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Received _____
Examined _____

CLASS D  
WATER UTILITIES

U# \_\_\_\_\_

2001  
ANNUAL REPORT  
OF

ALPINE VILLAGE WATER COMPANY

ALPINE VILLAGE WATER COMPANY

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

52762 REDWOOD DR.

SPRINGVILLE, CA 93265

(OFFICIAL MAILING ADDRESS)

ZIP

TO THE  
PUBLIC UTILITIES COMMISSION  
STATE OF CALIFORNIA  
FOR THE  
YEAR ENDED DECEMBER 31, 2001

REPORT MUST BE FILED NOT LATER THAN MARCH 31, 2002  
(FILE TWO COPIES IF THREE RECEIVED)

**Summary of Earnings  
Test Year 2001**

<b>Account Number</b>	<b>Operating Revenue</b>	
470	Metered	
460	Unmetered	8832
465	Irrigation	
462-480	Private Fire Protection	
	<b>Total Revenue</b>	<b>8832</b>
	<b>Operating Expenses</b>	
610	Purchased Water	
615	Purchased Power	306
	Pump Taxes	
	Purchased Chemicals	
618	Other Vol. Related exp.	
630	Employee Labor	
640	Materials	2291
650	Contract Work	
	Water Testing	
660	Transportation Expense	599
664	Other Plant Maintenance	1723
670	Office Salaries	
671	Management Salaries	
674	Employee Pensions and Benefits	
676	Uncollectables	
678	Office Service and Rentals	
681	Office Supplies and Expense	139
682	Professional Services	300
684	Insurance	1000
688	Regulatory Expense	621
689	General Expense	96
	<b>Subtotal</b>	<b>7075</b>
403	Depreciation Expense	1338
	Ad Valorem Taxes	
	Payroll taxes	
408	Taxes other than income	99
409	State Income Tax	
410	Federal Income Tax	
	<b>Total Deductions</b>	<b>8512</b>
	<b>Net Revenue</b>	<b>320</b>
	<b>Rate Base</b>	
	Average Plant	39754
	Average Depreciation Reserve	18843
	Net Plant	20911
	Less: Advances	
	Less: Contributions	
	Plus: Construction Work in Progress	
	Plus: Working Cash	
	Plus: Material & Supplies	
	<b>Rate Base</b>	<b>20911</b>
	<b>ROR=Net Rev/Rate Base</b>	<b>.0153</b>

# CLASS D WATER UTILITIES

(HAVING LESS THAN 500 SERVICE CONNECTIONS)

## ALPINE VILLAGE WATER COMPANY

(Name under which corporation, partnership or individual is doing business)

52762 REDWOOD DR., SPRINGVILLE, CA 93265

(Official mailing address)

ALPINE VILLAGE, TULARE COUNTY

(Service area-town and county)

### GENERAL INFORMATION

RETURN ORIGINAL  
TO COMMISSION  
NO PHOTOCOPIES

- 1 If a corporation show: **Not Applicable**  
 (A) Date of organization \_\_\_\_\_ incorporated in the State of \_\_\_\_\_  
 (B) Names, titles and addresses of principal officers: \_\_\_\_\_
- 2 If unincorporated give the name and address of owner or of each partner:  
 1) Charles W. Roberts, 342-A North Second St., Porterville, CA 93257  
 2) John Roberts, 52762 Redwood Dr., Springville, CA 93265
- 3 Name and telephone number of:  
 (A) One person listed above to receive correspondence: John Roberts (559) 542-2593  
 (B) Person responsible for operations and services: John Roberts (559) 542-2593
- 4 Were any contracts or agreements in effect with any organization or person covering service, supervision and/or management of your business affairs during the year? (Yes or No) **No**  
 If so, what was the nature and the amount of each payment made under the agreement, to whom were payments made, and to what account was each payment charged?
- 5 State the names of associated companies or persons which, directly or indirectly, or through one or more intermediaries, control, or are controlled by, or are under common control with respondent:

#### PUBLIC HEALTH STATUS

- 6 Has state or local health department inspection been made during the year?
- 7 Are routine laboratory tests of water being made?
- 8 Has state health department water supply permit been obtained? (Indicate date)
- 9 If no permit has been obtained, state whether application has been made and when.
- 10 Show expiration date if state permit is temporary.

Yes	No	Latest Date
X		9/24/01
X		10/22/01 1/3/02
	N/A	
	N/A	
	N/A	

SPECIAL INSTRUCTIONS (over)

## **SPECIAL INSTRUCTIONS**

- 1 Beginning of year balances must agree with end of year balances shown in report for previous year.  
Attach a supplementary statement to explain any differences.
- 2 Attach a supplementary statement to explain adjustments in Schedule B - Water Plant in Service.
- 3 Complete all schedules, using the words "None" or "Not applicable" if appropriate.
- 4 Report must be prepared legibly in ink or by typewriter.

SELECTED FINANCIAL DATA - CLASS A, B, C AND D WATER COMPANIES  
2001

NAME OF UTILITY Alpine Village Water Co. PHONE (559) 542-2593

PERSON RESPONSIBLE FOR THIS REPORT Cheryll Pruner  
(Prepared from Information in the 2001 Annual Report)

BALANCE SHEET DATA		1/1/01	12/31/01	Average
		2000	2000	2000
1	Intangible Plant			
2	Land and Land Rights			
3	Depreciable Plant	<u>37147</u>	<u>38361</u>	<u>37754</u>
4	Gross Plant in Service	<u>39147</u>	<u>40361</u>	<u>39754</u>
5	Less: Accumulated Depreciation	<u>18174</u>	<u>19512</u>	<u>18843</u>
6	Net Water Plant in Service	<u>20973</u>	<u>20849</u>	<u>20911</u>
7	Water Plant Held for Future Use			
8	Construction Work in Progress			
9	Materials and Supplies			
10	Less: Advances for Construction	( )	( )	( )
11	Less: Contribution in Aid of Construction	( )	( )	( )
12	Less: Accumulated Deferred Income and Investment Tax Credits	( )	( )	( )
13	Net Plant Investment	<u>20973</u>	<u>20849</u>	<u>20911</u>
CAPITALIZATION				
14	Common Stock			
15	Proprietary Capital (Individual or Partnership)	<u>26429</u>	<u>26147</u>	<u>26288</u>
16	Paid-in Capital			
17	Retained Earnings			
18	Common Stock and Equity (Lines 14 through 17)	<u>26429</u>	<u>26147</u>	<u>26288</u>
19	Preferred Stock			
20	Long-Term Debt			
21	Notes Payable			
22	Total Capitalization (Lines 18 through 21)	<u>26429</u>	<u>26147</u>	<u>26288</u>

(Revised 2/01)

SELECTED FINANCIAL DATA - CLASS A, B, C AND D WATER COMPANIES

2001  
(continued)

NAME OF UTILITY Alpine Village Water PHONE (559) 542-2593  
Co.

INCOME STATEMENT		Annual Amount
23	Unmetered Water Revenue	8832
24	Fire Protection Revenue	
25	Irrigation Revenue	
26	Metered Water Revenue	
27	Total Operating Revenue	8832
28	<u>Operating Expenses</u>	7075
29	Depreciation Expense (Composite Rate _____)	1338
30	Amortization and Property Losses	
31	Property Taxes	99
32	Taxes Other Than Income Taxes	
33	Total Operating Revenue Deduction Before Taxes	8512
34	California Corp. Franchise Tax	
35	Federal Corporate Income Tax	
36	Total Operating Revenue Deduction After Taxes	8512
37	Net Operating Income (Loss) - California Water Operations	320
38	Other Operating and Nonoper. Income and Exp. - Net (Exclude Interest Expense)	
39	Income Available for Fixed Charges	320
40	Interest Expense	
41	Net Income (Loss) Before Dividends	320
42	Preferred Stock Dividends	
43	Net Income (Loss) Available for Common Stock	320
OTHER DATA		
44	Refunds of Advances for Construction	-
45	Total Payroll Charged to Operating Expenses	-
46	Purchased Water	-
47	Power	306

	<u>Active Service Connections</u>	(Exc. Fire Protect.) _____			Annual
			Jan. 1	Dec. 31	Average
48	Metered Service Connections		30	30	30
49	Flat Rate Service Connections		16	16	16
50	Total Active Service Connections		46	46	46

**CLASS B, C AND D WATER COMPANIES  
SAFE DRINKING WATER BOND ACT DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) loan outstanding during the 12 month reporting period. (Use one sheet for each loan.)

1. Current Fiscal Agent: **Alpine Village Water Co. did not have any loans outstanding during the calendar year 2001.**

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
Phone Number: \_\_\_\_\_  
Date Hired: \_\_\_\_\_

2. Former Fiscal Agent:

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
Phone Number: \_\_\_\_\_  
Date Hired: \_\_\_\_\_

3. Total surcharge collected from customers during the 12 month reporting period:

\$ \_\_\_\_\_

4. Summary of the trust bank account activities showing:

Balance at beginning of year	\$ _____
Deposits during the year	_____
Withdrawals made for loan payments	_____
Other withdrawals from this account	_____
Balance at end of year	_____

5. Account information:

Bank Name: \_\_\_\_\_  
Account Number: \_\_\_\_\_  
Date Opened: \_\_\_\_\_

6. Plant amounts included in Schedule A, Account No. 101--Water Plant In Service which were funded using SDWBA funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant	None				None
5		DEPRECIABLE PLANT					
6	304	Structures					
7	307	Wells					
8	317	Other water source plant					
9	311	Pumping equipment					
10	320	Water treatment plant					
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant	None				None
20		Total water plant in service	None				None



**SCHEDULE A - BALANCE SHEET (DECEMBER 31, 2001)**

Line	Acct.	Assets	Balance	Acct.	Equity and Liabilities	Balance
1	101	Water plant in service	40361	201	Common Stock (Corporations only)	
2	103	Water plant held for future use		211	Other paid-in capital (Corporations only)	
3	104	Water plant purchased or sold		215	Retained earnings	
4	105	Water plant construction work in progress		218	Proprietary capital	26147
5	108	Accumulated depreciation of water plant	19512	224	Long term debt	
6	114	Water plant acquisition adjustments			Current Liabilities	
7	124	Other investments		252	Advances for construction	
8	131	Cash	29	253	Other deferred credits	
9	141	Accounts receivable - customers	4537	255	Accumulated deferred investment tax credits	
10	142	Receivables from associated companies		282	Accumulated deferred income taxes - Acrs	
11	151	Materials and supplies			depreciation	
12	174	Other current assets <b>Loan Rec.</b>	732	283	Accumulated deferred income taxes - other	
13	180	Deferred charges		271	Contributions in aid of construction	
14				272	Accumulated amortization of contributions	
15		<b>Total Assets</b>	<b>26147</b>		<b>Total Equity and Liabilities</b>	<b>26147</b>

**SCHEDULE B - WATER PLANT IN SERVICE**

Line	Acct	Title of Account	Balance Beg of Year	Plt Additions During year	Plt Retirements During year	Other Debits or (Credits)	Balance End of year
16	301	Intangible plant	2000				2000
17	303	Land	-0-				-0-
18	304	Structures	-0-				-0-
19	307	Wells	13774				13774
20	317	Other water source plant	308	* 1214			1522
21	311	Pumping equipment	3288				3288
22	320	Water treatment plant	-0-				-0-
23	330	Reservoirs tanks and sandpipes	3643				3643
24	331	Water mains	10545				10545
25	333	Services and meter installations	2700				2700
26	334	Meters	-0-				-0-
27	335	Hydrants	57				57
28	339	Other equipment	2503				2503
29	340	Office furniture and equipment	329				329
30	341	Transportation equipment	-0-				-0-
31		<b>Total water plant in service</b>	<b>39147</b>	<b>* 1214</b>			<b>40361</b>

\* Debit or credit entries should be explained by footnotes or supplementary schedules

**SCHEDULE C - RESERVE FOR DEPRECIATION OF UTILITY PLANT**  
\* 1214 - Stainless Steel Spring Box

Line	Item	Account 106 Water Plant	Account 106.1 SDWBA Loans	
32	Balance in reserves at beginning of year	18174		A. Method used to compute depreciation
33	Add: Credits to reserves during year			expense (Acct. 403) and rate.
34	(a) Charged to Account No. 403	1338		<b>Straight Line 3% Pre '94 Assets</b>
35	(b) Charged to Account No. 272			<b>Straight Line 10% Post '94 Asset</b>
36	(c) Charged to clearing accounts			B. Amount of depreciation expense claimed
37	(d) Salvage recovered			or to be claimed on utility property in
38	(e) All other credits			your federal income tax return for the year
39	Total credits	1338		covered by this report \$ 2509
40	Deduct: Debits to reserves during year			
41	(a) Book cost of property retired			
42	(b) Cost of removal			C. State method used to compute tax
43	(c) All other debits			depreciation.
44	Total debits			
45	Balance in reserve at end of year	19512		
46	(1) Explanation of all other credits			
47	(2) Explanation of all other debits			

**SCHEDULE D - CAPITAL STOCK OUTSTANDING (DECEMBER 31, 2001)**

Line	1	Common - (Shares	\$	par)	N/A	List persons owning more than 5% of outstanding stock and number of shares owned by each:
	2	Preferred - (Shares	\$	par)	N/A	
	3	Dividends - Common	Rate - \$		N/A	
	4	- Preferred	Rate - \$		N/A	

**SCHEDULE E - LONG TERM DEBT**

	Class	Date of Issue	Date of Maturity	Principal Amount Authorized	Outstanding Per Balance Sheet	Rate of Interest	Interest Accrued During Year	Interest Paid During Year
5								
6								
7								
8	Totals				None		None	None

**SCHEDULE F - INCOME STATEMENT**

9	Operating revenues	xxxxxxxxxx
10	460 Unmetered water revenue	8832
11	462 Fire protection revenue	
12	465 Irrigation revenue	
13	470 Metered water revenue	
14	480 Other water revenue	
15	Total Operating Revenue	
16	Operating revenue deductions	xxxxxxxxxx
17	Operating expenses	xxxxxxxxxx
18	610 Purchased water	
19	615 Power	306
20	618 Other volume related expenses	
21	630 Employee labor	
22	640 Materials	2291
23	650 Contract work	
24	660 Transportation expenses	599
25	664 Other plant maintenance expense	1723
26	670 Office salaries	
27	671 Management salaries	
28	674 Employee pensions and benefits	
29	676 Uncollectible accounts expense	
30	678 Office services and rentals	
31	681 Office supplies and expense	139
32	682 Professional services	300
33	684 Insurance	1000
34	688 Regulatory commission expense	621
35	689 General expenses	96
36	Total Operating Expenses	7075
37	403 Depreciation expense	1338
38	407 SDWBA loan amortization expense	
39	408 Taxes other than income taxes	99
40	409 State corporate income tax expense	
41	410 Federal corporate income tax expense	
42	Total Operating Revenue Deductions	8512
43	Utility Operating Income	320
44	421 Non-utility income	
45	426 Miscellaneous non-utility expense	
46	427 Interest expense	
47	Net Income	320

**SCHEDULE G - SOURCES OF SUPPLY AND WATER DEVELOPED WELLS**

Location	No.	Diam. Inch	Depth to water feet	Pumping capacity (g.p.m.)	Annual quantities pumped
Well	1	xxx	39	55	Unknown
xxx 6-5/8					

**OTHER**

Streams or springs location of diversion point	Flow in (Unit)		Priority right Divisions		Annual quantities diverted (Unit)
	Claim	Capacity	Max	Min	
2 Springs 1/2 Mile North of tract use permit					unknown
Purchased water (unit) Supplier:					Annual quantity
	None				

**SCHEDULE H - OPTIONAL WATER DELIVERED TO METERED CUSTOMERS (If figures are available) (specify unit)**

Classification of service	Max. mo. Mo. of	Min. mo. Mo. of	Total for year
Residential & business			
Industrial			
Public authorities			
Irrigation			
Other (specify)			
Not Available at Present Time			
Total			

**SCHEDULE I - EMPLOYEES AND THEIR COMPENSATION**

Line	Acct	Account	Number at end of year	Salaries Charged to Expense	Salaries Charged to Plant Accounts	Total Salaries and Wages Paid
48	630	Employee Labor				
49	670	Office salaries				
50	671	Management salaries				
51		Total	None	None	None	None

**SCHEDULE J - ADVANCES FOR CONSTRUCTION**

Balance beginning of year	None
Additions during year	
Subtotal - Beginning balance plus additions during year	
Refunds	
Transfers to Acct. 271 - Contributions in Aid of Construction	
Balance end of year	None

**SCHEDULE K - TOTAL METERS AND SERVICES (active and inactive)**

Size	Meters	Services
5/8 x 3/4-in	32	
3/4-in		
1-in		18
-in		
-in		
Total	32	18

**SCHEDULE L - METER-TESTING DATA**

Number of meters tested during year	
1	Used, before repair... <u>None</u>
2	Used, after repair ..... <u>None</u>
3	Fast, requiring refund .. <u>None</u>
Numbers of meters in service requiring test per General Order No. 103 .. <u>0</u>	

**SCHEDULE M - SERVICE CONNECTIONS AT END OF YEAR**

Classification	Active			Inactive			Total connections	
	Metered	Flat	Total	Metered	Flat	Total	Metered	Flat
Residences	30	16	46	1	2	3	31	18
Industrial								
Other (specify) <u>Commercial</u>				1		1	1	0
Subtotal	30	16	46	2	2	4	32	18
Fire protection (Hydrants)								
Total	30	16	46	2	2	4	32	18

NOTE: Total connections (metered plus flat) should agree with total services in Schedule K.

**SCHEDULE N - STORAGE FACILITIES**

**SCHEDULE O - FOOTAGES OF PIPE (EXCLUDING SERVICE PIPES)**

Description	No.	Combined capacity in gallons	Description	2" and	2 1/4 to	4"	Other sizes (specify)	Total:
				under	3 1/4			
Concrete			Cast Iron					
Earth			Welded steel					
Wood			Standard screw	250				250
Steel	1	44,000	Cement-asbestos			3430		3430
Other			Plastic	4600	3300		450	8350
			Other (specify)					
Total	1	44,000	Total	4850	3300	3430	450	12030

**DECLARATION**

(BEFORE SIGNING PLEASE CHECK TO SEE THAT ALL SCHEDULES HAVE BEEN COMPLETED)

I, the undersigned (officer, partner or owner) of Alpine Village Water Co. (Name of utility), under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period

From and including January 1, 2001, to and including December 31, 2001

Signed

Title

Date

*John Robert*  
 Partner  
 3/31/2002