

Received _____
Examined _____

CLASS D
WATER UTILITIES

U# 148-W

2012
ANNUAL REPORT
OF

Lake Alpine Water Company, Inc.
(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

PO Box 5013 Bear Valley, CA 95223
(OFFICIAL MAILING ADDRESS) ZIP

TO THE
PUBLIC UTILITIES COMMISSION
STATE OF CALIFORNIA
FOR THE YEAR ENDED DECEMBER 31, 2012

REPORT MUST BE FILED NOT LATER THAN APRIL 2, 2013

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INSTRUCTIONS

1. Two completed and signed hard copies of this report and one electronic copy must be filed **NOT LATER THAN APRIL 2, 2013**, with:

**CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS
ATTN: KAYODE KAJOPAIYE
505 VAN NESS AVENUE, ROOM 3105
SAN FRANCISCO, CALIFORNIA 94102-3298
kok@cpuc.ca.gov**

2. Failure to file the report on time may subject a utility to the penalties and sanctions provided by the Public Utilities Code.
3. The Oath on Page12, must be signed by an authorized officer, partner, or owner.
4. The report must be prepared in ink, typed or computer generated.
5. The report must be filled in, and every question answered. LEAVE NO SCHEDULE BLANK. Insert the words "none" or "not applicable" or "n/a" when appropriate.
6. Certain balance sheet and income statement accounts flow to supplemental schedules. The totals of the details in the latter must agree with the balances of the accounts to which they refer.
7. Some schedules provide for a "balance at beginning of year." The amount shown should agree with the "balance at end of year" as shown in the report for the previous year. If there is a difference it should be explained by footnote.
8. When there is insufficient space in a schedule to permit a complete statement of the requested information, insert sheets should be prepared and identified by the number of the schedule to which it refers. Be certain that the inserts are securely attached to the report. If inserts are needed, prepare all inserts in one separate electronic file in Microsoft Excel format and file it with the electronic file of this report.
9. This report must cover the calendar year from January 1, 2012, through December 31, 2012. Fiscal year reports will not be accepted.

CLASS D WATER UTILITIES
(HAVING LESS THAN 500 SERVICE CONNECTIONS)

Lake Alpine Water Company, Inc.

(Name under which corporation, partnership or individual is doing business)

PO Box 5013 Bear Valley, CA 95223

(Official mailing address)

Bear Valley in Alpine County

(Service Area - Town and County)

Telephone Number: _____ 209-753-2409 **Fax Number:** _____ 209-753-2345

Email Address: _____ info@lakealpinewater.com

GENERAL INFORMATION

(Attach a supplementary statement, if necessary)
RETURN ORIGINAL TO COMMISSION, NO PHOTOCOPIES.

1. If a corporation show:
 (A) Date of organization 6/30/1964 incorporated in the State of California
 (B) Names, titles and addresses of principal officers: Roma Orvis, Sec-Treas. 9601 E. Hwy 4; Farmington, CA 95
Charles Toeniskoetter, Chairman, 1690 The Alameda, San Jose, CA 95126.
2. If unincorporated provide the name and address of the owner(s) or the partners:

3. Name, title, and telephone number of:
 (A) One person listed above to receive correspondence: Roma Orvis, Secretary, 209-899-2460
 (B) Person responsible for operations and services: Kimi Johnson, General Manager, 209-753-2409

4. Were any contracts or agreements in effect with any organization or person covering service, supervision and/or management of your business affairs during the year? (Yes or No) NO
 If so, what was the nature and the amount of each payment made under the agreement, to whom were payments made, and to what account was each payment charged?

5. State the names of associated companies or persons which, directly or indirectly, or through one or more intermediaries, control, or are controlled by, or are under common control with respondent:

PUBLIC HEALTH STATUS

6. Has state or local health department inspection been made during the year?
 7. Are routine laboratory tests of water being made?
 8. Has state health department water supply permit been obtained? (Indicate date)
 9. If no permit has been obtained, state whether application has been made and when.
 10. Show expiration date if state permit is temporary.

Yes	No	Latest Date
	X	06.21.2011
X		01.09.2013
X		10.15.2008

11. List Name, Grade, and License Number of all Licensed Operators:

Adam Werle	T2: 27000	D2: 30564
Jeremy Ellsworth	T2: 31901	D2: 37754

Excess Capacity and Non-Tariffed Services

NOTE: In D.00-07-018, D.03-04-028, and D. 04-12-023, the CPUC set forth rules and requirements regarding water utilities provision of non-tariffed services using excess capacity. These decisions require water utilities to: 1) file an advice letter requesting Commission approval of that service, 2) provide information regarding non-tariffed goods/services in each company's Annual Report to the Commission.

Based on the information and filings required in D.00-07-018, D.03-04-028, and D.04-12-023, provide the following information by each individual non-tariffed goods and services provided in calendar year 2012:

Applies to All Non-Tariffed Goods/Services that require Approval by Advice Letter											
Row No.	Description of Non-Tariffed Good/Service	Active or Passive	Total Revenue Derived From Non-Tariffed Goods/ Services (by account)	Revenue Account Number	Total Expenses Incurred to Provide Non-Tariffed Goods/ Services (by Account)	Expense Account Number	Advice Letter and/or Resolution Number Approving Non-Tariffed Goods/ Services	Total Income Tax Liability Incurred Because of Non-Tariffed Goods/ Services (by Account)	Income Tax Liability Account Number	Gross Value of Regulated Assets Used in the Provision of a Non-Tariffed Goods/ Services (by Account)	Regulated Asset Account Number

**FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) or Safe Drinking Water State Revolving Fund loan surcharge collection for the calendar year. Please use one page per loan.

1. Current Fiscal Agent:

Name: California Bank & Trust
 Address: 1331 Broadway Sacramento, CA 95818
 Phone Number: 800-499-4614
 Account Number: 1030123689
 Date Hired: 1/19/2005

2. Total surcharge collected from customers during the 12 month reporting period:

\$ 138,148

Meter Size	No. of Metered Customers	Monthly Surcharge Per Customer
3/4 inch	11	24.3
1 inch	3	40.51
1 1/2 inch	2	81.02
2 inch	2	129.63
3 inch	0	243.04
4 inch	1	405.08
6 inch	0	0
Number of Flat Rate Customers	473	24.3
Total	492	

3. Summary of the bank account activities showing:

Balance at beginning of year	\$ <u>403,755.59</u>
Deposits during the year	<u>139510.58</u>
Interest earned for calendar year	<u>422.67</u>
Withdrawals from this account	<u>-327814.46</u>
Balance at end of year	<u>215,874.38</u>

4. Reason or Purpose of Withdrawal from this bank account:

\$225 for service charges
\$76251.35 for payments to the state
\$251,338.11 for the refund to LAWC customers

**FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA (Continued)**

5. Plant amounts included in Schedule A-1a, Account No. 101--Water Plant in Service which were funded using SDWBA or SRF funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits* or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		DEPRECIABLE PLANT					
6	304	Structures	494244				494244
7	307	Wells					
8	317	Other water source plant					
9	311	Pumping equipment					
10	320	Water treatment plant	1862190				1862190
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant	2356434				2356434
20		Total water plant in service	2356434	0	0	0	2356434

SCHEDULE A - BALANCE SHEET (AS OF DECEMBER 31, 2012)

Line	Acct.	Assets	Balance
1	101	Water Plant In Service	\$2,157,969
2	101.1	SDWBA Plant	\$2,356,434
3	103	Water Plant Held for Future Use	\$0
4	104	Water Plant Purchased or Sold	\$0
5	105	Water Plant Construction Work in Progress	\$0
6	100.3	Construction Work in Progress (Prop 50)	\$0
7	108	Accumulated Depreciation of Water Plant	(\$914,601)
8	108.1	Accumulated Amortization of SDWBA Loan	(\$326,535)
9	114	Water Plant Acquisition Adjustments	\$0
10	121	Non-water Utility Property and Other Assets	\$0
11	121.3	Cash - Miscellaneous Special Deposits (Prop 50)	\$0
12	122	Accumulated Depreciation of Non-water Utility Property	\$0
13	124	Other Investments	\$0
14	131	Cash	\$928
15	132	Special Deposits	\$169,973
16	141	Accounts Receivable - Customers	\$23,447
17	142	Receivables from Associated Companies	\$0
18	143	Accumulated Provision for Uncollectible Accounts	\$0
19	151	Materials and Supplies	\$0
20	174	Other Current Assets	\$20,585
21	180	Deferred Charges	\$314,043
22		Adjustment for different calculation of Depreciation	(\$366,528)
23			
24		Total Assets	\$3,435,715
Line	Acct.	Equity and Liabilities	Balance
25	201	Common Stock (for corporations only)	\$100,000
26	204	Preferred Stock (for corporations only)	\$0
27	211	Other Paid-in Capital (for corporations only)	\$156,480
28	215	Retained earnings (for corporations only)	(\$112,801)
29	218	Proprietary Capital (for proprietorships and partnerships only)	\$0
30	224	Long-Term Debt	\$1,965,681
31	231	Accounts Payable	\$144,170
32	232	Short-Term Notes Payable	\$115,146
33	233	Customer Deposits	\$0
34	236	Taxes Accrued	\$6,018
35	237	Interest Accrued	\$0
36	241	Other Current Liabilities	\$0
37	250	Reserve for Depreciation of Utility Plant (Prop 50)	\$0
38	252	Advances for Construction	\$0
39	253	Other Credits	\$4,000
40	255	Accumulated Deferred Investment Tax Credits	\$0
41	266	Publicly Funded Grant Plant (Prop 50)	\$0
42	271	Contributions in Aid of Construction	\$520,262
43	272	Accumulated Amortization of Contributions	(\$149,171)
44	282	Accumulated Deferred Income Taxes - ACRS Depreciation	\$70,525
45	283	Accumulated Deferred Income Taxes - Other	\$0
46	460	Deferred revenue-SDW surcharges	\$615,405
47			
48		Total Equity and Liabilities	\$3,435,714

SCHEDULE B - WATER PLANT IN SERVICE (AS OF DECEMBER 31, 2012)

Line	Acct	Title of Account	Balance Beg of Year	Plant Additions During year	Plant Retirements During year	Other Debits or (Credits)	Balance End of year
1	301	Intangible Plant					
2	303	Land	28433				\$28,433
3	304	Structures	164626				\$164,626
4	307	Wells	0				\$0
5	311	Pumping Equipment	50945				\$50,945
6	317	Other Water Source Plant	22476	\$5,220			\$27,696
7	320	Water Treatment Plant	241365	\$13,427			\$254,792
8	330	Reservoirs, Tanks and Standpipes	528886	\$9,896			\$538,782
9	331	Water Mains	409669	\$33,716			\$443,385
10	333	Services and Meter Installations	145158				\$145,158
11	334	Meters	109102	\$127			\$109,229
12	335	Hydrants	223559				\$223,559
13	339	Other Equipment	58712	\$5,762			\$64,474
14	340	Office Furniture and Equipment	23929				\$23,929
15	341	Transportation Equipment	82961				\$82,961
16		Total water plant in service	\$2,089,821	\$68,148			\$2,157,969

* Debit or credit entries should be explained by footnotes or supplementary schedules

SCHEDULE C - RESERVE FOR DEPRECIATION OF UTILITY PLANT (AS OF DECEMBER 31, 2012)

Line	Item	Account 108 Water Plant	Account 108.1 SDWBA Loans	Water Plant Funded by Prop 50 (For information only)	
1	Balance in reserves at beginning of year	\$845,860	\$208,929		A. Method used to compute
2	Add: Credits to reserves during year				depreciation expense
3	(a) Charged to Account No. 272	\$10,818			(Acct. 403) and rate.
4	(b) Charged to Account No. 403	\$57,923			Plant in service less SDWBA and land x 2.72%
5	(c) Charged to Account No. 407		\$117,606		B. Amount of depreciation expense
6	(d) Salvage recovered				or to be claimed on utility property
7	(e) All other credits				in your federal income tax return
8	Total credits	\$68,741	\$117,606		for the year covered by this
9	Deduct: Debits to reserves during year				report \$ 76797
10	(a) Book cost of property retired				
11	(b) Cost of removal				C. State method used to compute
12	(c) All other debits				tax depreciation. Accelerated
13	Total debits				
14	Balance in reserve at end of year	\$914,601	\$326,535		
15	(1) Explanation of all other credits				
16	(2) Explanation of all other debits				

SCHEDULE D - CAPITAL STOCK OUTSTANDING (AS OF DECEMBER 31, 2012)

Line	Description	Shareholder Name	Number of Shares	Par Value	
1	Common Stocks	Aspen Forest Investment Company, LLC	5000	50,000	
2	Common Stocks	Roma P. Orvis	1040	10,400	
3	Common Stocks	C. Bruce Orvis, III	2180	21,800	
4	Common Stocks	Paula D. Orvis	1780	17,800	
5	Common Stocks				
6	Common Stocks				
7	Common Stocks				
8	Common Stocks				
9	Common Stocks				
10	Common Stocks				
11	Total - Common Stocks		10000	100,000	
12	Preferred Stocks				
13	Preferred Stocks				
14	Preferred Stocks				
15	Preferred Stocks				
16	Preferred Stocks				
17	Total - Preferred Stocks		0	0	
18	Total annual dividends declared and/or paid to common stockholders			\$	0
19	Percentage of total annual dividend to common stockholders as a percentage of total net income (%)				
20	Total annual dividends declared and/or paid to preferred stock shareholders			\$	0
21	Percentage of total annual dividend to preferred stockholders as a percentage of total net income (%)				

SCHEDULE E - LONG-TERM DEBTS

Line	Class	Date of Issuance	Date of Maturity	Principle Amount Authorized	Interest Rate (%)	Accrued Interest for Current Year	Interest Paid for Current Year	Outstanding Balance as of 12/31/2012
1	SDWBA	12/1/2004	7/1/2028	\$2,537,554	2.39%	\$48,842	\$48,842	\$1,965,681
2								
3								
4								
5								
6								
7								
8								
9								
10								
11	Total			\$2,537,554		\$48,842	\$48,842	\$1,965,681

Note: Please use duplicate page(s) to meet your company's needs if more lines are needed.

SCHEDULE F - INCOME STATEMENT			
Line	Acct.	Description	Balance
1		Operating Revenues:	
2	460	Unmetered Water Revenue	\$0
3	462	Fire Protection Revenue	\$4,510
4	465	Irrigation Revenue	\$0
5	470	Metered Water Revenue	\$552,526
6	475	Approved Total Surcharge Revenue(s)	\$138,148
7	480	Other water revenue	\$3,380
8		Total Revenue Received	\$698,564
9		Less: Revenue Deductions	
10		SDWBA/SRF Surcharge Revenue	(\$138,148)
11		Total Operating Revenue	\$560,416
12		Operating Expenses:	
13	610	Purchased Water	\$0
14	615	Power	\$20,292
15	618	Other Volume Related Expenses	\$2,499
16	630	Employee Labor	\$98,761
17	640	Materials	\$7,156
18	650	Contract Work	\$18,172
19	660	Transportation Expense	\$13,765
20	664	Other Plant Maintenance Expenses	\$1,817
21	670	Office Salaries	\$27,742
22	671	Management Salaries	\$7,975
23	674	Employee Pensions and Benefits	\$30,234
24	676	Uncollectible Accounts Expense	\$0
25	678	Office Services and Rentals	\$5,358
26	681	Office Supplies and Expense	\$12,212
27	682	Professional Services	\$317,113
28	684	Insurance	\$27,080
29	688	Regulatory Commission Expense	\$0
30	689	General Expense	\$6,643
31		Total Operating Expenses	\$596,819
32	403	Depreciation Expense	\$57,923
	403	Amortization	\$26,702
33	407	SDWBA/SRF Loan Amortization Expense	\$117,606
34	408	Taxes Other Than Income Taxes	\$28,894
35	409	State Corporate Income Tax expense	\$800
36	410	Federal Corporate Income Tax Expense	\$0
37		Total Operating Revenue Deductions	\$828,744
38		Utility Operating Income	
39	421	Non-utility Income	\$12
40	426	Miscellaneous Non-utility Expense	
41	427	Interest Expense	\$4,856
42		Net Income	(\$273,172)

SCHEDULE G - SOURCES OF SUPPLY AND WATER DEVELOPED WELLS					
Location	No.	Diam. Inch	Depth to Water Feet	Pumping Capacity (g.p.m.)	Annual Quantities Pumped
NA					
OTHER					
Streams or Springs Location of Diversion Point	Flow in Priority Right		(Unit) Diversions		Annual Quantities Diverted
	Claim	Capacity	Max	Min	Unit
Unspecified Spring	.075 CFS		42 AF		19.08
Bear Creek	.05 CFS		140 AF		36.63
Purchased water (unit)	NA				
Supplier:			Annual Quantity		

SCHEDULE H - WATER DELIVERED TO METERED CUSTOMERS			
(If figures are available) (specify unit)			
Classification of Service	Month of Year		Total CCF for Year
	Maximum	Minimum	
Residential	July	May	8114
Commercial	June	Oct	2396
Industrial			
Fire Protection	Aug	Jan-Apr	1124
Irrigation	July	Jan-Apr	3312
Other (specify)			
Snowmaking	Dec	Feb-Nov	5471
Total CCF			20417

SCHEDULE I - EMPLOYEES AND THEIR COMPENSATION						
Line	Acct	Account	Number at End of Year	Salaries Charged to Expense	Salaries Charged to Plant Accounts	Total Salaries and Wages Paid
1	630	Employee Labor	2	\$98,761	\$ 3,452	\$ 102,213
2	670	Office salaries	1	\$27,742	\$ -	\$ 27,742
3	671	Management salaries	5	\$7,975	\$ -	\$ 7,975
4		Total				

SCHEDULE J - ADVANCES FOR CONSTRUCTION	
Balance beginning of year	0
Additions during year	0
Subtotal - Beginning balance plus additions during year	
Refunds	0
Transfers to Acct. 271 - Contributions in Aid of Construction	0
Balance end of year	0

SCHEDULE K - TOTAL METERS AND SERVICES (Active and Inactive)		
Size	Meters	Services
5/8 x 3/4-in	299	299
condos	179	179
3/4-in	11	11
1-in	4	4
1.5-in	2	2
2-in	2	2
4-in	1	1
Total	498	498

SCHEDULE L - METER-TESTING DATA	
Number of meters tested during year	
1 Used, before repair	
2 Used, after repair	1
3 Fast, requiring refund	0
Numbers of meters in service requiring test per General Order No. 103	0

SCHEDULE M - SERVICE CONNECTIONS AT END OF YEAR								
Classification	Active			Inactive			Total connections	
	Metered	Flat	Total	Metered	Flat	Total	Metered	Flat
Residences	474		474	5		5	479	
Industrial/Commercial	16		16	1		1	17	
Irrigation	2		2			0	2	
Fire Protection (public)			0			0		
Fire Protection (private)		6	6			0		6
Other (specify)			0			0		
Total	492	6	498	6	0	6	498	6

NOTE: Total connections (metered plus flat) should agree with total services in Schedule K.

SCHEDULE N - STORAGE FACILITIES			SCHEDULE O - FOOTAGES OF PIPE (EXCLUDING SERVICE PIPES)								
Description	No.	Combined capacity in gallons	Description	2" and under	2 1/4 to 3 1/4	4"	Other sizes (specify)				Totals
							6"	8"	10"	12"	
Concrete	0		Cast Iron								0
Earth	0		Welded steel								0
Wood	2	300,000	Standard screw								0
Steel	1	300,000	Cement-asbestos			34545	14222	280		4105	53152
Other			Plastic	280	6302	7577	2395	400		500	17454
			Other (specify)								
Total	3	600,000	Total	280	6302	42122	16617	680		4605	70606

FACILITY FEES DATA

Please provide the following information relating to Facility Fees collected for the calendar year 2012, pursuant to Resolution No. W-4110.

1. Trust Account Information:

Bank Name:	Bank of Stockton
Address:	PO Box 1110 Stockton, CA 95201
Account Number:	4340000170
Date Opened:	7/12/2007

2. Facilities Fees collected for new connections during the calendar year: None

A. Commercial

NAME	AMOUNT

_____	\$
_____	\$
_____	\$
_____	\$
_____	\$

B. Residential

NAME	AMOUNT

_____	\$
_____	\$
_____	\$
_____	\$
_____	\$

3. Summary of the bank account activities showing:

	AMOUNT
Balance at beginning of year	\$ 7,633.08
Deposits during the year	0
Interest earned for calendar year	11.79
Withdrawals from this account	0
Balance at end of year	\$ 7,644.87

4. Reason or Purpose of Withdrawal from this bank account:

No deposits or withdrawals

DECLARATION

(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)

I, the undersigned _____
Officer, Partner, or Owner (Please Print)

of _____
Name of Utility

under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period of January 1, 2012, through December 31, 2012.

Title (Please Print)

Signature

Telephone Number

Date