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Received _____
Examined _____

SEWER UTILITIES

D

U# _____

2000
ANNUAL REPORT
OF

S PRECKELS

WATERTEK, INC.

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

P.O. Box 6040

SALINAS CA

93912 - 6040

(OFFICIAL MAILING ADDRESS)

ZIP

TO THE
PUBLIC UTILITIES COMMISSION
STATE OF CALIFORNIA
FOR THE
YEAR ENDED DECEMBER 31, 2000

REPORT MUST BE FILED NOT LATER THAN MARCH 31, 2001
(FILE TWO COPIES IF THREE RECEIVED)

INSTRUCTIONS

FOR PREPARATION OF

SELECTED FINANCIAL DATA SHEET

FOR CLASS A, B, C AND D, WATER UTILITIES

To prepare the attached data sheets, refer to the Balance Sheet, Income Statement and supporting detail schedules in the annual report for the required data.

Please follow the instruction below:

- 1 Common plant allocated to water should be indicated with water plant figures in Net Plant Investment.
- 2 The capitalization section for those reporting on both California Only and Total System Forms should be identical and completed with Total Company Data. Be sure that Advances for Construction include California water data only.
- 3 Complete the operation section with California water revenues and expenses, but if necessary, remove interdepartmental revenues and expenses.
- 4 All other utility and nonutility revenues and expenses are netted on Line No. 38.

**CLASS B, C AND D WATER COMPANIES
SAFE DRINKING WATER BOND ACT DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) loan outstanding during the 12 month reporting period. (Use one sheet for each loan.)

1. Current Fiscal Agent:

Name: _____

Address: _____

Phone Number: _____

Date Hired: _____

2. Former Fiscal Agent:

Name: _____

Address: _____

Phone Number: _____

Date Hired: _____

3. Total surcharge collected from customers during the 12 month reporting period:

\$ _____

4. Summary of the trust bank account activities showing:

Balance at beginning of year	\$ _____
Deposits during the year	_____
Withdrawals made for loan payments	_____
Other withdrawals from this account	_____
Balance at end of year	_____

5. Account information:

Bank Name: _____

Account Number: _____

Date Opened: _____

6. Plant amounts included in Schedule A-1 a, Account No. 101--Water Plant in Service which were funded using SDWBA funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits* or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		DEPRECIABLE PLANT					
6	304	Structures					
7	307	Wells					
8	317	Other water source plant					
9	311	Pumping equipment					
10	320	Water treatment plant					
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service					

SELECTED FINANCIAL DATA - CLASS A, B, C AND D WATER COMPANIES

2000

NAME OF UTILITY WATERTEK, INC. - SPRECKELS PHONE (831) 444-8000

PERSON RESPONSIBLE FOR THIS REPORT RAYMOND L. SMITH
 (Prepared from Information in the 2000 Annual Report)

	1/1/___	12/31/___	Average
BALANCE SHEET DATA			
1 Intangible Plant	_____	_____	_____
2 Land and Land Rights	_____	_____	_____
3 Depreciable Plant	_____	_____	_____
4 Gross Plant in Service	_____	_____	_____
5 Less: Accumulated Depreciation	_____	_____	_____
6 Net Water Plant in Service	_____	_____	_____
7 Water Plant Held for Future Use	_____	_____	_____
8 Construction Work in Progress	_____	_____	_____
9 Materials and Supplies	_____	_____	_____
10 Less: Advances for Construction	(_____)	(_____)	(_____)
11 Less: Contribution in Aid of Construction	(_____)	(_____)	(_____)
12 Less: Accumulated Deferred Income and Investment Tax Credits	(_____)	(_____)	(_____)
13 Net Plant Investment	=====	=====	=====
CAPITALIZATION			
14 Common Stock	_____	_____	_____
15 Proprietary Capital (Individual or Partnership)	_____	_____	_____
16 Paid-in Capital	_____	_____	_____
17 Retained Earnings	_____	_____	_____
18 Common Stock and Equity (Lines 14 through 17)	_____	_____	_____
19 Preferred Stock	_____	_____	_____
20 Long-Term Debt	_____	_____	_____
21 Notes Payable	_____	_____	_____
22 Total Capitalization (Lines 18 through 21)	=====	=====	=====

(Revised 2/01)

SELECTED FINANCIAL DATA - CLASS A, B, C AND D WATER COMPANIES

2000

NAME OF UTILITY WATERTEK, INC. - SPRECKELS PHONE (831) 444-8000

INCOME STATEMENT		Annual Amount
23	Unmetered Water Revenue	<u>102,908</u>
24	Fire Protection Revenue	<u> </u>
25	Irrigation Revenue	<u> </u>
26	Metered Water Revenue	<u> </u>
27	Total Operating Revenue	<u>102,908</u>
28	<u>Operating Expenses</u>	
29	Depreciation Expense (Composite Rate <u>7.08</u>)	<u>3436</u>
30	Amortization and Property Losses	<u> </u>
31	Property Taxes	<u>4810</u>
32	Taxes Other Than Income Taxes	<u>8591</u>
33	Total Operating Revenue Deduction Before Taxes	<u>86071</u>
34	California Corp. Franchise Tax	<u>144</u>
35	Federal Corporate Income Tax	<u> </u>
36	Total Operating Revenue Deduction After Taxes	<u>85,927</u>
37	Net Operating Income (Loss) - California Water Operations	<u> </u>
38	Other Operating and Nonoper. Income and Exp. - Net (Exclude Interest Expense)	<u>61,675</u>
39	Income Available for Fixed Charges	<u>24,252</u>
40	Interest Expense	<u>1724</u>
41	Net Income (Loss) Before Dividends	<u>22,528</u>
42	Preferred Stock Dividends	<u> </u>
43	Net Income (Loss) Available for Common Stock	<u>22,528</u>

OTHER DATA		
44	Refunds of Advances for Construction	<u> </u>
45	Total Payroll Charged to Operating Expenses	<u>33,678</u>
46	Purchased Water	<u> </u>
47	Power	<u>1846</u>

<u>Active Service Connections</u>		(Exc. Fire Protect.)	Jan. 1	Dec. 31	Annual Average
48	Metered Service Connections				
49	Flat Rate Service Connections		<u>205</u>	<u>206</u>	<u>206</u>
50	Total Active Service Connections		<u>205</u>	<u>206</u>	<u>206</u>

CLASS D WATER UTILITIES

(HAVING LESS THAN 500 SERVICE CONNECTIONS)

WATERTEK, INC. - SPRECKELS

(Name under which corporation, partnership or individual is doing business)

P.O. Box 6040 SALINAS CA 93912-6040

(Official mailing address)

SPRECKELS, CA

MONTEREY COUNTY

(Service area-town and county)

GENERAL INFORMATION

RETURN ORIGINAL
TO COMMISSION
NO PHOTOCOPIES

1 If a corporation show:

(A) Date of organization 1969 incorporated in the State of CALIFORNIA

(B) Names, titles and addresses of principal officers:

RAYMOND L. SMITH, CEO } 1633 HARROD WAY SALINAS CA 93906
ESTHER I. SMITH, CFO }

2 If unincorporated give the name and address of owner or of each partner:

3 Name and telephone number of:

(A) One person listed above to receive correspondence: }
(B) Person responsible for operations and services: } RAYMOND L. SMITH (831) 444-8000

4 Were any contracts or agreements in effect with any organization or person covering service, supervision and/or management of your business affairs during the year? (Yes or No) NO
If so, what was the nature and the amount of each payment made under the agreement, to whom were payments made, and to what account was each payment charged?

5 State the names of associated companies or persons which, directly or indirectly, or through one or more intermediaries, control, or are controlled by, or are under common control with respondent:

PUBLIC HEALTH STATUS

- 6 Has state or local health department inspection been made during the year?
- 7 Are routine laboratory tests of water being made? WASTE WATER
- 8 Has state health department water supply permit been obtained? (Indicate date)
- 9 If no permit has been obtained, state whether application has been made and when.
- 10 Show expiration date if state permit is temporary.

Yes	No	Latest Date
X		ANNUAL
X		WEEKLY (RWQCA)
	X	N/A

SPECIAL INSTRUCTIONS (see following page)

SPECIAL INSTRUCTIONS

- 1 Beginning of year balances must agree with end of year balances shown in report for previous year. Attach a supplementary statement to explain any differences.
- 2 Attach a supplementary statement to explain adjustments in Schedule B - Water Plant in Service.
- 3 Complete all schedules, using the words "None" or "Not applicable" if appropriate.
- 4 Report must be prepared legibly in ink or by typewriter.

SCHEDULE A - BALANCE SHEET (DECEMBER 31, 2000)

Line	Acct.	Assets	Balance	Acct.	Equity and Liabilities	Balance
1	101	Water plant in service		201	Common Stock (Corporations only)	
2	103	Water plant held for future use		211	Other paid-in capital (Corporations only)	
3	104	Water plant purchased or sold		215	Retained earnings	
4	105	Water plant construction work in progress		218	Proprietary capital	
5	108	Accumulated depreciation of water plant		224	Long term debt	
6	114	Water plant acquisition adjustments			Current Liabilities	
7	124	Other investments		252	Advances for construction	
8	131	Cash		253	Other deferred credits	
9	141	Accounts receivable - customers		255	Accumulated deferred investment tax credits	
10	142	Receivables from associated companies		282	Accumulated deferred income taxes - Acrs	
11	151	Materials and supplies			depreciation	
12	174	Other current assets		283	Accumulated deferred income taxes - other	
13	180	Deferred charges		271	Contributions in aid of construction	
14				272	Accumulated amortization of contributions	
15		Total Assets			Total Equity and Liabilities	

SCHEDULE B - WATER PLANT IN SERVICE

Line	Acct	Title of Account	Balance Beg of Year	Plt Additions During year	Plt Retirements During year	Other Debits or (Credits)	Balance End of year
16	301	Intangible plant					
17	303	Land	66,000				66,000
18	304	Structures					
19	307	Wells					
20	317	Other water source plant					
21	311	Pumping equipment					
22	320	Water treatment plant					
23	330	Reservoirs tanks and sandpipes					
24	331	Water mains					
25	333	Services and meter installations					
26	334	Meters					
27	335	Hydrants					
28	339	Other equipment					
29	340	Office furniture and equipment					
30	341	Transportation equipment	12,535				12,535
31		Total water plant in service	78,535				78,535

* Debit or credit entries should be explained by footnotes or supplementary schedules

SCHEDULE C - RESERVE FOR DEPRECIATION OF UTILITY PLANT

Line	Item	Account 106 Water Plant	Account 106.1 SD/WBA Loans	
32	Balance in reserves at beginning of year			A. Method used to compute depreciation
33	Add: Credits to reserves during year			expense (Acct. 403) and rate.
34	(a) Charged to Account No. 403			
35	(b) Charged to Account No. 272			
36	(c) Charged to clearing accounts			B. Amount of depreciation expense claimed
37	(d) Salvage recovered			or to be claimed on utility property in:
38	(e) All other credits			your federal income tax return for the year
39	Total credits			covered by this report S
40	Deduct: Debits to reserves during year			
41	(a) Book cost of property retired			
42	(b) Cost of removal			C. State method used to compute tax
43	(c) All other debits			depreciation.
44	Total debits			
45	Balance in reserve at end of year			
46	(1) Explanation of all other credits			
47	(2) Explanation of all other debits			

SCHEDULE D - CAPITAL STOCK OUTSTANDING (DECEMBER 31, 2020)

Line	Common - (Shares . \$ par)	Preferred - (Shares . \$ par)	Dividends - Common Rate - \$	Dividends - Preferred Rate - \$	List persons owning more than 5% of outstanding stock and number of shares owned by each:
1					
2					
3					
4					

SCHEDULE E - LONG TERM DEBT

	Class	Date of Issue	Date of Maturity	Principal Amount Authorized	Outstanding Per Balance Sheet	Rate of Interest	Interest Accrued During Year	Interest Paid During Year
5	LAND	7/1/98	6/30/13	66 000	66 000	6.0%	3960	
6								
7								
8	Totals							

SCHEDULE F - INCOME STATEMENT

9	Operating revenues	xxxxxxxxxx
10	460 Unmetered water revenue (SEWER)	102,908
11	462 Fire protection revenue	
12	465 Irrigation revenue	
13	470 Metered water revenue	
14	480 Other water revenue	
15	Total Operating Revenue	102,908
16	Operating revenue deductions	xxxxxxxxxx
17	Operating expenses	xxxxxxxxxx
18	610 Purchased water	
19	615 Power	(1,404)
20	618 Other volume related expenses	221
21	630 Employee labor	5,542
22	640 Materials	862
23	650 Contract work	2,080
24	660 Transportation expenses	5,683
25	664 Other plant maintenance expense	5,852
26	670 Office salaries	5,816
27	671 Management salaries	22,320
28	674 Employee pensions and benefits	
29	676 Uncollectible accounts expense	3,345
30	678 Office services and rentals	3,974
31	681 Office supplies and expense	2,985
32	682 Professional services	1,499
33	684 Insurance	2,577
34	688 Regulatory commission expense	
35	689 General expenses	318
36	Total Operating Expenses	61,675
37	403 Depreciation expense	3,436
38	407 SDWBA loan amortization expense	
39	408 Taxes other than income taxes	13,401
40	409 State corporate income tax expense	144
41	410 Federal corporate income tax expense	
42	Total Operating Revenue Deductions	16,931
43	Utility Operating Income	24,252
44	421 Non-utility income	
45	426 Miscellaneous non-utility expense	
46	427 Interest expense	1,724
47	Net Income	22,528

SCHEDULE G - SOURCES OF SUPPLY AND WATER DEVELOPED WELLS

Location	No.	Diam. Inch	Depth to water feet	Pumping capacity (g.p.m.)	Annual quantities pumped
N/A					

OTHER

Streams or springs location of diversion point	Flow in (Unit)		Annual quantities diverted (Unit)	
	Priority right	Diversions		
	Claim	Capacity	Max	Min
N/A				
Purchased water (unit)				
Supplier:	Annual quantity			

SCHEDULE H - OPTIONAL WATER DELIVERED TO METERED CUSTOMERS
(If figures are available) (specify unit)

Classification of service	Max. mo. Mo. of	Min. mo. Mo. of	Total for year
Residential & business			
Industrial			
Public authorities			
Irrigation			
Other (specify)			
Total			

SCHEDULE I - EMPLOYEES AND THEIR COMPENSATION

Line	Acct	Account	Number at end of year	Salaries Charged to Expense	Salaries Charged to Plant Accounts	Total Salaries and Wages Paid
48	630	Employee Labor PART TIME	1	5,542		5,542
49	670	Office salaries PART TIME	3	5,816		5,816
50	671	Management salaries PART TIME	1	22,320		22,320
51		Total	5	33,678		33,678

① ADJUSTMENT NEGOTIATED WITH SUPPLIER DUE TO PREVIOUS EXCESSIVE CHARGE

SCHEDULE J - ADVANCES FOR CONSTRUCTION

Balance beginning of year	
Additions during year	
Subtotal - Beginning balance plus additions during year	
Refunds	
Transfers to Acct. 271 - Contributions in Aid of Construction	
Balance end of year	

NONE

SCHEDULE K - TOTAL METERS AND SERVICES (active and inactive)

Size	Meters	Services
3/4 x 3/4-in		
3/4-in		
1-in		
-in		
-in		
Total		

NONE

SCHEDULE L - METER-TESTING DATA

Number of meters tested during year	
1 Used, before repair	
2 Used, after repair	
3 Fast, requiring refund	
Numbers of meters in service requiring test per General Order No. 103	

SEWER

SCHEDULE M - SERVICE CONNECTIONS AT END OF YEAR

Classification	Active			Inactive			Total connections	
	Metered	Flat	Total	Metered	Flat	Total	Metered	Flat
Residences		194						194
Industrial		4						4
Other (specify) SCHOOL		3						3
COMMERCIAL		5						5
Subtotal		206						206
Fire protection (Hydrants)								
Total		206						206

NOTE: Total connections (metered plus flat) should agree with total services in Schedule K.

SCHEDULE N - STORAGE FACILITIES

SCHEDULE O - FOOTAGES OF PIPE (EXCLUDING SERVICE PIPES)

Description	No.	Combined capacity in gallons	Description	2' and under				6" 8'		Totals
				2 1/4 to 3 1/4	4"	Other sizes (specify)				
Concrete			Cast Iron							
Earth	1	19,550,000 (@ 6')	Welded steel							
Wood			Standard screw							
Steel			Cement-asbestos							
Other			Plastic				2500		2500	
			Other (specify)				7500	9500	15000	
Total	1	19,550,000 (@ 6')	Total				10,000	9500	19500	

DECLARATION

(BEFORE SIGNING PLEASE CHECK TO SEE THAT ALL SCHEDULES HAVE BEEN COMPLETED)

I, the undersigned (officer, partner or owner) of WATERTEK, INC. - SPRINGFIELD (Name of utility), under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period

From and including JANUARY 1, 2000, to and including DECEMBER 31, 2000

Raymond L. Smith
Signed

PRESIDENT
Title

4/27/01
Date

per KKL they own Spreckels



Law + Government Dept.

P.O. Box 4070, Salinas, CA 93912-4070
1 Harris Road, Salinas, CA 93908

Phone: (831) 455-3928 Fax: (831) 455-3687 www.taproduce.com

FACSIMILE TRANSMISSION

TO: Wendy Tam 415-703-4426
FROM: Morag Tritt
DATE: December 17, 2001
PAGES: 6 (including cover page)
RE: Spreckels Water Company

Attached are documents relating to Spreckels Water Company - hopefully these will assist you in your search.

Thanks again.

Morag

(408) 367-8344

*12/17/01
Morag Tritt requested Spreckels Water Report*

*Morag Tritt
Tanimura & Antle, Inc.*

*1/7/02
per KKL there is no
Spreckels Water Report...*



MONTEREY COUNTY HEALTH DEPARTMENT
DIVISION OF ENVIRONMENTAL HEALTH
RESOURCE PROTECTION BRANCH
1270 NATIVIDAD ROAD, ROOM 102, SALINAS, CA 93906

HEALTH PERMIT

AND FEE RECEIPT

DATE APPLICATION RECEIVED: 4/01/97 FEE \$ 90.00

RECEIVED BY: SUSAN NO. 143568 PENALTY .00

ENTERED PAGE # _____ TOTAL \$ 90.00

(WAT 8133) 0105 (KC- 42)

BUSINESS ADDRESS WATER SYSTEMS

COUNTY SMALL WS 2
SPRECKLES RD

SPRECKLES ROAD WATER SYSTEM #4
(T&A) TONY DE LA LLARA
PO BOX 7428
SPRECKLES CA 93962

THIS PERMIT IS TO BE PROMINENTLY
DISPLAYED AT PLACE OF BUSINESS, AND
MAY BE SUSPENDED OR REVOKED FOR
CAUSE.

Robert J. Melton, M.D.
Robert J. Melton, M.D., M.P.H.
MONTEREY COUNTY HEALTH OFFICER

BY Walter Wong
DIRECTOR OF ENVIRONMENTAL HEALTH

EXPIRES ON: JUNE 30, 19 98 ~~DECEMBER 31, 1997~~

NON - TRANSFERABLE

Spreckels Water Company
Balance Sheet
August 31, 1997 and December 31, 1996

ASSETS	August 31, 1997	December 31, 1996
Cost	\$ 60,169	\$ 60,302
Water Plant in Service (net of depreciation of \$12,419 and \$12,667, respectively)	<u>67,391</u>	<u>86,333</u>
Total Assets	<u>\$ 127,560</u>	<u>\$ 146,635</u>
LIABILITIES		
Current Liabilities Due to Parent	-	\$ 24,880
EQUITY		
Common Stock	\$ 31,807	31,807
Paid-in-Capital	51,550	51,550
Retained Earnings	44,202	38,397
Investment In Spreckels Consolidated Industries, Inc.	<u>1</u>	<u>1</u>
Total Liabilities & Equity	<u>\$ 127,560</u>	<u>\$ 146,635</u>

Note:

Spreckels Consolidated Industries ("SCI") Financial Statements are combined into Spreckels Water Company because SCI is a wholly owned subsidiary of Spreckels Water Company (SWC).

Spreckels Water Company
Income Statement
Eight Months Ended August 31, 1997 and
Twelve Months Ended December 31, 1996

	8 Months Ended August 31, 1997	12 Months Ended December 31, 1996
Operating Revenue	\$ 55,664	\$ 77,834
Operating Expense	51,336	76,947
	<hr/>	
Operating Income	4,328	887
Non-operating Income (Expense)	1,477	-
	<hr/>	
Net Income	\$ 5,805	\$ 887
	<hr/> <hr/>	

Specialty Lotion Company No 1
TITLE OF ACCOUNT(S)

Bank may pay out funds as instructed below:
For Sole Proprietors with your signature alone (in box number 1) or with any one of the other signatures below unless you specify another number here _____ for the other signers.
For all other businesses with any one of the signatures below unless you specify another number here: 1

Authorized Signature(s)

1 Donald C. Roof
(TYPE OR PRINT NAME)
Donald C. Roof
(SIGNATURE)
01117411/1983-50-1983
(DRIVER'S LICENSE / SOCIAL SECURITY NUMBER)

3 Joseph W. Riggs
(TYPE OR PRINT NAME)
Joseph W. Riggs
(SIGNATURE)
ED116093/1528609331
(DRIVER'S LICENSE / SOCIAL SECURITY NUMBER)

2 MICHAEL L. SARINA
(TYPE OR PRINT NAME)
Michael L. Sarina
(SIGNATURE)
RO666181/566-72-0484
(DRIVER'S LICENSE / SOCIAL SECURITY NUMBER)

4 William Turley
(TYPE OR PRINT NAME)
William Turley
(SIGNATURE)
0000002/0206708473
(DRIVER'S LICENSE / SOCIAL SECURITY NUMBER)

5 Robert L. Green
(TYPE OR PRINT NAME)
Robert L. Green
(SIGNATURE)
6650-772-35730-0/50-2120
(DRIVER'S LICENSE / SOCIAL SECURITY NUMBER)

6 _____
(TYPE OR PRINT NAME)

(SIGNATURE)

(DRIVER'S LICENSE / SOCIAL SECURITY NUMBER)

For CDA ONLY

Special Payment Instructions: _____

Accounts Established Under This Agreement

The written information we give you and the tax reporting information you gave us on this card apply to each account opened.

ACCOUNT INFORMATION		DATE OPENED	DATE CLOSED	CLOSING REASON/CODE	CLIENT(S) AUTHORIZATION TO ADD NEW ACCOUNT
TYPE	ACCOUNT #				
DDA	727-865				
DDA	727-15895	4/3/01			

VERSATEL Services

- Please provide VERSATEL services for these accounts.
- Please issue _____ Business Banking VERSATELLER ATM Deposit Card(s) (FOR DDA ONLY).
- Please issue a full service VERSATEL Card for my Sole Proprietorship and personal accounts (FOR SOLE PROPRIETORS ONLY).

Fictitious Trade Name certificate received: _____ One

Waived by: _____

This card replaces all others
007 2 OCT 2 '06

Authorization

You begin a deposit account relationship with us by giving us information about your business and by signing below. We enter the information on our computer system. The written information we give you is part of this agreement and tells you the current terms of our deposit accounts. We may change these terms at any time. We inform you of changes that affect your rights and obligations. You or we can end this banking relationship at any time.

- You want each account opened on this agreement to be: (check one)
- Sole Proprietor Partnership Corporation
- Lodge/Association Trust for Business

Also check this box if you are a non-profit organization operated for religious, charitable, educational or other similar purposes.

Resolved that this entity authorizes the persons whose signatures appear on the reverse to establish one or more deposit accounts for this entity with Bank of America NT&SA (the bank). Those persons are also authorized to execute checks and other items on behalf of this entity, signed, as provided on the reverse and to endorse and deposit checks and other items payable or belonging to this entity. The bank is authorized to honor and pay all checks and other items so signed, including those payable to the order of any person authorized to sign them. This authority remains in force for each account until the bank receives a revocation notice at the office where the account is maintained. The undersigned certifies that this resolution was adopted by the entity in accordance with law and its charter documents, that it is now in force, and that the persons whose signatures appear on the reverse are authorized to act as stated in the resolution.

C. Paul SVD and Michael J. Devine
 SIGNATURE & TITLE SIGNATURE & TITLE

Business Information

SOLE PROPRIETOR

Your individual name _____ Name of your proprietorship _____

PARTNERSHIP/CORPORATION/LODGE/ASSOCIATION

Business Name: Speakeasy White Company

C. Paul SVD Michael J. Devine
 Partner/Officer's name Partner/Officer's name
C. Paul SVD Michael J. Devine
 Partner/Officer's name Partner/Officer's name

TRUST FOR BUSINESS

as named below

- Check one
- Attorney Trust
- Insurance Agent/Broker Trust
- Real Estate Broker Trust
- Sales or Business Agent
- Special Funds

Name of Trust _____

Tax Reporting Information and Certification

Taxpayer Identification Number:

Use the employer identification number Use the social security number

13-3266167

Name of person or entity whose T.I.N. is listed above: _____

Withholding Status: Please tell us whether:

- You are (The entity listed above is) exempt from backup withholding.
- You have (The entity above has) been notified by the IRS that you are (the entity is) currently subject to backup withholding because of underreporting interest or dividends.

Non-Resident Alien Status (if applicable): To qualify for non-resident alien status on this account(s), all beneficial owners must be non-resident aliens and must provide their permanent foreign address. Please notify Bank of America within 30 days of any change in status.

Under penalties of perjury, you certify that you are neither a citizen or a resident of the United States, a U.S. Corporation, U.S. Partnership, U.S. Estate, or U.S. Trust, nor doing business in the United States. This certification must be revalidated every three years.

Depositor's foreign permanent address: _____

Country of residence: _____

Visa No., type (if any), and date of issuance: _____

By signing this agreement, each of you certifies under penalty of perjury, that the taxpayer identification number, withholding status, or non-resident alien status (if applicable) listed on this agreement are true, correct and complete.

For CDA Only

Business Address: _____

Tam, Wendy Y.

From: Tam, Wendy Y.
Sent: Monday, January 07, 2002 1:29 PM
To: 'morag@taproduce.com'
Subject: RE: Spreckels Water Company

Thank you, Happy New Year to you too. No I have not got the report yet. Did you hear from Mr. Heffner? Thanks.

-----Original Message-----

From: morag@taproduce.com [mailto:morag@taproduce.com]
Sent: Thursday, January 03, 2002 1:11 PM
To: Tam, Wendy Y.
Subject: Re: Spreckels Water Company

Wendy: Have you ever received a copy of the Spreckels Water Company Report? I have contacted Mr. Heffner and am waiting for his return call. Thanks.

Happy New Year to you.

Morag Tritt
831-455-3928
Law & Government Department
Tanimura & Antle

*1/8/2002 Ken talked with
Tom Smeeth of Cal Water
there is no spreckels water
Report.*

"Tam, Wendy
Y."
<morag@taproduce.com>
<wyt@cpuc.ca.
gov>
12/18/2001
02:58 PM

To: "'morag@taproduce.com'"
cc:
Subject: Spreckels Water Company

I can't locate the Spreckels Water report, I'm going to call Shawn L. Heffner (regulatory analyst) C/O California Water Service Co. (408) 367-8344 and see if he can send one to me.

you may call him if you need more info.
Thanks.

-----Original Message-----

From: Tam, Wendy Y.
Sent: Monday, December 17, 2001 4:14 PM
To: 'morag@taproduce.com'

Tam, Wendy Y.

From: Tam, Wendy Y.
Sent: Tuesday, December 18, 2001 2:59 PM
To: 'morag@taproduce.com'
Subject: Spreckels Water Company

I can't locate the Spreckels Water report, I'm going to call Shawn L. Heffner (regulatory analyst) C/O California Water Service Co. (408) 367-8344 and see if he can send one to me. you may call him if you need more info.
Thanks.

-----Original Message-----

From: Tam, Wendy Y.
Sent: Monday, December 17, 2001 4:14 PM
To: 'morag@taproduce.com'
Subject: RE: Spreckels Water Company

i received your 6 pages fax, will get back to you tomorrow. Thanks.

-----Original Message-----

From: morag@taproduce.com [mailto:morag@taproduce.com]
Sent: Monday, December 17, 2001 2:25 PM
To: wyt@cpuc.ca.gov
Subject: Spreckels Water Company

Wendy: Thanks so much for your help. Please could we have a copy of the SPRECKELS WATER COMPANY (fresh water).

Thank you.

Morag Tritt
831-455-3928

per Ken Louie 1/8/2002
there is no
Spreckle Water Report.

Sure. For which years? Please send your request with mailing address to
wyt@cpuc.ca.gov

-----Original Message-----

From: morag@taproduce.com [mailto:morag@taproduce.com]

Sent: Thursday, December 13, 2001 12:40 PM

To: flc@cpuc.ca.gov

Subject: Spreckels Water Company

Please would you assist me in obtaining a copy of the Annual Report filed
by the Spreckels Water Company. Thank you.

Morag Tritt
831-455-3928

Tam, Wendy Y.

From: Tam, Wendy Y.
Sent: Monday, December 17, 2001 1:42 PM
To: 'morag@taproduce.com'
Subject: RE: Spreckels Water Company

i was not here Thursday and Friday, just got back today and read your note now, sorry for the delay, you should have received it :-)

-----Original Message-----

From: morag@taproduce.com [mailto:morag@taproduce.com]
Sent: Friday, December 14, 2001 10:59 AM
To: wyt@cpuc.ca.gov
Subject: Spreckels Water Company

This request was sent to you yesterday - Please could you let me know how long it will take to receive this Report - would it be at all possible for you to fax it to me?
Thank you for your help.

Morag Tritt
831-455-3928

----- Forwarded by Morag Tritt/TAProduce on 12/14/2001 10:57 AM -----

Morag Tritt
12/13/2001
01:22 PM
To: wyt@cpuc.ca.gov
cc:
Subject: Spreckels Water Company

Please would you provide me with a copy of the most current Annual Report submitted by Spreckels Water Company either by fax at 831-455-3687 or mail to:

Morag Tritt
P.O. Box 4070
Salinas, CA 93912

Thank you

Morag Tritt
831-455-3928

----- Forwarded by Morag Tritt/TAProduce on 12/13/2001 01:19 PM -----

"Curry, Fred
L."
<morag@taproduce.com>
<flc@cpuc.ca.gov>
12/13/2001
01:19 PM
To: "'morag@taproduce.com'"
cc: "Tam, Wendy Y." <wyt@cpuc.ca.gov>
Subject: RE: Spreckels Water Company

Sure. For which years? Please send your request with mailing address to
wyt@cpuc.ca.gov

-----Original Message-----

From: morag@taproduce.com [mailto:morag@taproduce.com]

Sent: Thursday, December 13, 2001 12:40 PM

To: flc@cpuc.ca.gov

Subject: Spreckels Water Company

Please would you assist me in obtaining a copy of the Annual Report filed
by the Spreckels Water Company. Thank you.

Morag Tritt
831-455-3928

From Fred,

Mr. Joe Ricks - Consultant for
Spreckles Water Called 4/16/2002 11:30 a.m.
and asked send Annual Report Form
Year of 2000 to Columbus McKinnon
Attn. Mr. Tim Harvey

140 John James Audubon Parkway
Amhurst, NY 14228-1197

Mr. Harvey will file the
Annual Report for Yr. 2000.

Joe Ricks

847-689-4900

X 553

1/17/2002

1/17/02 mailed

Mr. Harvey,

Per talk with Mr. Joe Ricks,
enclosed please find 2 copies of filing
Annual Report form year of 2000
for Spreckels Water Co..

Pls. file the report as soon as
possible and send to California Public
Utilities Commission, Water Division -
Audit & Compliance Branch, Attn. WENDY TAM
505 Van Ness Ave., 3rd Floor,
S. F. CA 94102.

716-689-5648

Thank you.

1/23/02

Talked with Tim Harvey
He need to check have done or not
will get back to me.

1/23/02
Brad Thomas
Secretary Assessor office
Request AR of Spreckels
831-785-5035

Wendy Tam
Secretary of AUCB
Water Div.