

Received _____

Examined _____

CLASS A
WATER UTILITIES

U# 162W

2016
ANNUAL REPORT
OF

Great Oaks Water Company

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

PO Box 23490

San Jose, California 95153

(OFFICIAL MAILING ADDRESS)

ZIP

TO THE
PUBLIC UTILITIES COMMISSION
STATE OF CALIFORNIA
FOR THE
YEAR ENDED DECEMBER 31, 2016

REPORT MUST BE FILED NOT LATER THAN MARCH 31, 2017

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GENERAL INSTRUCTIONS

1. Two completed and signed hard copy of this report and one electronic copy must be filed **NOT LATER THAN MARCH 31, 2017**, with:

CALIFORNIA PUBLIC UTILITIES COMMISSION
WATER DIVISION
ATTN: BRUCE DEBERRY
505 VAN NESS AVENUE, ROOM 3106
SAN FRANCISCO, CALIFORNIA 94102-3298
bmd@cpuc.ca.gov

2. Failure to file the report on time may subject a utility to the penalties and sanctions provided by the Public Utilities Code.
3. The Oath, on Page 60, must be signed by an officer, partner or owner.
4. The report must be prepared in ink or by the use of a typewriter. Computer generated report forms may be substituted.
5. The report must be filled in, and every question answered. **LEAVE NO SCHEDULE BLANK.** Insert the words "none" or "not applicable" or "n/a" when appropriate.
6. Certain balance sheet and income statement accounts refer to supplemental schedules. The totals of the details in the latter must agree with the balances of the accounts to which they refer.
7. Some schedules provide for a "balance at beginning of year." The amount shown should agree with the "balance at end of year" as shown in the report for the previous year. If there is a difference it should be explained by footnote.
8. When there is insufficient space in a schedule to permit a complete statement of the requested information, insert sheets should be prepared and identified by the number of the schedule to which it refers. Be certain that the inserts are securely attached to the report. If inserts are needed, prepare all inserts in one separate electronic file in Microsoft Excel format and file it with the electronic file of this report.
9. This report must cover a calendar year, from January 1, 2016, through December 31, 2016. Fiscal year reports will not be accepted.
10. Your company's external auditor information, such as external auditor's name, phone number, and address, must be included in Page 12, Item 12, of this report.

I N S T R U C T I O N S

FOR PREPARATION OF SELECTED FINANCIAL DATA SHEET FOR ALL WATER UTILITIES

To prepare the attached data sheets, refer to the Balance Sheet, Income Statement and supporting detail schedules in the annual report for the required data.

Please follow the instructions below:

1. Common plant allocated to water should be indicated with water plant figures in Net Plant Investment.
2. The capitalization section for those reporting on both California Only and Total System Forms should be identical and completed with Total Company Data. Be sure that Advances for Construction include California water data only.
3. Complete the operation section with California water revenues and expenses, but if necessary, remove interdepartmental revenues and expenses.
4. All other utility and nonutility revenues and expenses are netted on Line No. 38.

BALANCE SHEET AND CAPITALIZATION DATA

Calendar Year 2016

NAME OF UTILITY Great Oaks Water Company PHONE (408) 227-9540

PERSON RESPONSIBLE FOR THIS REPORT Ron Ceolla

	<u>1/1/16</u>	<u>12/31/16</u>	<u>Average</u>
BALANCE SHEET DATA			
1 Intangible Plant	8,000	8,000	8,000
2 Land and Land Rights	141,546	959,439	550,492
3 Depreciable Plant	42,333,801	44,006,105	43,169,953
4 Gross Plant in Service	42,483,346	44,973,544	43,728,445
5 Less: Accumulated Depreciation	(22,025,067)	(23,099,349)	(22,562,208)
6 Net Water Plant in Service	20,458,280	21,874,196	21,166,238
7 Water Plant Held for Future Use	0	0	0
8 Construction Work in Progress	257,461	241,844	249,653
9 Materials and Supplies	0	0	0
10 Less: Advances for Construction	(5,968,512)	(5,711,437)	(5,839,974)
11 Less: Contributions in Aid of Construction	(2,600,304)	(2,578,643)	(2,589,474)
12 Less: Accumulated Deferred Income and Investment Tax Credits	(76,396)	696,031	309,818
13 Net Plant Investment	12,070,529	14,521,991	13,296,260
 CAPITALIZATION			
14 Common Stock	360,110	360,110	360,110
15 Proprietary Capital (Individual or Partnership)	0	0	0
16 Paid-in Capital	347,324	347,324	347,324
17 Retained Earnings (includes non-regulated income)	7,679,293	4,560,398	6,119,845
18 Common Stock and Equity (Lines 14 through 17)	8,386,726.88	5,267,832	6,827,280
19 Preferred Stock	0	0	0
20 Long-Term Debt	4,000,000	4,000,000	4,000,000
21 Notes Payable	0	0	0
22 Total Capitalization (Lines 18 through 21)	12,386,727	9,267,832	10,827,280

INCOME STATEMENT AND OTHER DATA

Calendar Year 2016

NAME OF UTILITY Great Oaks Water Company PHONE (408) 227-9540

INCOME STATEMENT	Annual Amount
24 Unmetered Water Revenue	11,588
25 Fire Protection Revenue	78,523
26 Irrigation Revenue (consolidated with metered water revenues)	0
27 Metered Water Revenue	<u>15,345,941</u>
28 Total Operating Revenue	<u>15,436,052</u>
29 Operating Expenses	11,157,892
30 Depreciation Expense (Composite Rate = <u>2.64%</u>)	1,155,106
31 Amortization Of CIAC and Property Losses	<u>(50,625)</u>
32 Property Taxes	176,484
33 Taxes Other Than Income Taxes	<u>152,288</u>
34 Total Operating Revenue Deduction Before Taxes	12,591,145
35 California Corp. Franchise Tax	216,574
36 Federal Corporate Income Tax	<u>754,268</u>
37 Total Operating Revenue Deduction After Taxes	<u>13,561,987</u>
38 Net Operating Income (Loss) - California Water Operations	1,874,065
39 Other Operating and Nonoper. Income and Exp. - Net (Exclude Interest Expense)	<u>39,934</u>
40 Income Available for Fixed Charges	1,913,999
41 Interest Expense	<u>266,927</u>
42 Net Income (Loss) Before Dividends	1,647,072
43 Preferred Stock Dividends	<u>0</u>
44 Net Income (Loss) Available for Common Stock	<u><u>1,647,072</u></u>

OTHER DATA

45 Refunds of Advances for Construction	<u>257,075</u>
46 Total Payroll Charged to Operating Expenses	<u>2,633,131</u>
47 Purchased Water	<u>0</u>
48 Power	<u>632,806</u>
49 Class A Water Companies Only:	
a. Pre-TRA 1986 Contributions in Aid of Construction	<u>0</u>
b. Pre-TRA 1986 Advances for Construction	<u>0</u>
c. Post TRA 1986 Contributions in Aid of Construction	<u>0</u>
d. Post TRA 1986 Advances for Construction	<u>0</u>

<u>Active Service Connections</u> (Exc. Fire Protect.)	Jan. 1	Dec. 31	Annual Average
50 Metered Service Connections	20,874	21,131	21,003
51 Flat Rate Service Connections			0
52 Total Active Service Connections	<u>20,874</u>	<u>21,131</u>	<u>21,003</u>

BALANCE SHEET AND CAPITALIZATION DATA Adjusted to Exclude Non-Regulated Activity

Calendar Year 2016

NAME OF UTILITY Great Oaks Water Company PHONE (408) 227-9540

PERSON RESPONSIBLE FOR THIS REPORT Ron Ceolla
(Prepared from Information in the 2016 Annual Report)

	1/1/2016	12/31/2016	Average
BALANCE SHEET DATA			
1 Intangible Plant	N/A	N/A	N/A
2 Land and Land Rights	_____	_____	_____
3 Depreciable Plant	_____	_____	_____
4 Gross Plant in Service	_____	_____	_____
5 Less: Accumulated Depreciation	_____	_____	_____
6 Net Water Plant in Service	_____	_____	_____
7 Water Plant Held for Future Use	_____	_____	_____
8 Construction Work in Progress	_____	_____	_____
9 Materials and Supplies	_____	_____	_____
10 Less: Advances for Construction	()	()	()
11 Less: Contributions in Aid of Construction	()	()	()
12 Less: Accumulated Deferred Income and Investment Tax Credits	()	()	()
13 Net Plant Investment	_____	_____	_____
 CAPITALIZATION			
14 Common Stock	N/A	N/A	N/A
15 Proprietary Capital (Individual or Partnership)	_____	_____	_____
16 Paid-in Capital	_____	_____	_____
17 Retained Earnings	_____	_____	_____
18 Common Stock and Equity (Lines 14 through 17)	_____	_____	_____
19 Preferred Stock	_____	_____	_____
20 Long-Term Debt	_____	_____	_____
21 Notes Payable	_____	_____	_____
22 Total Capitalization (Lines 18 through 21)	_____	_____	_____

Note:

INCOME STATEMENT AND OTHER DATA

Adjusted to Exclude Non-Regulated Activity

Calendar Year 2016

NAME OF UTILITY Great Oaks Water Company PHONE (408) 227-9540

		Annual Amount
INCOME STATEMENT		
23	Unmetered Water Revenue	N/A
24	Fire Protection Revenue	_____
25	Irrigation Revenue	_____
26	Metered Water Revenue	_____
27	Total Operating Revenue	_____
28	<u>Operating Expenses</u>	_____
29	Depreciation Expense (Composite Rate _____)	_____
30	Amortization and Property Losses	_____
31	Property Taxes	_____
32	Taxes Other Than Income Taxes	_____
33	Total Operating Revenue Deduction Before Taxes	_____
34	California Corp. Franchise Tax	_____
35	Federal Corporate Income Tax	_____
36	Total Operating Revenue Deduction After Taxes	_____
37	Net Operating Income (Loss) - California Water Operations	_____
38	Other Operating and Nonoper. Income and Exp. - Net (Exclude Interest Expense)	_____
39	Income Available for Fixed Charges	_____
40	Interest Expense	_____
41	Net Income (Loss) Before Dividends	_____
42	Preferred Stock Dividends	_____
43	Net Income (Loss) Available for Common Stock	_____

OTHER DATA		
44	Refunds of Advances for Construction	_____
45	Total Payroll Charged to Operating Expenses	_____
46	Purchased Water	_____
47	Power	_____
48	Class A Water Companies Only:	
	a. Pre-TRA 1986 Contributions in Aid of Construction	_____
	b. Pre-TRA 1986 Advances for Construction	_____
	c. Post TRA 1986 Contributions in Aid of Construction	_____
	d. Post TRA 1986 Advances for Construction	_____

				Annual Average
	<u>Active Service Connections</u> (Exc. Fire Protect.)_____	Jan. 1	Dec. 31	
49	Metered Service Connections			
50	Flat Rate Service Connections			
51	Total Active Service Connections			

Notes to Adjusted Selected Financial Data

Instructions: Provide reasons for each adjustment to the Selected Financial Data due to non-regulated activities. Detail this information by name of line item (Gross Plant, Operating Revenues, etc.), account number, dollar amount, and by point in time (end of year, beginning of year, or average).

1	N/A
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Excess Capacity and Non-Tariffed Services

NOTE: In D.00-07-018, D.03-04-028, and D. 04-12-023, the CPUC set forth rules and requirements regarding water utilities provision of non-tariffed services using excess capacity. These decisions require water utilities to: 1) file an advice letter requesting Commission approval of that service, 2) provide information regarding non-tariffed goods/services in each companies Annual Report to the Commission.

Based on the information and filings required in D.00-07-018, D.03-04-028, and D.04-12-023, provide the following information by each individual non-tariffed good and service provided in 2012:

Applies to All Non-Tariffed Goods/Services that require Approval by Advice Letter											
Row Number	Description of Non-Tariffed Goods/Services	Active or Passive	Total Revenue Derived from Non-tariffed Goods/ Services (by account)	Revenue Account Number	Total Expenses Incurred to Provide Non-tariffed Goods/ Services (by account)	Expense Account Number	Advice Letter and/or Resolution Number Approving Non-tariffed Goods/ Services	Total Income Tax Liability Incurred Because of Non-tariffed Goods/ Services (by account)	Income Tax Liability Account Number	Gross Value of Regulated Assets Used in the Provision of a Non-tariffed Goods/ Services (by account)	Regulated Asset Account Number
1	Wireless communications transmission - Clearwire	Passive	18,464	521.001	1,200	521.002	N/A	259	527.000	77,035	101.342
2	Wireless communications transmission - T-Mobile	Passive	23,220	521.001	2,400	521.002	N/A	312	527.000	0	101.342
3	Tenant Rent	Passive	27,850	521.001	301	521.002	N/A	413	527.000	0	101.371
4	HomeServe	Passive	64,356	526.000	0	N/A	N/A	965	527.000	0	N/A
5	Total		133,890		3,901			1,950		77,035	

GENERAL INFORMATION

1. Name under which utility is doing business: Great Oaks Water Company

2. Official mailing address: PO Box 23490, San Jose, California 95153

3. Name and title of person to whom correspondence should be addressed: John W. S. Roeder, Chairman & CEO Telephone: (408) 227-9540

4. Address where accounting records are maintained: 20 Great Oaks Boulevard, Suite 120, San Jose, California 95119

5. Service Area (Refer to district reports if applicable): Southeast San Jose and Santa Clara County areas including Coyote & East Almaden Valleys

6. Service Manager (If located in or near Service Area.) (Refer to district reports if applicable.)
Name: John Roeder, CEO Telephone: (408) 227-9540
Address: Same as above

7. OWNERSHIP. Check and fill in appropriate line:
 Individual (name of owner) _____
 Partnership (name of partner) _____
 Partnership (name of partner) _____
 Partnership (name of partner) _____
 Corporation (corporate name) GOW Corporation
Organized under laws of (state) California Date: April 1959

Principal Officers:
Name: John W. S. Roeder Title: Chairman & CEO
Name: Timothy Guster Title: Secretary & General Counsel
Name: Ron Ceolla Title: Treasurer & CFO
Name: Jared Ajouny Title: Vice President - Operations

8. Names of associated companies: _____

9. Names of corporations, firms or individuals whose property or portion of property have been acquired during the year, together with date of each acquisition:
Name: Ando Farms Date: 2/26/2016
Name: Ramendra Bahuguna Date: 8/15/2016
Name: _____ Date: _____
Name: _____ Date: _____

10. Use the space below for supplementary information or explanations concerning this report:

11. List Name, Grade, and License Number of all Licensed Operators:
SEE ATTACHED LIST ON FOLLOWING PAGE

12. List Name, Address, and Phone Number of your company's external auditor:
Name: Wright Ford Young & Company Telephone: (949) 910-2727
Address: 16140 Sand Canyon Avenue, Irvine, California 92618

13. This annual report was prepared by:
Name of firm or consultant: Prepared internally.
Address of firm or consultant: _____
Phone Number of firm or consultant: ()

Great Oaks Water Certified Operators

Distribution

Name	Cert #	Grade	Expires
Jared Ajlouny	34723	D5	4/1/2018
Justin Bullock	32480	D1	4/1/2019
Mike Carey	28205	D5	4/1/2019
Cameron Kamal	42590	D2	6/1/2017
Sam Cruz	29310	D2	11/1/2017
Ben Newmeyer	28070	D2	1/1/2018
John Roeder	16479	D4	4/1/2019
Chris Cogliandro	43115	D1	11/1/2019
Kevin Dullea	43113	D1	11/1/2019
Andrew White	43114	D1	11/1/2019
Lorenzo Arce	31671	D5	11/1/2018
Rob Taber	42979	D1	11/1/2019
Joel Heldebrant	45305	D1	5/1/2018
Frank Mashburn	47612	D1	11/1/2019

Treatment

Name	Cert #	Grade	Expires
Ben Newmeyer	30126	T1	8/1/2017
Mike Carey	34282	T2	1/1/2020
Lorenzo Arce	27651	T2	7/1/2017
Jared Ajlouny	34281	T2	10/1/2017
Cameron Kamal	36957	T2	1/1/2018
Frank Mashburn	38602	T1	7/1/2019

SCHEDULE A
COMPARATIVE BALANCE SHEETS
Assets and Other Debits

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
1		I. UTILITY PLANT			
2	100	Utility plant	A-1	45,215,389	42,740,808
3	107	Utility plant adjustments			
4		Total utility plant		45,215,389	42,740,808
5	250	Reserve for depreciation of utility plant	A-3	23,099,349	22,025,067
6	251	Reserve for amortization of limited term utility investments	A-3		
7	252	Reserve for amortization of utility plant acquisition adjustment	A-3		
8		Total utility plant reserves		23,099,349	22,025,067
9		Total utility plant less reserves		22,116,040	20,715,741
10					
11		II. INVESTMENT AND FUND ACCOUNTS			
12	110	Other physical property	A-2		
13	253	Reserve for depreciation and amortization of other property	A-3		
14		Other physical property less reserve			
15	111	Investments in associated companies	A-4	0	0
16	112	Other investments	A-5	11,935	11,869
17	113	Sinking funds	A-6		
18	114	Miscellaneous special funds	A-7		
19		Total investments and fund accounts		11,935	11,869
20					
21		III. CURRENT AND ACCRUED ASSETS			
22	120	Cash		1,894,164	1,525,739
23	121	Special deposits	A-8		
24	122	Working funds			
25	123	Temporary cash investments (currently SCVWD funds held)			
26	124	Notes receivable	A-9	0	1,180,000
27	125	Accounts receivable		1,912,706	1,850,337
28	126	Receivables from associated companies	A-10	0	0
29	131	Materials and supplies			
30	132	Prepayments	A-11	771,550	252,205
31	133	Other current and accrued assets	A-12	2,163,380	1,889,577
32		Total current and accrued assets		6,741,800	6,697,859
33					
34		IV. DEFERRED DEBITS			
35	140	Unamortized debt discount and expense	A-13		
36	141	Extraordinary property losses	A-14		
37	142	Preliminary survey and investigation charges			
38	143	Clearing accounts			
39	145	Other work in progress			
40	146	Other deferred debits	A-15	772,427	822,597
41		Total deferred debits		772,427	822,597
42		Total assets and other debits		29,642,203	28,248,066

Note: The 2016 beginning balance is lower than the 2015 ending balance due to distributions of many assets as part of the Type F Reorganization approved by the Commission in Decision 15-03-006 approving the settlement of A.14-04-035. The transfer of assets to GOW Corporation became effective after the close of business on 12/31/2015. An independent auditor has confirmed compliance of the reorganization with the Commission's Decision 15-03-006.

**SCHEDULE A
COMPARATIVE BALANCE SHEETS
Liabilities and Other Credits**

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
1		I. CORPORATE CAPITAL AND SURPLUS			
2	200	Common capital stock	A-18	360,110	360,110
3	201	Preferred capital stock	A-18	0	0
4	202	Stock liability for conversion			
5	203	Premiums and assessments on capital stock	A-19	349,539	349,539
6	150	Discount on capital stock	A-16		
7	151	Capital stock expense	A-17	(2,236)	(2,236)
8	270	Capital surplus	A-20	21	21
9	271	Earned surplus	A-21	4,560,398	7,679,293
10		Total corporate capital and surplus		5,267,832	8,386,727
11					
12		II. PROPRIETARY CAPITAL			
13	204	Proprietary capital (Individual or partnership)	A-22		
14	205	Undistributed profits of proprietorship or partnership	A-23		
15		Total proprietary capital			
16					
17		III. LONG-TERM DEBT			
18	210	Bonds	A-24		
19	211	Receivers' certificates			
20	212	Advances from associated companies	A-25		
21	213	Miscellaneous long-term debt	A-26	4,000,000	4,000,000
22		Total long-term debt		4,000,000	4,000,000
23					
24		IV. CURRENT AND ACCRUED LIABILITIES			
25	220	Notes payable	A-28		
26	221	Notes receivable discounted			
27	222	Accounts payable		598,285	65,392
28	223	Payables to associated companies	A-29	908,000	0
29	224	Dividends declared			
30	225	Matured long-term debt			
31	226	Matured interest			
32	227	Customers' deposits		82,187	68,167
33	228	Taxes accrued	A-31	7,083	3,700
34	229	Interest accrued		14,959	14,959
35	230	Other current and accrued liabilities	A-30	4,849,095	2,330,427
36		Total current and accrued liabilities		6,459,608	2,482,645
37					
38		V. DEFERRED CREDITS			
39	240	Unamortized premium on debt	A-13		
40	241	Advances for construction	A-32	5,711,437	5,968,512
41	242	Other deferred credits	A-33	3,640,804	3,109,704
42	243	Long-term Defined Benefit Pension Liability		1,983,878	1,700,173
43		Total deferred credits		11,336,119	10,778,389
44					
45		VI. RESERVES			
46	254	Reserve for uncollectible accounts	A-34		
47	255	Insurance reserve	A-34		
48	256	Injuries and damages reserve	A-34		
49	257	Employees' provident reserve	A-34		
50	258	Other reserves	A-34		
51		Total reserves			
52					
53		VII. CONTRIBUTIONS IN AID OF CONSTRUCTION			
54	265	Contributions in aid of construction	A-35	2,578,643	2,600,304
55		Total liabilities and other credits		29,642,203	28,248,066

Note: The 2016 beginning balance is lower than the 2015 ending balance due to distributions of many assets as part of the Type F Reorganization approved by the Commission in Decision 15-03-006 approving the settlement of A.14-04-035. The transfer of assets to GOW Corporation became effective after the close of business on 12/31/2015. An independent auditor has confirmed compliance of the reorganization with the Commission's Decision 15-03-006.

SCHEDULE B
Income Account for the Year

Line No.	Acct.	Account (a)	Schedule Page No. (b)	Amount (c)
1		I. UTILITY OPERATING INCOME		
2	501	Operating revenues	B-1	15,436,052
3				
4		Operating Revenue Deductions:		
5	502	Operating expenses	B-2	11,157,892
6	503	Depreciation	A-3	1,155,106
7	265	Less: CIAC		50,625
8	503	Depreciation (net of CIAC)		1,104,481
9	504	Amortization of limited-term utility investments	A-3	
10	505	Amortization of utility plant acquisition adjustments	A-3	
11	506	Property losses chargeable to operations	B-3	
12	507	Taxes	B-4	1,299,614
13		Total operating revenue deductions		13,561,987
14		Net operating revenues		1,874,065
15	508	Income from utility plant leased to others		
16	510	Rent for lease of utility plant		
17		Total utility operating income		1,874,065
18				
19		II. OTHER INCOME		
20	521	Income from nonutility operations (Net)	B-6	69,233
21	522	Revenue from lease of other physical property		
22	523	Dividend revenues		219
23	524	Interest revenues		1,010
24	525	Revenues from sinking and other funds		
25	526	Miscellaneous nonoperating revenues	B-7	64,356
26	527	Nonoperating revenue deductions - Dr.	B-8	(5,178)
27	528	Gain (Loss) on sale of funds/stock		0
28	530	Other Miscellaneous Income		0
29	530	Gain (Loss) on sale of nonutility real estate		0
30		Total other income		129,640
31		Net income before income deductions		2,003,705
32				
33		III. INCOME DEDUCTIONS		
34	530	Interest on long-term debt		260,000
35	531	Amortization of debt discount and expense		
36	532	Amortization of premium on debt - Cr.		
37	533	Taxes assumed on interest		
38	534	Interest on debt to associated companies		
39	535	Other interest charges	B-9	6,927
40	536	Interest charged to construction - Cr.		
41	537	Miscellaneous amortization		
42	538	Miscellaneous income deductions	B-10	89,706
43		Total income deductions		356,633
44		Net income		1,647,072
45				
46		IV. DISPOSITION OF NET INCOME		
47	540	Miscellaneous reservations of net income		0
48				
49		Balance transferred to Earned Surplus or		1,647,072
50		Proprietary Accounts scheduled on page 21		
51				

SCHEDULE A-1
Account 100 - Utility Plant

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1	100 - 1	Utility plant in service (Schedule A-1a)	42,483,346	2,552,566	(62,369)	0	44,973,544
2	100 - 2	Utility plant leased to others					
3	100 - 3	Construction work in progress	257,461	2,645,049		(2,660,666)	241,844
4	100 - 4	Utility plant held for future use (Sch A-1c)					
5	100 - 5	Utility plant acquisition adjustments					
6	100 - 6	Utility plant in process of reclassification					
7		Total utility plant	42,740,808	5,197,615	(62,369)	(2,660,666)	45,215,389

SCHEDULE A-1a
Account 100.1 - Utility Plant in Service

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		I. INTANGIBLE PLANT					
2	301	Organization					
3	302	Franchises and consents (Schedule A-1b)					
4	303	Other intangible plant	8,000	0	0	0	8,000
5		Total intangible plant	8,000	0	0	0	8,000
6							
7		II. LANDED CAPITAL					
8	306	Land and land rights	141,546	817,893	0	0	959,439
9							
10		III. SOURCE OF SUPPLY PLANT					
11	311	Structures and improvements	865	0	0	0	865
12	312	Collecting and impounding reservoirs					
13	313	Lake, river and other intakes					
14	314	Springs and tunnels					
15	315	Wells	2,351,214	470,312	(23,774)	0	2,797,752
16	316	Supply mains					
17	317	Other source of supply plant					
18		Total source of supply plant	2,352,079	470,312	(23,774)	0	2,798,617
19							
20		IV. PUMPING PLANT					
21	321	Structures and improvements	1,193	0	0	0	1,193
22	322	Boiler plant equipment					
23	323	Other power production equipment	251,277	0	0	0	251,277
24	324	Pumping equipment	2,003,046	14,684	0	0	2,017,730
25	325	Other pumping plant	89,076	0	0	0	89,076
26		Total pumping plant	2,344,591	14,684	0	0	2,359,275
27							
28		V. WATER TREATMENT PLANT					
29	331	Structures and improvements					
30	332	Water treatment equipment	93,884	4,630	0	0	98,514
31		Total water treatment plant	93,884	4,630	0	0	98,514

SCHEDULE A-1a
Account 100.1 - Utility Plant in Service (Continued)

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
32		VI. TRANSMISSION AND DIST. PLANT					
33	341	Structures and improvements	1,511	0	0	0	1,511
34	342	Reservoirs and tanks	2,685,845	0	0	0	2,685,845
35	343	Transmission and distribution mains	23,021,254	736,582	0	0	23,757,836
36	344	Fire mains	16,884	0	0	0	16,884
37	345	Services	4,750,803	16,993	0	0	4,767,796
38	346	Meters	2,824,063	293,200	(23,696)	0	3,093,567
39	347	Meter installations	32,520	0	0	0	32,520
40	348	Hydrants	634,988	2,501	0	0	637,490
41	349	Other transmission and distribution plant					
42		Total transmission and distribution plant	33,967,868	1,049,276	(23,696)	0	34,993,448
43							
44		VII. GENERAL PLANT					
45	371	Structures and improvements	1,247,216	7,447	0	0	1,254,663
46	372	Office furniture and equipment	1,596,655	67,916	0	0	1,664,571
47	373	Transportation equipment	540,055	4,886	(14,899)	0	530,042
48	374	Stores equipment					
49	375	Laboratory equipment					
50	376	Communication equipment	6,681	84,021	0	0	90,701
51	377	Power operated equipment	141,594	31,501	0	0	173,095
52	378	Tools, shop and garage equipment	43,178	0	0	0	43,178
53	379	Other general plant					
54		Total general plant	3,575,378	195,771	(14,899)	0	3,756,250
55							
56		VIII. UNDISTRIBUTED ITEMS					
57	390	Other tangible property					
58	391	Utility plant purchased					
59	392	Utility plant sold					
60		Total undistributed items	0	0	0	0	0
61		Total utility plant in service	42,483,346	2,552,566	(62,369)	0	44,973,544

SCHEDULE A-1b
Account 302 - Franchises and Consents

Line No.	Name of Original Grantor (a)	Date of Grant (b)	Amount at Which Carried In Account ¹ (e)
1	NONE		
2			
3			
4			
5	Total		

¹ The total should agree with the balance at the end of the year in Account 302 in Schedule A-1a Line 10.

SCHEDULE A-1c
Account 100.4 - Utility Plant Held for Future Use

Line No.	Description and Location of Property (a)	Date of Acquisition (b)	Approximate Date When Property Will be Placed in Service (c)	Balance End of Year (d)
1	NONE			
2				
3				
4				
5				
6				
7				
8				
9	Total			0

SCHEDULE A-2
Account 110 - Other Physical Property

Line No.	Name and Description of Property (a)	Book Value End of Year (b)
1	NONE	
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15	Total	

**SCHEDULE A-1d
RATE BASE AND WORKING CASH**

Line No.	Acct.	Title of Account (a)	Balance 12/31/2016 (c)	Balance 1/1/2016 (d)
		RATE BASE		
1		Utility Plant		
2		Plant in Service	44,973,544	42,483,346
3		Construction Work in Progress	241,844	257,461
4		General Office Prorate		
5		Total Gross Plant (Line 2 + Line 3 + Line 4)	45,215,389	42,740,808
6		Less Accumulated Depreciation		
7		Plant in Service	23,099,349	22,025,067
8		General Office Prorate		
9		Total Accumulated Depreciation (Line 7 + Line 8)	23,099,349	22,025,067
10		Less Other Reserves		
11		Deferred Income Taxes	2,650,418	2,221,116
12		Deferred Investment Tax Credit	18,477	23,136
13		Other Reserves: FIN 48	76,396	76,396
14		Total Other Reserves (Line 11 + Line 12 + Line 13)	2,745,291	2,320,648
15		Less Adjustments		
16		Contributions in Aid of Construction	2,578,643	2,600,304
17		Advances for Construction	5,711,437	5,968,512
18		Other: Less - Deferred Tax Asset - AFC	(772,427)	(822,597)
19		Total Adjustments (Line 16 + Line 17 + Line 18)	7,517,653	7,746,219
20		Add Materials and Supplies		
21		Add Working Cash (From Schedule A-1d(2))	1,790,145	1,772,383
22		TOTAL RATE BASE	13,643,241	12,421,257
23		=Line 5 - Line 9 - Line 14 - Line 19 + Line 20 + Line 21		
		Working Cash		
24		Determination of Operational Cash Requirement		
25		1. Operating Expenses, Excl Taxes, Depr. & Uncoll.	11,085,365	11,058,452
26		2. Purchased Power & Commodity for Resale*	6,118,809	6,246,516
27		3. Meter Revenues: Bimonthly Billing	15,345,941	14,414,932
28		4. Other Revenues: Flat Rate Monthly Billing	78,523	85,903
29		5. Total Revenues (3 + 4)	15,424,465	14,500,836
30		6. Ratio - Flat Rate to Total Revenues (4 / 5)	0.0051	0.0059
31		7. 5/24 x Line 1 x (100% - Line 6)	2,297,694	2,290,196
32		8. 1/24 x Line 1 x Line 6	2,351	2,730
33		9. 1/12 x Line 2	509,901	520,543
34		10. Operational Cash Requirement (7 + 8 - 9)	1,790,145	1,772,383
		* Electric power, gas or other fuel purchased for pumping and/or purchased commodity for resale billed after receipt (metered).		

SCHEDULE A-3
Accounts 250 to 253, Inclusive - Depreciation and Amortization Reserves

Line No.	Item (a)	Account 250 Utility Plant (b)	Account 251 Limited-Term Utility Investments (c)	Account 252 Utility Plant Acquisition Adjustments (d)	Account 253 Other Property (e)
1	Balance in reserves at beginning of year (GL)	22,025,067			
2	Add: Credits to reserves during year				
3	(a) Charged to Account 503, 504, 505 ⁽¹⁾	1,155,106			
4	(b) Charged to Account 265				
	Sub-total depreciation	1,155,106			
5	(c) Charged to clearing accounts				
6	(d) Salvage recovered	16,048			
7	(e) All other credits ⁽²⁾				
8	Total credits	1,171,154			
9	Deduct: Debits to reserves during year				
10	(a) Book cost of property retired	62,369			
11	(b) Cost of removal	34,504			
12	(c) All other debits ⁽³⁾				
13	Total debits	96,872			
14	Balance in reserve at end of year	23,099,349			
15					
16	(1) COMPOSITE DEPRECIATION RATE USED FOR STRAIGHT LINE REMAINING LIFE:				2.64%
17					
18	(2) EXPLANATION OF ALL OTHER CREDITS:				
19					
20					
21					
22					
23					
24					
25					
26					
27	(3) EXPLANATION OF ALL OTHER DEBITS:				
28					
29					
30					
31					
32					
33					
34					
35	METHOD USED TO COMPUTE INCOME TAX DEPRECIATION:				
36	(a) Straight Line	()			
37	(b) Liberalized	()			
38	(1) Double declining balance	()			
39	(2) ACRS	()			
40	(3) MACRS	()			
41	(4) Others	()			
42	(c) Both straight line and liberalized	(x)			

SCHEDULE A-3a

Account 250 - Analysis of Entries in Depreciation Reserve

(This schedule is to be completed if records are maintained showing depreciation reserve by plant accounts)

Line No.	Acct.	DEPRECIABLE PLANT (a)	Balance Beginning of Year (b)	Credits to Reserve During Year Excl. Salvage (c)	Debits to Reserves During Year Excl. Cost Removal (d)	Salvage and Cost of Removal Net (Dr.) or Cr. (e)	Balance End of Year (f)
1		I. SOURCE OF SUPPLY PLANT					
2	311	Structures and improvements	865	0	0	0	865
3	312	Collecting and impounding reservoirs					
4	313	Lake, river and other intakes					
5	314	Springs and tunnels					
6	315	Wells	1,187,158	85,847	(23,774)	1,800	1,251,032
7	316	Supply mains					
8	317	Other source of supply plant					
9		Total source of supply plant	1,188,023	85,847	(23,774)	1,800	1,251,896
10							
11		II. PUMPING PLANT					
12	321	Structures and improvements	1,193	0	0	0	1,193
13	322	Boiler plant equipment					
14	323	Other power production equipment	180,525	7,338	0	0	187,863
15	324	Pumping equipment	1,015,054	85,441	0	0	1,100,495
16	325	Other pumping plant	89,076	0	0	0	89,076
17		Total pumping plant	1,285,847	92,779	0	0	1,378,626
18							
19		III. WATER TREATMENT PLANT					
20	331	Structures and improvements					
21	332	Water treatment equipment	75,124	1,107	0	0	76,231
22		Total water treatment plant	75,124	1,107	0	0	76,231
23							
24		IV. TRANS. AND DIST. PLANT					
25	341	Structures and improvements	1,511	0	0	0	1,511
26	342	Reservoirs and tanks	1,166,264	71,981	0	0	1,238,245
27	343	Transmission and distribution mains	11,300,463	537,962	0	0	11,838,425
28	344	Fire mains	13,110	388	0	0	13,498
29	345	Services	3,602,995	124,716	0	0	3,727,711
30	346	Meters	1,064,013	114,935	(23,696)	(20,456)	1,134,797
31	347	Meter installations	30,083	70	0	0	30,153
32	348	Hydrants	175,195	762	0	0	175,957
33	349	Other transmission and distribution plant					
34		Total trans. and distribution plant	17,353,634	850,814	(23,696)	(20,456)	18,160,297
35							
36		V. GENERAL PLANT					
37	371	Structures and improvements	540,817	3,903	0	0	544,720
38	372	Office furniture and equipment	1,119,723	62,995	0	0	1,182,718
39	373	Transportation equipment	324,536	47,273	(14,899)	200	357,110
40	374	Stores equipment					
41	375	Laboratory equipment					
42	376	Communication equipment	6,681	3,214	0	0	9,895
43	377	Power operated equipment	100,653	6,644	0	0	107,297
44	378	Tools, shop and garage equipment	30,028	530	0	0	30,558
45	379	Other general plant					
46	390	Other tangible property					
47	391	Water plant purchased					
48		Total general plant	2,122,438	124,559	(14,899)	200	2,232,298
49		Total	22,025,067	1,155,106	(62,369)	(18,456)	23,099,349

SCHEDULE A-4
Account 111 - Investments in Associated Companies

Line No.	Class of Security (a)	Name of Issuing Company (b)	Par Value End of Year (c)	Ledger Value End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest and Dividends Received During Year (g)
1	NONE						
2							
3							
4							
5							
6							
7		Totals		0			

1 The total should agree with the balance at the end of the year in Account 111 on page 13.

SCHEDULE A-5
Account 112 - Other Investments

Line No.	Name and Description of Property (a)	Balance End of Year (b)
1	Non-utility real estate	5,067
2	Corporate stocks	6,869
3		
4		
5		
6		
7		
8		
9	Total	11,935

SCHEDULE A-6
Account 113 - Sinking Funds

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	NONE					
2						
3						
4						
5						
6						
7						
8						
9	Totals					

SCHEDULE A-7
Account 114 - Miscellaneous Special Funds

Line No.		Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	NONE					
2						
3						
4						
5						
6						
7						
8						
9	Totals					

SCHEDULE A-8
Account 121 - Special Deposits

Line No.	Name of Depository (a)	Purpose of Deposit (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5			
6	Total		

SCHEDULE A-9
Account 124 - Notes Receivable

Line No.	Maker (a)	Date of Issue (b)	Date Payable (c)	Balance End of Year (d)	Interest Rate (e)	Interest Accrued During Year (g)	Interest Received During Year (g)
1	NONE						
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14	Total			0		0	0

SCHEDULE A-10
Account 126 - Receivables from Associated Companies

Line No.	Due from Whom (a)	Amount (b)	Interest Rate (c)	Interest Accrued During Year (d)	Interest Received During Year (e)
1	NONE				
2					
3					
4					
5					
6					
7					
8					
9					
10	Totals	0	0.00%	0	0

SCHEDULE A-11
Account 132 - Prepayments

Line No.	Item (a)	Amount (b)
1	Prepaid/overpaid bills	77,461
2	State Income Taxes Prepaid	61,928
3	Federal Income Taxes Prepaid	632,160
4		
5		
6		
7		
8		
9		
10	TOTALS	771,550

SCHEDULE A-12
Account 133 - Other Current and Accrued Assets

Line No.	Item (a)	Amount (b)
1	Balancing Account Asset	2,358
2	Other Receivables	5,432
3	Deferred Rental Income	1,637
4	Regulatory Asset	1,983,878
5	Deferred Tax Asset - Current	170,076
6		
7		
8		
9		
10	Total	2,163,380

SCHEDULE A-13

Accounts 140 and 240 - Unamortized Debt Discount and Expense and Unamortized Premium on Debt

1. Report under separate subheadings for Unamortized Debt Discount and Expense and Unamortized Premium or Debt, particulars of discount and expense of premium applicable to each class and series of long-term debt.
2. Show premium amounts in red or by enclosure in parentheses.
3. In column (b) show the principal amount of bonds or other long-term debt originally issued.
4. In column (c) show the discount and expense or premium with respect to the amount of bonds or other long-term debt originally issued.
5. Furnish particulars regarding the treatment of unamortized debt discount and expense or premium, redemption premiums, and redemption expenses associated with issues redeemed during the year, also, date of the Commission's authorization of treatment other than as specified by the Uniform System of Accounts.
6. Set out separately and identify undisposed amounts applicable to issues which were redeemed in prior years.
7. Explain any debits and credits other than amortization debited to Account 531, Amortization of Debt Discount and Expense, or credited to Account 532, Amortization of Premium on Debt-Credit.

Line No.	Designation of long-term debt (a)	Principal amount of securities to which discount and expense, or premium minus expense, relates (b)	Total discount and expense or net Premium (c)	AMORTIZATION PERIOD		Balance beginning of year (f)	Debits during year (g)	Credits during year (h)	Balance end of year (i)
				From- (d)	To- (e)				
1	NONE								
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									

SCHEDULE A-14
Account 141 - Extraordinary Property Losses

Line No.	Description of Property Loss or Damage (a)	Total Amount of Loss (b)	Previously Written off (c)	WRITTEN OFF DURING YEAR		Balance End of year (f)
				Account Charged (d)	Amount (e)	
1	NONE					
2						
3						
4	Total					

SCHEDULE A-15
Account 146 - Other Deferred Debits

Line No.	Item (a)	Balance End of year (b)
1	Deferred Tax - AFC	772,427
2		
3		
4		
5		
6	Total	772,427

SCHEDULE A-16
Account 150 - Discount on Capital Stock

1. Report the balance at end of year of discount on capital stock for each class and series of capital stock.
2. If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off during the year and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance End of year (b)
1	NONE	
2		
3	Total	

SCHEDULE A-17
Account 151- Capital Stock Expense

1. Report the balance at end of year of capital stock expense for each class and series of capital stock.
2. If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off of capital stock expense and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance End of year (b)
1	Common	600
2	Preferred	1,636
3	Total	2,236

SCHEDULE A-18
Accounts 200 and 201- Capital Stock

Line No.	Class of Stock (a)	Number of Shares Authorized by Articles of Incorporation (b)	Par Value of Stock Authorized by Articles of Incorporation (c)	Number of Shares Outstanding ¹ (d)	Total Par Value ² Outstanding End of Year (e)	Dividends Declared During Year	
						Rate (f)	Amount (g)
1	Common	100,000	\$5.00	72,022	\$360,110		
2	Non Voting Preferred	200,000	\$5.00	0	\$0		
3	Voting Preferred	100,000	\$2.50	0	\$0		
4							
5							
6	Totals	400,000			\$360,110		\$0

¹ After deduction for amount of reacquired stock held by or for the respondent.

² If shares of stock have no par value, show value assigned to stock outstanding.

SCHEDULE A-18a
Record of Stockholders at End of Year

Line No.	Common Stock Name (a)	Number Shares (b)	Preferred Stock Name (c)	Number Shares (d)
1	GOW Corporation	72,022		
2				
3				
4				
5				
6				
7				
8				
9	Total number of shares	72,022	Total number of shares	0

SCHEDULE A-19
Account 203 - Premiums and Assessments on Capital Stock

Line No.	Class of Stock (a)	Balance End of Year (b)
1	Common	\$349,539
2		
3		
4		
5		
6		
7		
8		
9	Total	\$349,539

Note: Reflects the 2010 reclassification of residual premium of voting and non voting preferred stock related to the 2009 redemption of Trust A stock

SCHEDULE A-20
Account 270 - Capital Surplus (For use by Corporations only)

Line No.	Item (a)	Amount (b)
1	Balance beginning of year	\$21
2	CREDITS (Give nature of each credit and state account charged)	
3		
4		
5	Total credits	
6	DEBITS (Give nature of each debit and state account credited)	
7		
8		
9		
10	Total debits	
11	Balance end of year	\$21

SCHEDULE A-21
Account 271 - Earned Surplus (For use by Corporations only)

Line No.	Acct	Account (a)	Amount (b)
1		Balance beginning of year	8,944,838
2		CREDITS	
3	400	Current year credit balance transferred from income account	1,647,072
4	401	Miscellaneous credits to surplus (detail)	
5	371	Miscellaneous credits to surplus	
6	371	Miscellaneous credits to surplus	
7	371	Miscellaneous credits to surplus	
8	371	Miscellaneous credits to surplus	
9	380	Unrealized Gain - Investments - AOCI	
10		Total credits	1,647,072
11		DEBITS	
12	410	Current year debit balance transferred from income account	
13	411	Dividend appropriations - Preferred stock	
14	412	Dividend appropriations - Common stock	
15	413	Miscellaneous reservations of surplus	
16	414	Miscellaneous debits to surplus (detail)	
17	380	Unrealized (Loss) - Investments - AOCI	0
18	371	Adjustments related to prior years	1,263,945
19	371	Distributions	4,767,566
20		Total debits	6,031,511
21		Balance end of year	4,560,398

Note: The 2016 beginning balance is lower than the 2015 ending balance due to distributions of many assets as part of the Type F Reorganization approved by the Commission in Decision 15-03-006 approving the settlement of A.14-04-035. The transfer of assets to GOW Corporation became effective after the close of business on 12/31/2015. An independent auditor has confirmed compliance of the reorganization with the Commission's Decision 15-03-006.

SCHEDULE A-22
Account 204 - Proprietary Capital
(Sole Proprietor or Partnership)

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	N/A
2	CREDITS	
3	Net income for year	
4	Additional investments during year	
5	Other credits (specify)	
6		
7	Total credits	
8	DEBITS	
9	Net loss for year	
10	Withdrawals during year	
11	Other debits (specify)	
12		
13	Total debits	
14	Balance end of year	

SCHEDULE A-23
Account 205 - Undistributed Profits
of Proprietorship or Partnership
 (The use of this account is optional)

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	N/A
2	CREDITS	
3	Net income for year	
4	Other credits (specify)	
5		
6	Total credits	
7	DEBITS	
8	Net loss for year	
9	Withdrawals during year	
10	Other debits (specify)	
11		
12	Total debits	
13	Balance end of year	

SCHEDULE A-24
Account 210 - Bonds

Line No.	Class of Bond (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding ¹ per Balance Sheet (e)	Rate of Interest (f)	Sinking Fund Added in Current Year (g)	Cost of Issuance (h)	Interest Accrued During Year (i)	Interest Paid During Year (j)
1	NONE									
2										
3										
4										
5										
6										
7										
8	Totals									

¹ After deduction for amount of reacquired bonds held by or for the respondent.

SCHEDULE A-24 (a)
CLASS A WATER COMPANIES
SAFE DRINKING WATER BOND ACT DATA

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) loan outstanding during the 12-month reporting period. (Use one sheet for each loan.)

1. Current Fiscal Agent: **NONE**
 Name: _____
 Address: _____
 Phone Number: _____
 Date Hired: _____

2. Total surcharge collected from customers during the 12 month reporting period: \$ _____

3. Summary of the trust bank account activities showing:

Balance at beginning of year	\$	
Deposits during the year	\$	
Withdrawals made for loan payments	\$	
Other withdrawals from this account	\$	
Balance at end of year	\$	

4. Account information:
 Bank Name: _____
 Account Number: _____
 Date Opened: _____

5. Plant amounts included in Schedule A-1a, Account No. 101 -- Water Plant in Service which were funded using SDWBA funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		DEPRECIABLE PLANT					
6	304	Structures					
7	307	Wells					
8	311	Pumping equipment					
9	317	Other water source plant					
10	320	Water treatment plant					
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service					

SCHEDULE A-25
Account 212 - Advances From Associated Companies

Line No.	Nature of Obligation (a)	Amount of Obligation (b)	Rate of Interest (c)	Interest Accrued During Year (d)	Interest Paid During Year (e)
1	NONE				
2					
3					
4					
5	Totals				

SCHEDULE A-26
Account 213 - Miscellaneous Long Term Debt

Line No.	Nature of Obligation (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding ¹ Per Balance Sheet (e)	Rate of Interest (f)	Interest Accrued During Year (g)	Interest Paid During Year (h)
1	Long-Term Note	10/10/2014	12/12/2028	4,000,000	4,000,000	6.50%	260,000	260,000
2								
3								
4								
5								
6								
7								
8	Totals			4,000,000	4,000,000		260,000	260,000

¹ After deduction for amount of reacquired debt held by or for the respondent.

SCHEDULE A-27
Securities Issued or Assumed During Year

Line No.	Class of Security (a)	Commission Authorization (b)	Amount Issued During Year (omit cents) (c)	Discount or Premium (d)	Expenses (e)
1	NONE				
2					
3					
4					
5	Totals				

SCHEDULE A-28
Account 220 - Notes Payable

Line No.	In Favor of (a)	Date of Issue (b)	Date of Maturity (c)	Balance End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest Paid During Year (g)
1	NONE						
2							
3							
4							
5							
6							
7	Total			0		0	0

SCHEDULE A-29
Account 223 - Payables to Associated Companies

Line No.	Nature of Obligation (a)	Balance End of Year (b)	Rate of Interest (c)	Interest Accrued During Year (d)	Interest Paid During Year (e)
1	Short-term note payable	900,000	0	0	0
2	Accounts payable	8,000			
3					
4					
5					
6					
7	Total	908,000		0	0

SCHEDULE A-30
Account 230 - Other Current and Accrued Liabilities

Line No.	Description (a)	Balance End of Year (c)
1	Pump Taxes Payable	226,773
2	Pump Taxes Interest Due	191,783
3	City Taxes Payable	48,186
4	Due to AFLAC - Employee Withholdings	2,448
5	Payroll Payable	8,657
6	Vacation Payable	57,644
7	Pension Plan Payable	616,632
8	PUC Regulatory Fee Payable	41,371
9	San Jose Franchise Fee Payable	19,151
10	Other Current Liabilities & Suspense	3,636,451
11		
12		
13		
14		
15		
16	Total	4,849,095

SCHEDULE A-31
Account 228 - Accrued and Prepaid Taxes

1. This schedule is intended to give particulars of the combined prepaid and accrued tax accounts and to show the total taxes charged to operations and other accounts during the year. Do not include gasoline and other sales taxes which have been charged to the accounts to which the material on which the tax was levied and charged.
2. Taxes, paid during the year and charged direct to final accounts, that is, not charged to prepaid or accrued taxes, should be included in the schedule. Enter the amounts both in columns (d) and (e). The balancing of the schedule is not affected by the inclusion of these taxes.
3. Taxes charged during the year, column (d), include taxes charged to operations and other accounts through (a) accruals credited to taxes accrued, (b) amounts credited to prepaid taxes for proportions of prepaid taxes chargeable to current year, and (c) taxes paid and charged direct to operations or accounts other than accrued and prepaid tax accounts.
4. If any tax covers more than 1 year, the required information of all columns should be show separately for each year.
5. Enter all adjustments of the accrued and prepaid tax accounts in column (f) and explain each adjustment. Designate debit adjustments by parentheses.
6. See schedule entitled "TAXES CHARGED DURING YEAR," page 32, for a distribution of taxes charged, column (d) according to utility departments and accounts.
7. Do not include in this schedule entries with respect to deferred income taxes or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.

Line No.	Kind of Tax (See instruction5) (a)	Balance Beginning Of Year		Taxes Charged During Year (d)	Paid During Year (e)	(PY adj accrual to actual) Adjustments (f)	Balance End Of Year	
		Taxes Accrued (b)	Prepaid Taxes (c)				Taxes Accrued (Account 228) (g)	Prepaid Taxes (Incl. in Acct. 132) (h)
1	Taxes On Real And Personal Property	0	0	176,484	176,484		0	
2	State Corporation Franchise Tax	0	7,271	220,385	275,042		0	61,928
3	State Unemployment Insurance Tax	0	0	5,963	5,963		0	
4	Other State And Local Taxes	0	0	126	126		0	
5	Federal Unemployment Insurance Tax	2,044	0	3,066	2,842		2,268	
6	Fed. Ins. Contr. Act (Old Age Retire.)	0	0	0	0		0	
7	Other Federal Payroll Taxes	(382)	0	143,099	142,328		389	
8	Federal Income Taxes	0	1,770	289,610	920,000		0	632,160
9	Licenses	0	0	30,390	30,390		0	
10	Miscellaneous	(370)	0	2	(367)		0	
11	State Sales & Use Tax	0	0	4,458	32		4,426	
12								
13								
14	Totals	1,293	9,042	873,583	1,552,840	0	7,083	694,089

(1) Federal & State income tax on CIAC & Advances

SCHEDULE A-32
Account 241 - Advances for Construction

Line No.	(a)	(b)	Amount (c)
1	Balance Beginning Of Year		5,968,512
2	Additions During Year		0
3	Subtotal - Beginning Balance Plus Additions During Year		5,968,512
4	Charges During Year		
5	Refunds		
6	Percentage Of Revenue Basis		
7	Proportionate Cost Basis	257,075	
8	Present Worth Basis		
9	Total Refunds		257,075
10	Transfers to Acct 265 - Contributions in Aid of Construction		
11	Due To Expiration Of Contracts		
12	Due To Present Worth Discount		
13	Total Transfers To Acct. 265		0
14	Securities Exchanged for Contracts (enter detail below)		
15	Subtotal - Charges During Year		
16	Balance End Of Year		5,711,437

If stock, bonds, etc., were issued in exchange for construction advance contracts give details below:

Line No.	Type of Security or Other Consideration (Other than Cash) (a)	Dividend or Interest Rate (b)	PUC Decision Number (c)	Amount Issued (d)
17	Common Stock N/A			
18	Preferred Stock			
19	Bonds			
20	Other (describe)			
21				
22				

SCHEDULE A-33
Account 242 - Other Deferred Credits

Line No.	Item (a)	Balance End of Year (b)
1	Developers Deposits	136,486
2	Deferred Tax - ITC	18,477
3	Deferred Tax - Depreciation - Utility	2,650,418
4	FIN 48 Reserve	76,396
5	Water Contamination Proceeds	654,800
6	Unclaimed Customer Credit Balances	50,702
7	Deferred Rent	53,525
8	Total	3,640,804

SCHEDULE A-34
Accounts 254 to 258, Inclusive - Miscellaneous Reserves

Line No.	Account (a)	Balance Beginning of Year (b)	DEBITS		CREDITS		Balance End of Year (g)
			Nature of Items (c)	Amount (d)	Account Charged (e)	Amount (f)	
1	254	0.00	Uncollectibles	30,888	502.775	30,888	0
2							
3							
4							
5							
6							
7	Totals	0		30,888		30,888	0

SCHEDULE A-35
Account 265 - Contributions in Aid of Construction

Instructions for Preparation of Schedule of Contributions in Aid of Construction:

¹ The credit balance in the account other than that portion of the balance relating to nondepreciable property (column d), to property retired prior to January 1, 1995 (column e), and to the estimated amount of depreciation accrued to January 1, 1955 (column f), shall be written off through charges to this account and credits to Account 250 - Reserve for Depreciation of Utility Plant over a period equal to the estimated service life of the property involved. Upon retirement of depreciable property for which a depreciation reserve has been created through charges to this account, the cost thereof shall be credited to the appropriate plant account and concurrently charged to Account 250. If the property is retired prior to the service life originally estimated, the balance in this account with respect to the retired property shall be transferred to the depreciation reserve account.

² That portion of the balance applicable to nondepreciable property shall remain unchanged until the property is sold or otherwise retired. Upon retirement, the cost of such nondepreciable property acquired by donation or through use of donated funds shall be credited to the appropriate plant account and charged to this account to clear the credit balance carried herein.

³ That portion of the balance representing donations on property retired prior to January 1, 1955 (column e), and the amount of depreciation accrued to January 1, 1955, on property in service (column f), shall not be transferred from this account or otherwise disposed of without first receiving written authorization from the Commission.

Line No.	Item (a)	Total All Columns (b)	Subject to Amortization		Not Subject to Amortization	
			Property in Service After Dec. 31, 1954		Property Retired Before Jan. 1, 1955 (e)	Accrued through Dec. 31, 1954 on Property in Service at Dec. 31, 1954 (f)
			Depreciable (c)	Non-Depreciable (d)		
1	Balance Beginning Of Year	2,600,304	2,574,370	25,934		
2	Add: Credits To Account During Year					
3	Contributions Received During Year	28,964	28,964	0		
4	Other Credits*					
5	Total Credits	28,964	28,964	0	0	0
6	Deduct: Debits To Account During Year					
7	Depreciation Charges For Year	50,625	50,625			
8	Nondepreciable Donated Property Retired					
9	Other Debits*					
10	Total Debits	50,625	50,625	0	0	0
11	Balance End Of Year	2,578,643	2,552,709	25,934	0	0

*Indicate nature of these items and show the accounts affected by the contra entries.

SCHEDULE B-1
Account 501 - Operating Revenues

Line No.	Acct.	ACCOUNT (a)	Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Brackets) (d)
1		I. WATER SERVICE REVENUES			
2	601	Metered sales to general customers	15,345,941	14,414,932	931,009
3		601.1 Commercial sales			
4		601.2 Industrial sales			
5		601.3 Sales to public authorities			
6		Sub-total	15,345,941	14,414,932	931,009
7	602	Unmetered sales to general customers			
8		602.1 Commercial sales			
9		602.2 Industrial sales			
10		602.3 Sales to public authorities			
11		Sub-total	0	0	0
12	603	Sales to irrigation customers			
13		603.1 Metered sales			
14		603.2 Unmetered sales			
15		Sub-total	0	0	0
16	604	Private fire protection service	78,523	85,903	(7,380)
17	605	Public fire protection service			0
18	606	Sales to other water utilities for resale			
19	607	Sales to governmental agencies by contracts			
20	608	Interdepartmental sales			
21	609	Other sales or service			
22		Sub-total	78,523	85,903	(7,380)
23		Total water service revenues	15,424,465	14,500,836	923,629
24		II. OTHER WATER REVENUES			
25	611	Miscellaneous service revenues	11,588	9,675	1,913
26	612	Rent from water property			
27	613	Interdepartmental rents			
28	614	Other water revenues-book balancing accts			0
29		Total other water revenues	11,588	9,675	1,913
30	501	Total operating revenues	15,436,052	14,510,511	925,542

SCHEDULE B-1a
Operating Revenues Apportioned to Cities and Towns

Line No.	Location (a)	Operating Revenues (b)
1	Operations not within incorporated cities ¹	117,542
2		
3		
4		
5	Operations within incorporated territory	
6	City or town of San Jose	15,318,510
7	City or town of	
8	City or town of	
9	City or town of	
10	City or town of	
11		
12		
13		
14		15,436,052

¹ Should be segregated to operating districts.

SCHEDULE B-2

Account 502 - Operating Expenses - Class A, B, and C Water Utilities

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
1		I. SOURCE OF SUPPLY EXPENSE						
2		Operation - Pump Tax				5,486,003	5,518,245 (32,242)	
3	701	Operation supervision and engineering	A	B				
4	701	Operation supervision, labor and expenses			C			
5	702	Operation labor and expenses	A	B		16,016	17,804 (1,789)	
6	703	Miscellaneous expenses	A					
7	704	Purchased water	A	B	C			
8		Maintenance						
9	706	Maintenance supervision and engineering	A	B				
10	706	Maintenance of structures and facilities			C			
11	707	Maintenance of structures and improvements	A	B				
12	708	Maintenance of collect and impound reservoirs	A					
13	708	Maintenance of source of supply facilities		B				
14	709	Maintenance of lake, river and other intakes	A					
15	710	Maintenance of springs and tunnels	A					
16	711	Maintenance of wells	A			110,582	83,943 26,639	
17	712	Maintenance of supply mains	A					
18	713	Maintenance of other source of supply plant	A	B				
19		Total source of supply expense				5,612,601	5,619,993 (7,392)	
20		II. PUMPING EXPENSES						
21		Operation						
22	721	Operation supervision and engineering	A	B		144,959	151,832 (6,874)	
23	721	Operation supervision labor and expense			C			
24	722	Power production labor and expense	A					
25	722	Power production labor, expenses and fuel		B				
26	723	Fuel for power production	A					
27	724	Pumping labor and expenses	A	B			0	
28	725	Miscellaneous expenses	A			8,008	8,902 (894)	
29	726	Fuel or power purchased for pumping	A	B	C	632,806	728,271 (95,465)	
30		Maintenance						
31	729	Maintenance supervision and engineering	A	B				
32	729	Maintenance of structures and equipment			C			
33	730	Maintenance of structures and improvements	A	B				
34	731	Maintenance of power production equipment	A	B				
35	732	Maintenance of pumping equipment	A	B		1,507	2,784 (1,277)	
36	733	Maintenance of other pumping plant	A	B				
37		Total pumping expenses				787,280	891,789 (104,510)	

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
38		III. WATER TREATMENT EXPENSES						
39		Operation						
40	741	Operation supervision and engineering	A	B				
41	741	Operation supervision, labor and expenses			C			
42	742	Operation labor and expenses	A					
43	743	Miscellaneous expenses	A	B				
44	744	Chemicals and filtering materials	A	B	1,953	8,242	(6,289)	
45		Maintenance						
46	746	Maintenance supervision and engineering	A	B				
47	746	Maintenance of structures and equipment			C			
48	747	Maintenance of structures and improvements	A	B				
49	748	Maintenance of water treatment equipment	A	B				
50		Total water treatment expenses			1,953	8,242	(6,289)	
51		IV. TRANS. AND DIST. EXPENSES						
52		Operation						
53	751	Operation supervision and engineering	A	B	250,172	255,413	(5,241)	
54	751	Operation supervision, labor and expenses			C			
55	752	Storage facilities expenses	A					
56	752	Operation labor and expenses		B				
57	753	Transmission and distribution lines expenses	A					
58	754	Meter expenses	A		204,213	205,061	(848)	
59	755	Customer installations expenses	A					
60	756	Miscellaneous expenses	A		8,008	8,902	(894)	
61		Maintenance						
62	758	Maintenance supervision and engineering	A	B	272,011	261,113	10,898	
63	758	Maintenance of structures and plant			C			
64	759	Maintenance of structures and improvements	A	B				
65	760	Maintenance of reservoirs and tanks	A	B				
66	761	Maintenance of trans. and distribution mains	A		120,449	89,967	30,483	
67	761	Maintenance of mains		B				
68	762	Maintenance of fire mains	A					
69	763	Maintenance of services	A		80,702	64,741	15,960	
70	763	Maintenance of other trans. and distribution plant		B				
71	764	Maintenance of meters	A		0	103	(103)	
72	765	Maintenance of hydrants	A		48,303	40,214	8,090	
73	766	Maintenance of miscellaneous plant	A					
74		Total transmission and distribution expenses			983,857	925,512	58,345	

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in [Brackets] (d)
			A	B	C			
75		V. CUSTOMER ACCOUNT EXPENSES						
76		Operation						
77	771	Supervision	A	B	277,659	291,367	(13,708)	
78	771	Superv., meter read., other customer acct expenses						
79	772	Meter reading expenses	A	B	79,646	82,436	(2,790)	
80	773	Customer records and collection expenses	A		636,295	651,558	(15,262)	
81	773	Customer records and accounts expenses		B				
82	774	Miscellaneous customer accounts expenses	A					
83	775	Uncollectible accounts	A	B	72,527	16,597	55,930	
84		Total customer account expenses			1,066,128	1,041,958	24,170	
85		VI. SALES EXPENSES						
86		Operation						
87	781	Supervision	A	B				
88	781	Sales expenses						
89	782	Demonstrating and selling expenses	A					
90	783	Advertising expenses	A					
91	784	Miscellaneous sales expenses	A					
92	785	Merchandising, jobbing and contract work	A					
93		Total sales expenses			0	0	0	
94		VII. ADMIN. AND GENERAL EXPENSES						
95		Operation						
96	791	Administrative and general salaries	A	B	654,271	656,374	(2,103)	
97	792	Office supplies and other expenses	A	B	51,930	53,930	(2,001)	
98	793	Property insurance	A		79,090	76,889	2,202	
99	793	Property insurance, injuries and damages		B				
100	794	Injuries and damages	A		52,458	49,568	2,890	
101	795	Employees' pensions and benefits	A	B	786,341	663,820	122,522	
102	796	Franchise requirements	A	B	264,916	250,422	14,494	
103	797	Regulatory commission expenses	A	B	195,218	225,423	(30,205)	
104	798	Outside services employed	A		170,445	160,416	10,030	
105	798	Miscellaneous other general expenses		B				
106	798	Miscellaneous other general operation expenses						
107	799	Miscellaneous general expenses	A		156,583	87,068	69,516	
108		Maintenance						
109	805	Maintenance of general plant	A	B	74,450	114,996	(40,546)	
110		Total administrative and general expenses			2,485,702	2,338,905	146,797	
111		VIII. MISCELLANEOUS						
112	800	Rate Case Expense	A	B	2,000	29,990	(27,990)	
113	811	Rents	A	B	218,516	218,516	0	
114	812	Administrative expenses transferred - Cr.	A	B				
115	813	Duplicate charges - Cr.	A	B				
116	901	General Clearing	A	B	(144)	144	(288)	
117		Total miscellaneous			220,372	248,650	(28,278)	
118		Total operating expenses			11,157,892	11,075,049	82,843	

SCHEDULE B-3
Account 506 - Property Losses Chargeable to Operations

Line No.	Description (a)	Amount
1	NONE	
2		
3		
4		
5		
6		
7		
8	Total	0

SCHEDULE B-4
Account 507 - Taxes Charged During Year

1. This schedule is intended to give the account distribution of total taxes charged to operations and other final accounts during the year.
2. Do not include gasoline and other sales taxes which have been charged to accounts to which the material on which the tax was levied was charged. If the actual or estimated amounts of such taxes are known, they should be shown as a footnote and designated whether estimated or actual amounts.
3. The accounts to which taxes charged were distributed should be shown in columns (c) to (f). Show both the utility department and number of account charged except for taxes capitalized.
4. For any tax which it was necessary to apportion to more than one utility department or account, state in a footnote the basis of apportioning such tax.
5. The total taxes charged as shown in column (b) should agree with the amounts shown by column (d) of schedule entitled "Accrued and Prepaid Taxes," page 35.
6. Do not include in this schedule entries with respect to deferred income taxes, or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.

Line No.	Kind of Tax (a)	Total Taxes Charged During Year (b)	DISTRIBUTION OF TAXES CHARGED (Show utility department where applicable and account charged)			
			Water (Account 507) (c)	Nonutility (Acct 521 & 527) (d)	Other (Account 62X) (e)	Capitalized (Omit Account) (f)
1	Taxes On Real And Personal Property	176,484	176,484	0		
2	State Corporation Franchise Tax	216,574	216,574	0		
3	Federal Income Tax	754,268	754,268	0		
4	Other State And Local Taxes	5,213	35	5,178		
5	Federal Unemployment Insurance Tax, FICA, SUI, Fees	152,253	152,253	0		
6	Federal Insurance Contributions Act					
7	Other Federal Taxes					
8						
9						
10						
11						
12						
13						
14	Totals	1,304,792	1,299,614	5,178	0	0

SCHEDULE B-5
Reconciliation of Reported Net Income With Taxable Income for Federal Taxes

1. Report hereunder a reconciliation of reported net income for the year with taxable income used in computing Federal income tax accruals and show computation of such tax accruals. The reconciliation shall be submitted even though there is no taxable income for the year. Descriptions should clearly indicate the nature of each reconciling amount.
2. If the utility is a member of a group which files a consolidated Federal tax return, reconcile reported net income with taxable net income as if a separate return were to be filed, indicating, however, inter-company amounts to be eliminated in such consolidated return. State names of group members, tax assigned to each group member, and basis of allocation, assignment, or sharing of the consolidated tax among the group members.
3. Show taxable year if other than calendar year from-----to-----.

Line No.	Particulars (a)	Amount (b)
1	Net Income For The Year Per Schedule B, Page 15	1,647,072
2	Reconciling amounts (list first additional income and unallowable deductions, followed by additional	
3	deductions for non-taxable income):	
4		
5		
6	Add Back: Federal Income Tax Expense In The P&L - Operating	754,268
7	Add Back: Federal Income Tax Expense In The P&L - Non Operating	0
8	Add Back: State Income Tax Expense In The P&L - Operating	216,574
9	Add Back: State Income Tax Expense In The P&L - Non Operating	0
10	Add Back: Political Contributions	2,300
11	Add Back: Penalties	767
12	Add Back: Meals & Entertainment	4,956
13	Less: Deductible Rebates	(126,910)
14	Less: State Income Tax From Prior Year Tax Return	(275,000)
15	Less: DPAD	(172,897)
16	Less: Deferred Rent - 2015	(36,210)
17	Add Back: Deferred Rent - 2016	53,525
18	Less: Accrued Vacation - 2015	(47,453)
19	Add Back: Accrued Vacation - 2016	57,644
20	Add Back: Accrued Vacation Paid Within 2.5 Months - 2015	12,951
21	Less: Accrued Vacation Paid Within 2.5 Months - 2016	(6,538)
22	Add Back: PUC Depreciation, Net of CIAC Amortization	1,104,481
23	Less: Federal Depreciation, Net of CIAC Amortization	(2,303,233)
24	Less: Labor Cost To Remove Old Water Meters Charged To Accumulated Depreciation	(34,504)
25		
26		
27		
28		
29		
30	Federal Tax Net Income	851,794
31	Computation of Tax:	
32	Calculated Tax - Current Year	289,610
33	Deferred Tax	464,658
34		
35	Tax Per Return (estimated)	754,268

SCHEDULE B-6
Account 521 - Income from Nonutility Operations

Line No.	Description (a)	Revenue (b)	Expenses (c)	Net Income (d)
1	Non-Utility Rental Income	69,534	(301)	69,233
2				
3				
4				
5	Totals	69,534	(301)	69,233

SCHEDULE B-7
Account 526 - Miscellaneous Nonoperating Revenue

Line No.	Description (a)	Amount (b)
1	HomeServe Commissions	64,356
2		
3		
4		
5		
6		
7		
8	Totals	64,356

SCHEDULE B-8
Account 527 - Nonoperating Revenue Deductions (Dr.)

Line No.	Description (a)	Amount (b)
1	Federal Income Tax Expense - Non Operating	0
2	State Income Tax Expense - Non Operating	5,178
3		
4		
5	Total	5,178

SCHEDULE B-9
Account 535 - Other Interest Charges

Line No.	Description (a)	Amount (b)
1	Interest Paid To Customers - Deposits	349
2	Other	6,579
3		
4	Total	6,927

SCHEDULE B-10
Account 538 - Miscellaneous Income Deductions

Line No.	Description (a)	Amount (b)
1	Penalties	767
2	Other Miscellaneous Expense	88,938
3		
4		
5	Total	89,706

SCHEDULE C-2
Compensation of Individual Proprietor or Partner

Do operating expenses include any charge for owner's compensation in the form of salary or wages, rental allowances, or for the use of a private automobile? (If the word "none" truly states the fact it should be given as the answer to this inquiry.)

Line No.	Nature of Allowance (a)	Account Charged (b)	Amount (c)
1	NONE		
2			
3			
4			
5			
6	Total		

SCHEDULE C-3
Employees and Their Compensation
 (Charged to Account 502 - Operating Expenses - Schedule B-2)

Line No.	Classification (a)	End of Year (b)	Total Salaries and Wages Paid During Year (c)
1	Employees - Source Of Supply		
2	Employees - Pumping		
3	Employees - Water Treatment		
4	Employees - Transmission And Distribution	11	845,375
5	Employees - Customer Account	5	426,947
6	Employees - Sales		
7	Employees - Administrative		
8	General Officers	3	1,881,500
9	General Office		
10	Totals	19	3,153,822

SCHEDULE C-4
Record of Accidents During Year

Line No.	Date of Accident (a)	To Persons					To Property			
		Employees on Duty		Public ¹		Total Number (f)	Company		Other	
		Killed (b)	Injured (c)	Killed (d)	Injured (e)		Number (g)	Amount (h)	Number (i)	Amount (j)
1	3/16/2016		1			1				
2										
3										
4										
5	Totals	0	1	0	0	1	0	\$0	0	\$0

¹ Accidents to employees not on duty should be included in "Public" accidents

SCHEDULE C-5 Expenditures for Political Purposes

Hereunder report all direct or indirect expenditures incurred or made to elect or defeat a candidate for public office; or to place any measure on the ballot; at any election, or to keep it from being placed on the ballot at any election, or to support or defeat any measure on the ballot; at an election, or to support or defeat any proposed legislation, also name the account or accounts to which the expenditures herein mentioned have been charged. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1	Silicon Valley Taxpayers Association	500
2	J. Khamis for D10 City Council	600
3	Dev Davis for D6 City Council	600
4	Steve Brown for City Council	600
5		
6		
7		
8		
9	TOTAL	2,300

SCHEDULE C-6 Loans to Directors, or Officers, or Shareholders

Show hereunder the amount of loans to directors, or officers, or shareholders and the terms and conditions of such loans. Among other things, show the name of each director, or officer, or shareholder, receiving a loan or loans, the amount of each loan, the rate of interest payable on such loan or loans, the maturity date of each loan or loans, the security given and the date when the shareholders authorized each loan. If such authorization was given. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1	NONE
2	
3	
4	
5	
6	

SCHEDULE C-7 Bonuses Paid to Executives & Officers

Show hereunder the amount of bonuses paid to Executives and Officers, both cash and non-cash. List by the name of each Executive or Officer receiving the bonus, the dollar value of that bonus, and a description of the bonus if it is non-cash.

1	John W.S. Roeder	112,500
2		
3		
4		
5		
6		

**SCHEDULE D-1
Sources of Supply and Water Developed**

STREAMS				FLOW IN(unit) ²				Annual Quantities Diverted(Unit) ²	Remarks
Line No.	Diverted into*	From Stream or Creek (Name)	Location of Diversion Point	Priority Right		Diversions			
				Claim	Capacity	Max.	Min.		
1	NONE								
2									
3									
4									
5									

WELLS							Annual Quantities Pumped AF (Unit) ²	Remarks
Line No.	At Plant (Name or Number)	Location	Number	Dimensions	¹ Depth to Water	Pumping Capacity GPM (Unit) ²		
6			20			22,550	8,867	
7								
8								
9								
10								

TUNNELS AND SPRINGS				FLOW IN(Unit) ²		Annual Quantities Used(Unit) ²	Remarks
Line No.	Designation	Location	Number	Maximum	Minimum		
11	NONE						
12							
13							
14							
15							

Purchased Water for Resale	
16	Purchased From NONE
17	Annual Quantities Purchased (Unit chosen) ²
18	
19	

* State ditch, pipe line, reservoir, etc., with name, if any.

¹ Average depth to water surface below ground surface.

² The quantity unit in established use for expressing water stored and used in large amounts is the acre foot, which equals 42,560 cubic feet: in domestic use the thousand gallon or the hundred cubic feet. The rate of flow or discharge in larger amounts is expressed in cubic feet per second, in gallons per minute, in gallons per day, or in the miner's inch. Please be careful to state the unit used.

**SCHEDULE D-2
Description of Storage Facilities**

Line No.	Type	Number	Combined Capacity (Gallons or Acre Feet)	Remarks
1	A. Collecting Reservoirs			
2	Concrete			
3	Earth			
4	Wood			
5	B. Distribution Reservoirs			
6	Concrete			
7	Earth			
8	Wood			
9	C. Tanks			
10	Wood			
11	Metal	6	6,228,000	
12	Concrete	1	30,000	
13	Totals	7	6,258,000	

SCHEDULE D-3
Description of Transmission and Distribution Facilities

A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES
 Capacities in Cubic Feet Per Second or Miner's Inches (State Which) _____

Line No.		0 to 5	6 to 10	11 to 20	21 to 30	31 to 40	41 to 50	51 to 75	76 to 100
1	Ditch								
2	Flume								
3	Lined Conduit								
4									
5	Totals	0	0	0	0	0	0	0	0

A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES - Concluded
 Capacities in Cubic Feet Per Second or Miner's Inches (State Which) _____

Line No.		101 to 200	201 to 300	301 to 400	401 to 500	501 to 750	751 to 1000	over 1000	Total All Lengths
6	Ditch								
7	Flume								
8	Lined Conduit								
9									
10	Totals	0	0	0	0	0	0	0	0

B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING

Line No.		1	1 1/2	2	2 1/2	3	4	5	6	8
11	Cast Iron									
12	Cast Iron (cement lined)									
13	Concrete									
14	Copper									
15	Riveted Steel									
16	Standard Screw									
17	Screw Or Welded Casing									
18	Cement - Asbestos						4,157		64,354	156,961
19	Steel								42	164
20	Welded Steel									
21	Welded Steel Concrete Lined (WSCL)						3,566		64,519	34,074
22	Ductile Iron Pipe (DIP)			34		68	870		2,939	28,711
23	SOM						1,481		118,763	113,902
24	Wood									
25	Other (specify) PVC					61	51,642		18,693	70,694
26	Totals	0	0	34	0	129	61,716	0	269,310	404,506

B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING - Concluded

Line No.		10	12	14	16	18	20	Other Sizes (Specify Sizes)		Total All Sizes
27	Cast Iron									
28	Cast Iron (cement lined)									
29	Concrete									
30	Copper									
31	Riveted Steel									
32	Standard Screw									
33	Screw Or Welded Casing									
34	Cement - Asbestos	42,332	88,899		468					357,171
35	Steel	861	305							1,372
36	Welded Steel									
37	Welded Steel Concrete Lined (WSCL)	23,161	25,040		44					150,404
38	Ductile Iron Pipe (DIP)	2,168	63,460		24,712	13,281	9,563			145,806
39	SOM	18,170	17,001		13,760					283,077
40	Wood									
41	Other (specify) PVC	5,790	15,619		9,660	175				172,334
42	Totals	92,482	210,324	0	48,644	13,456	9,563	0	0	1,110,164

**SCHEDULE D-4
Number of Active Service Connections**

Classification	Metered - Dec 31		Flat Rate - Dec 31	
	Prior Year	Current Year	Prior Year	Current Year
Residential	20,134	20,381		
Commercial	270	270		
Industrial	52	52		
Public Authorities	147	147		
Irrigation	222	231		
Other (specify): Schools	44	44		
Agriculture	5	6		
Subtotal	20,874	21,131		
Private Fire Connections			323	323
Public Fire Hydrants				
Total	20,874	21,131	323	323

**SCHEDULE D-5
Number of Meters and Services on
Pipe Systems at End of Year**

Size	Meters	Services
5/8 x 3/4 - in	6,761	
3/4 - in	12,607	19,416
1 - in	939	956
1.5 - in	248	263
2 - in	448	475
3 - in	62	63
4 - in	44	46
6 - in	11	15
8 - in	8	8
10 - in	3	3
12 - in	0	0
Total	21,131	21,245

**SCHEDULE D-6
Meter Testing Data**

A. Number of Meters Tested During Year as Prescribed in Section VI of General Order No. 103:		
1. New, after being received . . .		0
2. Used, before repair		0
3. Used, after repair		0
4. Found fast, requiring billing adjustment		1
B. Number of Meters in Service Since Last Test		
1. Ten years or less		15,892
2. More than 10, but less than 15 years		2,775
3. More than 15 years		2,464
TOTAL (= current active svc connections)		21,131

SCHEDULE D-7

Water delivered to Metered Customers by Months and Years in CCF (Unit Chosen)¹

Classification of Service	During Current Year							Subtotal
	January	February	March	April	May	June	July	
Commercial & Residential	235,072	199,107	207,896	181,034	221,572	249,327	360,866	1,654,874
Industrial	3,404	4,305	5,571	4,956	6,024	8,784	8,293	41,337
Public Authorities (& Schools)	5,261	3,963	9,339	14,741	26,663	39,779	43,242	142,988
Irrigation	3,751	1,616	4,309	6,129	11,149	18,911	30,003	75,868
Other (specify): Agriculture	12	14	8	57	70	198	208	567
Total	247,500	209,005	227,123	206,917	265,478	316,999	442,612	1,915,634

Classification of Service	During Current Year						Subtotal	Total	Prior Year
	August	September	October	November	December	Subtotal	Total	Prior Year	
Commercial & Residential	339,779	328,595	264,664	259,370	208,263	1,400,671	3,055,545	3,061,669	
Industrial	8,783	9,616	7,227	4,658	5,729	36,013	77,350	80,968	
Public authorities	48,316	51,375	34,909	13,290	8,617	156,507	299,495	368,158	
Irrigation	29,088	32,280	23,042	19,088	6,843	110,341	186,209	182,515	
Other (specify): Agriculture	247	218	85	11	17	578	1,145	878	
Total	426,213	422,084	329,927	296,417	229,469	1,704,110	3,619,744	3,694,188	

¹ Quantity units to be in hundreds of cubic feet, thousands of gallons, acre-feet, or miner's inch-days.

Total acres irrigated agriculture 173

Total population served 100,731

SCHEDULE D-8
Status With State Board of Public Health

- 1. Has the State or Local Health Department reviewed the sanitary condition of your water system during the past year?
Answer: Yes No
- 2. Are you having routine laboratory tests made of water served to your consumers?
Answer: Yes No
- 3. Do you have a permit from the State Board of Public Health for operation of your water system?
Answer: Yes No
- 4. Date of permit: 6/1/1960
- 5. If permit is "temporary", what is the expiration date? _____
- 6. If you do not hold a permit, has an application been made for such permit?
Answer: Yes No
- 7. If so, on what date? _____

SCHEDULE D-9
Statement of Material Financial Interest

Use this space to report the information required by Section 2 of General Order No. 104-A. If no material financial interest existed during the year or contemplated at the end of the year, such fact shall be so stated. If additional space is required, attach a supplementary statement with reference made thereto.

N/A

SCHEDULE E-1
Balancing, Memorandum & Reserve Accounts

Line No.	Description (a)	Authorized by Decision or Resolution No. (b)	Beginning of Year Balance* (c)	Less: Advice Letter (d)	Offset Revenues (e)	Offset Expenses (f)	Interest (g)	Surcharge (h)	Surcredit (i)	End of Year Balance* (j)
1	Balancing: Pump Tax - Non Agricultural	PUC code 792.5	479,886		1,591,770	1,052,187	(4,851)			1,024,319
2	Balancing: Pump Tax - Agricultural	PUC code 792.5	2,011		460	7	(15)			2,479
3	Balancing: Purchased Power	PUC code 792.5	(195,619)			89,700	1,593			(286,913)
4	Balancing: Interim Rates True Up - 9/1/09 To 3/1/11	Decision 10-11-034	0							0
5	Balancing: M-WRAM - Conservation vs. Uniform Rates - 5/9/11 To 2/11/12	W-4910	(28,773)	28,773						0
6	Balancing: 2009 & 2010 Audit Costs	Decision 10-12-057	11,199				(72)			11,271
7	Balancing: Aggregate (Under) Over Collections	PUC code 792.5	0							0
8	Balancing: M-WRAM - Conservation vs. Uniform Rates - 4/16/12 To 1/7/13	Decision 10-11-034	(15,888)	15,888						0
9	Balancing: 2009 GRC DPAD Calc & Mgmt Lbr Alloc To Non Utility Activity	Decision 13-11-009	(13,960)				90			(14,050)
10	Balancing: Multiple Balancing & Memorandum Accts Over (Under) Collected	Resolution W-4973	(20,620)				133			(20,753)
11	Balancing: M-WRAM - Conservation vs. Uniform Rates - 1/10/13 To 8/8/14	D.10-11-034 & D.13-05-020	(85,390)	85,390						0
12	Balancing: Low Income Customer Assistance Program - 9/3/13 To 2/24/15	AL 244-W-B	(215,241)				867	126,101		(90,007)
13	Balancing: Multiple Monterey WRAM Balancing Accounts Over (Under) Collections	Advice Letter 251-W	(358,626)				1,802	214,221		(146,207)
14	Balancing: Low Income Customer Assistance Program Surcharge (2015 GRC)	Decision 16-05-041	0		45,930	71,299	60			(25,428)
15	Balancing: Pension Expense Account (PEBA) (3)	Decision 16-05-041	0			(680,000)	(2,318)			682,318
16	Memorandum: Monterey WRAM - Conservation Rates vs. Uniform Rates	Decision 10-11-034	(16,484)		(201,646)		790			(218,920)
17	Memorandum: Contamination Proceeds	Decision 10-10-018	645,048				(4,133)			649,181
18	Memorandum: Santa Clara Valley Water District Litigation Costs	W-4534	(2,654,959)			30,120	16,915			(2,701,994)
19	Memorandum: 2011 Audit Costs	Decision 10-12-057	0							0
20	Memorandum: 2012 Audit Costs	Decision 10-12-057	0							0
21	Memorandum: City of San Jose Litigation Costs	Decision 10-11-034	(14,006)			1,755	101			(15,862)
22	Memorandum: Low Income Lost Revenue	W-4594	(184,730)			108,169	1,776			(294,674)
23	Memorandum: Catastrophic Event	E-3238	0							0
24	Memorandum: Employee Health Insurance	Decision 13-05-020	(925)			10,985	44			(11,955)
25	Memorandum: Military Family Relief Program	Resolution W-4594	0							0
26	Memorandum: CDPH Chromium-6 Compliance	Resolution W-4965	0							0
27	Memorandum: 2010 Tax Act	Resolution L-411A	0							0
28	Memorandum: Conservation Lost Revenue & Expense (3)	Resolution W-4976	(1,537,085)		(3,034,785)	(2,293,025)	12,577			(2,291,421)
29	Totals		(4,204,163)	130,051	(1,598,271)	(1,608,803)	25,360	340,321	0	(3,748,618)

Note 1: For Columns e, f, g, h, & i, provide those amounts booked in the current year.

Note 2: Column d added to account for Advice Letters authorizing collection of prior and current year balances.

Note 3: Signs are flipped versus the actual memorandum account to accommodate over/(under) reporting convention.

* Over (Under) Collection

Schedule E- 2
Description of Low-Income Rate Assistance Program(s)

For all low income rate assistance programs offered by water utility, provide detailed responses to the following items:

1. Brief description of each low-income rate assistance program provided, by district. This shall include but is not limited to the percent of discount, the dollar amount of discount, what rate is discounted (service charge, quantity, or total bill), qualifying income level, dollar rate increase to remaining customers to pay for this program.

See attached page 54A - Tariff Sheet 794-W

2. Participation rate for Year 2015 (as a percent of total customers served) was 10.3%

3. Detail of balancing or memorandum account authorized to record expenses incurred and revenues collected for low income rate assistance program. Please see Schedule E-1. For terms and conditions of Low Income Customer Assistance (LICAP) Memorandum Account, please see attached page 54A.

Schedule E- 3
Description of Water Conservation Program(s)

For all water conservation programs offered by water utility, provide detailed responses to the following items:

1. Brief description of each water conservation program provided, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers) and length of time it was offered.

See attached page 54B

2. Discuss how each water conservation assistance program is funded, for example, through rates charged to all customers, rates charged to customer receiving water conservation assistance, shareholder contribution, community funding, government funding, or other funding method. Explain why this type of funding was used. Great Oaks does not have a separate budget for conservation programs. The WaterSmart program will be funded through rates beginning July 1, 2016, as these are appropriate operating expenses.

3. Cost of each program. Please see Schedule E-1.

4. The degree of participation in each district by customer group. Tiered rates participation is 100% of single family residential customers. Other participation rates are presently unknown.

PRELIMINARY STATEMENT
(Continued)

U. Low Income Customer Assistance Program Surcharge Balancing Account.

(N)

1. **Purpose.** The purpose of the Low Income Customer Assistance Program (LICAP) Surcharge Balancing Account (Account) is to track the differences between the recorded LICAP surcharge revenues received and the utility's recorded LICAP costs and expenses.

2. **Applicability.** The Account is applicable to all areas served. LICAP customers are exempt from Account recoveries.

3. **Accounting Procedures.**

a. The following entries shall be recorded monthly in the Account:

1. Recorded LICAP surcharge revenues.
2. Recorded LICAP discounts.
3. Recorded LICAP administrative costs and expenses.
4. Total Net Account Balance = (1) minus ((2) + (3)).
5. A positive Account balance represents a utility over-collection to be refunded, while a negative Account balance represents a utility under-collection to be recovered in rates.

b. The utility will record the accumulated Account balance by adding its entry in subsection a.4., above, to the prior accumulated monthly Account balance.

c. Interest shall accrue on a monthly basis by applying a rate equal to one-twelfth of the 90 Day Non-Financial Commercial Paper Interest Rate as reported in the Federal Reserve Statistical Release, to the average of the beginning-of-month and the end-of-month balances.

4. **Disposition.** If the accumulated balance in the Account exceeds two percent (2%) of the total authorized revenue requirement for the prior year, the utility will file an advice letter to amortize the Account balance. If the cumulative 2% threshold is not met, the Account balance will be amortized in the utility's next General Rate Case. The recovery of under-collections or refund of over-collections will be passed on to non-LICAP customers through volumetric surcharges or surcredits.

(N)

(To be inserted by utility)
Advice Letter No. 252-W

Issued by
Timothy S. Guster
NAME

(To be inserted by Cal. P.U.C.)
Date Filed _____

Decision No. D.16-05-041

General Counsel
TITLE

Effective _____

Resolution No. _____

The following represents a list of the various conservation programs and actions of Great Oaks Water Company during calendar year 2015.

1. Interior and Exterior Water Audits for Single Family and Multi-Family Customers: Great Oaks advises residential customers regarding Santa Clara Valley Water District's (SCVWD) free water auditing services. SCVWD communicates with Great Oaks' customers directly through print, television, movie screen and radio advertising. SCVWD provides customers participating in SCVWD's water auditing services, and Great Oaks, receive a report upon completion.
2. Plumbing Retrofit: Great Oaks distributes sink faucet aerators and, when available, low-flow showerheads, provided by SCVWD.
3. Distribution System Water Audits, Leak Detection and Repair: Great Oaks constantly monitors its distribution system for leaks, resulting in an uncommonly low 3.2% system loss.
4. Metering with Commodity Rates: All of Great Oaks' accounts are metered.
5. Large Landscape Water Audits and Incentives: SCVWD provides irrigation surveys for large landscape customers.
6. Landscape Water Conservation Requirements: Most of Great Oaks' service area is within the City of San Jose, which has landscape water conservation requirements for new construction.
7. Public Information: SCVWD distributes public information to Great Oaks' customers through its media and outreach programs. Great Oaks' water bills provide year-to-year consumption comparisons alerting customers to any changes in usage patterns.
8. School Education: On occasion, Great Oaks provides information to schools within its service area for use in discussing and promoting water conservation and water quality.
9. Commercial and Industrial Water Conservation: SCVWD makes water use audits available to commercial and industrial accounts in Great Oaks' service area upon request.
10. New Commercial and Industrial Water Use Review: The City of San Jose Building Department and Great Oaks coordinate activities for new commercial and industrial water uses. Great Oaks provides the City of San Jose (or the County of Santa Clara) with a "will serve letter," representing that Great Oaks has reviewed the new construction plans and agrees with the proposed water use of the new commercial or industrial customer.
11. Conservation Pricing, Water Service and Sewer Service: Great Oaks has been authorized to implement tiered water pricing for single-family residential customers, and has implemented such tiered water rates.
12. Landscape Water Conservation for New and Existing Single-Family Homes: See discussion for number 6, above. In addition, the City of San Jose maintains a demonstration garden and works with landscape maintenance companies to promote efficient landscaping practices within Great Oaks' service area.
13. Water Waste Prohibition: Great Oaks prohibits water waste under CPUC rules and regulations. Great Oaks is authorized to discontinue service to any customer wasting water.
14. Water Conservation Coordinator: Great Oaks has not been authorized funding for a water conservation coordinator.
15. Financial Incentives: Tiered water rates authorized by the CPUC may provide financial incentives or disincentives to single-family residential customers of Great Oaks, although the extent of such incentives or disincentives is unknown.
16. Ultra-low Flush Toilet Replacement: Great Oaks' customers may participate in the SCVWD program for ultra-low flush toilet replacement.
17. WaterSmart Software Home Water Reports: As a pilot program, through WaterSmart Software, Great Oaks provides Home Water Reports to 10,000 single-family residential customers. The Home Water Reports provide information and encourage customer participation and engagement in conservation and demand management measures.

Schedule E- 4
Report on Affiliate Transactions

Affiliate includes all related companies including but not limited to Parent, Affiliates, and Subsidiaries.

INSTRUCTIONS:

* For those utilities with specifically authorized affiliate transaction rules, provide all information required by those rules.

* For those utilities with no specifically authorized affiliate transaction rules, or those utilities whose authorized affiliate transaction rules do not provide the following information, provide the following:

1. Summary of all transactions between regulated water utility and its affiliated companies for the previous calendar year. The summary shall include a description of each transaction and an accounting of all dollars associated with each transaction although each transaction need not be separately identified where multiple transactions occur in the same account. These transactions shall include:

- (a) services provided by regulated water utility to any affiliated company;
- (b) services provided by any affiliated company to regulated water utility;
- (c) assets (both tangible and intangible) transferred from regulated water utility to any affiliated company;
- (d) assets (both tangible and intangible) transferred from any affiliated company to regulated water utility;
- (e) employees transferred from regulated water utility to any affiliated company;
- (f) employees transferred from any affiliated company to regulated water utility; and
- (g) financing arrangements and transactions between regulated water utility and any affiliated company.

Note 1:

See page 55A

The following disclosures are provided with respect to 2016 affiliate transactions:

* Tax compliance services were provided by Roeder Management LLC to Great Oaks Water Company.

Great Oaks Water Company

Compliance Plan for Affiliate Transactions and the Use of Regulated Assets for Non-Tariffed Utility Services

Great Oaks Water Company (Great Oaks) submits this Compliance Plan pursuant to California Public Utilities Commission (Commission) Decision (D.) 10-10-019, Appendix A, Rule VIII.C.

1. List of Affiliates. As a result of the reorganization authorized by D.15-03-006, Great Oaks has no affiliates. All of Great Oaks' stock is owned and held by GOW Corporation. Great Oaks does not anticipate the use of regulated assets, including employees, for non-tariffed activities, but will still maintain the compliance mechanisms and procedures set forth below.

2. Compliance Procedures. Great Oaks maintains the procedures described below to assure compliance with Commission Rules under D.10-10-019.

3. Description of Compliance Mechanisms and Procedures. Great Oaks has long maintained records of hourly employee activity on non-regulated matters and has presented such information in rate-setting proceedings. In 2013, Great Oaks entered into a settlement agreement with the Commission's Division of Ratepayer Advocates (DRA) (1) with respect to the issues presented in the limited rehearing of Great Oaks' 2009 General Rate Case. As part of the settlement, Great Oaks agreed: (a) to identify and explain all transactions with corporate affiliates involving utility employees or assets, or resulting in costs included in revenue requirement over the prior five years; (b) include all documentation, including a list of all such contracts, and accounting detail necessary to demonstrate that any services provided by Great Oaks' officers or employees to corporate affiliates are reimbursed at fully allocated costs; and (c) to the extent Great Oaks uses assets or employees included in revenue requirement for unregulated activities, identify, document, and account for all such activities, including all costs and resulting revenue, and provide a list of contracts over the prior five years. In furtherance of this agreement, Great Oaks developed and implemented appropriate management and accounting internal controls to identify, quantify, and record in the books of account the time and other resources Great Oaks' employees spend on non-regulated activities. In addition, Great Oaks tracks and records any costs for services provided by any affiliated company to Great Oaks.

(1) DRA is now known as the Office of Ratepayer Advocates, or ORA.

Verification

I verify that the mechanisms and procedures described above are adequate to ensure that Great Oaks is not utilizing any affiliates covered or not covered by the Commission's Rules as a conduit to circumvent any such Rules.

Date: March 31, 2017

_____/s/_____

John Roeder

Chief Executive Officer

**FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA**

NONE

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) or Safe Drinking Water State Revolving Fund loan surcharge collection for the calendar year. Please use one page per loan.

1. Current Fiscal Agent:

Name: _____
 Address: _____
 Phone Number: _____
 Account Number: _____
 Date Hired: _____

2. Total surcharge collected from customers during the 12 month reporting period:

\$ _____

Meter Size	No. of Metered Customers	Monthly Surcharge Per Customer
3/4 inch		
1 inch		
1 1/2 inch		
2 inch		
3 inch		
4 inch		
6 inch		
Number of Flat Rate Customers		
Total		

3. Summary of the bank account activities showing:

Balance at beginning of year	\$ _____
Deposits during the year	_____
Interest earned for calendar year	_____
Withdrawals from this account	_____
Balance at end of year	_____

4. Reason or Purpose of Withdrawal from this bank account:

FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA (Continued)

5. Plant amounts included in Schedule A-1a, Account No. 101--Water Plant in Service which were funded using SDWBA or SRF funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits* or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
				NONE			
5		DEPRECIABLE PLANT					
6	304	Structures					
7	307	Wells					
8	317	Other water source plant					
9	311	Pumping equipment					
10	320	Water treatment plant					
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service					

FACILITIES FEES DATA

NONE

Please provide the following information relating to Facilities Fees for districts or subsidiaries servicing 2,000 or fewer connections for the calendar year. (Per D.91-04-068)

1. Trust Account Information:

Bank Name: _____
Address: _____
Account Number: _____
Date Opened: _____

2. Facilities Fees collected for new connections during the calendar year:

A. Commercial

NAME	AMOUNT
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

B. Residential

NAME	AMOUNT
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

3. Summary of the bank account activities showing:

	AMOUNT
Balance at beginning of year	\$ _____
Deposits during the year	\$ _____
Interest earned for calendar year	\$ _____
Withdrawals from this account	\$ _____
Balance at end of year	\$ _____

4. Reason or Purpose of Withdrawal from this bank account:

DECLARATION

(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)

I, the undersigned John W S Roeder
Officer, Partner, or Owner (Please Print)

of Great Oaks Water Company
Name of Utility

under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period of January 1, 2015, through December 31, 2015.

Chairman & CEO
Title (Please Print)

/s/
Signature

(408) 227-9540
Telephone Number

4/18/2017
Date

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