

Rec'd 1/29/04

**CLASS D WATER UTILITIES**  
(HAVING LESS THAN 500 SERVICE CONNECTIONS)

R. R. LEWIS SMALL WATER COMPANY

(Name under which corporation, partnership or individual is doing business)

4500 E. FREMONT ST STOCKTON CA 95215

(Official mailing address)

SIERRA CITY SIERRA COUNTY

(Service area-town and county)

**GENERAL INFORMATION**

RETURN ORIGINAL  
TO COMMISSION  
NO PHOTOCOPIES

- 1 If a corporation show:  
(A) Date of organization N/A incorporated in the State of \_\_\_\_\_  
(B) Names, titles and addresses of principal officers:
- 2 If unincorporated give the name and address of owner or of each partner: OSTROM FAMILY TRUST  
L. OSTROM, TRUSTEE, 4500 E. FREMONT ST, STOCKTON CA 95215
- 3 Name and telephone number of:  
(A) One person listed above to receive correspondence: L. OSTROM, (209) 948-8817  
(B) Person responsible for operations and services: SAME
- 4 Were any contracts or agreements in effect with any organization or person covering service, supervision and/or management of your business affairs during the year? (Yes or No)  
If so, what was the nature and the amount of each payment made under the agreement, to whom were payments made, and to what account was each payment charged?
- 5 State the names of associated companies or persons which, directly or indirectly, or through one or more intermediaries, control, or are controlled by, or are under common control with respondent:

**PUBLIC HEALTH STATUS**

- 6 Has state or local health department inspection been made during the year?
- 7 Are routine laboratory tests of water being made?
- 8 Has state health department water supply permit been obtained? (Indicate date)
- 9 If no permit has been obtained, state whether application has been made and when.
- 10 Show expiration date if state permit is temporary.

Yes	No	Latest Date
✓		8/03
✓		12/03
✓		2/94
		N/A
		N/A

**SPECIAL INSTRUCTIONS (over)**

## **SPECIAL INSTRUCTIONS**

- 1 Beginning of year balances must agree with end of year balances shown in report for previous year.  
Attach a supplementary statement to explain any differences.
- 2 Attach a supplementary statement to explain adjustments in Schedule B - Water Plant in Service.
- 3 Complete all schedules, using the words "None" or "Not applicable" if appropriate.
- 4 Report must be prepared legibly in ink or by typewriter.

SELECTED FINANCIAL DATA - CLASS A, B, C AND D WATER COMPANIES  
2003

NAME OF UTILITY R R LEWIS SMALL WATER PHONE 214.948.8817

PERSON RESPONSIBLE FOR THIS REPORT L. OSTRUM  
(Prepared from Information in the 2003 Annual Report)

	1/1/03	12/31/03	Average
<b>BALANCE SHEET DATA</b>			
1 Intangible Plant			
2 Land and Land Rights			
3 Depreciable Plant			
4 Gross Plant in Service	<u>288907</u>	<u>288907</u>	<u>288907</u>
5 Less: Accumulated Depreciation	<u>237437</u>	<u>245620</u>	<u>241528</u>
6 Net Water Plant in Service			
7 Water Plant Held for Future Use			
8 Construction Work in Progress			
9 Materials and Supplies	<u>625</u>	<u>1940</u>	<u>1282</u>
10 Less: Advances for Construction	<u>( )</u>	<u>( )</u>	<u>( )</u>
11 Less: Contribution in Aid of Construction	<u>( )</u>	<u>( )</u>	<u>( )</u>
12 Less: Accumulated Deferred Income and Investment Tax Credits	<u>( )</u>	<u>( )</u>	<u>( )</u>
13 Net Plant Investment	<u>52095</u>	<u>45229</u>	<u>48661</u>
<b>CAPITALIZATION</b>			
14 Common Stock	<u>6545</u>	<u>6545</u>	<u>6545</u>
15 Proprietary Capital (Individual or Partnership)			
16 Paid-in Capital			
17 Retained Earnings	<u>&lt;30338&gt;</u>	<u>&lt;51230&gt;</u>	<u>&lt;40784&gt;</u>
18 Common Stock and Equity (Lines 14 through 17)	<u>&lt;23793&gt;</u>	<u>&lt;44685&gt;</u>	<u>&lt;34139&gt;</u>
19 Preferred Stock			
20 Long-Term Debt	<u>46235</u>	<u>46235</u>	<u>46235</u>
21 Notes Payable			
22 Total Capitalization (Lines 18 through 21)	<u>26509</u>	<u>&lt;43138&gt;</u>	<u>&lt;22243&gt;</u>
	<u>&lt;1351&gt;</u>		

(Revised 2/01)

SELECTED FINANCIAL DATA - CLASS A, B, C AND D WATER COMPANIES

2003

(continued)

NAME OF UTILITY RRLSWCO

PHONE 209 948.8817

**INCOME STATEMENT**

	Annual Amount
23 Unmetered Water Revenue	15534
24 Fire Protection Revenue	0
25 Irrigation Revenue	0
26 Metered Water Revenue	1079
27 Total Operating Revenue	16613
28 <u>Operating Expenses</u>	32216
29 Depreciation Expense (Composite Rate _____)	8203
30 Amortization and Property Losses	0
31 Property Taxes	3951
32 Taxes Other Than Income Taxes	0
33 Total Operating Revenue Deduction Before Taxes	44370
34 California Corp. Franchise Tax	0
35 Federal Corporate Income Tax	0
36 Total Operating Revenue Deduction After Taxes	(10594)
37 Net Operating Income (Loss) - California Water Operations	_____
38 Other Operating and Nonoper. Income and Exp. - Net (Exclude Interest Expense)	_____
39 Income Available for Fixed Charges	_____
40 Interest Expense	_____
41 Net Income (Loss) Before Dividends	_____
42 Preferred Stock Dividends	_____
43 Net Income (Loss) Available for Common Stock	(10594)

**OTHER DATA**

44 Refunds of Advances for Construction	_____
45 Total Payroll Charged to Operating Expenses	_____
46 Purchased Water	_____
47 Power	_____

	Active Service Connections	(Exc. Fire Protect.)	Jan. 1	Dec. 31	Annual Average
48 Metered Service Connections			28	57	42
49 Flat Rate Service Connections			81	55	68
50 Total Active Service Connections					110

**CLASS B, C AND D WATER COMPANIES  
SAFE DRINKING WATER BOND ACT DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) loan outstanding during the 12 month reporting period. (Use one sheet for each loan.)

1. Current Fiscal Agent:

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
Phone Number: \_\_\_\_\_  
Date Hired: \_\_\_\_\_

2. Former Fiscal Agent:

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
Phone Number: \_\_\_\_\_  
Date Hired: \_\_\_\_\_

*NOT APPLICABLE*

3. Total surcharge collected from customers during the 12 month reporting period:

\$ \_\_\_\_\_

4. Summary of the trust bank account activities showing:

Balance at beginning of year	\$ _____
Deposits during the year	_____
Withdrawals made for loan payments	_____
Other withdrawals from this account	_____
Balance at end of year	_____

5. Account information:

Bank Name: \_\_\_\_\_  
Account Number: \_\_\_\_\_  
Date Opened: \_\_\_\_\_

6. Plant amounts included in Schedule A, Account No. 101--Water Plant in Service which were funded using SDWBA funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		DEPRECIABLE PLANT					
6	304	Structures					
7	307	Wells					
8	317	Other water source plant					
9	311	Pumping equipment					
10	320	Water treatment plant					
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service					

*NOT APPLICABLE*

**SCHEDULE A - BALANCE SHEET (DECEMBER 31, 2003)**

Line	Acct.	Assets	Balance	Acct.	Equity and Liabilities	Balance
1	101	Water plant in service	288907	201	Common Stock (Corporations only)	6545
2	103	Water plant held for future use		211	Other paid-in capital (Corporations only)	
3	104	Water plant purchased or sold		215	Retained earnings	(51230)
4	105	Water plant construction work in progress		218	Proprietary capital	
5	108	Accumulated depreciation of water plant	245620	224	Long term debt	46235
6	114	Water plant acquisition adjustments			Current Liabilities	22767
7	124	Other investments		252	Advances for construction	21075
8	131	Cash	165	253	Other deferred credits	
9	141	Accounts receivable - customers	0	255	Accumulated deferred investment tax credits	
10	142	Receivables from associated companies		282	Accumulated deferred income taxes - Acrs	
11	151	Materials and supplies	1940		depreciation	
12	174	Other current assets		283	Accumulated deferred income taxes - other	
13	180	Deferred charges		271	Contributions in aid of construction	
14				272	Accumulated amortization of contributions	
15		<b>Total Assets</b>	<b>45392</b>		<b>Total Equity and Liabilities</b>	<b>45392</b>

**SCHEDULE B - WATER PLANT IN SERVICE**

Line	Acct.	Title of Account	Balance Beg of Year	Plt Additions During year	Plt Retirements During year	Other Debits or (Credits)	Balance End of year
16	301	Intangible plant					
17	303	Land					
18	304	Structures (FLUME)	2860				2860
19	307	Wells					
20	317	Other water source plant					
21	311	Pumping equipment					
22	320	Water treatment plant					
23	330	Reservoirs tanks and sandpipes	26734				26734
24	331	Water mains	170895				170895
25	333	Services and meter installations	23942				23942
26	334	Meters	7908				7908
27	335	Hydrants	39362				39362
28	339	Other equipment	2360				2360
29	340	Office furniture and equipment					
30	341	Transportation equipment	14846				14846
31		<b>Total water plant in service</b>	<b>288907</b>				<b>288907</b>

\* Debit or credit entries should be explained by footnotes or supplementary schedules

**SCHEDULE C - RESERVE FOR DEPRECIATION OF UTILITY PLANT**

Line	Item	Account 106 Water Plant	Account 106.1 SDWBA Loans	
32	Balance in reserves at beginning of year	237437		A. Method used to compute depreciation
33	Add: Credits to reserves during year			expense (Acct. 403) and rate.
34	(a) Charged to Account No. 403	5119		PER PREVIOUS SCH
35	(b) Charged to Account No. 272	3084		ENDS 2011
36	(c) Charged to clearing accounts			B. Amount of depreciation expense claimed
37	(d) Salvage recovered			or to be claimed on utility property in
38	(e) All other credits			your federal income tax return for the year
39	Total credits			covered by this report \$
40	Deduct: Debits to reserves during year	8203		
41	(a) Book cost of property retired			C. State method used to compute tax
42	(b) Cost of removal			depreciation.
43	(c) All other debits			
44	Total debits			
45	Balance in reserve at end of year	245620		
46	(1) Explanation of all other credits			
47	(2) Explanation of all other debits			

**SCHEDULE D - CAPITAL STOCK OUTSTANDING (DECEMBER 31, 20\_\_)**

Line				
1	Common - (Shares	\$	par)	List persons owning more than 5% of outstanding stock
2	Preferred - (Shares	\$	par)	and number of shares owned by each:
3	Dividends - Common	Rate - \$		
4	- Preferred	Rate - \$		

**SCHEDULE E - LONG TERM DEBT**

	Class	Date of Issue	Date of Maturity	Principal Amount Authorized	Outstanding Per Balance Sheet	Rate of Interest	Interest Accrued During Year	Interest Paid During Year
5	224- Ostrom Family	5-93	2010		46233.15	6	0	0
6								
7	P. Ostrom (Current)	2003	2004		22414.20		0	0
8	Totals							

**SCHEDULE F - INCOME STATEMENT**

9	Operating revenues	XXXXXXXXXX
10	460 Unmetered water revenue	15285.78
11	462 Fire protection revenue	
12	465 Irrigation revenue	
13	470 Metered water revenue	1079.37
14	480 Other water revenue	9208.56
15	Total Operating Revenue	25573.71
16	Operating revenue deductions	XXXXXXXXXX
17	Operating expenses	XXXXXXXXXX
18	610 Purchased water	0
19	615 Power	2119.87
20	618 Other volume related expenses	0
21	630 Employee labor	0
22	640 Materials	
23	650 Contract work	638-
24	660 Transportation expenses	2325.17
25	664 Other plant maintenance expense	8010.70
26	670 Office salaries	0
27	671 Management salaries	0
28	674 Employee pensions and benefits	0
29	676 Uncollectible accounts expense	0
30	678 Office services and rentals	0
31	681 Office supplies and expense	551.31
32	682 Professional services	10298.76
33	684 Insurance	6648.46
34	688 Regulatory commission expense	231.51
35	689 General expenses	1393-
36	Total Operating Expenses	32216.78
37	403 Depreciation expense	8203.7
38	407 SDWBA loan amortization expense	
39	408 Taxes other than income taxes	3950.50
40	409 State corporate income tax expense	
41	410 Federal corporate income tax expense	
42	Total Operating Revenue Deductions	36167.28
43	Utility Operating Income	10598.57
44	421 Non-utility income	
45	426 Miscellaneous non-utility expense	
46	427 Interest expense	
47	Net Income	10893.57

**SCHEDULE G - SOURCES OF SUPPLY AND WATER DEVELOPED WELLS**

Location	No.	Diam. Inch	Depth to water feet	Pumping capacity (g.p.m.)	Annual quantities pumped
N/A					

**OTHER**

Streams or springs location of diversion point	Flow in		(Unit)		Annual quantities diverted (Unit)
	Priority right	Capacity	Max	Min	
3 SPRINGS UNDER PERMIT					
Purchased water (unit)					Annual quantity
Supplier:					

**SCHEDULE H - OPTIONAL WATER DELIVERED TO METERED CUSTOMERS**  
(If figures are available) (specify unit)

Classification of service	Max. mo. Mo. of	Min. mo. Mo. of	Total for year
Residential & business			
Industrial			
Public authorities			
Irrigation			
Other (specify)			
Total			

**SCHEDULE I - EMPLOYEES AND THEIR COMPENSATION**

Line	Acct	Account	Number at end of year	Salaries Charged to Expense	Salaries Charged to Plant Accounts	Total Salaries and Wages Paid
48	630	Employee Labor				
49	670	Office salaries				
50	671	Management salaries				
51		Total				



**SCHEDULE J - ADVANCES FOR CONSTRUCTION**

Balance beginning of year	24159
Additions during year	
Subtotal - Beginning balance plus additions during year	24159
Refunds	
Transfers to Acct. 271 - Contributions in Aid of Construction	3084
Balance end of year	21075

**SCHEDULE K - TOTAL METERS AND SERVICES (active and inactive)**

Size	Meters	Services
5/8 x 3/4-in	57	
3/4-in		
1-in	2	
2-in	1	
4-in	2	
Total		112

**SCHEDULE L - METER-TESTING DATA**

Number of meters tested during year	
1	Used, before repair...
2	Used, after repair .....
3	Fast, requiring refund .....
Numbers of meters in service requiring test per General Order No. 103 .....	

**SCHEDULE M - SERVICE CONNECTIONS AT END OF YEAR**

Classification	Active			Inactive			Total connections	
	Metered	Flat	Total	Metered	Flat	Total	Metered	Flat
Residences	57	55	112	1	53	54	58	106
Industrial								
Other (specify)								
Subtotal								
Fire protection (Hydrants)		8	8					
Total								

NOTE: Total connections (metered plus flat) should agree with total services in Schedule K.

**SCHEDULE N - STORAGE FACILITIES**

**SCHEDULE O - FOOTAGES OF PIPE (EXCLUDING SERVICE PIPES)**

Description	No.	Combined capacity in gallons	Description	2" and under		4"	6" and 12" Other sizes (specify)		Totals
				2 1/4 to 3 1/4					
Concrete	2	20,000	Cast Iron						
Earth			Welded steel			2439	2850	3000	
Wood			Standard screw	2197	4380				
Steel	3	20,750	Cement-asbestos						
Other			Plastic	1826		1068			
Other (specify)			Other (specify)						
WOOD STORAGE	1	5000	NIXON FIRE			300			
Total		45750	Total	4023	4760	3807	2850	6300	21340

**DECLARATION**

(BEFORE SIGNING PLEASE CHECK TO SEE THAT ALL SCHEDULES HAVE BEEN COMPLETED)

I, the undersigned (officer, partner or owner) of RR GENIS SMOUL WATER (Name of utility), under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period

From and including JAN 1, 2003, to and including DEC 31, 2003

Signed

Title

Date

MANAGER

2-27-03