

Received _____

Examined _____

CLASS A
WATER UTILITIES

U# _____

2012
ANNUAL REPORT
OF

CALIFORNIA-AMERICAN WATER COMPANY

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

1033 B Avenue, Suite 200, Coronado, CA 92118

(OFFICIAL MAILING ADDRESS)

ZIP

TO THE
PUBLIC UTILITIES COMMISSION
STATE OF CALIFORNIA
FOR THE
YEAR ENDED DECEMBER 31, 2012

REPORT MUST BE FILED NOT LATER THAN APRIL 2, 2013

TABLE OF CONTENTS

	Page
General Instructions	4
Instructions for Preparation of Selected Financial Data Sheet for All Water Utilities	5
Balance Sheet Data and Capitalization	6
Income Statement and Other Related Data	7
	8
Income Statement and Other Related Data - Excluding Non-Regulated Activities	9
Notes to Adjusted Selected Financial Data Due to Excluding of Non-Regulated Activities	10
Excess Capacity and Non-Tariffed Services	11
General Information	12
Schedule A - Comparative Balance Sheets - Assets and Other Debits	13
Schedule A - Comparative Balance Sheets - Liabilities and Other Credits	14
Schedule B - Income Account for the Year	15
Schedule A-1 - Account 100 - Utility Plant	16
Schedule A-1a - Account 100.1 - Utility Plant in Service	16 - 17
Schedule A-1b - Account 302 - Franchises and Consents	17
Schedule A-1c - Account 100.4 - Utility Plant Held for Future Use	18
Schedule A-2 - Account 110 - Other Physical Property	18
Schedule A-1d - Rate Base and Working Cash	19
Schedule A-3 - Accounts 250 to 253, Inclusive - Depreciation and Amortization Reserves	20
Schedule A-3a - Account 250 - Analysis of Entries in Depreciation Reserve	21
Schedule A-4 - Account 111 - Investment in Associated companies	22
Schedule A-5 - Account 112 - Other Investments	22
Schedule A-6 - Account 113 - Sinking Funds	22
Schedule A-7 - Account 114 - Miscellaneous Special Funds	23
Schedule A-8 - Account 121 - Special Deposits	23
Schedule A-9 - Account 124 - Notes Receivable	23
Schedule A-10 - Account 126 - Receivables for Associated Companies	24
Schedule A-11 - Account 132 - Prepayments	24
Schedule A-12 - Account 133 - Other Current and Accrued Assets	24
Schedule A-13 - Accounts 140 and 240 - Unamortized Debt Discount and Expense and Unamortized Premium on Debt	25
Schedule A-14 - Account 141 - Extraordinary Property Losses	26
Schedule A-15 - Account 146 - Other Deferred Debits	26
Schedule A-16 - Account 150 - Discount on Capital Stock	26
Schedule A-17 - Account 151 - Capital Stock Expense	26
Schedule A-18 - Accounts 200 and 201 - Capital Stock	27
Schedule A-18a - Record of Stockholders at End of Year	27
Schedule A-19 - Account 203 - Premiums and Assessments on Capital Stock	27
Schedule A-20 - Account 270 - Capital Surplus (For Use by Corporations Only)	28
Schedule A-21 - Account 271 - Earned Surplus (For Use by Corporations Only)	28

Schedule A-22 - Account 204 - Propriety Capital (Sole Proprietor or Partnership)	29
Schedule A-23 - Account 205 - Undistributed Profits of Proprietorship or Partnership)	29
Schedule A-24 - Account 210 - Bonds	30
Schedule A-24 (a) - Class A Water Companies - Safe Drinking Water Bond Act Data	31
Schedule A-25 - Account 212 - Advances from Associated Companies	32
Schedule A-26 - Account 213 - Miscellaneous Long Term Debt	32
Schedule A-27 - Securities Issued or Assumed During Year	32
Schedule A-28 - Account 220 - Notes Payable	33
Schedule A-29 - Account 223 - Payables to Associated Companies	33
Schedule A-30 - Account 230 - Other Current and Accrued Liabilities	33
Schedule A-31 - Account 228 - Accrued and Prepaid Taxes	34
Schedule A-32 - Account 241 - Advances for Construction	35
Schedule A-33 - Account 242 - Other Deferred Credits	36
Schedule A-34 - Accounts 254 to 258, Inclusive - Miscellaneous Reserves	36
Schedule A-33 - Account 242 - Other Deferred Credits (Addendum)	37
Schedule A-35 - Account 265 - Contributions in Aid of Construction	38
Schedule B-1 - Account 501 - Operating Revenues	39
Schedule B-1a - Operating Revenues Apportioned to Cities and Towns	39
Schedule B-2 - Account 502 - Operating Expenses - For Class A, B, and C Water Utilities	40-42
Schedule B-3 - Account 506 - Property Losses Chargeable to Operations	43
Schedule B-4 - Account 507 - Taxes Charged During Year	43
Schedule B-5 - Reconciliation of Reported Net Income with Taxable Income for Federal Taxes	44
Schedule B-6 - Account 521 - Income from Non-utility Operations	45
Schedule B-7 - Account 526 - Miscellaneous Non-operating Revenue	45
Schedule B-8 - Account 527 - Non-operating Revenue Deductions (Dr.)	45
Schedule B-9 - Account 535 - Other Interest Charges	45
Schedule B-10 - Miscellaneous Income Deductions	45
Schedule C-1 - Engineering and Management Fees and Expenses, etc., During Year	46
Schedule C-2 - Compensation of Individual Proprietor or Partner	47
Schedule C-3 - Employees and Their Compensation	47
Schedule C-4 - Record of Accidents During Year	47
Schedule C-5 - Expenditures for Political Purposes	48
Schedule C-6 - Loans to Directors, or Officers, or Shareholders	48
Schedule C-7 - Bonuses Paid to Executives & Officers	48
Schedule D-1 - Sources of Supply and Water Developed	49
Schedule D-2 - Description of Storage Facilities	49
Schedule D-3 - Description of Transmission and Distribution Facilities	50
Schedule D-4 - Number of Active Service Connections	51
Schedule D-5 - Number of Meters and Services on Pipe System at End of Year	51
Schedule D-6 - Meter Testing Data	51

Schedule D-7 - Water Delivered to Metered Customers by Months and Years	52
Schedule D-8 - Status with State Board of Public Health	53
Schedule D-9 - Statement of Material Financial Interest	53
Schedule E-1 - Balancing & Memorandum Accounts	54
Schedule E-2 - Description of Low-Income Rate Assistance Program(s)	55
Schedule E-3 - Description of Water Conservation Program(s)	56
Schedule E-4 - Report on Affiliated Transactions	57
All Water Utilities - Safe Drinking Water Bond Act / State Revolving Fund Data	58
Facilities Fees Data	59
Declaration	60
Index	61

GENERAL INSTRUCTIONS

1. Two completed and signed hard copies of this report and one electronic copy must be filed **NOT LATER THAN APRIL 2, 2013**, with:

**CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS
ATTN: KAYODE KAJOPAIYE
505 VAN NESS AVENUE, ROOM 3105
SAN FRANCISCO, CALIFORNIA 94102-3298
kok@cpuc.ca.gov**

2. Failure to file the report on time may subject a utility to the penalties and sanctions provided by the Public Utilities Code.
3. The Oath on Page 60, must be signed by an authorized officer, partner, or owner.
4. The report must be prepared in ink or by the use of a typewriter. Computer generated report forms may be substituted.
5. The report must be filled in, and every question answered. **LEAVE NO SCHEDULE BLANK.** Insert the words "none" or "not applicable" or "n/a" when appropriate.
6. Certain balance sheet and income statement accounts refer to supplemental schedules. The totals of the details in the latter must agree with the balances of the accounts to which they refer.
7. Some schedules provide for a "balance at beginning of year." The amount shown should agree with the "balance at end of year" as shown in the report for the previous year. If there is a difference, it should be explained by footnote.
8. When there is insufficient space in a schedule to permit a complete statement of the requested information, insert sheets should be prepared and identified by the number of the schedule to which it refers. Be certain that the inserts are securely attached to the report. If inserts are needed, prepare all inserts in one separate electronic file in Microsoft Excel format and file it with the electronic file of this report.
9. This report must cover the calendar year from January 1, 2012, through December 31, 2012. Fiscal year reports will not be accepted.
10. Your company's external auditor information, such as external auditor's name, phone number, and address, must be included in Page 12, Item 12, of this report.

INSTRUCTIONS

FOR PREPARATION OF
SELECTED FINANCIAL DATA SHEET
FOR ALL WATER UTILITIES

To prepare the attached data sheets, refer to the Balance Sheet, Income Statement and supporting detail schedules in the annual report for the required data.

Please follow the instructions below:

1. Common plant allocated to water should be indicated with water plant figures in Net Plant Investment.
2. The capitalization section for those reporting on both California Only and Total System Forms should be identical and completed with Total Company Data. Be sure that Advances for Construction include California water data only.
3. Complete the operation section with California water revenues and expenses, but if necessary, remove interdepartmental revenues and expenses.
4. All other utility and nonutility revenues and expenses are netted on Line No. 38.

BALANCE SHEET AND CAPITALIZATION DATA

Calendar Year 2012

NAME OF UTILITY California-American Water PHONE 1-619-522-6360

PERSON RESPONSIBLE FOR THIS REPORT Robert MacLean

	1/1/2012	12/31/2012	Average
BALANCE SHEET DATA			
1 Intangible Plant	\$ 5,760,730	\$ 5,760,892	\$ 5,760,811
2 Land and Land Rights	6,433,911	6,434,628	6,434,270
3 Depreciable Plant	704,502,794	741,850,391	723,176,592
4 Gross Plant in Service	716,697,435	754,045,911	735,371,673
5 Less: Accumulated Depreciation	(263,065,762)	(279,028,374)	(271,047,068)
6 Net Water Plant in Service	453,631,673	475,017,538	464,324,605
7 Water Plant Held for Future Use	1,518,651	1,518,651	1,518,651
8 Construction Work in Progress	31,933,701	43,458,584	37,696,143
9 Materials and Supplies	787,820	779,281	783,550
10 Less: Advances for Construction	(16,653,089)	(16,307,521)	(16,480,305)
11 Less: Contributions in Aid of Construction	(59,090,929)	(58,199,527)	(58,645,228)
12 Less: Accumulated Deferred Income and Investment Tax Credits	(31,629,773)	(36,053,178)	(33,841,476)
13 Net Plant Investment	<u>\$ 380,498,054</u>	<u>\$ 410,213,827</u>	<u>\$ 395,355,940</u>
CAPITALIZATION			
14 Common Stock	\$ 92,477,914	\$ 92,477,914	\$ 92,477,914
15 Proprietary Capital (Individual or Partnership)	0	0	0
16 Paid-in Capital	150,530,686	150,750,449	150,640,568
17 Retained Earnings	30,762,695	37,547,079	34,154,887
18 Common Stock and Equity (Lines 14 through 17)	273,771,295	280,775,442	277,273,369
19 Preferred Stock	0	0	0
20 Long-Term Debt	278,000,000	254,000,000	266,000,000
21 Notes Payable	0	0	0
22 Total Capitalization (Lines 18 through 21)	<u>\$ 551,771,295</u>	<u>\$ 534,775,442</u>	<u>\$ 543,273,369</u>

Note: _____

INCOME STATEMENT AND OTHER DATA

Calendar Year 2012

NAME OF UTILITY California-American Water PHONE 1-619-522-6360

INCOME STATEMENT	Annual Amount
23 Unmetered Water Revenue	9,542,921
24 Fire Protection Revenue	2,491,343
25 Irrigation Revenue	-
26 Metered Water Revenue	180,072,494
27 Total Operating Revenue	192,106,758
28 <u>Operating Expenses</u>	109,909,300
29 Depreciation Expense (Composite Rate 3.73%)	18,576,450
30 Amortization and Property Losses	565,371
31 Property Taxes	4,058,896
32 Taxes Other Than Income Taxes	1,513,478
33 Total Operating Revenue Deduction Before Taxes	57,483,263
34 California Corp. Franchise Tax	121,634
35 Federal Corporate Income Tax	16,910,706
36 Total Operating Revenue Deduction After Taxes	40,450,923
37 Net Operating Income (Loss) - California Water Operations	40,450,923
38 Other Operating and Nonoperating. Income and Exp. - Net (Exclude Interest Expense)	2,651,087
39 Income Available for Fixed Charges	43,102,010
40 Interest Expense	18,155,910
41 Net Income (Loss) Before Dividends	24,946,100
42 Preferred Stock Dividends	-
43 Net Income (Loss) Available for Common Stock	24,946,100

OTHER DATA	
44 Refunds of Advances for Construction	542,957
45 Total Payroll Charged to Operating Expenses	18,429,403
46 Purchased Water	39,094,162
47 Power	5,922,501
48 Class A Water Companies Only:	
a. Pre-TRA 1986 Contributions in Aid of Construction	50,852,200
b. Pre-TRA 1986 Advances for Construction	5,531,353
c. Post TRA 1986 Contributions in Aid of Construction	7,347,327
d. Post TRA 1986 Advances for Construction	12,183,947

<u>Active Service Connections</u>	(Exc. Fire Protect.)	Jan. 1	Dec. 31	Annual Average
49 Metered Service Connections		153,232	167,632	160,432
50 Flat Rate Service Connections		33,043	19,628	26,336
51 Total Active Service Connections		186,275	187,260	186,768

BALANCE SHEET AND CAPITALIZATION DATA
Adjusted to Exclude Non-Regulated Activity
 Calendar Year 2012

NAME OF UTILITY California-American Water PHONE 1-619-522-6360

PERSON RESPONSIBLE FOR THIS REPORT Robert MacLean

	1/1/2012	12/31/2012	Average
BALANCE SHEET DATA			
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CAPITALIZATION			
14 Common Stock	\$ 92,477,914	\$ 92,477,914	\$ 92,477,914
15 Proprietary Capital (Individual or Partnership)	0	0	0
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INCOME STATEMENT AND OTHER DATA

Adjusted to Exclude Non-Regulated Activity

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32 Taxes Other Than Income Taxes	1,513,478
33 Total Operating Revenue Deduction Before Taxes	57,483,263
34 California Corp. Franchise Tax	121,634
35 Federal Corporate Income Tax	16,548,433
36 Total Operating Revenue Deduction After Taxes	40,813,196
37 Net Operating Income (Loss) - California Water Operations	40,813,196
38 Other Operating and Nonoperating. Income and Exp. - Net (Exclude Interest Expense)	1,824,735
39 Income Available for Fixed Charges	42,637,931
40 Interest Expense	18,155,910
41 Net Income (Loss) Before Dividends	24,482,021
42 Preferred Stock Dividends	-
43 Net Income (Loss) Available for Common Stock	24,482,021

OTHER DATA	
44 Refunds of Advances for Construction	542,957
45 Total Payroll Charged to Operating Expenses	18,429,403
46 Purchased Water	39,094,162
47 Power	5,922,501
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<u>Active Service Connections</u>	(Exc. Fire Protect.)	Jan. 1	Dec. 31	Annual Average
49 Metered Service Connections		153,232	167,632	160,432
50 Flat Rate Service Connections		33,043	19,628	26,336
51 Total Active Service Connections		186,275	187,260	186,768

Notes to Adjusted Selected Financial Data

Instructions: Provide reasons for each adjustment to the Selected Financial Data due to non-regulated activities. Detail this information by name of line item (Gross Plant, Operating Revenues, etc.), account number, dollar amount, and by point in time (end of year, beginning of year, or average).

Other Operating and Nonoperating Income and Exp. - Net (Exclude Interest Expense), Account Numbers 526 and 522, Dollar Amount \$826,352 is the non-regulated activity as referenced in Excess

1 Capacity schedule.

Federal Corporate Income Tax, Account Number 507, Dollar Amount \$359,298 represents tax effect of reduction of non-regulated revenues.

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Excess Capacity and Non-Tariffed Services

NOTE: In D.00-07-018, D.03-04-028, and D. 04-12-023, the CPUC set forth rules and requirements regarding water utilities provision of non-tariffed services using excess capacity. These decisions require water utilities to: 1) file an advice letter requesting Commission approval of that service, 2) provide information regarding non-tariffed goods/services in each companies Annual Report to the Commission.

Based on the information and filings required in D.00-07-018, D.03-04-028, and D.04-12-023, provide the following information by each individual non-tariffed good and service provided in 2012:

Applies to All Non-Tariffed Goods/Services that require Approval by Advice Letter											
Row Number	Description of Non-Tariffed Goods/Services	Active or Passive	Total Revenue Derived from Non-tariffed Goods/ Services (by account)	Revenue Account Number	Total Expenses Incurred to Provide Non-tariffed Goods/ Services (by account)	Expense Account Number	Advice Letter and/or Resolution Number Approving Non-tariffed Goods/ Services	Total Income Tax Liability Incurred Because of Non-tariffed Goods/ Services (by account)	Income Tax Liability Account Number	Gross Value of Regulated Assets Used in the Provision of a Non-tariffed Goods/ Services (by account)	Regulated Asset Account Number
	Descanso Operating and Maintenance	Active	120,713	526	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Wildwings Operating and Maintenance	Active	577,300	526	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Antenna Leases (various)	Active	128,339	522	N/A	N/A	N/A	N/A	N/A	N/A	N/A

GENERAL INFORMATION

- 1 Name under which utility is doing business:
California-American Water Company
- 2 Official mailing address:
1033 B Avenue, Suite 200, Coronado, CA ZIP 92118
- 3 Name and title of person to whom correspondence should be addressed:
Robert MacLean, President Telephone: 1-619-522-6360
- 4 Address where accounting records are maintained:
131 Woodcrest Road, Cherry Hill, NJ 08003
- 5 Service Area (Refer to district reports if applicable):
See District Reports
- 6 Service Manager (If located in or near Service Area.) (Refer to district reports if applicable.)
Name: See District Reports
Address: _____ Telephone: _____
- 7 OWNERSHIP. Check and fill in appropriate line:
- | | |
|-------------------------------------|--|
| <input type="checkbox"/> | Individual (name of owner) _____ |
| <input type="checkbox"/> | Partnership (name of partner) _____ |
| <input type="checkbox"/> | Partnership (name of partner) _____ |
| <input type="checkbox"/> | Partnership (name of partner) _____ |
| <input checked="" type="checkbox"/> | Corporation (corporate name) <u>California-American Water Company</u> |
| | Organized under laws of (state) <u>California</u> Date: <u>12/7/1965</u> |
- Principal Officers:
- | | |
|--------------------------|--|
| <u>Robert G MacLean</u> | <u>President</u> |
| <u>Ed Simon</u> | <u>VP Operations</u> |
| <u>Jeffrey Linam</u> | <u>VP Finance & Treasurer</u> |
| <u>Kevin Tilden</u> | <u>VP External Affairs</u> |
| <u>Richard Svindland</u> | <u>VP Engineering</u> |
| <u>Lilian Wilkerson</u> | <u>VP Human Resources</u> |
| <u>Anthony Cerasuelo</u> | <u>VP Legal - Operations & Secretary</u> |
| <u>Sarah Leeper</u> | <u>VP Legal - Regulatory & Assistant Secretary</u> |
| <u>Lori Girard</u> | <u>Assistant Secretary</u> |
| <u>Timothy Miller</u> | <u>Assistant Secretary</u> |
| <u>Dave Stephenson</u> | <u>Assistant Treasurer</u> |
- 8 Names of associated companies:

- 9 Names of corporations, firms or individuals whose property or portion of property have been acquired during the year, together with date of each acquisition:
- | | |
|-------------|-------------|
| _____ | Date: _____ |
| <u>None</u> | Date: _____ |
| _____ | Date: _____ |
| _____ | Date: _____ |
- 10 Use the space below for supplementary information or explanations concerning this report:
None
- 11 List Name, Grade, and License Number of all Licensed Operators:
See Attached List
12. List Name, Address, and Phone Number of your company's external auditor:
Name: PricewaterhouseCoopers, LLP Telephone 267 330-3000
Address: 2001 Market St. Suite 1700 Philadelphia, PA 19103

Coronado				WATER DISTRIBUTION			WATER TREATMENT			BACKFLOW	
Name	Position	Location	State	CDPH			CDPH			Operator #	Expiration
				Grade	Operator #	Expiration	Grade	Operator #	Expiration		
Becerra, Robert	Operations Spec	Coronado	CA	D3	9497	8/1/2014	T1	23802	11/1/2013	11492	12/31/2012
Bennett, Eric	Meter Reader	Coronado	CA	D1	41662	7/15/2015					
Cervantes, Javier	Laborer	Coronado	CA	D2	32353	11/1/2014					
Garcia, Estevan	Laborer	Coronado	CA	D1	39144	5/1/2014					
Garcia, Victor	Foreman	Coronado	CA	D4	9065	5/1/2015	T2	23461	4/1/2014		
Hernandez, Charlie	Meter Reader	Coronado	CA	D2	10032	6/1/2015					
Lau, Helen	Admin Asst	Coronado	CA	D1	41637	7/15/2015					
Mattis, Chris	Operations Mgr	Coronado	CA	D5	4017	6/1/2015	T2	14655	6/1/2014		
McKenzie, Richard	Laborer	Coronado	CA	D1	41578	11/1/2015					
Miller, Brian	Cust Field Rep	Coronado	CA	D2	17913	10/1/2014					
Nessman, Chris	Foreman	Coronado	CA	D3	9246	1/1/2015	T2	24861	1/1/2014		
Nevarez, Leonardo	Utility Worker	Coronado	CA	D2	26731	12/1/2013	T1	25851	7/1/2013		
Salas, Martin	Utility Worker	Coronado	CA	D2	27111	12/1/2013	T1	32655	1/1/2014		
Salazar, Julio	Laborer	Coronado	CA	D2	34926	10/1/2014					
Santoyo, Gabriel	Foreman	Coronado	CA	D3	18809	1/1/2016	T1	25454	1/1/2016		
Schomer, Rory	Meter Reader	Coronado	CA	D3	9516	6/1/2015	T2	23572	7/1/2013		
Schuler, Matthew	Laborer	Coronado	CA	D1	39625	6/1/2014					
Valdez, Ritchie	Utility Worker	Coronado	CA	D2	30205	1/1/2016					

Los Angeles				WATER DISTRIBUTION			WATER TREATMENT			BACKFLOW	
				CDPH			CDPH				
Name	Position	Location	State	Grade	Operator #	Expiration	Grade	Operator #	Expiration	Operator #	Expiration
Anderson, William	Meter Reader	LA	CA	D2	15392	8/1/2015					
Arenas, Ray	Utilityworker I	LA	CA	D1	39788	8/1/2014					
Coleman, John	Sr. Customer Service Rep	LA	CA	D3	4153	4/1/2015	T2	15485	10/1/2013		
Cruz, Joe	Utilityworker I	LA	CA	D2	29086	8/1/2013					
Echevarria, Herminio	Equipment Operator	LA	CA	D3	7698	4/1/2015					
Gonzales, Jesus	Utilityworker I	LA	CA	D1	32688	4/1/2016					
Grindelnd, Daryl	Utilityworker II	LA	CA	D2	3710	4/1/2015					
Hori, Beverly	Customer Service Supt	LA	CA	D2	4539	4/1/2015					
Leffler, Dean	Operations Supervisor	LA	CA	D4	5149	11/1/2015	T2	14001	7/1/2015		
Maestas, Dave	Meter Reader	LA	CA	D1	31822	6/1/2014					
Marini, Erminio	Pump Operator	LA	CA	D5	29529	2/1/2014	T4	19694	10/1/2014		
McKeehan, Everett	Utilityworker II	LA	CA	D3	3863	4/1/2015	T1	14107	10/1/2013		
Morgan, Dennis	Utilityworker II	LA	CA	D3	5558	3/1/2015	T2	33794	1/1/2015		
Mullins, Tim	Utilityworker II	LA	CA	D3	5345	7/1/2015	T2	32625	9/1/2015		
Playter, Tim	Laborer	LA	CA	D2	33803	1/1/2015					
Rehard, Patti	Laborer	LA	CA	D1	39562	5/1/2014					
Rojas, Eric	Utilityworker I	LA	CA	D3	16309	7/1/2013	T2	27190	1/1/2015		
Romero, Louie	Operations Supervisor	LA	CA	D3	2872	6/1/2015					
Slusser, Chris	Distribution Clerk	LA	CA	D2	5077	4/1/2015					
Strommen, Dan	Laboratory Technician	LA	CA	D3	5360	4/1/2015	T2	23042	5/1/2016		
Tanaka, Richard	Pump Foreman	LA	CA				T2	31796	3/1/2013		
Warfield, William	Customer Service Rep	LA	CA	D1	22953	2/1/2015					
Welton, Jon	Equipment Operator	LA	CA	D2	4106	4/1/2015					

Ventura				WATER DISTRIBUTION			WATER TREATMENT			BACKFLOW	
Name	Position	Location	State	CDPH			CDPH			Operator #	Expiration
				Grade	Operator #	Expiration	Grade	Operator #	Expiration		
Albarez, Anthony	Utility Worker	Ventura	CA	D2	31579	04/01/13	T1	30228	01/01/12		
Boyle, Tom	Operations Supervisor	Ventura	CA	D2	7131	09/01/15	T2	16905	05/01/16		
Estrada, Henry	Utility Worker	Ventura	CA	D3	4355	03/01/15	T1	23449	05/01/16		
Hilbert, Mike	Utility Worker	Ventura	CA	D2	15436	05/01/15	T1	24045	11/01/13		
Jacquez, Rudy	Utility Worker	Ventura	CA	D2	4357	03/01/15	---	---	---		
Molina, Henry	Utility Worker	Ventura	CA	D2	28797	01/01/15	T1	30251	01/01/15		
Pappas, James	Utility Worker	Ventura	CA	D4	2746	07/01/14	T2	8790	07/01/14		
Roldan, Joe	Pump Operator	Ventura	CA	D3	5139	04/01/15	T1	19732	06/01/14		
Trejo, Steven	Utility Worker	Ventura	CA	D1	39742	07/01/14					
Victorero, Robert	Utility Worker	Ventura	CA	D2	14215	05/01/15	---	---	---		
Weiss, Bill	Operations Specialist	Ventura	CA	D3	4445	03/01/15	T1	20020	06/01/14		
Williams, Jenifer	Operations Specialist	Ventura	CA	D5	14217	04/01/14	T2	23062	05/01/16		
Yanez, Al	Operations Manager	Ventura	CA	D6	14218	04/02/14	---	---	---		

Monetary		WATER DISTRIBUTION			WATER TREATMENT			BACKFLOW		WASTEWATER			MISCELLANEOUS	
Name	Position	Grade	Operator #	Expiration	Grade	Operator #	Expiration	Operator #	Expiration	Grade	Operator #	Expiration		
Alonzo, Alexander M.	Meter Reader	D1	38708	3/1/2014										
Alvarez, Salvador S.	Utility Worker I-Grade II	D2	10761	3/1/2015										
Arveson, Leonard	Treatment Plant Op (Grade III)	D3	6539	3/1/2015	T2	15717	5/1/2015							
Barber, Mark L.	Pump Operator	D1	30292	3/1/2015										
Bar, Charles R.	Wastewater Foreman									IV-8115	4	6/30/2013	Water Quality Analyst #528; Grade I Laboratory Analyst #260-Backflow Prevention #02553.	
Barnes, Michael		D2	37456	8/1/2018										
Baxter, James P.	WWW Plant Operator II	D2	2669	3/1/2016	T2	2590	1/1/2013	13868	5/31/2014	II-10743	2	12/31/2012	Backflow Tester	13868
Burnell, George V.	Treatment Plant Op (Grade III)	D4	19077	12/1/2014	T3	23813	1/1/2013			II-10381	2	12/31/2011	Collections II expires 12/31/12	
Bustamante, Sergio	Treatment Plant Operator	D2	28246	7/1/2015	T2	27892	12/1/2013							
Ceballos, Raymond M.	Utility Worker I Grade I	D1	31501	10/18/2018										
Chang, Brenda S.	System Operator II	D2	27892	7/1/2014	T2	28213	1/1/2013			I-28734	1	12/31/2011		
Coppa, Robert T.	Treatment Plant Op (Grade IV)	D4	4944	3/1/2015	T4	14839	2/1/2014							
Cruz-Gonzalez, Ronalco	WWW Plant Operator	D2	37408	5/1/2016	T1	31644	2/1/2013			I-28727	2	6/30/2012		
Clifton, Luke E.	Utility Worker II-Grade II	D2	3606	8/1/2015				86429001	4/30/2012					
Conley, Gregory S.	WWW Plant Operator II	D2	31348	7/1/2015						II-10191	2	6/30/2012	CWE Collections II CWEA Lab Analyst I	6012008
Da Calampo, Raymond T.	Laboratory Technician Grade II	D2	31348	7/1/2015										1/28/2012
Deese, Joshua J.	Utility Worker	D1	39023	6/1/2016										
Dimaggio, Joseph L.	Super Ops I	D2	9907	8/1/2015										
Dote, Raymond G.	Water Conservation Specialist													
Dorsey, Nestor T.	Landscape Maintenance	D2	7178	8/1/2015									Landscape Irrigation Auditor & Ww Cons	
Drasler, Randal M.	Utility Worker II-Grade III	D3	9837	8/1/2015									Qualified Applicator	18141
Eldridge, Ron L.	Small Crew Foreman Grade II	D2	2547	8/1/2015										12/31/2012
Evans, Cole	Super Ops I	D2	4545	3/1/2015	T3	14889	12/1/2014							
Fuehl, David A.	Pump Operator Gr. 3	D4	4848	4/27/2015	T3	9897	5/1/2013							
Gambao, Tito M.	Utility Worker II-Grade III	D3	7358	7/1/2015										
Garza, Ernesto	Specialist Maint Service	D1	27751	6/1/2018				8898	2/28/2013					
Glass, Patricia M.	Laboratory Technician Grade I	D1	28978	12/1/2014	T1	27417	3/1/2015							
Griswold, Eugene R.	Utility Worker II-Grade II	D2	14889	12/31/2013										
Hofstet, Michael E.	Pump Operator Gr. 2	D2	14991	5/1/2015	T2	09858	7/1/2013							
Jarvis, Robert	Treatment Plant Oper Foreman				T3	11833	8/1/2014							
Johns, Arnel	Pump Operator Gr. 1	D2	14922	8/1/2015	T1	18248	1/1/2014							
Koblick, Andrew J.	Backflow Operator	D2	14840	8/1/2015	T1	12828	3/1/2014							
La Sala, Vincent B.	Meter Repair Technician	D3	9603	12/1/2015										
Lashley, Charles E.	Utility Worker I Grade I	D1	28813	2/1/2014										
Lundquist, Anthony	Super Ops I	D2	4545	3/1/2015	T4	13840	1/1/2013							
Magno, Mike	Mag Business Performance	D2	06	2/1/2016	T5	15444	9/1/2013							
Marsquez, Michael	Warehouse Technician	D3	4533	7/1/2015	T1	15837	3/1/2014							
Martin, James E.	Utility Worker II-Grade III	D3	6709	4/1/2015										
Mathews, Christian	Super Ops I	D2	32693	4/1/2015										
McBride, Nate	Treatment Plant Operator II	D2	33766	11/1/2014	T3	29974	10/1/2013							
Mckenzie, Thomas G.	Utility Worker II-Grade II	D2	10262	7/1/2015										
Morano, Cleotide J.	Pump Operator Gr. 1	D2	26828	4/1/2016	T1	28788	7/1/2013							
Murphy, Victor	Customer Servicemason	D1	37969	8/1/2016										
Murphy, Mitchell E.	WWW Plant Operator II	D2	29732	3/1/2015	T2	25192	7/1/2014	8214	3/31/2012	III-4228	3	12/31/2011		
Nichols, James G. M.	Small Crew Foreman IV	D4	4555	8/1/2015	T2	18488	1/1/2013							
Phillips, George P.	Small Crew Foreman Grade II	D2	14947	5/1/2015										
Ramirez, Jason P.	Utility Worker	D2	35800											
Rappa, Paul H.	Meter Repair Technician	D2	4844	8/1/2015										
Reynoso, Jesus A.	Customer Servicemason	D1	37938	5/1/2016										
Richins, Michael J.	Specialist Maint Service	D1	25765	4/1/2015	T1	24822	12/1/2014							
Riso, Joseph J.	Small Crew Foreman Grade III	D2	8407	3/1/2015										
Rodriguez, Guillermo	Pump Operator Gr. 1	D2	29341	3/1/2014	T2	24922	1/1/2014							
Russo, David W.	Landscape Maintenance	D2	19548	3/1/2015	T1	10963	10/1/2013							
Russo, Mark N.	Customer Servicemason	D1	31162	7/1/2015										
Sanchez, Jesus	Mag Maint Reliability												Class A Water (TX) & T4 (RV)	
Torres, Vincent	Small Crew Foreman Grade III	D3	4958	8/1/2015										

Sacramento- Larkfield				WATER DISTRIBUTION			WATER TREATMENT			WASTEWATER	
				CDPH			CDPH			SWRCB	
Name	Position	Location	State	Grade	Operator #	Expiration	Grade	Operator #	Expiration	Grade	Operator #
Almada, Fred	DSO - Meter Retrofit	Sacramento, CA	CA	D3	31057	1/1/2014	T2	27054	2/1/2013		
Armand, David	Water Quality Supervisor	Sacramento	CA	D1	26646	12/1/2015	T1	30487	1/1/2015		
Bell, Jared	Water Treatment Foreman	Sacramento	CA	D2	8998	4/1/2015	T3	23413	3/1/2014		
Biedenbender, Dayton	Distribution System Operator	Sacramento	CA	D2	36752	3/1/2014	T2	31380	2/1/2016		
Burke, Tyler	Field Service Rep	Sacramento	CA	D2	41077	5/1/2015	T2	33238	7/1/2014	IV-8115	4
Casebier, Steve	Water Treatment Operator	Sacramento	CA	D2	14641	12/1/2015	T3	12161	12/1/2013		
Cater, Justen	Distribution System Operator	Sacramento	CA	D3	36535	10/1/2015	T2	32494	11/1/2014	II-10743	2
Cheek, Jason	Water System Operator	Santa Rosa	CA	D3	35346	3/1/2014	T3	28808	4/1/2014	II-10381	2
Christenson, Dan	Sr. Distribution System Op	Sacramento	CA	D2	14642	8/1/2015	-	-	-		
Claxton, Rodney	Sr. Distribution System Op	Sacramento	CA	D2	35736	4/1/2015	-	-	-		
Cokley, Jirasak	Field Service Rep	Sacramento	CA	D2	32983	5/1/2016	-	-	-	I-28734	1
Coleman, Terry	Sr. Field CS - Cross Connection	Sacramento	CA	D2	29308	1/1/2016	T2	29889	7/1/2014		
Crowther, Chris	Water Systems Operator	Santa Rosa	CA	D4	28990	12/1/2014	T3	22908	4/1/2015	I-28727	2
DiGenova, Margaret	Water Treatment Operator	Santa Rosa	CA	D3	25883	1/1/2014	T4	25767	4/1/2015		
Diller, John	Specialist Maint Service	Sacramento	CA	-	-	-	T2	6360	7/1/2014	II-10191	2
Eastman, Billy	DSO - Meter Retrofit	Sacramento	CA	D2	36614	10/1/2015	-	-	-		
Epps, Brian	Water Treatment Operator	Sacramento	CA	D4	5831	4/1/2015	T4	10786	11/1/2013		
Fackrell, Orson	Water Treatment Operator	Sacramento	CA	D2	37187	8/1/2013	T3	22768	8/1/2013		
Fillback, Dale	Water Treatment Operator	Sacramento	CA	D3	37171	11/1/2015	T3	31287			
Flint, Kevin L	Supvr Opns I	Sacramento	CA	D3	31038	9/1/2014	-	-	-		
Fuller, Mike	Field Service Rep Foreman	Sacramento	CA	D2	27713	6/1/2016	T1	27574	7/1/2015		
Garcia, Chris	Operations Specialist	Sacramento	CA	D2	29949	4/1/2013	T2	31122	7/1/2015		
Gilon, Yitzhak	Supvr Opns I	Sacramento	CA	D3	9535	6/1/2015	T3	15514	6/1/2016		
Hannon, M. "Sonny"	Distribution System Operator	Sacramento	CA	D3	36062	2/1/2015	T2	29121	1/1/2014		
Jones, Michael	Distribution System Operator	Sacramento	CA	D2	38346	5/1/2014	T2	32747	1/1/2015		
Kelley, Kevin	Project Manager	Sacramento	CA	D2	28215	12/1/2014	T2	28204	7/1/2015		
Lynch, Sandra	Water Quality Specialist	Sacramento	CA	-	-	-	T1	30506	1/1/2015		
Martin, Jose	Distribution System Operator	Sacramento	CA	D2	35984	4/1/2015	-	-	-		
Olivarez, Oscar	Distribution Foreman	Sacramento	CA	D2	20175	6/1/2015	-	-	-		
Perez, Osvaldo	DSO - Meter Retrofit Foreman	Sacramento	CA	D2	36023	4/1/2015	-	-	-		
Pellit, Derek	Meter Reader	Sacramento	CA	D2	39615	6/1/2014	T2	33419	7/1/2014		
Poiillo, Stephen	Distribution System Op Trainee	Sacramento	CA	D2	40442	12/1/2014	-	-	-		
Pressey, Edward	Business Performance Manager	Sacramento	CA	D2	15032	6/1/2015	T4	4661	5/1/2016		
Sanborn, Daniel	Distribution Systems Operator	Sacramento	CA	D2	35324	10/1/2014	-	-	-		
Silvas, Jared	Distribution System Operator	Sacramento	CA	D2	33341	10/1/2013	-	-	-		
Stevens, Grady	Operations Manager	Sacramento	CA	D3	16890	6/1/2016	T3	24080	6/1/2016		
Tarp, Ronald D.	Water Treatment Operator	Sacramento	CA	D2	28238	4/1/2016	T2	27634	7/1/2014		
Torres, Hector	DSO - Meter Retrofit	Sacramento	CA	D2	38696	3/1/2014	-	-	-		
Trotter, Craig	Sr. Distribution System Op	Sacramento	CA	D4	33347	9/1/2014	T2	29901	7/1/2014		
Trush, Daniel	Water Treatment Operator	Sacramento	CA	D3	20176	7/1/2015	T3	27672	4/1/2016		
Vallman, Mark	Water Treatment Operator	Sacramento	CA	D2	9805	6/1/2015	T2	26107	7/1/2015		
Villasenor, Noe	Water Treatment Operator	Sacramento	CA	D2	20177	1/1/2016	T2	27637	7/1/2015		
Walker, Clyde	Supvr Opns I	Sacramento	CA	D3	30210	2/1/2014	T2	29296	1/1/2014		
Watson, Donald L.	Water System Operator	Santa Rosa	CA	D3	19059	8/1/2015	T3	23730	7/1/2015	III-6228	3
Webster, Joshua	Water Treatment Operator	Sacramento	CA	D2	33353	10/1/2013	T3	28576	8/1/2015		
Wilson, Nick	Water Treatment Operator	Sacramento	CA	D3	28338	7/1/2014	T3	29297	12/1/2014		

**SCHEDULE A
COMPARATIVE BALANCE SHEETS
Assets and Other Debits**

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
1		I. UTILITY PLANT			
2	100	Utility plant	A-1	799,023,146	750,149,787
3	107	Utility plant adjustments		3,651,852	3,651,852
4		Total utility plant		802,674,998	753,801,639
5	250	Reserve for depreciation of utility plant	A-3	279,028,374	263,065,762
6	251	Reserve for amortization of limited term utility investments	A-3	9,333	8,333
7	252	Reserve for amortization of utility plant acquisition adjustment	A-3	1,649,478	1,419,389
8		Total utility plant reserves		280,687,185	264,493,484
9		Total utility plant less reserves		521,987,814	489,308,155
10					
11		II. INVESTMENT AND FUND ACCOUNTS			
12	110	Other physical property	A-2	2,090,848	4,014,575
13	253	Reserve for depreciation and amortization of other property	A-3	61,814	400,002
14		Other physical property less reserve		2,029,034	3,614,573
15	111	Investments in associated companies	A-4	-	-
16	112	Other investments	A-5	-	-
17	113	Sinking funds	A-6	-	-
18	114	Miscellaneous special funds	A-7	-	-
19		Total investments and fund accounts		2,029,034	3,614,573
20					
21		III. CURRENT AND ACCRUED ASSETS			
22	120	Cash		429,417	165,100
23	121	Special deposits	A-8	77,874	77,874
24	122	Working funds		2,785	2,785
25	123	Temporary cash investments		-	-
26	124	Notes receivable	A-9	-	-
27	125	Accounts receivable		11,418,153	10,618,763
28	126	Receivables from associated companies	A-10	260,362	15,919,068
29	131	Materials and supplies		779,281	787,820
30	132	Prepayments	A-11	2,146,497	1,365,126
31	133	Other current and accrued assets	A-12	10,184,951	10,401,096
32		Total current and accrued assets		25,299,319	39,337,632
33					
34		IV. DEFERRED DEBITS			
35	140	Unamortized debt discount and expense	A-13	2,629,283	2,609,378
36	141	Extraordinary property losses	A-14	-	-
37	142	Preliminary survey and investigation charges		-	-
38	143	Clearing accounts		-	-
39	145	Other work in progress		-	-
40	146	Other deferred debits	A-15	208,517,871	192,758,293
41		Total deferred debits		211,147,154	195,367,671
42		Total assets and other debits		760,463,321	727,628,031
43					

SCHEDULE A
COMPARATIVE BALANCE SHEETS
Liabilities and Other Credits

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
1		I. CORPORATE CAPITAL AND SURPLUS			
2	200	Common capital stock	A-18	92,477,914	92,477,914
3	201	Preferred capital stock	A-18	-	-
4	202	Stock liability for conversion		-	-
5	203	Premiums and assessments on capital stock	A-19	-	-
6	150	Discount on capital stock	A-16	-	-
7	151	Capital stock expense	A-17	-	-
8	270	Capital surplus	A-20	150,750,449	150,530,686
9	271	Earned surplus	A-21	37,547,079	30,763,095
10		Total corporate capital and surplus		280,775,442	273,771,295
11					
12		II. PROPRIETARY CAPITAL			
13	204	Proprietary capital (Individual or partnership)	A-22	-	-
14	205	Undistributed profits of proprietorship or partnership	A-23	-	-
15		Total proprietary capital		-	-
16					
17		III. LONG-TERM DEBT			
18	210	Bonds	A-24	254,000,000	278,000,000
19	211	Receivers' certificates		-	-
20	212	Advances from associated companies	A-25	-	-
21	213	Miscellaneous long-term debt	A-26	-	-
22		Total long-term debt		254,000,000	278,000,000
23					
24		IV. CURRENT AND ACCRUED LIABILITIES			
25	220	Notes payable	A-28	25,161,339	15,640,848
26	221	Notes receivable discounted		-	-
27	222	Accounts payable		14,046,833	12,196,890
28	223	Payables to associated companies	A-29	488,192	1,132,298
29	224	Dividends declared		-	-
30	225	Matured long-term debt		24,000,000	-
31	226	Matured interest		-	-
32	227	Customers' deposits		-	-
33	228	Taxes accrued	A-31	7,231,986	2,044,548
34	229	Interest accrued		1,621,530	1,594,302
35	230	Other current and accrued liabilities	A-30	11,105,203	11,050,611
36		Total current and accrued liabilities		83,655,082	43,659,896
37					
38		V. DEFERRED CREDITS			
39	240	Unamortized premium on debt	A-13	-	-
40	241	Advances for construction	A-32	16,307,521	16,653,089
41	242	Other deferred credits	A-33	63,543,364	52,862,065
42		Total deferred credits		79,850,885	69,515,154
43					
44		VI. RESERVES			
45	254	Reserve for uncollectible accounts	A-34	932,230	672,381
46	255	Insurance reserve	A-34	-	-
47	256	Injuries and damages reserve	A-34	-	-
48	257	Employees' provident reserve	A-34	-	-
49	258	Other reserves	A-34	3,050,150	2,918,375
50		Total reserves		3,982,380	3,590,756
51					
52		VII. CONTRIBUTIONS IN AID OF CONSTRUCTION			
53	265	Contributions in aid of construction	A-35	58,199,527	59,090,929
54		Total liabilities and other credits		760,463,316	727,628,031

SCHEDULE B
Income Account for the Year

Line No.	Acct.	Account (a)	Schedule Page No. (b)	Amount (c)
1		I. UTILITY OPERATING INCOME		
2	501	Operating revenues	B-1	192,106,758
3				
4		Operating Revenue Deductions:		
5	502	Operating expenses	B-2	109,909,300
6	503	Depreciation	A-3	18,576,450
7	504	Amortization of limited-term utility investments	A-3	335,282
8	505	Amortization of utility plant acquisition adjustments	A-3	230,089
9	506	Property losses chargeable to operations	B-3	-
10	507	Taxes	B-4	22,604,714
11		Total operating revenue deductions		151,655,835
12		Net operating revenues		40,450,923
13	508	Income from utility plant leased to others		-
14	510	Rent for lease of utility plant		-
15		Total utility operating income		40,450,923
16				
17		II. OTHER INCOME		
18	521	Income from nonutility operations (Net)	B-6	157,088
19	522	Revenue from lease of other physical property		128,339
20	523	Dividend revenues		-
21	524	Interest revenues		1,856,304
22	525	Revenues from sinking and other funds		-
23	526	Miscellaneous nonoperating revenues	B-7	2,086,988
24	527	Nonoperating revenue deductions - Dr.	B-8	(560,571)
25		Total other income		3,668,148
26		Net income before income deductions		44,119,071
27				
28		III. INCOME DEDUCTIONS		
29	530	Interest on long-term debt		18,019,017
30	531	Amortization of debt discount and expense		154,902
31	532	Amortization of premium on debt - Cr.		-
32	533	Taxes assumed on interest		-
33	534	Interest on debt to associated companies		-
34	535	Other interest charges	B-9	136,893
35	536	Interest charged to construction - Cr.		-
36	537	Miscellaneous amortization		-
37	538	Miscellaneous income deductions	B-10	862,159
38		Total income deductions		19,172,971
39		Net income		24,946,100
40				
41		IV. DISPOSITION OF NET INCOME		
42	540	Miscellaneous reservations of net income		-
43				
44		Balance transferred to Earned Surplus or		
45		Proprietary Accounts scheduled on page 21		
46				

SCHEDULE A-1
Account 100 - Utility Plant

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1	100 - 1	Utility plant in service (Schedule A-1a)	716,697,435	39,921,319	(2,572,791)	(51)	754,045,911
2	100 - 2	Utility plant leased to others	-	-	-	-	-
3	100 - 3	Construction work in progress	31,933,701	117,377,533	-	(105,852,650)	43,458,584
4	100 - 4	Utility plant held for future use (Sch A-1c)	1,518,651	-	-	-	1,518,651
5	100 - 5	Utility plant acquisition adjustments	3,651,852	-	-	-	3,651,852
6	100 - 6	Utility plant in process of reclassification	-	-	-	-	-
7		Total utility plant	753,801,639	157,298,852	(2,572,791)	(105,852,701)	802,674,998

SCHEDULE A-1a
Account 100.1 - Utility Plant in Service

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		I. INTANGIBLE PLANT					
2	301	Organization	163,104	-	-	-	163,104
3	302	Franchises and consents (Schedule A-1b)	418,180	-	-	-	418,180
4	303	Other intangible plant	5,179,446	162	-	-	5,179,609
5		Total intangible plant	5,760,730	162	-	-	5,760,892
6							
7		II. LANDED CAPITAL					
8	306	Land and land rights	6,433,911	-	-	717	6,434,628
9							
10		III. SOURCE OF SUPPLY PLANT					
11	311	Structures and improvements	5,766,075	475,303	(850)	17,031	6,257,560
12	312	Collecting and impounding reservoirs	2,792,336	143,000	-	-	2,935,337
13	313	Lake, river and other intakes	1,063,654	-	-	-	1,063,654
14	314	Springs and tunnels	-	-	-	-	-
15	315	Wells	26,285,720	31,686	(237,939)	-	26,079,467
16	316	Supply mains	10,143,223	2,096,794	-	(17,683)	12,222,334
17	317	Other source of supply plant	210,254	-	-	-	210,254
18		Total source of supply plant	46,261,263	2,746,784	(238,788)	(652)	48,768,606
19							
20		IV. PUMPING PLANT					
21	321	Structures and improvements	11,479,164	211,303	(73,572)	-	11,616,895
22	322	Boiler plant equipment	-	-	-	-	-
23	323	Other power production equipment	2,429,209	312,548	(12,994)	-	2,728,763
24	324	Pumping equipment	52,867,654	5,931,224	(393,602)	(6,775)	58,398,501
25	325	Other pumping plant	-	-	-	-	-
26		Total pumping plant	66,776,027	6,455,075	(480,168)	(6,775)	72,744,159
27							
28		V. WATER TREATMENT PLANT					
29	331	Structures and improvements	18,448,988	479,029	(22,953)	(53,141)	18,851,922
30	332	Water treatment equipment	51,301,711	664,200	(61,409)	79,633	51,984,134
31		Total water treatment plant	69,750,699	1,143,229	(84,363)	26,491	70,836,056

SCHEDULE A-1a
Account 100.1 - Utility Plant in Service (Continued)

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
32		VI. TRANSMISSION AND DIST. PLANT					
33	341	Structures and improvements	1,280,005	252,422	-	-	1,532,427
34	342	Reservoirs and tanks	57,868,785	1,653,482	(107,997)	(768)	59,413,503
35	343	Transmission and distribution mains	253,387,934	5,716,372	(417,055)	-	258,687,251
36	344	Fire mains	146,610	-	-	-	146,610
37	345	Services	88,220,521	4,406,691	(195,276)	-	92,431,935
38	346	Meters	25,457,544	4,371,005	(287,985)	-	29,540,564
39	347	Meter installations	24,735,377	6,233,842	-	-	30,969,219
40	348	Hydrants	23,780,492	710,546	(45,421)	-	24,445,617
41	349	Other transmission and distribution plant	2,090,165	55,610	-	-	2,145,775
42		Total transmission and distribution plant	476,967,432	23,399,970	(1,053,735)	(768)	499,312,899
43							
44		VII. GENERAL PLANT					
45	371	Structures and improvements	7,335,595	304,978	(15,829)	(17,031)	7,607,713
46	372	Office furniture and equipment	10,591,788	5,897,201	(623,510)	-	15,865,479
47	373	Transportation equipment	2,498,748	-	-	-	2,498,748
48	374	Stores equipment	14,891	-	-	-	14,891
49	375	Laboratory equipment	412,235	20,483	(2,089)	(2,033)	428,596
50	376	Communication equipment	18,580,816	(113,831)	(64,503)	-	18,402,482
51	377	Power operated equipment	1,627,009	32,771	-	-	1,659,780
52	378	Tools, shop and garage equipment	2,226,639	30,910	(8,810)	-	2,248,739
53	379	Other general plant	1,435,656	3,587	(997)	-	1,438,246
54		Total general plant	44,723,375	6,176,099	(715,738)	(19,064)	50,164,673
55							
56		VIII. UNDISTRIBUTED ITEMS					
57	390	Other tangible property	23,997	-	-	-	23,997
58	391	Utility plant purchased	-	-	-	-	-
59	392	Utility plant sold	-	-	-	-	-
60		Total undistributed items	23,997	-	-	-	23,997
61		Total utility plant in service	716,697,435	39,921,319	(2,572,791)	(51)	754,045,911

SCHEDULE A-1b
Account 302 - Franchises and Consents

Line No.	Name of Original Grantor (a)	Date of Grant (b)	Term in Years (c)	Date of Acquisition by Utility (d)	Amount at which Carried in Account ¹ (e)
1	See Addendum				0
2					
3					
4					
5	Total				0

¹ The total should agree with the balance at the end of the year in Account 302 in Schedule A-1a Line 10.

Schedule A-1b

Account 302 - FRANCHISES AND CONSENTS

<u>NAME OF ORIGINAL GRANTOR</u>	<u>DATE OF GRANT</u>	<u>TERM IN YEARS</u>	<u>DATE OF ACQUISITION BY UTILITY</u>
City of Coronado/Constitutional Franchise	Prior to 10/11/1911	Perpetual	
City of San Gabriel Ordinance 515-C.S	1/1/1989	50	1/19/1939
City of San Marino Ordinance 03-1169	9/10/2001	15	9/10/1986
City of San Diego Ordinance 0-19283	6/30/2004	25	11/22/1935
City of Los Angeles-Expired Ordinance 163757	1/1/1988	10	6/10/1988
County of Monterey Ordinance 1278	2/14/1963	50	2/14/1963
City of Rosemead Ordinance 96	6/26/1964	Indeterminate	6/26/1964
City of Seaside Ordinance 317	7/17/2003	25	12/11/1967
County of Ventura Ordinance 1118	1/11/1962	50	3/15/1960
County of Los Angeles Ordinance 2006-0044F	8/12/2006	15	10/21/1981
City of Bradbury Ordinance 258	9/19/1995	Indeterminate	
City of Duarte Ordinance 709	7/12/1994	Indeterminate	
City of Thousand Oaks Ordinance 1461-NS	5/9/2006	15	6/9/2006
Carmel-by-the-Sea Ordinance 93-27	1/1/1994	Indeterminate	
City of Monterey	7/8/1994	25	
City of Del Rey Oaks	12/1/2009	25	
Imperial Beach Ordinance 810	7/5/1990	25	
City of Pacific Grove	1/1/2008	25	
County of Sacramento	Various	--	Various
Placer County Ordinance 4609-B	10/27/1994	50	
Rancho Cordova Ordinance 53-2006	9/18/2006	15	

California American Water Company
FRANCHISES AND CONSENTS continued

SCHEDULE A-1b

PROPERTY	NAME OF ORIGINAL GRANTOR	DATE OF GRANT	TERM YEARS	DATE OF ACQUISITION BY UTILITY	AMOUNT CARRIED ON ACCOUNT
Arden	Sacramento County	Not Available	Not Available	Not Available	4,162
(P.U.C. Decision # 51527)					
Citizens Suburban	Sacramento County Ordinance #500	July 20, 1955	50	July 20, 1955	3,850
	Ordinance #542	August 6, 1956		August 6, 1956	
Parkway	Sacramento County				
	Ordinance #492	May 18, 1955		May 18, 1955	
	Ordinance #713	Aug 22, 1960	50	Aug 22, 1960	4,700
	Ordinance #458	Sept. 2, 1953		Sept. 2, 1953	
	Ordinance #878	April 28, 1965		April 28, 1965	
	Ordinance #489	April 6, 1955	5	April 6, 1955	
	Ordinance #1223	Feb. 20, 1979		Feb. 20, 1979	
Royal Oaks	Sacramento County Ordinance #488	April 6, 1955	5	April 6, 1955	4,206
Lincoln Oaks	Sacramento County Ordinance #499	(1) July 20, 1955		(1) July 20, 1955	
Sunrise	Sacramento County				
	Ordinance #1158	June 16, 1975	50	June 16, 1975	75
	Ordinance #1228	May 6, 1980	50	May 6, 1980	624
	Ordinance #1248	July 27, 1982	50	July 27, 1982	
	Ordinance #1254	Nov. 2, 1982		Nov. 2, 1982	
Sacramento	Placer County Ordinance #4609-B	Sept. 30, 1994	50	Sept. 30, 1994	6,412
Monterey					27,696
Baldwin Hills	Los Angeles County				25,897
San Marino	Los Angeles County				7,820
Village					63,634
Sacramento	Northridge/Placer				201,874
Sacramento					63,523
Total					414,473 (2)

(1) Amended by: Ordinance #523 - February 15, 1956
Ordinance #540 - July 25, 1956

(2) Does not agree to Schedule A-1a line 10 as Ordinance 486 for \$3,707 has been excluded from this schedule, but not retired as of 12/31/01. This will be retired at a future date.

SCHEDULE A-1c
Account 100.4 - Utility Plant Held for Future Use

Line No.	Description and Location of Property (a)	Date of Acquisition (b)	Approximate Date When Property Will be Placed in Service (c)	Balance End of Year (d)
1	Shopping Center-Lot 13-Village District	N/A	N/A	37,740
2	Dry Creek Land- 2.034 AC-Sacramento	3/16/2004	N/A	281,500
3	Parcel 059 239 050 Well 6A Larkfield	1/1/2004	N/A	1,199,411
4				
5				
6				
7				
8				
9	Total			1,518,651

SCHEDULE A-2
Account 110 - Other Physical Property

Line No.	Name and Description of Property (a)	Book Value End of Year (b)
1	See Addendum- A-Account 110 - NUP Land	1,986,338
2	See Addendum- B-Account 110 - NUP Buildings	104,510
3	Non Utility Plant-NUP -Other	0
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15	Total	2,090,848

California - American Water Company Account Reconciliation
As of December 31, 2012
NUP-Land
Addendum A-Account 110

BU	DESCRIPTION	ASSET ID	Total Amount
056005	3415 MISTY MOMING CIRCLE	2658630	34,115
056005	3608 FABERGE	2651682	91
056005	3805 CONTEMPO	2651683	262
056005	3805 Contempo Dr	2658577	102
056005	4121 ASHGROVE	2651685	153
056005	4234 ALBERTVILLE WAY	2658636	26,345
056005	4908 BUFFWOOD	2651691	110
056005	6389 NAVION WELL SITE	2651680	48
056005	6389 NAVION WELL SITE 2	2651679	48
056005	65TH ST EXPRESSWAY WELL SITE	2651671	45
056005	7721 CMNWELTH DR WELL SITE	2651693	259
056005	9148 CASTLEBAR	2651684	172
056005	9513 MIRA DEL RIO	2651678	72
056005	9605 ALLEGHENY WELL SITE	2651675	75
056005	9836 BURLINE	2651688	100
054005	Airway Tank Site #2	2652025	1,088
056005	AUBURN WELL SITE	2651690	86
056005	BARRACUDA WELL SITE	2651676	204
056005	Bellingrath Well Site	2651695	251
056005	BLACKHAWK WELL SITE	2651672	46
054005	Ch Tank Site #1, Lower Mt.	2652026	300
056005	CHARDONNAY WELL SITE NO. 5	2651694	115
054005	Del Rey Terrace Tank & PP #28	2652027	40
056005	FLORIN CREEK WATER TRTMT SITE	2658627	232,531
056005	GREENBACK LANE WELL SITE	2651674	40
054005	Harding Well Site Lots	2652028	1,596
056005	HUNTSMAN WELL SITE	2651677	5
056005	Hurley Ave. Well Site Vacant	2651681	182
056005	JEANNE WELL SITE	2651673	345
055005	Work Order Addition	24262134	486,973
055005	Work Order Addition	24262143	464,578
054005	Land & Ld Rights-SS	2653076	1,002
054005	Land & Ld Rights-SS	2653077	2,000
054005	Land & Ld Rights-SS	2653078	330
054005	Land & Ld Rights-SS	2653075	532
054005	Land & Ld Rights-SS	2653074	33,545
054005	Land & Ld Rights-TD	2653079	2,887
053005	LAND OTAY WELL #1	2652035	420
056005	LAND PARCEL	2658635	17,856
056005	LINDA SUE WELL SITE NO. 2	2651669	226
056005	Lot 5 Trct 16128 RICH 1951	2651585	2,609
056005	MAP 1284 34 13 PARCEL 1	2658510	522
056005	MAP 1284 34 131 PARCEL 1	39310298	9,395
056005	MAP 1284 34 132 PARCEL 1	39310307	43,271
056005	MAP 1284 34 133 PARCEL 1	39310316	9,071
056005	MAP 1284 34 134 PARCEL 1	39310289	9,071
056005	MAP 1284 34 29 PARCEL 1	2658517	1,093
056005	MAP 1284 34 50 PARCEL 1	2658499	714
056005	MAP 1284 34 91 PARCEL 1	2658569	10,011
056005	MAP 1284-34-96 PARCEL 2	2658595	438
056105	MAP 58 090 16	2653080	7,597
056005	MILLS STATION WELL SITE	2651686	485
055105	Orbis Well #10 - Land	2652017	240
055105	ORBIS WELL #2 - Land	2651654	240
055105	Orbis Well #4 - Land	2652016	240
055105	Orbis Well #41 - Land	2652018	225
053005	OTAY WATERSHED WATER RIGHTS	2652034	17,725
053005	OTAY WEST END GRANT	2652033	1,280
054005	Palm Well Site	2652031	1,600
054005	Paralta Tank Site	2652029	462
056005	PARCEL EACH	2658613	16,163
056005	PARCEL EACH	2658615	112,875
056005	PARCEL EACH	2658626	22,733
056005	PASEO ROAD	2658639	167,999
054005	PIERCE WELL SITE 200' X 100' EASEME	9051192	2,310
056005	POMEGRANATE/LA MANCHA	2658637	220,748
053005	RIGHT OF WAY - OTAY WELLS	2652032	399
054005	RIGHTS OF WAY FROM LOS TULARCITOS D	9051199	2,178
055005	TFR TO NUP LOT1-TRACT8900	2653071	3,400
055005	TFR TO NUP LOT6 SAN M PARK	2653072	7,489
055005	TFR TO NUP LOT60-TRACT1798	2653070	4,000
055005	TFR TO NUP SCOTT RES SITE1	2653073	516
056005	UNDESCRIBED	2651670	18
055105	Village Well #4 - Land	2652019	45

Grand Total

1,986,338

California - American Water Company Account Reconciliation
As of December 31, 2012
NUP-Buildings
Addendum B-Account 110

<u>BU</u>	<u>DESCRIPTION</u>	<u>ASSET ID</u>	<u>Total Amount</u>
056005	5000 GAL PRESSURE TANK	2651721	903
056005	CASING EACH	2651697	1,430
056005	CONCRETE SAND TRAP	2651720	120
056005	FENCE	2651717	2,280
056005	FENCE	2651710	4,052
056005	FENCE EACH	2651704	152
056005	FENCE EACH	2651700	2,564
056005	FENCE EACH	2651701	1,554
056005	FENCE EACH	2651702	1,566
056005	FENCE EACH	2651703	1,070
056005	FENCE EACH	2651705	33
056005	FENCE EACH	2651709	3,120
056005	FENCE EACH	2651707	1,142
056005	FENCE EACH	2651711	1,433
056005	FENCE EACH	2651712	1,480
056005	FENCE EACH	2651713	7,806
056005	FENCE EACH	2651714	1,818
056005	FENCE EACH	2651715	1,329
056005	FENCE EACH	2651716	2,329
056005	FENCE EACH	2651696	712
056005	FENCE EACH	2651718	3,333
056005	GATE ONLY	2651706	606
056005	GATE ONLY	2651708	874
056005	Structures & Improvements	2651719	61,911
056005	UNIDENTIFIED	2651698	893
	Grand Total		104,510

**SCHEDULE A-1d
RATE BASE AND WORKING CASH**

Line No.	Acct.	Title of Account (a)	Balance 12/31/2012 (c)	Balance 1/1/2012 (d)
		RATE BASE		
1		Utility Plant		
2		Plant in Service	742,129,628	710,728,745
3		Construction Work in Progress	40,303,810	28,398,437
4		General Office Prorate	11,916,282	5,968,689
5		Total Gross Plant (=Line 2 + Line 3 + Line 4)	794,349,721	745,095,871
6		Less Accumulated Depreciation		
7		Plant in Service	273,790,714	257,735,231
8		General Office Prorate	5,237,660	5,330,531
9		Total Accumulated Depreciation (=Line 7 + Line 8)	279,028,374	263,065,761
10		Less Other Reserves		
11		Deferred Income Taxes	35,265,979	30,779,408
12		Deferred Investment Tax Credit	787,199	850,366
13		Other Reserves	533,512	600,940
14		Total Other Reserves (=Line 11 + Line 12 + Line 13)	36,586,690	32,230,713
15		Less Adjustments		
16		Contributions in Aid of Construction	57,406,295	58,286,532
		Contributions in Aid of Construction (20 year amortization)	3,821,700	4,246,200
17		Advances for Construction	17,715,300	16,653,089
		Advances for Construction (20 year amortization)	11,351,600	12,612,900
18		Other	-	-
19		Total Adjustments (=Line 16 + Line 17 + Line 18)	90,294,895	91,798,721
20		Add Materials and Supplies	779,281	787,820
21		Add Working Cash (=Line 34)	20,931,837	18,771,987
22		TOTAL RATE BASE		
23		(=Line 5 - Line 9 - Line 14 - Line 19 + Line 20 + Line 21)	410,150,879	377,560,482

Working Cash				
24		Determination of Operational Cash Requirement		
25		Operating Expenses, Excluding Taxes, Depreciation & Uncollectible	108,940,046	100,814,078
26		Purchased Power & Commodity for Resale*	5,922,501	6,721,952
27		Meter Revenues: Bimonthly Billing	160,390,774	121,589,091
28		Other Revenues: Flat Rate Monthly Billing	12,067,281	13,425,516
29		Total Revenues (=Line 27 + Line 28)	172,458,055	135,014,607
30		Ratio - Flat Rate to Total Revenues (=Line 28 / Line 29)	7.00%	9.94%
31		5/24 x Line 25 x (100% - Line 30)	21,107,763	18,914,454
32		1/24 x Line 25 x Line 30	317,616	417,696
33		1/12 x Line 26	493,542	560,163
34		Operational Cash Requirement (=Line 31 + Line 32 - Line 33)	20,931,837	18,771,987
		* Electric power, gas or other fuel purchased for pumping and/or purchased commodity for resale billed after receipt (metered).		

SCHEDULE A-3

Accounts 250 to 253, Inclusive - Depreciation and Amortization Reserves

Line No.	Item (a)	Account 250 Utility Plant (b)	Account 251 Limited-Term Utility Investments (c)	Account 252 Utility Plant Acquisition Adjustments (d)	Account 253 Other Property (e)
1	Balance in reserves at beginning of year	263,065,761	8,333	1,419,389	400,002
2	Add: Credits to reserves during year				
3	(a) Charged to Account 503, 504, 505 ⁽¹⁾	19,248,921	1,000	230,089	(338,188)
4	(b) Charged to Account 265	1,732,843			
5	(c) Charged to clearing accounts				
6	(d) Salvage recovered	84,478			
7	(e) All other credits ⁽²⁾	236			
8	Total credits	21,066,478	1,000	230,089	(338,188)
9	Deduct: Debits to reserves during year				
10	(a) Book cost of property retired	2,724,165			
11	(b) Cost of removal	2,036,243			
12	(c) All other debits ⁽³⁾	343,458			
13	Total debits	5,103,866	-	-	-
14	Balance in reserve at end of year	279,028,374	9,333	1,649,478	61,814
15					
16	(1) COMPOSITE DEPRECIATION RATE USED FOR STRAIGHT LINE REMAINING LIFE:				3.73%
17					
18	(2) EXPLANATION OF ALL OTHER CREDITS: See district reports for details.				
26					
27	(3) EXPLANATION OF ALL OTHER DEBITS: See district reports for details.				
34					
35	METHOD USED TO COMPUTE INCOME TAX DEPRECIATION:				
36	(a) Straight Line	()	(X) Village, Orbis, Pollack, Pre 1970		
37	(b) Liberalized	()	()		
38	(1) Double declining balance	()	(X) On Additions from 4-1-66 through 1970		
39	(2) ACRS	()	()		
40	(3) MACRS	()	()		
41	(4) Others	()	(X) 150% DBM on property acquired 4-1-66		
42	(c) Both straight line and liberalized	()	(X) ADR on Additions 1971 through 1980		
43			(X) ACRS on Additions 1981 through 1986		
44			(X) MACRS on Additions after 1986		

SCHEDULE A-3a

Account 250 - Analysis of Entries in Depreciation Reserve

(This schedule is to be completed if records are maintained showing depreciation reserve by plant accounts)

Line No.	Acct.	DEPRECIABLE PLANT (a)	Balance Beginning of Year (b)	Credits to Reserve During Year Excl. Salvage (c)	Debits to Reserves During Year Excl. Cost Removal (d)	Salvage and Cost of Removal Net (Dr.) or Cr. (e)	Balance End of Year (f)
1		I. SOURCE OF SUPPLY PLANT					
2	311	Structures and improvements	530,431	168,807	(850)	(3,590)	694,799
3	312	Collecting and impounding reservoirs	1,436,196	111,539	-	-	1,547,735
4	313	Lake, river and other intakes	355,145	35,979	-	-	391,124
5	314	Springs and tunnels	-	-	-	-	-
6	315	Wells	11,875,597	1,152,990	(237,939)	(137,735)	12,652,913
7	316	Supply mains	1,895,626	223,600	-	(226)	2,119,000
8	317	Other source of supply plant	143,763	7,384	-	-	151,147
9		Total source of supply plant	16,236,758	1,700,300	(238,788)	(141,551)	17,556,718
10							
11		II. PUMPING PLANT					
12	321	Structures and improvements	3,936,201	184,757	(73,572)	(15,075)	4,032,311
13	322	Boiler plant equipment	-	-	-	-	-
14	323	Other power production equipment	777,091	91,955	(12,994)	(932)	855,120
15	324	Pumping equipment	23,538,271	2,088,886	(393,602)	(82,159)	25,151,396
16	325	Other pumping plant	-	-	-	-	-
17		Total pumping plant	28,251,562	2,365,598	(480,168)	(98,165)	30,038,827
18							
19		III. WATER TREATMENT PLANT					
20	331	Structures and improvements	6,912,560	280,849	(22,953)	(67,667)	7,102,789
21	332	Water treatment equipment	22,091,337	1,187,826	(61,409)	(22,583)	23,195,170
22		Total water treatment plant	29,003,896	1,468,675	(84,363)	(90,249)	30,297,959
23							
24		IV. TRANS. AND DIST. PLANT					
25	341	Structures and improvements	197,202	18,573	-	-	215,776
26	342	Reservoirs and tanks	22,334,759	987,379	(107,997)	(10,400)	23,203,741
27	343	Transmission and distribution mains	78,651,186	5,120,573	(417,055)	(312,302)	83,042,401
28	344	Fire mains	(37,700)	-	-	-	(37,700)
29	345	Services	37,343,202	2,510,541	(195,276)	(1,043,379)	38,615,088
30	346	Meters	8,790,523	2,922,091	(287,985)	(199,220)	11,225,409
31	347	Meter installations	2,195,975	-	-	-	2,195,975
32	348	Hydrants	8,650,238	598,898	(45,421)	(58,655)	9,145,059
33	349	Other transmission and distribution plant	150,669	1,140	-	-	151,809
34		Total trans. and distribution plant	158,276,053	12,159,194	(1,053,735)	(1,623,957)	167,757,556
35							
36		V. GENERAL PLANT					
37	371	Structures and improvements	2,932,150	204,118	(15,829)	(323)	3,120,116
38	372	Office furniture and equipment	8,206,606	446,613	(774,884)	2,481	7,880,816
39	373	Transportation equipment	2,032,660	14,317	-	-	2,046,977
40	374	Stores equipment	12,443	226	-	-	12,669
41	375	Laboratory equipment	339,957	8,768	(2,089)	-	346,636
42	376	Communication equipment	11,240,851	680,538	(64,503)	-	11,856,887
43	377	Power operated equipment	1,226,564	33,952	-	-	1,260,516
44	378	Tools, shop and garage equipment	1,350,024	52,161	(8,810)	-	1,393,375
45	379	Other general plant	560,836	316,742	(997)	-	876,582
46	390	Other tangible property	3,395,402	1,187,340	-	-	4,582,742
47	391	Water plant purchased	-	-	-	-	-
48		Total general plant	31,297,492	2,944,776	(867,111)	2,158	33,377,314
49		Total	263,065,761	20,638,543	(2,724,165)	(1,951,765)	279,028,374

SCHEDULE A-4
Account 111 - Investments in Associated Companies

Line No.	Class of Security (a)	Name of Issuing Company (b)	Par Value End of Year (c)	Ledger Value End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest and Dividends Received During Year (g)
1		None					
2							
3							
4							
5							
6							
7		Total ¹		0			

1 The total should agree with the balance at the end of the year in Account 111 on page 5.

SCHEDULE A-5
Account 112 - Other Investments

Line No.	Name and Description of Property (a)	Balance End of Year (b)
1	None	
2		
3		
4		
5		
6		
7		
8		
9	Total	0

SCHEDULE A-6
Account 113 - Sinking Funds

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	None					
2						
3						
4						
5						
6						
7						
8						
9	Total					0

SCHEDULE A-7
Account 114 - Miscellaneous Special Funds

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	None					
2						
3						
4						
5						
6						
7						
8						
9	Totals	0				0

SCHEDULE A-8
Account 121 - Special Deposits

Line No.	Name of Depository (a)	Purpose of Deposit (b)	Balance End of Year (c)
1	City of Chula Vista	Utility Permits	150
2	Air Commercial Real Estate Assoc.	CA -Corp Office Security Deposit	26,280
3	FPA Country Club Associaties	Monterey County Club Gate Center Security Deposit	35,000
4	Selvin Commerical Properties	Village Office Lease	15,913
5	City of Citrus Heights	Encroachment Permit	531
6	Totals		77,874

SCHEDULE A-9
Account 124 - Notes Receivable

Line No.	Maker (a)	Date of Issue (b)	Date Payable (c)	Balance End of Year (d)	Interest Rate (e)	Interest Accrued During Year (g)	Interest Received During Year (g)
1	None						
2							
3							
4							
5							
6	Totals			0			

SCHEDULE A-10
Account 126 - Receivables from Associated Companies

Line No.	Due from Whom (a)	Amount (b)	Interest Rate (c)	Interest Accrued During Year (d)	Interest Received During Year (e)
1	American Water Capital Corporation	12,757			
2	American Water Works Service Company	167,409			
3	American Water Works Company	35,483			
4	Miscellaneous Intercompany Clearing	44,713			
5					
6	Total	260,362			

SCHEDULE A-11
Account 132 - Prepayments

Line No.	Item (a)	Amount (b)
1	Prepaid Taxes	367,445
2	Prepaid Insurance	16,895
3	Prepaid Other	1,762,157
4		
5		
6	Total	2,146,497

SCHEDULE A-12
Account 133 - Other Current and Accrued Assets

Line No.	Item (a)	Amount (b)
1	Accrued Utility Revenues	7,215,343
2	Deferred Vacation Pay	832,890
3	Current State Deferred Tax	463,569
4	Current Federal Deferred Tax	1,673,149
5		
6		
	Total	10,184,951

SCHEDULE A-13

Accounts 140 and 240 - Unamortized Debt Discount and Expense and Unamortized Premium on Debt

1. Report under separate subheadings for Unamortized Debt Discount and Expense and Unamortized Premium on Debt, particulars of discount and expense of premium applicable to each class and series of long-term debt.
2. Show premium amounts in red or by enclosure in parentheses.
3. In column (b) show the principal amount of bonds or other long-term debt originally issued.
4. In column (c) show the discount and expense or premium with respect to the amount of bonds or other long-term debt originally issued.
5. Furnish particulars regarding the treatment of unamortized debt discount and expense or premium, redemption premiums, and redemption expenses associated with issues redeemed during the year, also, date of the Commission's authorization of treatment other than as specified by the Uniform System of Accounts.
6. Set out separately and identify undisposed amounts applicable to issues which were redeemed in prior years.
7. Explain any debits and credits other than amortization debited to Account 531, Amortization of Debt Discount and Expense, or credited to Account 532, Amortization of Premium on Debt-Credit.

Line No.	Designation of long-term debt (a)	Principal amount of securities to which discount and expense, or premium minus expense, relates (b)	Total discount and expense or net Premium (c)	AMORTIZATION PERIOD		Balance beginning of year (f)	Debits during year (g)	Credits during year (h)	Balance end of year (i)
				From- (d)	To- (e)				
1	Allstate Life Ins - 9.71%	6,000,000	101,992	1/1/2003	6/1/2020	49,288		5,856	43,432
2	Allstate Life Ins-9.22%	10,000,000	104,754	1/1/2003	6/1/2021	53,562		5,688	47,874
3	American United Life Ins-6.76%	10,000,000	50,953	12/1/2002	12/1/2023	29,029		2,436	26,593
4	Salkeid & Co - 6.76%	10,000,000	56,021	12/1/2002	12/1/2033	39,713		1,812	37,901
5	State Life & American United Ins-7.33%	10,000,000	255,110	12/1/2002	5/1/2026	156,711		10,933	145,778
6	American Water Capital Corp - 5.52%	10,000,000	40,691	12/21/2006	12/21/2016	20,346		4,069	16,277
7	American Water Capital Corp - 5.62%	52,300,000	176,610	12/21/2006	12/21/2018	103,023		14,718	88,305
8	American Water Capital Corp - 5.77%	75,700,000	267,484	12/21/2006	12/21/2021	178,324		17,832	160,491
9	American Water Capital Corp- 5.39%	24,000,000	103,332	12/21/2006	12/21/2013	29,524		14,762	14,762
10	American Water Capital Corp- 10%	35,000,000	1,415,996	11/23/2008	12/1/2038	1,270,462		47,200	1,223,263
11	American Water Capital Corp- 5.25%	35,000,000	713,069	8/18/2010	8/1/1940	679,397		23,769	655,628
12	Revolver Fee		174,807				174,807	5,827	168,980
13									
14									
15									
16									
17									
18	Totals	278,000,000	3,460,819			2,609,378	174,807	154,902	2,629,283

SCHEDULE A-14
Account 141 - Extraordinary Property Losses

Line No.	Description of Property Loss or Damage (a)	Total Amount of Loss (b)	Previously Written off (c)	WRITTEN OFF DURING YEAR		Balance End of year (f)
				Account Charged (d)	Amount (e)	
1	None					
2						
3						
4	Total					0

SCHEDULE A-15
Account 146 - Other Deferred Debits

Line No.	Item (a)	Balance End of year (b)
1	See Addendum	208,517,871
2		
3		
4		
5		
6	Total	208,517,871

SCHEDULE A-16
Account 150 - Discount on Capital Stock

- Report the balance at end of year of discount on capital stock for each class and series of capital stock.
- If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off during the year and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance End of year (b)
1	None	
2		
3	Total	0

SCHEDULE A-17
Account 151- Capital Stock Expense

- Report the balance at end of year of capital stock expense for each class and series of capital stock.
- If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off of capital stock expense and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance End of year (b)
1	None	
2		
3	Total	0

California-American Water Company
Addendum to Schedule A-15
12/31/2012

ACCOUNT NO. 146 - OTHER DEFERRED DEBITS

ITEMS	BALANCE
RWIP - Cost of Removal	977,216
Reg Asset-AFUDC-Eq-CWIP	26,601
Reg Asset-AFUDC-Equity	571,755
Reg Asset- Plt Flow	0
Reg Asset-Other	(268,502)
Reg Asset-St Flow Through	0
Reg Asset-Accum Amortization	(333,354)
Programmed Maintance-Tank Painting	4,995,968
Deferred Service Company Pension	0
Deferred OPEB	307,215
Deferred Pension	354,787
FAS 112 Costs	116,573
Depreciation Study	40,610
Enviromental Remediation Study-NOAA	8,656,313
MPWMD User Fee	2,302,346
Operational Energy Efficiency Program	319,996
Cease & Desist Order Memo Account	5,671,920
Santa Rosa Groundwater	385
DSIC Surcharges	(290,925)
Low Income Program	899,273
Interim Rates	21,351,008
Water Revenue Adjustment Mechanism (WRAM)	515,477
San Clemente Dam	31,402,631
Los Angeles Conservation Charges	112,137
Engineering Studies	0
Monterey District Conservation Balancing Account	47,053
Purchase Wtr & Power, Credit Card Memorandum Acct and Pension	33,819,474
Carmel River Dam	(19,381)
Coastal Water Project	21,175,069
Seaside Groundwater Basin	215,801
Endangered Species Act (ESA)	1,579,606
Phase 1 ASR Project	0
Patton Well	0
Other Reg Asset	3,943,425
Citizens Acquisition Purchase Premium-Net of Amortization	54,880,227
Preliminary Costs of Financing	47,689
Deferred Rate Proceedings	1,742,466
Low Income Customer Data	77,500
Other Long Term Asset	6,681,144
Authorized Balancing Account	6,598,368
Total	<u><u>208,517,871</u></u>

SCHEDULE A-18
Accounts 200 and 201- Capital Stock

Line No.	Class of Stock (a)	Number of Shares Authorized by Articles of Incorporation (b)	Par Value of Stock Authorized by Articles of Incorporation (c)	Number of Shares Outstanding ¹ (d)	Total Par Value ² Outstanding End of Year (e)	Dividends Declared During Year	
						Rate (f)	Amount (g)
1	Common	2,000,000	0	619,136	92,477,914		0
2							
3							
4							
5							
6	Totals	2,000,000	0	619,136	92,477,914	0	0

¹ After deduction for amount of reacquired stock held by or for the respondent.

² If shares of stock have no par value, show value assigned to stock outstanding.

SCHEDULE A-18a
Record of Stockholders at End of Year

Line No.	COMMON STOCK		PREFERRED STOCK	
	Name (a)	Number Shares (b)	Name (c)	Number Shares (d)
1	American Water Works Co, Inc	619,136	None	0
2				
3				
4				
5				
6				
7				
8				
9	Total number of shares	619,136	Total number of shares	0

SCHEDULE A-19
Account 203 - Premiums and Assessments on Capital Stock

Line No.	Class of Stock (a)	Balance End of Year (b)
1	None	0
2		
3		
4		
5		
6		
7		
8		
9	Total	0

SCHEDULE A-20
Account 270 - Capital Surplus (For use by Corporations only)

Line No.	Item (a)	Amount (b)
1	Balance beginning of year	150,530,686
2	CREDITS (Give nature of each credit and state account charged)	
	Employee Stock Purchase Program	22,225
3	Restricted Stock Units & Options	197,538
5	Total credits	219,763
6	DEBITS (Give nature of each debit and state account credited)	
8	N/A	-
10	Total debits	-
11	Balance end of year	150,750,449

SCHEDULE A-21
Account 271 - Earned Surplus (For use by Corporations only)

Line No.	Acct	Account (a)	Amount (b)
1		Balance beginning of year	30,763,095
2		CREDITS	
3	400	Credit balance transferred from income account	24,946,100
4	401	Change in Monterey Wastewater Retained Earnings	(407,135)
5			
6		Total credits	24,538,965
7		DEBITS	
8	410	Debit balance transferred from income account	(336,173)
9	411	Dividend appropriations-preferred stock	-
10	412	Dividend appropriations-Common stock	18,091,154
11	413	Miscellaneous reservations of surplus	-
12	414	Miscellaneous credits to surplus (detail)	-
13			
14		Total debits	17,754,981
15		Balance end of year	37,547,079

SCHEDULE A-22
Account 204 - Proprietary Capital
(Sole Proprietor or Partnership)

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	
2	CREDITS	
3	Net income for year	Schedule
4	Additional investments during year	Not Applicable
5	Other credits (detail)	
6		
7	Total credits	
8	DEBITS	
9	Net loss for year	
10	Withdrawals during year	
11	Other debits (detail)	
12		
13	Total debits	
14	Balance end of year	

SCHEDULE A-23
Account 205 - Undistributed Profits
of Proprietorship or Partnership

(The use of this account is optional)

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	
2	CREDITS	
3	Net income for year	Schedule
4	Other credits (detail)	Not Applicable
5		
6	Total credits	
7	DEBITS	
8	Net loss for year	
9	Withdrawals during year	
10	Other debits (detail)	
11		
12		
13	Total debits	
14	Balance end of year	

SCHEDULE A-24
Account 210 - Bonds

Line No.	Class of Bond (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding ¹ per Balance Sheet (e)	Rate of Interest (f)	Sinking Fund Added in Current Year (g)	Cost of Issuance (h)	Interest Accrued During Year (i)	Interest Paid During Year (j)
1	General Mortgage Bonds	1/1/2003	6/1/2020	6,000,000	6,000,000	9.71%	0	101,992	582,600	582,600
2	General Mortgage Bonds	1/1/2003	6/1/2021	10,000,000	10,000,000	9.22%	0	104,754	922,000	922,000
3	General Mortgage Bonds	12/1/2002	12/1/2023	10,000,000	10,000,000	6.76%	0	50,953	703,228	676,000
4	General Mortgage Bonds	12/1/2002	12/1/2033	10,000,000	10,000,000	6.76%	0	56,021	676,000	676,000
5	General Mortgage Bonds	12/1/2002	5/1/2026	10,000,000	10,000,000	7.33%	0	255,110	733,000	733,000
6	Notes Payable to Affiliate	12/21/2006	12/21/2016	10,000,000	10,000,000	5.52%	0	40,691	552,000	552,000
7	Notes Payable to Affiliate	12/21/2006	12/21/2018	52,300,000	52,300,000	5.62%	0	176,610	2,939,260	2,939,260
8	Notes Payable to Affiliate	12/21/2006	12/21/2021	75,700,000	75,700,000	5.77%	0	267,484	4,367,890	4,367,890
9	Notes Payable to Affiliate	12/21/2006	12/21/2013	24,000,000	*	5.39%	0	103,332	1,293,600	1,293,600
10	Notes Payable to Affiliate	11/23/2008	12/31/2038	35,000,000	35,000,000	10.00%	0	1,415,996	3,500,000	3,500,000
11	Notes Payable to Affiliate	8/18/2010	8/1/2040	35,000,000	35,000,000	5.25%	0	713,069	1,837,500	1,837,500
12	Reclass of interest for TIRBA								(88,061)	
13										
14										
15										
16										
17										
18	Totals			278,000,000	254,000,000			3,286,012	18,019,017	18,079,850

¹ After deduction for amount of reacquired bonds held by or for the respondent.

**CLASS A WATER COMPANIES
SAFE DRINKING WATER BOND ACT DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) loan outstanding during the 12 month reporting period. (Use one sheet for each loan.)

1. Current Fiscal Agent:
 Name: NONE (account closed in 2009)
 Address: _____
 Phone Number: _____
 Date Hired: _____

2. Total surcharge collected from customers during the 12 month reporting period: \$ _____

3. Summary of the trust bank account activities showing:

Balance at beginning of year	\$ 0
Deposits during the year	\$ 0
Withdrawals made for loan payments	\$ 0
Other withdrawals from this account	\$ 0
Balance at end of year	\$ 0

4. Account information:
 Bank Name: _____
 Account Number: _____
 Date Opened: _____

5. Plant amounts included in Schedule A-1a, Account No. 101 -- Water Plant in Service which were funded using SDWBA funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		DEPRECIABLE PLANT					
6	304	Structures					
7	307	Wells					
8	311	Pumping equipment					
9	317	Other water source plant					
10	320	Water treatment plant					
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service					

SCHEDULE A-25
Account 212 - Advances from Associated Companies

Line No.	Nature of Obligation (a)	Amount of Obligation (b)	Rate of Interest (c)	Interest Accrued During Year (d)	Interest Paid During Year (e)
1					
2	None				
3					
4					
5	Total	0			

SCHEDULE A-26
Account 213 - Miscellaneous Long Term Debt

Line No.	Nature of Obligation (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding ¹ Per Balance Sheet (e)	Rate of Interest (f)	Interest Accrued During Year (g)	Interest Paid During Year (h)
1								
2	None							
3								
4								
5								
6								
7								
8	Total				0			

¹ After deduction for amount of reacquired debt held by or for the respondent.

SCHEDULE A-27
Securities Issued or Assumed During Year

Line No.	Class of Security (a)	Commission Authorization (b)	Amount Issued During Year (omit cents) (c)	Discount or Premium (d)	Expenses (e)
1					
2	None				
3					
4					
5	Total				

SCHEDULE A-28
Account 220 - Notes Payable

Line No.	In Favor of (a)	Date of Issue (b)	Date of Maturity (c)	Balance End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest Paid During Year (g)
1	American Water Capital Corporation	None	None	25,161,339	N/A	0	0
2							
3							
4							
5							
6							
7	Total			25,161,339			

SCHEDULE A-29
Account 223 - Payables to Associated Companies

Line No.	Nature of Obligation (a)	Balance End of Year (b)	Rate of Interest (c)	Interest Accrued During Year (d)	Interest Paid During Year (e)
1	American Water Works Service Company	483,390	0	0	0
2	American Water Capital Corporation	4,802	0	0	0
3			0	0	0
4			0	0	0
5			0	0	0
6			0	0	0
7	Total	488,192	0	0	0

SCHEDULE A-30
Account 230 - Other Current and Accrued Liabilities

Line No.	Description (a)	Balance End of Year (b)
1	Accrued Vacation	832,890
2	Accrued Purchase Water	2,307,959
3	Accrued Purchase Power	457,264
4	Accrued Legal	65,256
5	Accrued Wages	494,653
6	Accrued Insurance	69,516
7	Accrued Waste Disposal	30,487
8	Accrued Retire Medical	26,000
9	Accrued DCP Contribution	33,153
10	Accrued Bank Fees	27,053
11	Accrued Severance	28,000
12	Accrued Payroll Withholding & Taxes	65,451
13	Accrued Employee 401K Match	23,663
14	Unclaimed Credits	40,874
15	Unbilled Items	0
16	Collection For Other-Gross Recorded Tax	1,686,112
17	Collection For Other-Municipal Tax	795,812
18	Collection for Others-Customer Wtr Line Protection-AWR	1,089
19	Incentive Plan Cash Annual	1,796,937
20	Accrued Litigation	20,933
21	Unclaimed AP Checks	98,070
22	NOAA Short Term	1,100,000
23	Other Current Liability Analyzed	(55,374)
24	Unclaimed Wages	680
25	Miscellaneous Deposits Payable	28,768
26	GRIR Capital Expenditures	1,129,957
27		
28		
29		
30	Total	11,105,203

SCHEDULE A-31
Account 228 - Accrued and Prepaid Taxes

1. This schedule is intended to give particulars of the combined prepaid and accrued tax accounts and to show the total taxes charged to operations and other accounts during the year. Do not include gasoline and other sales taxes which have been charged to the accounts to which the material on which the tax was levied was charged.
2. Taxes, paid during the year and charged direct to final accounts, that is, not charged to prepaid or accrued taxes, should be included in the schedule. Enter the amounts both in columns (d) and (e). The balancing of the schedule is not affected by the inclusion of these taxes.
3. Taxes charged during the year, column (d), include taxes charged to operations and other accounts through (a) accruals credited to taxes accrued, (b) amounts credited to prepaid taxes for proportions of prepaid taxes chargeable to current year, and (c) taxes paid and charged direct to operations or accounts other than accrued and prepaid tax accounts.
4. If any tax covers more than 1 year, the required information of all columns should be shown separately for each year.
5. Enter all adjustments of the accrued and prepaid tax accounts in column (f) and explain each adjustment. Designate debit adjustments by parentheses.
6. See schedule entitled "TAXES CHARGED DURING YEAR," page 32, for a distribution of taxes charged, column (d) according to utility departments and accounts.
7. Do not include in this schedule entries with respect to deferred income taxes or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.

Line No.	Kind of Tax (See Instruction 5)	BALANCE BEGINNING OF YEAR		Taxes Charged During Year (d)	Paid During Year (e)	Adjustments (f)	BALANCE END OF YEAR	
		Taxes Accrued (b)	Prepaid Taxes (c)				Taxes Accrued (Account 228) (g)	Prepaid Taxes (Incl. in Acct. 132) (h)
1	Taxes on real and personal property	(1,227)	-	3,723,957	3,715,212	-	7,518	-
2	Taxes on real and personal property	-	503,685	477,096	340,485	371	-	367,445
3	State corporation franchise tax	93,818	-	121,634	107,726	957	108,683	-
4	State unemployment insurance tax	-	-	155,068	152,921	-	2,147	-
5	Other state and local taxes	40,100	-	428,185	398,694	(0)	69,591	-
6	Federal unemployment insurance tax	-	-	12,072	12,072	-	-	-
7	Fed. ins. contr. act (old age retire.)	112,016	-	1,897,020	1,865,272	-	143,765	-
8	Other federal taxes current deferred	104,760	-	-	(675,725)	(2,928,197)	6,432,499	-
9	Federal income taxes	1,666,057	-	7,018,915	-	381,807	418,515	-
10	State income taxes	(0)	-	36,708	-	(66,181)	-	-
11	State income taxes current deferred	29,025	-	-	-	(18,337)	10,688	-
12	Deferred Taxes	-	-	10,267,832	-	(10,267,832)	-	-
13								
14								
15	Total	2,044,548	503,685	24,138,489	5,916,657	(12,897,413)	7,231,986	367,445

SCHEDULE A-32
Account 241 - Advances for Construction

Line No.	(a)	(b)	Amount (c)
1	Balance beginning of year		16,653,089
2	Additions during year		197,389
3	Subtotal - Beginning balance plus additions during year		16,850,478
4	Charges during year		
5	Refunds		
	Percentage of revenue basis		
7	Proportionate cost basis	542,957	
8	Present worth basis		
9	Total refunds		542,957
10	Transfers to Acct 265 - Contributions in Aid of Construction		
11	Due to expiration of contracts		
12	Due to present worth discount		
13	Total transfers to Acct. 265		-
14	Securities Exchanged for Contracts (enter detail below)		
15	Subtotal - Charges during year		
16	Balance end of year		16,307,521

If stock, bonds, etc., were issued in exchange for construction advance contracts give details below:

Line No.	Type of Security or Other Consideration (Other than Cash) (a)	Dividend or Interest Rate (b)	PUC Decision Number (c)	Amount Issued (d)
17	Common stock			
18	Preferred stock			
19	Bonds			
20	Other (describe)			
21				
22				

SCHEDULE A-33
Account 242 - Other Deferred Credits

Line No.	Item (a)	Balance End of Year (b)
1	See Addendum	63,543,364
2		
3		
4		
5		
	Total	63,543,364

SCHEDULE A-34
Accounts 254 to 258, Inclusive - Miscellaneous Reserves

Line No.	Account (a)	Balance Beginning of Year (b)	DEBITS		CREDITS		Balance End of Year (g)
			Nature of Items (c)	Amount (d)	Account Charged (e)	Amount (f)	
1	254	672,381	Reserve Bad Debt	282,407		542,256	932,230
2	255	-					-
3	256	-					-
4	257	-					-
5	258	1,296,186	Misc Operating Reserve	1,004,226		606,508	898,468
6	258	1,622,189	FIN 48 Reserve State & Federal	791,690		1,321,183	2,151,682
7	Total	3,590,756		2,078,323		2,469,947	3,982,380

California-American Water Company
Addendum to Schedule A-33

ACCOUNT NO. 242 - OTHER DEFERRED CREDITS

ITEMS	BALANCE
Def FIT-Other	(49,464,247)
Def SIT-Other	(6,351,622)
Unamortized ITC - 10%	(587,138)
Unamortized ITC - 6%	(181,446)
Reg Liab-Exc Def FIT	(194,997)
Reg Liab-Deficit Def FIT	33,981
Reg Liab-Exc Def Depr SIT	(4,996)
Reg Liab-ITC Gross-Up 10%	(292,526)
Reg Liab-ITC Gross-Up 6%	(89,361)
Conservation Surcharge	(482,678)
MTBE Settlement	(4,148,867)
Deferred Revenue	(323,000)
DCA-Accr Pension Def Benefi	5,171,204
DCA-Accr OPEB	(407,985)
DCA-Accr OPEB Med Subsidy	(370,575)
MPWMD Surcharge	(311,394)
DCA-FAS 112 Costs	(116,573)
Accr Div Equivalents	(33,608)
DCA-Def Rev CIAC	0
DCA-Def Rev CIAC FIT	(635,923)
DCA-Def Rev CIAC SIT	0
DCA-Tax Gross-Up AIC	(184,200)
DCA-Tax Gross-Up AIC FIT/SI	(825,722)
DCA-Tax Gross-Up AIC SIT	(5,870)
DCA-Other	0
CA-LT NOAA Settlement	(3,300,000)
DCN-Adv Pay & Dep Othe	(435,821)
Total Other Deferred Credits	(63,543,364)

SCHEDULE A-35
Account 265 - Contributions in Aid of Construction

Instructions for Preparation of Schedule of Contributions in Aid of Construction:

- ¹ The credit balance in the account other than that portion of the balance relating to nondepreciable property (column d), to property retired prior to January 1, 1995 (column e), and to the estimated amount of depreciation accrued to January 1, 1955 (column f), shall be written off through charges to this account and credits to Account 250 - Reserve for Depreciation of Utility Plant over a period equal to the estimated service life of the property involved. Upon retirement of depreciable property for which a depreciation reserve has been created through charges to this account, the cost thereof shall be credited to the appropriate plant account and concurrently charged to Account 250. If the property is retired prior to the service life originally estimated, the balance in this account with respect to the retired property shall be transferred to the depreciation reserve account.
- ² That portion of the balance applicable to nondepreciable property shall remain unchanged until the property is sold or otherwise retired. Upon retirement, the cost of such nondepreciable property acquired by donation or through use of donated funds shall be credited to the appropriate plant account and charged to this account to clear the credit balance carried herein.
- ³ That portion of the balance representing donations on property retired prior to January 1, 1955 (column e), and the amount of depreciation accrued to January 1, 1955, on property in service (column f), shall not be transferred from this account or otherwise disposed of without first receiving written authorization from the Commission.

Line No.	Item (a)	Total All Columns (b)	Subject to Amortization		Property Retired Before Jan. 1, 1955 (e)	Depreciation Accrued through Dec. 31, 1954 on Property in Service at Dec. 31, 1954 (f)
			Property in Service After Dec. 31, 1954	Non-Depreciable (d)		
	Depreciable (c)		Depreciable (c)	Non-Depreciable (d)		
1	Balance beginning of year	59,090,929	58,855,666	235,263		
2	Add: Credits to account during year					
3	Contributions received during year	841,431	841,431			
4	Other credits*	0	0			
5	Total credits	841,431	841,431			
6	Deduct: Debits to account during year					
7	Depreciation charges for year	1,732,833	1,732,833			
8	Nondepreciable donated property retired	0				
9	Other debits*	0				
10	Total debits	1,732,833	1,732,833			
11	Balance end of year	58,199,527	57,964,264	235,263		

*Indicate nature of these items and show the accounts affected by the contra entries.

SCHEDULE B-1
Account 501 - Operating Revenues

Line No.	Acct.	ACCOUNT (a)	Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Brackets) (d)
1		I. WATER SERVICE REVENUES			
2	601	Metered sales to general customers			
3		601.1 Commercial sales	148,320,263	112,468,860	35,851,404
4		601.2 Industrial sales	3,763,841	3,319,566	444,284
5		601.3 Sales to public authorities	11,499,584	8,796,604	2,702,980
6		Sub-total	163,583,688	124,585,020	38,998,668
7	602	Unmetered sales to general customers			
8		602.1 Commercial sales	9,542,921	11,113,580	(1,570,658)
9		602.2 Industrial sales	-	-	-
10		602.3 Sales to public authorities	-	-	-
11		Sub-total	9,542,921	11,113,580	(1,570,658)
12	603	Sales to irrigation customers			
13		603.1 Metered sales	-	-	-
14		603.2 Unmetered sales	-	-	-
15		Sub-total	-	-	-
16	604	Private fire protection service	2,524,348	2,311,816	212,532
17	605	Public fire protection service	(33,005)	(0)	(33,005)
18	606	Sales to other water utilities for resale	8,127	5,313	2,814
19	607	Sales to governmental agencies by contracts	-	-	-
20	608	Interdepartmental sales	-	-	-
21	609	Other sales or service	648,615	415,611	233,004
22		Sub-total	3,148,085	2,732,740	415,345
23		Total water service revenues	176,274,694	138,431,340	37,843,354
24		II. OTHER WATER REVENUES			
25	611	Miscellaneous service revenues	2,065,467	2,321,378	(255,911)
26	612	Rent from water property	215,081	99,214	115,867
27	613	Interdepartmental rents	-	-	-
28	614	Other water revenues	13,551,516	16,697,947	(3,146,431)
29		Total other water revenues	15,832,063	19,118,539	(3,286,476)
30	501	Total operating revenues	192,106,758	157,549,879	34,556,879

SCHEDULE B-1a
Operating Revenues Apportioned to Cities and Towns

Line No.	Location (a)	Operating Revenues (b)
31	Operations not within incorporated cities ¹	None
32		
33		
34		
35	Operations within incorporated territory	None
36	City or town of	
37	City or town of	
38	City or town of	
39	City or town of	
40	City or town of	
41		
42		
43		
44		

¹ Should be segregated to operating districts.

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
1		I. SOURCE OF SUPPLY EXPENSE						
2		Operation						
3	701	Operation supervision and engineering	A	B		245	87	157
4	701	Operation supervision, labor and expenses			C	-	-	-
5	702	Operation labor and expenses	A	B		217,995	200,662	17,334
6	703	Miscellaneous expenses	A			833,056	873,847	(40,791)
7	704	Purchased water	A	B	C	39,094,162	34,217,045	4,877,117
8		Maintenance						
9	706	Maintenance supervision and engineering	A	B		28,931	37,943	(9,013)
10	706	Maintenance of structures and facilities			C	-	-	-
11	707	Maintenance of structures and improvements	A	B		-	9,834	(9,834)
12	708	Maintenance of collect and impound reservoirs	A			45,590	32,778	12,812
13	708	Maintenance of source of supply facilities		B		-	-	-
14	709	Maintenance of lake, river and other intakes	A			-	83	(83)
15	710	Maintenance of springs and tunnels	A			-	745	(745)
16	711	Maintenance of wells	A			3,923	8,050	(4,127)
17	712	Maintenance of supply mains	A			-	-	-
18	713	Maintenance of other source of supply plant	A	B		1,043,270	401,484	641,786
19		Total source of supply expense				41,267,171	35,782,558	5,484,613
20		II. PUMPING EXPENSES						
21		Operation						
22	721	Operation supervision and engineering	A	B		4,913	9,689	(4,776)
23	721	Operation supervision labor and expense			C	-	-	-
24	722	Power production labor and expense	A			-	-	-
25	722	Power production labor, expenses and fuel		B		-	-	-
26	723	Fuel for power production	A			-	-	-
27	724	Pumping labor and expenses	A	B		1,063,242	1,164,016	(100,774)
28	725	Miscellaneous expenses	A			157,430	107,011	50,420
29	726	Fuel or power purchased for pumping	A	B	C	5,922,501	6,721,952	(799,450)
30		Maintenance						
31	729	Maintenance supervision and engineering	A	B		48,375	67,375	(19,000)
32	729	Maintenance of structures and equipment			C	-	-	-
33	730	Maintenance of structures and improvements	A	B		63,601	117,291	(53,691)
34	731	Maintenance of power production equipment	A	B		90,331	193,991	(103,660)
35	732	Maintenance of pumping equipment	A	B		-	-	-
36	733	Maintenance of other pumping plant	A	B		778,111	1,365,854	(587,743)
37		Total pumping expenses				8,128,505	9,747,179	(1,618,674)

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
38		III. WATER TREATMENT EXPENSES						
39		Operation						
40	741	Operation supervision and engineering	A	B	1,733	3,320	(1,587)	
41	741	Operation supervision, labor and expenses			-	-	-	
42	742	Operation labor and expenses	A		1,506,820	1,439,353	67,467	
43	743	Miscellaneous expenses	A	B	981,457	758,402	223,054	
44	744	Chemicals and filtering materials	A	B	827,547	824,275	3,272	
45		Maintenance						
46	746	Maintenance supervision and engineering	A	B	-	284	(284)	
47	746	Maintenance of structures and equipment			-	-	-	
48	747	Maintenance of structures and improvements	A	B	3,791	-	3,791	
49	748	Maintenance of water treatment equipment	A	B	731,193	526,556	204,636	
50		Total water treatment expenses			4,052,539	3,552,191	500,349	
51		IV. TRANS. AND DIST. EXPENSES						
52		Operation						
53	751	Operation supervision and engineering	A	B	1,399,980	616,007	783,973	
54	751	Operation supervision, labor and expenses			-	-	-	
55	752	Storage facilities expenses	A		32,475	77,087	(44,612)	
56	752	Operation labor and expenses		B	-	-	-	
57	753	Transmission and distribution lines expenses	A		11,170	15,928	(4,758)	
58	754	Meter expenses	A		205,156	340,391	(135,235)	
59	755	Customer installations expenses	A		430,116	469,678	(39,562)	
60	756	Miscellaneous expenses	A		1,274,680	693,559	581,120	
61		Maintenance						
62	758	Maintenance supervision and engineering	A	B	28,055	32,032	(3,977)	
63	758	Maintenance of structures and plant			-	-	-	
64	759	Maintenance of structures and improvements	A	B	83,072	1,425	81,647	
65	760	Maintenance of reservoirs and tanks	A	B	15,238	49,222	(33,984)	
66	761	Maintenance of trans. and distribution mains	A		1,222,308	1,348,687	(126,379)	
67	761	Maintenance of mains		B	-	-	-	
68	762	Maintenance of fire mains	A		-	-	-	
69	763	Maintenance of services	A		1,390,508	1,701,605	(311,097)	
70	763	Maintenance of other trans. and distribution plant		B	-	-	-	
71	764	Maintenance of meters	A		206,736	225,522	(18,786)	
72	765	Maintenance of hydrants	A		55,737	122,218	(66,481)	
73	766	Maintenance of miscellaneous plant	A		2,027,974	1,756,808	271,166	
74		Total transmission and distribution expenses			8,383,205	7,450,168	933,037	

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
75		V. CUSTOMER ACCOUNT EXPENSES						
76		Operation						
77	771	Supervision	A	B		18,790	28,049	(9,259)
78	771	Superv., meter read., other customer acct expenses			C	-	-	-
79	772	Meter reading expenses	A	B		865,481	815,726	49,755
80	773	Customer records and collection expenses	A			639,681	811,911	(172,229)
81	773	Customer records and accounts expenses		B		-	-	-
82	774	Miscellaneous customer accounts expenses	A			36,236	88,535	(52,299)
83	775	Uncollectible accounts	A	B	C	-	-	-
84		Total customer account expenses				1,560,188	1,744,220	(184,032)
85		VI. SALES EXPENSES						
86		Operation						
87	781	Supervision	A	B		-	-	-
88	781	Sales expenses			C	-	-	-
89	782	Demonstrating and selling expenses	A			-	-	-
90	783	Advertising expenses	A			-	-	-
91	784	Miscellaneous sales expenses	A			-	-	-
92	785	Merchandising, jobbing and contract work	A			-	-	-
93		Total sales expenses				-	-	-
94		VII. ADMIN. AND GENERAL EXPENSES						
95		Operation						
96	791	Administrative and general salaries	A	B	C	4,634,242	3,872,205	762,037
97	792	Office supplies and other expenses	A	B	C	93,476	102,021	(8,545)
98	793	Property insurance	A			5,333	12,535	(7,202)
99	793	Property insurance, injuries and damages		B	C	-	-	-
100	794	Injuries and damages	A			91,178	13,000	78,178
101	795	Employees' pensions and benefits	A	B	C	4,496,230	3,080,711	1,415,519
102	796	Franchise requirements	A	B	C	-	-	-
103	797	Regulatory commission expenses	A	B	C	2,814,081	2,235,651	578,431
104	798	Outside services employed	A			753,412	936,005	(182,593)
105	798	Miscellaneous other general expenses		B		-	-	-
106	798	Miscellaneous other general operation expenses			C	-	-	-
107	799	Miscellaneous general expenses	A			1,918,907	5,966,424	(4,047,516)
108		Maintenance						
109	805	Maintenance of general plant	A	B	C	73,347	182,062	(108,715)
110		Total administrative and general expenses				14,880,207	16,400,614	(1,520,407)
111		VIII. MISCELLANEOUS						
112	811	Rents	A	B	C	876,247	848,495	27,752
113	812	Administrative expenses transferred - Cr.	A	B	C	30,761,238	26,003,188	4,758,049
114	813	Duplicate charges - Cr.	A	B	C	-	-	-
115		Total miscellaneous				31,637,485	26,851,683	4,785,802
116		Total operating expenses				109,909,300	101,528,613	8,380,687

SCHEDULE B-3
Account 506 - Property Losses Chargeable to Operations

Line No.	Description (a)	Amount
1	Property Loss on Chargeable Operations	-
2		
3		
4		
5		
6		
7		
8	Total	0

SCHEDULE B-4
Account 507 - Taxes Charged During Year

- This schedule is intended to give the account distribution of total taxes charged to operations and other final accounts during the year.
- Do not include gasoline and other sales taxes which have been charged to accounts to which the material on which the tax was levied was charged. If the actual or estimated amounts of such taxes are known, they should be shown as a footnote and designated whether estimated or actual amounts.
- The accounts to which taxes charged were distributed should be shown in columns (c) to (f). Show both the utility department and number of account charged except for taxes capitalized.
- For any tax which it was necessary to apportion to more than one utility department or account, state in a footnote the basis of apportioning such tax.
- The total taxes charged as shown in column (b) should agree with the amounts shown by column (d) of schedule entitled "Accrued and Prepaid Taxes," page 24.
- Do not include in this schedule entries with respect to deferred income taxes, or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.

Line No.	Total Taxes Charged During Year (b)	DISTRIBUTION OF TAXES CHARGED (Show utility department where applicable and account charged)				Capitalized (Omit Account) (f)
		Water (Account 507) (c)	Nonutility (Account 521) (d)	Other (Account ----) (e)		
1	Taxes on real and personal property	4,201,053		142,158		
2	State corp. franchise tax	121,634		-		
3	State unemployment insurance tax	155,068		398,007		37,235
4	Other state and local taxes	428,185		(6,325)		2,482
5	Federal unemployment insurance tax	12,072				547,469
6	Federal insurance contributions act	1,897,020				
7	Other federal taxes	-				
8	Federal income tax	7,018,914		323,202		
9	State income tax	36,710		89,548		
10	Deferred	10,267,831				
11						
12						
13						
14	Totals	24,138,489	22,604,714	946,589		587,186

SCHEDULE B-5

Reconciliation of Reported Net Income With Taxable Income for Federal Taxes

1. Report hereunder a reconciliation of reported net income for the year with taxable income used in computing Federal income tax accruals and show computation of such tax accruals. The reconciliation shall be submitted even though there is no taxable income for the year. Descriptions should clearly indicate the nature of each reconciling amount.

2. If the utility is a member of a group which files a consolidated Federal tax return, reconcile reported net income with taxable net income as if a separate return were to be filed, indicating, however, inter-company amounts to be eliminated in such consolidated return. State names of group members, tax assigned to each group member, and basis of allocation, assignment, or sharing of the consolidated tax among the group members.

3. Show taxable year if other than calendar year from-----to-----.

Line No.	Particulars (a)	Amount (b)
1	Net income for the year per Schedule B, page 15	
2	Reconciling amounts (list first additional income and unallowable deductions, followed by additional	
3	deductions for non-taxable income):	
4		
5		
6	Federal Tax Return not yet Completed-See Attached Form 7004	
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		
26		
27		
28		
29		
30	Federal tax net income	
31	Computation of tax:	
32		
33		
34		
35	Tax per return	

Application for Automatic Extension of Time To File Certain Business Income Tax, Information, and Other Returns

OMB No. 1545-0233

File a separate application for each return.
 Information about Form 7004 and its separate instructions is at www.irs.gov/form7004.

Name: **AMERICAN WATER WORKS COMPANY, INC & SUBS** Identifying number: **51-0063696**
 Number, street, and room or suite no. (if P.O. box, see instructions)
 PO BOX 5600, ATTN: INCOME TAX
 City, town, state, and ZIP code (If a foreign address, enter city, province or state, and country (follow the country's practice for entering postal code):
 CHERRY HILL, NJ 08003

Note. File request for extension by the due date of the return for which the extension is granted. See instructions before completing this form.

Part I Automatic 5-Month Extension

1a Enter the form code for the return that this application is for (see below).

Application Is For:	Form Code	Application Is For:	Form Code
Form 1065	09	Form 1041 (estate other than a bankruptcy estate)	04
Form 8804	31	Form 1041 (trust)	05

Part II Automatic 6-Month Extension

b Enter the form code for the return that this application is for (see below).

Application Is For:	Form Code	Application Is For:	Form Code
Form 706-GS(D)	01	Form 1120-ND (section 4951 taxes)	20
Form 706-GS(T)	02	Form 1120-PC	21
Form 1041 (bankruptcy estate only)	03	Form 1120-POL	22
Form 1041-H	06	Form 1120-REIT	23
Form 1041-QFT	07	Form 1120-RIC	24
Form 1042	08	Form 1120S	25
Form 1065-B	10	Form 1120-SF	26
Form 1066	11	Form 3520-A	27
Form 1120	12	Form 8612	28
Form 1120-C	34	Form 8613	29
Form 1120-F	15	Form 8725	30
Form 1120-FSC	16	Form 8831	32
Form 1120-H	17	Form 8876	33
Form 1120-L	18	Form 8924	35
Form 1120-ND	19	Form 8928	36

- 2 If the organization is a foreign corporation that does not have an office or place of business in the United States, check here
- 3 If the organization is a corporation and is the common parent of a group that intends to file a consolidated return, check here
 If checked, attach a statement, listing the name, address, and Employer Identification Number (EIN) for each member covered by this application. SEE STATEMENT 1

Part III All Filers Must Complete This Part

4 If the organization is a corporation or partnership that qualifies under Regulations section 1.6081-5, check here

5 a The application is for calendar year 2012, or tax year beginning _____, 20____ and ending _____, 20____

b Short tax year. If this tax year is less than 12 months, check the reason: Initial return Final return
 Change in accounting period Consolidated return to be filed Other (see instructions-attach explanation)

6 Tentative total tax	6	NONE
7 Total payments and credits (see instructions)	7	NONE
8 Balance due. Subtract line 7 from line 6 (see instructions)	8	NONE

For Privacy Act and Paperwork Reduction Act Notice, see separate instructions.

Form 7004 (Rev. 12-2012)

**COMPANIES TO BE INCLUDED IN THE CONSOLIDATED FEDERAL INCOME TAX RETURN
OF AMERICAN WATER WORKS COMPANY, INC. AND AFFILIATED SUBSIDIARIES**

YEAR - 01/01/12-12/31/12

COMPANY	Employer Identification Number
1 AAET, Inc.	22-3259128
2 American Lake Water Company	06-1396121
3 American Water (USA), Inc.,	98-0165920
4 American Water Capital Corp	22-3732448
5 American Water Engineering, Inc	76-0654501
6 American Water Enterprises Holding, Inc.,	76-0605357
7 American Water Enterprises, Inc.	22-3169459
8 American Water Industrial Operations, Inc.,	74-2177717
9 American Water Industrials, Inc.,	76-0656917
10 American Water Operations and Maintenance, Inc	98-0165919
11 American Water Resources Holdings, Inc.	27-5107201
12 American Water Resources of Florida	45-5262240
13 American Water Resources of Texas, Inc.	27-5107457
14 American Water Resources, Inc.,	54-0912221
15 American Water Services CDM, Inc.,	91-1745331
16 American Water Works Company, Inc.,	51-0063696
17 American Water Works Service Company, Inc	23-1340234
18 Aqua New York Inc	13-2520321
19 Aqua New York of Sea Cliff Inc	11-1308867
20 Arizona-American Water Company	86-0096580
21 AW Contract Services (USA), Inc	45-4445120
22 AW Contract Services Holding, Inc.	45-4445250
23 AW Technologies Incorporated	45-3808303
24 Bluefield Valley Water Works Company	66-6022466
25 California-American Water Company	51-0104148
26 Edison Water Company	22-3519296
27 Environmental Management Corporation	43-1205270
28 E'Town Properties Inc.,	22-2817018
29 Hawaii-American Water Company	99-0108667
30 Illinois-American Water Company	51-0105894
31 Indiana-American Water Company, Inc.	35-0936102
32 Iowa-American Water Company	42-0735216
33 Kentucky-American Water Company	61-0485002
34 Laurel Oak Properties Corporation	20-1022964
35 Liberty Water Company	22-3596293
36 Maryland-American Water Company	52-0265025
37 Michigan-American Water Company	38-1657784
38 Missouri-American Water Company	44-0578460
39 Mobile Residuals Management (USA), Inc.	98-0183794
40 New Jersey-American Water Company, Inc	22-1546642
41 New Mexico-American Water Company, Inc.	85-0344576
42 New York American Water Company	11-1516966
43 Ohio-American Water Company	31-4399620
44 Pennsylvania-American Water Company, Inc.	25-1008096
45 Philip Automated Management Controls, Inc.	98-0165914
46 Rialto Water Services, Inc.	27-2388901
47 Tennessee-American Water Company	62-0529095
48 TWNA, Inc.	06-1548192
49 Utility Management and Engineering, Inc	22-3239760
50 Virginia-American Water Company	54-0119650
51 West Virginia-American Water Company	55-0307487

**Mailing address for all above companies is:
PO Box 5600
ATTN: Income Tax Department
131 Woodcrest Road
Cherry Hill, NJ 08003**

Statement 1

SCHEDULE B-6
Account 521 - Income from Nonutility Operations

Line No.	Description (a)	Revenue (b)	Expenses (c)	Net Income (d)
1	Income from NonUtility Operations			157,088
2				
3				
4				
5	Totals			157,088

SCHEDULE B-7
Account 526 - Miscellaneous Nonoperating Revenue

Line No.	Description (a)	Amount (b)
1	Miscellaneous NonOperating Revenues	2,086,988
2		
3		
4		
5		
6		
7		
8	Totals	2,086,988

SCHEDULE B-8
Account 527 - Nonoperating Revenue Deductions (Dr.)

Line No.	Description (a)	Amount (b)
1	NonOperating Revenues Deduction	(560,571)
2		
3		
4		
5	Total	(560,571)

SCHEDULE B-9
Account 535 - Other Interest Charges

Line No.	Description (a)	Amount (b)
1	Various Interest Charges	136,893
2		
3		
4	Total	136,893

SCHEDULE B-10
Account 538 - Miscellaneous Income Deductions

Line No.	Description (a)	Amount (b)
1	Various Miscellaneous Income Deductions	862,159
2		
3		
4		
5	Total	862,159

SCHEDULE C-1

Engineering and Management Fees and Expenses, etc., During Year

Line No.	Give the required particulars of all contracts or other agreements in effect in the course of the year between the respondent and any corporation, association, partnership or person covering supervision and/or management of any department of the respondents affairs such as accounting, engineering, financing, construction or operation, and show the payments under such agreements and also the payments for advice and services to a corporation or corporations which directly or indirectly control respondent through stock ownership	
1	Did the respondent have a contract or other agreement with any organization or person covering supervision and/or management of its own affairs during the year? <i>Answer</i> (Yes or No) Yes	
2	Name of each organization or person that was a party to such a contract or agreement American Water Works Service Company	
3	Date of original contract or agreement.	January 1, 1971
4	Date of each supplement or agreement.	September 1, 1989
	Note: File with the report a copy of every contract, agreement, supplement or amendment mentioned above unless a copy of the instrument in due form has been furnished in which case a definite reference to the report of the respondent relative to which it was furnished will suffice.	
5	Amount of compensation paid during the year for supervision or management	Not Applicable
6	To whom paid	Not Applicable
7	Nature of payment (salary, traveling expenses, etc.)	Not Applicable
8	Amounts paid for each class of service	Not Applicable
9	Base for determination of such amounts	Not Applicable
10	Distribution of payments:	
	(a) Charged to operating expenses	12,195,104
	(b) Charged to capital accounts	5,675,844
	(c) Charged to other accounts	-
	Total	17,870,948
11	Distribution of charges to operating expenses by primary accounts.	
	Number and Title of Account	
	Account 798 Outside Services	11,625,248
	Account 799 Miscellaneous Expense	569,856
	Total	12,195,104
12	What relationship, if any, exists between respondent and supervisory and/or managing concerns? American Water Service Co. provides operational functions for all American Water Works subsidiaries including California American Water	

SCHEDULE C-2
Compensation of Individual Proprietor or Partner

Do operating expenses include any charge for owner's compensation in the form of salary or wages, rental allowances, or for the use of a private automobile? (If the word "none" truly states the fact it should be given as the answer to this inquiry.)

Line No.	Nature of Allowance (a)	Account Charged (b)	Amount (c)
1	N/A		
2			
3			
4			
5			
6	Total		

SCHEDULE C-3
Employees and Their Compensation
(Charged to Account 502 - Operating Expenses - Schedule B-2)

Line No.	Classification (a)	Number at End of Year (b)	Total Salaries and Wages Paid During Year (c)
1	Employees - Source of supply		\$ 303,486
2	Employees - Pumping		\$ 1,217,642
3	Employees - Water treatment		\$ 2,123,646
4	Employees - Transmission and distribution		\$ 3,687,493
5	Employees - Customer account		\$ 1,522,079
6	Employees - Sales		
7	Employees - Administrative		\$ 9,575,058
8	General officers		
9	General office		
10	Totals	268	\$ 18,429,403

SCHEDULE C-4
Record of Accidents During Year

Line No.	Date of Accident (a)	TO PERSONS					TO PROPERTY			
		Employees on Duty		Public ¹		Total Number (f)	Company		Other	
		Killed (b)	Injured (c)	Killed (d)	Injured (e)		Number (g)	Amount (h)	Number (i)	Amount (j)
1	01/01/12-12-31-2012	0	13	0	0	13	0	\$ -	97	301,449
2										
3										
4	Totals	0	13	0	0	13	0	0	97	301,449

¹ Accidents to employees not on duty should be included in "Public" accidents

SCHEDULE C-5 Expenditures for Political Purposes

Hereunder report all direct or indirect expenditures incurred or made to elect or defeat a candidate for public office; or to place any measure on the ballot; at any election, or to keep it from being placed on the ballot at any election, or to support or defeat any measure on the ballot; at an election, or to support or defeat any proposed legislation, also name the account or accounts to which the expenditures herein mentioned have been charged. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1	Lobbying Expenses	318,055
2	Political Contributions	6,500
3		
4		
5	Total	324,555

SCHEDULE C-6 Loans to Directors, or Officers, or Shareholders

Show hereunder the amount of loans to directors, or officers, or shareholders and the terms and conditions of such loans. Among other things, show the name of each director, or officer, or shareholder, receiving a loan or loans, the amount of each loan, the rate of interest payable on such loan or loans, the maturity date of each loan or loans, the security given and the date when the shareholders authorized each loan. If such authorization was given. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1	NONE
2	
3	
4	
5	
6	

SCHEDULE C-7 Bonuses Paid to Executives & Officers

Show hereunder the amount of bonuses paid to Executives and Officers, both cash and non-cash. List by the name of each Executive or Officer receiving the bonus, the dollar value of that bonus, and a description of the bonus if it is non-cash.

1	Robert MacLean	94,433
2	Jeffrey Linam	71,789
3	Edward Simon	60,088
4	Kevin Tilden	44,882
5	Lillian Wilkirson	25,554
6	Richard Svindland	62,100
7	Anthony Cerasuolo	58,500
8	Sarah Leeper Egli	69,019
9	Lori Girard	32,561
10	Timothy Miller	38,988
11	Dave Stephenson	44,801
12	Okechukwu Azie	41,834
13		
14		
15		
16		
17		
18		

**SCHEDULE D-1
Sources of Supply and Water Developed**

STREAMS				FLOW IN(unit) ²				Annual Quantities Diverted(Unit) ²	Remarks
Line No.	Diverted into*	From Stream or Creek (Name)	Location of Diversion Point	Priority Right		Diversions			
				Claim	Capacity	Max.	Min.		
1									
2									
3	See each district's support schedule								
4									
5									
WELLS							Annual Quantities Pumped(Unit) ²	Remarks	
Line No.	At Plant (Name or Number)	Location	Number	Dimensions	¹ Depth to Water	Pumping Capacity(Unit) ²			
6									
7									
8	See each district's support schedule								
9									
10									
TUNNELS AND SPRINGS				FLOW IN(Unit) ²			Annual Quantities Used(Unit) ²	Remarks	
Line No.	Designation	Location	Number	Maximum	Minimum				
11									
12									
13	See each district's support schedule								
14									
15									
Purchased Water for Resale									
16	Purchased from								
17	Annual quantities purchased		33,086		(Unit chosen) ²		ACRE FEET		
18									
19									

* State ditch, pipe line, reservoir, etc., with name, if any.

¹ Average depth to water surface below ground surface.

² The quantity unit in established use for expressing water stored and used in large amounts is the acre foot, which equals 42,560 cubic feet: in domestic use the thousand gallon or the hundred cubic feet. The rate of flow or discharge in larger amounts is expressed in cubic feet per second, in gallons per minute, in gallons per day, or in the miner's inch. Please be careful to state the unit used.

**SCHEDULE D-2
Description of Storage Facilities**

Line No.	Type	Number	Combined Capacity (Gallons or Acre Feet)	Remarks
1	A. Collecting reservoirs			
2	Concrete	1	44,641,587	Gallons
3	Earth	1	578,222,600	Gallons
4	Wood	-	-	
5	B. Distribution reservoirs			
6	Concrete	22	42,360,000	Gallons
7	Earth	3	3,440,500	
8	Wood	-	-	
9	C. Tanks			
10	Concrete	31	21,787,350	Gallons
11	Metal	5	3,795,000	
12	Wood	-	-	
13	Steel	116	48,664,079	Gallons
14	Totals	179	742,911,116	Gallons

SCHEDULE D-3
Description of Transmission and Distribution Facilities

A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES
Capacities in Cubic Feet Per Second or Miner's Inches (State Which) _____

Line No.		0 to 5	6 to 10	11 to 20	21 to 30	31 to 40	41 to 50	51 to 75	76 to 100
1	Ditch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	Flume	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	Lined conduit	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
4									
5	Total								

A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES - Concluded
Capacities in Cubic Feet Per Second or Miner's Inches (State Which) _____

Line No.		101 to 200	201 to 300	301 to 400	401 to 500	501 to 750	751 to 1000	Over 1000	Total All Lengths
6	Ditch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
7	Flume	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
8	Lined conduit	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
9									
10	Total								

B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING

Line No.		1	1 1/2	2	2 1/2	3	4	5	6	8
11	Cast Iron	-	-	36,613	-	9,935	413,477	-	644,417	241,244
12	Cast Iron (cement lined)	-	-	-	-	-	18,475	-	20,802	4,879
13	Cement - asbestos	-	-	3,194	-	1,528	269,298	2,137	1,658,599	1,727,407
14	Concrete	-	-	-	-	-	41	-	20	954
15	Copper	3,076	3,881	2,574	-	-	-	-	-	-
16	PVC	-	-	896	-	2,289	27,318	-	319,626	1,284,962
17	Riveted steel	-	-	-	-	-	-	-	-	-
18	Screw or welded casing	-	-	-	-	-	-	-	-	-
19	Standard screw	-	-	-	-	-	-	-	310	-
20	Welded steel	-	-	2,183	513	2,542	188,836	7,024	255,901	207,454
21	Wood	-	-	-	-	-	-	-	-	-
22	Other (Steel Cement Lined)	-	-	-	-	-	-	-	-	-
23	Other (Brick Arch)	-	-	-	-	-	-	-	-	-
24	Other (Ductile Iron)	-	-	1,756	-	-	1,914	-	6,861	35,508
25	Other (Galvanized Pipe)	1,025	1,564	44,661	1,880	-	12	-	310	181
26	Other (C900/DIP)	-	-	-	-	-	492	-	3,319	35,797
27	Other (unknown)	-	3,413	22,964	-	999	3,474	-	10,777	5,605
28	Other (stainless steel)	-	-	-	-	-	-	-	60	-
29	Total	4,101	8,858	114,841	2,393	17,293	923,337	9,161	2,921,002	3,543,991

B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING - Concluded

Line No.		10	12	14	16	17 -18	Other Sizes (Specify Sizes)				Total All Sizes
							unknown	20	22-24	30-36	
30	Cast Iron	31,894	118,645	560	26,598	2,498		933	785	49,536	1,577,135
31	Cast Iron (cement lined)	999	5,386	-	52,038	-		-	-	-	102,579
32	Cement - asbestos	615,645	305,412	23,178	76,058	4,448		3,509	402	505	4,691,320
33	Concrete	1,261	848	2,050	-	295		2,215	-	-	7,684
34	Copper	-	-	-	-	-		-	-	-	9,531
35	PVC	130,158	356,512	133	29,043	664	1,346	8,318	375	3,853	2,165,493
36	Riveted steel	-	-	-	-	-		-	-	-	-
37	Screw or welded casing	-	-	-	-	-		-	-	-	-
38	Standard screw	-	-	-	-	-		-	-	-	310
39	Welded steel	68,121	164,976	22,667	57,157	35,540	1,190	18,905	25,034	86,398	1,144,441
40	Wood	-	-	-	-	-		-	-	-	-
41	Other (Steel Cement Lined)	-	-	-	-	-		-	-	-	-
42	Other (Brick Arch)	-	-	-	-	-		-	-	341	341
43	Other (Ductile Iron)	13,081	57,713	281	93,899	5,997		62,821	14,574	41,042	335,447
44	Other (Galvanized Pipe)	100	-	-	-	-		-	-	-	49,733
45	Other (C900/DIP)	8,195	17,998	-	-	-		-	-	-	65,801
46	Other (unknown)	-	-	-	-	-		-	-	-	47,232
47	Other (stainless steel)	-	-	-	-	-		-	-	-	60
48	Total	869,454	1,027,490	48,869	334,793	49,442	2,536	96,701	41,170	181,675	10,197,107

**SCHEDULE D-4
Number of Active Service Connections**

Classification	Metered - Dec 31		Flat Rate - Dec 31	
	Prior Year	Current Year	Prior Year	Current Year
Residential	136,386	150,943	14,889	1,080
Commercial	14,528	14,100	-	-
Industrial	236	238	-	-
Public authorities	1,502	1,704	2	3
Irrigation	66	63	-	-
Other (specify)	514	584	-	-
Subtotal	153,232	167,632	14,891	1,083
Private fire connections	-	-	3,428	3,806
Public fire hydrants	-	-	14,710	14,739
Total	153,232	167,632	33,029	19,628

**SCHEDULE D-5
Number of Meters and Services on
Pipe Systems at End of Year**

Size	Meters	Services
5/8 x 3/4"-in.	139,487	-
1/2" -in.	-	3
3/4" -in.	1,020	85,701
1" -in.	19,967	72,746
1½" -in.	3,964	3,764
2" -in.	5,445	7,693
3" -in.	283	173
4" -in.	229	1,368
6" -in.	87	681
8" -in.	35	111
10" -in.	1	3
12" -in.	-	1
Other	27	6,026
Total	170,545	178,270

**SCHEDULE D-6
Meter Testing Data**

A. Number of Meters Tested During Year as Prescribed in Section VI of General Order No. 103:	
1. New, after being received	2,493
2. Used, before repair	282
3. Used, after repair	2
4. Found fast, requiring billing adjustment	-
B. Number of Meters in Service Since Last Test	
1. Ten years or less	106,044
2. More than 10, but less than 15	28,499
3. More than 15 years	3,661

SCHEDULE D-7

Water delivered to Metered Customers by Months and Years in 1000 Gallons (Unit Chosen)¹

Classification of Service	During Current Year												Subtotal		
	January	February	March	April	May	June	July	August	September	October	November	December			
Commercial	1,799,299	1,536,928	1,742,167	1,691,669	2,160,821	2,707,294	3,343,660								14,981,836
Industrial	44,124	67,690	45,783	70,310	46,391	74,637	76,108								425,044
Public authorities	75,618	67,035	75,674	83,635	98,473	237,547	346,127								984,110
Irrigation	9,896	4,015	14,048	9,830	569	19,344	16,556								74,258
Other (Metered Priv Fire/Resale)	3,485	3,804	4,646	4,352	9,744	18,649	16,506								61,186
Other															
Total	1,932,422	1,679,472	1,882,317	1,859,797	2,315,998	3,057,471	3,798,956								16,526,434
Classification of Service	During Current Year												Total		
	August	September	October	November	December	Subtotal	Total	Prior Year							
Commercial	3,126,632	2,635,096	2,526,868	2,158,468	1,399,784	11,846,848	26,828,684	23,367,721							
Industrial	76,066	71,517	70,034	61,486	52,583	331,686	756,731	780,123							
Public authorities	336,636	299,674	251,575	158,010	85,271	1,131,167	2,115,277	1,865,539							
Irrigation	22,230	2,678	23,358	8,928	1,801	58,995	133,253	105,391							
Other (Metered Priv Fire/Resale)	14,177	123,574	7,180	4,707	2,184	151,822	213,008	113,259							
Other	-	354	5	113	1	473	473								
Total	3,575,741	3,132,892	2,879,021	2,391,712	1,541,625	13,520,991	30,047,425	26,232,033							

¹ Quantity units to be in hundreds of cubic feet, thousands of gallons, acre-feet, or miner's inch-days.

Total acres irrigated N/A

Total population served 569,319

SCHEDULE E-1
Balancing and Memorandum Accounts

Line No.	Description (a)	Authorized by Decision or Resolution No. (b)	Beginning of Year Balance (c)	Offset Revenues (d)	Offset Expenses (e)	Interest (f)	Surcharge (g)	Surcredit (h)	End of Year Balance (i)
1	Deferred OPEB and Pension	D 12-06-016	8,041,538		(2,219,578)	11,246			5,833,206
2	Depreciation Study		34,578		6,032				40,610
3	Monterey NOAA Settlement	D08-11-050	9,187,407	(539,398)		8,304			8,656,313
4	Monterey MPVMD User Fee	D12-08-020	5,471,449		1,430,960	4,211	(4,604,275)		2,302,346
5	Operational Efficiency Program	D10-04-030	749,223		(430,759)	1,532			319,996
6	Cease and Desist Order	Res W4824	4,827,387		2,274,504		(1,429,971)		5,671,920
7	Larkfield Santa Rosa Groundwater	A04-04-041	72,526		(72,279)	138			385
8	LA DSIC surcharge	Res W4734-2	243,845			403	(535,173)		(290,925)
9	Low Income Program	D13-04-019,AL1003&31-S	708,044		(154,254)	1,722	344,902		900,413
10	LA Interim Rates	AL851	576,584		2,271,057	742			2,848,383
11	Larkfield Interim True Up	D08-05-018	(7,421)		554,788	(15)			547,351
12	Monterey Interim Rates	D09-07-021	3,970,020		4,193,595	7,976			8,171,591
13	Sacramento Interim True Up	D08-05-018	205,917		2,494,704	398			2,701,019
14	Village Interim Rates	AL737	(4,289)		932,335	(351)			927,714
15	Toro Interim Rates				403,075				403,075
16	Monterey WRAM	AL 987	1,233,945		(720,434)	1,965			515,477
17	Monterey San Clemente Dam Proj	D12-06-040	22,889,898		8,512,734				31,402,631
18	LA Conservation surcharge	D12-06-016	111,908			229			112,137
19	Monterey Expense Balancing Account	D12-06-016	46,957			96			47,053
20	Coronado WRAM/MCBA	D08-11-023	3,005,330	2,439,895	(3,005,330)	2,802			2,442,697
21	LA WRAM/MCBA	D08-06-002	2,858,496	2,308,117	(2,858,496)	1,275			2,309,392
22	Larkfield WRAM/MCBA	D08-11-023	1,098,392	422,252	(1,098,392)	395			422,647
23	Monterey WRAM/MCBA	D09-07-021	24,977,240	13,165,098	(24,977,240)	12,837			13,177,936
24	Monterey-Ambler WRAM/MCBA	D09-07-021	434,263	208,780	(434,263)	174			208,954
25	Village WRAM/MCBA	D08-11-023	2,046,899	1,759,309	(2,046,899)	2,502			1,761,811
26	Coronado Credit Card Payments	D12-06-016	(66,766)		38,042	(140)		(26,367)	(55,230)
27	LA Credit Card Payments	D12-06-016	(71,295)			(168)		(27,272)	(98,735)
28	Larkfield Credit Card Payments	D12-06-016	(7,209)		3,447	(2,996)		(17)	(6,774)
29	Monterey Credit Card Payments	D12-06-016	(109,713)			(256)		(37,074)	(147,043)
30	Sacramento Credit Card Payments	D12-06-016	(188,824)		105,695	(431)		(73,935)	(157,495)
31	Village Credit Card Payments	D12-06-016	(49,998)		27,898	(114)		(18,363)	(40,576)
32	Coronado Purchase Water BA	D12-06-016	393,749		(393,929)	180			0
33	LA Purchase Water & Power BA	D12-06-016	1,235,675			2,526			1,238,201
34	Larkfield Purchase Wtr & Pwr BA	D12-06-016	70,756		(70,869)	113			(0)
35	Monterey Purchased Power BA	D12-06-016	(535,928)			(971)			(536,899)
36	Sacramento Purchase Wtr & Pwr BA	D12-06-016	1,464,912		(4,130)	3,976			1,464,757
37	Village Purchase Water & Pwr BA	D12-06-016	1,164,136		(1,165,962)	1,826			(0)
38	Conservation Order Instituting Investigation Memo Acct	D12-06-016	172,508			184			172,692
39	NRW	D09-07-021	(900,578)	200,321					(700,257)
40	Monterey Pension Surcharge	D08-11-050	261,167			410			261,577
41	Monterey Carmel River Dam Abandon Proj	D08-11-050	(19,266)			(39)			(19,304)
42	Monterey Coastal Water Project-Pre2005	D08-11-050	13,811,973		19,144	478,319	(5,431,241)		8,878,196
43	Monterey Coastal Water Project-Pre2007	D08-11-050	10,607,446		1,372,243	317,037	147		12,296,873
44	Monterey Seaside Groundwater Basin	AL644	670,872		(451,521)	(3,550)			215,801
45	Monterey Endangered Species Act Memo Acct	D08-11-050	2,360,776		(786,237)	5,067			1,579,606
46	Monterey ASR & Patton Well Projects	AL783/792	23,363		(23,363)				0
47	LA Water Contamination Lawsuit vs Adler	Res W-4528/AL580	1,347,914			2,249	(362,068)		988,095
48	Monterey Seaside Adjudication Costs	D09-07-021	1,926,314		(2,405)	3,730	(258,503)		1,669,137
49	Monterey Intervenors	D10-2-029&D09-12-17	398,486			812			399,298
50	Temporary Interest Rate Balancing Account	D12-07-009	1,993,804		88,061	(811,354)			1,270,511
51	Authorized General Balancing Account	D12-06-016	-		7,167,513	2,590	(571,735)		6,598,368

Schedule E- 2
Description of Low-Income Rate Assistance Program(s)

For all low income rate assistance programs offered by water utility, provide detailed responses to the following items:

1. Brief description of each low-income rate assistance program provided, by district. This shall include but is not limited to the percent of discount, the dollar amount of discount, what rate is discounted (service charge, quantity, or total bill), qualifying income level, dollar rate increase to remaining customers to pay for this program.

Coronado - Qualifying customers receive a \$6.00 monthly discount on the bill.

Larkfield - Qualifying customers receive an \$14.50 monthly discount on the bill.

Los Angeles - Qualifying customers receive a monthly discount on the bill based on service area as shown below:

Baldwin Hill	\$	8.50
Duarte	\$	8.50
San Marino	\$	10.50

Monterey - Qualifying customers receive a monthly discount on the bill based on service area as shown below:

Ambler Park	\$	13.00
Ralph Lane	\$	11.50
Toro	\$	23.00

Monterey - Qualifying customers receive a monthly discount on the bill based on number of occupants as shown below:

1-4 people:	\$	10.00
5-8 people:	\$	15.00
> 8 people:	\$	20.00

Sacramento - Low-income customers receive a \$9.00 monthly discount on the bill.

Village - Low-income customers receive a \$14.00 monthly discount on the bill.

2. Participation rate for Year 2012 (as a percent of total customers served).
at 12/31/12

Coronado	16.71%
Larkfield	6.27%
Los Angeles	14.82%
Monterey	8.07%
Sacramento	14.44%
Village	5.33%

3. Detail of balancing or memorandum account authorized to record expenses incurred and revenues collected for low income rate assistance program.

as of December 31, 2012					
	Type of Account	Expenses Incurred	Revenues Lost	Interest Accrued	Net Account
Coronado	M/A	\$6,239	\$ 72,448.00	\$128	\$78,815
Los Angeles	B/A	\$29,825	\$ 348,441.00	(\$1,512)	\$376,754
Village	M/A	\$8,697	\$100,851	\$313	\$109,861
Monterey	None				
Larkfield	B/A	\$1,705	19,932.00	(\$102)	\$21,535
Sacramento	B/A	\$30,851	\$ 362,666.00	(\$3,805)	\$389,712
TOTAL		\$77,317	\$ 904,338.00	(\$4,978)	\$976,677

Above amounts represent amounts not yet approved for recovery. An additional \$154,254 has been transferred to a general balancing account per commission approval in D-12-016.

M/A: Memorandum Account
B/A: Balancing Account

Schedule E- 3
Description of Water Conservation Program(s)

For all water conservation programs offered by water utility, provide detailed responses to the following items:

See Attachments for various Districts

1. Brief description of each water conservation program provided, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers) and length of time it was offered.
2. Discuss how each water conservation assistance program is funded, for example, through rates charged to all customers, rates charged to customer receiving water conservation assistance, shareholder contribution, community funding, government funding, or other funding method. Explain why this type of funding was used.
3. Cost of each program.
4. The degree of participation in each district by customer group.

Schedule E- 4 Report on Affiliate Transactions

Affiliate includes all related companies including but not limited to Parent, Affiliates, and Subsidiaries.

INSTRUCTIONS:

* For those utilities with specifically authorized affiliate transaction rules, provide all information required by those rules.

* For those utilities with no specifically authorized affiliate transaction rules, or those utilities whose authorized affiliate transaction rules do not provide the following information, provide the following:

1. Summary of all transactions between regulated water utility and its affiliated companies for the previous calendar year. The summary shall include a description of each transaction and an accounting of all dollars associated with each transaction although each transaction need not be separately identified where multiple transactions occur in the same account. These transactions shall include:

- | | |
|--|---------------------|
| (a) services provided by regulated water utility to any affiliated company;
Support services to Hawaii-American Water Company, a subsidiary of AWW
These services included management, finance, legal, HR, engineering, construction management and environmental. | \$96,568 |
| (b) services provided by any affiliated company to regulated water utility;
Governance & Corporate Activities (includes finance, HR, admin, audit, legal, business development, finance, investor relations, operations, communications and regulatory)
Other Business Services (includes call center, lab, supply chain, IT, shared services & benefits center) | \$4,035,704 |
| Total | <u>\$13,835,244</u> |
| (c) assets (both tangible and intangible) transferred from regulated water utility to any affiliated company;
None | |
| (d) assets (both tangible and intangible) transferred from any affiliated company to regulated water utility;
None | |
| (e) employees transferred from regulated water utility to any affiliated company;
None | |
| (f) employees transferred from any affiliated company to regulated water utility; and
7 | |
| (g) financing arrangements and transactions between regulated water utility and any affiliated company. | |

All financing activities are covered by an agreement between American Water Capital Corporation (AWCC) and California-American Water Company.

**CLASS A, B, C AND D WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) or Safe Drinking Water State Revolving Fund loan surcharge collection for the calendar year. Please use one page per loan.

1. Current Fiscal Agent: **N/A: Account closed in 2009**

Name: _____
 Address: _____
 Phone Number: _____
 Account Number: _____
 Date Hired: _____

2. Total surcharge collected from customers during the 12 month reporting period:

Meter Size	No. of Metered Customers	Monthly Surcharge Per Customer
3/4 inch	_____	_____
1 inch	_____	_____
1 1/2 inch	_____	_____
2 inch	_____	_____
3 inch	_____	_____
4 inch	_____	_____
6 inch	_____	_____
Number of Flat Rate Customers	_____	_____
Total	0	_____

N/A

3. Summary of the bank account activities showing:

Balance at beginning of year	\$0
Deposits during the year	0
Interest earned for calendar year	0
Withdrawals from this account	0
Balance at end of year	0

4. Reason or Purpose of Withdrawal from this bank account:

**FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA (Continued)**

5. Plant amounts included in Schedule A-1a, Account No. 101--Water Plant in Service which were funded using SDWBA or SRF funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits* or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant		N/A			
3	303	Land					
4		Total non-depreciable plant					
5		DEPRECIABLE PLANT					
6	304	Structures					
7	307	Wells					
8	317	Other water source plant					
9	311	Pumping equipment					
10	320	Water treatment plant					
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service					

DECLARATION

(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)

I, the undersigned _____ Robert MacLean
Name of District Manager or Equivalent (Please Print)

of _____ Water Report for All Districts _____ District
Name of District

of _____ California-American Water Company
Name of Utility

at _____ 1033 B Avenue Coronado, CA 92118
Address of District Office

under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period of January 1, 2012, through December 31, 2012.

_____ Robert MacLean - President
Title (Please Print)

_____ 
Signature

_____ (619) 522-6360
Telephone Number

_____ May 31, 2013
Date

Attachment to 2012 Annual PUC Report

California American Water

Reporting Requirements as per CPUC Decision D.11-05-004

Of Conservation and Low Income Oil:

ATTACHMENT 1

ANNUAL REPORT, SCHEDULE E-3

DESCRIPTION OF WATER CONSERVATION PROGRAMS

And per CPUC Decision D.12-06-016

San Diego County District
Ventura County District
Los Angeles County District
Monterey County District
Sacramento District
Larkfield District

ATTACHMENT 1
 ANNUAL REPORT, SCHEDULE E-3
 DESCRIPTION OF WATER CONSERVATION PROGRAMS

1. Brief description of each water conservation program offered by the water company, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers), whether offered with a third party, whether direct install or rebate, and length of time the program was offered.

Company Response:

Please see Conservation Annual Summary Report attached in lieu of CPUC Annual Report Schedule E-3.

2. For each water conservation program described above, an estimated conservation savings report in the following basic format (if it is necessary to deviate from this table, provide estimated program savings).

A	B	C	D	E	F	G	H	I	J
Name of measure, as listed in Decision or Settlement*	Description of measure	Authorized \$	# of units / activities purchased, provided, performed	\$ per unit, activity, etc.	Total \$ spent	Designated water savings per unit per year**	Unit lifespan**	Estimated Annual measure savings** (AFY)	Estimated Lifetime measure savings** (AF)
					(D x E)			(D x G)	(I x H)
Measure A									
Measure B									
Measure C									
Total									

* If not specifically listed, state the category in which the activity falls and rationale for including this particular activity

** This may not apply to all measures, e.g., public information / education

If requested information is provided in another report or format, the water company can provide a copy of the report and note the page on which the information is found.

Company Response:

Please see Conservation Annual Summary Report attached in lieu of CPUC Annual Report Schedule E-3.

(END OF ATTACHMENT 1)

Reporting Requirements as per CPUC Decision D.12-06-016 and Partial Settlement Agreement between the Division of Ratepayer Advocates, the Utility Reform Network and California American Water Company on Revenue Requirement Issues, page 76, first paragraph:

RESOLUTION:

California American Water agreed to continue to provide in its California American Water-MPWMD Joint Conservation Report for Monterey County district actual and estimated water savings per measure/program as shown in its 2010 Joint Conservation Report. California American Water also agreed to continue to provide in its annual report for each district, as shown in its 2010 Water Conservation Program Annual Summary Report (Schedule E-3), estimated water savings per year, per measure and lifetime measure savings for each quantifiable program. In addition, California American Water agreed to include in its annual report for each district, 10 random audits for each new quantifiable program.

Company Response:

Please see attached file labeled "10 Random Audits for each new quantifiable program"

Attachment

**10 Random Audits for each new
quantifiable program**

San Diego Toilet Drop Off Program

Customer	12 Months Usage Before		12 Months Usage After	
	2012	CCF	2013	CCF
Imperial, CA 91932 Acct#: XXXX487	Jan	10	Jan	16
	Feb		Feb	1
	Mar	8	Mar	3
	Apr		Apr	9
	May	31	May	15
	Jun		Jun	12
	Jul	50	Jul	
	Aug	38	Aug	
	Sep	40	Sep	
	Oct	30	Oct	
	Nov	20	Nov	
	Dec	27	Dec	
Imperial Beach, CA 91932 Acct #: XXXX930	2012	CCF	2013	CCF
	Jan	14	Jan	10
	Feb		Feb	10
	Mar	14	Mar	9
	Apr		Apr	0
	May	13	May	0
	Jun		Jun	0
	Jul	10	Jul	
	Aug	6	Aug	
	Sep	7	Sep	
	Oct	9	Oct	
	Nov	9	Nov	
Dec	9	Dec		
Imperial Beach, CA 91932 Acct#: XXXX374	2012	CCF	2013	CCF
	Jan	11	Jan	2
	Feb		Feb	5
	Mar	11	Mar	3
	Apr		Apr	6
	May	12	May	8
	Jun		Jun	
	Jul	18	Jul	
	Aug	14	Aug	
	Sep	11	Sep	
	Oct	9	Oct	
	Nov	11	Nov	
Dec	8	Dec		

	2012	CCF	2013	CCF
Coronado, Ca 92154 Acct#: XXXX810	Jan		Jan	10
	Feb	17	Feb	9
	Mar		Mar	9
	Apr	16	Apr	11
	May		May	5
	Jun	22	Jun	8
	Jul	12	Jul	
	Aug	15	Aug	
	Sep	15	Sep	
	Oct	15	Oct	
	Nov	10	Nov	
	Dec	10	Dec	

	2012	CCF	2013	CCF
Imperial Beach, CA 91932 Acct#: XXXX371	Jan	17	Jan	10
	Feb		Feb	8
	Mar	16	Mar	9
	Apr		Apr	10
	May	21	May	12
	Jun		Jun	
	Jul	26	Jul	
	Aug	11	Aug	
	Sep	12	Sep	
	Oct	12	Oct	
	Nov	11	Nov	
	Dec	9	Dec	

	2012	CCF	2013	CCF
Imperial Beach, Ca 91932 Acct#: XXXX434	Jan	12	Jan	7
	Feb		Feb	5
	Mar	10	Mar	4
	Apr		Apr	5
	May	12	May	5
	Jun		Jun	6
	Jul	16	Jul	
	Aug	10	Aug	
	Sep	8	Sep	
	Oct	6	Oct	
	Nov	8	Nov	
	Dec	6	Dec	

	2012	CCF	2013	CCF
Coronado, CA 92154 Acct#:XXXX547	Jan		Jan	15
	Feb	31	Feb	16
	Mar		Mar	14
	Apr	31	Apr	14
	May		May	16
	Jun	30	Jun	14
	Jul	16	Jul	
	Aug	16	Aug	
	Sep	18	Sep	
	Oct	14	Oct	
	Nov	18	Nov	
	Dec	17	Dec	

	2012	CCF	2013	CCF
Coronado, CA 92154 Acct#: XXXX279	Jan	15	Jan	7
	Feb		Feb	5
	Mar	12	Mar	6
	Apr		Apr	7
	May	14	May	14
	Jun		Jun	
	Jul	17	Jul	
	Aug	7	Aug	
	Sep	7	Sep	
	Oct	6	Oct	
	Nov	7	Nov	
	Dec	7	Dec	

	2012	CCF	2013	CCF
Coronado, Ca 92154 Acct#: XXXX775	Jan		Jan	2
	Feb	16	Feb	0
	Mar		Mar	0
	Apr	17	Apr	5
	May		May	8
	Jun	21	Jun	7
	Jul	11	Jul	
	Aug	14	Aug	
	Sep	19	Sep	
	Oct	12	Oct	
	Nov	8	Nov	
	Dec	9	Dec	

	2012	CCF	2013	CCF
	Jan		Jan	7
Coronado, CA 92154	Feb	14	Feb	9
Acct#: XXXX701	Mar		Mar	7
	Apr	16	Apr	7
	May		May	9
	Jun	15	Jun	10
	Jul	8	Jul	
	Aug	9	Aug	
	Sep	8	Sep	
	Oct	7	Oct	
	Nov	9	Nov	
	Dec	8	Dec	

Sacramento Toilet Drop Off Program

Customer	12 Months Usage Before		12 Months Usage After	
	2012	CCF	2013	CCF
Elverta, CA95626 Acct#: XXXX595	Jan	7	Jan	5
	Feb		Feb	7
	Mar	7	Mar	7
	Apr		Apr	10
	May	19	May	20
	Jun		Jun	
	Jul	30	Jul	
	Aug	19	Aug	
	Sep	14	Sep	
	Oct	19	Oct	
	Nov	6	Nov	
	Dec	4	Dec	
Elverta, CA95626 Acct #: XXXX143	2012	CCF	2013	CCF
	Jan	13	Jan	4
	Feb		Feb	4
	Mar	11	Mar	5
	Apr		Apr	4
	May	14	May	11
	Jun		Jun	
	Jul	37	Jul	
	Aug	16	Aug	
	Sep	17	Sep	
	Oct	12	Oct	
	Nov	6	Nov	
Dec	6	Dec		
Elverta, CA 95626 Acct#: XXXX143	2012	CCF	2013	CCF
	Jan	13	Jan	4
	Feb		Feb	4
	Mar	11	Mar	5
	Apr		Apr	4
	May	14	May	11
	Jun		Jun	
	Jul	37	Jul	
	Aug	16	Aug	
	Sep	17	Sep	
	Oct	12	Oct	
	Nov	6	Nov	
Dec	6	Dec		

	2012		2013	
Sacramento, CA95826 Acct#: XXXX844	Jan		Jan	8
	Feb	12	Feb	5
	Mar		Mar	5
	Apr	13	Apr	6
	May		May	7
	Jun	16	Jun	
	Jul		Jul	
	Aug	17	Aug	
	Sep	7	Sep	
	Oct	6	Oct	
	Nov	7	Nov	
	Dec	4	Dec	

	2012		2013	
Sacramento, CA95828 Acct#: XXXX366	Jan	13	Jan	6
	Feb	12	Feb	6
	Mar		Mar	5
	Apr	14	Apr	5
	May		May	16
	Jun	32	Jun	
	Jul	19	Jul	
	Aug	17	Aug	
	Sep	27	Sep	
	Oct	14	Oct	
	Nov	5	Nov	
	Dec	6	Dec	

	2012		2013	
Antelope, CA 95843 Acct#: XXXX875	Jan	17	Jan	6
	Feb		Feb	5
	Mar	8	Mar	23
	Apr		Apr	7
	May	8	May	11
	Jun		Jun	15
	Jul	69	Jul	
	Aug	56	Aug	
	Sep	85	Sep	
	Oct	29	Oct	
	Nov	12	Nov	
	Dec	4	Dec	

	2012		2013	
Citrus Heights, CA95621 Acct#:XXXX405	Jan	0	Jan	4
	Feb	19	Feb	7
	Mar		Mar	3
	Apr	19	Apr	6
	May		May	4
	Jun	28	Jun	6
	Jul	18	Jul	
	Aug	22	Aug	
	Sep	23	Sep	
	Oct	5	Oct	
	Nov	4	Nov	
	Dec	5	Dec	

	2012		2013	
Sacramento, CA95828 Acct#: XXXX387	Jan		Jan	3
	Feb	8	Feb	4
	Mar		Mar	2
	Apr	8	Apr	2
	May		May	3
	Jun	13	Jun	
	Jul	8	Jul	
	Aug	8	Aug	
	Sep	6	Sep	
	Oct	5	Oct	
	Nov	5	Nov	
	Dec	4	Dec	

	2012		2013	
Sacramento, CA95826 Acct#: XXXX712	Jan	15	Jan	4
	Feb	11	Feb	6
	Mar		Mar	7
	Apr	14	Apr	7
	May		May	11
	Jun	18	Jun	
	Jul		Jul	
	Aug	25	Aug	
	Sep	13	Sep	
	Oct	9	Oct	
	Nov	5	Nov	
	Dec	5	Dec	

	2012		2013	
	Jan		Jan	6
Sacramento, CA95826	Feb	21	Feb	8
Acct#: XXXX859	Mar		Mar	13
	Apr	25	Apr	14
	May		May	21
	Jun	55	Jun	
	Jul	30	Jul	
	Aug	36	Aug	
	Sep	27	Sep	
	Oct	23	Oct	
	Nov	13	Nov	
	Dec	7	Dec	

Ventura - Large Landscape Grant Program

Customer	12 Months Usage Before		12 Months Usage After	
	2012	CCF	2013	CCF
Earths Magnet School	2012	CCF	2013	CCF
2626 Michael Dr	Jan	273	Jan	58
Newbury Park, CA 91320	Feb	257	Feb	439
Acct#: XXXX27	Mar	473	Mar	384
	Apr	323	Apr	483
	May	294	May	728
	Jun	1031	Jun	
	Jul	1146	Jul	
	Aug	968	Aug	
	Sep	996	Sep	
	Oct	767	Oct	
	Nov	738	Nov	
	Dec	380	Dec	
Newbury Park High School	2012	CCF	2013	CCF
456 N Reino Rd	Jan	1208	Jan	742
Newbury Park, Ca 91320	Feb	910	Feb	1100
Acct #: XXXX225	Mar	1466	Mar	1316
	Apr	958	Apr	1819
	May	1898	May	2381
	Jun	2740	Jun	
	Jul	3487	Jul	
	Aug	3450	Aug	
	Sep	3093	Sep	
	Oct	2200	Oct	
	Nov	1994	Nov	
	Dec	1179	Dec	
Sequoia Middle School	2012	CCF	2013	CCF
2855 Borchard Rd	Jan	438	Jan	167
Newbury Park, CA 91320	Feb	512	Feb	675
Acct#: XXXX74	Mar	831	Mar	546
	Apr	579	Apr	871
	May	603	May	1444
	Jun	1858	Jun	
	Jul	1603	Jul	
	Aug	1633	Aug	
	Sep	1371	Sep	
	Oct	1130	Oct	
	Nov	980	Nov	
	Dec	772	Dec	

Sycamore Elementary	2012	CCF	2013	CCF
4601 Via Rio	Jan	573	Jan	363
Newbury Park, CA 91320	Feb	0	Feb	236
Acct#: XXXX10	Mar	672	Mar	505
	Apr	0	Apr	525
	May	398	May	791
	Jun	576	Jun	
	Jul	756	Jul	
	Aug	2116	Aug	
	Sep	741	Sep	
	Oct	737	Oct	
	Nov	627	Nov	
	Dec	0	Dec	

Los Angeles Large Landscape Grant Program

Customer	12 Months Usage Before		12 Months Usage After	
	2012	CCf	2013	CCF
Dinsmoor Heritage House	2012	CCf	2013	CCF
9632 Steele Street	Jan		Jan	2
Rosemead, CA 91770	Feb	54	Feb	10
Acct#: XXXX84-6	Mar		Mar	5
	Apr	44	Apr	136
retrofit completed in Dec 2012	May		May	112
	Jun	115	Jun	
	Jul		Jul	
	Aug	62	Aug	
	Sep	124	Sep	
	Oct	143	Oct	
	Nov	69	Nov	
	Dec	2	Dec	
City Of Rosemead City Hall	2012	CCF	2013	CCF
8838 E. Valley Blvd	Jan	46	Jan	35
Rosemead, CA 91770	Feb		Feb	22
Acct #: XXXX17-5	Mar	122	Mar	25
	Apr		Apr	0
retrofit completed in Dec 2012	May	141	May	70
	Jun		Jun	
	Jul	346	Jul	
	Aug	179	Aug	
	Sep		Sep	
	Oct	297	Oct	
	Nov		Nov	
	Dec	63	Dec	