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CLASS A  
WATER UTILITIES

U# \_\_\_\_\_

PAGE 58 REVISED 7-11-2014

2013  
ANNUAL REPORT  
OF

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CALIFORNIA-AMERICAN WATER COMPANY

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

---

1033 B Avenue, Suite 200, Coronado, CA 92118

(OFFICIAL MAILING ADDRESS)

ZIP

TO THE  
PUBLIC UTILITIES COMMISSION  
STATE OF CALIFORNIA  
FOR THE  
YEAR ENDED DECEMBER 31, 2013

REPORT MUST BE FILED NOT LATER THAN MARCH 31, 2014

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## GENERAL INSTRUCTIONS

1. Two completed and signed hard copies of this report and one electronic copy must be filed **NOT LATER THAN MARCH 31, 2014**, with:

**CALIFORNIA PUBLIC UTILITIES COMMISSION**  
**DIVISION OF WATER AND AUDITS**  
**ATTN: KAYODE KAJOPAIYE**  
**505 VAN NESS AVENUE, ROOM 3105**  
**SAN FRANCISCO, CALIFORNIA 94102-3298**  
[kok@cpuc.ca.gov](mailto:kok@cpuc.ca.gov)

2. Failure to file the report on time may subject a utility to the penalties and sanctions provided by the Public Utilities Code.
3. The Oath on Page 60, must be signed by an authorized officer, partner, or owner.
4. The report must be prepared in ink or by the use of a typewriter. Computer generated report forms may be substituted.
5. The report must be filled in, and every question answered. **LEAVE NO SCHEDULE BLANK.** Insert the words "none" or "not applicable" or "n/a" when appropriate.
6. Certain balance sheet and income statement accounts refer to supplemental schedules. The totals of the details in the latter must agree with the balances of the accounts to which they refer.
7. Some schedules provide for a "balance at beginning of year." The amount shown should agree with the "balance at end of year" as shown in the report for the previous year. If there is a difference, it should be explained by footnote.
8. When there is insufficient space in a schedule to permit a complete statement of the requested information, insert sheets should be prepared and identified by the number of the schedule to which it refers. Be certain that the inserts are securely attached to the report. If inserts are needed, prepare all inserts in one separate electronic file in Microsoft Excel format and file it with the electronic file of this report.
9. This report must cover the calendar year from January 1, 2013, through December 31, 2013. Fiscal year reports will not be accepted.
10. Your company's external auditor information, such as external auditor's name, phone number, and address, must be included in Page 12, Item 12, of this report.

# **I N S T R U C T I O N S**

## **FOR PREPARATION OF SELECTED FINANCIAL DATA SHEET FOR ALL WATER UTILITIES**

To prepare the attached data sheets, refer to the Balance Sheet, Income Statement and supporting detail schedules in the annual report for the required data.

Please follow the instructions below:

1. Common plant allocated to water should be indicated with water plant figures in Net Plant Investment.
2. The capitalization section for those reporting on both California Only and Total System Forms should be identical and completed with Total Company Data. Be sure that Advances for Construction include California water data only.
3. Complete the operation section with California water revenues and expenses, but if necessary, remove interdepartmental revenues and expenses.
4. All other utility and nonutility revenues and expenses are netted on Line No. 38.

## BALANCE SHEET AND CAPITALIZATION DATA

Calendar Year 2013

NAME OF UTILITY California-American Water PHONE 1-619-522-6360

PERSON RESPONSIBLE FOR THIS REPORT Robert MacLean

	1/1/2013	12/31/2013	Average
<b>BALANCE SHEET DATA</b>			
1 Intangible Plant	\$ 5,760,892	\$ 6,639,344	\$ 6,200,118
2 Land and Land Rights	<u>6,434,628</u>	<u>5,670,213</u>	<u>6,052,421</u>
3 Depreciable Plant	<u>741,850,391</u>	<u>778,749,678</u>	<u>760,300,034</u>
4 Gross Plant in Service	<u>754,045,911</u>	<u>791,059,236</u>	<u>772,552,573</u>
5 Less: Accumulated Depreciation	<u>(279,028,374)</u>	<u>(292,435,297)</u>	<u>(285,731,836)</u>
6 Net Water Plant in Service	<u>475,017,538</u>	<u>498,623,938</u>	<u>486,820,737</u>
7 Water Plant Held for Future Use	<u>1,518,651</u>	<u>1,518,651</u>	<u>1,518,651</u>
8 Construction Work in Progress	<u>43,458,584</u>	<u>42,452,628</u>	<u>42,955,606</u>
9 Materials and Supplies	<u>779,281</u>	<u>635,966</u>	<u>707,623</u>
10 Less: Advances for Construction	<u>(16,307,521)</u>	<u>(15,933,118)</u>	<u>(16,120,320)</u>
11 Less: Contributions in Aid of Construction	<u>(58,199,527)</u>	<u>(58,406,227)</u>	<u>(58,302,877)</u>
12 Less: Accumulated Deferred Income and Investment Tax Credits	<u>(36,053,178)</u>	<u>(49,970,864)</u>	<u>(43,012,021)</u>
13 Net Plant Investment	<u>\$ 410,213,827</u>	<u>\$ 418,920,974</u>	<u>\$ 414,567,399</u>
 <b>CAPITALIZATION</b>			
14 Common Stock	<u>\$ 92,477,914</u>	<u>\$ 92,477,914</u>	<u>\$ 92,477,914</u>
15 Proprietary Capital (Individual or Partnership)	<u>0</u>	<u>0</u>	<u>0</u>
16 Paid-in Capital	<u>150,750,449</u>	<u>160,988,377</u>	<u>155,869,413</u>
17 Retained Earnings	<u>37,547,079</u>	<u>45,326,287</u>	<u>41,436,683</u>
18 Common Stock and Equity (Lines 14 through 17)	<u>280,775,442</u>	<u>298,792,578</u>	<u>289,784,010</u>
19 Preferred Stock	<u>0</u>	<u>0</u>	<u>0</u>
20 Long-Term Debt	<u>254,000,000</u>	<u>244,004,831</u>	<u>249,002,416</u>
21 Notes Payable	<u>0</u>	<u>0</u>	<u>0</u>
22 Total Capitalization (Lines 18 through 21)	<u>\$ 534,775,442</u>	<u>\$ 542,797,409</u>	<u>\$ 538,786,426</u>

Note: \_\_\_\_\_  
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# INCOME STATEMENT AND OTHER DATA

Calendar Year 2013

NAME OF UTILITY California-American Water

PHONE 1-619-522-6360

	Annual Amount
<b>INCOME STATEMENT</b>	
23 Unmetered Water Revenue	556,586
24 Fire Protection Revenue	2,986,209
25 Irrigation Revenue	-
26 Metered Water Revenue	200,170,136
27 Total Operating Revenue	203,712,931
28 <u>Operating Expenses</u>	114,251,923
29 Depreciation Expense (Composite Rate 3.73%)	19,393,492
30 Amortization and Property Losses	(18,752)
31 Property Taxes	4,261,600
32 Taxes Other Than Income Taxes	1,528,109
33 Total Operating Revenue Deduction Before Taxes	64,296,558
34 California Corp. Franchise Tax	210,711
35 Federal Corporate Income Tax	18,654,889
36 Total Operating Revenue Deduction After Taxes	45,430,958
37 Net Operating Income (Loss) - California Water Operations	45,430,958
38 Other Operating and Nonoperating. Income and Exp. - Net (Exclude Interest Expense)	(656,816)
39 Income Available for Fixed Charges	44,774,142
40 Interest Expense	17,972,536
41 Net Income (Loss) Before Dividends	26,801,606
42 Preferred Stock Dividends	-
43 Net Income (Loss) Available for Common Stock	26,801,606
<b>OTHER DATA</b>	
44 Refunds of Advances for Construction	611,261
45 Total Payroll Charged to Operating Expenses	19,246,777
46 Purchased Water	39,128,469
47 Power	6,669,609
48 <b>Class A Water Companies Only:</b>	
a. Pre-TRA 1986 Contributions in Aid of Construction	50,852,200
b. Pre-TRA 1986 Advances for Construction	5,531,353
c. Post TRA 1986 Contributions in Aid of Construction	7,554,027
d. Post TRA 1986 Advances for Construction	11,912,973

			Annual Average
<u>Active Service Connections</u>	(Exc. Fire Protect.)	Jan. 1	Dec. 31
49 Metered Service Connections		167,632	167,879
50 Flat Rate Service Connections		19,628	17,841
51 Total Active Service Connections		187,260	185,720
		167,756	18,735
		186,491	



**BALANCE SHEET AND CAPITALIZATION DATA**  
**Adjusted to Exclude Non-Regulated Activity**

Calendar Year 2013

NAME OF UTILITY California-American Water PHONE 1-619-522-6360

PERSON RESPONSIBLE FOR THIS REPORT Robert MacLean

	1/1/2013	12/31/2013	Average
<b>BALANCE SHEET DATA</b>			
1 Intangible Plant	\$ 5,760,892	\$ 6,639,344	\$ 6,200,118
2 Land and Land Rights	6,434,628	5,670,213	6,052,421
3 Depreciable Plant	741,850,391	778,749,678	760,300,034
4 Gross Plant in Service	754,045,911	791,059,236	772,552,573
5 Less: Accumulated Depreciation	(279,028,374)	(292,435,297)	(285,731,836)
6 Net Water Plant in Service	475,017,538	498,623,938	486,820,738
7 Water Plant Held for Future Use	1,518,651	1,518,651	1,518,651
8 Construction Work in Progress	43,458,584	42,452,628	42,955,606
9 Materials and Supplies	779,281	635,966	707,623
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12 Less: Accumulated Deferred Income and Investment Tax Credits	(36,053,178)	(49,970,864)	(43,012,021)
13 Net Plant Investment	<u>\$ 410,213,827</u>	<u>\$ 418,920,974</u>	<u>\$ 414,567,401</u>

<b>CAPITALIZATION</b>			
14 Common Stock	\$ 92,477,914	\$ 92,477,914	\$ 92,477,914
15 Proprietary Capital (Individual or Partnership)	0	0	0
16 Paid-in Capital	150,750,449	160,988,377	155,869,413
17 Retained Earnings	37,547,079	45,326,287	41,436,683
18 Common Stock and Equity (Lines 14 through 17)	280,775,442	298,792,578	289,784,010
19 Preferred Stock	0	0	0
20 Long-Term Debt	254,000,000	244,004,831	249,002,416
21 Notes Payable	0	0	0
22 Total Capitalization (Lines 18 through 21)	<u>\$ 534,775,442</u>	<u>\$ 542,797,409</u>	<u>\$ 538,786,425</u>

Note: \_\_\_\_\_  
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## INCOME STATEMENT AND OTHER DATA Adjusted to Exclude Non-Regulated Activity

Calendar Year 2013

NAME OF UTILITY California-American Water

PHONE 1-619-522-6360

<b>INCOME STATEMENT</b>	Annual Amount
23 Unmetered Water Revenue	556,586
24 Fire Protection Revenue	<u>2,986,209</u>
25 Irrigation Revenue	-
26 Metered Water Revenue	<u>200,170,136</u>
27 Total Operating Revenue	<u>203,712,931</u>
28 <u>Operating Expenses</u>	<u>114,251,923</u>
29 Depreciation Expense (Composite Rate 3.73%)	<u>19,393,492</u>
30 Amortization and Property Losses	<u>(18,752)</u>
31 Property Taxes	<u>4,261,600</u>
32 Taxes Other Than Income Taxes	<u>1,528,109</u>
33 Total Operating Revenue Deduction Before Taxes	<u>64,296,558</u>
34 California Corp. Franchise Tax	<u>210,711</u>
35 Federal Corporate Income Tax	<u>18,398,969</u>
36 Total Operating Revenue Deduction After Taxes	<u>45,686,878</u>
37 Net Operating Income (Loss) - California Water Operations	<u>45,686,878</u>
38 Other Operating and Nonoperating. Income and Exp. - Net (Exclude Interest Expense)	<u>(1,240,574)</u>
39 Income Available for Fixed Charges	<u>44,446,304</u>
40 Interest Expense	<u>17,972,536</u>
41 Net Income (Loss) Before Dividends	<u>26,473,768</u>
42 Preferred Stock Dividends	-
43 Net Income (Loss) Available for Common Stock	<u>26,473,768</u>

<b>OTHER DATA</b>	
44 Refunds of Advances for Construction	<u>611,261</u>
45 Total Payroll Charged to Operating Expenses	<u>19,246,777</u>
46 Purchased Water	<u>39,128,469</u>
47 Power	<u>6,669,609</u>
<b>48 Class A Water Companies Only:</b>	
a. Pre-TRA 1986 Contributions in Aid of Construction	<u>50,852,200</u>
b. Pre-TRA 1986 Advances for Construction	<u>5,531,353</u>
c. Post TRA 1986 Contributions in Aid of Construction	<u>7,554,027</u>
d. Post TRA 1986 Advances for Construction	<u>11,912,973</u>

<u>Active Service Connections</u>	(Exc. Fire Protect.)	Jan. 1	Dec. 31	Annual Average
49 Metered Service Connections		<u>167,632</u>	<u>167,879</u>	<u>167,756</u>
50 Flat Rate Service Connections		<u>19,628</u>	<u>17,841</u>	<u>18,735</u>
51 Total Active Service Connections		<u>187,260</u>	<u>185,720</u>	<u>186,491</u>

## Notes to Adjusted Selected Financial Data

Instructions: Provide reasons for each adjustment to the Selected Financial Data due to non-regulated activities. Detail this information by name of line item (Gross Plant, Operating Revenues, etc.), account number, dollar amount, and by point in time (end of year, beginning of year, or average).

Other Operating and Nonoperating Income and Exp. - Net (Exclude Interest Expense), Account Numbers 526 and 522, Dollar Amount \$583,758 is the non-regulated activity as referenced in Excess  
1 Capacity schedule.

Federal Corporate Income Tax, Account Number 507, Dollar Amount \$255,920 represents tax effect  
2 of reduction of non-regulated revenues.

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### Excess Capacity and Non-Tariffed Services

NOTE: In D.00-07-018, D.03-04-028, and D. 04-12-023, the CPUC set forth rules and requirements regarding water utilities provision of non-tariffed services using excess capacity. These decisions require water utilities to: 1) file an advice letter requesting Commission approval of that service, 2) provide information regarding non-tariffed goods/services in each companies Annual Report to the Commission.

Based on the information and filings required in D.00-07-018, D.03-04-028, and D.04-12-023, provide the following information by each individual non-tariffed good and service provided in 2013:

Applies to All Non-Tariffed Goods/Services that require Approval by Advice Letter											
Row Number	Description of Non-Tariffed Goods/Services	Active or Passive	Total Revenue Derived from Non-tariffed Goods/ Services (by account)	Revenue Account Number	Total Expenses Incurred to Provide Non-tariffed Goods/ Services (by account)	Expense Account Number	Advice Letter and/or Resolution Number Approving Non-tariffed Goods/ Services	Total Income Tax Liability Incurred Because of Non-tariffed Goods/ Services (by account)	Income Tax Liability Account Number	Gross Value of Regulated Assets Used in the Provision of a Non-tariffed Goods/ Services (by account)	Regulated Asset Account Number
	Operating and Maintenance Contracts	Active	See Attached	526	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Antenna Leases	Passive	See Attached	522	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Real Property Leases	Passive	See Attached	522	N/A	N/A	N/A	N/A	N/A	N/A	N/A

See Attachments for additional detail

2013 Annual Report of California-American Water Company  
to the California Public Utilities Commission

**ATTACHMENT A**

**2013 ANNUAL REPORT OF NTP&S PROJECTS**

In accordance with Rule X.E of the Modified Rules for Water and Sewer Utilities Regarding Affiliate Transactions and the Use of Regulated Assets for Non-Tariffed Utility Services (Appendix A of D.12-01-042) (“Rules”), California-American Water Company (“CAWC”) provides the following information, in addition to the information provided in its Excess Capacity and Non-Tariffed Services schedule, regarding its Non-tariffed Products and Services (“NTP&S”):

**I. Descriptions of NTP&S Activities:**

A. Antenna and Real Property Leases. During calendar year 2013, CAWC had a total of twelve (12) antenna leases/license agreements with eight (8) different carriers for various locations in its San Diego, Ventura, and Monterey County Districts. Under these agreements, cellular service providers install, maintain and operate their antennas and appurtenances on CAWC properties without interference to normal day-to-day operations. CAWC also had three (3) real property leases/license agreements in Monterey County.

B. Operation and Maintenance Agreements. During calendar year 2013, CAWC had one (1) operation and maintenance (“O&M”) agreement in its Sacramento County District. Under the O&M agreement, CAWC operated and maintained water and water recycling systems for a third party.

**II. Classification of NTP&S Activities**

All NTP&S activities described above were classified as “active” or “passive” in accordance with the table: “Designation of Active and Passive NTP&S Water and Sewer Utility Projects” (see page A-16 of the Rules). All of the above activities pre-dated the effective date of the Rules and therefore no notice was required under Rule X.F.

**III. Regulated Assets and Personnel Used for the NTP&S:**

A. Antenna and Real Property Leases. Antennas and their associated appurtenances are typically mounted on elevated water tanks, co-located on utility poles with CAWC communications facilities, or installed on the grounds of utility sites. The real property leases/license agreements were limited to three sites. No other regulated assets were used for these agreements. No CAWC personnel provided services for these agreements.

B. Operation and Maintenance Contract. No regulated assets were used for the O&M contract. The equivalent of one full-time Water Treatment Operator III provides services for this activity. The associated labor is not included in the revenue requirement for the Sacramento District.

**California-American Water Company**  
**Addendum to Schedule Excess Capacity and Non-Tariffed Services**  
**NTP&S Projects**  
**12/31/2013**

<u>Name of Contract/Service</u>	<u>Active or Passive</u>	<u>Gross Revenue</u>	<u>Revenue Sharing</u>
<b>Operating and Maintenance Contracts</b>			
Descanso	Active	1,058.52	N/A
Wildwings	Active	(334,547.40)	(33,453.60)
<b>Total O&amp;M Contracts</b>		<b>(333,488.88)</b>	<b>(33,453.60)</b>
<b>Antenna &amp; Real Property Leases</b>			
Cingular	Passive	(45,863.76)	(13,759.13)
Clearwire	Passive	(24,000.00)	(7,200.00)
Cricket Communications	Passive	(63,480.00)	(19,044.00)
MetroPCS	Passive	(86,007.96)	(25,802.39)
Nextel	Passive	(17,280.00)	(5,184.00)
Sprint United Management Co.	Passive	(32,258.92)	(9,677.68)
Verizon Wireless	Passive	(57,148.32)	(17,144.50)
XM Satellite Radio	Passive	(20,400.00)	(6,120.00)
Real Property Leases (3)	Passive	(20,000.00)	(6,000.00)
<b>Total Antenna &amp; Real Property Leases</b>		<b>(366,438.96)</b>	<b>(109,931.69)</b>

## GENERAL INFORMATION

1 Name under which utility is doing business:  
California-American Water Company

2 Official mailing address:  
1033 B Avenue, Suite 200, Coronado, CA ZIP 92118

3 Name and title of person to whom correspondence should be addressed:  
Robert MacLean, President Telephone: 1-619-522-6360

4 Address where accounting records are maintained:  
131 Woodcrest Road, Cherry Hill, NJ 08003

5 Service Area (Refer to district reports if applicable):  
See District Reports

6 Service Manager (If located in or near Service Area.) (Refer to district reports if applicable.)  
Name: See District Reports  
Address: \_\_\_\_\_ Telephone: \_\_\_\_\_

7 OWNERSHIP. Check and fill in appropriate line:

<input type="checkbox"/>	Individual (name of owner) _____
<input type="checkbox"/>	Partnership (name of partner) _____
<input type="checkbox"/>	Partnership (name of partner) _____
<input type="checkbox"/>	Partnership (name of partner) _____
<input checked="" type="checkbox"/>	Corporation (corporate name) <u>California-American Water Company</u>

Organized under laws of (state) California Date: 12/7/1965

Principal Officers:

<u>Robert G MacLean</u>	<u>President</u>
<u>Ed Simon</u>	<u>VP Operations</u>
<u>Jeffrey Linam</u>	<u>VP Finance &amp; Treasurer</u>
<u>Kevin Tilden</u>	<u>VP External Affairs</u>
<u>Richard Svindland</u>	<u>VP Engineering</u>
<u>Lilian Wilkirson</u>	<u>VP Human Resources</u>
<u>Anthony Cerasuelo</u>	<u>VP Legal - Operations &amp; Secretary</u>
<u>Sarah Leeper</u>	<u>VP Legal - Regulatory &amp; Assistant Secretary</u>
<u>Lori Girard</u>	<u>Assistant Secretary</u>
<u>Andrew Homer</u>	<u>Assistant Secretary</u>
<u>Dave Stephenson</u>	<u>Assistant Treasurer</u>

8 Names of associated companies:  
\_\_\_\_\_  
\_\_\_\_\_

9 Names of corporations, firms or individuals whose property or portion of property have been acquired during the year, together with date of each acquisition:

_____	Date: _____
<u>None</u>	Date: _____
_____	Date: _____
_____	Date: _____

10 Use the space below for supplementary information or explanations concerning this report:  
None  
\_\_\_\_\_  
\_\_\_\_\_

11 List Name, Grade, and License Number of all Licensed Operators:  
See Attached List  
\_\_\_\_\_  
\_\_\_\_\_

12. List Name, Address, and Phone Number of your company's external auditor:  
Name: PricewaterhouseCoopers, LLP Telephone: 267 330-3000  
Address: 2001 Market St. Suite 1700 Philadelphia, PA 19103

**California American Water  
Northern Division  
Certified Water Treatment And Distribution Operators**

Sacramento and Larkfield		WATER DISTRIBUTION			WATER TREATMENT			WASTEWATER TREATMENT		
Updated 6/23/2014		CDPH			CDPH			SWRCB		
Name	Position	Grade	Operator #	Expiration	Grade	Operator #	Expiration	Grade	Operator #	Expiration
Amini, Al	Electrician	-	-	-	T1	33208	3/1/2017	-	-	-
Bell, Jared	Water Treatment Foreman	D2	8988	4/1/2015	T3	23413	3/1/2017	-	-	-
Biedenbender, Dayton	Distribution System Operator	D2	36752	3/1/2017	T2	31380	2/1/2016	-	-	-
Brunet, Thomas	Sr. Manager, Project Development	-	-	-	T2	33666	12/1/2014	-	-	-
Burke, Tyler	Distribution System Opr-Meters	D2	41077	5/1/2015	T2	33238	7/1/2014	-	-	-
Casebier, Steve	Water Treatment Operator	D2	14641	12/1/2015	T3	12161	12/1/2016	WW2	II-28594	6/30/2015
Cater, Justen	Distribution System Operator	D3	36535	2/1/2015	T2	32494	11/1/2014	-	-	-
Cheek, Jason	Water System Operator	D3	35346	3/1/2017	T3	28808	4/1/2017	-	-	-
Christenson, Dan	Sr. Distribution System Op	D2	14642	8/1/2015	-	-	-	-	-	-
Claxton, Rodney	Sr. Distribution System Op	D2	35736	4/1/2015	-	-	-	-	-	-
Cokley, Jirasak	Sr. Serviceperson	D2	32983	5/1/2016	-	-	-	-	-	-
Coleman, Terry	Sr. Field CS - Cross Connection	D2	29308	1/1/2016	T2	29889	7/1/2017	-	-	-
Crowther, Chris	Water Systems Operator	D4	26990	12/1/2014	T3	22906	4/1/2015	-	-	-
DiGenova, Margaret	Operations Superintendent	D3	25883	1/1/2017	T4	25767	4/1/2015	-	-	-
Diller, John	Sr. Specialist Maint Service	-	-	-	T2	6360	7/1/2017	-	-	-
Eastman, Billy	Distribution System Operator	D2	36614	10/1/2015	-	-	-	-	-	-
Epps, Brian	Water Treatment Operator	D4	5831	4/1/2015	T4	10786	11/1/2016	-	-	-
Fackrell, Orson	Water Treatment Operator	D2	37187	11/1/2015	T3	22768	8/1/2016	WW1	28548	6/30/2015
Fernandez, David	Meter Reader	-	-	-	-	-	-	-	-	-
Fillback, Dale	Water Treatment Operator	D3	37171	11/1/2015	T3	31287	6/1/2015	-	-	-
Flint, Kevin L	Supvr Opns I	D3	31038	9/1/2017	-	-	-	-	-	-
Folsom, Bryan	Distribution System Opr Trainee	-	-	-	-	-	-	-	-	-
Fuller, Mike	Serviceperson Foreman	D2	27713	6/1/2016	T1	27574	7/1/2015	-	-	-
Garcia, Chris	Engineering Specialist	D2	29949	4/1/2016	T2	31122	7/1/2015	-	-	-
Garcia, Gilfourthson	Operations Engineer	D2	37238	1/1/2016	T2	32136	12/1/2016	-	-	-
Garcia, Mariano	Electrician	-	-	-	T1	33127	3/1/2017	-	-	-
Garcia, Oscar	Distribution System Opr-Meters	-	-	-	-	-	-	-	-	-
Gilon, Yitzhak	Supvr Opns I	D3	9535	6/1/2015	T3	15514	6/1/2016	WW3	7956	6/30/2015
Hannon, M. "Sonny"	Water Treatment Operator	D3	36062	2/1/2015	T2	29121	1/1/2017	-	-	-
Jones-Wicks, Joseph	Field Service Representative	D1	42391	5/1/2016	-	-	-	-	-	-
Kelley, Kevin	Project Manager	D2	28215	12/1/2014	T2	28204	7/1/2015	-	-	-
Magallon Jr., Javier	Serviceperson	-	-	-	-	-	-	-	-	-
Martin, Jose	Distribution System Operator	D2	35984	4/1/2015	-	-	-	-	-	-
Mendez, Vincent A.	Serviceperson	-	-	-	-	-	-	-	-	-
Olivarez, Oscar	Distribution Foreman	D2	20175	6/1/2015	-	-	-	-	-	-
Perez, Osvaldo	Distribution Foreman - Meters	D2	36023	4/1/2015	-	-	-	-	-	-
Pettit, Derek	Water Treatment Operator	D2	39615	6/1/2017	T2	33419	7/1/2017	WW1	39884	2/24/2016
Polillo, Stephen	Distribution System Operator	D2	40442	12/1/2014	-	-	-	-	-	-
Sanborn, Daniel	Distribution Systems Operator	D2	35324	10/1/2014	-	-	-	-	-	-
Silvas, Jared	Water Treatment Operator	D2	33341	10/1/2016	T2	35725	7/1/2016	-	-	-
Stevens, Grady	Operations Manager	D3	16890	6/1/2016	T3	24080	6/1/2016	-	-	-
Tarp, Ronald D.	Water Treatment Operator	D2	28238	4/1/2016	T2	27634	7/1/2017	-	-	-
Torres, Hector	Distribution System Opr-Meters	D2	38696	3/1/2017	-	-	-	-	-	-
Trotter, Craig	Sr. Distribution System Op	D4	33347	9/1/2014	T2	29901	7/1/2017	-	-	-
Trush, Daniel	Water Treatment Operator	D3	20176	7/1/2015	T3	27672	4/1/2016	-	-	-
Valtman, Mark	Water Treatment Operator	D2	9805	6/1/2015	T2	26107	7/1/2015	-	-	-
Villasenor, Noe	Water Treatment Operator	D2	20177	1/1/2016	T2	27637	7/1/2015	-	-	-
Walker, Clyde	Supvr Opns I	D3	30210	2/1/2017	T2	29296	1/1/2017	-	-	-
Watson, Donald L.	Water System Operator	D3	19059	8/1/2015	T3	23730	7/1/2015	-	-	-
Webster, Joshua	Water Treatment Operator	D2	33353	10/1/2016	T3	28576	8/1/2015	-	-	-
Wilson, Nick	Water Treatment Operator	D3	28338	7/1/2017	T3	29297	12/1/2014	OIT1	41940	4/18/2016





LA District (UPDATED 6/24/2014)		WATER DISTRIBUTION			WATER TREATMENT		
		California Department of Public Health			DPH		
Name	Classification	Number	Grade	Expiration	Number	Grade	Expiration
Amarillas, Daniel	Laborer	37541	1	5/1/2016			
Anderson, William	Customer Service Representative	15392	2	8/1/2015			
Arenas, Raymond	Meter Reader	39788	1	8/1/2014			
Cruz, Joe	Utility Worker	29086	2	8/1/2016			
Echevarria, Herminio	Equipment Operator	7698	3	4/1/2015			
Gonzales, Jesus	Utility Worker	32688	1	4/1/2016			
Grindeland, Daryl	Utility Worker	3710	2	4/1/2015			
Hofer, Garry	Director of Operations	36678	1	10/1/2015	34072	2	1/1/2015
Hori, Beverly	Customer Service Superintendent	4539	2	4/1/2015			
Lefler, Dean	Operations Supervisor-Cust. Service	5149	4	11/1/2015	14100	2	7/1/2015
Marcinko, Joseph	WQ & Environmental Compliance Mgr				24919	4	2/1/2017
Marini, Erminio	Pump Operator	29529	5	2/1/2017	19694	4	10/1/2014
McKeehan, Everett	Utility Worker	3863	3	4/1/2015			
Morgan, Dennis	Pump Operator	5558	3	3/1/2015	33794	2	1/1/2015
Mullins, Timothy	Distribution Foreman	5345	3	7/1/2015	32625	2	1/1/2015
Navarro, Josue	Laborer						
Playter, Tim	Utility Worker	39420	3	5/1/2016	33803	2	1/1/2015
Reifer, Mark	Planning Engineer	41966	2	12/1/2015			
Rojas, Eric	Pump Operator	2872	3	6/1/2015	27190	2	1/1/2015
Romero, Louie	Operations Supervisor-Prod & Dist	2872	3	6/1/2015			
Rosa, Matthew	Meter Reader	42568	1	5/1/2016			
Salcido, Michelle	Meter Reader	42758	1	7/1/2016			
Slusser, Christopher	Stock Clerk/Utility Worker	5077	2	4/1/2015			
Strommen, Dan	Pump Operator	5360	3	4/1/2015	23042	2	5/1/2016
Warfield, William	Customer Service Representative	22953	1	2/1/2015			
Welton, Jon	Equipment Operator	4106	2	4/1/2015			
Williams, Tracy	Customer Service Clerk	36702	1	10/1/2015			
Williamson, Geoffrey	Operations Specialist				35170	2	11/1/2015

Ventura: Revised 6-20-14				WATER DISTRIBUTION			WATER TREATMENT		
				CDPH			CDPH		
Name	Position	Location	State	Grade	Operator #	Expiration	Grade	Operator #	Expiration
Barajas, Emanuel	Utility Worker	Ventura	CA	D3	32894	05/01/15	---	---	---
Boyle, Tom	Operations Supervisor	Ventura	CA	D2	7131	09/01/15	T2	19605	05/01/16
Estrada, Henry	Utility Worker	Ventura	CA	D3	4355	03/01/15	T1	23449	05/01/16
Hilbert, Mike	Utility Worker	Ventura	CA	D2	15436	05/01/15	T1	24045	11/01/16
Jacquez, Rudy	Utility Worker	Ventura	CA	D2	4357	03/01/15	---	---	---
Lugo, Edgar	Utility Worker	Ventura	CA	D2	40242	03/01/16	---	---	---
Molina, Henry	Utility Worker	Ventura	CA	D2	28797	01/01/15	T1	30251	01/01/15
Pappas, James	Utility Worker	Ventura	CA	D4	2746	07/01/14	T2	8790	07/01/14
Powers, Justin	Utility Worker	Ventura	CA	D1	43088	11/01/16	---	---	---
Roldan, Joe	Pump Operator	Ventura	CA	D3	5139	04/01/15	T1	19732	06/01/17
Saldivar, Richard	Operations Manager	Ventura	CA	D2	10054	06/01/15	---	---	---
Trejo, Steven	Utility Worker	Ventura	CA	D2	39742	05/01/16	T2	33954	7/1/2016
Victorero, Robert	Utility Worker	Ventura	CA	D2	14215	05/01/15	---	---	---
Weiss, Bill	Operations Specialist	Ventura	CA	D3	4445	03/01/15	T1	20020	06/01/17
Williams, Jennifer	Operations Supervisor	Ventura	CA	D5	14217	04/01/17	T2	23062	05/01/16

Coronado				WATER DISTRIBUTION			WATER TREATMENT			BACKFLOW Devise		Cross Connection	
Updated 6/20/14				CDPH			CDPH			Certified Tester		Specialist	
Name	Position	Location	State	Grade	Operator #	Expiration	Grade	Operator #	Expiration	Operator #	Expiration	Certification #	Expiration
Becerra, Robert	Operations Sup	Coronado	CA	D3	9497	8/1/2014	T1	23802	11/1/2016	11492	12/31/2012		12/31/2012
Bennett, Eric	Meter Reader	Coronado	CA	D1	41662	11/1/2015	T1	36107	4/1/2017				
Cervantes, Havier	Laborer	Coronado	CA	D2	32353	11/1/2014	T1	35557	8/1/2016				
Corrales, Luis	Laborer	Coronado	CA	D1	42594	5/1/2016							
Dyson, Kyeim	Meter Reader	Coronado	CA										
Garcia, Estevan	Utility Worker	Coronado	CA	D2	39144	11/1/2015	T1	35697	1/1/2017				
Garcia, Victor	Foreman	Coronado	CA	D4	9065	5/1/2015	T2	23461	8/1/2014				
Hernandez, Charlie	Meter Reader	Coronado	CA	D2	10032	6/1/2015							
Lomeli, Cristina	Administrative	Coronado	CA										
Lopez, Peter	Laborer	Coronado	CA	D2	40527	12/1/2014							
McKenzie, Richard	Laborer	Coronado	CA	D2	41617	5/1/2016	T2	34899	7/1/2016				
Mattis, Chris	Operations Mgr	Coronado	CA	D5	4017	6/1/2015	T2	14655	6/1/2017	05-00986	9/30/2015	00255	1/31/2016
Miller, Brian	Cust Field Rep	Coronado	CA	D2	17913	10/1/2017							
Nessman, Chris	Operations Spec	Coronado	CA	D3	9246	1/1/2015	T2	24861	1/1/2017	05-01458	3/2/2016	S05-00268	5/18/2016
Nevarez, Leonardo	Utility Worker	Coronado	CA	D2	26731	12/1/2016	T1	25851	7/1/2016				
Otto, Kristen	Conservationist	Coronado	CA										
Persinger, David	Meter Reader	Coronado	CA	D1	43418	1/1/2017							
Salas, Martin	Utility Worker	Coronado	CA	D3	27111	7/1/2016	T1	32655	1/1/2017				
Santoyo, Gabriel	Foreman	Coronado	CA	D3	18809	1/1/2016	T1	25454	1/1/2016				
Schomer, Rory	Meter Reader	Coronado	CA	D3	9516	6/1/2015	T2	23572	7/1/2016				
Schuler, Matthew	Utility Worker	Coronado	CA	D2	39625	5/1/2015	T2	34387	7/1/2016				
Valdez, Ritchie	Utility Worker	Coronado	CA	D2	30205	1/1/2016	T1	33275	7/1/2017				
Vitocruz, Karla	Administrative	Coronado	CA										

**SCHEDULE A  
COMPARATIVE BALANCE SHEETS  
Assets and Other Debits**

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
1		<b>I. UTILITY PLANT</b>			
2	100	Utility plant	A-1	835,030,515	799,023,146
3	107	Utility plant adjustments		3,651,852	3,651,852
4		Total utility plant		838,682,367	802,674,998
5	250	Reserve for depreciation of utility plant	A-3	292,435,297	279,028,374
6	251	Reserve for amortization of limited term utility investments	A-3	10,333	9,333
7	252	Reserve for amortization of utility plant acquisition adjustment	A-3	1,759,266	1,649,478
8		Total utility plant reserves		294,204,896	280,687,185
9		Total utility plant less reserves		544,477,470	521,987,814
10					
11		<b>II. INVESTMENT AND FUND ACCOUNTS</b>			
12	110	Other physical property	A-2	1,852,563	2,090,848
13	253	Reserve for depreciation and amortization of other property	A-3	65,400	61,814
14		Other physical property less reserve		1,787,163	2,029,034
15	111	Investments in associated companies	A-4	-	-
16	112	Other investments	A-5	-	-
17	113	Sinking funds	A-6	-	-
18	114	Miscellaneous special funds	A-7	-	-
19		Total investments and fund accounts		1,787,163	2,029,034
20					
21		<b>III. CURRENT AND ACCRUED ASSETS</b>			
22	120	Cash		20,761	429,417
23	121	Special deposits	A-8	77,874	77,874
24	122	Working funds		2,785	2,785
25	123	Temporary cash investments		-	-
26	124	Notes receivable	A-9	-	-
27	125	Accounts receivable		15,752,849	11,418,153
28	126	Receivables from associated companies	A-10	10,121,226	260,362
29	131	Materials and supplies		635,966	779,281
30	132	Prepayments	A-11	1,980,567	2,146,497
31	133	Other current and accrued assets	A-12	11,381,107	10,184,951
32		Total current and accrued assets		39,973,135	25,299,319
33					
34		<b>IV. DEFERRED DEBITS</b>			
35	140	Unamortized debt discount and expense	A-13	2,680,989	2,629,283
36	141	Extraordinary property losses	A-14	-	-
37	142	Preliminary survey and investigation charges		-	-
38	143	Clearing accounts		-	-
39	145	Other work in progress		-	-
40	146	Other deferred debits	A-15	207,775,563	208,517,871
41		Total deferred debits		210,456,552	211,147,154
42		Total assets and other debits		796,694,320	760,463,321
43					

**SCHEDULE A**  
**COMPARATIVE BALANCE SHEETS**  
**Liabilities and Other Credits**

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
1		<b>I. CORPORATE CAPITAL AND SURPLUS</b>			
2	200	Common capital stock	A-18	92,477,914	92,477,914
3	201	Preferred capital stock	A-18	-	-
4	202	Stock liability for conversion		-	-
5	203	Premiums and assessments on capital stock	A-19	-	-
6	150	Discount on capital stock	A-16	-	-
7	151	Capital stock expense	A-17	-	-
8	270	Capital surplus	A-20	160,988,377	150,750,449
9	271	Earned surplus	A-21	45,326,287	37,547,079
10		Total corporate capital and surplus		298,792,578	280,775,442
11					
12		<b>II. PROPRIETARY CAPITAL</b>			
13	204	Proprietary capital (Individual or partnership)	A-22	-	-
14	205	Undistributed profits of proprietorship or partnership	A-23	-	-
15		Total proprietary capital		-	-
16					
17		<b>III. LONG-TERM DEBT</b>			
18	210	Bonds	A-24	244,004,831	254,000,000
19	211	Receivers' certificates		-	-
20	212	Advances from associated companies	A-25	-	-
21	213	Miscellaneous long-term debt	A-26	-	-
22		Total long-term debt		244,004,831	254,000,000
23					
24		<b>IV. CURRENT AND ACCRUED LIABILITIES</b>			
25	220	Notes payable	A-28	55,908,631	25,161,339
26	221	Notes receivable discounted		-	-
27	222	Accounts payable		23,802,955	14,046,833
28	223	Payables to associated companies	A-29	620,457	488,192
29	224	Dividends declared		-	-
30	225	Matured long-term debt		-	24,000,000
31	226	Matured interest		-	-
32	227	Customers' deposits		-	-
33	228	Taxes accrued	A-31	4,298,401	7,231,986
34	229	Interest accrued		1,416,778	1,621,530
35	230	Other current and accrued liabilities	A-30	13,955,895	11,105,203
36		Total current and accrued liabilities		100,003,118	83,655,082
37					
38		<b>V. DEFERRED CREDITS</b>			
39	240	Unamortized premium on debt	A-13	-	-
40	241	Advances for construction	A-32	15,933,118	16,307,521
41	242	Other deferred credits	A-33	78,526,290	63,543,364
42		Total deferred credits		94,459,408	79,850,885
43					
44		<b>VI. RESERVES</b>			
45	254	Reserve for uncollectible accounts	A-34	1,028,158	932,230
46	255	Insurance reserve	A-34	-	-
47	256	Injuries and damages reserve	A-34	-	-
48	257	Employees' provident reserve	A-34	-	-
49	258	Other reserves	A-34	-	3,050,150
50		Total reserves		1,028,158	3,982,380
51					
52		<b>VII. CONTRIBUTIONS IN AID OF CONSTRUCTION</b>			
53	265	Contributions in aid of construction	A-35	58,406,227	58,199,527
54		Total liabilities and other credits		796,694,320	760,463,316

**SCHEDULE B**  
**Income Account for the Year**

Line No.	Acct.	Account (a)	Schedule Page No. (b)	Amount (c)
1		<b>I. UTILITY OPERATING INCOME</b>		
2	501	Operating revenues	B-1	203,712,931
3				
4		Operating Revenue Deductions:		
5	502	Operating expenses	B-2	114,251,923
6	503	Depreciation	A-3	19,393,492
7	504	Amortization of limited-term utility investments	A-3	(128,540)
8	505	Amortization of utility plant acquisition adjustments	A-3	109,788
9	506	Property losses chargeable to operations	B-3	-
10	507	Taxes	B-4	24,655,310
11		Total operating revenue deductions		158,281,973
12		Net operating revenues		45,430,958
13	508	Income from utility plant leased to others		-
14	510	Rent for lease of utility plant		-
15		Total utility operating income		45,430,958
16				
17		<b>II. OTHER INCOME</b>		
18	521	Income from nonutility operations (Net)	B-6	200,324
19	522	Revenue from lease of other physical property		251,327
20	523	Dividend revenues		-
21	524	Interest revenues		959,949
22	525	Revenues from sinking and other funds		-
23	526	Miscellaneous nonoperating revenues	B-7	641,171
24	527	Nonoperating revenue deductions - Dr.	B-8	(1,471,805)
25		Total other income		580,966
26		Net income before income deductions		46,011,924
27				
28		<b>III. INCOME DEDUCTIONS</b>		
29	530	Interest on long-term debt		17,872,265
30	531	Amortization of debt discount and expense		190,767
31	532	Amortization of premium on debt - Cr.		-
32	533	Taxes assumed on interest		-
33	534	Interest on debt to associated companies		-
34	535	Other interest charges	B-9	100,271
35	536	Interest charged to construction - Cr.		-
36	537	Miscellaneous amortization		-
37	538	Miscellaneous income deductions	B-10	1,047,015
38		Total income deductions		19,210,318
39		Net income		26,801,606
40				
41		<b>IV. DISPOSITION OF NET INCOME</b>		
42	540	Miscellaneous reservations of net income		-
43				
44		Balance transferred to Earned Surplus or		
45		Proprietary Accounts scheduled on page 21		
46				

**SCHEDULE A-1**  
**Account 100 - Utility Plant**

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1	100 - 1	Utility plant in service (Schedule A-1a)	754,045,911	42,003,179	(6,049,578)	1,059,723	791,059,236
2	100 - 2	Utility plant leased to others	-	-	-	-	-
3	100 - 3	Construction work in progress	43,458,584	129,972,871	-	(130,978,827)	42,452,628
4	100 - 4	Utility plant held for future use (Sch A-1c)	1,518,651	-	-	-	1,518,651
5	100 - 5	Utility plant acquisition adjustments	3,651,852	-	-	-	3,651,852
	100 - 6	Utility plant in process of reclassification	-	-	-	-	-
7		Total utility plant	802,674,998	171,976,050	(6,049,578)	(129,919,104)	838,682,367

**SCHEDULE A-1a**  
**Account 100.1 - Utility Plant in Service**

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		<b>I. INTANGIBLE PLANT</b>					
2	301	Organization	163,104	-	-	-	163,104
3	302	Franchises and consents (Schedule A-1b)	418,180	-	-	-	418,180
4	303	Other intangible plant	5,179,609	878,452	-	-	6,058,061
5		Total intangible plant	5,760,892	878,452	-	-	6,639,344
6							
7		<b>II. LANDED CAPITAL</b>					
8	306	Land and land rights	6,434,628	(763,892)	-	(523)	5,670,213
9							
10		<b>III. SOURCE OF SUPPLY PLANT</b>					
11	311	Structures and improvements	6,257,560	143,216	(536)	-	6,400,240
12	312	Collecting and impounding reservoirs	2,935,337	(167,295)	-	-	2,768,042
13	313	Lake, river and other intakes	1,063,654	-	-	-	1,063,654
14	314	Springs and tunnels	-	-	-	-	-
15	315	Wells	26,079,467	2,602,405	(38,932)	-	28,642,940
16	316	Supply mains	12,222,334	154,748	(126,941)	-	12,250,142
17	317	Other source of supply plant	210,254	879	-	-	211,133
18		Total source of supply plant	48,768,606	2,733,954	(166,409)	-	51,336,151
19							
20		<b>IV. PUMPING PLANT</b>					
21	321	Structures and improvements	11,616,895	253,582	(3,198)	-	11,867,279
22	322	Boiler plant equipment	-	-	-	-	-
23	323	Other power production equipment	2,728,763	236,057	-	-	2,964,820
24	324	Pumping equipment	58,398,501	1,014,742	(488,146)	1,060,246	59,985,342
25	325	Other pumping plant	-	-	-	-	-
26		Total pumping plant	72,744,159	1,504,381	(491,344)	1,060,246	74,817,442
27							
28		<b>V. WATER TREATMENT PLANT</b>					
29	331	Structures and improvements	18,851,922	188,049	(19,799)	-	19,020,172
30	332	Water treatment equipment	51,984,134	1,408,271	(108,506)	-	53,283,899
31		Total water treatment plant	70,836,056	1,596,320	(128,305)	-	72,304,071



**SCHEDULE A-1a**  
**Account 100.1 - Utility Plant in Service (Continued)**

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
32		<b>VI. TRANSMISSION AND DIST. PLANT</b>					
33	341	Structures and improvements	1,532,427	51,296	-	-	1,583,722
34	342	Reservoirs and tanks	59,413,503	2,078,428	(103,082)	-	61,388,849
35	343	Transmission and distribution mains	258,687,251	18,188,978	(186,467)	-	276,689,762
36	344	Fire mains	146,610	-	-	-	146,610
37	345	Services	92,431,935	4,071,722	(409,373)	-	96,094,285
38	346	Meters	29,540,564	1,661,217	(178,398)	-	31,023,382
39	347	Meter installations	30,969,219	391,081	-	-	31,360,300
40	348	Hydrants	24,445,617	1,287,344	(81,777)	-	25,651,184
41	349	Other transmission and distribution plant	2,145,775	(3,610)	-	-	2,142,165
42		Total transmission and distribution plant	499,312,899	27,726,457	(959,098)	-	526,080,259
43							
44		<b>VII. GENERAL PLANT</b>					
45	371	Structures and improvements	7,607,713	589,961	(4,792)	-	8,192,882
46	372	Office furniture and equipment	15,865,479	7,003,636	(4,134,756)	-	18,734,360
47	373	Transportation equipment	2,498,748	-	(124,624)	-	2,374,124
48	374	Stores equipment	14,891	5,359	(1,116)	-	19,134
49	375	Laboratory equipment	428,596	20,560	(5,759)	-	443,398
50	376	Communication equipment	18,402,482	574,933	(30,339)	-	18,947,075
51	377	Power operated equipment	1,659,780	12,060	-	-	1,671,840
52	378	Tools, shop and garage equipment	2,248,739	55,526	(834)	-	2,303,432
53	379	Other general plant	1,438,246	65,472	(2,203)	-	1,501,515
54		Total general plant	50,164,673	8,327,508	(4,304,422)	-	54,187,759
55							
56		<b>VIII. UNDISTRIBUTED ITEMS</b>					
57	390	Other tangible property	23,997	-	-	-	23,997
58	391	Utility plant purchased	-	-	-	-	-
59	392	Utility plant sold	-	-	-	-	-
60		Total undistributed items	23,997	-	-	-	23,997
61		Total utility plant in service	754,045,911	42,003,179	(6,049,578)	1,059,723	791,059,236

**SCHEDULE A-1b**  
**Account 302 - Franchises and Consents**

Line No.	Name of Original Grantor (a)	Date of Grant (b)	Term in Years (c)	Date of Acquisition by Utility (d)	Amount at which Carried in Account <sup>1</sup> (e)
1	See Addendum				0
2					
3					
4					
5	Total				0

<sup>1</sup> The total should agree with the balance at the end of the year in Account 302 in Schedule A-1a Line 10.

**Schedule A-1b**

**Account 302 - FRANCHISES AND CONSENTS**

<u>NAME OF ORIGINAL GRANTOR</u>	<u>DATE OF GRANT</u>	<u>TERM IN YEARS</u>	<u>DATE OF ACQUISITION BY UTILITY</u>
City of Coronado/Constitutional Franchise	Prior to 10/11/1911	Perpetual	
City of San Gabriel Ordinance 515-C.S	1/1/1989	50	1/19/1939
City of San Marino Ordinance 03-1169	9/10/2001	15	9/10/1986
City of San Diego Ordinance 0-19283	6/30/2004	25	11/22/1935
City of Los Angeles-Expired Ordinance 163757	1/1/1988	10	6/10/1988
County of Monterey Ordinance 1278	2/14/1963	50	2/14/1963
City of Rosemead Ordinance 96	6/26/1964	Indeterminate	6/26/1964
City of Seaside Ordinance 317	7/17/2003	25	12/11/1967
County of Ventura Ordinance 1118	1/11/1962	50	3/15/1960
County of Los Angeles Ordinance 2006-0044F	8/12/2006	15	10/21/1981
City of Bradbury Ordinance 258	9/19/1995	Indeterminate	
City of Duarte Ordinance 709	7/12/1994	Indeterminate	
City of Thousand Oaks Ordinance 1461-NS	5/9/2006	15	6/9/2006
Carmel-by-the-Sea Ordinance 93-27	1/1/1994	Indeterminate	
City of Monterey	7/8/1994	25	
City of Del Rey Oaks	12/1/2009	25	
Imperial Beach Ordinance 810	7/5/1990	25	
City of Pacific Grove	1/1/2008	25	
County of Sacramento	Various	--	Various
Placer County Ordinance 4609-B	10/27/1994	50	
Rancho Cordova Ordinance 53-2006	9/18/2006	15	

California American Water Company  
FRANCHISES AND CONSENTS continued

SCHEDULE A-1b

PROPERTY	NAME OF ORIGINAL GRANTOR	DATE OF GRANT	TERM YEARS	DATE OF ACQUISITION BY UTILITY	AMOUNT CARRIED ON ACCOUNT
Arden	Sacramento County	Not Available	Not Available	Not Available	4,162
(P.U.C. Decision # 51527)					
	Sacramento County Ordinance #500	July 20, 1955	50	July 20, 1955	3,850
	Ordinance #542	August 6, 1956		August 6, 1956	
Parkway	Sacramento County Ordinance #492	May 18, 1955		May 18, 1955	
	Ordinance #713	Aug 22, 1960	50	Aug 22, 1960	4,700
	Ordinance #458	Sept. 2, 1953		Sept. 2, 1953	
	Ordinance #878	April 28, 1965		April 28, 1965	
	Ordinance #489	April 6, 1955	5	April 6, 1955	
	Ordinance #1223	Feb. 20, 1979		Feb. 20, 1979	
Royal Oaks	Sacramento County Ordinance #488	April 6, 1955	5	April 6, 1955	4,206
Lincoln Oaks	Sacramento County Ordinance #499	(1) July 20, 1955		(1) July 20, 1955	
Sunrise	Sacramento County Ordinance #1158	June 16, 1975	50	June 16, 1975	75
	Ordinance #1228	May 6, 1980	50	May 6, 1980	624
	Ordinance #1248	July 27, 1982	50	July 27, 1982	
	Ordinance #1254	Nov. 2, 1982		Nov. 2, 1982	
Sacramento	Placer County Ordinance #4609-B	Sept. 30, 1994	50	Sept. 30, 1994	6,412
Monterey					27,696
Baldwin Hills	Los Angeles County				25,897
San Marino	Los Angeles County				7,820
Village					63,634
Sacramento	Northridge/Placer				201,874
Sacramento					63,523
Total					414,473 (2)

(1) Amended by: Ordinance #523 - February 15, 1956  
Ordinance #540 - July 25, 1956

(2) Does not agree to Schedule A-1a line 10 as Ordinance 486 for \$3,707 has been excluded from this schedule, but not retired as of 12/31/01. This will be retired at a future date.

**SCHEDULE A-1c**  
**Account 100.4 - Utility Plant Held for Future Use**

Line No.	Description and Location of Property (a)	Date of Acquisition (b)	Approximate Date When Property Will be Placed in Service (c)	Balance End of Year (d)
1	Shopping Center-Lot 13-Village District	N/A	N/A	37,740
2	Dry Creek Land- 2.034 AC-Sacramento	3/16/2004	N/A	281,500
3	Parcel 059 239 050 Well 6A Larkfield	1/1/2004	N/A	1,199,411
4				
5				
6				
7				
8				
9	Total			1,518,651

**SCHEDULE A-2**  
**Account 110 - Other Physical Property**

Line No.	Name and Description of Property (a)	Book Value End of Year (b)
1	See Addendum- A-Account 110 - NUP Land	1,748,053
2	See Addendum- B-Account 110 - NUP Buildings	104,510
3	Non Utility Plant-NUP -Other	0
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15	Total	1,852,563

California - American Water Company Account Reconciliation  
As of December 31, 2013  
NUP-Land  
Addendum A-Account 110

<u>BU</u>	<u>DESCRIPTION</u>	<u>ASSET ID</u>	<u>Total Amount</u>
056005	3415 MISTY MOMING CIRCLE	2658630	34,115
056005	3608 FABERGE	2651682	91
	3805 CONTEMPO	2651683	262
056005	3805 Contempo Dr	2658577	102
056005	4121 ASHGROVE	2651685	153
056005	4234 ALBERTVILLE WAY	2658636	26,345
056005	4908 BUFFWOOD	2651691	110
056005	6389 NAVION WELL SITE	2651680	48
056005	6389 NAVION WELL SITE 2	2651679	48
056005	65TH ST EXPRESSWAY WELL SITE	2651671	45
056005	7721 CMNWEALTH DR WELL SITE	2651693	259
056005	9148 CASTLEBAR	2651684	172
056005	9513 MIRA DEL RIO	2651678	72
056005	9605 ALLEGHENY WELL SITE	2651675	75
056005	9836 BURLINE	2651688	100
054005	Airway Tank Site #2	2652025	1,088
056005	AUBURN WELL SITE	2651690	86
056005	BARRACUDA WELL SITE	2651676	204
056005	Bellingrath Well Site	2651695	251
056005	BLACKHAWK WELL SITE	2651672	46
054005	Ch Tank Site #1, Lower Mt.	2652026	300
056005	CHARDONNAY WELL SITE NO. 5	2651694	115
054005	Del Rey Terrace Tank & PP #28	2652027	40
056005	FLORIN CREEK WATER TRTMT SITE	2658627	232,531
056005	GREENBACK LANE WELL SITE	2651674	40
054005	Harding Well Site Lots	2652028	1,596
056005	HUNTSMAN WELL SITE	2651677	5
056005	Hurley Ave. Well Site Vacant	2651681	182
056005	JEANNE WELL SITE	2651673	345
055005	Work Order Addition	24262134	486,973
055005	Work Order Addition	24262143	464,578
054005	Land & Ld Rights-SS	2653076	1,002
054005	Land & Ld Rights-SS	2653077	2,000
054005	Land & Ld Rights-SS	2653078	330
054005	Land & Ld Rights-SS	2653075	532
054005	Land & Ld Rights-SS	2653074	33,545
054005	Land & Ld Rights-TD	2653079	2,887
053005	LAND OTAY WELL #1	2652035	420
056005	LAND PARCEL	2658635	17,856
056005	LINDA SUE WELL SITE NO. 2	2651669	226
056005	Lot 5 Trct 16128 RICH 1951	2651585	2,609
056005	MAP 1284 34 13 PARCEL 1	2658510	522
054005	Monterey - Los Laureles Tank Site	67600289	523
056005	MAP 1284 34 29 PARCEL 1	2658517	1,093
056005	MAP 1284 34 50 PARCEL 1	2658499	714
056005	MAP 1284 34 91 PARCEL 1	2658569	10,011
056005	MAP 1284-34-96 PARCEL 2	2658595	438
056105	MAP 58 090 16	2653080	7,597
056005	MILLS STATION WELL SITE	2651686	485
055105	Orbis Well #10 - Land	2652017	240
055105	ORBIS WELL #2 - Land	2651654	240
055105	Orbis Well #4 - Land	2652016	240
055105	Orbis Well #41 - Land	2652018	225
053005	OTAY WATERSHED WATER RIGHTS	2652034	17,725
053005	OTAY WEST END GRANT	2652033	1,280
054005	Palm Well Site	2652031	1,600
054005	Paralta Tank Site	2652029	462
056005	PARCEL EACH	2658613	16,163
056005	PARCEL EACH	2658615	112,875
056005	PARCEL EACH	2658626	22,733
054005	PIERCE WELL SITE 200' X 100' EASEME	9051192	2,310
056005	POMEGRANATE/LA MANCHA	2658637	220,748
053005	RIGHT OF WAY - OTAY WELLS	2652032	399
054005	RIGHTS OF WAY FROM LOS TULARCITOS D	9051199	2,178
055005	TFR TO NUP LOT1-TRACT8900	2653071	3,400
055005	TFR TO NUP LOT6 SAN M PARK	2653072	7,489
055005	TFR TO NUP LOT60-TRACT1798	2653070	4,000
055005	TFR TO NUP SCOTT RES SITE1	2653073	516
056005	UNDESCRIBED	2651670	18
055105	Village Well #4 - Land	2652019	45

Grand Total

1,748,053

California - American Water Company Account Reconciliation  
As of December 31, 2013  
NUP-Buildings  
Addendum B-Account 110

<u>BU</u>	<u>DESCRIPTION</u>	<u>ASSET ID</u>	<u>Total Amount</u>
	5000 GAL PRESSURE TANK	2651721	903
056005	CASING EACH	2651697	1,430
056005	CONCRETE SAND TRAP	2651720	120
056005	FENCE	2651717	2,280
056005	FENCE	2651710	4,052
056005	FENCE EACH	2651704	152
056005	FENCE EACH	2651700	2,564
056005	FENCE EACH	2651701	1,554
056005	FENCE EACH	2651702	1,566
056005	FENCE EACH	2651703	1,070
056005	FENCE EACH	2651705	33
056005	FENCE EACH	2651709	3,120
056005	FENCE EACH	2651707	1,142
056005	FENCE EACH	2651711	1,433
056005	FENCE EACH	2651712	1,480
056005	FENCE EACH	2651713	7,806
056005	FENCE EACH	2651714	1,818
056005	FENCE EACH	2651715	1,329
056005	FENCE EACH	2651716	2,329
056005	FENCE EACH	2651696	712
056005	FENCE EACH	2651718	3,333
056005	GATE ONLY	2651706	606
056005	GATE ONLY	2651708	874
056005	Structures & Improvements	2651719	61,911
056005	UNIDENTIFIED	2651698	893
	Grand Total		104,510

**SCHEDULE A-1d  
RATE BASE AND WORKING CASH**

Line No.	Acct.	Title of Account (a)	Balance 12/31/2013 (c)	Balance 1/1/2013 (d)
		<b>RATE BASE</b>		
1		Utility Plant		
2		Plant in Service	776,735,742	742,129,628
3		Construction Work in Progress	38,251,158	40,303,810
4		General Office Prorate	14,323,493	11,916,282
5		<b>Total Gross Plant (=Line 2 + Line 3 + Line 4)</b>	829,310,394	794,349,721
6		Less Accumulated Depreciation		
7		Plant in Service	290,087,167	273,790,714
8		General Office Prorate	2,348,130	5,237,660
9		<b>Total Accumulated Depreciation (=Line 7 + Line 8)</b>	292,435,298	279,028,374
10		Less Other Reserves		
11		Deferred Income Taxes	49,284,072	35,265,979
12		Deferred Investment Tax Credit	686,791	787,199
13		Other Reserves	429,560	533,512
14		<b>Total Other Reserves (=Line 11 + Line 12 + Line 13)</b>	50,400,424	36,586,690
15		Less Adjustments		
16		Contributions in Aid of Construction	57,812,905	57,406,295
		Contributions in Aid of Construction (20 year amortization)	3,397,200	3,821,700
17		Advances for Construction	17,444,326	17,715,300
		Advances for Construction (20 year amortization)	10,090,300	11,351,600
18		Other	-	-
19		<b>Total Adjustments (=Line 16 + Line 17 + Line 18)</b>	88,744,730	90,294,895
20		<b>Add Materials and Supplies</b>	635,966	779,281
21		<b>Add Working Cash (=Line 34)</b>	22,684,467	20,931,837
22		<b>TOTAL RATE BASE</b>		
23		<b>(=Line 5 - Line 9 - Line 14 - Line 19 + Line 20 + Line 21)</b>	421,050,375	410,150,879



<b>Working Cash</b>				
24		<b>Determination of Operational Cash Requirement</b>		
25		Operating Expenses, Excluding Taxes, Depreciation & Uncollectible	113,306,100	108,940,046
26		Purchased Power & Commodity for Resale*	6,669,609	5,922,501
27		Meter Revenues: Bimonthly Billing	174,399,290	160,390,774
28		Other Revenues: Flat Rate Monthly Billing	3,438,889	12,067,281
29		<b>Total Revenues (=Line 27 + Line 28)</b>	177,838,179	172,458,055
30		Ratio - Flat Rate to Total Revenues (=Line 28 / Line 29)	1.93%	7.00%
31		5/24 x Line 25 x (100% - Line 30)	23,148,975	21,107,763
32		1/24 x Line 25 x Line 30	91,293	317,616
33		1/12 x Line 26	555,801	493,542
34		<b>Operational Cash Requirement (=Line 31 + Line 32 - Line 33)</b>	22,684,467	20,931,837
		* Electric power, gas or other fuel purchased for pumping and/or purchased commodity for resale billed after receipt (metered).		

### SCHEDULE A-3

#### Accounts 250 to 253, Inclusive - Depreciation and Amortization Reserves

Line No.	Item (a)	Account 250 Utility Plant (b)	Account 251 Limited-Term Utility Investments (c)	Account 252 Utility Plant Acquisition Adjustments (d)	Account 253 Other Property (e)
1	Balance in reserves at beginning of year	279,028,374	9,333	1,649,478	61,814
2	Add: Credits to reserves during year				
3	(a) Charged to Account 503, 504, 505 <sup>(1)</sup>	19,260,366	1,000	109,788	3,586
4	(b) Charged to Account 265	1,719,192			
5	(c) Charged to clearing accounts				
6	(d) Salvage recovered	78,605			
7	(e) All other credits <sup>(2)</sup>	344,566			
8	Total credits	21,402,729	1,000	109,788	3,586
9	Deduct: Debits to reserves during year				
10	(a) Book cost of property retired	6,049,578			
11	(b) Cost of removal	2,050,097			
12	(c) All other debits <sup>(3)</sup>	(103,870)			
13	Total debits	7,995,805	-	-	-
14	Balance in reserve at end of year	292,435,297	10,333	1,759,266	65,400
15					
16	(1) COMPOSITE DEPRECIATION RATE USED FOR STRAIGHT LINE REMAINING LIFE:				3.73%
17					
18	(2) EXPLANATION OF ALL OTHER CREDITS: See district reports for details.				
26					
27	(3) EXPLANATION OF ALL OTHER DEBITS: See district reports for details.				
34					
35	METHOD USED TO COMPUTE INCOME TAX DEPRECIATION:				
36	(a) Straight Line	( )		(X) Village, Orbis, Pollack, Pre 1970	
37	(b) Liberalized	( )	( )		
38	(1) Double declining balance	( )		(X) On Additions from 4-1-66 through 1970	
39	(2) ACRS	( )	( )		
40	(3) MACRS	( )	( )		
41	(4) Others	( )		(X) 150% DBM on property acquired 4-1-66	
42	(c) Both straight line and liberalized	( )		(X) ADR on Additions 1971 through 1980	
43				(X) ACRS on Additions 1981 through 1986	
44				(X) MACRS on Additions after 1986	



## SCHEDULE A-3a

### Account 250 - Analysis of Entries in Depreciation Reserve

(This schedule is to be completed if records are maintained showing depreciation reserve by plant accounts)

Line No.	Acct.	DEPRECIABLE PLANT (a)	Balance Beginning of Year (b)	Credits to Reserve During Year Excl. Salvage (c)	Debits to Reserves During Year Excl. Cost Removal (d)	Salvage and Cost of Removal Net (Dr.) or Cr. (e)	Balance End of Year (f)
<b>I. SOURCE OF SUPPLY PLANT</b>							
1							
2	311	Structures and improvements	694,799	176,534	(536)	(13,653)	857,143
3	312	Collecting and impounding reservoirs	1,547,735	132,058	-	-	1,679,793
4	313	Lake, river and other intakes	391,124	35,064	-	-	426,188
5	314	Springs and tunnels	-	-	-	-	-
6	315	Wells	12,652,913	1,073,752	(38,932)	(50,377)	13,637,356
7	316	Supply mains	2,119,000	266,696	(126,941)	-	2,258,755
8	317	Other source of supply plant	151,147	6,822	-	-	157,969
9		Total source of supply plant	17,556,718	1,690,925	(166,409)	(64,030)	19,017,204
10							
<b>II. PUMPING PLANT</b>							
11							
12	321	Structures and improvements	4,032,311	477,220	(3,198)	(6,991)	4,499,343
13	322	Boiler plant equipment	-	-	-	-	-
14	323	Other power production equipment	855,120	107,012	-	1,762	963,893
15	324	Pumping equipment	25,151,396	2,168,507	(488,146)	(217,535)	26,614,221
16	325	Other pumping plant	-	-	-	-	-
17		Total pumping plant	30,038,827	2,752,739	(491,344)	(222,765)	32,077,458
18							
<b>III. WATER TREATMENT PLANT</b>							
19							
20	331	Structures and improvements	7,102,789	315,256	(19,799)	(22,373)	7,375,872
21	332	Water treatment equipment	23,195,170	1,161,762	(108,506)	(105,272)	24,143,154
22		Total water treatment plant	30,297,959	1,477,018	(128,305)	(127,645)	31,519,027
23							
<b>IV. TRANS. AND DIST. PLANT</b>							
24							
25	341	Structures and improvements	215,776	19,152	-	-	234,928
26	342	Reservoirs and tanks	23,203,741	1,023,548	(103,082)	(22,236)	24,101,972
27	343	Transmission and distribution mains	83,042,401	5,207,039	(186,467)	(227,232)	87,835,741
28	344	Fire mains	(37,700)	-	-	-	(37,700)
29	345	Services	38,615,088	2,514,935	(409,373)	(867,105)	39,853,545
30	346	Meters	11,225,409	3,071,833	(178,398)	(277,752)	13,841,091
31	347	Meter installations	2,195,975	35,162	-	(47,975)	2,183,161
32	348	Hydrants	9,145,059	591,663	(81,777)	(57,351)	9,597,595
33	349	Other transmission and distribution plant	151,809	1,354	-	-	153,163
34		Total trans. and distribution plant	167,757,556	12,464,687	(959,098)	(1,499,650)	177,763,496
35							
<b>V. GENERAL PLANT</b>							
36							
37	371	Structures and improvements	3,120,116	206,511	(4,792)	(6,477)	3,315,358
38	372	Office furniture and equipment	7,880,816	1,201,586	(4,134,756)	(40,187)	4,907,459
39	373	Transportation equipment	2,046,977	15,537	(124,624)	-	1,937,889
40	374	Stores equipment	12,669	242	(1,116)	-	11,796
41	375	Laboratory equipment	346,636	9,519	(5,759)	-	350,395
42	376	Communication equipment	11,856,887	644,924	(30,339)	(6,717)	12,464,755
43	377	Power operated equipment	1,260,516	29,614	-	(761)	1,289,369
44	378	Tools, shop and garage equipment	1,393,375	54,075	(834)	(71)	1,446,546
45	379	Other general plant	876,582	277,947	(2,203)	(4,801)	1,147,525
46	390	Other tangible property	4,582,742	602,668	-	1,611	5,187,021
47	391	Water plant purchased	-	-	-	-	-
48		Total general plant	33,377,314	3,042,624	(4,304,422)	(57,402)	32,058,114
49		Total	279,028,374	21,427,994	(6,049,578)	(1,971,493)	292,435,297

**SCHEDULE A-4**  
**Account 111 - Investments in Associated Companies**

Line No.	Class of Security (a)	Name of Issuing Company (b)	Par Value End of Year (c)	Ledger Value End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest and Dividends Received During Year (g)
1		None					
2							
3							
4							
5							
6							
7		Total <sup>1</sup>		0			

1 The total should agree with the balance at the end of the year in Account 111 on page 5.

**SCHEDULE A-5**  
**Account 112 - Other Investments**

Line No.	Name and Description of Property (a)	Balance End of Year (b)
1	None	
2		
3		
4		
5		
6		
7		
8		
9	Total	0

**SCHEDULE A-6**  
**Account 113 - Sinking Funds**

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	None					
2						
3						
4						
5						
6						
7						
8						
9	Total					0

**SCHEDULE A-7**  
**Account 114 - Miscellaneous Special Funds**

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	None					
2						
3						
4						
5						
6						
7						
8						
9	Totals	0				0

**SCHEDULE A-8**  
**Account 121 - Special Deposits**

Line No.	Name of Depository (a)	Purpose of Deposit (b)	Balance End of Year (c)
1	City of Chula Vista	Utility Permits	150
2	Air Commercial Real Estate Assoc.	CA -Corp Office Security Deposit	26,280
3	FPA Country Club Associates	Monterey County Club Gate Center Security Deposit	35,000
4	Selvin Commerical Properties	Village Office Lease	15,913
5	City of Citrus Heights	Encroachment Permit	531
6	Totals		77,874

**SCHEDULE A-9**  
**Account 124 - Notes Receivable**

Line No.	Maker (a)	Date of Issue (b)	Date Payable (c)	Balance End of Year (d)	Interest Rate (e)	Interest Accrued During Year (g)	Interest Received During Year (g)
1	None						
2							
3							
4							
5							
6	Totals			0			

**SCHEDULE A-10**  
**Account 126 - Receivables from Associated Companies**

Line No.	Due from Whom (a)	Amount (b)	Interest Rate (c)	Interest Accrued During Year (d)	Interest Received During Year (e)
1	American Water Capital Corporation	-			
2	American Water Works Service Company	24,916			
3	American Water Works Company	27,963			
4	Miscellaneous Intercompany Clearing	10,068,347			
5					
	Total	10,121,226			

**SCHEDULE A-11**  
**Account 132 - Prepayments**

Line No.	Item (a)	Amount (b)
1	Prepaid Taxes	206,346
2	Prepaid Insurance	16,830
3	Prepaid Other	1,757,391
4		
5		
6	Total	1,980,567

**SCHEDULE A-12**  
**Account 133 - Other Current and Accrued Assets**

Line No.	Item (a)	Amount (b)
1	Accrued Utility Revenues	8,590,728
2	Deferred Vacation Pay	653,661
3	Current State Deferred Tax	463,569
4	Current Federal Deferred Tax	1,673,149
5		
6		
	Total	11,381,107

**SCHEDULE A-13**  
**Accounts 140 and 240 - Unamortized Debt Discount and Expense and Unamortized Premium on Debt**

1. Report under separate subheadings for Unamortized Debt Discount and Expense and Unamortized Premium or Debt, particulars of discount and expense of premium applicable to each class and series of long-term debt.
2. Show premium amounts in red or by enclosure in parentheses.
3. In column (b) show the principal amount of bonds or other long-term debt originally issued.
4. In column (c) show the discount and expense or premium with respect to the amount of bonds or other long-term debt originally issued.
5. Furnish particulars regarding the treatment of unamortized debt discount and expense or premium, redemption premiums, and redemption expenses associated with issues redeemed during the year, also, date of the Commission's authorization of treatment other than as specified by the Uniform System of Accounts.
6. Set out separately and identify undisposed amounts applicable to issues which were redeemed in prior years.
7. Explain any debits and credits other than amortization debited to Account 531, Amortization of Debt Discount and Expense, or credited to Account 532, Amortization of Premium on Debt-Credit.

Line No.	Designation of long-term debt (a)	Principal amount of securities to which discount and expense, or premium minus expense, relates (b)	Total discount and expense or net Premium (c)	AMORTIZATION PERIOD		Balance beginning of year (f)	Debits during year (g)	Credits during year (h)	Balance end of year (i)
				From- (d)	To- (e)				
1	Allstate Life Ins - 9.71%	6,000,000	101,992	1/1/2003	6/1/2020	43,432		5,853	37,579
2	Allstate Life Ins-9.22%	10,000,000	104,754	1/1/2003	6/1/2021	47,874		5,686	42,188
3	American United Life Ins-6.76%	10,000,000	50,953	12/1/2002	12/1/2023	26,593		2,436	24,157
4	Salkeid & Co - 6.76%	10,000,000	56,021	12/1/2002	12/1/2033	37,901		1,812	36,089
5	State Life & American United Ins-7.33%	10,000,000	255,110	12/1/2002	5/1/2026	145,778		10,930	134,848
6	American Water Capital Corp - 5.52%	10,000,000	40,691	12/21/2006	12/21/2016	16,277		4,083	12,194
7	American Water Capital Corp - 5.62%	52,300,000	176,610	12/21/2006	12/21/2018	88,305		14,751	73,554
8	American Water Capital Corp - 5.77%	75,700,000	267,484	12/21/2006	12/21/2021	160,491		17,860	142,631
9	American Water Capital Corp- 5.39%	24,000,000	103,332	12/21/2006	12/21/2013	14,762		14,525	237
10	American Water Capital Corp- 10%*	35,000,000	1,179,875	12/1/2013	3/1/2024	1,223,263		51,125	1,172,138
11	American Water Capital Corp- 5.25%	35,000,000	713,069	8/18/2010	8/1/2040	655,628	(700)	23,703	631,225
12	American Water Capital Corp - 3.85%	25,000,000	195,735	11/20/2013	3/1/2024	-	195,735	2,141	193,594
13	Revolver Fee		222,245			168,980	47,438	35,862	180,556
14	* Amortization of 10% series is being amortized over life of 3.85% series 2013 bond issuance used to refinance the 10% series. The total deferred expense of \$1,179,875 represents the unamortized balance at time of refinancing of the original deferred amount of \$1,415,996								
16									
17									
18	<b>Totals</b>	303,000,000	3,467,871			2,629,283	242,473	190,767	2,680,989

**SCHEDULE A-14**  
**Account 141 - Extraordinary Property Losses**

Line No.	Description of Property Loss or Damage (a)	Total Amount of Loss (b)	Previously Written off (c)	WRITTEN OFF DURING YEAR		Balance End of year (f)
				Account Charged (d)	Amount (e)	
1	None					
2						
3						
	Total					0

**SCHEDULE A-15**  
**Account 146 - Other Deferred Debits**

Line No.	Item (a)	Balance End of year (b)
1	See Addendum	207,775,563
2		
3		
4		
5		
6	Total	207,775,563

**SCHEDULE A-16**  
**Account 150 - Discount on Capital Stock**

1. Report the balance at end of year of discount on capital stock for each class and series of capital stock.
2. If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off during the year and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance End of year (b)
1	None	
2		
3	Total	0

**SCHEDULE A-17**  
**Account 151- Capital Stock Expense**

1. Report the balance at end of year of capital stock expense for each class and series of capital stock.
2. If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off of capital stock expense and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance End of year (b)
1	None	
2		
3	Total	0

**California-American Water Company**  
**Addendum to Schedule A-15**  
**12/31/2013**

ACCOUNT NO. 146 - OTHER DEFERRED DEBITS

ITEMS	BALANCE
RWIP - Cost of Removal	2,008,610
Reg Asset-AFUDC-Eq-CWIP	37,349
Reg Asset-AFUDC-Equity	571,755
Reg Asset- Plt Flow	0
Reg Asset-Other	(247,682)
Reg Asset-St Flow Through	0
Reg Asset-Accum Amortization	(350,826)
Programmed Maintance-Tank Painting	5,004,419
Deferred Service Company Pension	0
Deferred OPEB	169,693
Deferred Pension	(2,773,446)
FAS 112 Costs	116,573
Depreciation Study	31,955
Enviromental Remediation Study-NOAA	8,026,852
MPWMD User Fee	730,512
Operational Energy Efficiency Program	318,616
Cease & Desist Order Memo Account	4,307,746
Santa Rosa Groundwater	0
DSIC Surcharges	(291,258)
Low Income Program	2,907,694
Interim Rates	0
Old Monterey Style Water Revenue Adjustment Mechanism (WRAM)	1,789,985
San Clemente Dam	44,403,872
Los Angeles Conservation Charges	0
Engineering Studies	0
Monterey District Conservation Balancing Account	0
WRAM/MCBA	34,178,313
Carmel River Dam	0
Coastal Water Project	16,826,085
Seaside Groundwater Basin	0
Endangered Species Act (ESA)	866,613
Phase 1 ASR Project	0
Patton Well	0
Other Reg Asset	3,363,357
Citizens Acquisition Purchase Premium-Net of Amortization	54,485,247
Preliminary Costs of Financing	28,529
Deferred Rate Proceedings	924,193
Low Income Customer Data	102,712
Sand City Desal Plant Pur Wtr Bal Acct	1,486,307
Reg Asset -Leak Adjustments	1,560,015
Reg Asset - Water Action Plan - Memo Acct	97,876
Other Long Term Asset	7,222,532
Authorized Balancing Account	19,871,365
<b>Total</b>	<b><u><u>207,775,563</u></u></b>

**SCHEDULE A-18**  
**Accounts 200 and 201- Capital Stock**

Line No.	Class of Stock (a)	Number of Shares Authorized by Articles of Incorporation (b)	Par Value of Stock Authorized by Articles of Incorporation (c)	Number of Shares Outstanding <sup>1</sup> (d)	Total Par Value <sup>2</sup> Outstanding End of Year (e)	Dividends Declared During Year	
						Rate (f)	Amount (g)
1	Common	2,000,000	0	619,136	92,477,914		0
2							
3							
4							
5							
6	Totals	2,000,000	0	619,136	92,477,914	0	0

<sup>1</sup> After deduction for amount of reacquired stock held by or for the respondent.

<sup>2</sup> If shares of stock have no par value, show value assigned to stock outstanding.

**SCHEDULE A-18a**  
**Record of Stockholders at End of Year**

Line No.	COMMON STOCK Name (a)	Number Shares (b)	PREFERRED STOCK Name (c)	Number Shares (d)
1	American Water Works Co, Inc	619,136	None	0
2				
3				
4				
5				
6				
7				
8				
9	Total number of shares	619,136	Total number of shares	0

**SCHEDULE A-19**  
**Account 203 - Premiums and Assessments on Capital Stock**

Line No.	Class of Stock (a)	Balance End of Year (b)
1	None	0
2		
3		
4		
5		
6		
7		
8		
9	Total	0



**SCHEDULE A-20**  
**Account 270 - Capital Surplus (For use by Corporations only)**

Line No.	Item (a)	Amount (b)
1	Balance beginning of year	150,750,449
2	CREDITS (Give nature of each credit and state account charged)	
3	Employee Stock Purchase Program	24,834
4	Restricted Stock Units & Options	213,094
5	Equity Infusion	10,000,000
6	Total credits	10,237,928
7	DEBITS (Give nature of each debit and state account credited)	
8	N/A	-
9	Total debits	-
10	Balance end of year	160,988,377

**SCHEDULE A-21**  
**Account 271 - Earned Surplus (For use by Corporations only)**

Line No.	Acct	Account (a)	Amount (b)
1		Balance beginning of year	37,547,079
2		CREDITS	
3	400	Credit balance transferred from income account	26,801,606
4	401	Change in Monterey Wastewater Retained Earnings	1,273,989
5			
6		Total credits	28,075,595
7		DEBITS	
8	410	Debit balance transferred from income account	100,171
9	411	Dividend appropriations-preferred stock	-
10	412	Dividend appropriations-Common stock	20,196,216
11	413	Miscellaneous reservations of surplus	-
12	414	Miscellaneous credits to surplus (detail)	-
13			
14		Total debits	20,296,388
15		Balance end of year	45,326,287

**SCHEDULE A-22**  
**Account 204 - Proprietary Capital**  
**(Sole Proprietor or Partnership)**

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	
2	CREDITS	
3	Net income for year	Schedule
4	Additional investments during year	Not Applicable
5	Other credits (detail)	
6		
7	Total credits	
8	DEBITS	
9	Net loss for year	
10	Withdrawals during year	
11	Other debits (detail)	
12		
13	Total debits	
14	Balance end of year	

**SCHEDULE A-23**  
**Account 205 - Undistributed Profits**  
**of Proprietorship or Partnership**

(The use of this account is optional)

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	
2	CREDITS	
3	Net income for year	Schedule
4	Other credits (detail)	Not Applicable
5		
6	Total credits	
7	DEBITS	
8	Net loss for year	
9	Withdrawals during year	
10	Other debits (detail)	
11		
12		
13	Total debits	
14	Balance end of year	

**SCHEDULE A-24**  
**Account 210 - Bonds**

Line No.	Class of Bond (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding <sup>1</sup> per Balance Sheet (e)	Rate of Interest (f)	Sinking Fund Added in Current Year (g)	Cost of Issuance (h)	Interest Accrued During Year (i)	Interest Paid During Year (j)
1	General Mortgage Bonds	1/1/2003	6/1/2020	6,000,000	6,000,000	9.71%	0	101,992	582,600	582,600
2	General Mortgage Bonds	1/1/2003	6/1/2021	10,000,000	10,000,000	9.22%	0	104,754	922,000	922,000
3	General Mortgage Bonds	12/1/2002	12/1/2023	10,000,000	10,000,000	6.76%	0	50,953	676,000	676,000
4	General Mortgage Bonds	12/1/2002	12/1/2033	10,000,000	10,000,000	6.76%	0	56,021	676,000	676,000
5	General Mortgage Bonds	12/1/2002	5/1/2026	10,000,000	10,000,000	7.33%	0	255,110	733,000	733,000
6	Notes Payable to Affiliate	12/21/2006	12/21/2016	10,000,000	10,000,000	5.52%	0	40,691	552,000	552,000
7	Notes Payable to Affiliate	12/21/2006	12/21/2018	52,300,000	52,300,000	5.62%	0	176,610	2,939,260	2,939,260
8	Notes Payable to Affiliate	12/21/2006	12/21/2021	75,700,000	75,700,000	5.77%	0	267,484	4,367,890	4,367,890
9	Notes Payable to Affiliate	12/21/2006	12/21/2013	24,000,000	*	5.39%	0	103,332	1,261,260	1,293,600
10	Notes Payable to Affiliate**	11/23/2008	12/31/2038	35,000,000	-	10.00%	0	1,415,996	3,218,056	3,500,001
11	Notes Payable to Affiliate	8/18/2010	8/1/2040	35,000,000	35,000,000	5.25%	0	713,069	1,837,500	1,837,500
12	Notes Payable to Affiliate**	11/20/2013	3/1/2024	25,000,000	25,000,000	3.85%	0	1,179,875	106,945	0
13	Water Facility Loan - Garrapata	4/1/2012	2/28/2033		103,476	2.50%	0	0	0	0
14	Debt Discount Related to 3.85% series				(98,645)				1,105	
15										
16										
17										
18	Totals			303,000,000	244,004,831			4,465,887	17,873,616	18,079,851

<sup>1</sup> After deduction for amount of reacquired bonds held by or for the respondent.

**CLASS A WATER COMPANIES  
SAFE DRINKING WATER BOND ACT DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) loan outstanding during the 12 month reporting period. (Use one sheet for each loan.)

1. Current Fiscal Agent:  
 Name: Wells Fargo Corporate Trust Services  
 Address: 333 Market Street, San Francisco CA 94105  
 Phone Number: 415-371-3400  
 Date Hired: September 2010

2. Total surcharge collected from customers during the 12 month reporting period: \$ \$9,180

3. Summary of the trust bank account activities showing:

Balance at beginning of year	\$ *See footnote below
Deposits during the year	\$ <u>0</u>
Withdrawals made for loan payments	\$ <u>0</u>
Other withdrawals from this account	\$ <u>0</u>
Balance at end of year	\$ <u>          </u>

4. Account information:  
 Bank Name: Wells Fargo  
 Account Number: 82295700  
 Date Opened:                                   

5. Plant amounts included in Schedule A-1a, Account No. 101 -- Water Plant in Service which were funded using SDWBA funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		<b>NON-DEPRECIABLE PLANT</b>					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		<b>DEPRECIABLE PLANT</b>					
6	304	Structures	21,303				21,303
7	307	Wells					
8	311	Pumping equipment					
9	317	Other water source plant	16,502				16,502
10	320	Water treatment plant	124,714				124,714
11	330	Reservoirs, tanks and sandpipes	185,070				185,070
12	331	Water mains	67,713				67,713
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service	415,302				415,302

**SCHEDULE A-25**  
**Account 212 - Advances from Associated Companies**

Line No.	Nature of Obligation (a)	Amount of Obligation (b)	Rate of Interest (c)	Interest Accrued During Year (d)	Interest Paid During Year (e)
1					
2	<b>None</b>				
3					
4					
5	Total	0			

**SCHEDULE A-26**  
**Account 213 - Miscellaneous Long Term Debt**

Line No.	Nature of Obligation (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding <sup>1</sup> Per Balance Sheet (e)	Rate of Interest (f)	Interest Accrued During Year (g)	Interest Paid During Year (h)
1								
2	<b>None</b>							
3								
4								
5								
6								
7								
8	Total				0			

<sup>1</sup> After deduction for amount of reacquired debt held by or for the respondent.

**SCHEDULE A-27**  
**Securities Issued or Assumed During Year**

Line No.	Class of Security (a)	Commission Authorization (b)	Amount Issued During Year (omit cents) (c)	Discount or Premium (d)	Expenses (e)
1					
2	<b>None</b>				
3					
4					
5	Total				

**SCHEDULE A-28**  
**Account 220 - Notes Payable**

Line No.	In Favor of (a)	Date of Issue (b)	Date of Maturity (c)	Balance End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest Paid During Year (g)
1	American Water Capital Corporation	None	None	55,908,631	N/A	0	0
2							
3							
4							
5							
6							
7	Total			55,908,631			

**SCHEDULE A-29**  
**Account 223 - Payables to Associated Companies**

Line No.	Nature of Obligation (a)	Balance End of Year (b)	Rate of Interest (c)	Interest Accrued During Year (d)	Interest Paid During Year (e)
1	American Water Works Service Company	613,976	0	0	0
2	American Water Capital Corporation	2,684	0	0	0
3	American Water Resources -Protection Programs	3,797	0	0	0
4			0	0	0
5			0	0	0
6			0	0	0
7	Total	620,457	0	0	0

**SCHEDULE A-30**  
**Account 230 - Other Current and Accrued Liabilities**

Line No.	Description (a)	Balance End of Year (b)
1	Accrued Vacation	653,661
2	Accrued Purchase Water	3,239,231
3	Accrued Purchase Power	541,351
4	Accrued Legal	196,952
5	Accrued Wages	653,483
6	Accrued Insurance and Retro Insurance Adjustment	141,483
7	Accrued Waste Disposal	15,389
8	Accrued Retire Medical	25,000
9	Accrued DCP Contribution	44,808
10	Accrued Bank Fees	24,897
11	Accrued Severance	0
12	Accrued Payroll Withholding & Taxes	110,475
13	Accrued Employee 401K Match	30,422
14	Unclaimed Credits	31,592
15	Unbilled Items	0
16	Collection For Other-Gross Recorded Tax	1,768,634
17	Collection For Other-Municipal Tax	628,020
18	Collection for Others-Customer Wtr Line Protection-AWR	1,526
19	Incentive Plan Cash Annual	1,677,256
20	Accrued Litigation	1,262,500
21	Unclaimed AP Checks	114,339
22	NOAA Short Term	1,100,000
23	Other Current Liability Analyzed	(81,283)
24	Unclaimed Wages	5,749
25	Miscellaneous Deposits Payable	0
26	GRIR Capital Expenditures	1,221,047
27	Collection For Others Miscellaneous	1,527
28	Collection For PUC Surcharges	547,836
29		
30	Total	13,955,895

## SCHEDULE A-31

### Account 228 - Accrued and Prepaid Taxes

1. This schedule is intended to give particulars of the combined prepaid and accrued tax accounts and to show the total taxes charged to operations and other accounts during the year. Do not include gasoline and other sales taxes which have been charged to the accounts to which the material on which the tax was levied was charged.
2. Taxes, paid during the year and charged direct to final accounts, that is, not charged to prepaid or accrued taxes, should be included in the schedule. Enter the amounts both in columns (d) and (e). The balancing of the schedule is not affected by the inclusion of these taxes.
3. Taxes charged during the year, column (d), include taxes charged to operations and other accounts through (a) accruals credited to taxes accrued, (b) amounts credited to prepaid taxes for proportions of prepaid taxes chargeable to current year, and (c) taxes paid and charged direct to operations or accounts other than accrued and prepaid tax accounts.
4. If any tax covers more than 1 year, the required information of all columns should be shown separately for each year.
5. Enter all adjustments of the accrued and prepaid tax accounts in column (f) and explain each adjustment. Designate debit adjustments by parentheses.
6. See schedule entitled "TAXES CHARGED DURING YEAR," page 32, for a distribution of taxes charged, column (d) according to utility departments and accounts.
7. Do not include in this schedule entries with respect to deferred income taxes or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.

Line No.	Kind of Tax (See Instruction 5) (a)	BALANCE BEGINNING OF YEAR		Taxes Charged During Year (d)	Paid During Year (e)	Adjustments (f)	BALANCE END OF YEAR	
		Taxes Accrued (b)	Prepaid Taxes (c)				Taxes Accrued (Account 228) (g)	Prepaid Taxes (Incl. in Acct. 132) (h)
1	Taxes on real and personal property	7,518	-	3,959,239	3,964,520	-	2,237	-
2	Taxes on real and personal property	-	367,445	477,738	345,390	-	-	235,097
3	State corporation franchise tax	108,683	-	175,743	137,162	-	147,263	-
4	State unemployment insurance tax	2,147	-	232,279	233,799	-	626	-
5	Other state and local taxes	69,591	-	537,571	563,278	(219)	43,665	-
6	Federal unemployment insurance tax	-	-	1,512	1,512	-	-	-
7	Fed. ins. contr. act (old age retire.)	143,765	-	2,317,095	2,324,298	-	136,561	-
8	Other federal taxes current deferred	38,579	-	-	-	(67,280)	(28,701)	-
9	Federal income taxes	6,432,499	-	3,558,036	7,190,798	1,204,966	4,004,703	-
10	State Income taxes	418,515	-	(1,601)	-	(416,917)	(3)	-
11	State Income taxes current deferred	10,688	-	-	-	(18,640)	(7,952)	-
12	Deferred Taxes	-	-	14,564,530	-	(14,564,530)	-	-
13								
14								
15	Total	7,231,986	367,445	25,822,142	14,760,758	(13,862,620)	4,298,401	235,097

**SCHEDULE A-32**  
**Account 241 - Advances for Construction**

Line No.	(a)	(b)	Amount (c)
1	Balance beginning of year		16,307,521
2	Additions during year		404,269
3	Subtotal - Beginning balance plus additions during year		16,711,790
4	Charges during year		
5	<b>Refunds</b>		
6	Percentage of revenue basis		
7	Proportionate cost basis	611,261	
8	Present worth basis		
9	Total refunds		611,261
10	<b>Transfers to Acct 265 - Contributions in Aid of Construction</b>		
11	Due to expiration of contracts	167,411	
12	Due to present worth discount		
13	Total transfers to Acct. 265		167,411
14	<b>Securities Exchanged for Contracts (enter detail below)</b>		
15	Subtotal - Charges during year		
16	Balance end of year		15,933,118

If stock, bonds, etc., were issued in exchange for construction advance contracts give details below:

Line No.	Type of Security or Other Consideration (Other than Cash) (a)	Dividend or Interest Rate (b)	PUC Decision Number (c)	Amount Issued (d)
17	Common stock			
18	Preferred stock			
19	Bonds			
20	Other (describe)			
21				
22				



**SCHEDULE A-33**  
**Account 242 - Other Deferred Credits**

Line No.	Item (a)	Balance End of Year (b)
1	See Addendum	78,526,290
2		
3		
4		
5		
	Total	78,526,290

**SCHEDULE A-34**  
**Accounts 254 to 258, Inclusive - Miscellaneous Reserves**

Line No.	Account (a)	Balance Beginning of Year (b)	DEBITS		CREDITS		Balance End of Year (g)
			Nature of Items (c)	Amount (d)	Account Charged (e)	Amount (f)	
1	254	932,230	Reserve Bad Debt	527,731		623,659	1,028,158
2	255	-					-
3	256	-					-
4	257	-					-
5	258	898,468	Misc Operating Reserve	1,071,250		172,782	-
6	258	2,151,682	FIN 48 Reserve State & Federal	2,151,682		-	-
7	Total	3,982,380		3,750,663		796,441	1,028,158

**California-American Water Company**  
**Addendum to Schedule A-33**

ACCOUNT NO. 242 - OTHER DEFERRED CREDITS

ITEMS	BALANCE
Def FIT-Other	(61,626,033)
Def SIT-Other	(10,653,607)
Unamortized ITC - 10%	(533,870)
Unamortized ITC - 6%	(152,923)
Reg Liab-Exc Def FIT	(146,253)
Reg Liab-Deficit Def FIT	29,205
Reg Liab-Exc Def Depr SIT	(3,988)
Reg Liab-ITC Gross-Up 10%	(265,986)
Reg Liab-ITC Gross-Up 6%	(75,312)
Conservation Surcharge	(427,783)
MTBE Settlement	(4,154,127)
Deferred Revenue	0
DCA-Accr Pension Def Benefit	5,471,086
DCA-Accr OPEB	(407,985)
DCA-Accr OPEB Med Subsidy	(436,671)
MPWMD Surcharge	(753,292)
DCA-FAS 112 Costs	(116,573)
Accr Div Equivalents	(27,963)
DCA-Def Rev CIAC	0
DCA-Def Rev CIAC FIT	(653,597)
DCA-Def Rev CIAC SIT	(2,821)
DCA-Tax Gross-Up AIC	(167,434)
DCA-Tax Gross-Up AIC FIT/SIT	(782,028)
DCA-Tax Gross-Up AIC SIT	(5,400)
Reg Liab-Affiliate Transfer Fee	(9,002)
CA-LT NOAA Settlement	(2,200,000)
DCN-Adv Pay & Dep Othe	(423,933)
Total Other Deferred Credits	(78,526,290)

**SCHEDULE A-35**  
**Account 265 - Contributions in Aid of Construction**

Instructions for Preparation of Schedule of Contributions in Aid of Construction:

<sup>1</sup> The credit balance in the account other than that portion of the balance relating to nondepreciable property (column d), to property retired prior to January 1, 1955 (column e), and to the estimated amount of depreciation accrued to January 1, 1955 (column f), shall be written off through charges to this account and credits to Account 250 - Reserve for Depreciation of Utility Plant over a period equal to the estimated service life of the property involved. Upon retirement of depreciable property for which a depreciation reserve has been created through charges to this account, the cost thereof shall be credited to the appropriate plant account and concurrently charged to Account 250. If the property is retired prior to the service life originally estimated, the balance in this account with respect to the retired property shall be transferred to the depreciation reserve account.

<sup>2</sup> That portion of the balance applicable to nondepreciable property shall remain unchanged until the property is sold or otherwise retired. Upon retirement, the cost of such nondepreciable property acquired by donation or through use of donated funds shall be credited to the appropriate plant account and charged to this account to clear the credit balance carried herein.

<sup>3</sup> That portion of the balance representing donations on property retired prior to January 1, 1955 (column e), and the amount of depreciation accrued to January 1, 1955, on property in service (column f), shall not be transferred from this account or otherwise disposed of without first receiving written authorization from the Commission.

Line No.	Item (a)	Total All Columns (b)	Subject to Amortization		Not Subject to Amortization	
			Property in Service After Dec. 31, 1954		Property Retired Before Jan. 1, 1955 (e)	Depreciation Accrued through Dec. 31, 1954 on Property in Service at Dec. 31, 1954 (f)
			Depreciable (c)	Non-Depreciable (d)		
1	Balance beginning of year	58,199,527	57,964,264	235,263		
2	Add: Credits to account during year					
3	Contributions received during year	206,700	206,700			
4	Other credits*	0	0			
5	Total credits	206,700	206,700			
6	Deduct: Debits to account during year					
7	Depreciation charges for year	0				
8	Nondepreciable donated property retired	0				
9	Other debits*	0				
10	Total debits	0	0			
11	Balance end of year	58,406,227	58,170,964	235,263		

\*Indicate nature of these items and show the accounts affected by the contra entries.

**SCHEDULE B-1**  
**Account 501 - Operating Revenues**

Line No.	Acct.	ACCOUNT (a)	Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Brackets) (d)
1		<b>I. WATER SERVICE REVENUES</b>			
2	601	Metered sales to general customers			
3		601.1 Commercial sales	161,253,963	148,320,263	12,933,700
4		601.2 Industrial sales	4,232,959	3,763,841	469,118
5		601.3 Sales to public authorities	14,272,478	11,499,584	2,772,894
6		Sub-total	179,759,400	163,583,688	16,175,712
7	602	Unmetered sales to general customers			
8		602.1 Commercial sales	556,586	9,542,921	(8,986,336)
9		602.2 Industrial sales	-	-	-
10		602.3 Sales to public authorities	-	-	-
11		Sub-total	556,586	9,542,921	(8,986,336)
12	603	Sales to irrigation customers			
13		603.1 Metered sales	-	-	-
14		603.2 Unmetered sales	-	-	-
15		Sub-total	-	-	-
16	604	Private fire protection service	2,997,354	2,524,348	473,006
17	605	Public fire protection service	(11,145)	(33,005)	21,860
18	606	Sales to other water utilities for resale	5,757	8,127	(2,371)
19	607	Sales to governmental agencies by contracts	-	-	-
20	608	Interdepartmental sales	-	-	-
21	609	Other sales or service	748,483	648,615	99,868
22		Sub-total	3,740,448	3,148,085	592,363
23		Total water service revenues	184,056,434	176,274,694	7,781,739
24		<b>II. OTHER WATER REVENUES</b>			
25	611	Miscellaneous service revenues	2,661,778	2,065,467	596,311
26	612	Rent from water property	107,712	215,081	(107,369)
27	613	Interdepartmental rents	-	-	-
28	614	Other water revenues	16,887,008	13,551,516	3,335,492
29		Total other water revenues	19,656,497	15,832,063	3,824,434
30	501	Total operating revenues	203,712,931	192,106,758	11,606,173

**SCHEDULE B-1a**  
**Operating Revenues Apportioned to Cities and Towns**

Line No.	Location (a)	Operating Revenues (b)
31	Operations not within incorporated cities <sup>1</sup>	None
32		
33		
34		
35	Operations within incorporated territory	None
36	City or town of	
37	City or town of	
38	City or town of	
39	City or town of	
40	City or town of	
41		
42		
43		
44		

<sup>1</sup> Should be segregated to operating districts.

## SCHEDULE B-2

### Account 502 - Operating Expenses - For Class A, B, and C Water Utilities

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
1		<b>I. SOURCE OF SUPPLY EXPENSE</b>						
2		<b>Operation</b>						
3	701	Operation supervision and engineering	A	B	-	245	(245)	
4	701	Operation supervision, labor and expenses			C	-	-	
5	702	Operation labor and expenses	A	B	262,622	217,995	44,626	
6	703	Miscellaneous expenses	A		1,167,162	833,056	334,107	
7	704	Purchased water	A	B	C	39,128,469	39,094,162	34,307
8		<b>Maintenance</b>						
9	706	Maintenance supervision and engineering	A	B	-	28,931	(28,931)	
10	706	Maintenance of structures and facilities			C	-	-	
11	707	Maintenance of structures and improvements	A	B	50	-	50	
12	708	Maintenance of collect and impound reservoirs	A		34,490	45,590	(11,099)	
13	708	Maintenance of source of supply facilities		B	-	-	-	
14	709	Maintenance of lake, river and other intakes	A		-	-	-	
15	710	Maintenance of springs and tunnels	A		-	-	-	
16	711	Maintenance of wells	A		10,518	3,923	6,595	
17	712	Maintenance of supply mains	A		-	-	-	
18	713	Maintenance of other source of supply plant	A	B	340,178	1,043,270	(703,092)	
19		Total source of supply expense			40,943,489	41,267,171	(323,682)	
20		<b>II. PUMPING EXPENSES</b>						
21		<b>Operation</b>						
22	721	Operation supervision and engineering	A	B	-	4,913	(4,913)	
23	721	Operation supervision labor and expense			C	-	-	
24	722	Power production labor and expense	A		-	-	-	
25	722	Power production labor, expenses and fuel		B	-	-	-	
26	723	Fuel for power production	A		-	-	-	
27	724	Pumping labor and expenses	A	B	990,583	1,063,242	(72,659)	
28	725	Miscellaneous expenses	A		(42,398)	157,430	(199,828)	
29	726	Fuel or power purchased for pumping	A	B	C	6,669,609	5,922,501	747,108
30		<b>Maintenance</b>						
31	729	Maintenance supervision and engineering	A	B	63,057	48,375	14,682	
32	729	Maintenance of structures and equipment			C	-	-	
33	730	Maintenance of structures and improvements	A	B	20,976	63,601	(42,625)	
34	731	Maintenance of power production equipment	A	B	81,980	90,331	(8,351)	
35	732	Maintenance of pumping equipment	A	B	-	-	-	
36	733	Maintenance of other pumping plant	A	B	351,070	778,111	(427,041)	
37		Total pumping expenses			8,134,876	8,128,505	6,371	

## SCHEDULE B-2

### Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
38		<b>III. WATER TREATMENT EXPENSES</b>						
39		<b>Operation</b>						
40	741	Operation supervision and engineering	A	B	-	1,733	(1,733)	
41	741	Operation supervision, labor and expenses			C	-	-	
42	742	Operation labor and expenses	A			1,520,639	1,506,820	13,819
43	743	Miscellaneous expenses	A	B		1,389,319	981,457	407,863
44	744	Chemicals and filtering materials	A	B		899,236	827,547	71,690
45		<b>Maintenance</b>						
46	746	Maintenance supervision and engineering	A	B		82,308	-	82,308
47	746	Maintenance of structures and equipment			C	-	-	-
48	747	Maintenance of structures and improvements	A	B		7,046	3,791	3,256
49	748	Maintenance of water treatment equipment	A	B		467,779	731,193	(263,413)
50		Total water treatment expenses				4,366,328	4,052,539	313,789
51		<b>IV. TRANS. AND DIST. EXPENSES</b>						
52		<b>Operation</b>						
53	751	Operation supervision and engineering	A	B		1,398,172	1,399,980	(1,808)
54	751	Operation supervision, labor and expenses			C	-	-	-
55	752	Storage facilities expenses	A			44,706	32,475	12,231
56	752	Operation labor and expenses		B		-	-	-
57	753	Transmission and distribution lines expenses	A			11,383	11,170	213
58	754	Meter expenses	A			126,147	205,156	(79,010)
59	755	Customer installations expenses	A			132,441	430,116	(297,675)
60	756	Miscellaneous expenses	A			1,490,768	1,274,680	216,088
61		<b>Maintenance</b>						
62	758	Maintenance supervision and engineering	A	B		32,838	28,055	4,784
63	758	Maintenance of structures and plant			C	-	-	-
64	759	Maintenance of structures and improvements	A	B		(697)	83,072	(83,769)
65	760	Maintenance of reservoirs and tanks	A	B		20,537	15,238	5,299
66	761	Maintenance of trans. and distribution mains	A			744,156	1,222,308	(478,152)
67	761	Maintenance of mains		B		-	-	-
68	762	Maintenance of fire mains	A			353	-	353
69	763	Maintenance of services	A			1,101,577	1,390,508	(288,931)
70	763	Maintenance of other trans. and distribution plant		B		-	-	-
71	764	Maintenance of meters	A			169,325	206,736	(37,411)
72	765	Maintenance of hydrants	A			44,933	55,737	(10,805)
73	766	Maintenance of miscellaneous plant	A			2,633,700	2,027,974	605,727
74		Total transmission and distribution expenses				7,950,339	8,383,205	(432,866)

## SCHEDULE B-2

### Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
75		<b>V. CUSTOMER ACCOUNT EXPENSES</b>						
76		<b>Operation</b>						
77	771	Supervision	A	B		9,781	18,790	(9,009)
78	771	Superv., meter read., other customer acct expenses			C	-	-	-
79	772	Meter reading expenses	A	B		1,113,192	865,481	247,711
80	773	Customer records and collection expenses	A			812,529	639,681	172,848
81	773	Customer records and accounts expenses		B		-	-	-
82	774	Miscellaneous customer accounts expenses	A			53,530	36,236	17,294
83	775	Uncollectible accounts	A	B	C	-	-	-
84		Total customer account expenses				1,989,032	1,560,188	428,844
85		<b>VI. SALES EXPENSES</b>						
86		<b>Operation</b>						
87	781	Supervision	A	B		-	-	-
88	781	Sales expenses			C	-	-	-
89	782	Demonstrating and selling expenses	A			-	-	-
90	783	Advertising expenses	A			-	-	-
91	784	Miscellaneous sales expenses	A			-	-	-
92	785	Merchandising, jobbing and contract work	A			-	-	-
93		Total sales expenses				-	-	-
94		<b>VII. ADMIN. AND GENERAL EXPENSES</b>						
95		<b>Operation</b>						
96	791	Administrative and general salaries	A	B	C	5,120,176	4,634,242	485,934
97	792	Office supplies and other expenses	A	B	C	112,133	93,476	18,657
98	793	Property insurance	A			5,985	5,333	652
99	793	Property insurance, injuries and damages		B	C	-	-	-
100	794	Injuries and damages	A			197,347	91,178	106,169
101	795	Employees' pensions and benefits	A	B	C	5,116,601	4,496,230	620,372
102	796	Franchise requirements	A	B	C	-	-	-
103	797	Regulatory commission expenses	A	B	C	2,671,939	2,814,081	(142,142)
104	798	Outside services employed	A			765,588	753,412	12,176
105	798	Miscellaneous other general expenses		B		-	-	-
106	798	Miscellaneous other general operation expenses			C	-	-	-
107	799	Miscellaneous general expenses	A			4,071,174	1,918,907	2,152,267
108		<b>Maintenance</b>						
109	805	Maintenance of general plant	A	B	C	135,651	73,347	62,304
110		Total administrative and general expenses				18,196,595	14,880,207	3,316,389
111		<b>VIII. MISCELLANEOUS</b>						
112	811	Rents	A	B	C	935,804	876,247	59,557
113	812	Administrative expenses transferred - Cr.	A	B	C	31,735,459	30,761,238	974,221
114	813	Duplicate charges - Cr.	A	B	C	-	-	-
115		Total miscellaneous				32,671,263	31,637,485	1,033,779
116		Total operating expenses				114,251,923	109,909,300	4,342,623

**SCHEDULE B-3**  
**Account 506 - Property Losses Chargeable to Operations**

Line No.	Description (a)	Amount
1	Property Loss on Chargeable Operations	-
2		
3		
4		
5		
6		
7		
8	Total	0

**SCHEDULE B-4**  
**Account 507 - Taxes Charged During Year**

1. This schedule is intended to give the account distribution of total taxes charged to operations and other final accounts during the year.
2. Do not include gasoline and other sales taxes which have been charged to accounts to which the material on which the tax was levied was charged. If the actual or estimated amounts of such taxes are known, they should be shown as a footnote and designated whether estimated or actual amounts.
3. The accounts to which taxes charged were distributed should be shown in columns (c) to (f). Show both the utility department and number of account charged except for taxes capitalized.
4. For any tax which it was necessary to apportion to more than one utility department or account, state in a footnote the basis of apportioning such tax.
5. The total taxes charged as shown in column (b) should agree with the amounts shown by column (d) of schedule entitled "Accrued and Prepaid Taxes," page 24.
6. Do not include in this schedule entries with respect to deferred income taxes, or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.

Line No.		Total Taxes Charged During Year (b)	DISTRIBUTION OF TAXES CHARGED (Show utility department where applicable and account charged)			
			Water (Account 507) (c)	Nonutility (Account 521) (d)	Other (Account ----) (e)	Capitalized (Omit Account) (f)
1	Taxes on real and personal property	4,436,977	4,261,600		175,377	
2	State corp. franchise tax	175,743	210,711		(34,968)	
3	State unemployment insurance tax	232,279	99,355			132,923
4	Other state and local taxes	537,571	54,964		482,607	
5	Federal unemployment insurance tax	1,512	9,754		-	(8,243)
6	Federal insurance contributions act	2,317,095	1,364,035			953,060
7	Other federal taxes	-	-			
8	Federal income tax	3,558,035	3,976,123		(418,088)	
9	State income tax	(1,601)	114,236		(115,837)	
10	Deferred	14,564,531	14,564,531			
11						
12						
13						
14	Totals	25,822,141	24,655,310	-	89,091	1,077,740



## SCHEDULE B-5

### Reconciliation of Reported Net Income With Taxable Income for Federal Taxes

1. Report hereunder a reconciliation of reported net income for the year with taxable income used in computing Federal income tax accruals and show computation of such tax accruals. The reconciliation shall be submitted even though there is no taxable income for the year. Descriptions should clearly indicate the nature of each reconciling amount.
  
2. If the utility is a member of a group which files a consolidated Federal tax return, reconcile reported net income with taxable net income as if a separate return were to be filed, indicating, however, inter-company amounts to be eliminated in such consolidated return. State names of group members, tax assigned to each group member, and basis of allocation, assignment, or sharing of the consolidated tax among the group members.
  
3. Show taxable year if other than calendar year from-----to-----.

Line No.	Particulars (a)	Amount (b)
1	Net income for the year per Schedule B, page 15 .....	
2	Reconciling amounts (list first additional income and unallowable deductions, followed by additional	
3	deductions for non-taxable income):	
4		
5		
6	<b>Federal Tax Return not yet Completed-See Attached Form 7004</b>	
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		
26		
27		
28		
29		
30	Federal tax net income .....	
31	Computation of tax:	
32		
33		
34		
35	Tax per return	

**Application for Automatic Extension of Time To File Certain Business Income Tax, Information, and Other Returns**

OMB No. 1545-0233

File a separate application for each return.  
 Information about Form 7004 and its separate instructions is at [www.irs.gov/form7004](http://www.irs.gov/form7004).

Name: **AMERICAN WATER WORKS COMPANY, INC & SUBS** Identifying number: **51-0063696**  
 Number, street, and room or suite no. (If P.O. box, see instructions.)  
 PO BOX 5600, ATTN: INCOME TAX  
 City, town, state, and ZIP code (If a foreign address, enter city, province or state, and country (follow the country's practice for entering postal code)).  
 CHERRY HILL, NJ 08034

**Note.** File request for extension by the due date of the return for which the extension is granted. See instructions before completing this form.

**Part I Automatic 5-Month Extension**

1a Enter the form code for the return that this application is for (see below).

Application Is For:	Form Code	Application Is For:	Form Code
Form 1065	09	Form 1041 (estate other than a bankruptcy estate)	04
Form 8804	31	Form 1041 (trust)	05

**Part II Automatic 6-Month Extension**

b Enter the form code for the return that this application is for (see below).

Application Is For:	Form Code	Application Is For:	Form Code
Form 706-GS(D)	01	Form 1120-ND (section 4951 taxes)	20
Form 706-GS(T)	02	Form 1120-PC	21
Form 1041 (bankruptcy estate only)	03	Form 1120-POL	22
Form 1041-N	06	Form 1120-REIT	23
Form 1041-QFT	07	Form 1120-RIC	24
Form 1042	08	Form 1120S	25
Form 1065-B	10	Form 1120-SF	26
Form 1066	11	Form 3520-A	27
Form 1120	12	Form 8612	28
Form 1120-C	34	Form 8613	29
Form 1120-F	15	Form 8725	30
Form 1120-FSC	16	Form 8831	32
Form 1120-H	17	Form 8876	33
Form 1120-L	18	Form 8924	35
Form 1120-ND	19	Form 8928	36

- 2 If the organization is a foreign corporation that does not have an office or place of business in the United States, check here
- 3 If the organization is a corporation and is the common parent of a group that intends to file a consolidated return, check here   
 If checked, attach a statement, listing the name, address, and Employer Identification Number (EIN) for each member covered by this application.

**Part III All Filers Must Complete This Part**

4 If the organization is a corporation or partnership that qualifies under Regulations section 1.6081-5, check here

5a The application is for calendar year 2013, or tax year beginning 2013, and ending 2013

b Short tax year. If this tax year is less than 12 months, check the reason:  Initial return  Final return  
 Change in accounting period  Consolidated return to be filed  Other (see instructions-attach explanation)

6 Tentative total tax	6	NONE
7 Total payments and credits (see instructions)	7	NONE
8 Balance due. Subtract line 7 from line 6 (see instructions)	8	NONE

For Privacy Act and Paperwork Reduction Act Notice, see separate instructions.

Form 7004 (Rev. 12-2012)

**COMPANIES TO BE INCLUDED IN THE CONSOLIDATED FEDERAL INCOME TAX RETURN  
OF AMERICAN WATER WORKS COMPANY, INC. AND AFFILIATED SUBSIDIARIES**

**YEAR - 01/01/13-12/31/13**

<u>COMPANY</u>	Employer Identification Number
1 AAET, Inc.	22-3259128
2 American Lake Water Company	06-1396121
3 American Water (USA), Inc.,	98-0165920
4 American Water Capital Corp	22-3732448
5 American Water Engineering, Inc	76-0654501
6 American Water Enterprises Holding, Inc.,	76-0605357
7 American Water Enterprises, Inc.	22-3169459
8 American Water Industrial Operations, Inc.,	74-2177717
9 American Water Industrials, Inc.,	76-0656917
10 American Water Operations and Maintenance, Inc	98-0165919
11 American Water Resources Holdings, Inc.	27-5107201
12 American Water Resources of Florida	45-5262240
13 American Water Resources of Texas, Inc.	27-5107457
14 American Water Resources, Inc.,	54-0912221
15 American Water Services CDM, Inc.,	91-1745331
16 American Water Works Company, Inc.,	51-0063696
17 American Water Works Service Company, Inc	23-1340234
18 AW Contract Services (USA), Inc	45-4445120
19 AW Contract Services Holding, Inc.	45-4445250
20 AW Technologies Incorporated	45-3808303
21 Bluefield Valley Water Works Company	66-6022466
22 California-American Water Company	51-0104148
23 Dale Service Corporation	54-0797839
24 Edison Water Company	22-3519296
25 Environmental Management Corporation	43-1205270
26 E'Town Properties Inc.,	22-2817018
27 Hawaii-American Water Company	99-0108667
28 Illinois-American Water Company	51-0105894
29 Indiana-American Water Company, Inc.	35-0936102
30 Iowa-American Water Company	42-0735216
31 Kentucky-American Water Company	61-0485002
32 Laurel Oak Properties Corporation	20-1022964
33 Liberty Water Company	22-3596293
34 Maryland-American Water Company	52-0265025
35 Michigan-American Water Company	38-1657784
36 Missouri-American Water Company	44-0578460
37 Mobile Residuals Management (USA), Inc.	98-0183794
38 New Jersey-American Water Company, Inc	22-1546642
39 New York American Water Company	11-1516966
40 Pennsylvania-American Water Company, Inc.	25-1008096
41 Philip Automated Management Controls, Inc.	98-0165914
42 Tennessee-American Water Company	62-0529095
43 TWNA, Inc.	06-1548192
44 Virginia-American Water Company	54-0119650
45 West Virginia-American Water Company	55-0307487

**Mailing address for all above companies is:**

**PO Box 5600**

**ATTN: Income Tax Department**

**131 Woodcrest Road**

**Cherry Hill, NJ 08003**

Statement 1

**SCHEDULE B-6**  
**Account 521 - Income from Nonutility Operations**

Line No.	Description (a)	Revenue (b)	Expenses (c)	Net Income (d)
1	Income from NonUtility Operations			200,324
2				
3				
4				
5	Totals			200,324

**Account 526 - Miscellaneous Nonoperating Revenue**

Line No.	Description (a)	Amount (b)
1	Miscellaneous NonOperating Revenues	641,171
2		
3		
4		
5		
6		
7		
8	Totals	641,171

**SCHEDULE B-8**  
**Account 527 - Nonoperating Revenue Deductions (Dr.)**

Line No.	Description (a)	Amount (b)
1	NonOperating Revenues Deduction	(1,471,805)
2		
3		
4		
5	Total	(1,471,805)

**SCHEDULE B-9**  
**Account 535 - Other Interest Charges**

Line No.	Description (a)	Amount (b)
1	Various Interest Charges	100,271
2		
3		
4	Total	100,271

**SCHEDULE B-10**  
**Account 538 - Miscellaneous Income Deductions**

Line No.	Description (a)	Amount (b)
1	Various Miscellaneous Income Deductions	1,047,015
2		
3		
4		
5	Total	1,047,015

**SCHEDULE C-1**  
**Engineering and Management Fees and Expenses, etc., During Year**

Line No.	Give the required particulars of all contracts or other agreements in effect in the course of the year between the respondent and any corporation, association, partnership or person covering supervision and/or management of any department of the respondents affairs such as accounting, engineering, financing, construction or operation, and show the payments under such agreements and also the payments for advice and services to a corporation or corporations which directly or indirectly control respondent through stock ownership	
1	Did the respondent have a contract or other agreement with any organization or person covering supervision and/or management of its own affairs during the year?	
	<i>Answer</i> (Yes or No) <b>Yes</b>	
2	Name of each organization or person that was a party to such a contract or agreement	
	<b>American Water Works Service Company</b>	
3	Date of original contract or agreement.	<b>January 1, 1971</b>
4	Date of each supplement or agreement.	<b>September 1, 1989</b>
	Note: File with the report a copy of every contract, agreement, supplement or amendment mentioned above unless a copy of the instrument in due form has been furnished in which case a definite reference to the report of the respondent relative to which it was furnished will suffice.	
5	Amount of compensation paid during the year for supervision or management	Not Applicable
6	To whom paid	Not Applicable
7	Nature of payment (salary, traveling expenses, etc.)	Not Applicable
8	Amounts paid for each class of service	Not Applicable
9	Base for determination of such amounts	Not Applicable
10	Distribution of payments:	
	(a) Charged to operating expenses	12,346,567
	(b) Charged to capital accounts	4,030,644
	(c) Charged to other accounts	-
	Total	16,377,211
11	Distribution of charges to operating expenses by primary accounts.	
	Number and Title of Account	
	<b>Account 798 Outside Services</b>	12,346,567
	<b>Account 799 Miscellaneous Expense</b>	
	Total	12,346,567
12	What relationship, if any, exists between respondent and supervisory and/or managing concerns? <b>American Water Service Co. provides operational functions for all American Water Works subsidiaries including California American Water</b>	

## SCHEDULE C-2 Compensation of Individual Proprietor or Partner

Do operating expenses include any charge for owner's compensation in the form of salary or wages, rental allowances, or for the use of a private automobile? (If the word "none" truly states the fact it should be given as the answer to this inquiry.)

Line No.	Nature of Allowance (a)	Account Charged (b)	Amount (c)
1	N/A		
2			
3			
4			
5			
6	Total		

## SCHEDULE C-3 Employees and Their Compensation (Charged to Account 502 - Operating Expenses - Schedule B-2)

Line No.	Classification (a)	Number at End of Year (b)	Total Salaries and Wages Paid During Year (c)
1	Employees - Source of supply		\$ 304,953
2	Employees - Pumping		\$ 1,137,995
3	Employees - Water treatment		\$ 1,919,411
4	Employees - Transmission and distribution		\$ 3,361,180
5	Employees - Customer account		\$ 1,938,125
6	Employees - Sales		
7	Employees - Administrative		\$ 10,585,113
8	General officers		
9	General office		
10	Totals	268	\$ 19,246,777

## SCHEDULE C-4 Record of Accidents During Year

Line No.	Date of Accident (a)	TO PERSONS					TO PROPERTY			
		Employees on Duty		Public <sup>1</sup>		Total Number (f)	Company		Other	
		Killed (b)	Injured (c)	Killed (d)	Injured (e)		Number (g)	Amount (h)	Number (i)	Amount (j)
1	2013	0	12	0	0	12	0	\$ -	100	424,064
2										
3										
4	Totals	0	12	0	0	12	0	0	100	424,064

<sup>1</sup> Accidents to employees not on duty should be included in "Public" accidents

## SCHEDULE C-5 Expenditures for Political Purposes

Hereunder report all direct or indirect expenditures incurred or made to elect or defeat a candidate for public office; or to place any measure on the ballot; at any election, or to keep it from being placed on the ballot at any election, or to support or defeat any measure on the ballot; at an election, or to support or defeat any proposed legislation, also name the account or accounts to which the expenditures herein mentioned have been charged. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1	Lobbying Expenses	207,924
2	Political Contributions	0
3		
4		
5	<b>Total</b>	<b>207,924</b>

## SCHEDULE C-6 Loans to Directors, or Officers, or Shareholders

Show hereunder the amount of loans to directors, or officers, or shareholders and the terms and conditions of such loans. Among other things, show the name of each director, or officer, or shareholder, receiving a loan or loans, the amount of each loan, the rate of interest payable on such loan or loans, the maturity date of each loan or loans, the security given and the date when the shareholders authorized each loan. If such authorization was given. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1	NONE
2	
3	
4	
5	
6	

## SCHEDULE C-7 Bonuses Paid to Executives & Officers

Show hereunder the amount of bonuses paid to Executives and Officers, both cash and non-cash. List by the name of each Executive or Officer receiving the bonus, the dollar value of that bonus, and a description of the bonus if it is non-cash.

1	Robert MacLean	\$100,539
2	Jeffrey Linam	54,489
3	Edward Simon	51,952
4	Kevin Tilden	51,410
5	Lillian Wilkirson	30,967
6	Richard Svindland	53,800
7	Anthony Cerasuolo	62,138
8	Sarah Leeper Egli	67,774
9	Lori Girard	31,382
10	Timothy Miller	34,614
11	Dave Stephenson	46,609
12	Andrew Homer	0
13		
14		
15		
16		
17		
18		

**SCHEDULE D-1**  
**Sources of Supply and Water Developed**

STREAMS				FLOW IN .....(unit) <sup>2</sup>				Annual Quantities Diverted .....(Unit) <sup>2</sup>	Remarks
Line No.	Diverted into*	From Stream or Creek (Name)	Location of Diversion Point	Priority Right		Diversions			
				Claim	Capacity	Max.	Min.		
1									
2									
3	See each district's support schedule								
4									
5									
WELLS							Annual Quantities Pumped .....(Unit) <sup>2</sup>	Remarks	
Line No.	At Plant (Name or Number)	Location	Number	Dimensions	<sup>1</sup> Depth to Water	Pumping Capacity .....(Unit) <sup>2</sup>			
6									
7									
8	See each district's support schedule								
9									
10									
TUNNELS AND SPRINGS				FLOW IN .....(Unit) <sup>2</sup>			Annual Quantities Used .....(Unit) <sup>2</sup>	Remarks	
Line No.	Designation	Location	Number	Maximum	Minimum				
11									
12									
13	See each district's support schedule								
14									
15									
Purchased Water for Resale									
16	Purchased from								
17	Annual quantities purchased		10,682,263	(Unit chosen) <sup>2</sup>			1,000 gallons		
18									
19									

\* State ditch, pipe line, reservoir, etc., with name, if any.

<sup>1</sup> Average depth to water surface below ground surface

<sup>2</sup> The quantity unit in established use for expressing water stored and used in large amounts is the acre foot, which equals 42,560 cubic feet; in domestic use the thousand gallon or the hundred cubic feet. The rate of flow or discharge in larger amounts is expressed in cubic feet per second, in gallons per minute, in gallons per day, or in the minor's inch. Please be careful to state the unit used.

**SCHEDULE D-2**  
**Description of Storage Facilities**

Line No.	Type	Number	Combined Capacity (Gallons or Acre Feet)	Remarks
1	A. Collecting reservoirs			
2	Concrete	1	44,641,587	Gallons
3	Earth	1	578,222,600	Gallons
4	Wood	-	-	
5	B. Distribution reservoirs			
6	Concrete	22	42,360,000	Gallons
7	Earth	3	3,440,500	Gallons
8	Wood			
9	C. Tanks			
10	Concrete	31	21,787,350	Gallons
11	Metal	5	3,795,000	Gallons
12	Wood	2	26,000	Gallons
13	Steel	115	47,798,604	Gallons
14	Totals	180	742,071,641	Gallons



**SCHEDULE D-3**  
**Description of Transmission and Distribution Facilities**

**A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES**  
Capacities in Cubic Feet Per Second or Miner's Inches (State Which) \_\_\_\_\_

Line No.		0 to 5	6 to 10	11 to 20	21 to 30	31 to 40	41 to 50	51 to 75	76 to 100
1	Ditch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	Flume	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	Lined conduit	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
4									
5	Total								

**A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES - Concluded**  
Capacities in Cubic Feet Per Second or Miner's Inches (State Which) \_\_\_\_\_

Line No.		101 to 200	201 to 300	301 to 400	401 to 500	501 to 750	751 to 1000	Over 1000	Total All Lengths
6	Ditch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
7	Flume	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
8	Lined conduit	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
9									
10	Total								

**B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING**

Line No.		1	1 1/2	2	2 1/2	3	4	5	6	8
11	Cast iron	-	-	47,452	176	9,935	428,467	-	657,977	240,250
12	Cast iron (cement lined)	-	-	-	-	-	18,475	-	20,802	4,879
13	Cement - asbestos	42	-	5,012	-	1,528	272,813	2,137	1,673,859	1,722,658
14	Concrete	-	-	-	-	-	41	-	20	954
15	Copper	3,139	4,174	3,402	-	-	-	-	-	-
16	PVC	-	-	23,671	5,208	5,486	34,538	-	318,813	1,240,587
17	Riveted steel	-	-	-	-	-	-	-	-	7,189
18	Steel	647	-	6,986	-	278	18,423	-	17,134	5,826
19	Standard screw	-	-	-	-	-	-	-	310	-
20	Welded steel	-	-	1,681	-	143	186,685	197	238,638	177,179
21	Wood	-	-	1,263	-	869	-	-	392	113
22	Other (Steel Cement Lined)	-	-	-	-	-	-	-	-	-
23	Other (Brick Arch)	-	-	-	-	-	-	-	-	-
24	Other (Ductile Iron)	-	-	2,234	-	-	1,915	-	9,351	28,820
25	Other (Galvanized Pipe)	-	-	42,800	1,666	-	404	-	-	182
26	Other (C900/DIP)	-	-	-	-	-	492	-	3,314	36,318
27	Other (unknown)	-	-	22,819	-	1,000	45,809	64,531	-	45,775
28	Other (stainless steel)	-	-	-	-	-	-	-	60	-
29	Other (CLWS)	-	-	-	-	-	-	-	6,293	14,169
30	Other (CLCC)	-	-	-	-	-	-	-	1,950	4,872
31	Total	3,828	4,174	157,320	7,050	19,239	1,008,062	66,865	2,948,913	3,529,771

**B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING - Concluded**

Line No.		10	12	14	16	17 - 18	Other Sizes (Specify Sizes)				Total All Sizes
							unknown	20	22-24	30-36	
32	Cast iron	31,742	118,523	560	22,793	2,316	-	993	-	1,205	1,562,389
33	Cast iron (cement lined)	999	5,386	-	52,038	-	-	-	-	-	102,579
34	Cement - asbestos	614,952	308,456	25,683	76,202	4,953	-	3,509	402	-	4,710,206
35	Concrete	1,261	848	2,050	-	295	-	2,215	-	-	7,684
36	Copper	-	-	-	-	-	-	-	-	-	10,715
37	PVC	130,739	358,902	133	25,843	664	-	8,043	15	3,853	2,156,495
38	Riveted steel	3,829	12,616	478	-	5,258	-	300	-	-	29,670
39	Steel	13,134	27,340	1,973	1,063	667	-	-	1,093	-	94,564
40	Standard screw	-	-	-	-	-	-	-	-	-	310
41	Welded steel	37,544	110,855	14,720	52,153	28,888	-	15,350	14,747	85,593	964,373
42	Wood	-	1,127	-	-	-	-	-	-	-	3,764
41	Other (Steel Cement Lined)	-	-	-	-	-	-	-	-	-	-
42	Other (Brick Arch)	-	-	-	-	-	-	-	-	341	341
43	Other (Ductile Iron)	13,279	64,641	281	101,679	5,897	-	60,558	14,574	89,374	392,603
44	Other (Galvanized Pipe)	-	578	-	-	-	-	-	-	-	45,630
45	Other (C900/DIP)	8,195	17,998	-	-	-	-	-	-	-	66,317
46	Other (unknown)	32	4,840	57	411	80	23,168	359	-	2,725	211,606
47	Other (stainless steel)	-	-	-	-	-	-	-	-	-	60
48	Other (CLWS)	7,950	5,410	-	2,652	-	-	-	-	-	36,474
49	Other (CLCC)	3,443	11,247	1,518	3,429	14	-	-	2,014	-	28,487
50	Total	867,099	1,046,767	47,453	338,263	49,032	23,168	91,327	32,845	183,091	10,424,267

**SCHEDULE D-4  
Number of Active Service Connections**

Classification	Metered - Dec 31		Flat Rate - Dec 31	
	Prior Year	Current Year	Prior Year	Current Year
Residential	148,835	150,448	1,080	-
Commercial	14,114	15,436	-	-
Industrial	239	232	-	-
Public authorities	1,748	1,603	-	-
Irrigation	57	53	-	-
Other	75	107	-	-
Subtotal	165,068	167,879	1,080	-
Private fire connections	-	-	2,819	2,920
Public fire hydrants	-	-	14,739	14,921
Total	165,068	167,879	18,638	17,841

**SCHEDULE D-5  
Number of Meters and Services on  
Pipe Systems at End of Year**

Size	Meters	Services
5/8 x 3/4"-in.	140,929	-
1/2" -in.	-	5
3/4" -in.	973	87,147
1" -in.	20,187	69,972
1½" -in.	4,010	3,225
2" -in.	5,481	7,425
3" -in.	253	146
4" -in.	232	914
6" -in.	88	547
8" -in.	35	137
10" -in.	1	2
12" -in.	-	2
Other	13	6,448
Total	172,202	175,970

**SCHEDULE D-6  
Meter Testing Data**

A. Number of Meters Tested During Year as Prescribed in Section VI of General Order No. 103:	
1. New, after being received . . . . .	36
2. Used, before repair . . . . .	458
3. Used, after repair . . . . .	1
4. Found fast, requiring billing adjustment . . . . .	-
B. Number of Meters in Service Since Last Test	
1. Ten years or less . . . . .	130,561
2. More than 10, but less than 15 . . . . .	32,430
3. More than 15 years . . . . .	9,212

## SCHEDULE D-7

### Water delivered to Metered Customers by Months and Years in 1000 Gallons (Unit Chosen)<sup>1</sup>

Classification of Service	During Current Year												Subtotal	Total	
	January	February	March	April	May	June	July	August	September	October	November	December			Prior Year
Residential	1,031,987	1,058,609	1,147,111	1,336,449	1,678,427	1,953,455	2,116,829							10,322,867	
Commercial	429,867	465,882	480,890	565,707	680,443	775,607	811,337							4,209,733	
Industrial	53,316	60,379	58,224	74,819	83,724	87,705	104,257							522,423	
Public authorities	49,448	65,955	89,443	132,687	209,906	266,975	278,299							1,092,712	
Irrigation	5,497	4,159	6,083	9,229	10,186	11,533	15,624							62,311	
Other (Metered Priv Fire/Resale)	1,260	1,572	1,215	1,294	1,865	2,781	2,210							12,197	
Other	12,566	13,718	13,807	17,759	46,925	20,955	35,580							161,312	
<b>Total</b>	<b>1,583,942</b>	<b>1,670,275</b>	<b>1,796,772</b>	<b>2,137,944</b>	<b>2,711,476</b>	<b>3,119,011</b>	<b>3,364,136</b>							<b>6,060,688</b>	
Classification of Service	During Current Year												Subtotal	Total	
	August	September	October	November	December	Subtotal	Total	Prior Year							
Residential	2,204,097	2,086,894	1,760,614	1,455,971	1,311,352	8,818,927	19,141,794							13,166,017	
Commercial	853,202	825,264	566,542	639,487	565,045	3,449,541	7,659,274							12,463,382	
Industrial	100,848	106,556	49,341	76,379	76,577	409,701	932,125							1,294,287	
Public authorities	296,232	286,485	169,216	122,930	109,591	984,454	2,077,166							1,592,075	
Irrigation	13,751	19,618	12,167	1,134	12,413	59,083	121,394							133,253	
Other (Metered Priv Fire/Resale)	2,085	2,539	2,232	1,700	1,336	9,892	22,089							103,603	
Other	42,079	36,136	37,295	25,552	18,297	159,359	320,671							334,086	
<b>Total</b>	<b>3,512,294</b>	<b>3,363,492</b>	<b>2,597,407</b>	<b>2,323,153</b>	<b>2,094,610</b>	<b>13,890,957</b>	<b>30,274,511</b>							<b>29,086,704</b>	

<sup>1</sup> Quantity units to be in hundreds of cubic feet, thousands of gallons, acre-feet, or miner's inch-days.

Total acres irrigated                      N/A

Total population served                      563,637





**Schedule E- 2**  
**Description of Low-Income Rate Assistance Program(s)**

For all low income rate assistance programs offered by water utility, provide detailed responses to the following items:

1. Brief description of each low-income rate assistance program provided, by district. This shall include but is not limited to the percent of discount, the dollar amount of discount, what rate is discounted (service charge, quantity, or total bill), qualifying income level, dollar rate increase to remaining customers to pay for this program.

**Coronado** - Qualifying customers receive a \$7.00 monthly discount on the bill.

**Larkfield** - Qualifying customers receive an \$19.00 monthly discount on the bill.

**Los Angeles** - Qualifying customers receive a monthly discount on the bill based on service area as shown below:

Baldwin Hill	\$	9.50
Duarte	\$	9.50
San Marino	\$	12.00

**Monterey** - Qualifying customers receive a monthly discount on the bill based on service area as shown below:

Ambler Park	\$	21.00
Ralph Lane	\$	11.50
Toro	\$	25.50

**Monterey** - Qualifying customers receive a monthly discount on the bill based on number of occupants as shown below:

1-4 people:	\$	10.00
5-8 people:	\$	15.00
> 8 people:	\$	20.00

**Sacramento** - Low-income customers receive a \$10.00 monthly discount on the bill.

**Village** - Low-income customers receive a \$14.00 monthly discount on the bill.

2. Participation rate for Year 2013 (as a percent of total customers served)  
at 12/31/13

<b>Coronado</b>	17.64%
<b>Larkfield</b>	6.12%
<b>Los Angeles</b>	16.06%
<b>Monterey</b>	8.64%
<b>Sacramento</b>	14.37%
<b>Village</b>	6.17%

3. Detail of balancing or memorandum account authorized to record expenses incurred and revenues collected for low income rate assistance program.

as of December 31, 2013					
	Type of Account	Expenses Incurred	Revenues Lost	Interest Accrued	Net Account
<b>Coronado</b>	M/A	\$12,895	\$ 364,539	\$566	\$378,000
<b>Los Angeles</b>	M/A	\$28,909	\$ 816,315	\$2,217	\$847,441
<b>Village</b>	M/A	\$10,938	\$309,007	\$690	\$320,635
<b>Monterey</b>	M/A	\$4,087	\$ 115,722	\$11	\$119,820
<b>Larkfield</b>	M/A	\$2,253	63,651	\$134	\$66,038
<b>Sacramento</b>	M/A	\$43,631	\$ 1,232,893	\$2,480	\$1,279,004
<b>TOTAL</b>		\$ 102,713	\$ 2,902,127	\$ 6,098	\$3,010,938

Above amounts represent amounts not yet approved for recovery. An additional \$89,289 has been transferred to a general balancing account per commission approval in D12-06-016

M/A: Memorandum Account

B/A: Balancing Account

**Schedule E- 3**  
**Description of Water Conservation Program(s)**

For all water conservation programs offered by water utility, provide detailed responses to the following items:

**See Attachments for various Districts**

1. Brief description of each water conservation program provided, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers) and length of time it was offered.
2. Discuss how each water conservation assistance program is funded, for example, through rates charged to all customers, rates charged to customer receiving water conservation assistance, shareholder contribution, community funding, government funding, or other funding method. Explain why this type of funding was used.
3. Cost of each program.
4. The degree of participation in each district by customer group.

**Schedule E- 4**  
**Report on Affiliate Transactions**

Affiliate includes all related companies including but not limited to Parent, Affiliates, and Subsidiaries.

**INSTRUCTIONS:**

\* For those utilities with specifically authorized affiliate transaction rules, provide all information required by those rules.

\* For those utilities with no specifically authorized affiliate transaction rules, or those utilities whose authorized affiliate transaction rules do not provide the following information, provide the following:

1. Summary of all transactions between regulated water utility and its affiliated companies for the previous calendar year. The summary shall include a description of each transaction and an accounting of all dollars associated with each transaction although each transaction need not be separately identified where multiple transactions occur in the same account. These transactions shall include:

(a) services provided by regulated water utility to any affiliated company; Support services to Hawaii-American Water Company, a subsidiary of AWW These services included management, finance, legal, HR, engineering, construction management and environmental.	\$89,333
(b) services provided by any affiliated company to regulated water utility; Governance & Corporate Activities (includes finance, HR, admin, audit, legal, business development, finance, investor relations, operations, communications and regulatory) Other Business Services (includes call center, lab, supply chain, IT, shared services & benefits center)	\$4,423,771 <u>\$11,953,440</u>
Total	\$16,377,211

(c) assets (both tangible and intangible) transferred from regulated water utility to any affiliated company;  
None

(d) assets (both tangible and intangible) transferred from any affiliated company to regulated water utility;  
None

(e) employees transferred from regulated water utility to any affiliated company;  
1

(f) employees transferred from any affiliated company to regulated water utility; and  
1

(g) financing arrangements and transactions between regulated water utility and any affiliated company.

All financing activities are covered by an agreement between American Water Capital Corporation (AWCC) and California-American Water Company.

(h) Services provided by and/or assets transferred from the parent holding company to affiliate company which may have germane utility regulations impacts; and  
None

(i) Services provided by and/or assets transferred from affiliated company to the parent holding company which may have germane utility regulation impacts.  
None



**CLASS A, B, C AND D WATER COMPANIES  
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) or Safe Drinking Water State Revolving Fund loan surcharge collection for the calendar year. Please use one page per loan.

1. Current Fiscal Agent:

Name:	<u>Wells Fargo Corporate Trust Services</u>
Address:	<u>333 Market Street, San Francisco CA</u>
Phone Number:	<u>415-371-3400</u>
Account Number:	<u>82295700</u>
Date Hired:	<u>September 2010</u>

2. Total surcharge collected from customers during the 12 month reporting period:

Meter Size	No. of Metered Customers	Monthly Surcharge Per Customer
3/4 inch		
1 inch		
1 1/2 inch		
2 inch		
3 inch		
4 inch		
6 inch		
Number of Flat Rate Customers	51	20.00
<b>Total</b>	<b>51</b>	<b>20.00</b>

3. Summary of the bank account activities showing:

Balance at beginning of year	<u>\$12,294</u>
Deposits during the year	<u>5,087</u>
Interest earned for calendar year	<u>1.11</u>
Withdrawals from this account	<u>(5,928)</u>
Balance at end of year	<u>11,454.08</u>

4. Reason or Purpose of Withdrawal from this bank account:

Loan payment

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**FOR ALL WATER COMPANIES**  
**SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA (Continued)**

5. Plant amounts included in Schedule A-1a, Account No. 101--Water Plant in Service which were funded using SDWBA or SRF funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits* or (Credits) (e)	Balance End of Year (f)
		NON-DEPRECIABLE PLANT					
1	301	Intangible plant					
2	303	Land					
3		Total non-depreciable plant					
		DEPRECIABLE PLANT					
4							
5	304	Structures	21,303				21,303
6	307	Wells					
7	317	Other water source plant					
8	311	Pumping equipment	16,502				16,502
9	320	Water treatment plant	124,714				124,714
10	330	Reservoirs, tanks and sandpipes	185,070				185,070
11	331	Water mains	67,713				67,713
12	333	Services and meter installations					
13	334	Meters					
14	335	Hydrants					
15	339	Other equipment					
16	340	Office furniture and equipment					
17	341	Transportation equipment					
18		Total depreciable plant					
19		Total water plant in service	415,302				415,302

## FACILITIES FEES DATA

Please provide the following information relating to Facilities Fees for districts or subsidiaries servicing 2,000 or fewer connections for the calendar year. (Per D.91-04-068)

1. Trust Account Information:

Bank Name: \_\_\_\_\_ None \_\_\_\_\_  
 Address: \_\_\_\_\_  
 Account Number: \_\_\_\_\_  
 Date Opened: \_\_\_\_\_

2. Facilities Fees collected for new connections during the calendar year:

**A. Commercial**

NAME	AMOUNT
None	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

**B. Residential**

NAME	AMOUNT
None	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

3. Summary of the bank account activities showing:

Balance at beginning of year	\$ _____
Deposits during the year	_____
Interest earned for calendar year	_____
Withdrawals from this account	_____
Balance at end of year	_____

4. Reason or Purpose of Withdrawal from this bank account:

\_\_\_\_\_  
 \_\_\_\_\_

**DECLARATION**

(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)

I, the undersigned

Kevin Tilden

Name of District Manager or Equivalent (Please Print)

of

Water Report for All Districts

District

Name of District

of

California-American Water Company

Name of Utility

at

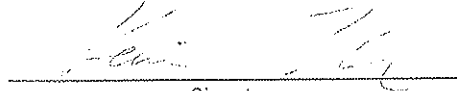
1033 B Avenue Coronado, CA 92118

Address of District Office

under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period of January 1, 2013, through December 31, 2013.

Kevin A. Tilden - Vice President, External Affairs

Title (Please Print)



Signature

(619) 522-6362

Telephone Number

6/27/2014

Date

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