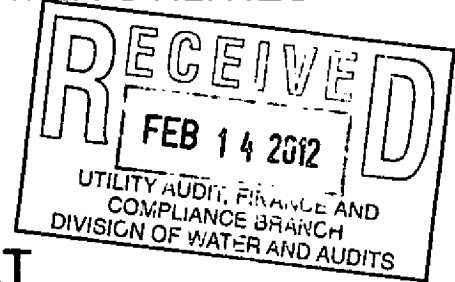


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**CLASS D  
WATER UTILITIES**

U# 397-WTD



**2011  
ANNUAL REPORT  
OF**

LEWISTON VALLEY WATER COMPANY, INC.

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

LEWISTON VALLEY WATER COMPANY, INC.

POST OFFICE BOX 101, LEWISTON, CA  
(OFFICIAL MAILING ADDRESS)

96052-0101  
ZIP

**TO THE  
PUBLIC UTILITIES COMMISSION  
STATE OF CALIFORNIA  
FOR THE YEAR ENDED DECEMBER 31, 2011**

**REPORT MUST BE FILED NOT LATER THAN APRIL 2, 2012**

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**SUPPLEMENT TO PUC REPORT FOR CALENDAR YEAR 2011  
(WATER AND SEWER OPERATIONS)**

**LEWISTON VALLEY WATER COMPANY, INC.  
P. O. BOX 101, LEWISTON, CA 96052**

**GENERAL INFORMATION:**

**OFFICERS AND DIRECTORS OF CORPORATION**

President	Linda Scribner
Vice-president	Gerald W. Bedell
Secretary/Treasurer	Chris Erikson

**PERSON DESIGNATED TO RECEIVE OFFICIAL CORRESPONDENCE**

Chris Erikson, Secretary  
P. O. Box 101, 4831 B Trinity Dam Blvd.  
Lewiston, CA 96052-0101  
(530) 778-0306

Person responsible for water and sewer operations:  
Chris Erikson, Manager - Address as above

**LICENSED OPERATORS**

John C. (Chris) Erikson  
Water Treatment Operator, Grade T2 - #20079  
Water Distribution Operator, Grade D1 - #20780  
Wastewater Treatment Plant Operator - Grade 1 - #1-8994

Gerald W. Bedell  
Water Treatment Operator, Grade T1 - #11922

Lorraine H. Dusi  
Water Treatment Operator, Grade T1 - #24833

**PERSONS OWNING MORE THAN 5% OF OUTSTANDING STOCK**

Linda Scribner, President	125 shares	12.50%
Gerald W. Bedell, Vice-president	125 shares	12.50%
Chris Erikson, Sec/Treas	125 shares	12.50%
Paul Scribner,	125 shares	12.50%
Mark Pederson and Carol Pederson, JT.	125 shares	12.50%
Kurt Myron	125 shares	12.50%
Peter Lutka	125 shares	12.50%

**ADDITIONAL STOCKHOLDERS**

Fred Bergstrom	42 shares	4.20%
Enrico Raffanti	42 shares	4.20%
Gary Carter	41 shares	4.10%
		100.00%

**CLASS D WATER UTILITIES**  
(HAVING LESS THAN 500 SERVICE CONNECTIONS)

LEWISTON VALLEY WATER COMPANY, INC.

(Name under which corporation, partnership or individual is doing business)

POST OFFICE BOX 101, LEWISTON, CA 96052

(Official mailing address)

LEWISTON, TRINITY COUNTY

(Service Area - Town and County)

Telephone Number: (530) 778-0306 Fax Number: \_\_\_\_\_

Email Address: erikson@com-pair.net

**GENERAL INFORMATION**

(Attach a supplementary statement, if necessary)  
RETURN ORIGINAL TO COMMISSION, NO PHOTOCOPIES.

1. If a corporation show:  
 (A) Date of organization 14-Sep-90 incorporated in the State of California  
 (B) Names, titles and addresses of principal officers: See attached Supplemental sheet
2. If unincorporated provide the name and address of the owner(s) or the partners:  
N/A
3. Name, title, and telephone number of:  
 (A) One person listed above to receive correspondence: See attached supplemental sheet  
 (B) Person responsible for operations and services: See attached supplemental sheet
4. Were any contracts or agreements in effect with any organization or person covering service, supervision and/or management of your business affairs during the year? (Yes or No) NO  
 If so, what was the nature and the amount of each payment made under the agreement, to whom were payments made, and to what account was each payment charged? N/A
5. State the names of associated companies or persons which, directly or indirectly, or through one or more intermediaries, control, or are controlled by, or are under common control with respondent: NONE

**PUBLIC HEALTH STATUS**

6. Has state or local health department inspection been made during the year?
7. Are routine laboratory tests of water being made?
8. Has state health department water supply permit been obtained? (Indicate date)
9. If no permit has been obtained, state whether application has been made and when.
10. Show expiration date if state permit is temporary.
11. List Name, Grade, and License Number of all Licensed Operators:

Yes	No	Latest Date
		13-Apr.-10
X		
X		25-Feb-94
N/A		
N/A		

See attached supplemental sheet

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**Excess Capacity and Non-Tariffed Services**

NOTE: In D.00-07-018, D.03-04-028, and D. 04-12-023, the CPUC set forth rules and requirements regarding water utilities provision of non-tariffed services using excess capacity. These decisions require water utilities to: 1) file an advice letter requesting Commission approval of that service, 2) provide information regarding non-tariffed goods/services in each company's Annual Report to the Commission.

Based on the information and filings required in D.00-07-018, D.03-04-028, and D.04-12-023, provide the following information by each individual non-tariffed goods and services provided in calendar year 2011:

Applies to All Non-Tariffed Goods/Services that require Approval by Advice Letter											
Row No.	Description of Non-Tariffed Good/Service	Active or Passive (by account)	Total Revenue Derived From Non-Tariffed Goods/ Services (by account)	Revenue Account Number	Total Expenses Incurred to Provide Non-Tariffed Goods/ Services (by Account)	Expense Account Number	Advice Letter and/or Resolution Number Approving Non-Tariffed Goods/ Services	Total Income Tax Liability Incurred Because of Non-Tariffed Goods/ Services (by Account)	Income Tax Liability Account Number	Gross Value of Regulated Assets Used in the Provision of a Non-Tariffed Goods/ Services (by Account)	Regulated Asset Account Number

**FOR ALL WATER COMPANIES  
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) or Safe Drinking Water State Revolving Fund loan surcharge collection for the calendar year. Please use one page per loan.

1. Current Fiscal Agent:

Name: NONE  
 Address: \_\_\_\_\_  
 Phone Number: \_\_\_\_\_  
 Account Number: \_\_\_\_\_  
 Date Hired: \_\_\_\_\_

2. Total surcharge collected from customers during the 12 month reporting period:

\$ \_\_\_\_\_

Meter Size	No. of Metered Customers	Monthly Surcharge Per Customer
3/4 inch		
1 inch		
1 1/2 inch		
2 inch		
3 inch		
4 inch		
6 inch		
Number of Flat Rate Customers		
<b>Total</b>		

3. Summary of the bank account activities showing:

Balance at beginning of year	\$ 100.00
Deposits during the year	\$140,500.00
Interest earned for calendar year	\$0.00
Withdrawals from this account	\$140,000.00
Balance at end of year	\$100.00

4. Reason or Purpose of Withdrawal from this bank account:

PAY WATER WORKS ENGINEERS FOR FEASIBILITY STUDY PROPOSITION 84 GRANT. WORK COMPLETED IN 2011. LAST FUNDING RECEIVED IN 2012. LAST PAYMENT IN 2012. TOTAL AMOUNT OF GRANT \$1243

**FOR ALL WATER COMPANIES**  
**SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA (Continued)**  
 FEASIBILITY STUDY TO ADDRESS ARSENIC IN DRINKING WATER. NO EQUIPMENT PURCHASED.

5. Plant amounts included in Schedule A-1a, Account No. 101—Water Plant in Service which were funded using SDWBA or SRF funds: NONE

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits* or (Credits) (e)	Balance End of Year (f)
1		<b>NON-DEPRECIABLE PLANT</b>	NONE				
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		<b>DEPRECIABLE PLANT</b>	NONE				
6	304	Structures					
7	307	Wells					
8	317	Other water source plant					
9	311	Pumping equipment					
10	320	Water treatment plant					
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service					

**SCHEDULE A - BALANCE SHEET (AS OF DECEMBER 31, 2011)**

Line	Acct.	Assets	Balance	Acct.	Equity and Liabilities	Balance
1	101	Water plant in service	128,072	201	Common Stock (Corporations only)	14,600
2	101.1	SDWA Plant	0	211	Other paid-in capital (Corporations only)	31,987
3	103	Water plant held for future use	5,662	215	Retained earnings	60,087
4	104	Water plant purchased or sold	0	218	Proprietary capital	
5	105	Water plant construction work in progress	0	224	Long term debt	
6	108	Accumulated depreciation of water plant	47,483		Current Liabilities	
7	114	Water plant acquisition adjustments		252	Advances for construction	26,410
8	124	Other investments Certificate of deposit	10,442	253	Other deferred credits	
9	131	Cash	12,244	255	Accumulated deferred investment tax credits	
10	141	Accounts receivable - customers	0	282	Accumulated deferred income taxes - ACRS	
11	142	Receivables from associated companies	0		depreciation	
12	151	Materials and supplies	0	283	Accumulated deferred income taxes - other	
13	174	Other current assets - sewer system	34,515	271	Contributions in aid of construction	10,368
14	180	Deferred charges	0	272	Accumulated amortization of contributions	
15		<b>Total Assets</b>	<b>143,452</b>		<b>Total Equity and Liabilities</b>	<b>143,452</b>

**SCHEDULE B - WATER PLANT IN SERVICE**

Line	Acct	Title of Account	Balance Beg of Year	Prt Additions During year	Prt Retirements During year	Other Debits or (Credits)	Balance End of year
1	301	Intangible plant	12,027				12,027
2	303	Land	0				0
3	304	Structures	5,528				5,528
4	307	Wells	0				0
5	317	Other water source plant	546				546
6	311	Pumping equipment	10,342				10,342
7	320	Water treatment plant	11,201				11,201
8	330	Reservoirs tanks and sandpipes	17,230				17,230
9	331	Water mains	61,488	3,292			64,778
10	333	Services and meter installations	966				966
11	334	Meters	1,446				1,446
12	335	Hydrants	2,958				2,958
13	339	Other equipment	1,050				1,050
14	340	Office furniture and equipment	0				0
15	341	Transportation equipment	0				0
16		<b>Total water plant in service</b>	<b>124,780</b>	<b>3,292</b>			<b>128,072</b>

\* Debit or credit entries should be explained by footnotes or supplementary schedules

**SCHEDULE C - RESERVE FOR DEPRECIATION OF UTILITY PLANT**

Line	Item	Account 106 Water Plant	Account 106.1 SDWBA Loans	
1	Balance in reserves at beginning of year	41,142.80		A. Method used to compute depreciation
2	Add: Credits to reserves during year			expense (Acct. 403) and rate.
3	(a) Charged to Account No. 272			STRAIGHT LINE
4	(b) Charged to Account No. 403	6339.93		
5	(c) Charged to Account No. 407			B. Amount of depreciation expense claimed
6	(d) Salvage recovered			or to be claimed on utility property in
7	(e) All other credits			your federal income tax return for the year
8	<b>Total credits</b>	<b>6339.93</b>		covered by this report \$
9	Deduct: Debits to reserves during year			FISCAL YEAR 2010-2011
10	(a) Book cost of property retired			4866.09
11	(b) Cost of removal			C. State method used to compute tax
12	(c) All other debits			depreciation STRAIGHT LINE
13	<b>Total debits</b>	<b>0</b>		
14	Balance in reserve at end of year	47482.73		
15	(1) Explanation of all other credits			
16	(2) Explanation of all other debits			



**SCHEDULE D - CAPITAL STOCK OUTSTANDING (AS OF DECEMBER 31, 2011)**

Line						
1	Common - (Shares	1,000	\$	14.60	par)	List persons owning more than 5% of outstanding stock and number of shares owned by each:
2	Preferred - (Shares		\$		par)	
3	Dividends - Common	Rate - \$				SEE ATTACHED SUPPLEMENTAL SHEET
4	- Preferred	Rate - \$				

**SCHEDULE E - LONG-TERM DEBTS**

	Class	Date of Issue	Date of Maturity	Principal Amount Authorized	Outstanding Per Balance Sheet	Rate of Interest	Interest Accrued During Year	Interest Paid During Year
1	NONE							
2								
3								
4	Total							

**SCHEDULE F - INCOME STATEMENT**

1	Acct.	<b>Operating revenues</b>	
2	460	Unmetered water revenue	0
3	462	Fire protection revenue	0
4	465	Irrigation revenue	0
5	470	Metered water revenue	32,827.56
6	475	Approved Total Surcharge Revenue(s)	0.00
7	480	Other water revenue	0.00
8		<b>Total Revenue Received</b>	32,827.56
9		Less: Revenue Deductions	0.00
10		SDWBA/SRF surcharge revenue	0.00
11		<b>Total Operating Revenue</b>	32,827.56
12		<b>Operating expenses</b>	
13	610	Purchased water	
14	615	Purchased power	3,399.97
15	618	Other volume related expenses	0.00
16	630	Employee labor	11,794.60
17	640	Materials	3,818.00
18	650	Contract work	0.00
19	660	Transportation expenses	614.19
20	664	Other plant maintenance expense	1,677.66
21	670	Office salaries	0.00
22	671	Management salaries	0.00
23	674	Employee pensions and benefits	0.00
24	676	Uncollectible accounts expense	0.00
25	678	Office services and rentals	2,808.00
26	681	Office supplies and expense	550.74
27	682	Professional services	0.00
28	684	Insurance	1,818.79
29	688	Regulatory commission expense	468.45
30	689	General expenses	0.00
31		<b>Total Operating Expenses</b>	26,950.40
32	403	Depreciation expense	6,339.93
33	407	SDWBA loan amortization expense	0.00
34	408	Taxes other than income taxes	1,288.55
35	409	State income tax expense	480.00
36	410	Federal income tax expense	37.16
37		<b>Total Operating Revenue Deductions</b>	35,096.04
38		Utility Operating Income	32,827.56
39	421	Non-utility income	79.09
40	426	Miscellaneous non-utility expense	0.00
41	427	Interest expense	0.00
42		<b>Net Income</b>	-2,189.39

**SCHEDULE G - SOURCES OF SUPPLY AND WATER DEVELOPED WELLS**

Location	No.	Diam. Inch	Depth to Water Feet	Pumping Capacity (g.p.m.)	Annual Quantities Pumped

**OTHER**

Streams or Springs Location of Diversion Point	Flow in Priority Right		gallons/min (Unit) Diversions		Annual Quantities Diverted
	Claim	Capacity	Max	Min	Unit
Trinity River	0.75 cfs	0.28 cfs	125	125	39.47 Ac.Ft.
Purchased water (unit) Supplier:				Annual Quantity	

**SCHEDULE H - WATER DELIVERED TO METERED CUSTOMERS**

(If figures are available) (specify unit)

Classification of Service	Month of Year		Total for Year
	Maximum	Minimum	
Residential			
Commercial			
Industrial			
Fire Protection			
Irrigation			
Other (specify)			
Total			

**SCHEDULE I - EMPLOYEES AND THEIR COMPENSATION**

Line	Acct	Account	Number at End of Year	Salaries Charged to Expense	Salaries Charged to Plant Accounts	Total Salaries and Wages Paid
1	630	Employee Labor	3pt	11794.6		11794.6
2	670	Office salaries	0			
3	671	Management salaries	0			
4		Total	3pt	11794.6		11794.6

**SCHEDULE J - ADVANCES FOR CONSTRUCTION**

Balance beginning of year	26410.39
Additions during year	
Subtotal - Beginning balance plus additions during year	26410.39
Refunds	
Transfers to Acct. 271 - Contributions in Aid of Construction	
Balance end of year	26410.39

**SCHEDULE K - TOTAL METERS  
AND SERVICES (Active and Inactive)**

Size	Meters	Services
5/8 x 3/4-in	40	38
3/4-in	3	3
1-in	3	3
-in	2	2
-in		
<b>Total</b>	<b>48</b>	<b>48</b>

**SCHEDULE L - METER-TESTING DATA**

Number of meters tested during year		
1	Used, before repair	0
2	Used, after repair	0
3	Fast, requiring refund	0
Numbers of meters in service requiring test per General Order No. 103 .....		0

**SCHEDULE M - SERVICE CONNECTIONS AT END OF YEAR**

Classification	Active			Inactive			Total connections	
	Metered	Flat	Total	Metered	Flat	Total	Metered	Flat
Residences	29		29	3			32	
Industrial/Commercial	14		14				14	
Irrigation								
Fire Protection (public)								
Fire Protection (private)								
Other (specify)								
<b>Total</b>	<b>43</b>		<b>43</b>	<b>3</b>			<b>46</b>	

NOTE: Total connections (metered plus flat) should agree with total services in Schedule K.

**SCHEDULE N - STORAGE FACILITIES**

**SCHEDULE O - FOOTAGES OF PIPE (EXCLUDING SERVICE PIPES)**

Description	No.	Combined capacity in gallons	Description	2" and	2 1/4 to	4"	Other sizes (specify)	Totals
				under	3 1/4			
Concrete	1	20000	Cast Iron					
Earth			Welded steel				240	4200
Wood			Standard screw					
Steel	1	42000	Cement-asbestos					
Other			Plastic	685		390	3130	4225
			Other (specify)					
<b>Total</b>	<b>2</b>	<b>62000</b>	<b>Total</b>	<b>685</b>		<b>390</b>	<b>3370</b>	<b>8425</b>

**FACILITY FEES DATA**

Please provide the following information relating to Facility Fees collected for the calendar year 2011, pursuant to Resolution No. W-4110.

1. Trust Account Information:

Bank Name:	<u>NORTH VALLEY BANK</u>
Address:	<u>311 MAIN STREET, WEAVERVILLE, CA 96093</u>
Account Number:	<u>Certificate of Deposit # 101156411</u>
Date Opened:	<u>4-Apr-11</u>

2. Facilities Fees collected for new connections during the calendar year:

A. Commercial

<u>NAME</u>	<u>AMOUNT</u>
<u>none</u>	<u>\$</u>
<u></u>	<u>\$</u>
<u></u>	<u>\$</u>
<u></u>	<u>\$</u>

B. Residential

<u>NAME</u>	<u>AMOUNT</u>
<u>none</u>	<u>\$</u>
<u></u>	<u>\$</u>
<u></u>	<u>\$</u>
<u></u>	<u>\$</u>

3. Summary of the bank account activities showing:

	<u>AMOUNT</u>
Balance at beginning of year	<u>7,868.41</u>
Deposits during the year	<u>2,494.74</u>
Interest earned for calendar year	<u>79.09</u>
Withdrawals from this account	<u>0.00</u>
Balance at end of year	<u>10,442.24</u>

4. Reason or Purpose of Withdrawal from this bank account:

N/A

**DECLARATION**

**(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)**

I, the undersigned \_\_\_\_\_ **SECRETARY/TREASURER/MANAGER**  
Officer, Partner, or Owner (Please Print)

of \_\_\_\_\_ **LEWISTON VALLEY WATER COMPANY, INC.**  
Name of Utility

under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period of January 1, 2011, through December 31, 2011.

\_\_\_\_\_  
**JOHN C. ERIKSON**

Title (Please Print)

\_\_\_\_\_  
  
Signature

\_\_\_\_\_  
**(530) 778-0306**

Telephone Number

\_\_\_\_\_  
**FEB. 7, 2012**

Date