

Received \_\_\_\_\_

Examined \_\_\_\_\_

CLASS D  
WATER UTILITIES

U# 83W

2001  
ANNUAL REPORT  
OF

RR LEWIS SMALL WATER COMPANY

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

4500 E. FREMONT STREET

STOCKTON, CA

95215

(OFFICIAL MAILING ADDRESS)

ZIP

TO THE  
PUBLIC UTILITIES COMMISSION  
STATE OF CALIFORNIA  
FOR THE  
YEAR ENDED DECEMBER 31, 2001

REPORT MUST BE FILED NOT LATER THAN MARCH 31, 2002  
(FILE TWO COPIES IF THREE RECEIVED)

Received \_\_\_\_\_

Examined \_\_\_\_\_

CLASS D  
WATER UTILITIES

U# 83W

2001  
ANNUAL REPORT  
OF

RR LEWIS SMALL WATER COMPANY

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

4500 E. FREMONT STREET

STOCKTON, CA

95215

(OFFICIAL MAILING ADDRESS)

ZIP

TO THE  
PUBLIC UTILITIES COMMISSION  
STATE OF CALIFORNIA  
FOR THE  
YEAR ENDED DECEMBER 31, 2001

REPORT MUST BE FILED NOT LATER THAN MARCH 31, 2002

(FILE TWO COPIES IF THREE RECEIVED)

## **INSTRUCTIONS**

1. One completed copy of this report (two copies if three received) must be filed **NOT LATER THAN MARCH 31**, following the year covered by the report, with:

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
WATER DIVISION  
505 VAN NESS AVENUE, ROOM 3105  
SAN FRANCISCO, CALIFORNIA 94102-3298**

2. Failure to file the report on time may subject a utility to the penalties and sanctions provided by the Public Utilities Code.
3. The Oath, on the last page, must be signed by an officer, partner or owner.
4. The report must be prepared in ink or by the use of a typewriter. Computer generated report forms may be substituted.
5. The report must be filled in, and every question answered. **LEAVE NO SCHEDULE BLANK.** Insert the words "none" or "not applicable" or "n/a" when appropriate.
6. Certain balance sheet and income statement accounts refer to supplemental schedules. The totals of the details in the latter must agree with the balances of the accounts to which they refer.
7. Some schedules provide for a "balance at beginning of year." The amount shown should agree with the "balance at end of year" as shown in the report for the previous year. If there is a difference it should be explained by footnote.
8. When there is insufficient space in a schedule to permit a complete statement of the requested information, insert sheets should be prepared and identified by the number of the schedule to which it refers. Be certain that the inserts are securely attached to the report.
9. This report must cover a calendar year, from January 1 through December 31. Fiscal year reports will not be accepted.

**Summary of Earnings  
Test Year 2001**

Account Number	Operating Revenue	
470	Metered	763.99
460	Unmetered	15658.74
465	Irrigation	0
462-480	Private Fire Protection	0
	<b>Total Revenue</b>	<b>16422.73</b>
	<b>Operating Expenses</b>	
✓ 610	Purchased Water	0
✓ 615	Purchased Power	1591.18
	Pump Taxes	0
	Purchased Chemicals	0
618	Other Vol. Related exp.	0
✓ 630	Employee Labor	0
✓ 640	Materials	1123.83
✓ 650	Contract Work <i>Contract 10/16</i>	4693.86 <i>4700 + 100 - 540</i>
	Water Testing	673.00
660	Transportation Expense	7133.68
664	Other Plant Maintenance	0
670	Office Salaries	0
671	Management Salaries	0
674	Employee Pensions and Benefits	0
676	Uncollectables	0
678	Office Service and Rentals	0
✓ 681	Office Supplies and Expense	1317.00
✓ 682	Professional Services	715.00
✓ 684	Insurance	2000.00
✓ 688	Regulatory Expense	230.33
689	General Expense <i>PERMITS</i>	1516.00
	<b>Subtotal</b>	<b>20993.88</b>
403	Depreciation Expense	4859.00
	Ad Valorem Taxes	0
	Payroll taxes	0
408	Taxes other than income	3053.71
409	State Income Tax	0
410	Federal Income Tax	0
	<b>Total Deductions</b>	<b>28906.59</b>
	<b>Net Revenue</b>	
	<b>Rate Base</b>	
	Average Plant	
	Average Depreciation Reserve	
	Net Plant	
	Less: Advances	
	Less: Contributions	
	Plus: Construction Work in Progress	
	Plus: Working Cash	
	Plus: Material & Supplies	
	<b>Rate Base</b>	
	<b>ROR=Net Rev/Rate Base</b>	

**CLASS D WATER UTILITIES**  
(HAVING LESS THAN 500 SERVICE CONNECTIONS)

RR LEWIS SMALL WATER COMPANY

(Name under which corporation, partnership or individual is doing business)

4500 E. FREMONT ST., STOCKTON, CA 95215

(Official mailing address)

SIERRA CITY, SIERRA COUNTY

(Service area-town and county)

**GENERAL INFORMATION**

RETURN ORIGINAL  
TO COMMISSION  
NO PHOTOCOPIES

- 1 If a corporation show:  
(A) Date of organization N/A incorporated in the State of N/A  
(B) Names, titles and addresses of principal officers:
  
- 2 If unincorporated give the name and address of owner ~~or of each partner~~ OSTROM FAMILY TRUST  
L. OSTROM, TRUSTEE, 4500 E. FREMONT ST., STOCKTON, CA 95215
  
- 3 Name and telephone number of: L. OSTROM 209/948-8817  
(A) One person listed above to receive correspondence:  
(B) Person responsible for operations and services:
  
- 4 Were any contracts or agreements in effect with any organization or person covering service, supervision and/or management of your business affairs during the year? (Yes or No)  
If so, what was the nature and the amount of each payment made under the agreement, to whom were payments made, and to what account was each payment charged?
  
- 5 State the names of associated companies or persons which, directly or indirectly, or through one or more intermediaries, control, or are controlled by, or are under common control with respondent:

**PUBLIC HEALTH STATUS**

- 6 Has state or local health department inspection been made during the year?
- 7 Are routine laboratory tests of water being made?
- 8 Has state health department water supply permit been obtained? (Indicate date)
- 9 If no permit has been obtained, state whether application has been made and when.
- 10 Show expiration date if state permit is temporary.

Yes	No	Latest Date
✓		10/01
✓		12/01
✓		2/94
	N/A	
	N/A	

**SPECIAL INSTRUCTIONS (over)**

## **SPECIAL INSTRUCTIONS**

- 1 Beginning of year balances must agree with end of year balances shown in report for previous year.  
Attach a supplementary statement to explain any differences.
- 2 Attach a supplementary statement to explain adjustments in Schedule B - Water Plant in Service.
- 3 Complete all schedules, using the words "None" or "Not applicable" if appropriate.
- 4 Report must be prepared legibly in ink or by typewriter.

SELECTED FINANCIAL DATA - CLASS A, B, C AND D WATER COMPANIES

2001

NAME OF UTILITY RR Lewis Small Water

PHONE (209) 948-8817

PERSON RESPONSIBLE FOR THIS REPORT L. Ostrom

(Prepared from Information in the 2001 Annual Report)

	1/1/01	12/31/01	Average
<b>BALANCE SHEET DATA</b>			
1 Intangible Plant			
2 Land and Land Rights			
3 Depreciable Plant			
4 Gross Plant in Service	286082	286407	283244.50
5 Less: Accumulated Depreciation	221361	229244	225272.50
6 Net Water Plant in Service	58781	57163	57972
7 Water Plant Held for Future Use			
8 Construction Work in Progress			
9 Materials and Supplies	2116	1500	1805
10 Less: Advances for Construction	( )	( )	( )
11 Less: Contribution in Aid of Construction	( )	( )	( )
12 Less: Accumulated Deferred Income and Investment Tax Credits	( )	( )	( )
13 Net Plant Investment	60891	58663	59777
<b>CAPITALIZATION</b>			
14 Common Stock	6545	6545	6545
15 Proprietary Capital (Individual or Partnership)			
16 Paid-in Capital			
17 Retained Earnings	<21197>	<26471>	<23834>
18 Common Stock and Equity (Lines 14 through 17)	<14652>	<19926>	<17289>
19 Preferred Stock			
20 Long-Term Debt	46235	46235	46235
21 Notes Payable			
22 Total Capitalization (Lines 18 through 21)	31583	26369	28946

(Revised 2/01)

SELECTED FINANCIAL DATA - CLASS A, B, C AND D WATER COMPANIES

2001  
(continued)

NAME OF UTILITY RR LEWIS SMALL WATER PHONE (209) 948-8817

**INCOME STATEMENT**

	Annual Amount
23 Unmetered Water Revenue	<u>15659</u>
24 Fire Protection Revenue	<u>0</u>
25 Irrigation Revenue	<u>0</u>
26 Metered Water Revenue	<u>764</u>
27 Total Operating Revenue	<u>16423</u>
28 <u>Operating Expenses</u>	<u>20994</u>
29 Depreciation Expense (Composite Rate _____)	<u>4859</u>
30 Amortization and Property Losses	<u>3084</u>
31 Property Taxes	<u>3054</u>
32 Taxes Other Than Income Taxes	<u>0</u>
33 Total Operating Revenue Deduction Before Taxes	<u>&lt;15568&gt;</u>
34 California Corp. Franchise Tax	<u>0</u>
35 Federal Corporate Income Tax	<u>0</u>
36 Total Operating Revenue Deduction After Taxes	<u>&lt;15568&gt;</u>
37 Net Operating Income (Loss) - California Water Operations	<u>&lt;100&gt;</u>
38 Other Operating and Nonoper. Income and Exp. - Net (Exclude Interest Expense)	<u>_____</u>
39 Income Available for Fixed Charges	<u>_____</u>
40 Interest Expense	<u>_____</u>
41 Net Income (Loss) Before Dividends	<u>_____</u>
42 Preferred Stock Dividends	<u>_____</u>
43 Net Income (Loss) Available for Common Stock	<u>_____</u>
 <b>OTHER DATA</b>	
44 Refunds of Advances for Construction	<u>0</u>
45 Total Payroll Charged to Operating Expenses	<u>0</u>
46 Purchased Water	<u>0</u>
47 Power	<u>1591</u>

Active Service Connections (Exc. Fire Protect.) \_\_\_\_\_

	Jan. 1	Dec. 31	Annual Average
48 Metered Service Connections	28	28	28
49 Flat Rate Service Connections	81	81	81
50 Total Active Service Connections	109	109	109



**CLASS B, C AND D WATER COMPANIES  
SAFE DRINKING WATER BOND ACT DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) loan outstanding during the 12 month reporting period. (Use one sheet for each loan.)

1. Current Fiscal Agent:

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
Phone Number: \_\_\_\_\_  
Date Hired: \_\_\_\_\_

2. Former Fiscal Agent:

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
Phone Number: \_\_\_\_\_  
Date Hired: \_\_\_\_\_

3. Total surcharge collected from customers during the 12 month reporting period:

\$ \_\_\_\_\_

4. Summary of the trust bank account activities showing:

Balance at beginning of year	\$ _____
Deposits during the year	_____
Withdrawals made for loan payments	_____
Other withdrawals from this account	_____
Balance at end of year	_____

5. Account information:

Bank Name: \_\_\_\_\_  
Account Number: \_\_\_\_\_  
Date Opened: \_\_\_\_\_

6. Plant amounts included in Schedule A, Account No. 101--Water Plant in Service which were funded using SDWBA funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		DEPRECIABLE PLANT					
6	304	Structures					
7	307	Wells					
8	317	Other water source plant					
9	311	Pumping equipment					
10	320	Water treatment plant					
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service					

**SCHEDULE A - BALANCE SHEET (DECEMBER 31, 2011)**

Line	Acct.	Assets	Balance	Acct.	Equity and Liabilities	Balance
1	101	Water plant in service	286407	201	Common Stock (Corporations only)	
2	103	Water plant held for future use		211	Other paid-in capital (Corporations only)	
3	104	Water plant purchased or sold		215	Retained earnings	<264712
4	105	Water plant construction work in progress		218	Proprietary capital	6545
5	108	Accumulated depreciation of water plant	229244	224	Long term debt	46235
6	114	Water plant acquisition adjustments			Current Liabilities 5400 NSP + 0/02P	5614
7	124	Other investments		252	Advances for construction	
8	131	Cash	503	253	Other deferred credits	
9	141	Accounts receivable - customers	0	255	Accumulated deferred investment tax credits	
10	142	Receivables from associated companies		282	Accumulated deferred income taxes - Acrs	
11	151	Materials and supplies	1500		depreciation	
12	174	Other current assets		283	Accumulated deferred income taxes - other	
13	180	Deferred charges		271	Contributions in aid of construction	82747
14				272	Accumulated amortization of contributions	<55504
15		Total Assets	59166		Total Equity and Liabilities	59166

**SCHEDULE B - WATER PLANT IN SERVICE**

Line	Acct	Title of Account	Balance Beg of Year	Plt Additions During year	Plt Retirements During year	Other Debits or (Credits)	Balance End of year
16	301	Intangible plant					
17	303	Land					
18	304	Structures	2860				2860
19	307	Wells					
20	317	Other water source plant					
21	311	Pumping equipment					
22	320	Water treatment plant					
23	330	Reservoirs tanks and sandpipes	24234				24234
24	331	Water mains	167960	2935			170895
25	333	Services and meter installations	23552	390			23942
26	334	Meters	7908				7908
27	335	Hydrants	39362				39362
28	339	Other equipment	2360				2360
29	340	Office furniture and equipment					
30	341	Transportation equipment	11846	3000			14846
31		Total water plant in service	280082	6325			286407

\* Debit or credit entries should be explained by footnotes or supplementary schedules

**SCHEDULE C - RESERVE FOR DEPRECIATION OF UTILITY PLANT**

Line	Item	Account 106 Water Plant	Account 106.1 SDWBA Loans	
32	Balance in reserves at beginning of year	221301 <sup>33</sup>		A. Method used to compute depreciation
33	Add: Credits to reserves during year			expense (Acct. 403) and rate.
34	(a) Charged to Account No. 403	4859		
35	(b) Charged to Account No. 272	3084		(ENDS 2011)
36	(c) Charged to clearing accounts			B. Amount of depreciation expense claimed
37	(d) Salvage recovered			or to be claimed on utility property in
38	(e) All other credits			your federal income tax return for the year
39	Total credits	7943		covered by this report \$
40	Deduct: Debits to reserves during year			
41	(a) Book cost of property retired			
42	(b) Cost of removal			C. State method used to compute tax
43	(c) All other debits			depreciation.
44	Total debits	0		
45	Balance in reserve at end of year	229244.33		
46	(1) Explanation of all other credits			
47	(2) Explanation of all other debits			

2029 47480.46 @ 46 = 1048      2016 11237 @ 15 = 744.13  
 2029 <1545> @ 29 = <53>      2066 1950 @ 5 = 390.00  
 2037 2635.21 @ 46 = 21      2007 3000 @ 5 = 600.00  
 2005 1886 @ 5 = 377.20      2017 2935 @ 15 = 195.67  
 2015 22962 @ 15 = 1531

SCHEDULE D - CAPITAL STOCK OUTSTANDING (DECEMBER 31, 20\_\_)

Line	1	Common - (Shares , \$ par)		List persons owning more than 5% of outstanding stock
	2	Preferred - (Shares , \$ par)		and number of shares owned by each:
	3	Dividends - Common Rate - \$		
	4	- Preferred Rate - \$		

SCHEDULE E - LONG TERM DEBT

	Class	Date of Issue	Date of Maturity	Principal Amount Authorized	Outstanding Per Balance Sheet	Rate of Interest	Interest Accrued During Year	Interest Paid During Year
5	224 OSIRUM TRUST	5-93	2010		46235.15	6	0	0
6								
7								
8	Totals							

SCHEDULE F - INCOME STATEMENT

9	Operating revenues	XXXXXXXXXX
10	460 Unmetered water revenue	15658.74
11	462 Fire protection revenue	0
12	465 Irrigation revenue	0
13	470 Metered water revenue	763.99
14	480 Other water revenue <i>HOOK-UP/PVC/LATE</i>	9764.95
15	Total Operating Revenue	26187.68
16	Operating revenue deductions	XXXXXXXXXX
17	Operating expenses	XXXXXXXXXX
18	610 Purchased water	0
19	615 Power	1591.18
20	618 Other volume related expenses	0
21	630 Employee labor	0
22	640 Materials	1123.83
23	650 Contract work	4693.86
24	660 Transportation expenses	7133.68
25	664 Other plant maintenance expense	0
26	670 Office salaries	0
27	671 Management salaries	0
28	674 Employee pensions and benefits	0
29	676 Uncollectible accounts expense	0
30	678 Office services and rentals	0
31	681 Office supplies and expense	1317-
32	682 Professional services	715-
33	684 Insurance	2000-
34	688 Regulatory commission expense	230.33
35	689 General expenses <i>Permits/NOTES</i>	2184-
36	Total Operating Expenses	20993.88
37	403 Depreciation expense	4859-
38	407 SDWBA loan amortization expense	0
39	408 Taxes other than income taxes	3053.71
40	409 State corporate income tax expense	0
41	410 Federal corporate income tax expense	0
42	Total Operating Revenue Deductions	28706.59
43	Utility Operating Income	2778.71
44	421 Non-utility income <i>900(10)-1991 Reim</i>	2819.13
45	426 Miscellaneous non-utility expense	0
46	427 Interest expense	0
47	Net Income	100.22

SCHEDULE G - SOURCES OF SUPPLY AND WATER DEVELOPED WELLS

Location	No.	Diam. Inch	Depth to water feet	Pumping capacity (g.p.m.)	Annual quantities pumped

OTHER

Streams or springs location of diversion point	Flow in		(Unit) Diversions		Annual quantities diverted (Unit)
	Priority right	Capacity	Max	Min	
1 - NATURAL					
2 - MINING TUNNEL					
Purchased water (unit)					Annual quantity
Supplier:					

SCHEDULE H - OPTIONAL WATER DELIVERED TO METERED CUSTOMERS

(If figures are available) (specify unit)

Classification of service	Max. mo. Mo. of	Min. mo. Mo. of	Total for year
Residential & business			
Industrial			
Public authorities			
Irrigation			
Other (specify)			
Total			

SCHEDULE I - EMPLOYEES AND THEIR COMPENSATION

Line	Acct	Account	Number at end of year	Salaries Charged to Expense	Salaries Charged to Plant Accounts	Total Salaries and Wages Paid
48	630	Employee Labor	0			
49	670	Office salaries	0			
50	671	Management salaries	0			
51		Total	0			

**SCHEDULE J - ADVANCES FOR CONSTRUCTION**

Balance beginning of year	30327
Additions during year	0
Subtotal - Beginning balance plus additions during year	30327
Refunds	0
Transfers to Acct. 271 - Contributions in Aid of Construction	3084
Balance end of year	27243

**SCHEDULE K - TOTAL METERS AND SERVICES (active and inactive)**

Size	Meters	Services
5/8 x 3/4-in	30	
3/4-in		
1-in	1	
-in	2	
-in	2	
Total	35	

**SCHEDULE L - METER-TESTING DATA**

Number of meters tested during year	
1	Used, before repair...
2	Used, after repair .....
3	Fast, requiring refund ..
Numbers of meters in service requiring test per General Order No. 103 .....	

**SCHEDULE M - SERVICE CONNECTIONS AT END OF YEAR**

Classification	Active			Inactive			Total connectic	
	Metered	Flat	Total	Metered	Flat	Total	Metered	Fla
Residences	28	81	109	3	56	59	31	140
Industrial								
Other (specify)								
Subtotal								
Fire protection (Hydrants)								
Total	28	81	109	3	56	59	31	140

NOTE: Total connections (metered plus flat) should agree with total services in Schedule K.

**SCHEDULE N - STORAGE FACILITIES**

Description	No.	Combined capacity in gallons
Concrete	2	20000
Earth		
Wood		
Steel	3	20750
Other		
WIKSON	1	5000
Total	6	45750

**SCHEDULE O - FOOTAGES OF PIPE (EXCLUDING SERVICE PIPES)**

Description	2" and under	2 1/4 to 3 1/4	4"	6"		12"		Total:
				Other sizes (specify)				
Cast Iron								
Welded steel			2437	2830			3800	9067
Standard screw	2197	5160						7357
Cement-asbestos			2640					4466
Plastic	1926							
Other (specify)								
WIKSON			300					300
FIRE							2500	2500
Total	4023	5160	5379	2830			6300	23692

**DECLARATION**

(BEFORE SIGNING PLEASE CHECK TO SEE THAT ALL SCHEDULES HAVE BEEN COMPLETED)

I, the undersigned (officer, partner or owner) of RRUSWCO (Name of utility), under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period

From and including 1/1, 2001, to and including 12/31, 2001

Signed [Signature]  
 Title Manager  
 Date 6-12-03