

Received  
Examined

CLASS D  
WATER UTILITIES

# 83W

2000  
ANNUAL REPORT  
OF

RR LEWIS SMALL WATER COMPANY

4500 E. FREMONT STREET

STOCKTON, CA

95215

TO THE  
PUBLIC UTILITIES COMMISSION  
STATE OF CALIFORNIA  
FOR THE  
YEAR ENDED DECEMBER 31, 2000

# INSTRUCTIONS

## FOR PREPARATION OF

### SELECTED FINANCIAL DATA SHEET

#### FOR CLASS A, B, C AND D, WATER UTILITIES

To prepare the attached data sheets, refer to the Balance Sheet, Income Statement and supporting detail schedules in the annual report for the required data.

Please follow the instruction below:

- 1 Common plant allocated to water should be indicated with water plant figures in Net Plant Investment.
- 2 The capitalization section for those reporting on both California Only and Total System Forms should be identical and completed with Total Company Data. Be sure that Advances for Construction include California water data only.
- 3 Complete the operation section with California water revenues and expenses, but if necessary, remove interdepartmental revenues and expenses.
- 4 All other utility and nonutility revenues and expenses are netted on Line No. 38

6. Plant amounts included in Schedule A-1 a, Account No. 101--Water Plant in Service which were funded using SDWBA funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits* or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		DEPRECIABLE PLANT					
6	304	Structures					
7	307	Wells					
8	317	Other water source plant					
9	311	Pumping equipment					
10	320	Water treatment plant					
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service					

NOT APPLICABLE

**CLASS B, C AND D WATER COMPANIES  
SAFE DRINKING WATER BOND ACT DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) loan outstanding during the 12 month reporting period. (Use one sheet for each loan.)

1. Current Fiscal Agent:

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
Phone Number: \_\_\_\_\_  
Date Hired: \_\_\_\_\_

2. Former Fiscal Agent:

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
Phone Number: \_\_\_\_\_  
Date Hired: \_\_\_\_\_

*NOT APPLICABLE*

3. Total surcharge collected from customers during the 12 month reporting period:

\$ \_\_\_\_\_

4. Summary of the trust bank account activities showing:

Balance at beginning of year	\$ _____
Deposits during the year	_____
Withdrawals made for loan payments	_____
Other withdrawals from this account	_____
Balance at end of year	_____

5. Account information:

Bank Name: \_\_\_\_\_  
Account Number: \_\_\_\_\_  
Date Opened: \_\_\_\_\_

SELECTED FINANCIAL DATA - CLASS A, B, C AND D WATER COMPANIES  
2000

NAME OF UTILITY RR LEWIS SMALL WATER PHONE 209/948-8817

PERSON RESPONSIBLE FOR THIS REPORT L OSTRUM  
(Prepared from Information in the 2000 Annual Report)

	1/1/00	12/31/00	Average
<b>BALANCE SHEET DATA</b>			
1 Intangible Plant			
2 Land and Land Rights			
3 Depreciable Plant			
4 Gross Plant in Service	<u>266695</u>	<u>280082</u>	<u>273388.50</u>
5 Less: Accumulated Depreciation	<u>206748</u>	<u>221301</u>	<u>214024.50</u>
6 Net Water Plant in Service	<u>59947</u>	<u>58781</u>	<u>59364</u>
7 Water Plant Held for Future Use			
8 Construction Work in Progress			
9 Materials and Supplies	<u>3095</u>	<u>2110</u>	<u>2603</u>
10 Less: Advances for Construction	<u>( )</u>	<u>( )</u>	<u>( )</u>
11 Less: Contribution in Aid of Construction	<u>( )</u>	<u>( )</u>	<u>( )</u>
12 Less: Accumulated Deferred Income and Investment Tax Credits	<u>( )</u>	<u>( )</u>	<u>( )</u>
13 Net Plant Investment	<u>63042</u>	<u>60891</u>	<u>61966.50</u>
<b>CAPITALIZATION</b>			
14 Common Stock			
15 Proprietary Capital (Individual or Partnership)	<u>6545</u>	<u>6545</u>	<u>6545</u>
16 Paid-in Capital			
17 Retained Earnings	<u>&lt;21234&gt;</u>	<u>&lt;21197&gt;</u>	<u>&lt;21216&gt;</u>
18 Common Stock and Equity (Lines 14 through 17)	<u>&lt;14689&gt;</u>	<u>&lt;14652&gt;</u>	<u>&lt;14671&gt;</u>
19 Preferred Stock			
20 Long-Term Debt	<u>46235</u>	<u>46235</u>	<u>46235</u>
21 Notes Payable			
22 Total Capitalization (Lines 18 through 21)	<u>31546</u>	<u>31583</u>	<u>31564.50</u>

(Revised 2/01)

SELECTED FINANCIAL DATA - CLASS A, B, C AND D WATER COMPANIES  
2000

NAME OF UTILITY RR LEWIS SMALL WATER PHONE 209/948-8817

**INCOME STATEMENT**

	Annual Amount
23 Unmetered Water Revenue	<u>15802-</u>
24 Fire Protection Revenue	<u>1060-</u>
25 Irrigation Revenue	<u>0</u>
26 Metered Water Revenue	<u>547-</u>
27 Total Operating Revenue	<u>17409-</u>
28 <u>Operating Expenses</u>	<u>16124-</u>
29 Depreciation Expense (Composite Rate _____)	<u>4063-</u>
30 Amortization and Property Losses	<u>3084-</u>
31 Property Taxes	<u>958-</u>
32 Taxes Other Than Income Taxes	<u>0</u>
33 Total Operating Revenue Deduction Before Taxes	<u>5022-</u>
34 California Corp. Franchise Tax	<u>0</u>
35 Federal Corporate Income Tax	<u>0</u>
36 Total Operating Revenue Deduction After Taxes	<u>5022-</u>
37 Net Operating Income (Loss) - California Water Operations	<u>&lt;1835&gt;</u>
38 Other Operating and Nonoper. Income and Exp. - Net (Exclude Interest Expense)	<u>0</u>
39 Income Available for Fixed Charges	<u>0</u>
40 Interest Expense	<u>0</u>
41 Net Income (Loss) Before Dividends	<u>&lt;1835&gt;</u>
42 Preferred Stock Dividends	<u>0</u>
43 Net Income (Loss) Available for Common Stock	<u>&lt;1835&gt;</u>

**OTHER DATA**

44 Refunds of Advances for Construction	<u>0</u>
45 Total Payroll Charged to Operating Expenses	<u>0</u>
46 Purchased Water	<u>0</u>
47 Power	<u>1426-</u>

<u>Active Service Connections</u>	(Exc. Fire Protect.) _____	Jan. 1	Dec. 31	Annual Average
48 Metered Service Connections		<u>28</u>	<u>28</u>	<u>28</u>
49 Flat Rate Service Connections		<u>81</u>	<u>81</u>	<u>81</u>
50 Total Active Service Connections		<u>109</u>	<u>109</u>	<u>109</u>

**CLASS D WATER UTILITIES**  
(HAVING LESS THAN 500 SERVICE CONNECTIONS)

RR LEWIS SMALL WATER COMPANY

(Name under which corporation, partnership or individual is doing business)

4500 E. FREMONT STREET, STOCKTON, CA 95215

(Official mailing address)

SIERRA CITY, SIERRA COUNTY

(Service area-town and county)

**GENERAL INFORMATION**

RETURN ORIGINAL  
TO COMMISSION  
NO PHOTOCOPIES

- 1 If a corporation show:  
(A) Date of organization N/A incorporated in the State of N/A  
(B) Names, titles and addresses of principal officers:
  
- 2 If unincorporated give the name and address of owner ~~or of each partner~~: OSTROM FAMILY TRUST  
L. OSTROM, TRUSTEE - 4500 E. FREMONT ST., STOCKTON, CA 95215
  
- 3 Name and telephone number of: L. OSTROM 209/948-8817  
(A) One person listed above to receive correspondence:  
(B) Person responsible for operations and services:
  
- 4 Were any contracts or agreements in effect with any organization or person covering service, supervision and/or management of your business affairs during the year? (Yes or No)  
If so, what was the nature and the amount of each payment made under the agreement, to whom were payments made, and to what account was each payment charged?
  
- 5 State the names of associated companies or persons which, directly or indirectly, or through one or more intermediaries, control, or are controlled by, or are under common control with respondent:

**PUBLIC HEALTH STATUS**

- 6 Has state or local health department inspection been made during the year?
- 7 Are routine laboratory tests of water being made?
- 8 Has state health department water supply permit been obtained? (Indicate date)
- 9 If no permit has been obtained, state whether application has been made and when.
- 10 Show expiration date if state permit is temporary.

Yes	No	Latest Date
✓		10/00
✓		12/00
✓		2/94
	N/A	
	N/A	

**SPECIAL INSTRUCTIONS (see following page)**

## SPECIAL INSTRUCTIONS

- 1 Beginning of year balances must agree with end of year balances shown in report for previous year. Attach a supplementary statement to explain any differences.
- 2 Attach a supplementary statement to explain adjustments in Schedule B - Water Plant in Service.
- 3 Complete all schedules, using the words "None" or "Not applicable" if appropriate.
- 4 Report must be prepared legibly in ink or by typewriter.



**SCHEDULE A - BALANCE SHEET (DECEMBER 31, 2000)**

Line	Acct.	Assets	Balance	Acct.	Equity and Liabilities	Balance
1	101	Water plant in service	280082	201	Common Stock (Corporations only)	
2	103	Water plant held for future use		211	Other paid-in capital (Corporations only)	
3	104	Water plant purchased or sold		215	Retained earnings	121197
4	105	Water plant construction work in progress		218	Proprietary capital	6545
5	108	Accumulated depreciation of water plant	213895	224	Long term debt	46235
6	114	Water plant acquisition adjustments			Current Liabilities	7500
7	124	Other investments		252	Advances for construction	
8	131	Cash	1080	253	Other deferred credits	
9	141	Accounts receivable - customers	33	255	Accumulated deferred investment tax credits	
10	142	Receivables from associated companies		282	Accumulated deferred income taxes - Acrs	
11	151	Materials and supplies	2110		depreciation	
12	174	Other current assets		283	Accumulated deferred income taxes - other	
13	180	Deferred charges		271	Contributions in aid of construction	82747
14				272	Accumulated amortization of contributions	54420
15		<b>Total Assets</b>	<b>69410</b>		<b>Total Equity and Liabilities</b>	<b>69410</b>

**SCHEDULE B - WATER PLANT IN SERVICE**

Line	Acct	Title of Account	Balance Beg of Year	Plt Additions During year	Plt Retirements During year	Other Debits or (Credits)	Balance End of year
16	301	Intangible plant					
17	303	Land					
18	304	Structures	2860				2860
19	307	Wells					
20	317	Other water source plant					
21	311	Pumping equipment					
22	320	Water treatment plant					
23	330	Reservoirs tanks and sandpipes	24234				24234
24	331	Water mains	156723	11237			167960
25	333	Services and meter installations	23552				23552
26	334	Meters	5958	1950			7908
27	335	Hydrants	39362				39362
28	339	Other equipment	2360				2360
29	340	Office furniture and equipment					
30	341	Transportation equipment	11846				11846
31		<b>Total water plant in service</b>	<b>266895</b>	<b>13187</b>			<b>280082</b>

\* Debit or credit entries should be explained by footnotes or supplementary schedules

**SCHEDULE C - RESERVE FOR DEPRECIATION OF UTILITY PLANT**

Line	Item	Account 106 Water Plant	Account 106.1 SDWBA Loans	
32	Balance in reserves at beginning of year	214154		A. Method used to compute depreciation
33	Add: Credits to reserves during year			expense (Acct. 403) and rate.
34	(a) Charged to Account No. 403	4063.33		
35	(b) Charged to Account No. 272	3084		
36	(c) Charged to clearing accounts			B. Amount of depreciation expense claimed
37	(d) Salvage recovered			or to be claimed on utility property in
38	(e) All other credits			your federal income tax return for the year
39	Total credits	7147.33		covered by this report \$
40	Deduct: Debits to reserves during year			
41	(a) Book cost of property retired			C. State method used to compute tax
42	(b) Cost of removal			depreciation.
43	(c) All other debits			
44	Total debits	0		
45	Balance in reserve at end of year	221301.33		
46	(1) Explanation of all other credits			
47	(2) Explanation of all other debits			

2029 47480.46 @ 46 = 1048  
 2029 15457 @ 29 = 453  
 2037 2635.21 @ 46 = 21  
 2005 1586 @ 5 = 377.20

2015 22962 @ 15 = 1531  
 2016 11237 @ 15 = 749.13  
 2006 1950 @ 5 = 390.00

**SCHEDULE D - CAPITAL STOCK OUTSTANDING (DECEMBER 31, 20\_\_)**

Line	1	Common - (Shares	\$	par)					
	2	Preferred - (Shares	\$	par)					List persons owning more than 5% of outstanding stock
	3	Dividends - Common	Rate - \$						and number of shares owned by each:
	4	- Preferred	Rate - \$						

**SCHEDULE E - LONG TERM DEBT**

	Class	Date of Issue	Date of Maturity	Principal Amount Authorized	Outstanding Per Balance Sheet	Rate of Interest	Interest Accrued During Year	Interest Paid During Year
5	224 Ostrom Trust	5-93	2010		46235.15	6	0	0
6								
7								
8	Totals							

**SCHEDULE F - INCOME STATEMENT**

9	Operating revenues	XXXXXXXXXX
10	460 Unmetered water revenue	15801.84
11	462 Fire protection revenue	1060.-
12	465 Irrigation revenue	0
13	470 Metered water revenue	546.64
14	480 Other water revenue PUC + 1503.43	1734.38
15	Total Operating Revenue	19310.77
16	Operating revenue deductions	XXXXXXXXXX
17	Operating expenses	XXXXXXXXXX
18	610 Purchased water	0
19	615 Power	1426.04
20	618 Other volume related expenses	0
21	630 Employee labor	0
22	640 Materials	448.30
23	650 Contract work	517.-
24	660 Transportation expenses	418.27
25	664 Other plant maintenance expense	0
26	670 Office salaries	0
27	671 Management salaries	0
28	674 Employee pensions and benefits	0
29	676 Uncollectible accounts expense	0
30	678 Office services and rentals	0
31	681 Office supplies and expense	3313.94
32	682 Professional services	1848.83
33	684 Insurance	2020.00
34	688 Regulatory commission expense	230.95
35	689 General expenses TESTING/PREMIUMS/DOHS	1192.70
36	Total Operating Expenses	16124.33
37	403 Depreciation expense	4063.33
38	407 SDWBA loan amortization expense	0
39	408 Taxes other than income taxes	958.28
40	409 State corporate income tax expense	0
41	410 Federal corporate income tax expense	0
42	Total Operating Revenue Deductions	5021.61
43	Utility Operating Income	
44	421 Non-utility income	
45	426 Miscellaneous non-utility expense	
46	427 Interest expense	
47	Net Income	1835.00

**SCHEDULE G - SOURCES OF SUPPLY AND WATER DEVELOPED WELLS**

Location	No.	Diam. Inch	Depth to water feet	Pumping capacity (g.p.m.)	Annual quantities pumped

**OTHER**

Streams or springs location of diversion point	Flow in		(Unit) Diversions		Annual quantities diverted (Unit)
	Priority right	Claim	Capacity	Max	
1 - NATURAL					
2 - MINING/QUARRY					
Purchased water (unit) Supplier:					Annual quantity

**SCHEDULE H - OPTIONAL WATER DELIVERED TO METERED CUSTOMERS**  
(If figures are available) (specify unit)

Classification of service	Max. mo. Mo. of	Min. mo. Mo. of	Total for year
Residential & business			
Industrial			
Public authorities			
Irrigation			
Other (specify)			
Total			

**SCHEDULE I - EMPLOYEES AND THEIR COMPENSATION**

Line	Acct	Account	Number at end of year	Salaries Charged to Expense	Salaries Charged to Plant Accounts	Total Salaries and Wages Paid
48	630	Employee Labor	0			
49	670	Office salaries	0			
50	671	Management salaries	0			
51		Total	0			

**SCHEDULE J - ADVANCES FOR CONSTRUCTION**

Balance beginning of year	33,411
Additions during year	0
Subtotal - Beginning balance plus additions during year	33,411
Refunds	0
Transfers to Acct. 271 - Contributions in Aid of Construction	3084
Balance end of year	30,327

**SCHEDULE K - TOTAL METERS AND SERVICES (active and inactive)**

Size	Meters	Services
5/8" 3/4 x 3/4-in	30	
3/4-in		
1-in	1	
2-in	2	
4-in	2	
Total	35	

**SCHEDULE L - METER-TESTING DATA**

Number of meters tested during year
1 Used, before repair
2 Used, after repair
3 Fast, requiring refund
Numbers of meters in service requiring test per General Order No. 103

**SCHEDULE M - SERVICE CONNECTIONS AT END OF YEAR**

Classification	Active			Inactive			Total connections	
	Metered	Flat	Total	Metered	Flat	Total	Metered	Flat
Residences	28	81	109	3	56	59	31	140
Industrial								
Other (specify)								
Subtotal								
Fire protection (Hydrants)		8						
Total	28	89	117	3	56	59	31	140

NOTE: Total connections (metered plus flat) should agree with total services in Schedule K.

**SCHEDULE N - STORAGE FACILITIES**

**SCHEDULE O - FOOTAGES OF PIPE (EXCLUDING SERVICE PIPES)**

Description	No.	Combined capacity in gallons	Description	2" and under				6" Other sizes (specify)	12" Other sizes (specify)	Totals
				2"	2 1/4"	3"	4"			
Concrete	2	20,000	Cast Iron							
Earth			Welded steel			2437	2830	3600	9067	
Wood			Standard screw	2197	5160				7357	
Steel	3	20,750	Cement-asbestos							
Other			Plastic	1826		1840			3666	
WIXSON	1	5,000	Other (specify)				300		300	
			WIXSON							
			FIRE					2500	2500	
Total	6	45,750	Total	4023	4579	2830	6300	22892		

**DECLARATION**

(BEFORE SIGNING PLEASE CHECK TO SEE THAT ALL SCHEDULES HAVE BEEN COMPLETED)

I, the undersigned (officer, partner or owner) of PRINCEVILLE Small Water (Name of utility), under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period

From and including 1/1, 2000 to and including 12/31, 2000

Signed [Signature]  
 Title Manager  
 Date 6/12/03