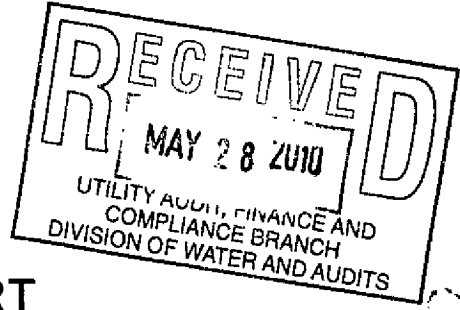


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Received \_\_\_\_\_  
Examined \_\_\_\_\_

CLASS A  
WATER UTILITIES

U# \_\_\_\_\_



2009  
ANNUAL REPORT  
OF

\_\_\_\_\_  
CALIFORNIA-AMERICAN WATER COMPANY  
(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

1033 B Avenue, Suite 200, Coronado, CA 92118  
(OFFICIAL MAILING ADDRESS) ZIP

TO THE  
PUBLIC UTILITIES COMMISSION  
STATE OF CALIFORNIA  
FOR THE  
YEAR ENDED DECEMBER 31, 2009

REPORT MUST BE FILED NOT LATER THAN MARCH 31, 2010

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## GENERAL INSTRUCTIONS

1. Two completed and signed hard copies of this report and one electronic copy must be filed **NOT LATER THAN MARCH 31, 2010**, with:

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS  
ATTN: KAYODE KAJOPAIYE  
505 VAN NESS AVENUE, ROOM 3105  
SAN FRANCISCO, CALIFORNIA 94102-3298  
[kok@cpuc.ca.gov](mailto:kok@cpuc.ca.gov)**

2. Failure to file the report on time may subject a utility to the penalties and sanctions provided by the Public Utilities Code.
3. The Oath on Page 60, must be signed by an authorized officer, partner, or owner.
4. The report must be prepared in ink or by the use of a typewriter. Computer generated report forms may be substituted.
5. The report must be filled in, and every question answered. **LEAVE NO SCHEDULE BLANK.** Insert the words "none" or "not applicable" or "n/a" when appropriate.
6. Certain balance sheet and income statement accounts refer to supplemental schedules. The totals of the details in the latter must agree with the balances of the accounts to which they refer.
7. Some schedules provide for a "balance at beginning of year." The amount shown should agree with the "balance at end of year" as shown in the report for the previous year. If there is a difference, it should be explained by footnote.
8. When there is insufficient space in a schedule to permit a complete statement of the requested information, insert sheets should be prepared and identified by the number of the schedule to which it refers. Be certain that the inserts are securely attached to the report. If inserts are needed, prepare all inserts in one separate electronic file in Microsoft Excel format and file it with the electronic file of this report.
9. This report must cover the calendar year from January 1, 2009, through December 31, 2009. Fiscal year reports will not be accepted.

# INSTRUCTIONS

## FOR PREPARATION OF SELECTED FINANCIAL DATA SHEET FOR ALL WATER UTILITIES

To prepare the attached data sheets, refer to the Balance Sheet, Income Statement and supporting detail schedules in the annual report for the required data.

Please follow the instructions below:

1. Common plant allocated to water should be indicated with water plant figures in Net Plant Investment.
2. The capitalization section for those reporting on both California Only and Total System Forms should be identical and completed with Total Company Data. Be sure that Advances for Construction include California water data only.
3. Complete the operation section with California water revenues and expenses, but if necessary, remove interdepartmental revenues and expenses.
4. All other utility and nonutility revenues and expenses are netted on Line No. 38.

# BALANCE SHEET AND CAPITALIZATION DATA

Calendar Year 2009

NAME OF UTILITY California American Water PHONE 1-619-435-7401

PERSON RESPONSIBLE FOR THIS REPORT Robert MacLean

	1/1/2009	12/31/2009	Average
<b>BALANCE SHEET DATA</b>			
1 Intangible Plant	\$ 3,696,485	5,318,968	\$ 4,507,726
2 Land and Land Rights	8,573,882	5,779,144	7,176,513
3 Depreciable Plant	563,577,457	620,606,339	592,091,898
4 Gross Plant in Service	575,847,823	631,704,450	603,776,137
5 Less: Accumulated Depreciation	(213,404,389)	(230,752,585)	(222,078,487)
6 Net Water Plant in Service	362,443,434	400,951,865	381,697,650
7 Water Plant Held for Future Use	319,240	319,240	319,240
8 Construction Work in Progress	33,654,024	27,878,470	30,766,247
9 Materials and Supplies	662,415	716,054	689,235
10 Less: Advances for Construction	(15,442,016)	(18,188,158)	(16,815,087)
11 Less: Contributions in Aid of Construction	(49,579,326)	(56,257,853)	(52,918,589)
12 Less: Accumulated Deferred Income and Investment Tax Credits	(22,801,320)	(21,482,822)	(22,142,071)
13 Net Plant Investment	\$ 309,256,451	333,936,797	\$ 321,596,624
<b>CAPITALIZATION</b>			
14 Common Stock	\$ 92,477,914	92,477,914	\$ 92,477,914
15 Proprietary Capital (Individual or Partnership)	-	-	-
16 Paid-in Capital	48,234,569	83,265,354	65,749,961
17 Retained Earnings	24,151,466	24,315,397	24,233,432
18 Common Stock and Equity (Lines 14 through 17)	164,863,949	200,058,665	182,461,307
19 Preferred Stock	-	-	-
20 Long-Term Debt	243,000,000	243,000,000	243,000,000
21 Notes Payable	-	-	-
22 Total Capitalization (Lines 18 through 21)	\$ 407,863,949	443,058,665	\$ 425,461,307

# INCOME STATEMENT AND OTHER DATA

Calendar Year 2009

NAME OF UTILITY California American Water

PHONE 1-619-435-7401

	Annual Amount
<b>INCOME STATEMENT</b>	
23 Unmetered Water Revenue	13,642,166
24 Fire Protection Revenue	1,888,453
25 Irrigation Revenue	-
26 Metered Water Revenue	124,796,834
27 Total Operating Revenue	140,327,452
28 <u>Operating Expenses</u>	97,875,172
29 Depreciation Expense (Composite Rate 3.73%)	18,558,668
30 Amortization and Property Losses	409,950
31 Property Taxes	3,536,986
32 Taxes Other Than Income Taxes	1,289,333
33 Total Operating Revenue Deduction Before Taxes	18,657,344
34 California Corp. Franchise Tax	112,359
35 Federal Corporate Income Tax	905,478
36 Total Operating Revenue Deduction After Taxes	17,639,507
37 Net Operating Income (Loss) - California Water Operations	17,639,507
38 Other Operating and Nonoperating. Income and Exp. - Net (Exclude Interest Expense)	(660,813)
39 Income Available for Fixed Charges	16,978,693
40 Interest Expense	16,291,483
41 Net Income (Loss) Before Dividends	687,210
42 Preferred Stock Dividends	-
43 Net Income (Loss) Available for Common Stock	687,210
<b>OTHER DATA</b>	
44 Refunds of Advances for Construction	574,719
45 Total Payroll Charged to Operating Expenses	15,406,951
46 Purchased Water	31,797,885
47 Power	7,449,186
48 <b>Class A Water Companies Only:</b>	
a. Pre-TRA 1986 Contributions in Aid of Construction	50,852,200
b. Pre-TRA 1986 Advances for Construction	5,531,353
c. Post TRA 1986 Contributions in Aid of Construction	5,405,653
d. Post TRA 1986 Advances for Construction	12,656,805

<u>Active Service Connections</u>	(Exc. Fire Protect.)	Jan. 1	Dec. 31	Annual Average
49 Metered Service Connections		135,301	137,840	136,571
50 Flat Rate Service Connections		32,668	29,070	30,869
51 Total Active Service Connections		167,969	166,910	167,440



**BALANCE SHEET AND CAPITALIZATION DATA**  
**Adjusted to Exclude Non-Regulated Activity**  
 Calendar Year 2009

NAME OF UTILITY California American Water PHONE 1-619-435-7401  
 PERSON RESPONSIBLE FOR THIS REPORT Robert MacLean

	1/1/2009	12/31/2009	Average
<b>BALANCE SHEET DATA</b>			
1 Intangible Plant	\$ 3,696,485	5,318,968	4,507,726
2 Land and Land Rights	\$ 8,573,882	5,779,144	7,176,513
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4 Gross Plant in Service	\$ 575,847,823	631,704,450	603,776,137
5 Less: Accumulated Depreciation	\$ (213,404,389)	(230,752,585)	(222,078,487)
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10 Less: Advances for Construction	\$ (15,442,016)	(18,188,158)	(16,815,087)
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12 Less: Accumulated Deferred Income and Investment Tax Credits	\$ (22,801,320)	(21,482,822)	(22,142,071)
13 Net Plant Investment	\$ 309,256,451	333,936,797	321,596,624
<b>CAPITALIZATION</b>			
14 Common Stock	\$ 92,477,914	92,477,914	92,477,914
15 Proprietary Capital (Individual or Partnership)	\$ -	-	-
16 Paid-in Capital	\$ 48,234,569	83,265,354	65,749,961
17 Retained Earnings	\$ 24,151,466	24,315,397	24,233,432
18 Common Stock and Equity (Lines 14 through 17)	\$ 164,863,949	200,058,665	182,461,307
19 Preferred Stock	\$ -	-	-
20 Long-Term Debt	\$ 243,000,000	243,000,000	243,000,000
21 Notes Payable	\$ -	-	-
22 Total Capitalization (Lines 18 through 21)	\$ 407,863,949	443,058,665	425,461,307

Note: \_\_\_\_\_  
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 \_\_\_\_\_  
 \_\_\_\_\_

**INCOME STATEMENT AND OTHER DATA**  
**Adjusted to Exclude Non-Regulated Activity**

Calendar Year 2009

NAME OF UTILITY California American Water

PHONE 1-619-435-7401

	Annual Amount
<b>INCOME STATEMENT</b>	
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24 Fire Protection Revenue	1,888,453
25 Irrigation Revenue	-
26 Metered Water Revenue	124,796,834
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30 Amortization and Property Losses	409,950
31 Property Taxes	3,536,986
32 Taxes Other Than Income Taxes	1,289,333
33 Total Operating Revenue Deduction Before Taxes	18,657,344
34 California Corp. Franchise Tax	112,359
35 Federal Corporate Income Tax	448,311
36 Total Operating Revenue Deduction After Taxes	18,096,674
37 Net Operating Income (Loss) - California Water Operations	18,096,674
38 Other Operating and Nonoperating. Income and Exp. - Net (Exclude Interest Expense)	(1,703,622)
39 Income Available for Fixed Charges	16,393,052
40 Interest Expense	16,291,483
41 Net Income (Loss) Before Dividends	101,569
42 Preferred Stock Dividends	-
43 Net Income (Loss) Available for Common Stock	101,569
<b>OTHER DATA</b>	
44 Refunds of Advances for Construction	574,719
45 Total Payroll Charged to Operating Expenses	15,406,951
46 Purchased Water	31,797,885
47 Power	7,449,186
48 <b>Class A Water Companies Only:</b>	
a. Pre-TRA 1986 Contributions in Aid of Construction	50,852,200
b. Pre-TRA 1986 Advances for Construction	5,531,353
c. Post TRA 1986 Contributions in Aid of Construction	5,405,653
d. Post TRA 1986 Advances for Construction	12,656,805

	(Exc. Fire Protect.)	Jan. 1	Dec. 31	Annual Average
<u>Active Service Connections</u>				
49 Metered Service Connections		135,301	137,840	136,571
50 Flat Rate Service Connections		32,668	29,070	30,869
51 Total Active Service Connections		167,969	166,910	167,440

## Notes to Adjusted Selected Financial Data

Instructions: Provide reasons for each adjustment to the Selected Financial Data due to non-regulated activities. Detail this information by name of line item (Gross Plant, Operating Revenues, etc.), account number, dollar amount, and by point in time (end of year, beginning of year, or average).

- 1 Other Operating and Nonoperating Income and Exp. - Net (Exclude Interest Expense), Account Numbers 526 and 522, Dollar Amount \$1,042,809 is the non-regulated activity as referenced in schedule SFC3c, End of year
- 2 Federal Corporate Income Tax, Account Number 507, Dollar Amount \$457,167 represents tax effect of reduction of non-regulated revenues of \$1,042,809, End of year
- 3 \_\_\_\_\_
- 4 \_\_\_\_\_
- 5 \_\_\_\_\_
- 6 \_\_\_\_\_
- 7 \_\_\_\_\_
- 8 \_\_\_\_\_
- 9 \_\_\_\_\_
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- 13 \_\_\_\_\_
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- 17 \_\_\_\_\_
- 18 \_\_\_\_\_
- 19 \_\_\_\_\_
- 20 \_\_\_\_\_

### Excess Capacity and Non-Tariffed Services

NOTE: In D.00-07-018, D.03-04-028, and D. 04-12-023, the CPUC set forth rules and requirements regarding water utilities provision of non-tariffed services using excess capacity. These decisions require water utilities to: 1) file an advice letter requesting Commission approval of that service, 2) provide information regarding non-tariffed goods/services in each company's Annual Report to the Commission.

Based on the information and filings required in D.00-07-018, D.03-04-028, and D.04-12-023, provide the following information by each individual non-tariffed good and service provided in 2009:

Applies to All Non-Tariffed Goods/Services that require Approval by Advice Letter											
Row Number	Description of Non-Tariffed Goods/Services	Active or Passive	Total Revenue Derived from Non-tariffed Goods/ Services (by account)	Revenue Account Number	Total Expenses Incurred to Provide Non-tariffed Goods/ Services (by account)	Expense Account Number	Advice Letter and/or Resolution Number Approving Non-tariffed Goods/ Services	Total Income Tax Liability Incurred Because of Non-tariffed Goods/ Services (by account)	Income Tax Liability Account Number	Gross Value of Regulated Assets Used in the Provision of a Non-tariffed Goods/ Services (by account)	Regulated Asset Account Number
	Descanso Operating and Maintenance	Active	275,243	526	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Wildwings Operating and Maintenance	Active	450,262	526	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Antenna Leases (various)	Active	317,304	522	N/A	N/A	N/A	N/A	N/A	N/A	N/A

## GENERAL INFORMATION

- 1 Name under which utility is doing business:  
California-American Water Company
- 2 Official mailing address:  
1033 B Avenue, Suite 200, Coronado, CA ZIP 92118
- 3 Name and title of person to whom correspondence should be addressed:  
Robert MacLean, President Telephone: 1-619-435-7450
- 4 Address where accounting records are maintained:  
131 Woodcrest Road, Cherry Hill, NJ 08003
- 5 Service Area (Refer to district reports if applicable):  
See District Reports
- 6 Service Manager (If located in or near Service Area.) (Refer to district reports if applicable.)  
 Name: See District Reports  
 Address: \_\_\_\_\_ Telephone: \_\_\_\_\_

7 OWNERSHIP. Check and fill in appropriate line:

- |                                     |   |                        |
|-------------------------------------|---|------------------------|
| <input type="checkbox"/>            | Individual (name of owner) _____                                      |                        |
| <input type="checkbox"/>            | Partnership (name of partner) _____                                   |                        |
| <input type="checkbox"/>            | Partnership (name of partner) _____                                   |                        |
| <input type="checkbox"/>            | Partnership (name of partner) _____                                   |                        |
| <input checked="" type="checkbox"/> | Corporation (corporate name) <u>California-American Water Company</u> |                        |
|                                     | Organized under laws of (state) <u>California</u>                     | Date: <u>12/7/1965</u> |

- Principal Officers:
- |                  |                                       |
|------------------|---------------------------------------|
| Robert G Maclean | President                             |
| Ed Simon         | VP Operations                         |
| Jeffrey Linam    | VP Finance & Treasurer                |
| Kevin Tilden     | VP External Affairs                   |
| Mark Schubert    | VP Engineering                        |
| Maxine Mitch     | VP Human Resources                    |
| Carrie Gleeson   | Secretary, Divisional General Counsel |
| Lori Girard      | Assistant Secretary                   |
| Dave Stephenson  | Assistant Treasurer                   |

8 Names of associated companies:  
 \_\_\_\_\_  
 \_\_\_\_\_

9 Names of corporations, firms or individuals whose property or portion of property have been acquired during the year, together with date of each acquisition:

None	Date: _____
	Date: _____
	Date: _____
	Date: _____

10 Use the space below for supplementary information or explanations concerning this report:  
 \_\_\_\_\_  
None

11 List Name, Grade, and License Number of all Licensed Operators:  
 \_\_\_\_\_  
See Attached List

Name	Classification	WATER DISTRIBUTION DOHS		WATER TREATMENT DOHS		WASTEWATER TREATMENT SWRCB		MISCELLANEOUS CERTIFICATIONS	
		Number	Grade	Number	Grade	Number	Grade	Title	Number
Aimanza, Salvador S.	Utility Worker II-Grade II	10261	2						
Arveson, Leonard	Treatment Plant Op (Grade II)	5639	3	15717	2				
Barber, Mark L.	Pump Operator	30292	1						
Barr, Charles R.	Wastewater Foreman			15118	2	IV-8115	4	Grade 1 Mechanical Tech. #373; Grade 1 Water Quality Analyst #526; Grade 1 Laboratory Analyst #260; Backflow Prevention #02553.	
Borrego, Ralph D.	Water Conservation Specialist	4545	3					Irrigation Certification	57609
Bricker Jr., James P.	WWW Plant Operator II	25659	2	25090	2				
Burnitt, George V.	Treatment Plant Op (Grade III)	15007	4	23813	3	II-10381	2		
Casey, Scott P.	Supvr Opns I	4943	2						
Ceballos, Raymond M.	Utility Worker I Grade I	31501	1						
Chargin, Brenda S.	WW Plant Operator I	27892	2	26213	2		1	Backflow, Class B w/tanker	12753
Clifford, Jason	WW Plant Operator III		1		2		3	CWE Collections III	90423001
Coppola, Robert T.	Treatment Plant Op (Grade IV)	4944	4	14839	4				
Cruz-Gonzalez, Ron	WW Plant Operator I			31644	1	I-28727	1		
Curtice, Luke E.	Utility Worker II-Grade II	9606	2						
Daniels, Gregory S.	WWW Plant Operator II	31348	2				2		
Davi, Christina F.	HR Generalist	32361	1						
Demaria, Salvatore J.	Meter Repair Technician			14996	1				
De Ocampo, Raymond T.	Laboratory Technician Gradell							CWEA Lab Analyst 3	
Oimaggio, Joseph L.	Supvr Opns I	9807	2					Landscape Irrigation Auditor	
Oolor, Raymundo C.	Water Conservation Specialist							Landscape Irrigation Auditor	& Water Cons.
Oorsey, Nester T.	Landscape Maintenance	7178	2					Qualified Applicator	16141
Draeger, Randat M.	Utility Worker II-Grade III	9837	3						
Eidridge, Ron L.	Small Crew Foreman Grade II	4547	2						
Evans, Craig	Supvr Opns I	4945	3	14889	3				
Filice, Christopher L.	Treatment Plant Op (Grade II)	23298	2	24625	3				
Foster, William M.	Production Foreman Grade III	5397	3	21827	1				
Fugitt, David A.	Pump Operator Gr. 3	4549	4	9697	3				
Gamboa, Tino M.	Utility Worker II-Grade III	7356	3						
Garza, Ernesto	Specialist Maint Service	27751	1						
Glass, Patricia M.	Laboratory Technician Grade I	29978	1	27417	1				
Griswold, Eugene R.	Utility Worker II-Grade II	14998	2						
Haynes, Tina B.	Production Specialist	5401	3	26594	1				
Hofsheler, Gary L.	Supvr Opns I	4254	3						
Hosfelt, Michael E.	Pump Operator Gr. 2	14991	2	09856	2				
Jacobson, Susan	Specialist Water Quality	25707	1	25344	2				
James, Robert	Treatment Plant Oper Foreman			11633	3				
Johns, Arzell	Pump Operator Gr. 1	14992	2	16246	1				
Kolonics, Andrew J.	Backhoe Operator	14940	2	12828	1				
La Sala, Vincent B.	Meter Repair Technician	9603	3						
Lashley, Charles E.	Utility Worker I Grade I	28813	1						
Magretto, Mike	Supt Opns I	4552	5	12255	5			Backflow	5314
Marsequerra, Michael	Warehouse Technician	4553	3	15837	1				
Marlin, James E.	Utility Worker II-Grade III	5709	3						
Maschio, Kathleen M.	Treatment Plant Op			27363	2				
Moreno, Cleotilde J.	Pump Operator Gr. 1	29846	2	28785	1				
Mosqueda, Abraham P.	Landscape Maintenance							Qualified Applicator	18429
Murdock, Mitchell E.	WWW Plant Operator III	25732	2	25192	2		3		
Mckenzie, Thomas G.	Utility Worker II-Grade II	10262	2						
Nichols, James G. M.	Small Crew Foreman IV	4555	4	18498	2				
Perez, Gabriel	WWW Plant Operator II						2		
Phillips, George F.	Small Crew Foreman Grade II	14947	2						
Raffaelli, Thomas S.	Supvr Opns I		2	13316	3				
Rappa, Paul M.	Meter Repair Technician	4954	3					Backflow	
Richins, Michael J.	Specialist Maint Service	25765	1	24822	1				
Riso, Joseph J.	Small Crew Foreman Grade III	5407	3						
Rodriguez, Guillermo	Pump Operator Gr. 1	29341	3	24672	2				
Russo, Mark N.	Customer Serviceperson	31162	1						
Russo, David W.	Landscape Maintenance	15548	2	10963	1				
Sabolsice, Eric	Operations Manager							Class A Water (TX) & T4 (NV)	
Scaccia, Ron T.	Supvr Opns I	4557	3						
Schuck, Kenneth W.	Supvr Opns I	4558	2	00607	5				
Silva, Lesley Ann	Supt Opns I	5410	2						
Singleton, Robert L.	Customer Serviceperson	30485	1						
Torrente, Vincent	Small Crew Foreman Grade III	4958	3						
Torres, Marilyn	Lead Water Conservation Spec							Water Conservation/Auditor	1050
Valdarras, Gregorio	WW Plant Operator III		2		2	27848	3		
Voel, Frank A.	Supt Opns I	20669	1	5805	3		3		

List Name, Grade, and License Number of all Licensed Operators:

WATER DISTRIBUTION				WATER TREATMENT		Other Certs	DL Type
Last Name	First Name	Grade	Cert. #	Grade	Cert. #		
Anderson	William S.	D2	15392				
Carabes	Raul	D2	28126	T2	29888		
Coleman	John M.	D3	4153	T2	15485		
Cruz	Joe	D2	29086				
Echevarria	Herminio	D3	7698				Class A
Gonzales	Jesus	D1	32688				
Grindeland	Daryl	D2	3710				
Lefler	Dean	D3	5149	T1	14100		
Maestas	Dave	D1	31822				
Marini	Erminio	D4	29529	T4	19694	WQ1	Haz Mat
Morgan	Dennis	D3	5558				
Mullins	Tim	D3	5345				
Rojas	Eric	D3	16309	T2	27190		Class A
Slusser	Chris	D2	5077				
Strommen	Dan	D3	5360	T2	23042		
Warfield	William	D1	22953				
Welton	Jon	D2	4106				Class A

List Name, Grade, and License Number of all Licensed Operators:

Name	WATER DISTRIBUTION		WATER TREATMENT		LICENSE
	DOHS		DOS		DMV
	Grade	Operator#	Grade	Operator#	Class A Exp Date
Almada, Fred	D3	31057	T2	27054	
Armand, David	D1	26646	T1	30487	
Bell, Jared	D2	8988	T3	23413	
Casebier, Steve	D2	14641	T3	12161	
Cater, Justen	D2	36535			
Cheek, Jason	D2	35346	T2	28808	Larkfield
Christenson, Dan	D2	14642	-	-	yes-11/20/2010
Claxton, Rodney	D2	35736	-	-	yes, 11/7/2009
Cokley, Jirasak	D2	32983	-	-	
Coleman, Terry	D2	29308	T2	29889	
Crowther, Chris	D3	26990	T3	22906	Larkfield
DiGenova, Margaret	D3	25883	T4	25767	
Diller, John	-	-	T2	6360	
Duncan, Debra	D3	23283	T3	24579	
Eastman, Billy	D2	36614			
Epps, Brian	D4	5831	T4	10786	
Fackrell, Orson	D2	37187	T3	22768	
Flint, Kevin L	D3	31038	-	-	
Fuller, Mike	D2	27713	T1	27574	
Garcia, Chris	D2	29949	-	-	
Gilon, Yitzhak	D3	9535	T3	15514	
Guillory, Brandy			T1	30650	
Hannon, Michael	D2	36062	T2	29121	
Kelley, Kevin	D2	28215	T1	28204	
Lindstrom, Anthony	D4	5839	T4	13340	
Lynch, Sandra			T1	30506	
Martin, Jose	D2	35984	-	-	
Olivarez, Oscar	D2	20175			yes, 8/27/2009
Perez, Osvaldo	D2	36023	-	-	
Pressey, Ed	D2	15032	T4	4661	
Sanborn, Daniel	D2	35234			
Silvas, Jared	D2	33341	-	-	
Stevens, Grady	D3	16890	T3	24080	
Tarp, Ronald D.	D2	28238	T2	27634	yes
Trotter, Craig	D2	33347	T2	29901	Yes, 7/18/2010
Trush, Daniel	D3	20176	T2	27672	yes, 8/6/2010
Valtman, Mark	D2	9805	T2	26107	
Van Steinburg, Pete	D3	22463	T3	24014	
Villasenor, Noe	D2	20177	T2	27637	
Walker, Clyde	D2	30210	T2	29296	
Watson, Donald L.	D3	19059	T3	23730	Larkfield
Webster, Joshua	D2	33353	T3	28576	
Wilson, Nick	D3	28338	T2	29297	



List Name, Grade, and License Number of all Licensed Operators:

WATER DISTRIBUTION				WATER TREATMENT	
Last Name	First Name	Grade	Op. #	Grade	Op. #
Albanez	Anthony	D2	31579	T1	30228
Alexander	Laurie	D3	4353	T1	16898
Barajas	Emanuel	D1	32894	---	---
Boyle	Tom	D2	7131	T2	19605
Estrada	Henry	D3	4355	T1	23449
Hilbert	Mike	D2	15436	T1	24045
Jacquez	Rudy	D2	4357	---	---
Molina	Henry	D2	28797	T1	30251
Pappas	James	D4	2746	T2	8790
Roldan	Joe	D3	5139	T1	19732
Victorero	Robert	D2	14215	---	---
Weiss	Bill	D3	4445	T1	20020
Williams	Jennifer	D4	14217	T2	23062
Yanez	Al	D3	8985	---	---

		WATER DISTRIBUTION		WATER TREATMENT		AWWA/ABPA Backflow Prevention Assembly Tester	AWWA Cross Connection Control Specialist	
Last Name	First Name	Grade	Op. #	Grade	Op. #	Op. #	Op. #	Expires
Becerra	Robert	D3	9497	T1	23802	11492	1868	03/31/12
Cervantes	Havier	D1	32353					
Garcia	Victor	D3	9065	T1	23461			
Gonzalez	Felipe	D2	30759					
Hernandez	Charlie (Juan)	D2	10032					
Leisch	Wayne	D5	2988	T3	7422			
Mathews	Chns	D2	32693					
Mattis	Chris	D5	4017	T2	14655	05-00986	00255	01/31/12
Miller	Brian	D2	17913					
Nessman	Chris	D3	9246	T2	24861			
Nevarez	Leonardo	D2	26731	T1	25851			
Salas	Martin	D2	27111					
Salazar	Julio	D2	34926					
Saldivar	Rick	D2	10054					
Santoyo	Gabriel	D3	18809	T1	25454			
Schomer	Rory	D3	9516	T2	23572			
Valdez	Ritchie	D2	30205					
Wilson	Mark	D1	37016					

**SCHEDULE A  
COMPARATIVE BALANCE SHEETS  
Assets and Other Debits**

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
1		<b>I. UTILITY PLANT</b>			
2	100	Utility plant	A-1	659,902,160	609,821,087
3	107	Utility plant adjustments		10,947,575	10,843,646
4		Total utility plant		670,849,735	620,664,733
5	250	Reserve for depreciation of utility plant	A-3	230,752,585	213,404,389
6	251	Reserve for amortization of limited term utility investments	A-3	6,333	5,333
7	252	Reserve for amortization of utility plant acquisition adjustment	A-3	8,130,218	8,013,080
8		Total utility plant reserves		238,889,137	221,422,803
9		Total utility plant less reserves		431,960,598	399,241,930
10					
11		<b>II. INVESTMENT AND FUND ACCOUNTS</b>			
12	110	Other physical property	A-2	3,850,301	4,015,827
13	253	Reserve for depreciation and amortization of other property	A-3	264,561	222,406
14		Other physical property less reserve			
15	111	Investments in associated companies	A-4	-	-
16	112	Other investments	A-5	-	-
17	113	Sinking funds	A-6	-	-
18	114	Miscellaneous special funds	A-7	-	-
19		Total investments and fund accounts		3,585,740	3,793,421
20					
21		<b>III. CURRENT AND ACCRUED ASSETS</b>			
22	120	Cash		333,618	309,417
23	121	Special deposits	A-8	77,874	109,095
24	122	Working funds		3,100	4,690
25	123	Temporary cash investments		-	-
26	124	Notes receivable	A-9	-	77,185
27	125	Accounts receivable		8,523,323	8,237,299
28	126	Receivables from associated companies	A-10	80,925	1,727
29	131	Materials and supplies		716,054	662,415
30	132	Prepayments	A-11	2,942,190	2,283,098
31	133	Other current and accrued assets	A-12	10,513,934	9,321,642
32		Total current and accrued assets		23,191,019	21,006,568
33					
34		<b>IV. DEFERRED DEBITS</b>			
35	140	Unamortized debt discount and expense	A-13	2,180,592	2,297,013
36	141	Extraordinary property losses	A-14	-	-
37	142	Preliminary survey and investigation charges		76,877	12,989,553
38	143	Clearing accounts		-	-
39	145	Other work in progress		-	-
40	146	Other deferred debits	A-15	141,789,215	107,884,499
41		Total deferred debits		144,046,685	123,171,065
42		Total assets and other debits		602,784,042	547,212,985
43					

**SCHEDULE A**  
**COMPARATIVE BALANCE SHEETS**  
**Liabilities and Other Credits**

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
1		<b>I. CORPORATE CAPITAL AND SURPLUS</b>			
2	200	Common capital stock	A-18	92,477,914	92,477,914
3	201	Preferred capital stock	A-18	-	-
4	202	Stock liability for conversion		-	-
5	203	Premiums and assessments on capital stock	A-19	-	-
6	150	Discount on capital stock	A-16	-	-
7	151	Capital stock expense	A-17	-	-
8	270	Capital surplus	A-20	83,265,354	48,234,569
9	271	Earned surplus	A-21	24,315,397	24,152,235
10		Total corporate capital and surplus		200,058,665	164,864,718
11					
12		<b>II. PROPRIETARY CAPITAL</b>			
13	204	Proprietary capital (Individual or partnership)	A-22	-	-
14	205	Undistributed profits of proprietorship or partnership	A-23	-	-
15		Total proprietary capital		-	-
16					
17		<b>III. LONG-TERM DEBT</b>			
18	210	Bonds	A-24	243,000,000	243,000,000
19	211	Receivers' certificates		-	-
20	212	Advances from associated companies	A-25	-	-
21	213	Miscellaneous long-term debt	A-26	-	-
22		Total long-term debt		243,000,000	243,000,000
23					
24		<b>IV. CURRENT AND ACCRUED LIABILITIES</b>			
25	220	Notes payable	A-28	11,256,585	1,336,399
26	221	Notes receivable discounted		-	-
27	222	Accounts payable		11,542,771	8,974,052
28	223	Payables to associated companies	A-29	732,343	(150,191)
29	224	Dividends declared		-	-
30	225	Matured long-term debt		-	-
31	226	Matured interest		-	-
32	227	Customers' deposits		-	-
33	228	Taxes accrued	A-31	3,806,254	2,026,181
34	229	Interest accrued		861,009	909,621
35	230	Other current and accrued liabilities	A-30	13,277,804	15,162,381
36		Total current and accrued liabilities		41,476,766	28,258,444
37					
38		<b>V. DEFERRED CREDITS</b>			
39	240	Unamortized premium on debt	A-13	-	-
40	241	Advances for construction	A-32	18,188,158	15,442,016
41	242	Other deferred credits	A-33	43,289,936	45,456,769
42		Total deferred credits		61,478,094	60,898,784
43					
44		<b>VI. RESERVES</b>			
45	254	Reserve for uncollectible accounts	A-34	512,664	611,713
46	255	Insurance reserve	A-34	-	-
47	256	Injuries and damages reserve	A-34	-	-
48	257	Employees' provident reserve	A-34	-	-
49	258	Other reserves	A-34	-	-
50		Total reserves		512,664	611,713
51					
52		<b>VII. CONTRIBUTIONS IN AID OF CONSTRUCTION</b>			
53	265	Contributions in aid of construction	A-35	56,257,853	49,579,326
54		Total liabilities and other credits		602,784,042	547,212,985

**SCHEDULE B**  
**Income Account for the Year**

Line No.	Acct.	Account (a)	Schedule Page No. (b)	Amount (c)
1		<b>I. UTILITY OPERATING INCOME</b>		
2	501	Operating revenues	B-1	140,327,452
3				
4		Operating Revenue Deductions:		
5	502	Operating expenses	B-2	97,875,172
6	503	Depreciation	A-3	18,558,668
7	504	Amortization of limited-term utility investments	A-3	292,812
8	505	Amortization of utility plant acquisition adjustments	A-3	117,138
9	506	Property losses chargeable to operations	B-3	-
10	507	Taxes	B-4	5,844,157
11		Total operating revenue deductions		122,687,946
12		Net operating revenues		17,639,507
13	508	Income from utility plant leased to others		
14	510	Rent for lease of utility plant		
15		Total utility operating income		17,639,507
16				
17		<b>II. OTHER INCOME</b>		
18	521	Income from nonutility operations (Net)	B-6	-
19	522	Revenue from lease of other physical property		317,304
20	523	Dividend revenues		-
21	524	Interest revenues		951,715
22	525	Revenues from sinking and other funds		-
23	526	Miscellaneous nonoperating revenues	B-7	1,732,222
24	527	Nonoperating revenue deductions - Dr.	B-8	(790,965)
25		Total other income		2,210,275
26		Net income before income deductions		19,849,781
27				
28		<b>III. INCOME DEDUCTIONS</b>		
29	530	Interest on long-term debt		16,123,739
30	531	Amortization of debt discount and expense		125,329
31	532	Amortization of premium on debt - Cr.		-
32	533	Taxes assumed on interest		-
33	534	Interest on debt to associated companies		-
34	535	Other interest charges	B-9	167,744
35	536	Interest charged to construction - Cr.		-
36	537	Miscellaneous amortization		-
37	538	Miscellaneous income deductions	B-10	2,745,759
38		Total income deductions		19,162,571
39		Net income		687,210
40				
41		<b>IV. DISPOSITION OF NET INCOME</b>		
42	540	Miscellaneous reservations of net income		
43				
44		Balance transferred to Earned Surplus or		
45		Proprietary Accounts scheduled on page 21		
46				

**SCHEDULE A-1**  
**Account 100 - Utility Plant**

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1	100 - 1	Utility plant in service (Schedule A-1a)	575,847,823	60,116,992	(1,575,802)	(2,684,563)	631,704,450
2	100 - 2	Utility plant leased to others	-	-	-	-	-
3	100 - 3	Construction work in progress	33,654,024	57,125,880	-	(62,901,434)	27,878,470
4	100 - 4	Utility plant held for future use (Sch A-1c)	319,240	-	-	-	319,240
5	100 - 5	Utility plant acquisition adjustments	10,843,646	-	-	103,929	10,947,575
6	100 - 6	Utility plant in process of reclassification	-	-	-	-	-
7		Total utility plant	620,664,733	117,242,872	(1,575,802)	(65,482,068)	670,849,735

**SCHEDULE A-1a**  
**Account 100.1 - Utility Plant in Service**

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
8		<b>I. INTANGIBLE PLANT</b>					
9	301	Organization	153,683	-	-	9,421	163,104
10	302	Franchises and consents (Schedule A-1b)	354,656	-	-	-	354,656
11	303	Other intangible plant	3,188,145	1,061,323	-	551,739	4,801,207
12		Total intangible plant	3,696,485	1,061,323	-	561,160	5,318,968
13							
14		<b>II. LANDED CAPITAL</b>					
15	306	Land and land rights	8,573,882	86,485	-	(2,881,223)	5,779,144
16							
17		<b>III. SOURCE OF SUPPLY PLANT</b>					
18	311	Structures and improvements	1,546,807	674,749	(1,921)	-	2,219,635
19	312	Collecting and impounding reservoirs	2,748,742	-	-	-	2,748,742
20	313	Lake, river and other intakes	1,083,993	-	(9,013)	-	1,074,980
21	314	Springs and tunnels	-	-	-	-	-
22	315	Wells	22,778,460	3,321,073	(51,741)	-	26,047,791
23	316	Supply mains	6,627,538	7,045,714	-	-	13,673,253
24	317	Other source of supply plant	209,157	-	-	-	209,157
25		Total source of supply plant	34,994,698	11,041,536	(62,676)	-	45,973,558
26							
27		<b>IV. PUMPING PLANT</b>					
28	321	Structures and improvements	8,244,146	1,098,778	(31,492)	-	9,311,432
29	322	Boiler plant equipment	-	-	-	-	-
30	323	Other power production equipment	1,150,204	907,739	-	(15,450)	2,042,493
31	324	Pumping equipment	40,665,337	3,112,565	(268,652)	18,969	43,528,219
32	325	Other pumping plant	3,460,169	-	-	-	3,460,169
33		Total pumping plant	53,519,857	5,119,082	(300,144)	3,519	58,342,313
34							
35		<b>V. WATER TREATMENT PLANT</b>					
36	331	Structures and improvements	12,308,019	2,611,627	(4,774)	-	14,914,872
37	332	Water treatment equipment	45,696,185	3,730,244	(58,946)	-	49,367,483
38		Total water treatment plant	58,004,205	6,341,871	(63,720)	-	64,282,355

**SCHEDULE A-1a**  
**Account 100.1 - Utility Plant in Service (Continued)**

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		<b>VI. TRANSMISSION AND DIST. PLANT</b>					
2	341	Structures and improvements	409,745	175,242	-	271,377	856,364
3	342	Reservoirs and tanks	53,768,224	2,906,052	(16,595)	(142,049)	56,515,632
4	343	Transmission and distribution mains	203,467,527	19,142,155	(132,287)	-	222,477,395
5	344	Fire mains	12,291	-	-	-	12,291
6	345	Services	71,630,910	7,223,922	(96,056)	-	78,758,776
7	346	Meters	17,733,800	2,473,447	(218,955)	-	19,988,291
8	347	Meter installations	10,662,740	2,878,119	-	-	13,540,859
9	348	Hydrants	19,404,888	2,605,516	(111,112)	-	21,899,292
10	349	Other transmission and distribution plant	(68,497)	-	-	-	(68,497)
11		Total transmission and distribution plant	377,021,629	37,404,452	(575,005)	129,328	413,980,404
12							
13		<b>VII. GENERAL PLANT</b>					
14	371	Structures and improvements	8,106,452	(2,036,707)	(7,335)	-	6,062,409
15	372	Office furniture and equipment	10,125,624	267,403	(214,427)	(804,124)	9,374,476
16	373	Transportation equipment	3,461,329	4,012	(290,778)	32,965	3,207,528
17	374	Stores equipment	16,762	-	-	-	16,762
18	375	Laboratory equipment	396,592	-	(657)	-	395,935
19	376	Communication equipment	13,066,495	650,578	(21,874)	-	13,695,199
20	377	Power operated equipment	1,660,758	1,106	(16,687)	-	1,645,178
21	378	Tools, shop and garage equipment	2,065,603	68,513	(22,498)	14,056	2,125,674
22	379	Other general plant	878,043	107,340	-	259,757	1,245,140
23		Total general plant	39,777,658	(937,756)	(574,256)	(497,346)	37,768,301
24							
25		<b>VIII. UNDISTRIBUTED ITEMS</b>					
26	390	Other tangible property	259,409	-	-	-	259,409
27	391	Utility plant purchased	-	-	-	-	-
28	392	Utility plant sold	-	-	-	-	-
29		Total undistributed items	259,409	-	-	-	259,409
30		Total utility plant in service	575,847,823	60,116,992	(1,575,802)	(2,684,563)	631,704,450

**SCHEDULE A-1b**  
**Account 302 - Franchises and Consents**

Line No.	Name of Original Grantor (a)	Date of Grant (b)	Term in Years (c)	Date of Acquisition by Utility (d)	Amount at which Carried in Account <sup>1</sup> (e)
31	See Addendum				0
32					
33					
34					
35	Total				0

<sup>1</sup> The total should agree with the balance at the end of the year in Account 302 in Schedule A-1a Line 10.

## Schedule A-1b

## Account 302 - FRANCHISES AND CONSENTS

<u>NAME OF ORIGINAL GRANTOR</u>	<u>DATE OF GRANT</u>	<u>TERM IN YEARS</u>	<u>DATE OF ACQUISITION BY UTILITY</u>
City of Coronado/Constitutional Franchise	Prior to 10/11/1911	Perpetual	
City of San Gabriel Ordinance 515-C.S	1/1/1989	50	1/19/1939
City of San Marino Ordinance 03-1169	9/10/2001	15	9/10/1986
City of San Diego Ordinance 0-19283	6/30/2004	25	11/22/1935
City of Los Angeles-Expired Ordinance 163757	1/1/1988	10	6/10/1988
County of Monterey Ordinance 1278	2/14/1963	50	2/14/1963
City of Rosemead Ordinance 96	6/26/1964	Indeterminate	6/26/1964
City of Seaside Ordinance 317	7/17/2003	25	12/11/1967
County of Ventura Ordinance 1118	1/11/1962	50	3/15/1960
County of Los Angeles Ordinance 2006-0044F	8/12/2006	15	10/21/1981
City of Bradbury Ordinance 258	9/19/1995	Indeterminate	
City of Duarte Ordinance 709	7/12/1994	Indeterminate	
City of Thousand Oaks Ordinance 1461-NS	5/9/2006	15	6/9/2006
Carmel-by-the-Sea Ordinance 93-27	1/1/1994	Indeterminate	
City of Monterey	7/8/1994	25	
City of Del Rey Oaks	12/1/2009	25	
County of Sonoma	3/30/2010	20	
Imperial Beach Ordinance 810	7/5/1990	25	
City of Pacific Grove	1/1/2008	25	
County of Sacramento	Various	--	Various
Placer County Ordinance 4609-B	10/27/1994	50	
Rancho Cordova Ordinance 53-2006	9/18/2006	15	



California American Water Company  
FRANCHISES AND CONSENTS continued

Addendum Page 1b

SCHEDULE A-1b

PROPERTY	NAME OF ORIGINAL GRANTOR	DATE OF GRANT	TERM YEARS	DATE OF ACQUISITION BY UTILITY	AMOUNT CARRIED ON ACCOUNT
Arden	Sacramento County	Not Available	Not Available	Not Available	4,162
(P.U.C. Decision # 51527)					
Citizens Suburban	Sacramento County Ordinance #500 Ordinance #542	July 20, 1955 August 6, 1956	50	July 20, 1955 August 6, 1956	3,850
Parkway	Sacramento County Ordinance #492 Ordinance #713 Ordinance #458 Ordinance #878 Ordinance #489 Ordinance #1223	May 18, 1955 Aug 22, 1960 Sept. 2, 1953 April 28, 1965 April 6, 1955 Feb. 20, 1979	50	May 18, 1955 Aug 22, 1960 Sept. 2, 1953 April 28, 1965 April 6, 1955 Feb. 20, 1979	4,700
Royal Oaks	Sacramento County Ordinance #488	April 6, 1955	5	April 6, 1955	4,206
Lincoln Oaks	Sacramento County Ordinance #499	(1) July 20, 1955		(1) July 20, 1955	
Sunrise	Sacramento County Ordinance #1158 Ordinance #1228 Ordinance #1248 Ordinance #1254	June 16, 1975 May 6, 1980 July 27, 1982 Nov. 2, 1982	50 50 50	June 16, 1975 May 6, 1980 July 27, 1982 Nov. 2, 1982	75 624
Sacramento	Placer County Ordinance #4609-B	Sept. 30, 1994	50	Sept. 30, 1994	6,412
Monterey					27,696
Baldwin Hills	Los Angeles County				25,897
San Marino	Los Angeles County				7,820
Village					63,634
Sacramento	Northridge/Placer				201,874
Total					350,949
					(2)

(1) Amended by: Ordinance #523 - February 15, 1956  
Ordinance #540 - July 25, 1956

(2) Does not agree to Schedule A-1a line 10 as Ordinance 486 for \$3,707 has been excluded from this schedule, but not retired as of 12/31/01. This will be retired at a future date.

**SCHEDULE A-1c**  
**Account 100.4 - Utility Plant Held for Future Use**

Line No.	Description and Location of Property (a)	Date of Acquisition (b)	Approximate Date When Property Will be Placed in Service (c)	Balance End of Year (d)
1	Shopping Center-Lot 13-Village District	N/A	N/A	37,740
2	Dry Creek Land- 2.034 AC-Sacramento	3/16/2004	N/A	281,500
3				
4				
5				
6				
7				
8				
9	Total			319,240

**SCHEDULE A-2**  
**Account 110 - Other Physical Property**

Line No.	Name and Description of Property (a)	Book Value End of Year (b)
10	See Addendum- A-Account 110 - NUP Land	1,822,064
11	See Addendum- B-Account 110 - NUP Buildings	104,510
12	Non Utility Plant-NUP -Other	1,923,727
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24	Total	3,850,301

California - American Water Company Account Reconciliation  
As of December 31, 2009  
NUP-Land  
Addendum A-Account 110

<u>BU</u>	<u>DESCRIPTION</u>	<u>ASSET ID</u>	<u>Total Amount</u>
053005	RIGHT OF WAY - OTAY WELLS	30072397	399
053005	OTAY WEST END GRANT	30072398	1,280
053005	OTAY WATERSHED WATER RIGHTS	30072399	17,725
053005	LAND OTAY WELL #1	30072400	420
054005	Airway Tank Site #2	30072390	1,088
054005	Ch Tank Site #1, Lower Mt. Devon	30072391	300
054005	Del Rey Terrace Tank & PP #28	30072392	40
054005	Harding Well Site Lots 1047&1049	30072393	1,596
054005	Paratta Tank Site	30072394	462
054005	Palm Well Site	30072396	1,600
054005	Carmel Valley Pipeline	3787941	2,177
054005	Pearce Well	3783477	2,310
054006	Land & Ld Rights-SS	30129412	330
054007	Land & Ld Rights-SS	30129409	532
054008	Land & Ld Rights-SS	30129410	1,002
054009	Land & Ld Rights-SS	30129411	2,000
054010	Land & Ld Rights-TD	30129413	2,887
054011	Land & Ld Rights-SS	30129408	33,546
055005	Land Sales to NUP - Escrow - Richardson Well	30055144	2,609
055006	TFR TO NUP SCOTT RES SITE1	30129407	516
055007	TFR TO NUP LOT1-TRACT8900	30129405	3,400
055008	TFR TO NUP LOT60-TRACT1798	30129404	4,000
055009	TFR TO NUP LOT6 SAN M PARK	30129406	7,489
055105	ORBIS WELL SITE #2	30058501	240
055105	ORBIS WELL SITE #4	30072347	240
055105	VILLAGE WELL SITE #4	30072350	45
055105	ORBIS WELL SITE #10	30072348	240
055105	ORBIS WELL SITE #41	30072349	225
056005	LINDA SUE WELL SITE NO. 2	30058775	226
056005	UNDESCRIBED	30058793	18
056005	65TH ST EXPRESSWAY WELL SITE	30058802	45
056005	BLACKHAWK WELL SITE	30058804	46
056005	JEANNE WELL SITE	30058805	345
056005	GREENBACK LANE WELL SITE	30058806	40
056005	9605 ALLEGHENY WELL SITE	30058807	75
056005	BARRACUDA WELL SITE	30058808	204
056005	HUNTSMAN WELL SITE	30058809	5
056005	9513 MIRA DEL RIO	30058810	72
056005	6389 NAVION WELL SITE 2	30058811	48
056005	8389 NAVION WELL SITE	30058811	48
056005	Hurley Ave. Well Site (Vacant)	30058813	182
056005	3608 FABERGE	30058814	91
058005	3805 CONTEMPO	30058815	262
056005	9148 CASTLEBAR	30058816	172
056005	4121 ASHGROVE	30058817	153
056005	MILLS STATION WELL SITE	30058818	485
056005	9836 BURLINE	30058822	100
056005	AUBURN WELL SITE	30058824	88
056005	4908 BUFFWOOD	30058825	110
056005	7721 CMNWEALTH DR WELL SITE	30058827	259
056005	CHARDONNAY WELL SITE NO. 5	30058828	115
056005	Bellingrath Well Site	30058829	251
056005	MAP 1284 34 96 PARCEL 2	61309062	438
056005	3805 CONTEMPO Dr	61309044	102
056005	MAP 1284 34 29 PARCEL 1	61308984	1,093
056005	MAP 1284 34 13 PARCEL 1	61308977	522
056005	MAP 1284 34 50 PARCEL 1	61308966	714
056005	MAP 1284 34 91 PARCEL 1	61309036	10,011
056005	PARCEL EACH	61309080	16,163
056005	LAND PARCEL	61309102	17,856
056005	PARCEL EACH	61309093	22,733
056005	LAND PARCEL	61309103	26,345
056005	PARCEL EACH	61309097	34,115
056005	PARCEL EACH	61309082	112,875
056005	LAND PARCEL	61309106	167,999
056005	LAND PARCEL	61309104	220,748
056005	PARCEL EACH	61309094	232,531
056105	MAP 58 090 16	61320950	29,218
056105	MAP 58 090 16	61320950	(29,218)
056105	MAP 58 090 16	30129414	7,597
056105	PARCEL 059 230 050 Well 6A	30211226	858,086
<b>Grand Total</b>			<b>1,822,064</b>

California - American Water Company Account Reconciliation  
As of December 31, 2009  
NUP-Buildings  
Addendum B-Account 110

<u>BU</u>	<u>DESCRIPTION</u>	<u>ASSET ID</u>	<u>Total Amount</u>
056005	FENCE EACH	30058830	712.00
056005	CASING EACH	30058831	1,430.00
056005	UNIDENTIFIED	30058832	893.00
056005	FENCE EACH	30058834	2,564.00
056005	FENCE EACH	30058835	1,554.00
056005	FENCE EACH	30058836	1,566.00
056005	FENCE EACH	30058837	1,070.00
056005	FENCE EACH	30058838	152.00
056005	FENCE EACH	30058839	33.00
056005	GATE ONLY	30058840	606.00
056005	FENCE EACH	30058841	1,142.21
056005	GATE ONLY	30058842	873.79
056005	FENCE EACH	30058843	3,120.00
056005	FENCE	30058844	4,052.00
056005	FENCE EACH	30058845	1,433.00
056005	FENCE EACH	30058846	1,480.00
056005	FENCE EACH	30058847	7,806.00
056005	FENCE EACH	30058848	1,818.00
056005	FENCE EACH	30058849	1,329.00
056005	FENCE EACH	30058850	2,329.00
056005	FENCE	30058851	2,280.00
056005	FENCE EACH	30058852	3,333.00
056005	Structures & Improvements (CZN ACQ)	30058853	61,911.00
056005	CONCRETE SAND TRAP	30058854	120.00
056005	5000 GAL PRESSURE TANK	30058855	903.00
	Grand Total		104,510.00

**SCHEDULE A-1d  
RATE BASE AND WORKING CASH**

Line No.	Acct.	Title of Account (a)	Balance 12/31/2009 (c)	Balance 1/1/2009 (d)
		<b>RATE BASE</b>		
1		Utility Plant		
2		Plant in Service	625,706,196	569,913,281
3		Construction Work in Progress	27,878,470	33,654,024
4		General Office Prorate	5,998,251	5,934,542
5		<b>Total Gross Plant (=Line 2 + Line 3 + Line 4)</b>	<b>659,582,917</b>	<b>609,501,846</b>
6		Less Accumulated Depreciation		
7		Plant in Service	225,862,194	209,321,781
8		General Office Prorate	4,890,392	4,082,608
9		<b>Total Accumulated Depreciation (=Line 7 + Line 8)</b>	<b>230,752,585</b>	<b>213,404,389</b>
10		Less Other Reserves		
11		Deferred Income Taxes	20,468,830	21,314,008
12		Deferred Investment Tax Credit	1,013,992	1,095,775
13		Other Reserves	337,535	23,386,766
14		<b>Total Other Reserves (=Line 11 + Line 12 + Line 13)</b>	<b>21,820,357</b>	<b>45,796,549</b>
15		Less Adjustments		
16		Contributions in Aid of Construction	56,257,853	49,656,152
17		Advances for Construction	18,188,158	15,442,016
18		Other	-	-
19		<b>Total Adjustments (=Line 16 + Line 17 + Line 18)</b>	<b>74,446,010</b>	<b>65,098,168</b>
20		<b>Add Materials and Supplies</b>	<b>716,054</b>	<b>662,412</b>
21		<b>Add Working Cash (=Line 34)</b>	<b>17,568,170</b>	<b>17,613,035</b>
22		<b>TOTAL RATE BASE</b>		
23		<b>(=Line 5 - Line 9 - Line 14 - Line 19 + Line 20 + Line 21)</b>	<b>350,848,189</b>	<b>303,478,187</b>

Working Cash				
24		<b>Determination of Operational Cash Requirement</b>		
25		Operating Expenses, Excluding Taxes, Depreciation & Uncollectible	97,201,573	94,099,038
26		Purchased Power & Commodity for Resale*	7,089,738	6,756,253
27		Meter Revenues: Bimonthly Billing	104,775,310	103,875,489
28		Other Revenues: Flat Rate Monthly Billing	15,530,703	16,597,689
29		<b>Total Revenues (=Line 27 + Line 28)</b>	<b>120,306,013</b>	<b>120,473,178</b>
30		Ratio - Flat Rate to Total Revenues (=Line 28 / Line 29)	12.91%	13.78%
31		5/24 x Line 25 x (100% - Line 30)	17,636,146	17,819,079
32		1/24 x Line 25 x Line 30	522,836	356,978
33		1/12 x Line 26	590,812	563,021
34		<b>Operational Cash Requirement (=Line 31 + Line 32 - Line 33)</b>	<b>17,568,170</b>	<b>17,613,035</b>
		* Electric power, gas or other fuel purchased for pumping and/or purchased commodity for resale billed after receipt (metered).		

### SCHEDULE A-3

#### Accounts 250 to 253, Inclusive - Depreciation and Amortization Reserves

Line No.	Item (a)	Account 250 Utility Plant (b)	Account 251 Limited-Term Utility Investments (c)	Account 252 Utility Plant Acquisition Adjustments (d)	Account 253 Other Property (e)
1	Balance in reserves at beginning of year	213,404,389	5,333	8,013,080	222,406
2	Add: Credits to reserves during year				
3	(a) Charged to Account 503, 504, 505 <sup>(1)</sup>	18,779,007	1,000	117,138	71,473
4	(b) Charged to Account 265	1,280,632			
5	(c) Charged to clearing accounts				
6	(d) Salvage recovered	66,430			
7	(e) All other credits <sup>(2)</sup>	154,623			
8	Total credits	20,280,692	1,000	117,138	71,473
9	Deduct: Debits to reserves during year				
10	(a) Book cost of property retired	1,575,667			
11	(b) Cost of removal	895,011			
12	(c) All other debits <sup>(3)</sup>	461,818			29,318
13	Total debits	2,932,496	-	-	29,318
14	Balance in reserve at end of year	230,752,585	6,333	8,130,218	264,561
15					
16	(1) COMPOSITE DEPRECIATION RATE USED FOR STRAIGHT LINE REMAINING LIFE:				3.73%
17					
18	(2) EXPLANATION OF ALL OTHER CREDITS: See district reports for details.				
19					
20					
21					
22					
23					
24					
25					
26					
27	(3) EXPLANATION OF ALL OTHER DEBITS: See district reports for details.				
28					
29					
30					
31					
32					
33					
34					
35	METHOD USED TO COMPUTE INCOME TAX DEPRECIATION:				
36	(a) Straight Line	( )			(X) Village, Orbis, Pollack, Pre 1970
37	(b) Liberalized	( )			( )
38	(1) Double declining balance	( )			(X) On Additions from 4-1-66 through 1970
39	(2) ACRS	( )			( )
40	(3) MACRS	( )			( )
41	(4) Others	( )			(X) 150% DBM on property acquired 4-1-66
42	(c) Both straight line and liberalized	( )			(X) ADR on Additions 1971 through 1980
43					(X) ACRS on Additions 1981 through 1986
44					(X) MACRS on Additions after 1986

## SCHEDULE A-3a

### Account 250 - Analysis of Entries in Depreciation Reserve

(This schedule is to be completed if records are maintained showing depreciation reserve by plant accounts)

Line No.	Acct.	DEPRECIABLE PLANT (a)	Balance Beginning of Year (b)	Credits to Reserve During Year Excl. Salvage (c)	Debits to Reserves During Year Excl. Cost Removal (d)	Salvage and Cost of Removal Net (Dr.) or Cr. (e)	Balance End of Year (f)
1		<b>I. SOURCE OF SUPPLY PLANT</b>					
2	311	Structures and improvements	349,976	(4,829)	(1,921)	-	343,226
3	312	Collecting and impounding reservoirs	1,261,607	100,707	-	-	1,362,314
4	313	Lake, river and other intakes	290,063	6,106	(9,013)	-	287,155
5	314	Springs and tunnels	-	-	-	-	-
6	315	Wells	9,377,822	1,460,640	(51,741)	(6,693)	10,780,028
7	316	Supply mains	1,243,260	339,992	-	(1,800)	1,581,453
8	317	Other source of supply plant	121,883	7,797	-	-	129,680
9		Total source of supply plant	12,644,611	1,910,414	(62,676)	(8,493)	14,483,856
10							
11		<b>II. PUMPING PLANT</b>					
12	321	Structures and improvements	2,737,926	871,222	(31,492)	-	3,577,656
13	322	Boiler plant equipment	-	-	-	-	-
14	323	Other power production equipment	658,232	24,733	-	-	682,965
15	324	Pumping equipment	19,857,957	742,311	(268,652)	(93,694)	20,237,922
16	325	Other pumping plant	-	-	-	-	-
17		Total pumping plant	23,254,115	1,638,266	(300,144)	(93,694)	24,498,543
18							
19		<b>III. WATER TREATMENT PLANT</b>					
20	331	Structures and improvements	6,043,769	421,110	(4,774)	-	6,460,105
21	332	Water treatment equipment	17,187,694	2,254,690	(58,946)	(43,774)	19,339,664
22		Total water treatment plant	23,231,463	2,675,800	(63,720)	(43,774)	25,799,769
23							
24		<b>IV. TRANS. AND DIST. PLANT</b>					
25	341	Structures and improvements	115,081	24,685	-	-	139,766
26	342	Reservoirs and tanks	19,781,513	580,120	(16,595)	(6,128)	20,338,911
27	343	Transmission and distribution mains	62,678,124	8,912,887	(132,287)	(85,306)	71,373,418
28	344	Fire mains	-	1,402	-	-	1,402
29	345	Services	27,751,311	4,985,390	(96,056)	(419,639)	32,221,006
30	346	Meters	9,975,270	(1,669,364)	(218,955)	(48,011)	8,038,939
31	347	Meter installations	216,315	532,038	-	(10,390)	737,963
32	348	Hydrants	6,916,375	1,260,919	(111,112)	(50,425)	8,015,757
33	349	Other transmission and distribution plant	130,375	14,347	-	-	144,722
34		Total trans. and distribution plant	127,564,364	14,642,423	(575,005)	(619,899)	141,011,883
35							
36		<b>V. GENERAL PLANT</b>					
37	371	Structures and improvements	1,776,431	541,789	(7,335)	(1,500)	2,309,385
38	372	Office furniture and equipment	8,476,114	(198,399)	(214,292)	(622)	8,062,800
39	373	Transportation equipment	5,387,437	(2,189,960)	(290,778)	1,950	2,908,649
40	374	Stores equipment	29,301	(15,721)	-	-	13,579
41	375	Laboratory equipment	516,815	(200,528)	(657)	-	315,630
42	376	Communication equipment	5,615,511	1,373,991	(21,874)	-	6,967,628
43	377	Power operated equipment	1,407,600	(295,810)	(16,687)	-	1,095,103
44	378	Tools, shop and garage equipment	1,340,213	(81,057)	(22,498)	(62,476)	1,174,181
45	379	Other general plant	272,105	96,832	-	-	368,938
46	390	Other tangible property	1,888,310	(145,596)	-	(72)	1,742,642
47	391	Water plant purchased	-	-	-	-	-
48		Total general plant	26,709,836	(1,114,460)	(574,122)	(62,720)	24,958,534
49		Total	213,404,389	19,752,444	(1,575,667)	(828,580)	230,752,585

**SCHEDULE A-4**  
**Account 111 - Investments in Associated Companies**

Line No.	Class of Security (a)	Name of Issuing Company (b)	Par Value End of Year (c)	Ledger Value End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest and Dividends Received During Year (g)
1		None					
2							
3							
4							
5							
6							
7		Total <sup>1</sup>					

1 The total should agree with the balance at the end of the year in Account 111 on page 5.

**SCHEDULE A-5**  
**Account 112 - Other Investments**

Line No.	Name and Description of Property (a)	Balance End of Year (b)
8	None	
9		
10		
11		
12		
13		
14		
15		
16	Total	

**SCHEDULE A-6**  
**Account 113 - Sinking Funds**

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	None					
2						
3						
4						
5						
6						
7						
8						
9	Total					





**SCHEDULE A-10**  
**Account 126 - Receivables from Associated Companies**

Due from Whom (a)	Amount (b)	Interest Rate (c)	Interest Accrued During Year (d)	Interest Received During Year (e)
American Water Resources -AWR	80,925			
Total	80,925			

**SCHEDULE A-11**  
**Account 132 - Prepayments**

Item (a)	Amount (b)
Prepaid Taxes	914,787
Prepaid Insurance	18,462
Prepaid Other	2,008,941
Total	2,942,190

**SCHEDULE A-12**  
**Account 133 - Other Current and Accrued Assets**

Item (a)	Amount (b)
Accrued Utility Revenues	7,010,996
Deferred Vacation Pay	1,366,220
Current State Deferred Tax	463,569
Current Federal Deferred Tax	1,673,149
Total	10,513,934

**SCHEDULE A-13**

**Accounts 140 and 240 - Unamortized Debt Discount and Expense and Unamortized Premium on Debt**

1. Report under separate subheadings for Unamortized Debt Discount and Expense and Unamortized Premium or Debt, particulars of discount and expense of premium applicable to each class and series of long-term debt.
2. Show premium amounts in red or by enclosure in parentheses.
3. In column (b) show the principal amount of bonds or other long-term debt originally issued.
4. In column (c) show the discount and expense or premium with respect to the amount of bonds or other long-term debt originally issued.
5. Furnish particulars regarding the treatment of unamortized debt discount and expense or premium, redemption premiums, and redemption expenses associated with issues redeemed during the year, also, date of the Commission's authorization of treatment other than as specified by the Uniform System of Accounts.
6. Set out separately and identify undisposed amounts applicable to issues which were redeemed in prior years.
7. Explain any debits and credits other than amortization debited to Account 531, Amortization of Debt Discount and Expense, or credited to Account 532, Amortization of Premium on Debt-Credit.

Line No.	Designation of long-term debt (a)	Principal amount of securities to which discount and expense, or premium minus expense, relates (b)	Total discount and expense or net Premium (c)	AMORTIZATION PERIOD		Balance beginning of year (f)	Debits during year (g)	Credits during year (h)	Balance end of year (i)
				From- (d)	To- (e)				
1	Allstate Life Ins - 9.71%	8,000,000	101,992	1/1/2003	8/1/2020	66,856		5,856	61,000
2	Allstate Life Ins-9.22%	10,000,000	104,754	1/1/2003	6/1/2021	70,626		5,688	64,938
3	American United Life Ins-6.76%	10,000,000	50,953	12/1/2002	12/1/2023	36,337		2,436	33,901
4	Salkeid & Co - 6.76%	10,000,000	56,021	12/1/2002	12/1/2033	45,149		1,812	43,337
5	State Life & American United Ins-7.33%	10,000,000	255,110	12/1/2002	5/1/2026	189,510		10,933	178,577
6	American Water Capital Corp - 5.52%	10,000,000	40,691	12/21/2006	12/21/2016	32,553		4,069	28,484
7	American Water Capital Corp - 5.52%	52,300,000	176,610	12/21/2006	12/21/2018	147,176		14,718	132,458
8	American Water Capital Corp - 5.77%	75,700,000	267,484	12/21/2006	12/21/2021	231,819		17,832	213,987
9	American Water Corp- 5.39%	24,000,000	103,332	12/21/2006	12/21/2013	73,809		14,762	59,047
10	American Water Corp- 10%	35,000,000	1,410,150	11/23/2008	12/1/2038	1,403,177	8,908	47,222	1,364,863
11									
12									
13									
14									
15									
16									
17									
18	Totals	243,000,000	2,567,097			2,297,013	8,908	125,329	2,180,592

**SCHEDULE A-14**  
**Account 141 - Extraordinary Property Losses**

Line No.	Description of Property Loss or Damage (a)	Total Amount of Loss (b)	Previously Written off (c)	WRITTEN OFF DURING YEAR		Balance End of year (f)
				Account Charged (d)	Amount (e)	
1	None					
2						
3						
4	Total					

**SCHEDULE A-15**  
**Account 146 - Other Deferred Debits**

Line No.	Item (a)	Balance End of year (b)
5	See Addendum	141,789,215
6		
7		
8		
9		
10	Total	141,789,215

**SCHEDULE A-16**  
**Account 150 - Discount on Capital Stock**

1. Report the balance at end of year of discount on capital stock for each class and series of capital stock.
2. If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off during the year and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance End of year (b)
11	None	
12		
13	Total	

**SCHEDULE A-17**  
**Account 151- Capital Stock Expense**

1. Report the balance at end of year of capital stock expense for each class and series of capital stock.
2. If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off of capital stock expense and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance End of year (b)
14	None	
15		
16	Total	

California-American Water Company  
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ACCOUNT NO. 146 - OTHER DEFERRED DEBITS

ITEMS	BALANCE 12/31/2009
Reg Asset-AFUDC-Equity	520,095
Reg Asset- Pit Flow	9,531
Reg Asset-Other	(330,962)
Reg Asset-St Flow Through	975
Reg Asset-Accum Amortization	(279,475)
RWIP - Cost of Removal	1,165,076
Programmed Maintance-Tank Painting	4,558,791
Deferred Service Company Pension	1,213,208
Deferred OPEB	158,595
Deferred Pension	3,494,861
FAS 112 Costs	116,573
Enviromental Remediation Study-NOAA	7,700,000
MPWMD User Fee	1,478,458
Santa Rosa Groundwater	54,883
DSIC Surcharges	251,495
Low Income Program	141,652
Interlm Rates	7,074,376
Water Revenue Adjustment Mechanism (WRAM)	1,009,754
San Clemente Dam	16,391,888
Los Angeles Conservation Charges	108,831
Engineering Studies	409,086
Monterey District Conservation Balancing Account	46,722
Purchase Wtr & Power, Credit Card Memorandum Acct and Pension Surcharge (Monterey & Felton)	5,462,350
Carmel River Dam	788,586
Coastal Water Project	21,055,566
Seaside Groundwater Basin	1,523,305
Endangered Species Act (ESA)	1,679,143
Phase 1 ASR Project	5,927,823
Patton Well	494,914
Other Reg Asset	3,694,587
Citizens Acquisition Purchase Premium-Net of Amortization	55,868,527
<b>Total</b>	<b><u>141,789,215</u></b>

**SCHEDULE A-18**  
**Accounts 200 and 201- Capital Stock**

Line No.	Class of Stock (a)	Number of Shares Authorized by Articles of Incorporation (b)	Par Value of Stock Authorized by Articles of Incorporation (c)	Number of Shares Outstanding <sup>1</sup> (d)	Total Par Value <sup>2</sup> Outstanding End of Year (e)	Dividends Declared During Year	
						Rate (f)	Amount (g)
1	Common	2,000,000	0	619,136	92,477,914		0
2							
3							
4							
5							
6	Totals	2,000,000	0	619,136	92,477,914	0	0

<sup>1</sup> After deduction for amount of reacquired stock held by or for the respondent.

<sup>2</sup> If shares of stock have no par value, show value assigned to stock outstanding.

**SCHEDULE A-18a**  
**Record of Stockholders at End of Year**

Line No.	COMMON STOCK Name (a)	Number Shares (b)	PREFERRED STOCK Name (c)	Number Shares (d)
7	American Water Works Co, Inc	619,136	None	0
8				
9				
10				
11				
12				
13				
14				
15	Total number of shares	619,136	Total number of shares	0

**SCHEDULE A-19**  
**Account 203 - Premiums and Assessments on Capital Stock**

Line No.	Class of Stock (a)	Balance End of Year (b)
16	None	0
17		
18		
19		
20		
21		
22		
23		
24	Total	0

**SCHEDULE A-20**  
**Account 270 - Capital Surplus (For use by Corporations only)**

Line No.	Item (a)	Amount (b)
1	Balance beginning of year	48,234,569
2	<b>CREDITS (Give nature of each credit and state account charged)</b>	
	Employee Stock Purchase Program	9,524
3	Equity Infusion	35,000,000
	Restricted Stock Units & Options	21,261
5	Total credits	35,030,785
6	<b>DEBITS (Give nature of each debit and state account credited)</b>	
8	N/A	-
10	Total debits	-
11	Balance end of year	83,265,354

**SCHEDULE A-21**  
**Account 271 - Earned Surplus (For use by Corporations only)**

Line No.	Acct	Account (a)	Amount (b)
12		Balance beginning of year	24,152,235
13		<b>CREDITS</b>	
14	400	Credit balance transferred from income account	687,210
15	401	Change in Monterey Retained Earnings	369,671
16			
17		Total credits	1,056,881
18		<b>DEBITS</b>	
19	410	Debit balance transferred from income account	893,719
20	411	Dividend appropriations-preferred stock	-
21	412	Dividend appropriations-Common stock	-
22	413	Miscellaneous reservations of surplus	-
23	414	Miscellaneous credits to surplus (detail)	-
24			
25		Total debits	893,719
26		Balance end of year	24,315,397

**SCHEDULE A-22**

**Account 204 - Proprietary Capital  
(Sole Proprietor or Partnership)**

Line No.	Item (a)	Amount (b)	Schedule
1	Balance Beginning of year		
2	CREDITS		
3	Net income for year		
4	Additional investments during year		Not Applicable
5	Other credits (detail)		
6			
7	Total credits		
8	DEBITS		
9	Net loss for year		
10	Withdrawals during year		
11	Other debits (detail)		
12			
13	Total debits		
14	Balance end of year		

**SCHEDULE A-23**

**Account 205 - Undistributed Profits  
of Proprietorship or Partnership**  
(The use of this account is optional)

Line No.	Item (a)	Amount (b)	Schedule
15	Balance Beginning of year		
16	CREDITS		
17	Net income for year		
18	Other credits (detail)		Not Applicable
19			
20	Total credits		
21	DEBITS		
22	Net loss for year		
23	Withdrawals during year		
24	Other debits (detail)		
25			
26			
27	Total debits		
28	Balance end of year		



**SCHEDULE A-24**  
**Account 210 - Bonds**

Line No.	Class of Bond (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding per Balance Sheet (e)	Rate of Interest (f)	Sinking Fund Added in Current Year (g)	Cost of Issuance (h)	Interest Accrued During Year (i)	Interest Paid During Year (j)
1	General Mortgage Bonds	1/1/2003	6/1/2020	6,000,000	6,000,000	9.71%	0	101,992	582,600	582,600
2	General Mortgage Bonds	1/1/2003	6/1/2021	10,000,000	10,000,000	9.22%	0	104,754	922,000	922,000
3	General Mortgage Bonds	12/1/2002	12/1/2023	10,000,000	10,000,000	6.76%	0	50,953	676,000	676,000
4	General Mortgage Bonds	12/1/2002	12/1/2033	10,000,000	10,000,000	6.76%	0	56,021	676,000	676,000
5	General Mortgage Bonds	12/1/2002	5/1/2026	10,000,000	10,000,000	7.33%	0	255,110	733,000	733,000
6	Notes Payable to Affiliate	12/21/2006	12/21/2016	10,000,000	10,000,000	5.52%	0	40,691	552,000	552,000
7	Notes Payable to Affiliate	12/21/2006	12/21/2018	52,300,000	52,300,000	5.62%	0	176,610	2,939,260	2,939,260
8	Notes Payable to Affiliate	12/21/2006	12/21/2021	75,700,000	75,700,000	5.77%	0	267,484	4,367,890	4,367,890
9	Notes Payable to Affiliate	12/21/2006	12/21/2013	24,000,000	24,000,000	5.39%	0	103,332	1,293,600	1,293,600
10	Notes Payable to Affiliate	11/23/2008	12/31/2038	35,000,000	35,000,000	10.00%	0	1,410,150	3,500,000	3,548,611
11										
12										
13										
14										
15										
16										
17										
18	Totals			243,000,000	243,000,000			2,567,097	16,242,350	16,290,961

<sup>1</sup> After deduction for amount of reacquired bonds held by or for the respondent.

**CLASS A WATER COMPANIES  
SAFE DRINKING WATER BOND ACT DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) loan outstanding during the 12 month reporting period. (Use one sheet for each loan.)

1. Current Fiscal Agent:

Name: Bank of America, N.A.  
Address: 1655 Grant Street Bldg. A-10th Floor, Concord, CA 94520-2445  
Phone Number: 1-888-400-9009  
Date Hired: 4/26/1995

2. Total surcharge collected from customers during the 12 month reporting period:

\$0

3. Summary of the trust bank account activities showing:

Balance at beginning of year	<u>29</u>
Deposits during the year	<u>0</u>
Withdrawals made for loan payments	<u>0</u>
Other withdrawals from this account	<u>29</u>
Balance at end of year	<u>0</u>

4. Account information:

Bank Name: Bank of America  
Account Number: 1499005090  
Date Opened: 4/26/1995

**SCHEDULE A-25**  
**Account 212 - Advances from Associated Companies**

Line No.	Nature of Obligation (a)	Amount of Obligation (b)	Rate of Interest (c)	Interest Accrued During Year (d)	Interest Paid During Year (e)
9					
10	None				
11					
12					
13	Total				

**SCHEDULE A-26**  
**Account 213 - Miscellaneous Long Term Debt**

Line No.	Nature of Obligation (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding <sup>1</sup> Per Balance Sheet (e)	Rate of Interest (f)	Interest Accrued During Year (g)	Interest Paid During Year (h)
14								
15	None							
16								
17								
18								
19								
20								
21	Total							

<sup>1</sup> After deduction for amount of reacquired debt held by or for the respondent.

**SCHEDULE A-27**  
**Securities Issued or Assumed During Year**

Line No.	Class of Security (a)	Commission Authorization (b)	Amount Issued During Year (omit cents) (c)	Discount or Premium (d)	Expenses (e)
22					
23	None				
24					
25					
26	Total				

**SCHEDULE A-28  
Account 220 - Notes Payable**

Line No.	In Favor of (a)	Date of Issue (b)	Date of Maturity (c)	Balance End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest Paid During Year (g)
1	American Water Capital Corporation	None	None	11,256,585	N/A	0	0
2							
3							
4							
5							
6							
7	Total			11,256,585			

**SCHEDULE A-29  
Account 223 - Payables to Associated Companies**

Line No.	Nature of Obligation (a)	Balance End of Year (b)	Rate of Interest (c)	Interest Accrued During Year (d)	Interest Paid During Year (e)
8	American Water Works Service Company	125,429	0	0	0
9	New Mexico American Water	8,565	0	0	0
10	Arizona American Water	245,349	0	0	0
11	Hawaii American Water	69,382	0	0	0
12	American Water Capital Corporation	59,072	0	0	0
13	American Water Works subsidiaries	224,547	0	0	0
14	Total	732,343	0	0	0

**SCHEDULE A-30  
Account 230 - Other Current and Accrued Liabilities**

Line No.	Description (a)	Balance End of Year (b)
15	Accrued Vacation	1,366,220
16	Accrued Purchase Water	3,828,132
17	Accrued Purchase Power	512,045
18	Accrued Legal	120,077
19	Accrued Wages	243,255
20	Accrued Waste Disposal	78,631
21	Accrued Retire Medical	23,500
22	Accrued DCP Contribution	22,147
23	Accrued Bank Fees	35,886
24	Accrued Severance	42,928
25	Accrued Payroll Withholding & Taxes	94,174
26	Accrued Employee 401K Match	15,197
27	Unclaimed Credits	211,950
28	Unbilled Items	99,860
29	Unbilled Stock	111,724
30	Unbilled Stock-E System	17,232
31	Collection For Others	-98,373
32	Collection For Other-Gross Recorded Tax	1,233,800
33	Collection For Other-Municipal Tax	685,323
34	Accounts Payable Clearing	2,399,164
35	Payroll Check Clearing	63,097
36	Collection for Others-Customer Wtr Line Protection-AWR	138,712
37	Incentive Plan Cash Annual	219,067
38	Accrued Litigation	517,280
39	Unclaimed Extension Deposit Refunds	30
40	NOAA Short Term	1,100,000
41	Total	13,277,804

## SCHEDULE A-31 Account 228 - Accrued and Prepaid Taxes

1. This schedule is intended to give particulars of the combined prepaid and accrued tax accounts and to show the total taxes charged to operations and other accounts during the year. Do not include gasoline and other sales taxes which have been charged to the accounts to which the material on which the tax was levied was charged.
2. Taxes, paid during the year and charged direct to final accounts, that is, not charged to prepaid or accrued taxes, should be included in the schedule. Enter the amounts both in columns (d) and (e). The balancing of the schedule is not affected by the inclusion of these taxes.
3. Taxes charged during the year, column (d), include taxes charged to operations and other accounts through (a) accruals credited to taxes accrued, (b) amounts credited to prepaid taxes for proportions of prepaid taxes chargeable to current year, and (c) taxes paid and charged direct to operations or accounts other than accrued and prepaid tax accounts.
4. If any tax covers more than 1 year, the required information of all columns should be shown separately for each year.
5. Enter all adjustments of the accrued and prepaid tax accounts in column (f) and explain each adjustment. Designate debit adjustments by parentheses.
6. See schedule entitled "TAXES CHARGED DURING YEAR," page 32, for a distribution of taxes charged, column (d) according to utility departments and accounts.
7. Do not include in this schedule entries with respect to deferred income taxes or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.

Line No.	Kind of Tax (See instruction 5)	BALANCE BEGINNING OF YEAR		Taxes Charged During Year (d)	Paid During Year (e)	Adjustments (f)	BALANCE END OF YEAR	
		Taxes Accrued (b)	Prepaid Taxes (c)				Taxes Accrued (Account 228) (g)	Prepaid Taxes (Incl. in Acct. 132) (h)
1	Taxes on real and personal property	-	-	3,255,297	3,255,295	-	2	-
2	Taxes on real and personal property	-	979,259	411,157	347,704	1,020	-	914,786
3	State corporation franchise tax	59,876	-	112,359	89,376	2	82,861	-
4	State unemployment insurance tax	33,144	-	57,347	91,704	1,451	238	-
5	Other state and local taxes	608,852	-	251,368	235,228	-	624,992	-
6	Federal unemployment insurance tax	6,301	-	10,442	16,608	264	399	-
7	Fed. ins. contr. act (old age retire.)	128,330	-	1,514,849	1,609,789	64,903	98,293	-
8	Other federal taxes current deferred	110,410	-	0	-	111,461	221,871	-
9	Federal income taxes	4,446,204	-	545,920	(1,526,479)	(2,865,062)	3,653,541	-
10	State income taxes	(3,397,527)	-	233,660	62,459	2,288,911	(937,415)	-
11	State income taxes current deferred	30,591	-	-	-	30,881	61,472	-
12	Deferred Taxes	-	-	(1,200,863)	-	1,200,863	-	-
13								
14								
15	Total	2,026,181	979,259	5,191,517	4,181,684	834,712	3,806,254	914,786

**SCHEDULE A-32**  
**Account 241 - Advances for Construction**

Line No.	(a)	(b)	Amount (c)
1	Balance beginning of year		15,442,016
2	Additions during year		3,791,222
3	Subtotal - Beginning balance plus additions during year		19,233,238
4	Charges during year		
5	Refunds		
6	Percentage of revenue basis	574,719	
7	Proportionate cost basis		
8	Present worth basis		
9	Total refunds		574,719
10	Transfers to Acct 265 - Contributions in Aid of Construction		
11	Due to expiration of contracts	470,361	
12	Due to present worth discount		
13	Total transfers to Acct. 265		470,361
14	Securities Exchanged for Contracts (enter detail below)		
15	Subtotal - Charges during year		
16	Balance end of year		18,188,158

If stock, bonds, etc., were issued in exchange for construction advance contracts give details below:

Line No.	Type of Security or Other Consideration (Other than Cash) (a)	Dividend or Interest Rate (b)	PUC Decision Number (c)	Amount Issued (d)
17	Common stock			
18	Preferred stock			
19	Bonds			
20	Other (describe)			
21				
22				

**SCHEDULE A-33**  
**Account 242 - Other Deferred Credits**

Line No.	Item (a)	Balance End of Year (b)
1	See Addendum	43,289,936
2		
3		
4		
5		
6	Total	43,289,936

**SCHEDULE A-34**  
**Accounts 254 to 258, Inclusive - Miscellaneous Reserves**

Line No.	Account (a)	Balance Beginning of Year (b)	DEBITS		CREDITS		Balance End of Year (g)
			Nature of Items (c)	Amount (d)	Account Charged (e)	Amount (f)	
7	254	611,713	Reserve Bad Debt	369,750	775	270,701	512,664
8							
9							
10							
11							
12							
13	Total	611,713	0	369,750	775	270,701	512,664

**California-American Water Company**  
**Addendum to Schedule A-33**  
**12/31/2009**

ACCOUNT NO. 242 - OTHER DEFERRED CREDITS

ITEMS	BALANCE 12/31/2009
Deferred Federal Income Tax-Norm Property	0
Deferred Federal Income Tax-Other	21,108,590
Deferred Federal Income Tax-AMT	0
Deferred State Income Tax-Flow thru Depreciation	0
Deferred State Income Tax-Other	(336,129)
Unamortized ITC-10%	746,942
Unamortized ITC-6%	267,056
Deferred Regulatory Liability-Federal Income Tax	341,229
Deferred Regulatory Liability-Deficit Deferred Federal Income Tax	(48,309)
Deferred Regulatory Liability	8,020
Regulatory Liability-ITC Gross Up	372,146
Regulatory Liability-ITC Gross Up	131,508
Conservation Surcharge	988,958
Aerojet Project	1,879,557
MTBE Settlement	3,981,813
Pension Payment Operating Co.	2,772,936
Accrued OPEB	407,985
Accrued OPEB Medical Subsidy	205,533
Accrued Deferred Revenues	1,149,718
MPWMD Conservation Surcharge	342,189
Accrued FAS 112	116,573
Dividend Equivalents	2,347
Deferred Revenue CIAC	(1,536)
Deferred Revenue CIAC-FIT	651,329
Deferred Revenue CIAC-SIT	1,543
Deferred Tax Gross Up Aic	1,213,345
NOAA Settlement	6,600,000
Advance Pay and Deposits Other	386,593
Total	<u><u>43,289,936</u></u>



**SCHEDULE A-35**  
**Account 265 - Contributions in Aid of Construction**

Instructions for Preparation of Schedule of Contributions in Aid of Construction:

- <sup>1</sup> The credit balance in the account other than that portion of the balance relating to nondepreciable property (column d), to property retired prior to the estimated amount of depreciation accrued to January 1, 1955 (column f), shall be written off through charges to this account and credited to the Depreciation of Utility Plant over a period equal to the estimated service life of the property involved. Upon retirement of depreciable property, a reserve has been created through charges to this account, the cost thereof shall be credited to the appropriate plant account and concurrently the property is retired prior to the service life originally estimated, the balance in this account with respect to the retired property shall be transferred to a reserve account.
- <sup>2</sup> That portion of the balance applicable to nondepreciable property shall remain unchanged until the property is sold or otherwise retired. Upon retirement of nondepreciable property acquired by donation or through use of donated funds shall be credited to the appropriate plant account and charged to the balance carried herein.
- <sup>3</sup> That portion of the balance representing donations on property retired prior to January 1, 1955 (column e), and the amount of depreciation accrued on property in service (column f), shall not be transferred from this account or otherwise disposed of without first receiving written authorization.

Line No.	Item (a)	Total All Columns (b)	Subject to Amortization		Not Subject to Amortization	Depreciation Accrued through Dec. 31, 1954 on Property in Service at Dec. 31, 1954 (f)
			Property in Service After Dec. 31, 1954	Property Retired Before Jan. 1, 1955 (e)		
			Depreciable (c)	Non-Depreciable (d)		
1	Balance beginning of year	49,579,326	49,344,063	235,263		
2	Add: Credits to account during year					
3	Contributions received during year	7,959,158	7,959,158			
4	Other credits*	0	0			
5	Total credits	7,959,158	7,959,158			
6	Deduct: Debits to account during year					
7	Depreciation charges for year	1,280,631	1,280,631			
8	Nondepreciable donated property retired	0				
9	Other debits*	0				
10	Total debits	1,280,631	1,280,631			
11	Balance end of year	56,257,853	56,022,590	235,263		

\*Indicate nature of these items and show the accounts affected by the contra entries.

**SCHEDULE B-1**  
**Account 501 - Operating Revenues**

Line No.	Acct.	ACCOUNT (a)	Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Brackets) (d)
1		<b>I. WATER SERVICE REVENUES</b>			
2	601	Metered sales to general customers			
3		601.1 Commercial sales	99,332,342	94,540,222	4,792,120
4		601.2 Industrial sales	2,415,082	1,852,955	562,127
5		601.3 Sales to public authorities	8,822,815	9,266,244	(443,429)
6		Sub-total	110,570,239	105,659,421	4,910,818
7	602	Unmetered sales to general customers			
8		602.1 Commercial sales	13,642,166	14,643,605	(1,001,439)
9		602.2 Industrial sales	-	-	-
10		602.3 Sales to public authorities	-	-	-
11		Sub-total	13,642,166	14,643,605	(1,001,439)
12	603	Sales to irrigation customers			
13		603.1 Metered sales	-	230,828	(230,828)
14		603.2 Unmetered sales	-	-	-
15		Sub-total	-	230,828	(230,828)
16	604	Private fire protection service	1,888,462	1,787,858	100,604
17	605	Public fire protection service	(9)	(279)	270
18	606	Sales to other water utilities for resale	2,832	3,496	(664)
19	607	Sales to governmental agencies by contracts	-	-	-
20	608	Interdepartmental sales	-	-	-
21	609	Other sales or service	475,424	326,724	148,700
22		Sub-total	2,366,709	2,117,799	248,910
23		Total water service revenues	126,579,114	122,651,653	3,927,461
24		<b>II. OTHER WATER REVENUES</b>			
25	611	Miscellaneous service revenues	2,026,482	2,808,660	(782,178)
26	612	Rent from water property	8,300	6,000	2,300
27	613	Interdepartmental rents	-	-	-
28	614	Other water revenues	11,713,557	2,066,557	9,647,000
29		Total other water revenues	13,748,338	4,881,217	8,867,122
30	501	Total operating revenues	140,327,452	127,532,870	12,794,583

**SCHEDULE B-1a**  
**Operating Revenues Apportioned to Cities and Towns**

Line No.	Location (a)	Operating Revenues (b)
31	Operations not within incorporated cities <sup>1</sup>	None
32		
33		
34		
35	Operations within incorporated territory	None
36	City or town of	
37	City or town of	
38	City or town of	
39	City or town of	
40	City or town of	
41		
42		
43		
44		

<sup>1</sup> Should be segregated to operating districts.

## SCHEDULE B-2

### Account 502 - Operating Expenses - For Class A, B, and C Water Utilities

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
1		<b>I. SOURCE OF SUPPLY EXPENSE</b>						
2		<b>Operation</b>						
3	701	Operation supervision and engineering	A	B		335	5,277	(4,942)
4	701	Operation supervision, labor and expenses			C	-	-	-
5	702	Operation labor and expenses	A	B		154,186	132,583	21,603
6	703	Miscellaneous expenses	A			516,623	632,615	(115,992)
7	704	Purchased water	A	B	C	31,104,405	30,178,958	925,447
8		<b>Maintenance</b>						
9	706	Maintenance supervision and engineering	A	B		37,681	31,259	6,422
10	706	Maintenance of structures and facilities			C	-	-	-
11	707	Maintenance of structures and improvements	A	B		16,950	-	16,950
12	708	Maintenance of collect and impound reservoirs	A			36,301	35,197	1,103
13	708	Maintenance of source of supply facilities		B		-	-	-
14	709	Maintenance of lake, river and other intakes	A			2,106	40	2,065
15	710	Maintenance of springs and tunnels	A			1,975	110	1,865
16	711	Maintenance of wells	A			15,196	7,308	7,888
17	712	Maintenance of supply mains	A			-	-	-
18	713	Maintenance of other source of supply plant	A	B		114,327	228,805	(114,478)
19		Total source of supply expense				32,000,086	31,252,152	747,934
20		<b>II. PUMPING EXPENSES</b>						
21		<b>Operation</b>						
22	721	Operation supervision and engineering	A	B		1,890	1,367	523
23	721	Operation supervision labor and expense			C	-	-	-
24	722	Power production labor and expense	A			-	-	-
25	722	Power production labor, expenses and fuel		B		-	-	-
26	723	Fuel for power production	A			-	-	-
27	724	Pumping labor and expenses	A	B		992,440	1,097,189	(104,749)
28	725	Miscellaneous expenses	A			100,477	113,683	(13,206)
29	726	Fuel or power purchased for pumping	A	B	C	7,089,738	6,767,578	322,161
30		<b>Maintenance</b>						
31	729	Maintenance supervision and engineering	A	B		31,435	84,979	(53,545)
32	729	Maintenance of structures and equipment			C	-	-	-
33	730	Maintenance of structures and improvements	A	B		83,989	62,114	21,875
34	731	Maintenance of power production equipment	A	B		71,452	416,792	(345,340)
35	732	Maintenance of pumping equipment	A	B		-	-	-
36	733	Maintenance of other pumping plant	A	B		574,986	259,720	315,266
37		Total pumping expenses				8,946,407	8,803,422	142,986

## SCHEDULE B-2

### Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
1		<b>III. WATER TREATMENT EXPENSES</b>						
2		<b>Operation</b>						
3	741	Operation supervision and engineering	A	B		4,947	7,402	(2,455)
4	741	Operation supervision, labor and expenses			C	-	-	-
5	742	Operation labor and expenses	A			1,312,957	942,601	370,356
6	743	Miscellaneous expenses	A	B		771,943	584,510	187,432
7	744	Chemicals and filtering materials	A	B		1,599,812	1,146,679	453,133
8		<b>Maintenance</b>						
9	746	Maintenance supervision and engineering	A	B		539	3,811	(3,272)
10	746	Maintenance of structures and equipment			C	-	-	-
11	747	Maintenance of structures and improvements	A	B		380	1,346	(966)
12	748	Maintenance of water treatment equipment	A	B		281,145	560,535	(279,390)
13		Total water treatment expenses				3,971,723	3,246,884	724,839
14		<b>IV. TRANS. AND DIST. EXPENSES</b>						
15		<b>Operation</b>						
16	751	Operation supervision and engineering	A	B		814,850	712,806	102,043
17	751	Operation supervision, labor and expenses			C	-	-	-
18	752	Storage facilities expenses	A			65,488	79,083	(13,595)
19	752	Operation labor and expenses		B		-	-	-
20	753	Transmission and distribution lines expenses	A			5,142	17,890	(12,748)
21	754	Meter expenses	A			327,370	429,841	(102,471)
22	755	Customer installations expenses	A			419,542	388,069	31,473
23	756	Miscellaneous expenses	A			695,973	944,597	(248,625)
24		<b>Maintenance</b>						
25	758	Maintenance supervision and engineering	A	B		53,224	45,013	8,211
26	758	Maintenance of structures and plant			C	-	945	(945)
27	759	Maintenance of structures and improvements	A	B		4,894	512	4,382
28	760	Maintenance of reservoirs and tanks	A	B		41,755	56,646	(14,891)
29	761	Maintenance of trans. and distribution mains	A			1,127,551	1,262,792	(135,241)
30	761	Maintenance of mains		B		-	-	-
31	762	Maintenance of fire mains	A			2,136	698	1,439
32	763	Maintenance of services	A			1,755,292	2,046,938	(291,645)
33	763	Maintenance of other trans. and distribution plant		B		-	-	-
34	764	Maintenance of meters	A			171,113	120,316	50,797
35	765	Maintenance of hydrants	A			122,478	88,747	33,731
36	766	Maintenance of miscellaneous plant	A			2,033,340	2,301,712	(268,372)
37		Total transmission and distribution expenses				7,640,149	8,496,604	(856,456)

**SCHEDULE B-2**

**Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)**

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
1		<b>V. CUSTOMER ACCOUNT EXPENSES</b>						
2		<b>Operation</b>						
3	771	Supervision	A	B		44,969	22,144	22,825
4	771	Superv., meter read., other customer acct expenses			C	-	-	-
5	772	Meter reading expenses	A	B		864,858	777,193	87,665
6	773	Customer records and collection expenses	A			770,421	695,978	74,443
7	773	Customer records and accounts expenses		B		-	-	-
8	774	Miscellaneous customer accounts expenses	A			90,121	110,114	(19,993)
9	775	Uncollectible accounts	A	B	C	4,443	43	4,400
10		Total customer account expenses				1,774,813	1,605,472	169,341
11		<b>VI. SALES EXPENSES</b>						
12		<b>Operation</b>						
13	781	Supervision	A	B		-	-	-
14	781	Sales expenses			C	-	-	-
15	782	Demonstrating and selling expenses	A			-	-	-
16	783	Advertising expenses	A			-	-	-
17	784	Miscellaneous sales expenses	A			-	-	-
18	785	Merchandising, jobbing and contract work	A			-	-	-
19		Total sales expenses				-	-	-
20		<b>VII. ADMIN. AND GENERAL EXPENSES</b>						
21		<b>Operation</b>						
22	791	Administrative and general salaries	A	B	C	3,036,706	3,871,249	(834,543)
23	792	Office supplies and other expenses	A	B	C	100,816	124,207	(23,390)
24	793	Property insurance	A			9,294	10,544	(1,250)
25	793	Property insurance, injuries and damages		B	C	-	695	(695)
26	794	Injuries and damages	A			80,253	17,134	63,119
27	795	Employees' pensions and benefits	A	B	C	718,313	651,437	66,877
28	796	Franchise requirements	A	B	C	-	-	-
29	797	Regulatory commission expenses	A	B	C	4,962,063	3,295,703	1,666,360
30	798	Outside services employed	A			1,076,086	534,682	541,404
31	798	Miscellaneous other general expenses		B		-	-	-
32	798	Miscellaneous other general operation expenses			C	-	-	-
33	799	Miscellaneous general expenses	A			3,778,242	3,668,022	110,220
34		<b>Maintenance</b>						
35	805	Maintenance of general plant	A	B	C	193,980	83,767	110,213
36		Total administrative and general expenses				13,955,753	12,257,439	1,698,314
37		<b>VIII. MISCELLANEOUS</b>						
38	811	Rents	A	B	C	745,634	799,790	(54,156)
39	812	Administrative expenses transferred - Cr.	A	B	C	28,840,606	28,274,495	566,111
40	813	Duplicate charges - Cr.	A	B	C	-	-	-
41		Total miscellaneous				29,586,240	29,074,285	511,954
42		Total operating expenses				97,875,172	94,736,259	3,138,913

**SCHEDULE B-3**  
**Account 506 - Property Losses Chargeable to Operations**

Line No.	Description (a)	Amount
1	Property Loss on Chargeable Operations	-
2		
3		
4		
5		
6		
7		
8	Total	-

**SCHEDULE B-4**  
**Account 507 - Taxes Charged During Year**

- This schedule is intended to give the account distribution of total taxes charged to operations and other final accounts during the year.
- Do not include gasoline and other sales taxes which have been charged to accounts to which the material on which the tax was levied was charged. If the actual or estimated amounts of such taxes are known, they should be shown as a footnote and designated whether estimated or actual amounts.
- The accounts to which taxes charged were distributed should be shown in columns (c) to (f). Show both the utility department and number of account charged except for taxes.
- For any tax which it was necessary to apportion to more than one utility department or account, state in a footnote the basis of apportioning such tax.
- The total taxes charged as shown in column (b) should agree with the amounts shown by column (d) of schedule entitled "Accrued and Prepaid Taxes," page 24.
- Do not include in this schedule entries with respect to deferred income taxes, or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the

Line No.	Total Taxes Charged During Year (b)	DISTRIBUTION OF TAXES CHARGED (Show utility department where applicable and account charged)			
		Water (Account 507) (c)	Nonutility (Account 521) (d)	Other (Account ---) (e)	Capitalized (Omit Account) (f)
9	Taxes on real and personal property	3,666,454		129,468	
10	State corp. franchise tax	112,359			
11	State unemployment insurance tax	57,348			11,060
12	Other state and local taxes	251,368		183,198	
13	Federal unemployment insurance tax	10,442			2,035
14	Federal insurance contributions act	1,514,849			348,382
15	Other federal taxes				
16	Federal income tax	545,920		(1,038,932)	
17	State income tax	233,660		(287,851)	
18	State income tax - deferred	(1,200,885)			
19					
20					
21					
22	Totals	5,191,517	-	(1,014,117)	361,477

## SCHEDULE B-5

### Reconciliation of Reported Net Income With Taxable Income for Federal Taxes

1. Report hereunder a reconciliation of reported net income for the year with taxable income used in computing Federal income tax accruals and show computation of such tax accruals. The reconciliation shall be submitted even though there is no taxable income for the year. Descriptions should clearly indicate the nature of each reconciling amount.
  
2. If the utility is a member of a group which files a consolidated Federal tax return, reconcile reported net income with taxable net income as if a separate return were to be filed, indicating, however, inter-company amounts to be eliminated in such consolidated return. State names of group members, tax assigned to each group member, and basis of allocation, assignment, or sharing of the consolidated tax among the group members.
  
3. Show taxable year if other than calendar year from-----to-----.

Line No.	Particulars (a)	Amount (b)
1	Net income for the year per Schedule B, page 15 .....	
2	Reconciling amounts (list first additional income and unallowable deductions, followed by additional	
3	deductions for non-taxable income):	
4		
5		
6	<b>Federal Tax Return not yet Completed-See Attached Form 7004</b>	
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		
26		
27		
28		
29		
30	Federal tax net income .....	
31	Computation of tax:	
32		
33		
34		
35	Tax per return	

**Application for Automatic Extension of Time To File Certain Business Income Tax, Information, and Other Returns**  
 ▶ File a separate application for each return.  
 ▶ See separate instructions.

OMB No. 1545-0233

**Type or Print**

File by the due date for the return for which an extension is requested. See instructions.

Name	Identifying number
American Water Works Company, Inc. and Subsidiaries	51-0083696
Number, street, and room or suite no. (if P.O. box, see instructions.)	
P.O. Box 6500, ATTN: Income Tax, 131 Woodcrest Road	
City, town, state, and ZIP code (if a foreign address, enter city, province or state, and country (follow the country's practice for entering postal code)).	
Cherry Hill, NJ 08003	

Note. See instructions before completing this form.

**Part I Automatic 5-Month Extension Complete if Filing Form 1065, 1041, or 8804**

1a Enter the form code for the return that this application is for (see below)

Application Is For:	Form Code	Application Is For:	Form Code
Form 1065	09	Form 1041 (estate)	04
Form 8804	31	Form 1041 (trust)	05

**Part II Automatic 6-Month Extension Complete if Filing Other Forms**

b Enter the form code for the return that this application is for (see below)

Application Is For:	Form Code	Application Is For:	Form Code
Form 706-GS(D)	01	Form 1120-PC	21
Form 706-GS(T)	02	Form 1120-POL	22
Form 1041-N	06	Form 1120-REIT	23
Form 1041-QFT	07	Form 1120-RIC	24
Form 1042	08	Form 1120S	25
Form 1065-B	10	Form 1120-SF	26
Form 1066	11	Form 3520-A	27
Form 1120	12	Form 8612	28
Form 1120-C	34	Form 8613	29
Form 1120-F	15	Form 8725	30
Form 1120-FSC	16	Form 8831	32
Form 1120-H	17	Form 8876	33
Form 1120-L	18	Form 8924	35
Form 1120-ND	19	Form 8928	36
Form 1120-ND (section 4951 taxes)	20		

- 2 If the organization is a foreign corporation that does not have an office or place of business in the United States, check here
- 3 If the organization is a corporation and is the common parent of a group that intends to file a consolidated return, check here   
 If checked, attach a schedule, listing the name, address, and Employer Identification Number (EIN) for each member covered by this application.

**Part III All Filers Must Complete This Part**

4 If the organization is a corporation or partnership that qualifies under Regulations section 1.6081-5, check here

5a The application is for calendar year 20 09 or tax year beginning ..... 20 ..... and ending ..... 20 .....

b Short tax year. If this tax year is less than 12 months, check the reason:  
 Initial return  Final return  Change in accounting period  Consolidated return to be filed

6 Tentative total tax	6	0
7 Total payments and credits (see instructions)	7	0
8 Balance due. Subtract line 7 from line 6. Generally, you must deposit this amount using the Electronic Federal Tax Payment System (EFTPS), a Federal Tax Deposit (FTD) Coupon, or Electronic Funds Withdrawal (EFW) (see instructions for exceptions)	8	0



**COMPANIES TO BE INCLUDED IN THE CONSOLIDATED FEDERAL INCOME TAX RETURN  
OF AMERICAN WATER WORKS COMPANY, INC. AND AFFILIATED SUBSIDIARIES**

**YEAR - 01/01/09-12/31/09**

<b>COMPANY</b>	<b>Employer Identification Number</b>
1 AAET, Inc.	22-3259128
2 ACUS Coporation	74-1838504
3 American Lake Water Company	06-1396121
4 American Water Capital Corp	22-3732448
5 American Water Engineering, Inc.	76-0654501
6 American Water Enterprises Holding, Inc.,	76-0605357
7 American Water Enterprises, Inc.	22-3109459
8 American Water Industrial Operations, Inc.,	74-2177717
9 American Water Industrials, Inc.,	76-0656917
10 American Water Operations and Maintenance, Inc	98-0165919
11 American Water Resources, Inc.	54-0912221
12 American Water Services CDM, Inc.,	91-1745331
13 American Water (USA), Inc.,	98-0165920
14 American Water Works Company, Inc.,	51-0063696
15 American Water Works Service Company, Inc	23-1340234
16 Applied Wastewater Management, Inc.	22-2881173
17 Applied Wastewater Services, Inc.,	22-2711356
18 Applied Water Management of Delaware Inc.	20-1553646
19 Applied Water Management, Inc.,	22-3608285
20 Arizona-American Water Company	86-0096580
21 Bluefield Valley Water Works Company	66-6022466
22 California-American Water Company	51-0104148
23 E-Town Properties Inc.,	22-2817018
24 Edison Water Company	22-3519296
25 Environmental Management Company	43-1205270
26 Hawaii-American Water Company	99-0109867
27 Hydro-Aerobics, Inc.	95-3870533
28 Illinois-American Water Company	51-0105694
29 Indiana-American Water Company, Inc.	35-0936102
30 Iowa-American Water Company	42-0735216
31 Kentucky-American Water Company	61-0485002
32 Laurel Oak Properties Corporation	20-1022964
33 Liberty Water Company	22-3598293
34 Long Island Water Corporation	11-1516966
35 Maryland-American Water Company	52-0268026
36 Michigan-American Water Company	38-1657784
37 Missouri-American Water Company	44-0578400
38 Mobile Residuals Management (USA), Inc.	98-0183794
39 New Jersey-American Water Company, Inc	22-1546642
40 New Mexico-American Water Company, Inc.	85-0344576
41 Ohio-American Water Company	31-4389820
42 Pennsylvania-American Water Company, Inc.	25-1008098
43 Philip Automated Management Controls, Inc.	98-0165914
44 PWT Waste Solutions, Inc.	83-1047291
45 Tennessee-American Water Company	62-0529095
46 Texas-American Water Company	20-4389857
47 TWNA, Inc.	06-1646192
48 UESG Holdings, Inc.	20-0863050
49 United Water Virginia, Inc.	54-1016694
50 Utility Management and Engineering, Inc	22-3239760
51 Virginia-American Water Company	54-0119656
52 West Virginia-American Water Company	55-0307487

**Mailing address for all above companies is:  
PO Box 5600  
ATTN: Income Tax Department  
131 Woodcrest Road  
Cherry Hill, NJ 08003**

**SCHEDULE B-6**  
**Account 521 - Income from Nonutility Operations**

Line No.	Description (a)	Revenue (b)	Expenses (c)	Net Income (d)
1	Income from NonUtility Operations			-
2				
3				
4				
5	Totals			-

**SCHEDULE B-7**  
**Account 526 - Miscellaneous Nonoperating Revenue**

Line No.	Description (a)	Amount (b)
6	Miscellaneous NonOperating Revenues	1,732,222
7		
8		
9		
10		
11		
12		
13	Totals	1,732,222

**SCHEDULE B-8**  
**Account 527 - Nonoperating Revenue Deductions (Dr.)**

Line No.	Description (a)	Amount (b)
14	NonOperating Revenues Deduction	(790,965)
15		
16		
17		
18	Total	(790,965)

**SCHEDULE B-9**  
**Account 535 - Other Interest Charges**

Line No.	Description (a)	Amount (b)
19	Various Interest Charges	167,744
20		
21		
22	Total	167,744

**SCHEDULE B-10**  
**Account 538 - Miscellaneous Income Deductions**

Line No.	Description (a)	Amount (b)
23	Various Miscellaneous Income Deductions	2,745,759
24		
25		
26		
27	Total	2,745,759

## SCHEDULE C-1

### Engineering and Management Fees and Expenses, etc., During Year

Line No.	Give the required particulars of all contracts or other agreements in effect in the course of the year between the respondent and any corporation, association, partnership or person covering supervision and/or management of any department of the respondents affairs such as accounting, engineering, financing, construction or operation, and show the payments under such agreements and also the payments for advice and services to a corporation or corporations which directly or indirectly control respondent through stock ownership	
1	1 Did the respondent have a contract or other agreement with any organization or person	
2	covering supervision and/or management of its own affairs during the year?	
3	Answer (Yes or No)	Yes
4	2 Name of each organization or person that was a party to such a contract or agreement	
5	<b>American Water Works Service Company</b>	
6	3 Date of original contract or agreement.	<b>January 1, 1971</b>
7	4 Date of each supplement or agreement.	<b>September 1, 1989</b>
8	Note: File with the report a copy of every contract, agreement, supplement or amendment mentioned above	
9	unless a copy of the instrument in due form has been furnished in which case a definite reference to the report	
10	of the respondent relative to which it was furnished will suffice.	
11	5 Amount of compensation paid during the year for supervision or management	Not Applicable
12	6 To whom paid	Not Applicable
13	7 Nature of payment (salary, traveling expenses, etc.)	Not Applicable
14	8 Amounts paid for each class of service	Not Applicable
15		
16	9 Base for determination of such amounts	Not Applicable
17		
18	10 Distribution of payments:	
19	(a) Charged to operating expenses	11,851,917
20	(b) Charged to capital accounts	1,318,969
21	(c) Charged to other accounts	-
22	Total	13,170,887
23	11 Distribution of charges to operating expenses by primary accounts.	
24	Number and Title of Account	
25	<b>Account 798 Outside Services</b>	13,556,754
26		
27		
28	Total	13,556,754
29	12 What relationship, if any, exists between respondent and supervisory and/or	
30	managing concerns? <b>American Water Service Co. provides operational functions for all</b>	
	<b>American Water Works subsidiaries including California American Water</b>	

**SCHEDULE C-2**  
**Compensation of Individual Proprietor or Partner**

Do operating expenses include any charge for owner's compensation in the form of salary or wages, rental allowances, or for the use of a private automobile? (If the word "none" truly states the fact it should be given as the answer to this inquiry.)

Line No.	Nature of Allowance (a)	Account Charged (b)	Amount (c)
1	None		
2			
3			
4			
5			
6	Total		

**SCHEDULE C-3**  
**Employees and Their Compensation**  
 (Charged to Account 502 - Operating Expenses - Schedule B-2)

Line No.	Classification (a)	Number at End of Year (b)	Total Salaries and Wages Paid During Year (c)
7	Employees - Source of supply		\$ 248,761
8	Employees - Pumping		\$ 1,119,723
9	Employees - Water treatment		\$ 1,375,861
10	Employees - Transmission and distribution		\$ 4,271,655
11	Employees - Customer account		\$ 1,668,956
12	Employees - Sales		
13	Employees - Administrative		\$ 6,494,034
14	General officers		
15	General office		
16	Totals	257	\$ 15,178,990

**SCHEDULE C-4**  
**Record of Accidents During Year**

Line No.	Date of Accident (a)	TO PERSONS					TO PROPERTY			
		Employees on Duty		Public <sup>1</sup>		Total Number (f)	Company		Other	
		Killed (b)	Injured (c)	Killed (d)	Injured (e)		Number (g)	Amount (h)	Number (i)	Amount (j)
17	1/1/09 -12/31/09	0	31	0	0	31	0	\$ -	41	857,558
18										
19										
20	Totals	0	31	0	0	31	0	0	41	857,558

<sup>1</sup> Accidents to employees not on duty should be included in "Public" accidents

## SCHEDULE C-5 Expenditures for Political Purposes

Hereunder report all direct or indirect expenditures incurred or made to elect or defeat a candidate for public office; or to place any measure on the ballot; at any election, or to keep it from being placed on the ballot at any election, or to support or defeat any measure on the ballot; at an election, or to support or defeat any proposed legislation, also name the account or accounts to which the expenditures herein mentioned have been charged. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1	Lobbying Expenses	199,921
2		
3		
4		
5		

## SCHEDULE C-6 Loans to Directors, or Officers, or Shareholders

Show hereunder the amount of loans to directors, or officers, or shareholders and the terms and conditions of such loans. Among other things, show the name of each director, or officer, or shareholder, receiving a loan or loans, the amount of each loan, the rate of interest payable on such loan or loans, the maturity date of each loan or loans, the security given and the date when the shareholders authorized each loan. If such authorization was given. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

6	None
7	
8	
9	
10	
11	

## SCHEDULE C-7 Bonuses Paid to Executives & Officers

Show hereunder the amount of bonuses paid to Executives and Officers, both cash and non-cash. List by the name of each Executive or Officer receiving the bonus, the dollar value of that bonus, and a description of the bonus if it is non-cash.

12	Kent Turner	27,932
13	Thomas Bunosky	20,785
14	Mark Schubert	18,942
15	Benjamin Lewis	11,397
16	Christopher Buis	13,936
17	Kevin Tilden	9,614
18	Linda Stillman	9,906
19	Carrie Gleeson	13,316
20	Gary Paquette	15,714
21	Darlene Clark	18,182
22	Dave Stephenson	21,979
23		
24	Kent Turner          Lump Sum	3,471
25	Gary Paquette        Bonus	5,000
26		

**SCHEDULE D-1  
Sources of Supply and Water Developed**

STREAMS				FLOW IN .....(unit) <sup>2</sup>				Annual Quantities Diverted .....(Unit) <sup>2</sup>	Remarks
Line No.	Diverted into*	From Stream or Creek (Name)	Location of Diversion Point	Priority Right		Diversions			
				Claim	Capacity	Max.	Min.		
1									
2									
3	See each district's support schedule								
4									
5									
WELLS							Annual Quantities Pumped .....(Unit) <sup>2</sup>	Remarks	
Line No.	At Plant (Name or Number)	Location	Number	Dimensions	<sup>1</sup> Depth to Water	Pumping Capacity .....(Unit) <sup>2</sup>			
6									
7									
8	See each district's support schedule								
9									
10									
TUNNELS AND SPRINGS				FLOW IN .....(Unit) <sup>2</sup>		Annual Quantities Used .....(Unit) <sup>2</sup>	Remarks		
Line No.	Designation	Location	Number	Maximum	Minimum				
11									
12									
13	See each district's support schedule								
14									
15									
Purchased Water for Resale									
16	Purchased from								
17	Annual quantities purchased		40,525		(Unit chosen) <sup>2</sup>	ACRE FEET			
18									
19									

\* State ditch, pipe line, reservoir, etc., with name, if any.

<sup>1</sup> Average depth to water surface below ground surface.

2 The quantity unit in established use for expressing water stored and used in large amounts is the acre foot, which equals 42,560 cubic feet; in domestic use the thousand gallon or the hundred cubic feet. The rate of flow or discharge in larger amounts is expressed in cubic feet per second, in gallons per minute, in gallons per day, or in the miner's inch. Please be careful to state the unit used.

**SCHEDULE D-2  
Description of Storage Facilities**

Line No.	Type	Number	Combined Capacity (Gallons or Acre Feet)	Remarks
20	A. Collecting reservoirs			
21	Concrete	1	44,641,587	Gallons
22	Earth	1	511,260,219	Gallons
23	Wood	-	-	
24	B. Distribution reservoirs			
25	Concrete	23	43,360,000	Gallons
26	Earth	3	3,440,500	
27	Wood	-	-	
28	C. Tanks			
29	Concrete	16	2,927,350	Gallons
30	Earth	-	-	
31	Wood	-	-	
32	Steel	134	67,624,079	Gallons
33	Totals	178	673,253,735	Gallons

**SCHEDULE D-3**  
**Description of Transmission and Distribution Facilities**

**A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES**  
Capacities in Cubic Feet Per Second or Miner's Inches (State Which) \_\_\_\_\_

Line No.		0 to 5	6 to 10	11 to 20	21 to 30	31 to 40	41 to 50	51 to 75	76 to 100
1	Ditch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	Flume	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	Lined conduit	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
4									
5	Total								

**A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES - Concluded**  
Capacities in Cubic Feet Per Second or Miner's Inches (State Which) \_\_\_\_\_

Line No.		101 to 200	201 to 300	301 to 400	401 to 500	501 to 750	751 to 1000	Over 1000	Total All Lengths
6	Ditch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
7	Flume	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
8	Lined conduit	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
9									
10	Total								

**B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING**

Line No.		1	1 1/2	2	2 1/2	3	4	5	6	8
11	Cast iron	-	-	9,382	-	9,906	158,312	-	197,996	131,839
12	Cast iron (cement lined)	-	-	27,075	-	103	276,615	-	464,750	114,103
13	Cement - asbestos	-	-	4,794	-	1,494	270,829	2,137	1,870,670	1,780,831
14	Concrete	-	-	-	-	-	41	-	20	954
15	Copper	3,279	3,881	2,522	-	-	-	-	-	-
16	PVC	1,184	1,104	18,799	8,243	3,182	52,221	-	318,868	1,506,763
17	Riveted steel	-	-	104	-	-	-	-	59,384	17,982
18	Screw or welded casing	-	-	-	-	-	-	-	34	10
19	Standard screw	554	476	11,511	-	-	-	-	63	310
20	Welded steel	-	-	850	513	2,399	136,478	3,606	113,685	70,925
21	Wood	-	-	-	-	-	-	-	-	-
22	Other (Brick Arch)	-	-	-	-	-	-	-	-	-
23	Other (Ductile Iron)	-	-	-	-	-	635	-	4,829	9,574
24	Other (Galvanized Pipe)	1,648	2,298	54,547	1,888	2,773	804	-	-	310
25	Other (Steel - cement lined)	-	-	31	-	-	28,175	-	43,088	52,048
26	Other (unknown)	1,616	3,599	34,963	187	1,064	49,237	-	77,485	81,807
27	Total	8,281	11,358	164,678	10,829	20,921	1,030,826	5,743	3,109,983	3,749,154

**B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING - Concluded**

Line No.		10	12	14	18	18	Other Sizes (Specify Sizes)					Total All Sizes	
							17 to 22 (Coronado)	20 - 36	.5 - .75	unknown	4.5		17
28	Cast iron	12,807	71,806	-	10,466	2,313	-	7,188	-	-	-	-	112,015
29	Cast iron (cement lined)	20,488	53,081	822	96,011	11,604	-	15,760	-	388	-	-	1,080,780
30	Cement - asbestos	602,334	266,535	24,985	70,382	2,750	-	3,400	-	-	-	-	4,881,141
31	Concrete	1,261	648	2,050	-	295	-	2,215	-	-	-	-	7,684
32	Copper	-	-	-	-	-	-	-	-	-	-	-	9,682
33	PVC	145,449	428,333	134	53,256	-	190	12,821	-	1,443	-	-	2,551,990
34	Riveted steel	45	8,831	1,182	-	3,267	-	48,318	-	-	-	-	159,224
35	Screw or welded casing	-	-	-	-	-	-	-	-	-	-	-	44
36	Standard screw	-	-	-	-	-	-	-	-	-	-	-	12,914
37	Welded steel	48,558	103,283	19,807	33,560	6,014	9,618	37,801	-	3,418	1,302	-	591,915
38	Wood	-	-	-	-	-	-	-	-	-	-	-	-
39	Other (Brick Arch)	-	-	-	-	-	-	341	-	-	-	-	341
40	Other (Ductile Iron)	56	19,657	281	64,375	1,145	-	140,137	-	-	-	-	240,688
41	Other (Galvanized Pipe)	100	-	-	-	-	-	-	-	-	-	-	84,547
42	Other (Steel - cement lined)	20,862	51,970	6,151	7,051	12,872	-	36,214	-	1,189	-	-	257,649
43	Other (unknown)	8,227	25,392	57	440	507	-	3,213	614	25,991	-	-	314,399
44	Total	860,187	1,029,736	55,469	335,541	40,787	9,808	307,408	614	29,011	3,418	1,302	10,785,014

**SCHEDULE D-4  
Number of Active Service Connections**

Classification	Flat Rate - Dec 31			
	Prior Year	Current Year	Prior Year	Current Year
Residential	117,537	121,283	32,666	29,068
Commercial	13,474	13,893	-	-
Industrial	242	247	-	-
Public authorities	1,639	1,643	2	2
Irrigation	66	66	-	-
Other (specify)	1,019	708	-	-
Subtotal	133,977	137,840	32,668	29,070
Private fire connections	-	5	2,726	3,103
Public fire hydrants	-	-	13,561	13,855
Total	133,977	137,845	48,955	46,028

**SCHEDULE D-5  
Number of Meters and Services on  
Pipe Systems at End of Year**

Size	Meters	Services
5/8 x 3/4"-in.	111,427	24,677
3/4" -in.	939	86,033
1" -in.	19,621	47,798
1½" -in.	3,951	3,859
2" -in.	5,402	6,465
3" -in.	271	212
4" -in.	223	1,287
6" -in.	85	656
8" -in.	30	113
10" -in.	1	2
12" -in.	-	1
Other	21	241
Total	141,971	171,344

**SCHEDULE D-6  
Meter Testing Data**

<b>A. Number of Meters Tested During Year as Prescribed</b>	
in Section VI of General Order No. 103:	
1. New, after being received . . . . .	-
2. Used, before repair . . . . .	985
3. Used, after repair . . . . .	62
4. Found fast, requiring billing adjustment . . . . .	1
<b>B. Number of Meters in Service Since Last Test</b>	
1. Ten years or less . . . . .	98,212
2. More than 10, but less than 15 years . . . . .	37,283
3. More than 15 years . . . . .	6,477



**SCHEDULE D-7**  
**Water delivered to Metered Customers by Months and Years in 1000 Gallons (Unit Chosen)<sup>1</sup>**

Classification of Service	During Current Year												Subtotal	
	January	February	March	April	May	June	July	August	September	October	November	December		
Commercial	1,614,691	1,310,174	1,519,557	1,436,005	2,117,729	2,284,917	2,356,895	12,639,968						
Industrial	47,287	47,697	43,993	53,574	52,374	57,426	51,722	354,074						
Public authorities	67,042	85,295	61,695	112,266	191,793	217,416	240,253	975,760						
Irrigation	12,100	277	8,118	1,720	14,507	18,915	2,042	57,679						
Other (Metered Private Fire)	1,267	4,841	3,307	6,027	16,046	16,453	15,498	63,438						
Other (Sales for Resales)	-	44	-	52	-	40	-	136						
Other (Company Account)	-	-	-	-	-	-	-	-						
<b>Total</b>	<b>1,742,387</b>	<b>1,448,328</b>	<b>1,636,670</b>	<b>1,609,644</b>	<b>2,392,449</b>	<b>2,595,168</b>	<b>2,666,410</b>	<b>14,091,055</b>						
Classification of Service	During Current Year												Total	
	August	September	October	November	December	Subtotal	Total	Prior Year						
Commercial	2,851,575	2,916,069	2,342,981	2,087,786	1,741,051	11,939,461	24,579,429	26,178,002						
Industrial	64,171	60,825	52,640	48,841	51,123	277,600	631,674	654,862						
Public authorities	298,622	317,203	246,995	206,400	119,446	1,188,666	2,164,426	2,620,520						
Irrigation	23,993	34,298	25,926	2,385	13,708	100,310	157,989	304,676						
Other (Metered Private Fire)	17,278	7,576	17,146	5,717	4,856	52,573	116,011	76,282						
Other (Sales for Resales)	31	-	25	-	-	56	192	391						
Other (Company Account)	-	-	-	-	-	-	-	2,679						
<b>Total</b>	<b>3,255,670</b>	<b>3,335,971</b>	<b>2,685,713</b>	<b>2,351,129</b>	<b>1,930,184</b>	<b>13,558,667</b>	<b>27,649,722</b>	<b>29,837,412</b>						

<sup>1</sup> Quantity units to be in hundreds of cubic feet, thousands of gallons, acre-feet, or miner's inch-days.

Total acres irrigated \_\_\_\_\_ Total population served 531,076



**SCHEDULE E-1  
Balancing and Memorandum Accounts**

Line No.	Description (a)	Authorized by Decision or Resolution No. (b)	Beginning of Year Balance (c)	Offset Revenues (d)	Offset Expenses (e)	Interest (f)	Surcharge (g)	Surcredit (h)	End of Year Balance (i)
1	Coronado Credit Card Payments	AL640A	(19,279)			(91)		(12,810)	(32,180)
2	Coronado Purchase Water BA	AL623	363,610		1,610	1,608			366,828
3	LA Credit Card Payments	AL640A	(21,088)			(97)		(12,837)	(34,922)
4	LA Purchase Water & Power BA	AL624	727,405		497,115	4,978			1,229,498
5	LA WRAM/CBA		11,516		2,266,366	4,204			2,282,086
6	Larkfield Credit Card Payments	AL640A	(1,616)		(2,070)	(7)		(1,322)	(2,945)
7	Larkfield Purchase Wtr & Pwr BA	AL627	72,210			284			70,404
8	LA Water Contamination Lawsuit vs Adler	Res W-4528/AL580	1,138,104			4,631			1,142,735
9	Monterey Carmel River Dam Abandon Proj	D06-11-050	1,686,409			5,160	(902,983)		788,586
10	Monterey Coastal Water Project-Pre2005	D06-11-050	12,690,064		(522,308)	48,514	(2,830,442)		9,365,828
11	Monterey Coastal Water Project-Pre2007	D06-11-050	5,571,881		6,084,074	33,784			11,669,739
12	Monterey Credit Card Payments	AL640A	(34,501)			(157)		(20,705)	(55,363)
13	Monterey ESA Memorandum Account	D06-11-050	1,543,666		128,484	6,993			1,679,143
14	Monterey Expense Balancing Account	D06-11-050	46,543			179			46,722
15	Monterey NOAA Settlement	D06-11-050	7,700,000						7,700,000
16	Monterey Pension Surcharge	D06-11-050	(354,654)	614,120		395			259,861
17	Monterey Purchased Power BA	AL625	630,565		(102,533)	3,037			531,069
18	Monterey San Clemente Dam Proj	D06-11-050	15,344,959		(462,737)	1,509,666			16,391,888
19	Monterey Seaside Groundwater Basin	AL644	1,313,333		203,087	6,885			1,523,305
20	Monterey WRAM	AL645	(910,881)	1,298,390	(221,643)	93		621,851	787,810
21	Sacramento Credit Card Payments	AL640A	(50,574)			(246)		(38,778)	(89,598)
22	Sacramento Interim True Up	D08-05-018	2,784,419			6,335	(2,565,932)		204,822
23	Larkfield Interim True Up	D08-05-018	263,892			804	(271,880)		(7,384)
24	Low Income Program	D08-11-052 AL659	(59,137)			27		200,762	141,652
25	Sacramento Purchase Wtr & Pwr BA	AL648	618,829	135,407		2,826			757,062
26	Village Credit Card Payments	AL640A	(14,027)			(73)		(9,816)	(23,916)
27	Village Purchase Water & Pwr BA	AL623	1,004,636	148,875		4,808			1,158,319
28	Deferred OPEB and Pension	AL798	-	919,748	(39,564)	335			880,519
29	Monterey MP/WMD User Fee	D09-07-021	-		1,478,458				1,478,458
30	Monterey Ambler WRAM/CBA	D09-07-021	-	55,059		11			65,070
31	Monterey ASR & Patton Well Projects	AL783/792	-	6,367,014		55,723			6,422,737
32	Monterey Seaside Adjudication Costs	D09-07-021	-	2,510,923		1,378	(79,060)		2,433,241
33	Deferred Interest Expense	AL820	-	118,611					118,611
34	Coronado WRAM/CBA		-	190,788		1,234			192,022
35	Village WRAM/CBA		-	(555,805)		484			(555,341)
36	Larkfield WRAM/CBA		-	434,902		501			435,403
37	Monterey Interim Rates	D09-07-021	-	6,876,419		4,734			6,881,153
38									
39									
40									
41									
42									
43									
44									

Note 1: For Columns d, e, f, g, & h, provide those amounts booked in the current year.

Note 2: The detail for each individual account includes the Beginning of Year Balance, End of Year Balance, each Offset Expense adjustment during the year, each Offset Revenue adjustment during the year, each Surcharge adjustment during the year, each Surcredit adjustment during the year, and the decision or resolution number associated with each item of detail.

**Schedule E- 2**  
**Description of Low-Income Rate Assistance Program(s)**

For all low income rate assistance programs offered by water utility, provide detailed responses to the following items:

1. Brief description of each low-income rate assistance program provided, by district. This shall include but is not limited to the percent of discount, the dollar amount of discount, what rate is discounted (service charge, quantity, or total bill), qualifying income level, dollar rate increase to remaining customers to pay for this program.

**Coronado** - Qualifying customers receive a \$5.50 monthly discount on the bill.

**Larkfield** - Qualifying customers receive an \$8.50 monthly discount on the bill.

**Los Angeles** - Qualifying customers receive a \$6.50 monthly discount on the bill.

**Monterey<sup>(1)</sup>** - Customers under the low-income program are exempt from paying the meter charge. At December 31, 2009, the charge for a 5/8" meter was \$7.24.

**Sacramento** - Low-income customers receive a \$5.00 monthly discount on the bill.

**Village** - Low-income customers receive a \$8.50 monthly discount on the bill.

(1) The Commission adopted a new low-income monthly discount in D.09-07-021 as follows:

1-4 people: \$8.00

5-8 people: \$12.00

> 8 people: \$16.00

However, this new discount did not take effect until Feb 1, 2010 when the new rate design was rolled out to the entire district.

2. Participation rate for Year 2009 (as a percent of total customers served) at 12/31/09

<b>Coronado<sup>(2)</sup></b>	0.93%
<b>Larkfield</b>	12.07%
<b>Los Angeles</b>	5.75%
<b>Monterey</b>	3.51%
<b>Sacramento</b>	1.26%
<b>Village<sup>(2)</sup></b>	0.99%

(2) These programs were not initiated until the end of 2008/early 2009.

3. Detail of balancing or memorandum account authorized to record expenses incurred and revenues collected for low income rate assistance program.

as of December 31, 2009					
	Type of Account	Expenses Incurred	Revenues Lost	Interest Accrued	Net Account
<b>Coronado</b>	M/A	\$710	\$6,435	(\$182)	\$6,963
<b>Los Angeles</b>	B/A	\$19,029	\$87,263	(\$2,707)	\$103,584
<b>Village</b>	M/A	\$706	\$9,695	(\$265)	\$10,136
<b>Monterey</b>	None			\$0	\$0
<b>Larkfield</b>	B/A	\$383	\$7,421	(\$199)	\$7,605
<b>Sacramento</b>	B/A	\$4,504	\$215,236	(\$5,597)	\$214,143
<b>TOTAL</b>		\$25,332	\$326,049	(\$8,950)	\$342,431

M/A: Memorandum Account

B/A: Balancing Account

**Schedule E- 3**  
**Description of Water Conservation Program(s)**

For all water conservation programs offered by water utility, provide detailed responses to the following items:

**See Attachments for various Districts**

1. Brief description of each water conservation program provided, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers) and length of time it was offered.
2. Discuss how is each water conservation assistance program funded, for example, through rates charged to all customers, rates charged to customer receiving water conservation assistance, shareholder contribution, community funding, government funding, or other funding method. Explain why this type of funding was used.
3. Cost of each program.
4. The degree of participation in each district by customer group.

## Schedule E- 4 Report on Affiliate Transactions

**Affiliate includes all related companies including but not limited to Parent, Affiliates, and Subsidiaries.**

**INSTRUCTIONS:**

- \* For those utilities with specifically authorized affiliate transaction rules, provide all information required by those rules.
- \* For those utilities with no specifically authorized affiliate transaction rules, or those utilities whose authorized affiliate transaction rules do not provide the following information, provide the following:

1. Summary of all transactions between regulated water utility and its affiliated companies for the previous calendar year. The summary shall include a description of each transaction and an accounting of all dollars associated with each transaction although each transaction need not be separately identified where multiple transactions occur in the same account. These transactions shall include:

(a) services provided by regulated water utility to any affiliated company;

Rental Space in Ventura for Service Line Protection program (American Water	\$3,024
---	---------

(b) services provided by any affiliated company to regulated water utility;

Governance & Corporate Activities (includes finance, HR, admin, audit, legal, business development, finance, investor relations, operations, communications and regulatory)	\$5,480,914
Other Business Services (includes call center, lab, supply chain, IT, shared services & benefits center)	\$6,213,593
<b>Total</b>	<b>\$11,694,507</b>

(c) assets (both tangible and intangible) transferred from regulated water utility to any affiliated company;  
None

(d) assets (both tangible and intangible) transferred from any affiliated company to regulated water utility;  
None

(e) employees transferred from regulated water utility to any affiliated company;  
None

(f) employees transferred from any affiliated company to regulated water utility; and  
Three

(g) financing arrangements and transactions between regulated water utility and any affiliated company.

All financing activities are covered by an agreement between American Water Capital Corporation (AWCC) and California-American Water Company.

**CLASS A, B, C AND D WATER COMPANIES  
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) or Safe Drinking Water State Revolving Fund loan surcharge collection for the calendar year. Please use one page per loan.

1. Current Fiscal Agent:

Name:	<u>Bank of America, N.A.</u>
Address:	<u>1655 Grant Street Bldg. A-10th Floor, Concord, CA 94520-2445</u>
Phone Number:	<u>1-888-400-9009</u>
Account Number:	<u>1499005090</u>
Date Hired:	<u>4/26/1995</u>

2. Total surcharge collected from customers during the 12 month reporting period:

	Meter Size	No. of Metered Customers	Monthly Surcharge Per Customer
N/A	3/4 inch		
	1 inch		
	1 1/2 inch		
	2 inch		
	3 inch		
	4 inch		
	6 inch		
	Number of Flat Rate Customers		
	<b>Total</b>		0

3. Summary of the bank account activities showing:

Balance at beginning of year	<u>\$29</u>
Deposits during the year	<u>0</u>
Interest earned for calendar year	<u>0</u>
Withdrawals from this account	<u>29</u>
Balance at end of year	<u>0</u>

4. Reason or Purpose of Withdrawal from this bank account:

Account closed in 2009.

## FACILITIES FEES DATA

Please provide the following information relating to Facilities Fees for districts or subsidiaries servicing 2,000 or fewer connections for the calendar year. (Per D.91-04-068)

1. Trust Account Information:

Bank Name: None  
 Address: \_\_\_\_\_  
 Account Number: \_\_\_\_\_  
 Date Opened: \_\_\_\_\_

2. Facilities Fees collected for new connections during the calendar year:

**A. Commercial**

NAME	AMOUNT
None	\$
_____	\$
_____	\$
_____	\$

**B. Residential**

NAME	AMOUNT
None	\$
_____	\$
_____	\$
_____	\$

3. Summary of the bank account activities showing:

Balance at beginning of year	\$ _____
Deposits during the year	_____
Interest earned for calendar year	_____
Withdrawals from this account	_____
Balance at end of year	_____

4. Reason or Purpose of Withdrawal from this bank account:

\_\_\_\_\_  
 \_\_\_\_\_



**DECLARATION**

(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)

I, the undersigned Robert MacLean - President  
Officer, Partner or Owner

of California-American Water Company  
Name of Utility

under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period of January 1, 2009, through December 31, 2009.



Signature

Robert MacLean - President

Title

5/26/10

Date

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