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Examined _____

**CLASS A
WATER UTILITIES**

U# _____

**2014
ANNUAL REPORT
OF**

CALIFORNIA-AMERICAN WATER COMPANY

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

1033 B Avenue, Suite 200, Coronado, CA 92118

(OFFICIAL MAILING ADDRESS)

ZIP

**TO THE
PUBLIC UTILITIES COMMISSION
STATE OF CALIFORNIA
FOR THE
YEAR ENDED DECEMBER 31, 2014**

REPORT MUST BE FILED NOT LATER THAN MARCH 31, 2015

TABLE OF CONTENTS

	<u>Page</u>
General Instructions	4
Instructions for Preparation of Selected Financial Data Sheet for All Water Utilities	5
Balance Sheet Data and Capitalization	6
Income Statement and Other Related Data	7
Balance Sheet Data and Capitalization - Excluding Non-Regulated Activities	8
Income Statement and Other Related Data - Excluding Non-Regulated Activities	9
Notes to Adjusted Selected Financial Data Due to Excluding of Non-Regulated Activities	10
Excess Capacity and Non-Tariffed Services	11
General Information	12
Schedule A - Comparative Balance Sheets - Assets and Other Debits	13
Schedule A - Comparative Balance Sheets - Liabilities and Other Credits	14
Schedule B - Income Account for the Year	15
Schedule A-1 - Account 100 - Utility Plant	16
Schedule A-1a - Account 100.1 - Utility Plant in Service	16 - 17
Schedule A-1b - Account 302 - Franchises and Consents	17
Schedule A-1c - Account 100.4 - Utility Plant Held for Future Use	18
Schedule A-2 - Account 110 - Other Physical Property	18
Schedule A-1d - Rate Base and Working Cash	19
Schedule A-3 - Accounts 250 to 253, Inclusive - Depreciation and Amortization Reserves	20
Schedule A-3a - Account 250 - Analysis of Entries in Depreciation Reserve	21
Schedule A-4 - Account 111 - Investment in Associated companies	22
Schedule A-5 - Account 112 - Other Investments	22
Schedule A-6 - Account 113 - Sinking Funds	22
Schedule A-7 - Account 114 - Miscellaneous Special Funds	23
Schedule A-8 - Account 121 - Special Deposits	23
Schedule A-9 - Account 124 - Notes Receivable	23
Schedule A-10 - Account 126 - Receivables for Associated Companies	24
Schedule A-11 - Account 132 - Prepayments	24
Schedule A-12 - Account 133 - Other Current and Accrued Assets	24
Schedule A-13 - Accounts 140 and 240 - Unamortized Debt Discount and Expense and Unamortized Premium on Debt	25
Schedule A-14 - Account 141 - Extraordinary Property Losses	26
Schedule A-15 - Account 146 - Other Deferred Debits	26
Schedule A-16 - Account 150 - Discount on Capital Stock	26
Schedule A-17 - Account 151 - Capital Stock Expense	26
Schedule A-18 - Accounts 200 and 201 - Capital Stock	27
Schedule A-18a - Record of Stockholders at End of Year	27
Schedule A-19 - Account 203 - Premiums and Assessments on Capital Stock	27
Schedule A-20 - Account 270 - Capital Surplus (For Use by Corporations Only)	28
Schedule A-21 - Account 271 - Earned Surplus (For Use by Corporations Only)	28

Schedule A-22 - Account 204 - Propriety Capital (Sole Proprietor or Partnership)	29
Schedule A-23 - Account 205 - Undistributed Profits of Proprietorship or Partnership)	29
Schedule A-24 - Account 210 - Bonds	30
Schedule A-24 (a) - Class A Water Companies - Safe Drinking Water Bond Act Data	31
Schedule A-25 - Account 212 - Advances from Associated Companies	32
Schedule A-26 - Account 213 - Miscellaneous Long Term Debt	32
Schedule A-27 - Securities Issued or Assumed During Year	32
Schedule A-28 - Account 220 - Notes Payable	33
Schedule A-29 - Account 223 - Payables to Associated Companies	33
Schedule A-30 - Account 230 - Other Current and Accrued Liabilities	33
Schedule A-31 - Account 228 - Accrued and Prepaid Taxes	34
Schedule A-32 - Account 241 - Advances for Construction	35
Schedule A-33 - Account 242 - Other Deferred Credits	36
Schedule A-34 - Accounts 254 to 258, Inclusive - Miscellaneous Reserves	36
Schedule A-33 - Account 242 - Other Deferred Credits (Addendum)	37
Schedule A-35 - Account 265 - Contributions in Aid of Construction	38
Schedule B-1 - Account 501 - Operating Revenues	39
Schedule B-1a - Operating Revenues Apportioned to Cities and Towns	39
Schedule B-2 - Account 502 - Operating Expenses - For Class A, B, and C Water Utilities	40-42
Schedule B-3 - Account 506 - Property Losses Chargeable to Operations	43
Schedule B-4 - Account 507 - Taxes Charged During Year	43
Schedule B-5 - Reconciliation of Reported Net Income with Taxable Income for Federal Taxes	44
Schedule B-6 - Account 521 - Income from Non-utility Operations	45
Schedule B-7 - Account 526 - Miscellaneous Non-operating Revenue	45
Schedule B-8 - Account 527 - Non-operating Revenue Deductions (Dr.)	45
Schedule B-9 - Account 535 - Other Interest Charges	45
Schedule B-10 - Miscellaneous Income Deductions	45
Schedule C-1 - Engineering and Management Fees and Expenses, etc., During Year	46
Schedule C-2 - Compensation of Individual Proprietor or Partner	47
Schedule C-3 - Employees and Their Compensation	47
Schedule C-4 - Record of Accidents During Year	47
Schedule C-5 - Expenditures for Political Purposes	48
Schedule C-6 - Loans to Directors, or Officers, or Shareholders	48
Schedule C-7 - Bonuses Paid to Executives & Officers	48
Schedule D-1 - Sources of Supply and Water Developed	49
Schedule D-2 - Description of Storage Facilities	49
Schedule D-3 - Description of Transmission and Distribution Facilities	50
Schedule D-4 - Number of Active Service Connections	51
Schedule D-5 - Number of Meters and Services on Pipe System at End of Year	51
Schedule D-6 - Meter Testing Data	51

Schedule D-7 - Water Delivered to Metered Customers by Months and Years 52

Schedule D-8 - Status with State Board of Public Health 53

Schedule D-9 - Statement of Material Financial Interest 53

Schedule E-1 - Balancing & Memorandum Accounts 54

Schedule E-2 - Description of Low-Income Rate Assistance Program(s) 55

Schedule E-3 - Description of Water Conservation Program(s) 56

Schedule E-4 - Report on Affiliated Transactions 57

All Water Utilities - Safe Drinking Water Bond Act / State Revolving Fund Data 58

Facilities Fees Data 59

Customer Service Performance Measures 60

Declaration 61

GENERAL INSTRUCTIONS

1. Two completed and signed hard copies of this report and one electronic copy must be filed **NOT LATER THAN MARCH 31, 2015**, with:

CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS
ATTN: KAYODE KAJOPAIYE
505 VAN NESS AVENUE, ROOM 3105
SAN FRANCISCO, CALIFORNIA 94102-3298
kok@cpuc.ca.gov

2. Failure to file the report on time may subject a utility to the penalties and sanctions provided by the Public Utilities Code.
3. The Oath on Page 61, must be signed by an authorized officer, partner, or owner.
4. The report must be prepared in ink or by the use of a typewriter. Computer generated report forms may be substituted.
5. The report must be filled in, and every question answered. **LEAVE NO SCHEDULE BLANK.** Insert the words "none" or "not applicable" or "n/a" when appropriate.
6. Certain balance sheet and income statement accounts refer to supplemental schedules. The totals of the details in the latter must agree with the balances of the accounts to which they refer.
7. Some schedules provide for a "balance at beginning of year." The amount shown should agree with the "balance at end of year" as shown in the report for the previous year. If there is a difference, it should be explained by footnote.
8. When there is insufficient space in a schedule to permit a complete statement of the requested information, insert sheets should be prepared and identified by the number of the schedule to which it refers. Be certain that the inserts are securely attached to the report. If inserts are needed, prepare all inserts in one separate electronic file in Microsoft Excel format and file it with the electronic file of this report.
9. This report must cover the calendar year from January 1, 2014, through December 31, 2014. Fiscal year reports will not be accepted.
10. Your company's external auditor information, such as external auditor's name, phone number, and address, must be included in Page 12, Item 12, of this report.

INSTRUCTIONS

FOR PREPARATION OF SELECTED FINANCIAL DATA SHEET FOR ALL WATER UTILITIES

To prepare the attached data sheets, refer to the Balance Sheet, Income Statement and supporting detail schedules in the annual report for the required data.

Please follow the instructions below:

1. Common plant allocated to water should be indicated with water plant figures in Net Plant Investment.
2. The capitalization section for those reporting on both California Only and Total System Forms should be identical and completed with Total Company Data. Be sure that Advances for Construction include California water data only.
3. Complete the operation section with California water revenues and expenses, but if necessary, remove interdepartmental revenues and expenses.
4. All other utility and nonutility revenues and expenses are netted on Line No. 38.

BALANCE SHEET AND CAPITALIZATION DATA

Calendar Year 2014

NAME OF UTILITY California-American Water PHONE 1-619-522-6360

PERSON RESPONSIBLE FOR THIS REPORT Robert MacLean

	1/1/2014	12/31/2014	Average
BALANCE SHEET DATA			
1 Intangible Plant	\$ 6,639,344	\$ 1,264,881	\$ 3,952,112
2 Land and Land Rights	5,670,213	5,992,420	5,831,317
3 Depreciable Plant	778,749,678	796,817,275	787,783,477
4 Gross Plant in Service	791,059,236	804,074,576	797,566,906
5 Less: Accumulated Depreciation	(292,435,297)	(275,451,362)	(283,943,329)
6 Net Water Plant in Service	498,623,938	528,623,214	513,623,577
7 Water Plant Held for Future Use	1,518,651	1,462,195	1,490,423
8 Construction Work in Progress	42,452,628	60,344,772	51,398,700
9 Materials and Supplies	635,966	679,413	657,690
10 Less: Advances for Construction	(15,933,118)	(15,732,850)	(15,832,984)
11 Less: Contributions in Aid of Construction	(58,406,227)	(59,671,827)	(59,039,027)
12 Less: Accumulated Deferred Income and Investment Tax Credits	(49,970,864)	(56,813,837)	(53,392,351)
13 Net Plant Investment	<u>\$ 418,920,974</u>	<u>\$ 458,891,080</u>	<u>\$ 438,906,028</u>

CAPITALIZATION			
14 Common Stock	\$ 92,477,914	\$ 92,477,914	\$ 92,477,914
15 Proprietary Capital (Individual or Partnership)	0	0	0
16 Paid-in Capital	160,988,377	167,548,295	164,268,336
17 Retained Earnings	45,326,287	54,205,309	49,765,798
18 Common Stock and Equity (Lines 14 through 17)	298,792,578	314,231,518	306,512,048
19 Preferred Stock	0	0	0
20 Long-Term Debt	244,004,831	269,014,032	256,509,432
21 Notes Payable	0	0	0
22 Total Capitalization (Lines 18 through 21)	<u>\$ 542,797,409</u>	<u>\$ 583,245,550</u>	<u>\$ 563,021,480</u>

Note: _____

INCOME STATEMENT AND OTHER DATA

Calendar Year 2014

NAME OF UTILITY California-American Water

PHONE 1-619-522-6360

	Annual Amount
INCOME STATEMENT	
23 Unmetered Water Revenue	-
24 Fire Protection Revenue	<u>3,164,944</u>
25 Irrigation Revenue	-
26 Metered Water Revenue	<u>201,070,007</u>
27 Total Operating Revenue	<u>204,234,951</u>
28 <u>Operating Expenses</u>	<u>122,203,359</u>
29 Depreciation Expense (Composite Rate 3.73%)	<u>17,877,882</u>
30 Amortization and Property Losses	<u>(901,857)</u>
31 Property Taxes	<u>4,490,221</u>
32 Taxes Other Than Income Taxes	<u>1,602,237</u>
33 Total Operating Revenue Deduction Before Taxes	<u>58,963,108</u>
34 California Corp. Franchise Tax	<u>176,280</u>
35 Federal Corporate Income Tax	<u>19,681,135</u>
36 Total Operating Revenue Deduction After Taxes	<u>39,105,693</u>
37 Net Operating Income (Loss) - California Water Operations	<u>39,105,693</u>
38 Other Operating and Nonoperating. Income and Exp. - Net (Exclude Interest Expense)	<u>3,523,723</u>
39 Income Available for Fixed Charges	<u>42,629,416</u>
40 Interest Expense	<u>14,561,457</u>
41 Net Income (Loss) Before Dividends	<u>28,067,959</u>
42 Preferred Stock Dividends	-
43 Net Income (Loss) Available for Common Stock	<u>28,067,959</u>
OTHER DATA	
44 Refunds of Advances for Construction	520,730
45 Total Payroll Charged to Operating Expenses	<u>19,746,618</u>
46 Purchased Water	<u>45,465,812</u>
47 Power	<u>6,709,596</u>
48 Class A Water Companies Only:	
a. Pre-TRA 1986 Contributions in Aid of Construction	<u>50,852,200</u>
b. Pre-TRA 1986 Advances for Construction	<u>5,531,353</u>
c. Post TRA 1986 Contributions in Aid of Construction	<u>8,819,627</u>
d. Post TRA 1986 Advances for Construction	<u>11,816,134</u>

				Annual Average
<u>Active Service Connections</u>	(Exc. Fire Protect.)	Jan. 1	Dec. 31	
49 Metered Service Connections		<u>167,879</u>	<u>168,831</u>	<u>168,355</u>
50 Flat Rate Service Connections		<u>17,841</u>	<u>17,978</u>	<u>17,910</u>
51 Total Active Service Connections		<u>185,720</u>	<u>186,809</u>	<u>186,265</u>

BALANCE SHEET AND CAPITALIZATION DATA
Adjusted to Exclude Non-Regulated Activity
 Calendar Year 2014

NAME OF UTILITY California-American Water PHONE 1-619-522-6360

PERSON RESPONSIBLE FOR THIS REPORT Robert MacLean

	1/1/2014	12/31/2014	Average
BALANCE SHEET DATA			
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21 Notes Payable	0	0	0
22 Total Capitalization (Lines 18 through 21)	<u>\$ 542,797,409</u>	<u>\$ 583,245,550</u>	<u>\$ 563,021,479</u>

Note: _____

INCOME STATEMENT AND OTHER DATA
Adjusted to Exclude Non-Regulated Activity

Calendar Year 2014

NAME OF UTILITY California-American Water

PHONE 1-619-522-6360

	Annual Amount
INCOME STATEMENT	
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27 Total Operating Revenue	204,234,951
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31 Property Taxes	4,490,221
32 Taxes Other Than Income Taxes	1,602,237
33 Total Operating Revenue Deduction Before Taxes	58,963,108
34 California Corp. Franchise Tax	176,280
35 Federal Corporate Income Tax	19,428,551
36 Total Operating Revenue Deduction After Taxes	39,358,277
37 Net Operating Income (Loss) - California Water Operations	39,358,277
38 Other Operating and Nonoperating. Income and Exp. - Net (Exclude Interest Expense)	2,947,574
39 Income Available for Fixed Charges	42,305,851
40 Interest Expense	14,561,457
41 Net Income (Loss) Before Dividends	27,744,394
42 Preferred Stock Dividends	-
43 Net Income (Loss) Available for Common Stock	27,744,394
OTHER DATA	
44 Refunds of Advances for Construction	520,730
45 Total Payroll Charged to Operating Expenses	19,746,618
46 Purchased Water	45,465,812
47 Power	6,709,596
48 Class A Water Companies Only:	
a. Pre-TRA 1986 Contributions in Aid of Construction	50,852,200
b. Pre-TRA 1986 Advances for Construction	5,531,353
c. Post TRA 1986 Contributions in Aid of Construction	8,819,627
d. Post TRA 1986 Advances for Construction	11,816,134

				Annual Average
<u>Active Service Connections</u>	(Exc. Fire Protect.)	Jan. 1	Dec. 31	
49 Metered Service Connections		167,632	168,831	168,232
50 Flat Rate Service Connections		19,628	17,978	18,803
51 Total Active Service Connections		187,260	186,809	187,035

Notes to Adjusted Selected Financial Data

Instructions: Provide reasons for each adjustment to the Selected Financial Data due to non-regulated activities. Detail this information by name of line item (Gross Plant, Operating Revenues, etc.), account number, dollar amount, and by point in time (end of year, beginning of year, or average).

Other Operating and Nonoperating Income and Exp. - Net (Exclude Interest Expense), Account Numbers 526 and 522, Dollar Amount \$576,149 is the non-regulated activity as referenced in Excess

1 Capacity schedule.

Federal Corporate Income Tax, Account Number 507, Dollar Amount \$252,584 represents tax effect

2 of reduction of non-regulated revenues.

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Excess Capacity and Non-Tariffed Services

NOTE: In D.00-07-018, D.03-04-028, and D. 04-12-023, the CPUC set forth rules and requirements regarding water utilities provision of non-tariffed services using excess capacity. These decisions require water utilities to: 1) file an advice letter requesting Commission approval of that service; 2) provide information regarding non-tariffed goods/services in each company's Annual Report to the Commission.

Based on the information and filings required in D.00-07-018, D.03-04-028, and D.04-12-023, provide the following information by each individual non-tariffed good and service provided in 2014:

Row Number	Applies to All Non-Tariffed Goods/Services that require Approval by Advice Letter									
	Active or Passive	Total Revenue Derived from Non-tariffed Goods/Services (by account)	Revenue Account Number	Total Expenses Incurred to Provide Non-tariffed Goods/Services (by account)	Expense Account Number	Advice Letter and/or Resolution Number Approving Non-tariffed Goods/Services	Total Income Tax Liability Incurred Because of Non-tariffed Goods/Services (by account)	Income Tax Liability Account Number	Gross Value of Regulated Assets Used in the Provision of a Non-tariffed Goods/Services (by account)	Regulated Asset Account Number
		See Attached	526	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Operating and Maintenance Contracts	See Attached	522	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Antenna Leases	See Attached	522	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Real Property Leases	See Attached								

See Attachments for additional detail

California-American Water Company
Addendum to Schedule Excess Capacity and Non-Tariffed Services
NTP&S Projects
12/31/2014

<u>Name of Contract/Service</u>	<u>Active or Passive</u>	<u>Gross Revenue</u>	<u>Revenue Sharing</u>
Operating and Maintenance Contracts			
Wildwings	Active	(334,547.40)	(33,453.60)
Total O&M Contracts		(334,547.40)	(33,453.60)
Antenna & Real Property Leases			
Cingular	Passive	(45,863.76)	(13,759.13)
Cricket Communications	Passive	(63,480.00)	(19,044.00)
MetroPCS	Passive	(100,044.56)	(30,013.37)
Nextel	Passive	(19,580.00)	(5,874.00)
Sprint United Management Co.	Passive	(22,258.92)	(6,677.68)
Sprint (f/k/a Clear Wireless)	Passive	(24,000.00)	(7,200.00)
Verizon Wireless	Passive	(58,811.72)	(17,643.52)
*XM Satellite Radio	Passive	(20,400.00)	(6,120.00)
Real Property Leases (2)	Passive	(7,080.00)	(2,124.00)
Total Antenna & Real Property Leases		(361,518.96)	(108,455.69)

*XM Satellite Radio billed after contract expired, billing adjustment in February 2015.

2014 Annual Report of California-American Water Company
to the California Public Utilities Commission

ATTACHMENT A

2014 ANNUAL REPORT OF NTP&S PROJECTS

In accordance with Rule X.E of the Modified Rules for Water and Sewer Utilities Regarding Affiliate Transactions and the Use of Regulated Assets for Non-Tariffed Utility Services (Appendix A of D.12-01-042) ("Rules"), California-American Water Company ("CAWC") provides the following information, in addition to the information provided in its Excess Capacity and Non-Tariffed Services schedule, regarding its Non-tariffed Products and Services ("NTP&S"):

I. Descriptions of NTP&S Activities:

A. Antenna and Real Property Leases. During calendar year 2014, CAWC had a total of eleven (11) antenna leases/license agreements with seven (7) different carriers for various locations in its San Diego, Ventura, and Monterey County Districts. Under these agreements, cellular service providers install, maintain and operate their antennas and appurtenances on CAWC properties without interference to normal day-to-day operations. CAWC also had two (2) real property leases/license agreements in Monterey County.

B. Operation and Maintenance Agreements. During calendar year 2014, CAWC had one (1) operation and maintenance ("O&M") agreement in its Sacramento County District. Under the O&M agreement, CAWC operated and maintained water and water recycling systems for a third party.

II. Classification of NTP&S Activities

All NTP&S activities described above were classified as "active" or "passive" in accordance with the table: "Designation of Active and Passive NTP&S Water and Sewer Utility Projects" (see page A-16 of the Rules). All of the above activities pre-dated the effective date of the Rules and therefore no notice was required under Rule X.F.

III. Regulated Assets and Personnel Used for the NTP&S:

A. Antenna and Real Property Leases. Antennas and their associated appurtenances are typically mounted on elevated water tanks, co-located on utility poles with CAWC communications facilities, or installed on the grounds of utility sites. The real property leases/license agreements were limited to two sites. No other regulated assets were used for these agreements. No CAWC personnel provided services for these agreements.

B. Operation and Maintenance Contract. No regulated assets were used for the O&M contract. The equivalent of one full-time Water Treatment Operator III provides services for this activity. The associated labor is not included in the revenue requirement for the Sacramento District.

GENERAL INFORMATION

- 1 Name under which utility is doing business:
California-American Water Company
- 2 Official mailing address:
1033 B Avenue, Suite 200, Coronado, CA ZIP 92118
- 3 Name and title of person to whom correspondence should be addressed:
Robert MacLean, President Telephone: 1-619-522-6360
- 4 Address where accounting records are maintained:
131 Woodcrest Road, Cherry Hill, NJ 08003
- 5 Service Area (Refer to district reports if applicable):
See District Reports
- 6 Service Manager (If located in or near Service Area.) (Refer to district reports if applicable.)
 Name: See District Reports
 Address: _____ Telephone: _____

- 7 OWNERSHIP. Check and fill in appropriate line:
- | | | |
|-------------------------------------|---|------------------------|
| <input type="checkbox"/> | Individual (name of owner) _____ | |
| <input type="checkbox"/> | Partnership (name of partner) _____ | |
| <input type="checkbox"/> | Partnership (name of partner) _____ | |
| <input type="checkbox"/> | Partnership (name of partner) _____ | |
| <input checked="" type="checkbox"/> | Corporation (corporate name) <u>California-American Water Company</u> | |
| | Organized under laws of (state) <u>California</u> | Date: <u>12/7/1965</u> |

Principal Officers:

<u>Robert G MacLean</u>	<u>President</u>
<u>Ed Simon</u>	<u>VP Operations</u>
<u>Jeffrey Linam</u>	<u>VP Finance & Treasurer</u>
<u>Kevin Tilden</u>	<u>VP External Affairs</u>
<u>Richard Svindland</u>	<u>VP Engineering</u>
<u>Lilian Wilkison</u>	<u>VP Human Resources</u>
<u>Anthony Cerasuelo</u>	<u>VP Legal - Operations & Secretary</u>
<u>Sarah Leeper</u>	<u>VP Legal - Regulatory & Assistant Secretary</u>
<u>Lori Girard</u>	<u>Assistant Secretary</u>
<u>Andrew Homer</u>	<u>Assistant Secretary</u>
<u>Dave Stephenson</u>	<u>Assistant Treasurer</u>

- 8 Names of associated companies:

- 9 Names of corporations, firms or individuals whose property or portion of property have been acquired during the year, together with date of each acquisition:
- | | |
|-------------|-------------|
| <u>None</u> | Date: _____ |
| _____ | Date: _____ |
| _____ | Date: _____ |
| _____ | Date: _____ |

- 10 Use the space below for supplementary information or explanations concerning this report:
None

- 11 List Name, Grade, and License Number of all Licensed Operators:
See Attached List

12. List Name, Address, and Phone Number of your company's external auditor:
 Name: PricewaterhouseCoopers, LLP Telephone: 267 330-3000
 Address: 2001 Market St. Suite1700 Philadelphia, PA 19103

CALIFORNIA AMERICAN WATER
System Permit Requirements
Operator Certifications

CALIFORNIA-AMERICAN WATER
System Permit Requirements
Operator Certifications

Name		Classification	WATER DISTRIBUTION State Water Resources Control Board				WATER TREATMENT State Water Resources Control Board				WASTEWATER State Water Resources Control Board			
			Number	Grade	Renewal	Expiration	Number	Grade	Renewal	Expiration	Number	Grade	Renewal	Expiration
Adams, Erik	Laboratory Technician													
Aguilar, Veronica	CSR/Teller													
Aljanaz, Alexander M.	Customer Serviceperson		2	2/1/2017	6/1/2017									3/20/2017
Almanza, Salvador S.	Utility Worker II		2	11/1/2017	3/1/2018									
Andalon, Gerardo	Customer Serviceperson													
Arveson, Leonard	Treatment Plant Operator II		5939	11/1/2017	3/1/2018									6/1/2016
Barber, Mark L.	Utility Worker I		30282	9/1/2017	1/1/2018									
Beltran, Jaime	Meter Reader													
Benson, Michael	Treatment Plant Operator		37466	1/1/2016	5/1/2016									1/1/2016
Bricker Jr., James P.	Wastewater Plant Operator II		25659	9/1/2015	1/1/2016									1/1/2016
Burnitt, George V.	Treatment Plant Operator III		15007	8/1/2017	12/1/2017									8/1/2017
Bustamante, Sergio	Treatment Plant Operator II		28246	6/1/2016	12/1/2016									10/1/2017
Ceballos, Raymond M.	Utility Worker I		31501	6/1/2015	10/1/2015									
Cervantes, Jose	Meter Reader													
Charlin, Brenda S.	Wastewater Plant Operator II		27892	12/1/2016	4/1/2017									1/1/2017
Companion, Jason	Treatment Plant Operator IV		4944	11/1/2017	3/1/2018									2/1/2017
Coppola, Robert T.	Meter Repair Technician		25007	7/1/2017	11/1/2017									8/1/2018
Corda, Martin	Meter Repair Technician													
Crooks, Ian														
Cruz-Gonzalez, Ronaldo	Wastewater Plant Operator II		37408	1/1/2016	5/1/2016									2/1/2016
Curtice, Luke E.	Utility Worker II		8606	4/1/2018	8/1/2018									6/30/2016
Daniels, Gregory S.	Wastewater Plant Operator II		31348	3/1/2015	7/1/2015									6/30/2016
De Ocampo, Raymond T.	Laboratory Technician Grade III													
Deneke, Joshua J.	Utility Worker		38093	2/1/2016	6/1/2016									
DiMaggio, Joseph L.	Operations Supervisor		9607	4/1/2015	8/1/2015									
Dolor, Raymond C.	Water Conservation Specialist, Lead													
Dorsey, Nestor T.	Utility Worker		7178	4/1/2015	8/1/2015									
Dreyer, Randall M.	Utility Worker II		9837	4/1/2015	8/1/2015									
Eldridge, Ron L.	Pump Operator		4547	4/1/2015	8/1/2015									
Evans, Craig	Operations Supervisor		4845	3/1/2015	7/1/2015									12/1/2017
Fox, Harriet	Conservation		43219	7/1/2016	11/1/2016									
Fraser, Douglas														
Fugitt, David A.	Pump Operator III		4549	12/1/2017	4/1/2018									5/1/2016
Gambao, Tito M.	Utility Worker II		7356	3/1/2015	7/1/2015									
Garza, Ernesto	Specialist Maint Service		27751	2/1/2016	6/1/2016									
Glass, Patricia M.	Production Specialist		29978	8/1/2017	12/1/2017									3/1/2018
Gonzalez, Julio Arnan	Utility Worker II		14988	4/1/2015	8/1/2015									
Gravel, Julie Ann	Wastewater Plant Operator IV		6859	11/1/2017	3/1/2018									
Harley, Jeffrey	Utility Worker II		4254	3/1/2015	7/1/2015									
Holsinger, Gary	Pump Operator II		14981	1/1/2015	5/1/2015									
Hosfelt, Michael E.	Specialist Water Quality		25707	5/1/2015	9/1/2015									7/1/2016
Jacobson, Susan	Treatment Plant Operator Foreman													6/1/2017
James, Robert	Operations Supervisor													8/1/2017
Jacquav, Preston	Operations Supervisor													
Jenkins-Warrick, Bowen	Watershed Caretaker		43619	1/1/2017	5/1/2017									
Johns, Azell	Pump Operator I		14982	4/1/2015	8/1/2015									11/1/2017
Kolonics, Andrew J.	Backhoe Operator		14940	4/1/2015	8/1/2015									3/1/2016
La Sala, Vincent B.	Small Crew Foreman		9603	8/1/2015	12/1/2015									
Lashley, Charles E.	Utility Worker I		28813	10/1/2016	2/1/2017									
Lau, Helen	Environmental Quality Specialist		41637	7/1/2015	11/1/2015									1/1/2017
Lemon, Edie	Administrative Assistant - Operations		43143	7/1/2016	11/1/2016									
Little, Rose	Administrative Assistant													
Magnetto, Mike	Business Performance Manager		4552	10/1/2015	2/1/2016									8/1/2015
Mansueta, Michael	Warehouse Technician		4553	3/1/2015	7/1/2015									4/1/2017
Martignoni, Michael	Wastewater Plant Operator II													2
Martin, James E.	Utility Worker II		6709	12/1/2017	4/1/2018									
Maschmeyer, Kelly	Conservation		43208	7/1/2016	11/1/2016									
Mason, Hasani	Wastewater Plant Operator - OIT													OIT 1
Mathews, Christian	Operations Supervisor		32893	12/1/2015	4/1/2016									
McBride, Nite	Treatment Plant Operator		33786	7/1/2017	1/1/2017									10/1/2017
McKenzie, Thomas G.	Utility Worker II		10262	3/1/2015	7/1/2015									

CALIFORNIA AMERICAN WATER
System Permit Requirements
Operator Certifications

CALIFORNIA-AMERICAN WATER
System Permit Requirements
Operator Certifications

Monterey County District										WATER DISTRIBUTION State Water Resources Control Board					WATER TREATMENT State Water Resources Control Board					WASTEWATER State Water Resources Control Board				
Name	Classification	Number	Grade	Renewal	Expiration	Number	Grade	Renewal	Expiration	Number	Grade	Renewal	Expiration	Number	Grade	Renewal	Expiration	Number	Grade	Renewal	Expiration			
Moreno, Cleotilde J.	Pump Operator	29846	2	12/1/2015	4/1/2016	28765	1	3/1/2016	7/1/2017					4116	2							11/20/2016		
Moss, Robert	Wastewater Plant Operator II																							
Munguia, Victor	Customer Serviceperson	37999	1	2/1/2016	6/1/2016																			
Murdoch, Mitchell E.	Wastewater Plant Operator II	25732	2	11/1/2015	3/1/2016	25192	2	3/1/2017	7/1/2017					6228	3							1/30/2016		
Navar, Sal	Meter Reader																							
Nichols, James G. M.	Small Crew Foreman IV	4555	4	4/1/2018	8/1/2018	18498	2	9/1/2015	1/1/2016															
Otis, Danny	Customer Serviceperson																							
Perry, Lynnette	Meter Reader																							
Phillips, Christopher	Meter Reader																							
Phillips, George F.	Small Crew Foreman Grade II	14947	2	1/1/2018	5/1/2018																			
Ramirez, Justin P.	Utility Worker	98850	2	10/1/2016	2/1/2017																			
Rappaport, Paul M.	Small Crew Foreman	4954	3	4/1/2018	8/1/2018																			
Rea, Andres	Small Crew Foreman	43037	1	7/1/2016	11/1/2016																			
Reynoso, Jesus A.	Customer Serviceperson	37656	1	1/1/2016	5/1/2016																			
Richins, Michael J.	Specialist Maint Service	25765	1	12/1/2017	4/1/2018	24822	1	8/1/2017	12/1/2017															
Riso, Joseph J.	Small Crew Foreman Grade III	5407	3	11/1/2017	3/1/2018																			
Rudolph, Christopher	CSR/Talker																							
Russo, David W.	Utility Worker	15548	2	4/1/2015	8/1/2015	10863	1	6/1/2017	10/1/2017															
Russo, Mark N.	Meter Repair Technician	31162	1	3/1/2015	7/1/2015																			
Sabolis, Eric	Director of Operations																							
Sanchez, Caesar	Maintenance - Operations																							
Silva, Lesley	Maintenance - Operations	5410	4	8/1/2016	12/1/2016	35398	2	3/1/2016	7/1/2016															
Terry, Ryan	Treatment Plant Operator	44069	2	2/1/2017	6/1/2017	33948	2	5/1/2016	9/1/2016															
Torreale, Vincent	Small Crew Foreman Grade III	4959	3	4/1/2018	8/1/2018																			
Ward, Melissa	Conservation	42554	1	7/1/2018	11/1/2018																			
Wigg, Cornelius	Customer Serviceperson	38796	1	11/1/2016	3/1/2017																			

Los Angeles		WATER DISTRIBUTION				WATER TREATMENT			
		California Department of Public Health				DPH			
		Number	Grade	Expiration	Number	Grade	Expiration	Number	Expiration
Name	Classification								
Amarillas, Daniel	Utility Worker	37541	2	11/1/2017					
Anderson, William	Customer Service Representative	15392	2	8/1/2018					
Arenas, Raymond	Meter Reader	39788	1	8/1/2017					
Baker, Aimee	Administrative Assistant	45270	1	5/1/2018					
Cruz, Joe	Utility Worker	29086	2	8/1/2016					
Echevarria, Herminio	Equipment Operator	7698	3	4/1/2018					
Gonzales, Jesus	Utility Worker	32688	1	4/1/2016					
Grindeland, Daryl	Utility Worker	3710	2	4/1/2018					
Hofer, Garry	Director of Operations	36678	2	11/1/2017	34072	2	1/1/2018		
Hori, Beverly	Customer Service Superintendent	4539	2	4/1/2017					
Knapp, Jessica	Operations Specialist	44992	1	3/1/2018					
Lefler, Dean	Operations Supervisor-Cust. Service	5149	4	11/1/2015	14100	2	7/1/2018		
Marcinko, Joseph	WQ & Environmental Compliance Mgr				24919	4	2/1/2017		
Marini, Erminio	Senior Pump Operator	29529	5	2/1/2017	19694	4	10/1/2017		
McKeehan, Everett	Utility Worker	3863	3	4/1/2018					
Morgan, Dennis	Pump Operator	5558	3	3/1/2018	33794	2	1/1/2018		
Mullins, Timothy	Distribution Foreman	5345	3	7/1/2015	32625	2	1/1/2018		
Navarro, Josue	Utility Worker	44669	1	11/1/2017					
Playter, Tim	Utility Worker	39420	4	12/1/2017	33803	2	1/1/2018		
Reifer, Mark	Planning Engineer	41966	2	12/1/2015					
Rojas, Eric	Pump Operator	2872	4	12/1/2017	27190	2	1/1/2018		
Romero, Louie	Operations Supervisor-Prod & Dist	2872	3	6/1/2018					
Rosa, Matthew	Meter Reader	42568	1	5/1/2016					
Salcido, Michelle	Meter Reader	42758	2	5/1/2018					
Slusser, Christopher	Stock Clerk/Utility Worker	5077	2	4/1/2018					
Strommen, Dan	Pump Operator	5360	3	4/1/2018	23042	2	5/1/2016		
Tanaka, Richard	Production Foreman	44973	1	3/1/2018	31796	2	1/1/2018		
Warfield, William	Customer Service Representative	22953	1	2/1/2018					
Welton, Jon	Equipment Operator	4106	2	4/1/2018					

Ventura				WATER DISTRIBUTION				WATER TREATMENT			
Name	Position	Location	State	CDPH				CDPH			
				Grade	Operator #	Expiration	Grade	Operator #	Expiration		
Barajas, Emanuel	Utility Worker	Ventura	CA	D3	32894	05/01/18	T1	36856	01/01/18		
Boyle, Tom	Operations Supervisor	Ventura	CA	D2	7131	09/01/18	T2	19605	05/01/16		
Estrada, Henry	Utility Worker	Ventura	CA	D3	4355	03/01/18	T1	23449	05/01/16		
Hilbert, Mike	Utility Worker	Ventura	CA	D2	15436	05/01/18	T1	24045	11/01/16		
Campos, Eric	Laborer	Ventura	CA	D2	44115	07/01/17					
Lugo, Edgar	Utility Worker	Ventura	CA	D2	40242	01/01/18	T1	37024	02/01/18		
Molina, Henry	Utility Worker	Ventura	CA	D2	28797	01/01/18	T1	30251	01/01/18		
Pappas, James	Utility Worker	Ventura	CA	D4	2746	07/01/14	T2	8790	07/01/14		
Powers, Justin	Utility Worker	Ventura	CA	D1	43088	11/01/16					
Roldan, Joe	Pump Operator	Ventura	CA	D3	5139	04/01/18	T1	19732	06/01/17		
Saldivar, Richard	Operations Manager	Ventura	CA	D2	10054	06/01/15					
Trejo, Steven	Utility Worker	Ventura	CA	D2	39742	08/01/17	T2	33954	07/01/16		
Victorero, Robert	Utility Worker	Ventura	CA	D2	14215	05/01/18					
Weiss, Bill	Operations Specialist	Ventura	CA	D3	4445	03/01/18	T1	20020	06/01/17		
Williams, Jennifer	Operations Supervisor	Ventura	CA	D5	14217	04/01/17	T2	23062	05/01/16		

Coronado		WATER DISTRIBUTION				WATER TREATMENT				BACKFLOW DEVICE		Cross Connection Specialist	
		Position	Location	State	Grade	Operator #	Expiration	Grade	Operator #	Expiration	Operator #	Expiration	Certification #
Becerra, Robert	Operations Sup	Coronado	CA	D3	9497	8/1/2014	T1	23802	11/1/2016	11492	41274		12/31/2012
Bennett, Eric	Meter Reader	Coronado	CA	D1	41662	11/1/2015	T1	36107	4/1/2017				
Cervantes, Havier	Laborer	Coronado	CA	D2	32353	11/1/2014	T1	35557	8/1/2016				
Corrales, Luis	Laborer	Coronado	CA	D1	42594	5/1/2016							
Dyson, Kyeim	Meter Reader	Coronado	CA										
Garcia, Estevan	Utility Worker	Coronado	CA	D2	39144	11/1/2015	T1	35697	1/1/2017				
Garcia, Victor	Foreman	Coronado	CA	D4	9065	5/1/2015	T2	23461	8/1/2014				
Hernandez, Charlie	Meter Reader	Coronado	CA	D2	10032	6/1/2015							
Lomeli, Cristina	Administrative	Coronado	CA										
Lopez, Peter	Laborer	Coronado	CA	D2	40527	12/1/2014							
McKenzie, Richard	Laborer	Coronado	CA	D2	41617	5/1/2016	T2	34899	7/1/2016				
Mattis, Chris	Operations Mgr	Coronado	CA	D5	4017	6/1/2015	T2	14655	6/1/2017	05-00986	42277	00255	1/31/2016
Miller, Brian	Cust Field Rep	Coronado	CA	D2	17913	10/1/2017							
Nessman, Chris	Operations Spec	Coronado	CA	D3	9246	1/1/2015	T2	24861	1/1/2017	05-01458	42431	S05-00268	5/18/2016
Nevarez, Leonardo	Utility Worker	Coronado	CA	D2	26731	12/1/2016	T1	25851	7/1/2016				
Otto, Kristen	Conservationist	Coronado	CA										
Salas, Martin	Utility Worker	Coronado	CA	D3	27111	7/1/2016	T1	32655	1/1/2017				
Santoyo, Gabriel	Foreman	Coronado	CA	D3	18809	8/1/2015	T1	25454	1/1/2016				
Schomer, Rony	Meter Reader	Coronado	CA	D3	9516	6/1/2015	T2	23572	7/1/2016				
Schuler, Matthew	Utility Worker	Coronado	CA	D2	39625	5/1/2015	T2	34387	7/1/2016				
Valdez, Ritchie	Utility Worker	Coronado	CA	D2	30205	1/1/2016	T1	33275	7/1/2017				

SCHEDULE A
COMPARATIVE BALANCE SHEETS
Assets and Other Debits

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
1		I. UTILITY PLANT			
2	100	Utility plant	A-1	865,881,543	835,030,515
3	107	Utility plant adjustments		3,651,852	3,651,852
4		Total utility plant		869,533,395	838,682,367
5	250	Reserve for depreciation of utility plant	A-3	275,451,362	292,435,297
6	251	Reserve for amortization of limited term utility investments	A-3	11,333	10,333
7	252	Reserve for amortization of utility plant acquisition adjustment	A-3	1,869,054	1,759,266
8		Total utility plant reserves		277,331,749	294,204,896
9		Total utility plant less reserves		592,201,646	544,477,470
10					
11		II. INVESTMENT AND FUND ACCOUNTS			
12	110	Other physical property	A-2	2,046,417	1,852,563
13	253	Reserve for depreciation and amortization of other property	A-3	303,490	65,400
14		Other physical property less reserve		1,742,927	1,787,163
15	111	Investments in associated companies	A-4	-	-
16	112	Other investments	A-5	-	-
17	113	Sinking funds	A-6	-	-
18	114	Miscellaneous special funds	A-7	-	-
19		Total investments and fund accounts		1,742,927	1,787,163
20					
21		III. CURRENT AND ACCRUED ASSETS			
22	120	Cash		180,440	20,761
23	121	Special deposits	A-8	42,874	77,874
24	122	Working funds		2,672	2,785
25	123	Temporary cash investments		-	-
26	124	Notes receivable	A-9	-	-
27	125	Accounts receivable		11,494,482	15,752,849
28	126	Receivables from associated companies	A-10	913,305	10,121,226
29	131	Materials and supplies		679,413	635,966
30	132	Prepayments	A-11	1,321,368	1,980,567
31	133	Other current and accrued assets	A-12	11,773,853	11,381,107
32		Total current and accrued assets		26,408,408	39,973,135
33					
34		IV. DEFERRED DEBITS			
35	140	Unamortized debt discount and expense	A-13	2,518,792	2,680,989
36	141	Extraordinary property losses	A-14	-	-
37	142	Preliminary survey and investigation charges		-	-
38	143	Clearing accounts		-	-
39	145	Other work in progress		-	-
40	146	Other deferred debits	A-15	210,114,153	207,775,563
41		Total deferred debits		212,632,945	210,456,552
42		Total assets and other debits		832,985,926	796,694,320
43					

SCHEDULE A
COMPARATIVE BALANCE SHEETS
Liabilities and Other Credits

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
1		I. CORPORATE CAPITAL AND SURPLUS			
2	200	Common capital stock	A-18	92,477,914	92,477,914
3	201	Preferred capital stock	A-18	-	-
4	202	Stock liability for conversion		-	-
5	203	Premiums and assessments on capital stock	A-19	-	-
6	150	Discount on capital stock	A-16	-	-
7	151	Capital stock expense	A-17	-	-
8	270	Capital surplus	A-20	167,548,295	160,988,377
9	271	Earned surplus	A-21	54,205,309	45,326,287
10		Total corporate capital and surplus		314,231,518	298,792,578
11					
12		II. PROPRIETARY CAPITAL			
13	204	Proprietary capital (Individual or partnership)	A-22	-	-
14	205	Undistributed profits of proprietorship or partnership	A-23	-	-
15		Total proprietary capital		-	-
16					
17		III. LONG-TERM DEBT			
18	210	Bonds	A-24	269,014,032	244,004,831
19	211	Receivers' certificates		-	-
20	212	Advances from associated companies	A-25	-	-
21	213	Miscellaneous long-term debt	A-26	-	-
22		Total long-term debt		269,014,032	244,004,831
23					
24		IV. CURRENT AND ACCRUED LIABILITIES			
25	220	Notes payable	A-28	31,508,298	55,908,631
26	221	Notes receivable discounted		-	-
27	222	Accounts payable		19,248,707	23,802,955
28	223	Payables to associated companies	A-29	524,013	620,457
29	224	Dividends declared		-	-
30	225	Matured long-term debt		502	-
31	226	Matured interest		-	-
32	227	Customers' deposits		-	-
33	228	Taxes accrued	A-31	7,224,505	4,298,401
34	229	Interest accrued		1,712,601	1,416,778
35	230	Other current and accrued liabilities	A-30	20,305,175	13,955,895
36		Total current and accrued liabilities		80,523,801	100,003,118
37					
38		V. DEFERRED CREDITS			
39	240	Unamortized premium on debt	A-13	-	-
40	241	Advances for construction	A-32	15,732,850	15,933,118
41	242	Other deferred credits	A-33	92,872,691	78,526,290
42		Total deferred credits		108,605,541	94,459,408
43					
44		VI. RESERVES			
45	254	Reserve for uncollectible accounts	A-34	939,207	1,028,158
46	255	Insurance reserve	A-34	-	-
47	256	Injuries and damages reserve	A-34	-	-
48	257	Employees' provident reserve	A-34	-	-
49	258	Other reserves	A-34	-	-
50		Total reserves		939,207	1,028,158
51					
52		VII. CONTRIBUTIONS IN AID OF CONSTRUCTION			
53	265	Contributions in aid of construction	A-35	59,671,827	58,406,227
54		Total liabilities and other credits		832,985,926	796,694,320

SCHEDULE B
Income Account for the Year

Line No.	Acct.	Account (a)	Schedule Page No. (b)	Amount (c)
1		I. UTILITY OPERATING INCOME		
2	501	Operating revenues	B-1	204,234,951
3				
4		Operating Revenue Deductions:		
5	502	Operating expenses	B-2	122,203,359
6	503	Depreciation	A-3	17,877,882
7	504	Amortization of limited-term utility investments	A-3	(1,011,645)
8	505	Amortization of utility plant acquisition adjustments	A-3	109,788
9	506	Property losses chargeable to operations	B-3	-
10	507	Taxes	B-4	25,949,874
11		Total operating revenue deductions		165,129,258
12		Net operating revenues		39,105,693
13	508	Income from utility plant leased to others		-
14	510	Rent for lease of utility plant		-
15		Total utility operating income		39,105,693
16				
17		II. OTHER INCOME		
18	521	Income from nonutility operations (Net)	B-6	320,622
19	522	Revenue from lease of other physical property		241,602
20	523	Dividend revenues		-
21	524	Interest revenues		2,590,506
22	525	Revenues from sinking and other funds		-
23	526	Miscellaneous nonoperating revenues	B-7	694,808
24	527	Nonoperating revenue deductions - Dr.	B-8	(128,301)
25		Total other income		3,719,237
26		Net income before income deductions		42,824,930
27				
28		III. INCOME DEDUCTIONS		
29	530	Interest on long-term debt		14,463,081
30	531	Amortization of debt discount and expense		190,727
31	532	Amortization of premium on debt - Cr.		-
32	533	Taxes assumed on interest		-
33	534	Interest on debt to associated companies		-
34	535	Other interest charges	B-9	98,376
35	536	Interest charged to construction - Cr.		-
36	537	Miscellaneous amortization		-
37	538	Miscellaneous income deductions	B-10	2,308,133
38		Total income deductions		17,060,317
39		Net income		25,764,613
40				
41		IV. DISPOSITION OF NET INCOME		
42	540	Miscellaneous reservations of net income		-
43	N/A	CA-AM Corporate Allocated Return on Rate Base included in Acct 502		(2,303,346)
44		Balance transferred to Earned Surplus or		
45		Proprietary Accounts scheduled on page 21		28,067,959
46				

SCHEDULE A-1
Account 100 - Utility Plant

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1	100 - 1	Utility plant in service (Schedule A-1a)	791,059,236	42,442,193	(33,137,152)	3,710,299	804,074,576
2	100 - 2	Utility plant leased to others	-	-	-	-	-
3	100 - 3	Construction work in progress	42,452,628	60,990,714	-	(43,098,570)	60,344,772
4	100 - 4	Utility plant held for future use (Sch A-1c)	1,518,651	-	-	(56,456)	1,462,195
5	100 - 5	Utility plant acquisition adjustments	3,651,852	-	-	-	3,651,852
6	100 - 6	Utility plant in process of reclassification	-	-	-	-	-
7		Total utility plant	838,682,367	103,432,907	(33,137,152)	(39,444,727)	869,533,395

SCHEDULE A-1a
Account 100.1 - Utility Plant in Service

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		I. INTANGIBLE PLANT					
2	301	Organization	163,104	-	-	231	163,335
3	302	Franchises and consents (Schedule A-1b)	418,180	-	-	-	418,180
4	303	Other intangible plant	6,058,061	(846,828)	(4,538,722)	10,855	683,366
5		Total intangible plant	6,639,345	(846,828)	(4,538,722)	11,086	1,264,881
6							
7		II. LANDED CAPITAL					
8	306	Land and land rights	5,670,213	345,801	-	(23,594)	5,992,420
9							
10		III. SOURCE OF SUPPLY PLANT					
11	311	Structures and improvements	6,400,240	749,062	(25,119)	-	7,124,183
12	312	Collecting and impounding reservoirs	2,768,042	11,570	(371,691)	-	2,407,921
13	313	Lake, river and other intakes	1,063,654	-	(97,283)	-	966,371
14	314	Springs and tunnels	-	-	-	-	-
15	315	Wells	28,642,940	6,512,279	(1,138,855)	-	34,016,364
16	316	Supply mains	12,250,142	136,809	(52,872)	-	12,334,079
17	317	Other source of supply plant	211,133	-	(86,843)	-	124,290
18		Total source of supply plant	51,336,151	7,409,720	(1,772,663)	-	56,973,208
19							
20		IV. PUMPING PLANT					
21	321	Structures and improvements	11,867,279	400,847	(1,465,864)	(16,148)	10,786,114
22	322	Boiler plant equipment	-	-	-	-	-
23	323	Other power production equipment	2,964,820	494,643	(8,967)	-	3,450,496
24	324	Pumping equipment	59,985,342	3,576,843	(6,968,373)	(1,071,566)	55,522,246
25	325	Other pumping plant	-	-	-	-	-
26		Total pumping plant	74,817,441	4,472,333	(8,443,204)	(1,087,714)	69,758,856
27							
28		V. WATER TREATMENT PLANT					
29	331	Structures and improvements	19,020,172	62,598	(419,677)	-	18,663,093
30	332	Water treatment equipment	53,283,899	1,698,416	(4,512,213)	-	50,470,102
31		Total water treatment plant	72,304,071	1,761,014	(4,931,890)	-	69,133,195

SCHEDULE A-1a
Account 100.1 - Utility Plant in Service (Continued)

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
32		VI. TRANSMISSION AND DIST. PLANT					
33	341	Structures and improvements	1,583,722	62,701	(1,537)	(271,377)	1,373,509
34	342	Reservoirs and tanks	61,388,849	2,757,431	(2,090,604)	271,377	62,327,053
35	343	Transmission and distribution mains	276,689,762	7,211,527	(231,154)	(11,398)	283,658,737
36	344	Fire mains	146,610	-	-	4,764	151,374
37	345	Services	96,094,285	6,805,321	(388,708)	-	102,510,898
38	346	Meters	31,023,382	3,720,134	(224,184)	319	34,519,651
39	347	Meter installations	31,360,300	2,969,169	(9,949)	-	34,319,520
40	348	Hydrants	25,651,184	779,141	(77,015)	13,762	26,367,072
41	349	Other transmission and distribution plant	2,142,165	-	(1,475)	-	2,140,690
42		Total transmission and distribution plant	526,080,259	24,305,424	(3,024,626)	7,447	547,368,504
43							
44		VII. GENERAL PLANT					
45	371	Structures and improvements	8,192,882	1,204,388	(1,013,428)	(114,345)	8,269,497
46	372	Office furniture and equipment	18,734,360	2,934,455	(3,382,983)	4,912,530	23,198,362
47	373	Transportation equipment	2,374,124	65,343	(1,396,999)	1,036	1,043,504
48	374	Stores equipment	19,134	-	(2,499)	-	16,635
49	375	Laboratory equipment	443,398	81,705	(195,893)	-	329,210
50	376	Communication equipment	18,947,075	445,809	(3,280,579)	714	16,113,019
51	377	Power operated equipment	1,671,840	58,113	(243,449)	-	1,486,504
52	378	Tools, shop and garage equipment	2,303,432	153,397	(791,625)	113	1,665,317
53	379	Other general plant	1,501,515	51,519	(116,406)	(294,475)	1,142,153
54		Total general plant	54,187,760	4,994,729	(10,423,861)	4,505,573	53,264,201
55							
56		VIII. UNDISTRIBUTED ITEMS					
57	390	Other tangible property	23,997	-	(2,186)	297,501	319,312
58	391	Utility plant purchased	-	-	-	-	-
59	392	Utility plant sold	-	-	-	-	-
60		Total undistributed items	23,997	-	(2,186)	297,501	319,312
61		Total utility plant in service	791,059,237	42,442,193	(33,137,152)	3,710,299	804,074,577

SCHEDULE A-1b
Account 302 - Franchises and Consents

Line No.	Name of Original Grantor (a)	Date of Grant (b)	Term in Years (c)	Date of Acquisition by Utility (d)	Amount at which Carried in Account ¹ (e)
1	See Addendum				0
2					
3					
4					
5					0
					Total

¹ The total should agree with the balance at the end of the year in Account 302 in Schedule A-1a Line 10.

Schedule A-1b

Account 302 - FRANCHISES AND CONSENTS

<u>NAME OF ORIGINAL GRANTOR</u>	<u>DATE OF GRANT</u>	<u>TERM IN YEARS</u>	<u>DATE OF ACQUISITION BY UTILITY</u>
City of Coronado/Constitutional Franchise	Prior to 10/11/1911	Perpetual	
City of San Gabriel Ordinance 515-C.S	1/1/1989	50	1/19/1939
City of San Marino Ordinance 03-1169	9/10/2001	15	9/10/1986
City of San Diego Ordinance 0-19283	6/30/2004	25	11/22/1935
City of Los Angeles-Expired Ordinance 163757	1/1/1988	10	6/10/1988
County of Monterey Ordinance 1278	2/14/1963	50	2/14/1963
City of Rosemead Ordinance 96	6/26/1964	Indeterminate	6/26/1964
City of Seaside Ordinance 317	7/17/2003	25	12/11/1967
County of Ventura Ordinance 1118	1/11/1962	50	3/15/1960
County of Los Angeles Ordinance 2006-0044F	8/12/2006	15	10/21/1981
City of Bradbury Ordinance 258	9/19/1995	Indeterminate	
City of Duarte Ordinance 709	7/12/1994	Indeterminate	
City of Thousand Oaks Ordinance 1461-NS	5/9/2006	15	6/9/2006
Carmel-by-the-Sea Ordinance 93-27	1/1/1994	Indeterminate	
City of Monterey	7/8/1994	25	
City of Del Rey Oaks	12/1/2009	25	
Imperial Beach Ordinance 810	7/5/1990	25	
City of Pacific Grove	1/1/2008	25	
County of Sacramento	Various	--	Various
Placer County Ordinance 4609-B	10/27/1994	50	
Rancho Cordova Ordinance 53-2006	9/18/2006	15	

California American Water Company
FRANCHISES AND CONSENTS continued

SCHEDULE A-1b

PROPERTY	NAME OF ORIGINAL GRANTOR	DATE OF GRANT	TERM YEARS	DATE OF ACQUISITION BY UTILITY	AMOUNT CARRIED ON ACCOUNT
Arden	Sacramento County	Not Available	Not Available	Not Available	4,162
(P.U.C. Decision # 51527)					
	Sacramento County				
	Ordinance #500	July 20, 1955	50	July 20, 1955	3,850
	Ordinance #542	August 6, 1956		August 6, 1956	
Parkway	Sacramento County				
	Ordinance #492	May 18, 1955		May 18, 1955	
	Ordinance #713	Aug 22, 1960	50	Aug 22, 1960	4,700
	Ordinance #458	Sept. 2, 1953		Sept. 2, 1953	
	Ordinance #878	April 28, 1965		April 28, 1965	
	Ordinance #489	April 6, 1955	5	April 6, 1955	
	Ordinance #1223	Feb. 20, 1979		Feb. 20, 1979	
Royal Oaks	Sacramento County				
	Ordinance #488	April 6, 1955	5	April 6, 1955	4,206
Lincoln Oaks	Sacramento County				
	Ordinance #499	(1)July 20, 1955		(1)July 20, 1955	
Sunrise	Sacramento County				
	Ordinance #1158	June 16, 1975	50	June 16, 1975	75
	Ordinance #1228	May 6, 1980	50	May 6, 1980	624
	Ordinance #1248	July 27, 1982	50	July 27, 1982	
	Ordinance #1254	Nov. 2, 1982		Nov. 2, 1982	
Sacramento	Placer County				
	Ordinance #4609-B	Sept.30, 1994	50	Sept. 30, 1994	6,412
Monterey					27,696
Baldwin Hills	Los Angeles County				25,897
San Marino	Los Angeles County				7,820
Village					63,634
Sacramento	Northridge/Placer				201,874
Sacramento					63,523
Total					414,473 (2)

(1) Amended by: Ordinance #523 - February 15, 1956
Ordinance #540 - July 25, 1956

(2) Does not agree to Schedule A-1a line 10 as Ordinance 486 for \$3,707 has been excluded from this schedule, but not retired as of 12/31/01. This will be retired at a future date.

SCHEDULE A-1c
Account 100.4 - Utility Plant Held for Future Use

Line No.	Description and Location of Property (a)	Date of Acquisition (b)	Approximate Date When Property Will be Placed in Service (c)	Balance End of Year (d)
1	Shopping Center-Lot 13-Village District	N/A	N/A	37,740
2	Dry Creek Land- 2.034 AC-Sacramento	3/16/2004	N/A	281,500
3	Parcel 059 239 050 Well 6A Larkfield	1/1/2004	N/A	1,142,955
4				
5				
6				
7				
8				
9	Total			1,462,195

SCHEDULE A-2
Account 110 - Other Physical Property

Line No.	Name and Description of Property (a)	Book Value End of Year (b)
1	See Addendum- A-Account 110 - NUP Land	1,925,759
2	See Addendum- B-Account 110 - NUP Buildings	120,658
3	Non Utility Plant-NUP -Other	0
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15	Total	2,046,417

California - American Water Company Account Reconciliation
As of December 31, 2014
NUP-Land
Addendum A-Account 110

<u>BU</u>	<u>DESCRIPTION</u>	<u>ASSET ID</u>	<u>Total Amount</u>
053005	Land & Land Rights 3rd St. : Improve Bstr Site under 1911 : Act	87195494	1,396
053005	Land & Land Rights Coronado : Booster #1 Assessment	87195452	156
053005	Land & Ld Rights-Coronado : Booster #1	87195388	1,142
055105	Village Well #4 - Land	2652019	45
056005	POMEGRANATE/LA MANCHA : LAND PARCEL : 19960115	2658637	175,013
056005	3415 MISTY MOMING CIRCLE	2658630	34,115
056005	3608 FABERGE	2651682	91
	3805 CONTEMPO	2651683	262
056005	3805 Contempo Dr	2658577	102
056005	4121 ASHGROVE	2651685	153
056005	4234 ALBERTVILLE WAY	2658636	26,345
056005	4908 BUFFWOOD	2651691	110
056005	6389 NAVION WELL SITE	2651680	48
056005	6389 NAVION WELL SITE 2	2651679	48
056005	65TH ST EXPRESSWAY WELL SITE	2651671	45
056005	7721 CMNWEALTH DR WELL SITE	2651693	259
056005	9148 CASTLEBAR	2651684	172
056005	9513 MIRA DEL RIO	2651678	72
056005	9805 ALLEGHENY WELL SITE	2651675	75
056005	9836 BURLINE	2651688	100
054005	Airway Tank Site #2	2652025	1,088
056005	AUBURN WELL SITE	2651690	86
056005	BARRACUDA WELL SITE	2651676	204
056005	Bellingrath Well Site	2651695	251
056005	BLACKHAWK WELL SITE	2651672	46
054005	Ch Tank Site #1, Lower MI.	2652026	300
056005	CHARDONNAY WELL SITE NO. 5	2651694	115
054005	Del Rey Terrace Tank & PP #28	2652027	40
056005	FLORIN CREEK WATER TRTMT SITE	2658627	232,531
056005	GREENBACK LANE WELL SITE	2651674	40
054005	Harding Well Site Lots	2652028	1,596
056005	HUNTSMAN WELL SITE	2651677	5
056005	Hurley Ave. Well Site Vacant	2651681	182
056005	JEANNE WELL SITE	2651673	345
055005	Work Order Addition	24262134	486,973
055005	Work Order Addition	24262143	464,578
054005	Land & Ld Rights-SS	2653076	1,002
054005	Land & Ld Rights-SS	2653077	2,000
054005	Land & Ld Rights-SS	2653078	330
054005	Land & Ld Rights-SS	2653075	532
054005	Land & Ld Rights-SS	2653074	33,545
054005	Land & Ld Rights-TD	2653079	2,887
053005	LAND OTAY WELL #1	2652035	420
056005	LAND PARCEL	2658635	17,856
056005	LINDA SUE WELL SITE NO. 2	2651669	226
056005	Lot 5 Trct 16128 RICH 1951	2651585	2,609
056005	MAP 1284 34 13 PARCEL 1	2658510	522
054005	Monterey - Los Laureles Tank Site	67600289	523
056005	MAP 1284 34 29 PARCEL 1	2658517	1,093
056005	MAP 1284 34 50 PARCEL 1	2658499	714
056005	MAP 1284 34 91 PARCEL 1	2658569	10,011
056005	MAP 1284-34-96 PARCEL 2	2658595	438
056105	MAP 58 090 16	2653080	7,597
056005	MILLS STATION WELL SITE	2651686	485
055105	Orbis Well #10 - Land	2652017	240
055105	ORBIS WELL #2 - Land	2651654	240
055105	Orbis Well #4 - Land	2652016	240
055105	Orbis Well #41 - Land	2652018	225
053005	OTAY WATERSHED WATER RIGHTS	2652034	17,725
053005	OTAY WEST END GRANT	2652033	1,280
054005	Palm Well Site	2652031	1,600
054005	Paralta Tank Site	2652029	462
056005	PARCEL EACH	2658613	16,163
056005	PARCEL EACH	2658615	112,875
056005	PARCEL EACH	2658626	22,733
054005	PIERCE WELL SITE 200' X 100' EASEME	9051192	2,310
056005	POMEGRANATE/LA MANCHA	2658637	220,748
053005	RIGHT OF WAY - OTAY WELLS	2652032	399
054005	RIGHTS OF WAY FROM LOS TULARCITOS D	9051199	2,178
055005	TFR TO NUP LOT1-TRACT8900	2653071	3,400
055005	TFR TO NUP LOT6 SAN M PARK	2653072	7,489
055005	TFR TO NUP LOT60-TRACT1798	2653070	4,000
055005	TFR TO NUP SCOTT RES SITE1	2653073	516
056005	UNDESCRIBED	2651670	18
Grand Total			1,925,759

California - American Water Company Account Reconciliation
As of December 31, 2014
NUP-Buildings
Addendum B-Account 110

<u>BU</u>	<u>DESCRIPTION</u>	<u>ASSET ID</u>	<u>Total Amount</u>
	5000 GAL PRESSURE TANK	2651721	903
056005	CASING EACH	2651697	1,430
056005	CONCRETE SAND TRAP	2651720	120
053005	Fencing & Pits	D15-3001-P-0190	16,148
056005	FENCE	2651717	2,280
056005	FENCE	2651710	4,052
056005	FENCE EACH	2651704	152
056005	FENCE EACH	2651700	2,564
056005	FENCE EACH	2651701	1,554
056005	FENCE EACH	2651702	1,566
056005	FENCE EACH	2651703	1,070
056005	FENCE EACH	2651705	33
056005	FENCE EACH	2651709	3,120
056005	FENCE EACH	2651707	1,142
056005	FENCE EACH	2651711	1,433
056005	FENCE EACH	2651712	1,480
056005	FENCE EACH	2651713	7,806
056005	FENCE EACH	2651714	1,818
056005	FENCE EACH	2651715	1,329
056005	FENCE EACH	2651716	2,329
056005	FENCE EACH	2651696	712
056005	FENCE EACH	2651718	3,333
056005	GATE ONLY	2651706	606
056005	GATE ONLY	2651708	874
056005	Structures & Improvements	2651719	61,911
056005	UNIDENTIFIED	2651698	893
	Grand Total		120,658

**SCHEDULE A-1d
RATE BASE AND WORKING CASH**

Line No.	Acct.	Title of Account (a)	Balance 12/31/2014 (c)	Balance 1/1/2014 (d)
		RATE BASE		
1		Utility Plant		
2		Plant in Service	782,745,508	776,735,742
3		Construction Work in Progress*	28,249,485	30,607,510
4		General Office Prorate	-	14,323,493
5		Total Gross Plant (=Line 2 + Line 3 + Line 4)	810,994,993	821,666,746
6		Less Accumulated Depreciation		
7		Plant in Service	273,383,264	290,087,167
8		General Office Prorate	-	2,348,130
9		Total Accumulated Depreciation (=Line 7 + Line 8)	273,383,264	292,435,298
10		Less Other Reserves		
11		Deferred Income Taxes	56,208,936	49,284,072
12		Deferred Investment Tax Credit	604,901	686,791
13		Other Reserves	379,455	429,560
14		Total Other Reserves (=Line 11 + Line 12 + Line 13)	57,193,292	50,400,423
15		Less Adjustments		
16		Contributions in Aid of Construction	59,867,108	57,812,905
17		Contributions in Aid of Construction (20 year amortization)	2,972,700	3,397,200
18		Advances for Construction	17,347,487	17,444,326
19		Advances for Construction (20 year amortization)	8,829,000	10,090,300
20		Other	-	-
21		Total Adjustments (=Line 16 + Line 17 + Line 18)	89,016,295	88,744,731
22		Add Materials and Supplies	679,413	635,966
23		Add Working Cash (=Line 34)	24,303,445	22,684,467
24		TOTAL RATE BASE		
25		(=Line 5 - Line 9 - Line 14 - Line 19 + Line 20 + Line 21)	416,385,001	413,406,727



Working Cash				
26		Determination of Operational Cash Requirement		
27		Operating Expenses, Excluding Taxes, Depreciation & Uncollectible	121,114,888	113,306,100
28		Purchased Power & Commodity for Resale*	6,709,596	6,669,609
29		Meter Revenues: Bimonthly Billing	176,123,889	174,399,290
30		Other Revenues: Flat Rate Monthly Billing	3,285,775	3,438,889
31		Total Revenues (=Line 27 + Line 28)	179,409,664	177,838,179
32		Ratio - Flat Rate to Total Revenues (=Line 28 / Line 29)	1.83%	1.93%
33		5/24 x Line 25 x (100% - Line 30)	24,770,155	23,148,975
34		1/24 x Line 25 x Line 30	92,423	91,293
35		1/12 x Line 26	559,133	555,801
36		Operational Cash Requirement (=Line 31 + Line 32 - Line 33)	24,303,445	22,684,467
		* Electric power, gas or other fuel purchased for pumping and/or purchased commodity for resale billed after receipt (metered).		

*CWIP Balance at 1/1/2014 changed from as filed 12/31/2013 due to excluding 7,643,648 of CWIP related to the Monterey Peninsula Water Supply Project

SCHEDULE A-3

Accounts 250 to 253, Inclusive - Depreciation and Amortization Reserves

Line No.	Item (a)	Account 250 Utility Plant (b)	Account 251 Limited-Term Utility Investments (c)	Account 252 Utility Plant Acquisition Adjustments (d)	Account 253 Other Property (e)
1	Balance in reserves at beginning of year	292,435,297	10,333	1,759,266	65,400
2	Add: Credits to reserves during year				
3	(a) Charged to Account 503, 504, 505 ⁽¹⁾	16,863,112	1,000	109,788	2,125
4	(b) Charged to Account 265	1,410,637			
5	(c) Charged to clearing accounts				
6	(d) Salvage recovered	104,911			
7	(e) All other credits ⁽²⁾	128,795			361,878
8	Total credits	18,507,455	1,000	109,788	364,003
9	Deduct: Debits to reserves during year				
10	(a) Book cost of property retired	33,134,934			
11	(b) Cost of removal	2,973,865			
12	(c) All other debits ⁽³⁾	(617,409)			125,913
13	Total debits	35,491,390	-	-	125,913
14	Balance in reserve at end of year	275,451,362	11,333	1,869,054	303,490
15					
16	(1) COMPOSITE DEPRECIATION RATE USED FOR STRAIGHT LINE REMAINING LIFE:				3.73%
17					
18	(2) EXPLANATION OF ALL OTHER CREDITS: See district reports for details.				
26					
27	(3) EXPLANATION OF ALL OTHER DEBITS: See district reports for details.				
34					
35	METHOD USED TO COMPUTE INCOME TAX DEPRECIATION:				
36	(a) Straight Line	()		(X) Village, Orbis, Pollack, Pre 1970	
37	(b) Liberalized	()		()	
38	(1) Double declining balance	()		(X) On Additions from 4-1-66 through 1970	
39	(2) ACRS	()		()	
40	(3) MACRS	()		()	
41	(4) Others	()		(X) 150% DBM on property acquired 4-1-66	
42	(c) Both straight line and liberalized	()		(X) ADR on Additions 1971 through 1980	
43				(X) ACRS on Additions 1981 through 1986	
44				(X) MACRS on Additions after 1986	

SCHEDULE A-3a
Account 250 - Analysis of Entries in Depreciation Reserve

(This schedule is to be completed if records are maintained showing depreciation reserve by plant accounts)

Line No.	Acct.	DEPRECIABLE PLANT (a)	Balance Beginning of Year (b)	Credits to Reserve During Year Excl. Salvage (c)	Debits to Reserves During Year Excl. Cost Removal (d)	Salvage and Cost of Removal Net (Dr.) or Cr. (e)	Balance End of Year (f)
1		I. SOURCE OF SUPPLY PLANT					
2	311	Structures and improvements	857,143	179,709	(25,119)	(16,306)	995,427
3	312	Collecting and impounding reservoirs	1,679,793	46,893	(371,691)	-	1,354,995
4	313	Lake, river and other intakes	426,188	33,359	(97,283)	-	362,264
5	314	Springs and tunnels	-	-	-	-	-
6	315	Wells	13,637,356	1,092,809	(1,138,855)	(151,217)	13,440,093
7	316	Supply mains	2,258,755	253,373	(52,872)	(18,014)	2,441,242
8	317	Other source of supply plant	157,969	5,213	(86,843)	-	76,339
9		Total source of supply plant	19,017,204	1,611,356	(1,772,663)	(185,537)	18,670,360
10							
11		II. PUMPING PLANT					
12	321	Structures and improvements	4,499,343	(94,171)	(1,465,864)	(33,844)	2,905,464
13	322	Boiler plant equipment	-	-	-	-	-
14	323	Other power production equipment	963,893	126,061	(8,967)	(1,098)	1,079,889
15	324	Pumping equipment	26,614,221	2,118,265	(6,968,373)	(251,533)	21,512,580
16	325	Other pumping plant	-	-	-	-	-
17		Total pumping plant	32,077,458	2,150,155	(8,443,204)	(286,475)	25,497,934
18							
19		III. WATER TREATMENT PLANT					
20	331	Structures and improvements	7,375,872	426,183	(419,677)	(2,370)	7,380,008
21	332	Water treatment equipment	24,143,154	1,168,282	(4,512,213)	(83,635)	20,715,588
22		Total water treatment plant	31,519,026	1,594,465	(4,931,890)	(86,005)	28,095,596
23							
24		IV. TRANS. AND DIST. PLANT					
25	341	Structures and improvements	234,928	(55,758)	(9,369)	(954)	168,847
26	342	Reservoirs and tanks	24,101,972	991,953	(2,090,604)	(18,171)	22,985,150
27	343	Transmission and distribution mains	87,835,741	5,230,435	(231,875)	(779,777)	92,054,524
28	344	Fire mains	(37,700)	6,598	719	-	(30,383)
29	345	Services	39,853,545	2,591,153	(386,488)	(1,088,727)	40,969,483
30	346	Meters	13,841,091	1,613,263	(3,345,265)	(329,124)	11,779,965
31	347	Meter installations	2,183,161	1,684,705	3,111,132	25,670	7,004,668
32	348	Hydrants	9,597,595	600,446	(77,015)	(116,249)	10,004,777
33	349	Other transmission and distribution plant	153,163	10,821	(284,976)	279,066	158,074
34		Total trans. and distribution plant	177,763,496	12,673,616	(3,313,741)	(2,028,266)	185,095,105
35							
36		V. GENERAL PLANT					
37	371	Structures and improvements	3,315,358	158,787	(1,005,597)	(23,818)	2,444,730
38	372	Office furniture and equipment	4,907,459	354,690	(3,382,983)	(963)	1,878,203
39	373	Transportation equipment	1,937,889	1,327	(1,396,998)	29,336	571,554
40	374	Stores equipment	11,796	326	(2,499)	-	9,623
41	375	Laboratory equipment	350,395	9,976	(195,893)	(151)	164,327
42	376	Communication equipment	12,464,755	584,928	(3,280,579)	(2,101)	9,767,003
43	377	Power operated equipment	1,289,369	25,292	(243,449)	-	1,071,212
44	378	Tools, shop and garage equipment	1,446,546	53,679	(791,625)	(2,635)	705,965
45	379	Other general plant	1,147,525	75,973	(3,626,715)	(282,339)	(2,685,556)
46	390	Other tangible property	5,187,021	(274,617)	(747,098)	-	4,165,306
47	391	Water plant purchased	-	-	-	-	-
48		Total general plant	32,058,113	990,361	(14,673,436)	(282,671)	18,092,367
49		Total	292,435,297	19,019,953	(33,134,934)	(2,868,954)	275,451,362

SCHEDULE A-4
Account 111 - Investments in Associated Companies

Line No.	Class of Security (a)	Name of Issuing Company (b)	Par Value End of Year (c)	Ledger Value End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest and Dividends Received During Year (g)
1		None					
2							
3							
4							
5							
6							
7		Total ¹		0			

1 The total should agree with the balance at the end of the year in Account 111 on page 5.

SCHEDULE A-5
Account 112 - Other Investments

Line No.	Name and Description of Property (a)	Balance End of Year (b)
1	None	
2		
3		
4		
5		
6		
7		
8		
9	Total	0

SCHEDULE A-6
Account 113 - Sinking Funds

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	None					
2						
3						
4						
5						
6						
7						
8						
9	Total					0

SCHEDULE A-7
Account 114 - Miscellaneous Special Funds

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	None					
2						
3						
4						
5						
6						
7						
8						
9	Totals	0				0

SCHEDULE A-8
Account 121 - Special Deposits

Line No.	Name of Depository (a)	Purpose of Deposit (b)	Balance End of Year (c)
1	City of Chula Vista	Utility Permits	150
2	Air Commercial Real Estate Assoc.	CA -Corp Office Security Deposit	26,280
3	Selvin Commerical Properties	Village Office Lease	15,913
4	City of Citrus Heights	Encroachment Permit	531
5			
6	Totals		42,874

SCHEDULE A-9
Account 124 - Notes Receivable

Line No.	Maker (a)	Date of Issue (b)	Date Payable (c)	Balance End of Year (d)	Interest Rate (e)	Interest Accrued During Year (g)	Interest Received During Year (g)
1	None						
2							
3							
4							
5							
6	Totals			0			

SCHEDULE A-10
Account 126 - Receivables from Associated Companies

Line No.	Due from Whom (a)	Amount (b)	Interest Rate (c)	Interest Accrued During Year (d)	Interest Received During Year (e)
1	American Water Capital Corporation	4,146			
2	American Water Works Service Company	19,014			
3	American Water Works Company	32,911			
4	Miscellaneous Intercompany Clearing	857,234			
5					
	Total	913,305			

SCHEDULE A-11
Account 132 - Prepayments

Line No.	Item (a)	Amount (b)
1	Prepaid Taxes	12,046
2	Prepaid Insurance	16,874
3	Prepaid Other	1,292,448
4		
5		
6	Total	1,321,368

SCHEDULE A-12
Account 133 - Other Current and Accrued Assets

Line No.	Item (a)	Amount (b)
1	Accrued Utility Revenues	8,337,698
2	Deferred Vacation Pay	1,176,871
3	Current State Deferred Tax	490,160
4	Current Federal Deferred Tax	1,769,123
5		
6		
	Total	11,773,853

SCHEDULE A-13

Accounts 140 and 240 - Unamortized Debt Discount and Expense and Unamortized Premium on Debt

1. Report under separate subheadings for Unamortized Debt Discount and Expense and Unamortized Premium or Debt, particulars of discount and expense of premium applicable to each class and series of long-term debt.
2. Show premium amounts in red or by enclosure in parentheses.
3. In column (b) show the principal amount of bonds or other long-term debt originally issued.
4. In column (c) show the discount and expense or premium with respect to the amount of bonds or other long-term debt originally issued.
5. Furnish particulars regarding the treatment of unamortized debt discount and expense or premium, redemption premiums, and redemption expenses associated with issues redeemed during the year, also, date of the Commission's authorization of treatment other than as specified by the Uniform System of Accounts.
6. Set out separately and identify undisposed amounts applicable to issues which were redeemed in prior years.
7. Explain any debits and credits other than amortization debited to Account 531, Amortization of Debt Discount and Expense, or credited to Account 532, Amortization of Premium on Debt-Credit.

Line No.	Designation of long-term debt (a)	Principal amount of securities to which discount and expense, or premium minus expense, relates (b)	Total discount and expense or net Premium (c)	AMORTIZATION PERIOD		Balance beginning of year (f)	Debits during year (g)	Credits during year (h)	Balance end of year (i)
				From- (d)	To- (e)				
1	Allstate Life Ins - 9.71%	6,000,000	101,992	1/1/2003	6/1/2020	37,579		5,866	31,713
2	Allstate Life Ins-9.22%	10,000,000	104,754	1/1/2003	6/1/2021	42,188		5,698	36,490
3	American United Life Ins-6.76%	10,000,000	50,953	12/1/2002	12/1/2023	24,157		2,441	21,716
4	Saikid & Co - 6.76%	10,000,000	56,021	12/1/2002	12/1/2033	36,089		1,816	34,273
5	State Life & American United Ins-7.33%	10,000,000	255,110	12/1/2002	5/1/2026	134,848		10,957	123,891
6	American Water Capital Corp - 5.52%	10,000,000	40,691	12/21/2006	12/21/2016	12,194		4,118	8,076
7	American Water Capital Corp - 5.62%	52,300,000	176,610	12/21/2006	12/21/2018	73,554		14,852	58,702
8	American Water Capital Corp - 5.77%	75,700,000	267,484	12/21/2006	12/21/2021	142,631		17,959	124,672
9	American Water Capital Corp- 5.39%	24,000,000	103,332	12/21/2006	12/21/2013	237		237	-
10	American Water Capital Corp- 10%*	35,000,000	1,179,875	12/1/2013	3/1/2024	1,172,138		43,262	1,128,876
11	American Water Capital Corp- 5.25%	35,000,000	713,069	8/18/2010	8/1/2040	631,225		23,191	608,034
12	American Water Capital Corp - 3.85%	25,000,000	210,000	11/20/2013	3/1/2024	193,594	14,265	20,443	187,416
13	American Water Capital Corp - 1.60%	25,000,000	14,265	6/27/2014	10/15/2017	-	14,265	2,500	11,765
14	Revolver Fee		222,245			180,556		37,388	143,168
15	* Amortization of 10% series is being amortized over life of 3.85% series 2013 bond issuance used to refinance the 10% series. The total deferred expense of \$1,179,875 represents the unamortized balance at time of refinancing of the original deferred amount of \$1,415,996								
16									
17									
18	Totals	328,000,000	3,496,401			2,680,990	28,530	190,728	2,518,792

SCHEDULE A-14
Account 141 - Extraordinary Property Losses

Line No.	Description of Property Loss or Damage (a)	Total Amount of Loss (b)	Previously Written off (c)	WRITTEN OFF DURING YEAR		Balance End of year (f)
				Account Charged (d)	Amount (e)	
1	None					
2						
3						
	Total					0

SCHEDULE A-15
Account 146 - Other Deferred Debits

Line No.	Item (a)	Balance End of year (b)
1	See Addendum	210,114,153
2		
3		
4		
5		
6	Total	210,114,153

SCHEDULE A-16
Account 150 - Discount on Capital Stock

1. Report the balance at end of year of discount on capital stock for each class and series of capital stock.
2. If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off during the year and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance End of year (b)
1	None	
2		
3	Total	0

SCHEDULE A-17
Account 151- Capital Stock Expense

1. Report the balance at end of year of capital stock expense for each class and series of capital stock.
2. If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off of capital stock expense and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance End of year (b)
1	None	
2		
3	Total	0

California-American Water Company
Addendum to Schedule A-15
12/31/2014

ACCOUNT NO. 146 - OTHER DEFERRED DEBITS

ITEMS	BALANCE
RWIP - Cost of Removal	4,093,559
Reg Asset-AFUDC-Eq-CWIP	5,601
Reg Asset-AFUDC-Equity	589,455
Reg Asset- Plt Flow	0
Reg Asset-Other	(226,862)
Reg Asset-St Flow Through	0
Reg Asset-Accum Amortization	(367,824)
Programmed Maintance-Tank Painting	4,675,794
Deferred Service Company Pension	0
Deferred OPEB	(606,190)
Deferred Pension	(5,381,325)
FAS 112 Costs	116,573
Depreciation Study	0
Enviromental Remediation Study-NOAA	7,791,348
MPWMD User Fee	0
Operational Energy Efficiency Program	0
Cease & Desist Order Memo Account	410,277
Santa Rosa Groundwater	0
DSIC Surcharges	(291,477)
Low Income Program	5,338,381
Interim Rates	0
Old Monterey Style Water Revenue Adjustment Mechanism (WRAM)	939,718
San Clemente Dam AFUDC	70,145,509
San Clemente Dam Removal Costs	1,745,784
Los Angeles Conservation Charges	0
Funds Restricted for Construction	12,380
Monterey District Conservation Balancing Account	0
WRAM/MCBA	37,710,206
Sacramento VCMRRAM	3,335,099
Carmel River Dam	0
Coastal Water Project	8,385,662
Seaside Groundwater Basin	0
Endangered Species Act (ESA)	865,524
Phase 1 ASR Project	0
Conservation/Rationing	55,213
Other Reg Asset	2,403,029
GAAP recording of EITF	(4,288,507)
Citizens Acquisition Purchase Premium-Net of Amortization	54,052,205
Preliminary Costs of Financing	0
Deferred Rate Proceedings	0
Low Income Customer Data	102,817
Sand City Desal Plant Pur Wtr Bal Acct	729,306
Reg Asset - Leak Adjustments	3,876,259
Reg Asset - Water Action Plan - Memo Acct	97,955
Other Long Term Asset	7,648,043
Authorized Balancing Account	6,150,641
Total	210,114,153

SCHEDULE A-18
Accounts 200 and 201- Capital Stock

Line No.	Class of Stock (a)	Number of Shares Authorized by Articles of Incorporation (b)	Par Value of Stock Authorized by Articles of Incorporation (c)	Number of Shares Outstanding ¹ (d)	Total Par Value ² Outstanding End of Year (e)	Dividends Declared During Year	
						Rate (f)	Amount (g)
1	Common	2,000,000	0	619,136	92,477,914		0
2							
3							
4							
5							
6	Totals	2,000,000	0	619,136	92,477,914	0	0

¹ After deduction for amount of reacquired stock held by or for the respondent.

² If shares of stock have no par value, show value assigned to stock outstanding.

SCHEDULE A-18a
Record of Stockholders at End of Year

Line No.	COMMON STOCK	Number Shares (b)	PREFERRED STOCK	Number Shares (d)
	Name (a)		Name (c)	
1	American Water Works Co, Inc	619,136	None	0
2				
3				
4				
5				
6				
7				
8				
9	Total number of shares	619,136	Total number of shares	0

SCHEDULE A-19
Account 203 - Premiums and Assessments on Capital Stock

Line No.	Class of Stock (a)	Balance End of Year (b)
1	None	0
2		
3		
4		
5		
6		
7		
8		
9	Total	0

SCHEDULE A-20
Account 270 - Capital Surplus (For use by Corporations only)

Line No.	Item (a)	Amount (b)
1	Balance beginning of year	160,988,377
2	CREDITS (Give nature of each credit and state account charged)	
3	Employee Stock Purchase Program	29,631
4	Restricted Stock Units & Options	230,287
5	Equity Infusion	6,300,000
6	Total credits	6,559,918
7	DEBITS (Give nature of each debit and state account credited)	
8	N/A	-
9	Total debits	-
10	Balance end of year	167,548,295

SCHEDULE A-21
Account 271 - Earned Surplus (For use by Corporations only)

Line No.	Acct	Account (a)	Amount (b)
1		Balance beginning of year	45,326,287
2		CREDITS	
3	400	Credit balance transferred from income account	28,067,959
4	401	Change in Monterey Wastewater Retained Earnings	(671,157)
5			
6		Total credits	27,396,802
7		DEBITS	
8	410	Debit balance transferred from income account	117,058
9	411	Dividend appropriations-preferred stock	-
10	412	Dividend appropriations-Common stock	18,400,722
11	413	Miscellaneous reservations of surplus	-
12	414	Miscellaneous credits to surplus (detail)	-
13			
14		Total debits	18,517,780
15		Balance end of year	54,205,309

SCHEDULE A-22
Account 204 - Proprietary Capital
(Sole Proprietor or Partnership)

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	
2	CREDITS	
3	Net income for year	Schedule
4	Additional investments during year	Not Applicable
5	Other credits (detail)	
6		
7	Total credits	
8	DEBITS	
9	Net loss for year	
10	Withdrawals during year	
11	Other debits (detail)	
12		
13	Total debits	
14	Balance end of year	

SCHEDULE A-23
Account 205 - Undistributed Profits
of Proprietorship or Partnership

(The use of this account is optional)

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	
2	CREDITS	
3	Net income for year	Schedule
4	Other credits (detail)	Not Applicable
5		
6	Total credits	
7	DEBITS	
8	Net loss for year	
9	Withdrawals during year	
10	Other debits (detail)	
11		
12		
13	Total debits	
14	Balance end of year	

SCHEDULE A-24
Account 210 - Bonds

Line No.	Class of Bond (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding ¹ per Balance Sheet (e)	Rate of Interest (f)	Sinking Fund Added in Current Year (g)	Cost of Issuance (h)	Interest Accrued During Year (i)	Interest Paid During Year (i)
1	General Mortgage Bonds	1/1/2003	6/1/2020	6,000,000	6,000,000	9.71%	0	101,992	582,600	582,600
2	General Mortgage Bonds	1/1/2003	6/1/2021	10,000,000	10,000,000	9.22%	0	104,754	922,000	922,000
3	General Mortgage Bonds	12/1/2002	12/1/2023	10,000,000	10,000,000	6.76%	0	50,953	676,000	676,000
4	General Mortgage Bonds	12/1/2002	12/1/2033	10,000,000	10,000,000	6.76%	0	56,021	676,000	676,000
5	General Mortgage Bonds	12/1/2002	5/1/2026	10,000,000	10,000,000	7.33%	0	255,110	733,000	733,000
6	Notes Payable to Affiliate	12/21/2006	12/21/2016	10,000,000	10,000,000	5.52%	0	40,691	552,000	552,000
7	Notes Payable to Affiliate	12/21/2006	12/21/2018	52,300,000	52,300,000	5.62%	0	176,610	2,939,260	2,939,260
8	Notes Payable to Affiliate	12/21/2006	12/21/2021	75,700,000	75,700,000	5.77%	0	267,484	4,367,890	4,367,890
9	Notes Payable to Affiliate	6/27/2014	10/15/2017	25,000,000	25,000,000	1.60%	0	14,265	203,333	120,000
10	Notes Payable to Affiliate	8/18/2010	8/1/2040	35,000,000	35,000,000	5.25%	0	713,069	1,837,500	1,837,500
11	Notes Payable to Affiliate*	11/20/2013	3/1/2024	25,000,000	25,000,000	3.85%	0	1,389,875	962,500	751,284
12	Debt Discount Related to 3.85% series				(88,942)				9,703	
13	Water Facility Loan - Garrapata	4/1/2012	2/28/2033		102,974	2.50%	0	0	1,294	2,589
14										
15										
16										
17										
18	Totals			269,000,000	269,014,032			3,170,824	14,463,080	14,160,123

¹ After deduction for amount of reacquired bonds held by or for the respondent.

* Notes payable to affiliate 3.85% series cost of issuance of \$1,389,875 represents \$1,179,875 for refinanced 10.00% series unamortized amount remaining of the original \$1,415,996 which will be amortized over the life of the refinanced issuance, and \$210,000 the current issuance costs of the 3.85% series.

**CLASS A WATER COMPANIES
SAFE DRINKING WATER BOND ACT DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) loan outstanding during the 12 month reporting period. (Use one sheet for each loan.)

1. Current Fiscal Agent:

Name: Wells Fargo Corporate Trust Services
 Address: 333 Market Street, San Francisco CA 94105
 Phone Number: 415-371-3400
 Date Hired: September 2010

2. Total surcharge collected from customers during the 12 month reporting period: \$ \$11,280

3. Summary of the trust bank account activities showing:

Balance at beginning of year	\$ 11,454
Deposits during the year	\$ 10,175
Withdrawals made for loan payments	\$ (9,249)
Other withdrawals from this account	\$ 0
Balance at end of year	\$ 12,380

4. Account information:

Bank Name: Wells Fargo
 Account Number: 82295700
 Date Opened: _____

5. Plant amounts included in Schedule A-1a, Account No. 101 -- Water Plant in Service which were funded using SDWBA funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		DEPRECIABLE PLANT					
6	304	Structures	21,303				21,303
7	307	Wells					
8	311	Pumping equipment					
9	317	Other water source plant	16,502				16,502
10	320	Water treatment plant	124,714				124,714
11	330	Reservoirs, tanks and sandpipes	185,070				185,070
12	331	Water mains	67,713				67,713
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service	415,302				415,302

SCHEDULE A-25
Account 212 - Advances from Associated Companies

Line No.	Nature of Obligation (a)	Amount of Obligation (b)	Rate of Interest (c)	Interest Accrued During Year (d)	Interest Paid During Year (e)
1					
2	None				
3					
4					
5	Total	0			

SCHEDULE A-26
Account 213 - Miscellaneous Long Term Debt

Line No.	Nature of Obligation (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding ¹ Per Balance Sheet (e)	Rate of Interest (f)	Interest Accrued During Year (g)	Interest Paid During Year (h)
1								
2	None							
3								
4								
5								
6								
7								
8	Total				0			

¹ After deduction for amount of reacquired debt held by or for the respondent.

SCHEDULE A-27
Securities Issued or Assumed During Year

Line No.	Class of Security (a)	Commission Authorization (b)	Amount Issued During Year (omit cents) (c)	Discount or Premium (d)	Expenses (e)
1					
2	None				
3					
4					
5	Total				

**SCHEDULE A-28
Account 220 - Notes Payable**

Line No.	In Favor of (a)	Date of Issue (b)	Date of Maturity (c)	Balance End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest Paid During Year (g)
1	American Water Capital Corporation	None	None	31,508,298	N/A	0	0
2							
3							
4							
5							
6							
7	Total			31,508,298			

**SCHEDULE A-29
Account 223 - Payables to Associated Companies**

Line No.	Nature of Obligation (a)	Balance End of Year (b)	Rate of Interest (c)	Interest Accrued During Year (d)	Interest Paid During Year (e)
1	American Water Works Service Company	510,025	0	0	0
2	American Water Capital Corporation	13,948	0	0	0
3	American Water Resources -Protection Programs	-	0	0	0
4	Miscellaneous Intercompany Clearing	40	0	0	0
5			0	0	0
6			0	0	0
7	Total	524,013	0	0	0

**SCHEDULE A-30
Account 230 - Other Current and Accrued Liabilities**

Line No.	Description (a)	Balance End of Year (b)
1	Accrued Vacation	1,176,871
2	Accrued Purchase Water	3,536,862
3	Accrued Purchase Power	493,158
4	Accrued Legal	411,855
5	Accrued Wages	792,467
6	Accrued Insurance and Retro Insurance Adjustment	1,189,102
7	Accrued Waste Disposal	6,084
8	Accrued Retire Medical	23,000
9	Accrued DCP Contribution	18,472
10	Accrued Bank Fees	14,052
11	Accrued Severance	0
12	Accrued Payroll Withholding & Taxes	16,631
13	Accrued Employee 401K Match	13,326
14	Unclaimed Credits	53,090
15	Unbilled Items	0
16	Collection For Other-Gross Recorded Tax	165,528
17	Collection For Other-Municipal Tax	2,006,838
18	Collection for Others	853
19	Incentive Plan Cash Annual	1,592,734
20	Accrued Litigation	300,000
21	Unclaimed AP Checks	99,438
22	NOAA Short Term	1,100,000
23	Other Current Liability Analyzed	(9,633)
24	Unclaimed Wages	3,385
25	Miscellaneous Deposits Payable	0
26	GRIR Capital Expenditures	6,560,411
27	Collection For Others Miscellaneous	9,028
28	Collection For PUC Surcharges	731,623
29		
30	Total	20,305,175

SCHEDULE A-31 Account 228 - Accrued and Prepaid Taxes

1. This schedule is intended to give particulars of the combined prepaid and accrued tax accounts and to show the total taxes charged to operations and other accounts during the year. Do not include gasoline and other sales taxes which have been charged to the accounts to which the material on which the tax was levied was charged.
2. Taxes, paid during the year and charged direct to final accounts, that is, not charged to prepaid or accrued taxes, should be included in the schedule. Enter the amounts both in columns (d) and (e). The balancing of the schedule is not affected by the inclusion of these taxes.
3. Taxes charged during the year, column (d), include taxes charged to operations and other accounts through (a) accruals credited to taxes accrued, (b) amounts credited to prepaid taxes for proportions of prepaid taxes chargeable to current year, and (c) taxes paid and charged direct to operations or accounts other than accrued and prepaid tax accounts.
4. If any tax covers more than 1 year, the required information of all columns should be shown separately for each year.
5. Enter all adjustments of the accrued and prepaid tax accounts in column (f) and explain each adjustment. Designate debit adjustments by parentheses.
6. See schedule entitled "TAXES CHARGED DURING YEAR," page 32, for a distribution of taxes charged, column (d) according to utility departments and accounts.
7. Do not include in this schedule entries with respect to deferred income taxes or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.

Line No.	Kind of Tax (See Instruction 5)	BALANCE BEGINNING OF YEAR		Taxes Charged During Year (d)	Paid During Year (e)	Adjustments (f)	BALANCE END OF YEAR	
		Taxes Accrued (b)	Prepaid Taxes (c)				Taxes Accrued (Account 228) (g)	Prepaid Taxes (Incl. in Acct. 132) (h)
1	Taxes on real and personal property	2,237	-	4,125,736	4,125,176	0	2,797	-
2	Taxes on real and personal property	-	235,097	364,528	380,706	4,471	-	255,747
3	State corporation franchise tax	147,263	-	176,280	161,987	0	161,557	-
4	State unemployment insurance tax	626	-	164,646	164,599	0	674	-
5	Other state and local taxes	43,665	-	531,661	562,877	(6,425)	6,024	-
6	Federal unemployment insurance tax	-	-	12,782	12,782	-	-	-
7	Fed. ins. contr. act (old age retire.)	136,561	-	1,719,749	1,719,752	0	136,559	-
8	Other federal taxes current deferred	(28,701)	-	-	-	28,701	-	-
9	Federal income taxes	4,004,703	-	3,015,707	150,110	46,594	6,916,894	-
10	State income taxes	(3)	-	0	-	3	0	-
11	State income taxes current deferred	(7,952)	-	-	-	7,952	-	-
12	Deferred Taxes	-	-	16,644,228	-	(16,644,228)	-	-
13								
14								
15	Total	4,296,399	235,097	26,755,318	7,277,989	(16,562,931)	7,224,505	255,747

SCHEDULE A-32
Account 241 - Advances for Construction

Line No.	(a)	(b)	Amount (c)
1	Balance beginning of year		15,933,118
2	Additions during year		272,791
3	Subtotal - Beginning balance plus additions during year		16,205,909
4	Charges during year		
5	Refunds		
6	Percentage of revenue basis		
7	Proportionate cost basis	520,730	
8	Present worth basis		
9	Total refunds		520,730
10	Transfers to Acct 265 - Contributions in Aid of Construction		
11	Due to expiration of contracts	(47,671)	
12	Due to present worth discount		
13	Total transfers to Acct. 265		(47,671)
14	Securities Exchanged for Contracts (enter detail below)		
15	Subtotal - Charges during year		
16	Balance end of year		15,732,850

If stock, bonds, etc., were issued in exchange for construction advance contracts give details below:

Line No.	Type of Security or Other Consideration (Other than Cash) (a)	Dividend or Interest Rate (b)	PUC Decision Number (c)	Amount Issued (d)
17	Common stock			
18	Preferred stock			
19	Bonds			
20	Other (describe)			
21				
22				

SCHEDULE A-33
Account 242 - Other Deferred Credits

Line No.	Item (a)	Balance End of Year (b)
1	See Addendum	92,872,691
2		
3		
4		
5		
	Total	92,872,691

SCHEDULE A-34
Accounts 254 to 258, Inclusive - Miscellaneous Reserves

Line No.	Account (a)	Balance Beginning of Year (b)	DEBITS		CREDITS		Balance End of Year (g)
			Nature of Items (c)	Amount (d)	Account Charged (e)	Amount (f)	
1	254	1,028,158	Reserve Bad Debt	221,965		133,014	939,207
2	255	-					-
3	256	-					-
4	257	-					-
5	258	-					-
6	258	-				-	-
7	Total	1,028,158		221,965		133,014	939,207

**California-American Water Company
Addendum to Schedule A-33**

ACCOUNT NO. 242 - OTHER DEFERRED CREDITS

ITEMS	BALANCE
Def FIT-Other	(74,433,482)
Def SIT-Other	(15,020,403)
Unamortized ITC - 10%	(480,602)
Unamortized ITC - 6%	(124,399)
Reg Liab-Exc Def FIT	(97,509)
Reg Liab-Deficit Def FIT	24,429
Reg Liab-Exc Def Depr SIT	(2,980)
Reg Liab-ITC Gross-Up 10%	(239,446)
Reg Liab-ITC Gross-Up 6%	(61,263)
Conservation Surcharge	165,119
MTBE Settlement	(1,998,788)
Imperial Beach Lease	(55,059)
DCA-Accr Pension Def Benefit	6,308,210
DCA-Accr OPEB	(407,985)
DCA-Accr OPEB Med Subsidy	(508,578)
MPWMD Surcharge	(869,523)
DCA-FAS 112 Costs	(116,573)
Accr Div Equivalents	(32,911)
DCA-Def Rev CIAC	0
DCA-Def Rev CIAC FIT	(97,890)
DCA-Def Rev CIAC SIT	(2,821)
DCA-Tax Gross-Up AIC	(157,622)
DCA-Tax Gross-Up AIC FIT/SIT	(753,251)
DCA-Tax Gross-Up AIC SIT	(5,166)
Reg Liab-Affiliate Transfer Fee	(2,377,282)
CA-LT NOAA Settlement	(1,100,000)
DCN-Adv Pay & Dep Othe	(426,916)
Total Other Deferred Credits	(92,872,691)

SCHEDULE A-35
Account 265 - Contributions in Aid of Construction

Instructions for Preparation of Schedule of Contributions in Aid of Construction:

- ¹ The credit balance in the account other than that portion of the balance relating to nondepreciable property (column d), to property retired prior to January 1, 1995 (column e), and to the estimated amount of depreciation accrued to January 1, 1955 (column f), shall be written off through charges to this account and credits to Account 250 - Reserve for Depreciation of Utility Plant over a period equal to the estimated service life of the property involved. Upon retirement of depreciable property for which a depreciation reserve has been created through charges to this account, the cost thereof shall be credited to the appropriate plant account and concurrently charged to Account 250. If the property is retired prior to the service life originally estimated, the balance in this account with respect to the retired property shall be transferred to the depreciation reserve account.
- ² That portion of the balance applicable to nondepreciable property shall remain unchanged until the property is sold or otherwise retired. Upon retirement, the cost of such nondepreciable property acquired by donation or through use of donated funds shall be credited to the appropriate plant account and charged to this account to clear the credit balance carried herein.
- ³ That portion of the balance representing donations on property retired prior to January 1, 1955 (column e), and the amount of depreciation accrued to January 1, 1955, on property in service (column f), shall not be transferred from this account or otherwise disposed of without first receiving written authorization from the Commission.

Line No.	Item (a)	Total All Columns (b)	Subject to Amortization		Not Subject to Amortization Property Retired Before Jan. 1, 1955 (e)	Depreciation Accrued through Dec. 31, 1954 on Property in Service at Dec. 31, 1954 (f)
			Depreciable (c)	Non-Depreciable (d)		
1	Balance beginning of year	58,406,227	58,170,964	235,263		
2	Add: Credits to account during year					
3	Contributions received during year	2,676,238	2,676,238			
4	Other credits*	0	0			
5	Total credits	2,676,238	2,676,238			
6	Deduct: Debits to account during year					
7	Depreciation charges for year	1,410,638	1,410,638			
8	Nondepreciable donated property retired	0				
9	Other debits*	0				
10	Total debits	1,410,638	1,410,638			
11	Balance end of year	59,671,827	59,436,564	235,263		

*Indicate nature of these items and show the accounts affected by the contra entries.

SCHEDULE B-1
Account 501 - Operating Revenues

Line No.	Acct.	ACCOUNT (a)	Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Brackets) (d)
1		I. WATER SERVICE REVENUES			
2	601	Metered sales to general customers			
3		601.1 Commercial sales	161,308,620	161,253,963	54,657
4		601.2 Industrial sales	4,595,746	4,232,959	362,787
5		601.3 Sales to public authorities	14,973,990	14,272,478	701,512
6		Sub-total	180,878,356	179,759,400	1,118,956
7	602	Unmetered sales to general customers			
8		602.1 Commercial sales	-	556,586	(556,586)
9		602.2 Industrial sales	-	-	-
10		602.3 Sales to public authorities	-	-	-
11		Sub-total	-	556,586	(556,586)
12	603	Sales to irrigation customers			
13		603.1 Metered sales	-	-	-
14		603.2 Unmetered sales	-	-	-
15		Sub-total	-	-	-
16	604	Private fire protection service	3,164,944	2,997,354	167,590
17	605	Public fire protection service	-	(11,145)	11,145
18	606	Sales to other water utilities for resale	6,258	5,757	501
19	607	Sales to governmental agencies by contracts	-	-	-
20	608	Interdepartmental sales	-	-	-
21	609	Other sales or service	777,136	748,483	28,653
22		Sub-total	3,948,338	3,740,448	207,890
23		Total water service revenues	184,826,694	184,056,434	770,260
24		II. OTHER WATER REVENUES			
25	611	Miscellaneous service revenues	3,039,491	2,661,778	377,713
26	612	Rent from water property	127,317	107,712	19,605
27	613	Interdepartmental rents	-	-	-
28	614	Other water revenues	16,241,449	16,887,008	(645,559)
29		Total other water revenues	19,408,256	19,656,497	(248,241)
30	501	Total operating revenues	204,234,951	203,712,931	522,020

SCHEDULE B-1a
Operating Revenues Apportioned to Cities and Towns

Line No.	Location (a)	Operating Revenues (b)
31	Operations not within incorporated cities ¹	None
32		
33		
34		
35	Operations within incorporated territory	None
36	City or town of	
37	City or town of	
38	City or town of	
39	City or town of	
40	City or town of	
41		
42		
43		
44		

¹ Should be segregated to operating districts.

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
1		I. SOURCE OF SUPPLY EXPENSE						
2		Operation						
3	701	Operation supervision and engineering	A	B	-	-	-	
4	701	Operation supervision, labor and expenses			C	-	-	
5	702	Operation labor and expenses	A	B	277,524	262,622	14,902	
6	703	Miscellaneous expenses	A			1,033,123	1,167,162	(134,039)
7	704	Purchased water	A	B	C	45,465,812	39,128,469	6,337,343
8		Maintenance						
9	706	Maintenance supervision and engineering	A	B		49	-	49
10	706	Maintenance of structures and facilities			C	-	-	-
11	707	Maintenance of structures and improvements	A	B		-	50	(50)
12	708	Maintenance of collect and impound reservoirs	A			37,848	34,490	3,358
13	708	Maintenance of source of supply facilities		B		-	-	-
14	709	Maintenance of lake, river and other intakes	A			474	-	474
15	710	Maintenance of springs and tunnels	A			-	-	-
16	711	Maintenance of wells	A			11,133	10,518	615
17	712	Maintenance of supply mains	A			-	-	-
18	713	Maintenance of other source of supply plant	A	B		537,188	340,178	197,010
19		Total source of supply expense				47,363,150	40,943,489	6,419,661
20		II. PUMPING EXPENSES						
21		Operation						
22	721	Operation supervision and engineering	A	B		40	-	40
23	721	Operation supervision labor and expense			C	-	-	-
24	722	Power production labor and expense	A			-	-	-
25	722	Power production labor, expenses and fuel		B		-	-	-
26	723	Fuel for power production	A			-	-	-
27	724	Pumping labor and expenses	A	B		1,176,689	990,583	186,106
28	725	Miscellaneous expenses	A			156,510	(42,398)	198,908
29	726	Fuel or power purchased for pumping	A	B	C	6,709,596	6,669,609	39,987
30		Maintenance						
31	729	Maintenance supervision and engineering	A	B		78,047	63,057	14,990
32	729	Maintenance of structures and equipment			C	-	-	-
33	730	Maintenance of structures and improvements	A	B		18,551	20,976	(2,425)
34	731	Maintenance of power production equipment	A	B		77,373	81,980	(4,607)
35	732	Maintenance of pumping equipment	A	B		-	-	-
36	733	Maintenance of other pumping plant	A	B		264,589	351,070	(86,481)
37		Total pumping expenses				8,481,394	8,134,876	346,518

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
38		III. WATER TREATMENT EXPENSES						
39		Operation						
40	741	Operation supervision and engineering	A	B	861	-	861	
41	741	Operation supervision, labor and expenses			-	-	-	
42	742	Operation labor and expenses	A		1,478,126	1,520,639	(42,513)	
43	743	Miscellaneous expenses	A	B	1,797,625	1,389,319	408,306	
44	744	Chemicals and filtering materials	A	B	781,319	899,236	(117,917)	
45		Maintenance						
46	746	Maintenance supervision and engineering	A	B	62,489	82,308	(19,819)	
47	746	Maintenance of structures and equipment			-	-	-	
48	747	Maintenance of structures and improvements	A	B	397	7,046	(6,649)	
49	748	Maintenance of water treatment equipment	A	B	422,527	467,779	(45,252)	
50		Total water treatment expenses			4,543,345	4,366,328	177,017	
51		IV. TRANS. AND DIST. EXPENSES						
52		Operation						
53	751	Operation supervision and engineering	A	B	1,357,434	1,398,172	(40,738)	
54	751	Operation supervision, labor and expenses			-	-	-	
55	752	Storage facilities expenses	A		39,584	44,706	(5,122)	
56	752	Operation labor and expenses		B	-	-	-	
57	753	Transmission and distribution lines expenses	A		3,048	11,383	(8,335)	
58	754	Meter expenses	A		103,237	126,147	(22,910)	
59	755	Customer installations expenses	A		125,557	132,441	(6,884)	
60	756	Miscellaneous expenses	A		1,600,919	1,490,768	110,151	
61		Maintenance						
62	758	Maintenance supervision and engineering	A	B	31,160	32,838	(1,678)	
63	758	Maintenance of structures and plant			-	-	-	
64	759	Maintenance of structures and improvements	A	B	-	(697)	697	
65	760	Maintenance of reservoirs and tanks	A	B	22,499	20,537	1,962	
66	761	Maintenance of trans. and distribution mains	A		799,730	744,156	55,574	
67	761	Maintenance of mains		B	-	-	-	
68	762	Maintenance of fire mains	A		236	353	(117)	
69	763	Maintenance of services	A		1,087,620	1,101,577	(13,957)	
70	763	Maintenance of other trans. and distribution plant		B	-	-	-	
71	764	Maintenance of meters	A		144,832	169,325	(24,493)	
72	765	Maintenance of hydrants	A		45,689	44,933	756	
73	766	Maintenance of miscellaneous plant	A		2,796,055	2,633,700	162,355	
74		Total transmission and distribution expenses			8,157,600	7,950,339	207,261	

SCHEDULE B-2
Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)
Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
75		V. CUSTOMER ACCOUNT EXPENSES						
76		Operation						
77	771	Supervision	A	B		12,625	9,781	2,844
78	771	Superv., meter read., other customer acct expenses			C	-	-	-
79	772	Meter reading expenses	A	B		1,104,059	1,113,192	(9,133)
80	773	Customer records and collection expenses	A			920,350	812,529	107,821
81	773	Customer records and accounts expenses		B		-	-	-
82	774	Miscellaneous customer accounts expenses	A			39,007	53,530	(14,523)
83	775	Uncollectible accounts	A	B	C	-	-	-
84		Total customer account expenses				2,076,041	1,989,032	87,009
85		VI. SALES EXPENSES						
86		Operation						
87	781	Supervision	A	B		-	-	-
88	781	Sales expenses			C	-	-	-
89	782	Demonstrating and selling expenses	A			-	-	-
90	783	Advertising expenses	A			-	-	-
91	784	Miscellaneous sales expenses	A			-	-	-
92	785	Merchandising, jobbing and contract work	A			-	-	-
93		Total sales expenses				-	-	-
94		VII. ADMIN. AND GENERAL EXPENSES						
95		Operation						
96	791	Administrative and general salaries	A	B	C	5,335,855	5,120,176	215,679
97	792	Office supplies and other expenses	A	B	C	113,747	112,133	1,614
98	793	Property insurance	A			-	5,985	(5,985)
99	793	Property insurance, injuries and damages		B	C	-	-	-
100	794	Injuries and damages	A			383,672	197,347	186,325
101	795	Employees' pensions and benefits	A	B	C	4,001,568	5,116,601	(1,115,033)
102	796	Franchise requirements	A	B	C	-	-	-
103	797	Regulatory commission expenses	A	B	C	-	2,671,939	(2,671,939)
104	798	Outside services employed	A			1,163,899	765,588	398,311
105	798	Miscellaneous other general expenses		B		-	-	-
106	798	Miscellaneous other general operation expenses			C	-	-	-
107	799	Miscellaneous general expenses	A			6,609,706	4,071,174	2,538,532
108		Maintenance						
109	805	Maintenance of general plant	A	B	C	141,712	135,651	6,061
110		Total administrative and general expenses				17,750,159	18,196,595	(446,436)
111		VIII. MISCELLANEOUS						
112	811	Rents	A	B	C	1,165,398	935,804	229,594
113	812	Administrative expenses transferred - Cr. ¹	A	B	C	30,362,926	31,735,459	(1,372,533)
114	813	Duplicate charges - Cr.CA-AM Corp Allocated Return on Rate Base ¹	A	B	C	2,303,346	-	2,303,346
115		Total miscellaneous				33,831,669	32,671,263	1,160,406
116		Total operating expenses				122,203,359	114,251,923	7,951,436

¹ Amounts reflect allocated general office expenses consistent with methodology employed in the authorized GRC revenue requirement

SCHEDULE B-3
Account 506 - Property Losses Chargeable to Operations

Line No.	Description (a)	Amount
1	Property Loss on Chargeable Operations	-
2		
3		
4		
5		
6		
7		
8	Total	0

SCHEDULE B-4
Account 507 - Taxes Charged During Year

- This schedule is intended to give the account distribution of total taxes charged to operations and other final accounts during the year.
- Do not include gasoline and other sales taxes which have been charged to accounts to which the material on which the tax was levied was charged. If the actual or estimated amounts of such taxes are known, they should be shown as a footnote and designated whether estimated or actual amounts.
- The accounts to which taxes charged were distributed should be shown in columns (c) to (f). Show both the utility department and number of account charged except for taxes capitalized.
- For any tax which it was necessary to apportion to more than one utility department or account, state in a footnote the basis of apportioning such tax.
- The total taxes charged as shown in column (b) should agree with the amounts shown by column (d) of schedule entitled "Accrued and Prepaid Taxes," page 24.
- Do not include in this schedule entries with respect to deferred income taxes, or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.

Line No.	Total Taxes Charged During Year (b)	DISTRIBUTION OF TAXES CHARGED (Show utility department where applicable and account charged)				Capitalized (Omit Account) (f)
		Water (Account 507) (c)	Nonutility (Account 521) (d)	Other (Account —) (e)		
1	Taxes on real and personal property	4,490,264	4,490,221	42		
2	State corp. franchise tax	176,280	176,280	-		
3	State unemployment insurance tax	164,646	97,196		67,451	
4	Other state and local taxes	531,661	107,119	424,542		
5	Federal unemployment insurance tax	12,782	10,490	-	2,291	
6	Federal insurance contributions act	1,719,749	1,387,432		332,317	
7	Other federal taxes	-	-			
8	Federal income tax	3,015,707	3,036,907	(21,200)		
9	State income tax	-	-	-		
10	Deferred	16,644,228	16,644,228			
11						
12						
13						
14	Totals	26,755,318	25,949,874	403,385		402,059

SCHEDULE B-5

Reconciliation of Reported Net Income With Taxable Income for Federal Taxes

1. Report hereunder a reconciliation of reported net income for the year with taxable income used in computing Federal income tax accruals and show computation of such tax accruals. The reconciliation shall be submitted even though there is no taxable income for the year. Descriptions should clearly indicate the nature of each reconciling amount.

2. If the utility is a member of a group which files a consolidated Federal tax return, reconcile reported net income with taxable net income as if a separate return were to be filed, indicating, however, inter-company amounts to be eliminated in such consolidated return. State names of group members, tax assigned to each group member, and basis of allocation, assignment, or sharing of the consolidated tax among the group members.

3. Show taxable year if other than calendar year from-----to-----.

Line No.	Particulars (a)	Amount (b)
1	Net income for the year per Schedule B, page 15	
2	Reconciling amounts (list first additional income and unallowable deductions, followed by additional	
3	deductions for non-taxable income):	
4		
5		
6	Federal Tax Return not yet Completed-See Attached Form 7004	
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		
26		
27		
28		
29		
30	Federal tax net income	
31	Computation of tax:	
32		
33		
34		
35	Tax per return	

Application for Automatic Extension of Time To File Certain Business Income Tax, Information, and Other Returns
File a separate application for each return.

OMB No. 1545-0233

Information about Form 7004 and its separate instructions is at www.irs.gov/form7004.

Name: **AMERICAN WATER WORKS COMPANY, INC & SUBS** Identifying number: **51-0063696**
 Number, street, and room or suite no. (if P.O. box, see instructions)
 Print or Type: **PO BOX 5600, ATTN: INCOME TAX**
 City, town, state, and ZIP code (if a foreign address, enter city, province or state, and country (follow the country's practice for entering postal code)):
CHERRY HILL, NJ 08034

Note. File request for extension by the due date of the return for which the extension is granted. See instructions before completing this form.

Part I Automatic 6-Month Extension

1a Enter the form code for the return that this application is for (see below).

Application is For:	Form Code	Application is For:	Form Code
Form 1065	09	Form 1041 (estate other than a bankruptcy estate)	04
Form 8864	31	Form 1041 (trust)	05

Part II Automatic 6-Month Extension

b Enter the form code for the return that this application is for (see below).

Application is For:	Form Code	Application is For:	Form Code
Form 706-GS(D)	01	Form 1120-ND (section 4951 taxes)	20
Form 706-GS(T)	02	Form 1120-PC	21
Form 1041 (bankruptcy estate only)	03	Form 1120-POL	22
Form 1041-N	06	Form 1120-REIT	23
Form 1041-QFT	07	Form 1120-RIC	24
Form 1042	08	Form 1120S	25
Form 1065-B	10	Form 1120-SF	26
Form 1065	11	Form 3520-A	27
Form 1120	12	Form 8812	28
Form 1120-C	34	Form 8613	29
Form 1120-F	15	Form 8725	30
Form 1120-FBG	16	Form 8831	32
Form 1120-H	17	Form 8878	33
Form 1120-L	18	Form 8924	35
Form 1120-ND	19	Form 8928	36

- 2** If the organization is a foreign corporation that does not have an office or place of business in the United States, check here.
- 3** If the organization is a corporation and is the common parent of a group that intends to file a consolidated return, check here. If checked, attach a statement, listing the name, address, and Employer Identification Number (EIN) for each member covered by this application.

Part III All Filers Must Complete This Part

- 4** If the organization is a corporation or partnership that qualifies under Regulations section 1.8061-5, check here.
- 5a** The application is for calendar year 20**14**, or tax year beginning _____, 20____, and ending _____, 20____.
- b** Short tax year. If this tax year is less than 12 months, check the reason: Initial return Final return Change in accounting period Consolidated return to be filed Other (see instructions-attach explanation)

6 Tentative total tax	6	NONE
7 Total payments and credits (see instructions)	7	NONE
8 Balance due. Subtract line 7 from line 6 (see instructions)	8	NONE

For Privacy Act and Paperwork Reduction Act Notice, see separate instructions.

Form **7004** (Rev. 12-2012)

**COMPANIES TO BE INCLUDED IN THE CONSOLIDATED FEDERAL INCOME TAX RETURN
OF AMERICAN WATER WORKS COMPANY, INC. AND AFFILIATED SUBSIDIARIES**

YEAR - 01/01/14-12/31/14

COMPANY	Employer Identification Number
1 AAET, Inc.	22-3259128
2 American Lake Water Company	06-1396121
3 American Water (USA), Inc.	98-0165920
4 American Water Capital Corp	22-3732448
5 American Water Engineering, Inc	76-0654501
6 American Water Enterprises Holding, Inc.	76-0605357
7 American Water Enterprises, Inc.	22-3169459
8 American Water Industrial Operations, Inc.	74-2177717
9 American Water Industrials, Inc.	76-0656917
10 American Water Operations and Maintenance, Inc	98-0165919
11 American Water Resources Holdings, Inc.	27-5107201
12 American Water Resources of Florida	45-5262240
13 American Water Resources of Texas, Inc.	27-5107457
14 American Water Services CDM, Inc.	91-1745331
15 American Water Works Company, Inc.	51-0063696
16 American Water Works Service Company, Inc	23-1340234
17 AW Contract Services (USA), Inc	45-4445120
18 AW Contract Services Holding, Inc.	45-4445250
19 AW Technologies Incorporated	45-3808303
20 Bluefield Valley Water Works Company	66-6022466
21 California-American Water Company	51-0104148
22 Dale Service Corporation	54-0797839
23 Edison Water Company	22-3519296
24 Environmental Management Corporation	43-1205270
25 E'Town Properties Inc.	22-2817018
26 Hawaii-American Water Company	99-0108667
27 Illinois-American Water Company	51-0105894
28 Indiana-American Water Company, Inc.	35-0936102
29 Iowa-American Water Company	42-0735216
30 Kentucky-American Water Company	61-0485002
31 Laurel Oak Properties Corporation	20-1022964
32 Liberty Water Company	22-3596293
33 Maryland-American Water Company	52-0265025
34 Michigan-American Water Company	38-1657784
35 Missouri-American Water Company	44-0578460
36 Mobile Residuals Management (USA), Inc.	98-0183794
37 New Jersey-American Water Company, Inc	22-1546642
38 New York American Water Company	11-1516966
39 Pennsylvania-American Water Company, Inc.	25-1008096
40 Philip Automated Management Controls, Inc.	98-0165914
41 Tennessee-American Water Company	62-0529095
42 TWNA, Inc.	06-1548192
43 Virginia-American Water Company	54-0119650
44 West Virginia-American Water Company	55-0307487
45 Mt. Ebo Sewage Works, Inc.	13-3223856
46 Mt. Ebo Water Works, Inc.	13-3223859

**Mailing address for all above companies is:
PO Box 5600
ATTN: Income Tax Department
131 Woodcrest Road
Cherry Hill, NJ 08003**

Statement 1

SCHEDULE B-6
Account 521 - Income from Nonutility Operations

Line No.	Description (a)	Revenue (b)	Expenses (c)	Net Income (d)
1	Income from NonUtility Operations			320,622
2				
3				
4				
5	Totals			320,622

Account 526 - Miscellaneous Nonoperating Revenue

Line No.	Description (a)	Amount (b)
1	Miscellaneous NonOperating Revenues	694,808
2		
3		
4		
5		
6		
7		
8	Totals	694,808

SCHEDULE B-8
Account 527 - Nonoperating Revenue Deductions (Dr.)

Line No.	Description (a)	Amount (b)
1	NonOperating Revenues Deduction	(128,301)
2		
3		
4		
5	Total	(128,301)

SCHEDULE B-9
Account 535 - Other Interest Charges

Line No.	Description (a)	Amount (b)
1	Various Interest Charges	98,376
2		
3		
4	Total	98,376

SCHEDULE B-10
Account 538 - Miscellaneous Income Deductions

Line No.	Description (a)	Amount (b)
1	Various Miscellaneous Income Deductions	2,308,133
2	Includes expenditures for Donation to Measure O Ballot Measure Committee included on schedule C-5	
3		
4		
5	Total	2,308,133

SCHEDULE C-2

Compensation of Individual Proprietor or Partner

Do operating expenses include any charge for owner's compensation in the form of salary or wages, rental allowances, or for the use of a private automobile? (If the word "none" truly states the fact it should be given as the answer to this inquiry.)

Line No.	Nature of Allowance (a)	Account Charged (b)	Amount (c)
1	N/A		
2			
3			
4			
5			
6	Total		

SCHEDULE C-3

Employees and Their Compensation

(Charged to Account 502 - Operating Expenses - Schedule B-2)

Line No.	Classification (a)	Number at End of Year (b)	Total Salaries and Wages Paid During Year (c)
1	Employees - Source of supply		\$ 327,126
2	Employees - Pumping		\$ 1,333,066
3	Employees - Water treatment		\$ 1,587,848
4	Employees - Transmission and distribution		\$ 3,617,358
5	Employees - Customer account		\$ 2,029,804
6	Employees - Sales		
7	Employees - Administrative		\$ 10,851,415
8	General officers		
9	General office		
10	Totals	269	\$ 19,746,618

SCHEDULE C-4

Record of Accidents During Year

Line No.	Date of Accident (a)	TO PERSONS					TO PROPERTY			
		Employees on Duty		Public ¹		Total Number (f)	Company		Other	
		Killed (b)	Injured (c)	Killed (d)	Injured (e)		Number (g)	Amount (h)	Number (i)	Amount (j)
1	2014	0	8	0	0	8	0	\$ -	68	480,611
2										
3										
4	Totals	0	8	0	0	8	0	0	68	480,611

¹ Accidents to employees not on duty should be included in "Public" accidents

SCHEDULE C-5 Expenditures for Political Purposes

Hereunder report all direct or indirect expenditures incurred or made to elect or defeat a candidate for public office; or to place any measure on the ballot; at any election, or to keep it from being placed on the ballot at any election, or to support or defeat any measure on the ballot; at an election, or to support or defeat any proposed legislation, also name the account or accounts to which the expenditures herein mentioned have been charged. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1	Lobbying Expenses	77,775
2	Donation to Measure O Ballot Measure Committee (included on schedule B-10)	2,308,513
3		
4		
5	Total	2,386,288

SCHEDULE C-6 Loans to Directors, or Officers, or Shareholders

Show hereunder the amount of loans to directors, or officers, or shareholders and the terms and conditions of such loans. Among other things, show the name of each director, or officer, or shareholder, receiving a loan or loans, the amount of each loan, the rate of interest payable on such loan or loans, the maturity date of each loan or loans, the security given and the date when the shareholders authorized each loan. If such authorization was given. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1	NONE
2	
3	
4	
5	
6	

SCHEDULE C-7 Bonuses Paid to Executives & Officers

Show hereunder the amount of bonuses paid to Executives and Officers, both cash and non-cash. List by the name of each Executive or Officer receiving the bonus, the dollar value of that bonus, and a description of the bonus if it is non-cash.

1	Robert MacLean	\$78,587
2	Jeffrey Linam	49,119
3	Edward Simon	40,113
4	Kevin Tilden	49,318
5	Lillian Wilkirson	28,709
6	Richard Svindland	51,900
7	Anthony Cerasuolo	49,272
8	Sarah Leeper Egli	103,971
9	Lori Girard	27,951
10	Timothy Miller	40,457
11	Dave Stephenson	43,707
12	Andrew Homer	12,205
13		
14		
15		
16		
17		
18		

**SCHEDULE D-1
Sources of Supply and Water Developed**

STREAMS				FLOW IN(unit) ²				Annual Quantities Diverted(Unit) ²	Remarks
Line No.	Diverted into*	From Stream or Creek (Name)	Location of Diversion Point	Priority Right		Diversions			
				Claim	Capacity	Max.	Min.		
1									
2									
3	See each district's support schedule								
4									
5									
WELLS							Annual Quantities Pumped(Unit) ²	Remarks	
Line No.	At Plant (Name or Number)	Location	Number	Dimensions	¹ Depth to Water	Pumping Capacity(Unit) ²			
6									
7									
8	See each district's support schedule								
9									
10									
TUNNELS AND SPRINGS				FLOW IN(Unit) ²		Annual Quantities Used(Unit) ²	Remarks		
Line No.	Designation	Location	Number	Maximum	Minimum				
11									
12									
13	See each district's support schedule								
14									
15									
Purchased Water for Resale									
16	Purchased from								
17	Annual quantities purchased		10,126,637		(Unit chosen) ²	1,000	gallons		
18									
19									

* State ditch, pipe line, reservoir, etc., with name, if any.

¹ Average depth to water surface below ground surface.

2 The quantity unit in established use for expressing water stored and used in large amounts is the acre foot, which equals 42,560 cubic feet: in domestic use the thousand gallon or the hundred cubic feet. The rate of flow or discharge in larger amounts is expressed in cubic feet per second, in gallons per minute, in gallons per day, or in the miner's inch. Please be careful to state the unit used.

**SCHEDULE D-2
Description of Storage Facilities**

Line No.	Type	Number	Combined Capacity (Gallons or Acre Feet)	Remarks
1	A. Collecting reservoirs			
2	Concrete	1	44,641,587	Gallons
3	Earth	1	578,222,600	Gallons
4	Wood		-	
5	B. Distribution reservoirs			
6	Concrete	24	44,860,000	Gallons
7	Earth	3	3,440,500	Gallons
8	Wood			
9	C. Tanks			
10	Concrete	29	19,084,350	Gallons
11	Metal	5	3,801,000	Gallons
12	Wood	-	26,000	Gallons
13	Steel & Poly Plastic	119	49,486,889	Gallons
14	Totals	182	743,562,926	Gallons

SCHEDULE D-3
Description of Transmission and Distribution Facilities

A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES
Capacities in Cubic Feet Per Second or Miner's Inches (State Which) _____

Line No.		0 to 5	6 to 10	11 to 20	21 to 30	31 to 40	41 to 50	51 to 75	76 to 100
1	Ditch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	Flume	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	Lined conduit	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
4									
5	Total								

A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES - Concluded
Capacities in Cubic Feet Per Second or Miner's Inches (State Which) _____

Line No.		101 to 200	201 to 300	301 to 400	401 to 500	501 to 750	751 to 1000	Over 1000	Total All Lengths
6	Ditch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
7	Flume	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
8	Lined conduit	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
9									
10	Total								

B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING

Line No.		1	1 1/2	2	2 1/2	3	4	5	6	8
11	Cast Iron	275	-	39,644	-	9,935	427,496	-	655,226	93,354
12	Cast Iron (cement lined)	-	-	-	-	-	18,470	-	20,066	4,879
13	Cement - asbestos	308	-	5,055	-	1,494	266,174	2,137	1,779,062	1,827,017
14	Concrete	-	-	-	-	-	41	-	20	954
15	Copper	3,443	4,174	3,983	-	-	-	-	-	-
16	PVC	4,063	3,164	33,404	5,384	3,197	35,053	-	310,577	1,389,110
17	Riveted steel	-	-	-	-	-	-	-	-	7,189
18	Steel	647	-	6,986	-	278	18,423	-	17,134	5,826
19	Standard screw	-	-	-	-	-	-	-	310	-
20	Welded steel	1,558	-	1,664	-	143	172,752	197	234,212	174,460
21	Wood	-	-	1,360	-	869	-	-	392	113
22	Other (Steel Cement Lined)	-	-	-	-	-	-	-	-	-
23	Other (Brick Arch)	-	-	-	-	-	-	-	-	-
24	Other (Ductile Iron)	124	-	2,288	-	-	2,191	-	10,302	38,203
25	Other (Galvanized Pipe)	-	-	41,923	-	-	252	-	-	181
26	Other (C900/DIP)	-	-	31	-	-	492	-	3,318	36,318
27	Other (unknown)	26,847	3,413	22,840	-	1,000	44,522	-	63,484	45,691
28	Other (stainless steel)	-	-	-	-	-	-	-	60	-
29	Other (CLWS)	-	-	-	-	-	-	-	6,293	14,169
30	Other (CLCC)	-	-	-	-	-	-	-	1,950	4,872
31	Total	37,265	10,751	159,178	5,384	16,916	985,866	2,334	3,102,406	3,642,336

B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING - Concluded

Line No.		10	12	14	16	17-18	Other Sizes (Specify Sizes)				Total All Sizes
							unknown	20	22-24	30-36	
32	Cast Iron	31,742	118,380	560	22,793	2,315	-	993	-	1,205	1,403,918
33	Cast Iron (cement lined)	999	5,386	-	52,038	-	-	-	-	-	101,838
34	Cement - asbestos	614,346	319,560	25,066	74,392	4,541	1,911	7,143	3,547	505	4,932,258
35	Concrete	1,261	848	2,050	-	295	-	2,215	-	-	7,684
36	Copper	-	-	-	-	-	-	-	-	-	11,600
37	PVC	137,545	385,467	140	29,216	664	-	11,401	15	3,853	2,352,253
38	Riveted steel	3,829	11,916	478	-	5,258	-	300	-	-	28,970
39	Steel	13,134	27,340	1,973	1,063	667	-	-	1,093	-	94,564
40	Standard screw	-	-	-	-	-	-	-	-	-	310
41	Welded steel	40,937	109,930	20,559	35,221	26,526	-	13,622	14,747	75,211	921,739
42	Wood	-	1,127	-	-	-	-	-	-	-	3,861
41	Other (Steel Cement Lined)	-	-	-	-	-	-	-	-	-	-
42	Other (Brick Arch)	-	-	-	-	-	-	-	-	341	341
43	Other (Ductile Iron)	13,234	71,388	281	117,828	4,897	15,957	70,036	11,643	93,038	451,410
44	Other (Galvanized Pipe)	-	578	-	-	-	-	-	-	-	42,934
45	Other (C900/DIP)	8,195	17,998	-	-	-	-	-	-	-	66,352
46	Other (unknown)	32	5,179	57	423	80	23,168	359	-	2,725	239,820
47	Other (stainless steel)	-	-	-	-	-	-	-	-	-	60
48	Other (CLWS)	7,950	5,410	-	2,652	-	-	-	-	-	36,474
49	Other (CLCC)	3,443	11,247	1,518	3,429	14	-	-	2,014	-	28,487
50	Total	876,647	1,091,754	52,682	339,055	45,257	41,036	106,069	33,059	176,878	10,724,873

**SCHEDULE D-4
Number of Active Service Connections**

Classification	Metered - Dec 31		Flat Rate - Dec 31	
	Prior Year	Current Year	Prior Year	Current Year
Residential	150,448	151,114	46	45
Commercial	15,436	15,632	1	2
Industrial	232	234	-	-
Public authorities	1,603	1,681	-	-
Irrigation	53	46	-	-
Other	107	124	-	-
Subtotal	167,879	168,831	47	47
Private fire connections	-	-	2,920	2,957
Public fire hydrants	-	-	14,921	14,974
Total	167,879	168,831	17,888	17,978

**SCHEDULE D-5
Number of Meters and Services on
Pipe Systems at End of Year**

Size	Meters	Services
5/8 x 3/4"-in.	141,401	-
1/2" -in.	-	-
3/4" -in.	964	86,902
1" -in.	20,471	69,997
1½" -in.	4,018	3,409
2" -in.	5,495	9,462
3" -in.	271	200
4" -in.	235	1,118
6" -in.	88	473
8" -in.	35	198
10" -in.	1	1
12" -in.	-	12
Other	12	4,366
Total	172,991	176,138

**SCHEDULE D-6
Meter Testing Data**

A. Number of Meters Tested During Year as Prescribed in Section VI of General Order No. 103:	
1. New, after being received	15
2. Used, before repair	421
3. Used, after repair	8
4. Found fast, requiring billing adjustment	1
B. Number of Meters in Service Since Last Test	
1. Ten years or less	139,179
2. More than 10, but less than 15	25,190
3. More than 15 years	8,622

**SCHEDULE D-8
Status With State Board of Public Health**

See each District for Schedule D-8

1	Has the State or Local Health Department reviewed the sanitary condition of your water system during the past year?
2	Are you having routine laboratory tests made of water served to your consumers?
3	Do you have a permit from the State Board of Public Health for operation of your water system?
4	Date of permit:
5	If permit is "temporary", what is the expiration date?
6	If you do not hold a permit, has an application been made for such permit?
7	If so, on what date?

**SCHEDULE D-9
Statement of Material Financial Interest**

Use this space to report the information required by Section 2 of General Order No. 104-A. If no material financial interest existed during the year or contemplated at the end of the year, such fact shall be so stated. If additional space is required, attach a supplementary statement with reference made thereto.

<p>BlackRock, Inc. ("BlackRock") is a holding company that acquired 10.53% of the common stock of American Water Works Company, Inc, California American Water Company's ("California American Water") parent company, on December 5, 2012. BlackRock did not acquire or hold the stock for the purpose of or with the effect of changing or influencing the control of the issuer or in connection with or as a participant in any transaction having that purpose or effect. Pursuant to the terms set forth in Advice Letter 990-B, California American Water received a limited and conditional exemption from the affiliate transaction rules in connection with BlackRock's ownership of the shares. While BlackRock may be covered under Section 2(a) of General Order 104-A, California American Water has no way of ascertaining the various interests owned by BlackRock that may deem it a person having a material financial interest in a transaction as defined by Section 2(b). BlackRock has no legal obligation or business reason for providing California American Water with access to the companies in which BlackRock may be the beneficial owner of securities having 10% or more of the voting power for purposes of determining whether such entity has engaged in transactions with the utility. Furthermore, there are no known direct transactions between California American Water and entities for which BlackRock has material financial interests.</p>

**SCHEDULE E-1
Balancing and Memorandum Accounts**

Line No.	Description (a)	Authorized by Decision or Resolution No. (b)	Beginning of Year Balance* (c)	Offset Revenues (d)	Offset Expenses (e)	Interest (f)	Surcharge (g)	Surcredit (h)	End of Year Balance* (i)
1	Affiliate Transaction Rule IV.D.2 Memorandum Account	D.10-10-019	-						-
2	Carmel River Mitigation Program Balancing Account	D.12-06-020	(730,512)		(2,084,868)	872	3,787,445		972,936
3	Catastrophic Event Memorandum Account	Res No. E-3228	-						-
4	Cease and Desist Order Memorandum Account	Res W4824	(480,544)		72,386	(2,118)			(410,277)
5	Chromium-6 Memorandum Account - Los Angeles	AL 1020 - A	-						-
6	Chromium-6 Memorandum Account - Sacramento	AL 1020 - A	-						-
7	Coastal Water Project Memorandum/Balancing Account	D06-11-050	(16,826,085)		822,165	(63,285)	7,681,545		(8,385,661)
8	Conservation Surcharge Balancing Account - San Diego	D.12-06-016	8,327		(116,354)	43	58,625		(49,359)
9	Conservation Surcharge Balancing Account - Los Angeles	D.12-06-016	(62,615)		(199,901)	(42)	192,637		(69,922)
10	Conservation Surcharge Balancing Account - Ventura	D.12-06-016	38,749		(67,797)	125	96,824		67,900
11	Conservation Surcharge Balancing Account - Monterey	D.12-06-016	360,568		(899,208)	(354)	348,127		(190,867)
12	Conservation Surcharge Balancing Account - Sacramento	D.12-06-016	140,582		(156,852)	(303)	134,214		117,642
13	Conservation Surcharge Balancing Account - Larkfield	D.12-06-016	4,095		(5,686)	22	10,202		8,632
14	Conservation/Rationing Memorandum Account - San Diego	Res W-4976, AL 1047	-		(12,310)	(2)			(12,312)
15	Conservation/Rationing Memorandum Account - Ventura	Res W-4976, AL 1047	-		(12,310)	(1)			(12,311)
16	Conservation/Rationing Memorandum Account - Los Angeles	Res W-4976, AL 1047	-		(16,054)	(2)			(16,055)
17	Conservation/Rationing Memorandum Account - Monterey Main	Res W-4976, AL 1051	-		(4,678)	(0)			(4,678)
18	Conservation/Rationing Memorandum Account - Monterey Subsystems	Res W-4976, AL 1049	-						-
19	Conservation/Rationing Memorandum Account - Larkfield	Res W-4976, AL 1048	-		(6,295)	(0)			(6,295)
20	Conservation/Rationing Memorandum Account - Sacramento	Res W-4976, AL 1048	-		(3,566)	(0)			(3,566)
21	Consolidated Expense Balancing Account - San Diego	D12-06-016	(2,714,911)		639,388	(2,220)	1,286,911		(790,832)
22	Consolidated Expense Balancing Account - Los Angeles	D12-06-016	(4,442,812)		24,637	(1,390)	2,024,294		(2,395,271)
23	Consolidated Expense Balancing Account - Larkfield	D12-06-016	(579,451)		(10,389)	(477)	230,680		(359,637)
24	Consolidated Expense Balancing Account - Monterey	D12-06-016	(6,333,881)		(1,259,021)	(2,186)	3,208,138		(4,386,950)
25	Consolidated Expense Balancing Account - Monterey WW	D12-06-016	177		289,777	17			289,971
26	Consolidated Expense Balancing Account - Sacramento	D12-06-016	(662,202)		829,454	1,315	3,478,265		3,646,831
27	Consolidated Expense Balancing Account - Toro	D12-06-016	(329,308)		53,037	(385)	106,457		(170,198)
28	Consolidated Expense Balancing Account - Ventura	D12-06-016	(4,818,108)		750,975	(1,498)	2,327,449		(1,741,183)
29	Credit Card Memorandum Account - San Diego	D12-06-016	28,900			24			28,924
30	Credit Card Memorandum Account - Los Angeles	D12-06-016	31,767			26			31,793
31	Credit Card Memorandum Account - Ventura	D12-06-016	22,242			18			22,260
32	Credit Card Memorandum Account - Monterey	D12-06-016	45,504			37			45,541
33	Credit Card Memorandum Account - Sacramento	D12-06-016	83,666			68			83,735
34	Credit Card Memorandum Account - Larkfield	D12-06-016	4,047			6			4,053
35	Emergency Rationing Costs Incurred by CAW Memorandum Account	D.09-05-029	-						-
36	Endangered Species Act (ESA) Memorandum Account	D12-06-016	(866,613)		1,514	(426)			(865,524)
37	Garrapata Service Area Memorandum Accounts	D13-01-033	-						-
38	Garrapata Service Area SDWSRF Loan Repayment Balancing Account	D13-01-033	(11,454)		9,249		(10,175)		(12,380)
39	Low-Income Ratepayer Assistance (LIRA) Program Memorandum Account - San Diego	D13-04-019.AL1003&31S	(365,105)	(297,119)		(531)			(662,755)
40	Low-Income Ratepayer Assistance (LIRA) Program Memorandum Account - Los Angeles	D13-04-019.AL1003&31S	(818,511)	(560,163)		(1,138)			(1,379,812)
41	Low-Income Ratepayer Assistance (LIRA) Program Memorandum Account - Ventura	D13-04-019.AL1003&31S	(309,696)	(208,582)		(427)			(518,706)
42	Low-Income Ratepayer Assistance (LIRA) Program Memorandum Account - Monterey	D13-04-019.AL1003&31S	(115,217)	(479,340)		(414)			(594,971)
43	Low-Income Ratepayer Assistance (LIRA) Program Memorandum Account - Monterey WW	D13-04-019.AL1003&31S	(531)						(531)
44	Low-Income Ratepayer Assistance (LIRA) Program Memorandum Account - Sacramento	D13-04-019.AL1003&31S	(1,235,380)	(846,380)		(1,716)			(2,083,456)
45	Low-Income Ratepayer Assistance (LIRA) Program Memorandum Account - Larkfield	D13-04-019.AL1003&31S	(63,784)	(34,813)		(84)			(98,682)

**SCHEDULE E-1
Balancing and Memorandum Accounts**

Line No.	Description (a)	Authorized by Decision or Resolution No. (b)	Beginning of Year Balance* (c)	Offset Revenues (d)	Offset Expenses (e)	Interest (f)	Surcharge (g)	Surcredit (h)	End of Year Balance* (i)
46	Main San Gabriel Groundwater Basin Contamination Memorandum Account	Res W-4930	-						-
47	MPWMD Conservation Balancing Account	D12-06-016	772,068		(617,023)	311	457,721		613,075
48	NOAA ESA Memorandum Account	AL 929	(8,026,852)	237,196		(1,691)			(7,791,348)
49	Non-Revenue Water Action Plan Memorandum Account	D 12-06-016	(97,876)			(80)			(97,955)
50	OPEB Balancing Account - San Diego	D12-06-016	(75,215)			18,177			(57,105)
51	OPEB Balancing Account - Monterey	D12-06-016	(404,974)			107,409			(297,923)
52	OPEB Balancing Account - Monterey WW	D12-06-016	(43,876)			11,425			(32,490)
53	OPEB Balancing Account - Toro	D12-06-016	(1,945)			1,118			(829)
54	OPEB Balancing Account - Los Angeles	D12-06-016	(134,354)			38,054			(96,418)
55	OPEB Balancing Account - Ventura	D12-06-016	(63,122)			16,566			(46,611)
56	OPEB Balancing Account - Sacramento	D12-06-016	(141,997)			36,239			(105,884)
57	OPEB Balancing Account - Larkfield	D12-06-016	(2,191)			773			(1,420)
58	Pension Balancing Account - San Diego	D12-06-016	61,000			379,319			440,582
59	Pension Balancing Account - Monterey	D12-06-016	(1,731,456)			1,343,998			(388,522)
60	Pension Balancing Account - Monterey WW	D12-06-016	10,982			171,122			182,206
61	Pension Balancing Account - Toro	D12-06-016	13,225			13,921			27,167
62	Pension Balancing Account - Los Angeles	D12-06-016	(357,657)			511,277			153,524
63	Pension Balancing Account - Ventura	D12-06-016	68,611			335,384			404,243
64	Pension Balancing Account - Sacramento	D12-06-016	(403,091)			685,251			282,109
65	Pension Balancing Account - Larkfield	D12-06-016	(85,020)			92,841			7,783
66	San Clemente Dam Balancing Account	D12-06-040	(44,403,872)		(25,706,035)	(6,331,539)	4,550,153		(71,891,293)
67	Sand City Desalination Plant Purchased Water Balancing Account	D13-04-015	(1,486,307)		(472,936)	(2,058)	1,231,994		(729,306)
68	Seaside Basin Adjudication Balancing Account	D09-07-021	(1,460,585)			577	270,883		(1,190,109)
69	Seaside Groundwater Basin Balancing Account	D12-06-016	-			1,395,233			1,395,233
70	Seaside Groundwater Basin Memorandum Account	D12-06-016	-						-
71	Water Cost of Capital Adjustment Mechanism	D09-07-051	-						-
72	Water Contamination Litigation Expense Memorandum Account	Res W-4925	(207,055)			(155)			(207,210)
73	West Placer Memorandum Account	D13-10-003	(885,614)		(3,006,607)	(153,453)			(4,045,674)
74	WRAM/MCBA - San Diego	D08-11-023	(255,451)	(13,527)	11,590	15	820,603		563,230
75	WRAM/MCBA - Los Angeles2	D08-06-002	662,111	1,761,985	161,953	1,783	603,836	(158,394)	3,033,274
76	WRAM/MCBA - Ventura	D08-11-023	535,522	848,258	(1,548,109)	341			(163,988)
77	WRAM/MCBA - Monterey3	D09-07-021	(35,329,507)	(9,985,733)	168,239	(35,797)	6,274,319		(39,908,479)
78	WRAM/MCBA - Monterey Ambler	D09-07-021	(514,740)	(215,081)	(27,029)	(537)	115,891		(641,496)
79	WRAM/MCBA - Larkfield	D08-11-023	(417,831)	(435,404)	107,550	(451)	153,389		(592,747)
80	Leak Adjustments Memorandum Account (LAMA)	D12-06-016, AL991	(1,560,015)	(2,313,777)		(2,468)			(3,876,259)
81	Low Income Customer Data Sharing Memorandum Account	D11-05-020	(102,712)			(105)			(102,817)
82	Old Monterey Style WRAM Balancing Account	D12-06-016	(1,789,985)		851,366	(1,099)			(939,718)
83	Operational Energy Efficiency Program Memorandum Account (OEEPMA)	D10-04-030	(318,616)		319,637	(1,021)			-
84	Purchased Water, Purchased Power, & Pump Tax Balancing Account - Monterey WW	D12-06-016	-						-
85	Purchased Water, Purchased Power, & Pump Tax Balancing Account - Sacramento	D12-06-016	(1,977,808)		26,934				(1,950,874)
86	Sacramento District VCMRRAM Memorandum Account	AL 1038-A	-	(4,092,369)	758,389	(1,119)			(3,335,099)
87	Temporary Interest Rate Balancing Account (TIRBA)	D09-05-019	43,279			32			43,311
88	Los Angeles DSIC Balancing Account	Res W-4734-2	291,258			219			291,477

Notes:

- 1) Balances are reflected as Over / (Under) Collections
- 2) Beginning balance was adjusted to reflect differences that were discovered during the review of AL 1042
- 3) Includes amounts related to the Unaccounted for Water Incentive Program

Schedule E- 2
Description of Low-Income Rate Assistance Program(s)

For all low income rate assistance programs offered by water utility, provide detailed responses to the following items:

1. Brief description of each low-income rate assistance program provided, by district. This shall include but is not limited to the percent of discount, the dollar amount of discount, what rate is discounted (service charge, quantity, or total bill), qualifying income level, dollar rate increase to remaining customers to pay for this program.

Coronado - Qualifying customers receive a \$7.00 monthly discount on the bill.

Larkfield - Qualifying customers receive an \$19.00 monthly discount on the bill.

Los Angeles - Qualifying customers receive a monthly discount on the bill based on service area as shown below:

Baldwin Hill	\$	9.50
Duarte	\$	9.50
San Marino	\$	12.00

Monterey - Qualifying customers receive a monthly discount on the bill based on service area as shown below:

Ambler Park	\$	21.00
Ralph Lane	\$	11.50
Toro	\$	25.50

Monterey - Qualifying customers receive a monthly discount on the bill based on number of occupants as shown below:

1-4 people:	\$	10.00
5-8 people:	\$	15.00
> 8 people:	\$	20.00

Sacramento - Low-income customers receive a \$10.00 monthly discount on the bill.

Village - Low-income customers receive a \$14.00 monthly discount on the bill.

2. Participation rate for Year 2014 (as a percent of total customers served) at 12/31/14

Coronado	16.12%
Larkfield	6.17%
Los Angeles	15.55%
Monterey	6.37%
Sacramento	11.88%
Village	5.85%

3. Detail of balancing or memorandum account authorized to record expenses incurred and revenues collected for low income rate assistance program.

as of December 31, 2014					
	Type of Account	Expenses Incurred	Revenues Lost	Interest Accrued	Net Account
Coronado	M/A		\$ 297,119	531	\$297,650
Los Angeles	M/A		\$ 560,163	1,138	\$561,301
Village	M/A		\$208,582	427	\$209,009
Monterey	M/A		\$ 479,340	414	\$479,754
Larkfield	M/A		34,813	84	\$34,897
Sacramento	M/A		\$ 846,360	1,820	\$848,180
TOTAL		\$ -	\$ 2,426,377	\$ 4,414	\$2,430,791

Above amounts represent amounts not yet approved for recovery.

M/A: Memorandum Account

B/A: Balancing Account

Schedule E- 3
Description of Water Conservation Program(s)

For all water conservation programs offered by water utility, provide detailed responses to the following items:

See Attachments for various Districts

1. Brief description of each water conservation program provided, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers) and length of time it was offered.
2. Discuss how each water conservation assistance program is funded, for example, through rates charged to all customers, rates charged to customer receiving water conservation assistance, shareholder contribution, community funding, government funding, or other funding method. Explain why this type of funding was used.
3. Cost of each program.
4. The degree of participation in each district by customer group.

Schedule E- 4 Report on Affiliate Transactions

Affiliate includes all related companies including but not limited to Parent, Affiliates, and Subsidiaries.

INSTRUCTIONS:

* For those utilities with specifically authorized affiliate transaction rules, provide all information required by those rules.

* For those utilities with no specifically authorized affiliate transaction rules, or those utilities whose authorized affiliate transaction rules do not provide the following information, provide the following:

1. Summary of all transactions between regulated water utility and its affiliated companies for the previous calendar year. The summary shall include a description of each transaction and an accounting of all dollars associated with each transaction although each transaction need not be separately identified where multiple transactions occur in the same account. These transactions shall include:

(a) services provided by regulated water utility to any affiliated company; Support services to Hawaii-American Water Company, a subsidiary of AWW These services included management, finance, legal, HR, engineering, construction management and environmental.	\$89,055
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(b) services provided by any affiliated company to regulated water utility; Governance & Corporate Activities (includes finance, HR, admin, audit, legal, business development, finance, investor relations, operations, communications and regulatory) Other Business Services (includes call center, lab, supply chain, IT, shared services & benefits center)	\$4,893,352
Total	<u>\$10,492,766</u> \$15,386,118

(c) assets (both tangible and intangible) transferred from regulated water utility to any affiliated company;
None

(d) assets (both tangible and intangible) transferred from any affiliated company to regulated water utility;
None

(e) employees transferred from regulated water utility to any affiliated company;
1

(f) employees transferred from any affiliated company to regulated water utility; and
1

(g) financing arrangements and transactions between regulated water utility and any affiliated company.

All financing activities are covered by an agreement between American Water Capital Corporation (AWCC) and California-American Water Company.

(h) Services provided by and/or assets transferred from the parent holding company to affiliate company which may have germane utility regulations impacts; and
None

(i) Services provided by and/or assets transferred from affiliated company to the parent holding company which may have germane utility regulation impacts.
None

California American Water - Shared Directors and Officers as of May 26, 2015

Per Affiliate Transaction Rule IV.C

Personnel Name	Business Entity	Title Role	Title
Cerasuolo, Anthony	California-American Water Company	Officer	Vice President, Legal – Operations & Secretary
Cerasuolo, Anthony	Hawaii-American Water Company	Director	Director
Cerasuolo, Anthony	Hawaii-American Water Company	Officer	Vice President, Legal - Operations
Dana, Jeffrey	California-American Water Company	Officer	Vice President - Finance and Treasurer
Dana, Jeffrey	Hawaii-American Water Company	Director	Director
Dana, Jeffrey	Hawaii-American Water Company	Officer	Vice President - Finance and Treasurer
Deck, Elba L.	American Lake Water Company	Officer	Assistant Comptroller
Deck, Elba L.	American Water Works Service Company, Inc.	Officer	Assistant Comptroller
Deck, Elba L.	Bluefield Valley Water Works Company	Officer	Assistant Comptroller
Deck, Elba L.	California-American Water Company	Officer	Assistant Comptroller
Deck, Elba L.	Edison Water Company	Officer	Assistant Comptroller
Deck, Elba L.	Hawaii-American Water Company	Officer	Assistant Comptroller
Deck, Elba L.	Illinois-American Water Company	Officer	Assistant Comptroller
Deck, Elba L.	Indiana-American Water Company, Inc.	Officer	Assistant Comptroller
Deck, Elba L.	Iowa-American Water Company	Officer	Assistant Comptroller
Deck, Elba L.	Kentucky-American Water Company	Officer	Assistant Comptroller
Deck, Elba L.	Liberty Water Company	Officer	Assistant Comptroller
Deck, Elba L.	Maryland-American Water Company	Officer	Assistant Comptroller
Deck, Elba L.	Missouri-American Water Company	Officer	Assistant Comptroller
Deck, Elba L.	Mt. Ebo Sewage Works, Inc.	Officer	Assistant Comptroller
Deck, Elba L.	New Jersey-American Water Company, Inc.	Officer	Assistant Comptroller
Deck, Elba L.	New York American Water Company, Inc.	Officer	Assistant Comptroller
Deck, Elba L.	Pennsylvania-American Water Company	Officer	Assistant Comptroller
Deck, Elba L.	Tennessee-American Water Company	Officer	Assistant Comptroller
Deck, Elba L.	Virginia-American Water Company	Officer	Assistant Comptroller
Deck, Elba L.	West Virginia-American Water Company	Officer	Assistant Comptroller
Donohue, Deana L	California-American Water Company	Officer	Vice President - Engineering
Donohue, Deana L	Hawaii-American Water Company	Director	Director
Donohue, Deana L	Hawaii-American Water Company	Officer	Vice President - Engineering
Fulter, Stacey	California-American Water Company	Officer	Assistant Treasurer
Fulter, Stacey	Hawaii-American Water Company	Officer	Assistant Treasurer
Girard, Lori	California-American Water Company	Officer	Assistant Secretary
Girard, Lori	Hawaii-American Water Company	Officer	Secretary
Homer, Andrew	California-American Water Company	Officer	Assistant Secretary
Homer, Andrew	Hawaii-American Water Company	Officer	Assistant Secretary
Lee, Susan	American Lake Water Company	Officer	Assistant Treasurer
Lee, Susan	American Water Works Service Company, Inc.	Officer	Assistant Treasurer
Lee, Susan	Bluefield Valley Water Works Company	Officer	Assistant Treasurer
Lee, Susan	California-American Water Company	Officer	Assistant Treasurer
Lee, Susan	Hawaii-American Water Company	Officer	Assistant Treasurer
Lee, Susan	Illinois-American Water Company	Officer	Assistant Treasurer
Lee, Susan	Indiana-American Water Company, Inc.	Officer	Assistant Treasurer
Lee, Susan	Iowa-American Water Company	Officer	Assistant Treasurer
Lee, Susan	Kentucky-American Water Company	Officer	Assistant Treasurer
Lee, Susan	Maryland-American Water Company	Officer	Assistant Treasurer
Lee, Susan	Michigan-American Water Company	Officer	Assistant Treasurer
Lee, Susan	Missouri-American Water Company	Officer	Assistant Treasurer
Lee, Susan	Mt. Ebo Sewage Works, Inc.	Officer	Assistant Treasurer
Lee, Susan	New Jersey-American Water Company, Inc.	Officer	Assistant Treasurer
Lee, Susan	New York American Water Company, Inc.	Officer	Assistant Treasurer
Lee, Susan	Tennessee-American Water Company	Officer	Assistant Treasurer
Lee, Susan	Virginia-American Water Company	Officer	Assistant Treasurer
Lee, Susan	West Virginia-American Water Company	Officer	Assistant Treasurer
Lee, Susan S.	Pennsylvania-American Water Company	Officer	Assistant Treasurer
Leeper, Sarah	California-American Water Company	Officer	Vice President, Legal – Regulatory & Assistant Secretary
Leeper, Sarah	Hawaii-American Water Company	Officer	Vice President, Legal - Regulatory
Lynch, Walter J.	American Water Capital Corp.	Director	Director
Lynch, Walter J.	American Water Works Company, Inc.	Officer	President and Chief Operating Officer, Regulated Operations
Lynch, Walter J.	American Water Works Service Company, Inc.	Director	Director
Lynch, Walter J.	American Water Works Service Company, Inc.	Officer	Chief Operating Officer, Regulated Operations
Lynch, Walter J.	California-American Water Company	Director	Director
Lynch, Walter J.	E'Town Properties, Inc.	Director	Director
Lynch, Walter J.	E'Town Properties, Inc.	Officer	President
Lynch, Walter J.	Laurel Oak Properties Corporation	Director	Director

California American Water - Shared Directors and Officers as of May 26, 2015

Per Affiliate Transaction Rule IV.C

Personnel Name	Business Entity	Title Role	Title
MacLean, Robert	California-American Water Company	Director	Director
MacLean, Robert	California-American Water Company	Officer	President
MacLean, Robert	Hawaii-American Water Company	Director	Director
MacLean, Robert	Hawaii-American Water Company	Officer	President
McKeever, Michael B.	American Lake Water Company	Officer	Assistant Comptroller
McKeever, Michael B.	American Water Works Service Company, Inc.	Officer	Assistant Comptroller
McKeever, Michael B.	Bluefield Valley Water Works Company	Officer	Assistant Comptroller
McKeever, Michael B.	California-American Water Company	Officer	Assistant Comptroller
McKeever, Michael B.	Edison Water Company	Officer	Assistant Comptroller
McKeever, Michael B.	Hawaii-American Water Company	Officer	Assistant Comptroller
McKeever, Michael B.	Illinois-American Water Company	Officer	Assistant Comptroller
McKeever, Michael B.	Indiana-American Water Company, Inc.	Officer	Assistant Comptroller
McKeever, Michael B.	Iowa-American Water Company	Officer	Assistant Comptroller
McKeever, Michael B.	Kentucky-American Water Company	Officer	Assistant Comptroller
McKeever, Michael B.	Liberty Water Company	Officer	Assistant Comptroller
McKeever, Michael B.	Maryland-American Water Company	Officer	Assistant Comptroller
McKeever, Michael B.	Missouri-American Water Company	Officer	Assistant Comptroller
McKeever, Michael B.	Mt. Ebo Sewage Works, Inc.	Officer	Assistant Comptroller
McKeever, Michael B.	New Jersey-American Water Company, Inc.	Officer	Assistant Comptroller
McKeever, Michael B.	New York American Water Company, Inc.	Officer	Assistant Comptroller
McKeever, Michael B.	Pennsylvania-American Water Company	Officer	Assistant Comptroller
McKeever, Michael B.	Tennessee-American Water Company	Officer	Assistant Comptroller
McKeever, Michael B.	Virginia-American Water Company	Officer	Assistant Comptroller
McKeever, Michael B.	West Virginia-American Water Company	Officer	Assistant Comptroller
Meyers, Carl R.	AAET, INC.	Officer	Assistant Comptroller
Meyers, Carl R.	American Lake Water Company	Officer	Assistant Comptroller
Meyers, Carl R.	American Water (USA), Inc.	Officer	Assistant Comptroller
Meyers, Carl R.	American Water Canada Corp.	Officer	Assistant Comptroller
Meyers, Carl R.	American Water Carbon Services Corp.	Officer	Assistant Comptroller
Meyers, Carl R.	American Water Engineering, Inc.	Officer	Assistant Comptroller
Meyers, Carl R.	American Water Enterprises Holding, Inc.	Officer	Assistant Comptroller
Meyers, Carl R.	American Water Enterprises, Inc.	Officer	Assistant Comptroller
Meyers, Carl R.	American Water Operations and Maintenance, Inc.	Officer	Assistant Comptroller
Meyers, Carl R.	American Water Resources Holdings, Inc.	Officer	Assistant Comptroller
Meyers, Carl R.	American Water Resources of Texas, Inc.	Officer	Assistant Comptroller
Meyers, Carl R.	American Water Resources, LLC	Officer	Assistant Comptroller
Meyers, Carl R.	American Water Services Underground Infrastructure Corp.	Officer	Assistant Comptroller
Meyers, Carl R.	American Water Works Service Company, Inc.	Officer	Assistant Comptroller
Meyers, Carl R.	AW Contract Services (Canada), Inc.	Officer	Assistant Comptroller
Meyers, Carl R.	AW Technologies Incorporated	Officer	Assistant Comptroller
Meyers, Carl R.	Bluefield Valley Water Works Company	Officer	Assistant Comptroller
Meyers, Carl R.	Braemar Acres Limited	Officer	Assistant Comptroller
Meyers, Carl R.	California-American Water Company	Officer	Assistant Comptroller
Meyers, Carl R.	EMC American Water Canada, Inc.	Officer	Assistant Comptroller
Meyers, Carl R.	EMC of St. Charles County, LLC	Officer	Assistant Comptroller
Meyers, Carl R.	Environmental Management Corporation	Officer	Assistant Comptroller
Meyers, Carl R.	Hawaii-American Water Company	Officer	Assistant Comptroller
Meyers, Carl R.	Illinois-American Water Company	Officer	Assistant Comptroller
Meyers, Carl R.	Indiana-American Water Company, Inc.	Officer	Assistant Comptroller
Meyers, Carl R.	Iowa-American Water Company	Officer	Assistant Comptroller
Meyers, Carl R.	Kentucky-American Water Company	Officer	Assistant Comptroller
Meyers, Carl R.	Laurel Oak Properties Corporation	Officer	Assistant Comptroller
Meyers, Carl R.	Maryland-American Water Company	Officer	Assistant Comptroller
Meyers, Carl R.	Michigan-American Water Company	Officer	Assistant Comptroller
Meyers, Carl R.	Missouri-American Water Company	Officer	Assistant Comptroller
Meyers, Carl R.	Mt. Ebo Sewage Works, Inc.	Officer	Assistant Comptroller
Meyers, Carl R.	New Jersey-American Water Company, Inc.	Officer	Assistant Comptroller
Meyers, Carl R.	New York American Water Company, Inc.	Officer	Assistant Comptroller
Meyers, Carl R.	Pennsylvania-American Water Company	Officer	Assistant Comptroller
Meyers, Carl R.	Prism-Berlie (Windsor) Limited	Officer	Assistant Comptroller
Meyers, Carl R.	Tennessee-American Water Company	Officer	Assistant Comptroller
Meyers, Carl R.	Virginia-American Water Company	Officer	Assistant Comptroller
Meyers, Carl R.	West Virginia-American Water Company	Officer	Assistant Comptroller
Norton, Cheryl D.	Bluefield Valley Water Works Company	Director	Director
Norton, Cheryl D.	California-American Water Company	Director	Director
Norton, Cheryl D.	Kentucky-American Water Company	Director	Director
Norton, Cheryl D.	Kentucky-American Water Company	Officer	President
Norton, Cheryl D.	West Virginia-American Water Company	Director	Director
Svindland, Richard	California-American Water Company	Officer	Vice President - Operations
Svindland, Richard	Hawaii-American Water Company	Director	Director

California American Water - Shared Directors and Officers as of May 26, 2015

Per Affiliate Transaction Rule IV.C

Personnel Name	Business Entity	Title Role	Title
Svindland, Richard	Hawaii-American Water Company	Officer	Vice President - Operations
Tilden, Kevin A.	California-American Water Company	Officer	Vice President, External Affairs
Tilden, Kevin A.	Hawaii-American Water Company	Officer	Vice President, External Affairs
Vallejo, Edward J.	American Water Works Company, Inc.	Officer	Vice President, Financial Planning, Strategy & Decision Support
Vallejo, Edward J.	California-American Water Company	Director	Director
Wilkirson, Lillian	California-American Water Company	Officer	Vice President - Human Resources
Wilkirson, Lillian	Hawaii-American Water Company	Officer	Vice President - Human Resources

**CLASS A, B, C AND D WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) or Safe Drinking Water State Revolving Fund loan surcharge collection for the calendar year. Please use one page per loan.

1. Current Fiscal Agent:

Name: Wells Fargo Corporate Trust Services
 Address: 333 Market Street, San Francisco CA
 Phone Number: 415-371-3400
 Account Number: 82295700
 Date Hired: September 2010

2. Total surcharge collected from customers during the 12 month reporting period:

Meter Size	No. of Metered Customers	Monthly Surcharge Per Customer
3/4 inch		
1 inch		
1 1/2 inch		
2 inch		
3 inch		
4 inch		
6 inch		
Number of Flat Rate Customers	47	16.62
Total	47	16.62

3. Summary of the bank account activities showing:

Balance at beginning of year	\$11,454
Deposits during the year	10,175
Interest earned for calendar year	
Withdrawals from this account	(9,249)
Balance at end of year	12,380

4. Reason or Purpose of Withdrawal from this bank account:

Loan payment

**FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA (Continued)**

5. Plant amounts included in Schedule A-1a, Account No. 101--Water Plant in Service which were funded using SDWBA or SRF funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits* or (Credits) (e)	Balance End of Year (f)
		NON-DEPRECIABLE PLANT					
1	301	Intangible plant					
2	303	Land					
3		Total non-depreciable plant					
4		DEPRECIABLE PLANT					
5	304	Structures	21,303				21,303
6	307	Wells					
7	317	Other water source plant					
8	311	Pumping equipment	16,502				16,502
9	320	Water treatment plant	124,714				124,714
10	330	Reservoirs, tanks and sandpipes	185,070				185,070
11	331	Water mains	67,713				67,713
12	333	Services and meter installations					
13	334	Meters					
14	335	Hydrants					
15	339	Other equipment					
16	340	Office furniture and equipment					
17	341	Transportation equipment					
18		Total depreciable plant					
19		Total water plant in service	415,302				415,302

California American Water
GO 103A Customer Service Performance Measures
 Companywide Information 2014

Performance Standards	Performance Measure	2014
Telephone Performance		
Total Calls Received		242,098
Total Calls Answered in 30 seconds		195,966
% of Calls Answered in 30 seconds	≥ 80%	81%
Total Abandoned Calls		7,668
% Abandoned Call Rate	≤ 5%	3%
Billing		
Total Bills Rendered		2,136,534
Total Bills not Rendered in 7 days		2,056,122
% Bills Rendered in 7 days	≥ 99%	96%
Total Inaccurate Bills		14,945
% of Inaccurate Bills	≤ 3%	0.69%
Total Payments Posted		1,843,763
Total Errored Payments		9,945
% Payment Posting Error	≤ 1%	0.54%
Total Number of Final Bills		24,108
Final Bills Issued after 14 days		2,936
% Final Read and Final Bil Issued after 14 days	≤ 14 Days	12%
Meter Reading		
Total Scheduled Actual Meter Reads		2,081,012
Total Estimated Meter Reads		16,879
% Scheduled Meters not Read	≤ 3%	0.84%
Work Order Completion		
% Total Customer Met Appointments	≥ 95%	95%
% Total Missed Customer Appointments	≤ 5%	5%
Total Scheduled Work Orders Customer Requested		37,746
Total Completed Work Orders as Scheduled		55,344
Total Incomplete /Canceled Work Orders		5,856
% Customer Work Orders not Completed	≤ 5%	15.50%
Customer/Regulatory Complaints		
Total Number of Customers		173,354
# of CAB Formal and Informal Complaints		70
% Rate of Complaints to CAB	≤ .1%	.04%

DECLARATION

(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)

I, the undersigned _____ Richard C. Svindland
Officer, Partner, or Owner (Please Print)

of _____ California-American Water Company
Name of Utility

under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period of January 1, 2014, through December 31, 2014.

_____ Vice President, Operations
Title (Please Print)


_____ Signature

_____ (916) 568-4296
Telephone Number

_____ 5/29/2015
Date

INDEX

	<u>PAGE</u>		<u>PAGE</u>
Accidents	47	Operating expenses	40-42
Acres irrigated	52	Operating revenues	39
Advances from associated companies	32	Organization and control	12
Advances for construction	35	Other deferred credits	36
Affiliate Transactions	57	Other deferred debits	26
Assets	13	Other income	15
Assets in special funds	22-23	Other investments	22
Balance Sheet	13-14	Other physical property	18
	54	Payables to associated companies	33
Bonds	30	Political expenditures	48
Bonuses	48	Population served	52
Capital stock	27	Premium on capital stock	27
Capital surplus	28	Prepayments	24
Contributions in aid of construction	38	Proprietary capital	29
Customer Service Performance Measures	60		
Depreciation and amortization reserves	20-21	Purchased water for resale	49
Declaration	61	Rate Base	19
Discount on capital stock	26	Receivables from associated companies	24
Discount and expense on funded debt	25	Revenues apportioned to cities	39
Dividends declared	27	SDWBA Loan Data	58
Earned surplus	28	Securities issued or assumed	32
Employees and their compensation	47	Selected Financial Data Excluding Non-Reg 8-10	
Engineering and management fees	46	Service connections	51
Excess Capacity and Non-Tariffed Services	11	Sinking funds	22
Facilities Fees	59	Sources of supply and water developed	49
Franchises	17	Special deposits	23
Income account	15	Status with Board of Health	53
Income deductions	15	Stockholders	27
Investments in associated companies	22	Storage facilities	49
Liabilities	14	Taxes	43
Loans to officers, directors, or shareholders	48	Transmission and distribution facilities	50
Low Income Rate Assistance Program	55	Unamortized debt discount and expense	25
Management compensation	46	Unamortized premium on debt	25
Material Financial Interest	53	Undistributed profits	29
Meters and services	51	Utility plant	16
Miscellaneous long-term debt	32	Utility plant in service	16-17
Miscellaneous reserves	36	Utility plant held for future use	18
Miscellaneous special funds	23	Water delivered to metered customers	52
Notes payable	33	Water Conservation Program(s)	56
Notes receivable	23	Working Cash	19