNUAL REPORT  
OF**

**Alisal Water Corporation  
Normco and Moss Landing Districts**

*(Alco)*

\_\_\_\_\_  
(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

\_\_\_\_\_

5162 Soquel Drive, Suite F, Soquel, CA 95073-2560  
(OFFICIAL MAILING ADDRESS) ZIP

**TO THE  
PUBLIC UTILITIES COMMISSION  
STATE OF CALIFORNIA  
FOR THE  
YEAR ENDED DECEMBER 31, 2006**

REPORT MUST BE FILED NOT LATER THAN MARCH 31, 2007  
(FILE TWO COPIES IF THREE RECEIVED)

## **INSTRUCTIONS**

### **Alisal Water Corporation**

**Normco & MossLanding Districts**

1. One completed copy of this report (two copies if three received) must be filed **NOT LATER THAN MARCH 31**, following the year covered by the report, with:

**CALIFORNIA PUBLIC UTILITIES COMMISSION**

**WATER DIVISION**

**ATTN: Kayode Kajoypaiye**

**505 VAN NESS AVENUE, ROOM 3105**

**SAN FRANCISCO, CALIFORNIA 94102-3298**

2. Failure to file the report on time may subject a utility to the penalties and sanctions provided by the Public Utilities Code.
3. The Oath, on the last page, must be signed by an officer, partner or owner.
4. The report must be prepared in ink, typed or computer generated.
5. The report must be filled in, and every question answered. **LEAVE NO SCHEDULE BLANK.** Insert the words "none" or "not applicable" or "n/a" when appropriate.
6. Certain balance sheet and income statement accounts flow to supplemental schedules. The totals of the details in the latter must agree with the balances of the accounts to which they refer.
7. Some schedules provide for a "balance at beginning of year." The amount shown should agree with the "balance at end of year" as shown in the report for the previous year. If there is a difference it should be explained by footnote.
8. When there is insufficient space in a schedule to permit a complete statement of the requested information, insert sheets should be prepared and identified by the number of the schedule to which it refers. Be certain that the inserts are securely attached to the report.
9. This report must cover a calendar year, from January 1 through December 31. Fiscal year reports will not be accepted.

**CLASS D WATER UTILITIES**  
(HAVING LESS THAN 500 SERVICE CONNECTIONS)

Alisal Water Corporation

Normco and Moss Landing Districts

\_\_\_\_\_  
(Name under which corporation, partnership or individual is doing business)

5162 Soquel Drive, Suite F, Soquel, CA 95073-2560

\_\_\_\_\_  
(Official mailing address)

North Monterey County and Moss Landing Areas of Monterey County

\_\_\_\_\_  
(Service area-town and county)

Telephone Number:

Fax Number:

Email Address:

**GENERAL INFORMATION**

(Attach a supplementary statement, if necessary)

RETURN ORIGINAL TO COMMISSION, NO PHOTOCOPIES.

- 1 If a corporation show:
  - (A) Date of organization Normco 10/4/96 & Moss Landing 2/9/94 incorporated in the State of California.
  - (B) Names, titles and addresses of principal officers:
    - President: Robert T. Adcock
    - Vice President: Thomas R. Adcock
    - Secretary: Patricia Adcock
- 2 If unincorporated provide the name and address of the owner(s) or the partners:
- 3 Name, title, and telephone number of:
  - (A) One person listed above to receive correspondence: John W. Richardson @ (831) 475-2404
  - (B) Person responsible for operations and services: John W. Richardson @ (831) 475-2404
- 4 Were any contracts or agreements in effect with any organization or person covering service, supervision and/or management of your business affairs during the year? (Yes or No) Yes, John W. Richardson, Receiver  
If so, what was the nature and the amount of each payment made under the agreement, to whom were payments made, and to what account was each payment charged?
- 5 State the names of associated companies or persons which, directly or indirectly, or through one or more intermediaries, control, or are controlled by, or are under common control with respondent:  
John W. Richardson, Receiver

**PUBLIC HEALTH STATUS**

Yes	No	Latest Date

- 6 Has state or local health department inspection been made during the year?
- 7 Are routine laboratory tests of water being made?
- 8 Has state health department water supply permit been obtained? (Indicate date)
- 9 If no permit has been obtained, state whether application has been made and when.
- 10 Show expiration date if state permit is temporary.
- 11 List Name, Grade, and License Number of all Licensed Operators:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Excess Capacity and Non-Tariffed Services

NOTE: In D.00-07-018, D.03-04-028, and D. 04-12-023, the CPUC set forth rules and requirements regarding water utilities' provision of non-tariffed services using excess capacity. These decisions require water utilities to: 1) file an advice letter requesting Commission approval of that service; 2) provide information regarding non-tariffed goods/services in each company's Annual Report to the Commission.

Based on the information and filings required in D.00-07-018, D.03-04-028, and D.04-12-023, provide the following information for each individual non-tariffed good and service provided in 200 .

Applies to All Non-Tariffed Goods/Services that require Approval by Advice Letter

Row Number	Description of Non-Tariffed Goods/Services	Active or Passive	Total Revenue derived from Non-Tariffed Goods/Services (by account)	Revenue Account Number	Total Expenses incurred to provide Non-Tariffed Goods/Services (by Account)	Expense Account Number	Advice Letter Number approving Non-Tariffed Goods/Services	Total Income Tax Liability incurred because of non-tariffed Goods/Services (by Account)	Income Tax Liability Account Number	Gross Value of Requisitioned Assets used in the provision of a Non-Tariffed Good/Service (by account)	Requisitioned Asset Account Number

**CLASS B, C AND D WATER COMPANIES  
SAFE DRINKING WATER BOND ACT DATA**

Please COMPLETE the following information relating to each Normco Water Service and Moss Landing Water Service (SDWBA) loan outstanding during the 12 month reporting period. (Use one sheet for each loan.)

1. Current Fiscal Agent:

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Phone Number: \_\_\_\_\_

Date Hired: \_\_\_\_\_

2. Total surcharge collected from customers during the 12 month reporting period:

\$ \_\_\_\_\_

3. Summary of the trust bank account activities showing:

Balance at beginning of year	\$ _____
Deposits during the year	_____
Withdrawals made for loan payments	_____
Other withdrawals from this account	_____
Balance at end of year	_____

**CLASS B, C AND D WATER COMPANIES  
SAFE DRINKING WATER BOND ACT DATA**

Plant amounts which were funded using SDWBA funds should also be included in Schedule A, Account No. 101--Water Plant in Service

4.

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits* or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		DEPRECIABLE PLANT					
6	304	Structures					
7	307	Wells					
8	317	Other water source plant					
9	311	Pumping equipment					
10	320	Water treatment plant					
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service					

**SCHEDULE A - BALANCE SHEET (DECEMBER 31, 2006 )**

Line	Acct.	Assets	Balance	Acct.	Equity and Liabilities	Balance
1	101	Water plant in service	3,165,084	201	Common Stock (Corporations only)	
2	103	Water plant held for future use		211	Other paid-in capital (Corporations only)	101,740
3	104	Water plant purchased or sold		215	Retained earnings	(303,989)
4	105	Water plant construction work in progress	251,097	218	Proprietary capital	
5	108	Accumulated depreciation of water plant	(825,600)	224	Long term debt	
6	114	Water plant acquisition adjustments	(4,127)		Current Liabilities	2,055,225
7	124	Other investments	(125,000)	252	Advances for construction	292,595
8	131	Cash	89,261	253	Other deferred credits	
9	141	Accounts receivable - customers	50,133	255	Accumulated deferred investment tax credits	40,684
10	142	Receivables from associated companies		282	Accumulated deferred income taxes - Acrs	
11	151	Materials and supplies			depreciation	
12	174	Other current assets ML Bridge Project	251,233	283	Accumulated deferred income taxes - other	29,278
		Other current assets - Utility Trans/Dist Plant	33,000			
		Other current assets	4,540			
13	180	Deferred charges	87,395	271	Contributions in aid of construction	1,008,958
14				272	Accumulated amortization of contributions	(247,475)
15		<b>Total Assets</b>	<b>2,977,016</b>		<b>Total Equity and Liabilities</b>	<b>2,977,016</b>

**SCHEDULE B - WATER PLANT IN SERVICE**

Line	Acct	Title of Account	Balance Beg of Year	Plt Additons During year	Plt Retirements During year	Other Debits or (Credits)	Balance End of year
16	301	Intangible plant				4,984	4,984
17	303	Land				31,371	31,371
18	304	Structures				194,345	194,345
19	307	Wells				105,027	105,027
20	317	Other water source plant				-	-
21	311	Pumping equipment				379,545	379,545
22	320	Water treatment plant				60,277	60,277
23	330	Reservoirs tanks and sandpipes				528,230	528,230
24	331	Water mains				1,391,614	1,391,614
25	333	Services and meter installations				291,447	291,447
26	334	Meters				30,330	30,330
27	335	Hydrants				114,484	114,484
28	339	Other equlpmnt				25,143	25,143
29	340	Office furniture and equipment				8,287	8,287
30	341	Transportation equipment				-	-
31		<b>Total water plant in service</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>3,165,084</b>	<b>3,165,084</b>

\* Debit or credit entries should be explained by footnotes or supplementary schedules

**SCHEDULE C - RESERVE FOR DEPRECIATION OF UTILITY PLANT**

Line	Item	Account 108 Water Plant	Account 106.1 SDWBA Loans	
32	Balance in reserves at beginning of year			A. Method used to compute depreciation
33	Add: Credits to reserves during year			expense (Acct. 403) and rate.
34	(a) Charged to Account No. 272	26,572		S/L - 2.6% Composite Rate
35	(b) Charged to Account No. 403	56,458		
36	(c) Charged to Account No. 407			B. Amount of depreciation expense claimed
37	(d) Salvage recovered			or to be claimed on utility property in
38	(e) All other credits	742,570		your federal income tax return for the year
39	Total credits	825,600		covered by this report \$
40	Deduct: Debits to reserves during year			
41	(a) Book cost of property retired			
42	(b) Cost of removal			C. State method used to compute tax
43	(c) All other debits			depreciation.
44	Total debits			S/L - 150 DB
45	Balance in reserve at end of year	825,600		
46	(1) Explanation of all other credits	Accumulated Depreciation balance as of 1/1/06		
47	(2) Explanation of all other debits			

**SCHEDULE D - CAPITAL STOCK OUTSTANDING (DECEMBER 31, 2006)**

Line			
1	Common - (Shares	\$	par)
2	Preferred - (Shares	\$	par)
3	Dividends - Common	Rate - \$	
4	- Preferred	Rate - \$	

List persons owning more than 5% of outstanding stock and number of shares owned by each:  
Alisal Water Corporation: 100%

**SCHEDULE E - LONG TERM DEBT**

	Class	Date of Issue	Date of Maturity	Principal Amount Authorized	Outstanding Per Balance Sheet	Rate of Interest	Interest Accrued During Year	Interest Paid During Year
5								
6					N/A			
7								
8	Totals							

**SCHEDULE F - INCOME STATEMENT**

9	Operating revenues	xxxxxxxxxx
10	460 Unmetered water revenue	
11	462 Fire protection revenue	
12	465 Irrigation revenue	
13	470 Metered water revenue	369,883
14	480 Other water revenue	1,397
15	Total Operating Revenue	371,280
16	Operating revenue deductions	xxxxxxxxxx
17	Operating expenses	xxxxxxxxxx
18	610 Purchased water	
19	615 Purchased power	62,347
20	618 Other volume related expenses	
21	630 Employee labor	94,628
22	640 Materials	73,279
23	650 Contract work	40,953
24	660 Transportation expenses	11,310
25	664 Other plant maintenance expense	9,926
26	670 Office salaries	39,717
27	671 Management salaries	-
28	674 Employee pensions and benefits	11,024
29	676 Uncollectible accounts expense	6,557
30	678 Office services and rentals	-
31	681 Office supplies and expense	10,024
32	682 Professional services	4,617
33	684 Insurance	37,896
34	688 Regulatory commission expense	
35	689 General expenses	2,709
36	Total Operating Expenses	404,987
37	403 Depreciation expense	56,458
38	407 SDWBA loan amortization expense	
39	408 Taxes other than income taxes	28,679
40	409 State income tax expense	1,600
41	410 Federal income tax expense	-
42	Total Operating Revenue Deductions	86,737
43	Utility Operating Income	(120,444)
	Non-utility income - Misc & Cust Rei	9,707
44	421 Non-utility income - Conn & App Fees	49,710
45	426 Miscellaneous non-utility expense	
46	427 Interest expense	
47	Net Income	(61,027)



**SCHEDULE G - SOURCES OF SUPPLY AND WATER DEVELOPED WELLS**

Location	No.	Diam. Inch	Depth to water feet	Pumping capacity (g.p.m.)	Annual quantities pumped
Wildwood #1	13	8	410	70	See total Below
Wildwood #1	14	10	410	70	See total Below
Blackie Road	16	10	216	53	See total Below
Valle Pacifico #1	17	6	310	1	See total Below
Valle Pacifico #1	18	8	310	30	See total Below
Berta Canyon	20	12	211	300	See total Below
Holly Hills (Coker Road)	21	8	219	35	See total Below
Manzanita	22	12	205	500	See total Below
Moss Landing	23	12	122	579	See total Below
Vierra Canyon	27	8	266	83	See total Below
Matterhorn Place	28	6	266	35	See total Below
<b>Total</b>					<b>91.0 MG</b>

**OTHER**

Streams or springs location of diversion point	Flow in Priority right		(Unit) Diversions		Annual Quantities Diverted Unit
	Claim	Capacity	Max	Min	
Purchased water (unit)				Annual Quantity	
Supplier:					

**SCHEDULE H - OPTIONAL WATER DELIVERED TO METERED CUSTOMERS**  
(If figures are available) (specify unit)

Classification of service	Max mo. Mo. of	Min. Mo. Mo. Of	Total for year
Residential & business			
Industrial/Commercial			
Fire Protection			
Irrigation			
Other (specify)			
<b>Total</b>			<b>97,841 CCF</b>

**SCHEDULE I - EMPLOYEES AND THEIR COMPENSATION**

Line	Acct	Account	Number at End of year	Salaries Charged to Expense	Salaries Charged to Plant Accounts	Total Salaries and Wages Paid
48	630	Employee Labor		94,628		94,628
49	670	Office salaries		39,717		39,717
50	671	Management salaries				-
51		<b>Total</b>		<b>134,345</b>		<b>134,345</b>

**SCHEDULE J - ADVANCES FOR CONSTRUCTION**

Balance beginning of year	0
Additions during year	0
Subtotal - Beginning balance plus additions during year	0
Refunds	0
Transfers to Acct. 271 - Contributions in Aid of Construction	0
Balance end of year	0

**SCHEDULE K - TOTAL METERS  
AND SERVICES (active and inactive)**

Size	Meters	Services
5/8 x 3/4-in	60	60
3/4-in	150	150
1-in	180	180
1 1/2-in	63	63
2-in	39	39
3-in	1	1
<b>Total</b>	<b>493</b>	<b>493</b>

**SCHEDULE L - METER-TESTING DATA**

Number of meters tested during year	
1 Used, before repair...	_____
2 Used, after repair .....	_____
3 Fast, requiring refund ..	_____
Numbers of meters in service requiring test	
per General Order No. 103 .....	

**SCHEDULE M - SERVICE CONNECTIONS AT END OF YEAR**

Classification	Active			Inactive			Total connections	
	Metered	Flat	Total	Metered	Flat	Total	Metered	
Residences	493		493				493	
Industrial/Commercial			-					
Irrigation			-					
Fire Protection (public)			-					
Fire Protection (private)			-					
Other (specify)			-					
<b>Total</b>	<b>493</b>	<b>-</b>	<b>493</b>				<b>493</b>	<b>-</b>

NOTE: Total connections (metered plus flat) should agree with total services in Schedule K.

**SCHEDULE N - STORAGE FACILITIES**

**SCHEDULE O - FOOTAGES OF PIPE (EXCLUDING SERVICE PIPES)**

Description	No.	Combined capacity in gallons	Description	2" and under	2 1/4 to 3 1/4	4"	Other sizes (specify)		Totals
							6"	8"	
Concrete	5	300,000	Cast Iron						
Earth			Welded steel						
Wood			Standard screw						
Steel	14	260,000	Cement-asbestos						
Other			Plastic						
			Other (specify)						
<b>Total</b>	<b>16</b>	<b>560,000</b>	<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

**DECLARATION**

(BEFORE SIGNING PLEASE CHECK TO SEE THAT ALL SCHEDULES HAVE BEEN COMPLETED)

I, the undersigned (officer, partner or owner) of Normco Water Service and Moss Landing Water Service (Name of utility), under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, documents, and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the calendar year.

January 1, 2006 through December 31, 2006.

Signed

  
John W. Richardson, Receiver

Title

3.30.07

Date