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**CLASS A  
WATER UTILITIES**

U# 168-W

**2016  
ANNUAL REPORT  
OF**

**SAN JOSE WATER COMPANY**

---

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

**110 WEST TAYLOR STREET**

---

**SAN JOSE, CALIFORNIA 95110**

(OFFICIAL MAILING ADDRESS)

ZIP

**TO THE  
PUBLIC UTILITIES COMMISSION  
STATE OF CALIFORNIA  
FOR THE  
YEAR ENDED DECEMBER 31, 2016**

## TABLE OF CONTENTS

	Page
General Instructions	4
Instructions for Preparation of Selected Financial Data Sheet for All Water Utilities	5
Balance Sheet Data and Capitalization	6
Income Statement and Other Related Data	7
Balance Sheet Data and Capitalization - Excluding Non-Regulated Activities	8
Income Statement and Other Related Data - Excluding Non-Regulated Activities	9
Notes to Adjusted Selected Financial Data Due to Excluding of Non-Regulated Activities	10
Excess Capacity and Non-Tariffed Services	11
General Information	12
Schedule A - Comparative Balance Sheets - Assets and Other Debits	13
Schedule A - Comparative Balance Sheets - Liabilities and Other Credits	14
Schedule B - Income Account for the Year	15
Schedule A-1 - Account 100 - Utility Plant	16
Schedule A-1a - Account 100.1 - Utility Plant in Service	16 - 17
Schedule A-1b - Account 302 - Franchises and Consents	17
Schedule A-1c - Account 100.4 - Utility Plant Held for Future Use	18
Schedule A-2 - Account 110 - Other Physical Property	18
Schedule A-1d - Rate Base and Working Cash	19
Schedule A-3 - Accounts 250 to 253, Inclusive - Depreciation and Amortization Reserves	20
Schedule A-3a - Account 250 - Analysis of Entries in Depreciation Reserve	21
Schedule A-4 - Account 111 - Investment in Associated companies	22
Schedule A-5 - Account 112 - Other Investments	22
Schedule A-6 - Account 113 - Sinking Funds	22
Schedule A-7 - Account 114 - Miscellaneous Special Funds	23
Schedule A-8 - Account 121 - Special Deposits	23
Schedule A-9 - Account 124 - Notes Receivable	23
Schedule A-10 - Account 126 - Receivables for Associated Companies	24
Schedule A-11 - Account 132 - Prepayments	24
Schedule A-12 - Account 133 - Other Current and Accrued Assets	24
Schedule A-13 - Accounts 140 and 240 - Unamortized Debt Discount and Expense and Unamortized Premium on Debt	25
Schedule A-14 - Account 141 - Extraordinary Property Losses	26
Schedule A-15 - Account 146 - Other Deferred Debits	26
Schedule A-16 - Account 150 - Discount on Capital Stock	26
Schedule A-17 - Account 151 - Capital Stock Expense	26
Schedule A-18 - Accounts 200 and 201 - Capital Stock	27
Schedule A-18a - Record of Stockholders at End of Year	27
Schedule A-19 - Account 203 - Premiums and Assessments on Capital Stock	27
Schedule A-20 - Account 270 - Capital Surplus (For Use by Corporations Only)	28
Schedule A-21 - Account 271 - Earned Surplus (For Use by Corporations Only)	28
Schedule A-22 - Account 204 - Propriety Capital (Sole Proprietor or Partnership)	29

## TABLE OF CONTENTS

	Page
Schedule A-23 - Account 205 - Undistributed Profits of Proprietorship or Partnership)	29
Schedule A-24 - Account 210 - Bonds	30
Schedule A-24 (a) - Class A Water Companies - Safe Drinking Water Bond Act Data	31
Schedule A-25 - Account 212 - Advances from Associated Companies	32
Schedule A-26 - Account 213 - Miscellaneous Long Term Debt	32
Schedule A-27 - Securities Issued or Assumed During Year	32
Schedule A-28 - Account 220 - Notes Payable	33
Schedule A-29 - Account 223 - Payables to Associated Companies	33
Schedule A-30 - Account 230 - Other Current and Accrued Liabilities	33
Schedule A-31 - Account 228 - Accrued and Prepaid Taxes	34
Schedule A-32 - Account 241 - Advances for Construction	35
Schedule A-33 - Account 242 - Other Deferred Credits	36
Schedule A-34 - Accounts 254 to 258, Inclusive - Miscellaneous Reserves	36
Schedule A-35 - Account 265 - Contributions in Aid of Construction	37
Schedule B-1 - Account 501 - Operating Revenues	38
Schedule B-1a - Operating Revenues Apportioned to Cities and Towns	38
Schedule B-2 - Account 502 - Operating Expenses - For Class A, B, and C Water Utilities	39-41
Schedule B-3 - Account 506 - Property Losses Chargeable to Operations	42
Schedule B-4 - Account 507 - Taxes Charged During Year	42
Schedule B-5 - Reconciliation of Reported Net Income with Taxable Income for Federal Taxes	43
Schedule B-6 - Account 521 - Income from Non-utility Operations	44
Schedule B-7 - Account 526 - Miscellaneous Non-operating Revenue	44
Schedule B-8 - Account 527 - Non-operating Revenue Deductions (Dr.)	44
Schedule B-9 - Account 535 - Other Interest Charges	44
Schedule B-10 - Miscellaneous Income Deductions	44
Schedule C-1 - Engineering and Management Fees and Expenses, etc., During Year	45
Schedule C-2 - Compensation of Individual Proprietor or Partner	46
Schedule C-3 - Employees and Their Compensation	46
Schedule C-4 - Record of Accidents During Year	46
Schedule C-5 - Expenditures for Political Purposes	47
Schedule C-6 - Loans to Directors, or Officers, or Shareholders	47
Schedule C-7 - Bonuses Paid to Executives & Officers	47
Schedule D-1 - Sources of Supply and Water Developed	48
Schedule D-2 - Description of Storage Facilities	48
Schedule D-3 - Description of Transmission and Distribution Facilities	49
Schedule D-4 - Number of Active Service Connections	50
Schedule D-5 - Number of Meters and Services on Pipe System at End of Year	50
Schedule D-6 - Meter Testing Data	50
Schedule D-7 - Water Delivered to Metered Customers by Months and Years	51
Schedule D-8 - Status with State Board of Public Health	52

## TABLE OF CONTENTS

	<u>Page</u>
Schedule D-9 - Statement of Material Financial Interest	52
Schedule E-1 - Balancing & Memorandum Accounts	53
Schedule E-2 - Description of Low-Income Rate Assistance Program(s)	54
Schedule E-3 - Description of Water Conservation Program(s)	54
Schedule E-4 - Report on Affiliated Transactions	55
All Water Utilities - Safe Drinking Water Bond Act / State Revolving Fund Data	56-59
Facilities Fees Data	60
Declaration	61
Index	62

## GENERAL INSTRUCTIONS

1. Two completed and signed hard copies of this report and one electronic copy must be filed **NOT LATER THAN JUNE 1, 2017**, with:

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS  
ATTN: KAYODE KAJOPAIYE  
505 VAN NESS AVENUE, ROOM 3105  
SAN FRANCISCO, CALIFORNIA 94102-3298  
[kok@cpuc.ca.gov](mailto:kok@cpuc.ca.gov)**

2. Failure to file the report on time may subject a utility to the penalties and sanctions provided by the Public Utilities Code.
3. The Oath on Page 60, must be signed by an authorized officer, partner, or owner.
4. The report must be prepared in ink or by the use of a typewriter. Computer generated report forms may be substituted.
5. The report must be filled in, and every question answered. **LEAVE NO SCHEDULE BLANK.** Insert the words "none" or "not applicable" or "n/a" when appropriate.
6. Certain balance sheet and income statement accounts refer to supplemental schedules. The totals of the details in the latter must agree with the balances of the accounts to which they refer.
7. Some schedules provide for a "balance at beginning of year." The amount shown should agree with the "balance at end of year" as shown in the report for the previous year. If there is a difference, it should be explained by footnote.
8. When there is insufficient space in a schedule to permit a complete statement of the requested information, insert sheets should be prepared and identified by the number of the schedule to which it refers. Be certain that the inserts are securely attached to the report. If inserts are needed, prepare all inserts in one separate electronic file in Microsoft Excel format and file it with the electronic file of this report.
9. This report must cover the calendar year from January 1, 2016, through December 31, 2016. Fiscal year reports will not be accepted.
10. Your company's external auditor information, such as external auditor's name, phone number, and address, must be included in Page 12, Item 12, of this report.

## INSTRUCTIONS

FOR PREPARATION OF  
SELECTED FINANCIAL DATA SHEET  
FOR ALL WATER UTILITIES

To prepare the attached data sheets, refer to the Balance Sheet, Income Statement and supporting detail schedules in the annual report for the required data.

Please follow the instructions below:

1. Common plant allocated to water should be indicated with water plant figures in Net Plant Investment.
2. The capitalization section for those reporting on both California Only and Total System Forms should be identical and completed with Total Company Data. Be sure that Advances for Construction include California water data only.
3. Complete the operation section with California water revenues and expenses, but if necessary, remove interdepartmental revenues and expenses.
4. All other utility and nonutility revenues and expenses are netted on Line No. 38.

## BALANCE SHEET AND CAPITALIZATION DATA

Calendar Year 2016

Name of Utility: SAN JOSE WATER COMPANY Telephone: 408-279-7900

Person Responsible for this Report: PALLE JENSEN

	<u>12/31/2015</u>	<u>12/31/2016</u>	<u>Average</u>
<b>BALANCE SHEET DATA</b>			
1 Intangible Plant	1,040,137	1,040,137	1,040,137
2 Land and Land Rights	16,032,655	15,986,720	16,009,688
3 Depreciable Plant	1,340,492,619	1,445,675,646	1,393,084,133
4 Gross Plant in Service	1,357,565,411	1,462,702,503	1,410,133,957
5 Less: Accumulated Depreciation	(454,717,850)	(484,553,762)	(469,635,806)
6 Net Water Plant in Service	902,847,561	978,148,741	940,498,151
7 Water Plant Held for Future Use	-	-	-
8 Construction Work in Progress	44,683,420	69,850,672	57,267,046
9 Materials and Supplies	771,498	757,954	764,726
10 Less: Advances for Construction	(72,104,059)	(79,226,433)	(75,665,246)
11 Less: Contributions in Aid of Construction	(116,304,770)	(117,623,650)	(116,964,210)
12 Less: Accumulated Deferred Income and Investment Tax Credits	(2,710,013)	(3,423,103)	(3,066,558)
13 Net Plant Investment	<u>757,183,637</u>	<u>848,484,181</u>	<u>802,833,909</u>
<b>CAPITALIZATION</b>			
14 Common Stock	<u>6</u>	<u>6</u>	<u>6</u>
15 Proprietary Capital (Individual or Partnership)			
16 Paid-in Capital	89,822,450	92,172,145	90,997,298
17 Retained Earnings	279,541,889	307,397,511	293,469,700
18 Common Stock and Equity (Lines 14 through 17)			
19 Preferred Stock			
20 Long-Term Debt	301,747,809	370,000,000	335,873,905
21 Notes Payable			
22 Total Capitalization (Lines 18 through 21)	<u>671,112,154</u>	<u>769,569,662</u>	<u>720,340,908</u>

# INCOME STATEMENT AND OTHER DATA

Calendar Year 2016

Name of Utility: SAN JOSE WATER COMPANY

Telephone: 408-279-7900

	<u>Annual Amount</u>
<b>INCOME STATEMENT</b>	
23 Unmetered Water Revenue	149,010
24 Fire Protection Revenue	3,243,358
25 Irrigation Revenue	
26 Metered Water Revenue	308,248,262
27 Total Operating Revenue	311,640,630
28 <u>Operating Expenses</u>	176,600,083
29 Depreciation Expense (Composite Rate: <u>3.50%</u> )	40,743,443
30 Amortization and Property Losses	16,339
31 Property Taxes	7,592,258
32 Taxes Other Than Income Taxes	3,180,823
33 Total Operating Revenue Deduction Before Taxes	228,132,946
34 California Corp. Franchise Tax	3,932,144
35 Federal Corporate Income Tax	22,217,987
36 Total Operating Revenue Deduction After Taxes	254,283,077
37 Net Operating Income (Loss) - California Water Operations	57,357,554
38 Other Operating and Nonoperating. Income and Exp. - Net (Exclude Interest Expense)	4,372,351
39 Income Available for Fixed Charges	61,729,905
40 Interest Expense	(17,768,899)
41 Net Income (Loss) Before Dividends	43,961,006
42 Preferred Stock Dividends	-
43 Net Income (Loss) Available for Common Stock	43,961,006

<b>OTHER DATA</b>	
44 Refunds of Advances for Construction	2,299,262
45 Total Payroll Charged to Operating Expenses	29,526,358
46 Purchased Water	69,919,784
47 Power	5,316,925
<b>48 Class A Water Companies Only:</b>	
a. Pre-TRA 1986 Contributions in Aid of Construction	8,036,619
b. Pre-TRA 1986 Advances for Construction	3,079,977
c. Post TRA 1986 Contributions in Aid of Construction	109,587,031
d. Post TRA 1986 Advances for Construction	76,146,457

	Jan. 1	Dec. 31	<u>Annual Average</u>
<u>Active Service Connections</u> (Exc. Fire Protect.)			
49 Metered Service Connections	220,729	220,883	220,806
50 Flat Rate Service Connections	3,711	3,765	3,738
51 Total Active Service Connections	224,440	224,648	224,544



**BALANCE SHEET AND CAPITALIZATION DATA**  
**Adjusted to Exclude Non-Regulated Activity**  
 Calendar Year 2016

Name of Utility: SAN JOSE WATER COMPANY

Telephone: 408-279-7900

Person Responsible for this Report: PALLE JENSEN

	<u>12/31/2015</u>	<u>12/31/2016</u>	<u>Average</u>
<b>BALANCE SHEET DATA</b>			
1 Intangible Plant	1,040,137	1,040,137	1,040,137
2 Land and Land Rights	16,032,655	15,986,720	16,009,688
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4     Gross Plant in Service	1,357,565,411	1,462,702,503	1,410,133,957
5 Less: Accumulated Depreciation	(454,717,850)	(484,553,762)	(469,635,806)
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<b>CAPITALIZATION</b>			
14 Common Stock	6	6	6
15 Proprietary Capital (Individual or Partnership)			
16 Paid-in Capital	89,822,450	92,172,145	90,997,298
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Note: \_\_\_\_\_  
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**INCOME STATEMENT AND OTHER DATA**  
**Adjusted to Exclude Non-Regulated Activity**  
 Calendar Year 2016

Name of Utility: SAN JOSE WATER COMPANY Telephone: 408-279-7900

**INCOME STATEMENT**

	<u>Annual Amount</u>
23 Unmetered Water Revenue	149,010
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42 Preferred Stock Dividends	-
43 Net Income (Loss) Available for Common Stock	43,961,005

**OTHER DATA**

44 Refunds of Advances for Construction	2,299,262
45 Total Payroll Charged to Operating Expenses	29,526,358
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47 Power	5,316,925
<b>48 Class A Water Companies Only:</b>	
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b. Pre-TRA 1986 Advances for Construction	3,079,977
c. Post TRA 1986 Contributions in Aid of Construction	109,587,031
d. Post TRA 1986 Advances for Construction	76,146,457

				<u>Annual Average</u>
<u>Active Service Connections</u>	(Exc. Fire Protect.)	Jan. 1	Dec. 31	
49 Metered Service Connections		220,729	220,883	220,806
50 Flat Rate Service Connections		3,711	3,765	3,738
51 Total Active Service Connections		224,440	224,648	224,544

## Notes to Adjusted Selected Financial Data

Instructions: Provide reasons for each adjustment to the Selected Financial Data due to non-regulated activities. Detail this information by name of line item (Gross Plant, Operating Revenues, etc.), account number, dollar amount, and by point in time (end of year, beginning of year, or average).

1	N/A
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### Excess Capacity and Non-Tariffed Services

NOTE: In D.00-07-018, D.03-04-028, and D. 04-12-023, the CPUC set forth rules and requirements regarding water utilities provision of non-tariffed services using excess capacity. These decisions require water utilities to: 1) file an advice letter requesting Commission approval of that service, 2) provide information regarding non-tariffed goods/services in each companies Annual Report to the Commission.

Based on the information and filings required in D.00-07-018, D.03-04-028, and D.04-12-023, provide the following information by each individual non-tariffed good and service provided in 2016:

		Applies to All Non-Tariffed Goods/Services that require Approval by Advice Letter									
Row Number	Description of Non-Tariffed Goods/Services	Active or Passive	Total Revenue Derived from Non-tariffed Goods/Services (by account)	Revenue Account Number	Total Expenses Incurred to Provide Non-tariffed Goods/Services (by account)	Expense Account Number	Advice Letter and/or Resolution Number Approving Non-tariffed Goods/Services	Total Income Tax Liability Incurred Because of Non-tariffed Goods/Services (by account)	Income Tax Liability Account Number	Gross Value of Regulated Assets Used in the Provision of a Non-tariffed Goods/Services (by account)	Regulated Asset Account Number
1	Telecom Leases	Passive	\$1,139,846	526							
2	Homeserv	Active	\$470,251	526							
3	City of Cupertino Water System Lease	Active	\$3,653,577	526							
4	Maintenance Services for City of San Jose Municipal Water System	Active	\$591,418	526							
5	Backflow Testing Service for South Bay Water Recycling Program	Active	\$0	526							
6	Miscellaneous Services for City of San Jose Municipal Water System	Active	\$2,416	526							
7	Meter Shop Testing Service	Active	\$21,540	526							
8	Total		\$5,879,048								
9											

1. Activities presented in A.03-05-035 and authorized in D.04-08-054

### GENERAL INFORMATION

1. Name under which utility is doing business: SAN JOSE WATER COMPANY
2. Official mailing address:  
110 West Taylor Street, San Jose, California 95110
3. Name and title of person to whom correspondence should be addressed:  
Palle Jensen, Senior Vice President Telephone: 408-279-7970
4. Address where accounting records are maintained:  
110 West Taylor Street, San Jose, California 95110
5. Service Area (Refer to district reports if applicable) Portions of Cupertino, San Jose and Santa Clara, and in Campbell, Los Gatos, Monte Sereno, Saratoga and contiguous territory in the County of Santa Clara.
6. Service Manager (If located in or near Service Area.) (Refer to district reports if applicable.)

Name: Robert Day Telephone: 408-279-7922  
 Address: 110 West Taylor Street, San Jose, California 95110

7. OWNERSHIP. Check and fill in appropriate line:

<input type="checkbox"/>	Individual (name of owner)	_____
<input type="checkbox"/>	Partnership (name of partner)	_____
<input type="checkbox"/>	Partnership (name of partner)	_____
<input type="checkbox"/>	Partnership (name of partner)	_____
<input checked="" type="checkbox"/>	Corporation (corporate name)	<u>San Jose Water Company</u>
	Organized under laws of (state)	<u>California</u> Date: <u>October 24, 1931</u>

Principal Officers:

Name: <u>W.R. Roth</u>	Title: <u>Chief Executive Officer</u>
Name: <u>A. Gere</u>	Title: <u>President &amp; Chief Operating Officer</u>
Name: <u>A. Walters</u>	Title: <u>Chief Administrative Officer</u>
Name: <u>P. Jensen</u>	Title: <u>Senior Vice President</u>
Name: <u>C. Giordano</u>	Title: <u>Vice President</u>
Name: <u>D.R. Drysdale</u>	Title: <u>Vice President</u>
Name: <u>J. Lynch</u>	Title: <u>Chief Financial Officer</u>
Name: <u>S. Papazian</u>	Title: <u>Corporate Secretary</u>
Name: <u>D. Leal</u>	Title: <u>Vice President</u>
Name: <u>C. Rayer</u>	Title: <u>Vice President</u>
Name: <u>J. Tang</u>	Title: <u>Vice President</u>
Name: <u>W. Walker</u>	Title: <u>Controller</u>

8. Names of associated companies: SJW Group, SJW Land Company, SJWTX Water, Inc., Texas Water Alliance Limited.
9. Names of corporations, firms or individuals whose property or portion of property have been acquired during the year, together with date of each acquisition:  
 Name: N/A Date: \_\_\_\_\_
10. Use the space below for supplementary information or explanations concerning this report:  
 \_\_\_\_\_  
 \_\_\_\_\_
11. List Name, Grade, and License Number of all Licensed Operators:  
Please see attached list  
 \_\_\_\_\_  
 \_\_\_\_\_
12. List Name, Address, and Phone Number of your company's external auditor:  
 Name: KPMG SVO Telephone: 408-367-5764  
 Address: Mission Towers, Suite 100 3975 Freedom Circle Drive, Santa Clara, California 95054

**SAN JOSE WATER COMPANY**  
**Employee Certifications**  
**As of 12/31/16**

Employee Number	Course Name	Licence Number
10006	Distribution 1 License	37847
10019	Distribution 1 License	38170
10049	Distribution 1 License	34135
10051	Distribution 1 License	19149
10095	Distribution 1 License	19114
10096	Distribution 1 License	19129
10164	Distribution 1 License	19137
10189	Distribution 1 License	19151
10196	Distribution 1 License	19131
10201	Distribution 1 License	19138
10203	Distribution 1 License	33104
10217	Distribution 1 License	32372
10218	Distribution 1 License	19118
10276	Distribution 1 License	19135
10284	Distribution 1 License	28210
10296	Distribution 1 License	19147
10297	Distribution 1 License	36485
10354	Distribution 1 License	29674
10362	Distribution 1 License	35478
10376	Distribution 1 License	19155
10380	Distribution 1 License	19121
10389	Distribution 1 License	37565
10414	Distribution 1 License	34762
10425	Distribution 1 License	19150
10467	Distribution 1 License	32727
10495	Distribution 1 License	31441
10503	Distribution 1 License	31908
10520	Distribution 1 License	35586
10530	Distribution 1 License	29996
10559	Distribution 1 License	37804
10572	Distribution 1 License	36661
10580	Distribution 1 License	19139
10585	Distribution 1 License	19133
10589	Distribution 1 License	19136
10619	Distribution 1 License	19120
10624	Distribution 1 License	35379
10631	Distribution 1 License	18621
10635	Distribution 1 License	34811
10655	Distribution 1 License	19130
10656	Distribution 1 License	28878
10694	Distribution 1 License	37210
10698	Distribution 1 License	33416
10699	Distribution 1 License	33488
10729	Distribution 1 License	39048
10833	Distribution 1 License	40255
10842	Distribution 1 License	38007
10893	Distribution 1 License	37009
11095	Distribution 1 License	39145
11103	Distribution 1 License	41025
11113	Distribution 1 License	40064
11130	Distribution 1 License	40113
11151	Distribution 1 License	39461
10091	Distribution 2 License	29722
10110	Distribution 2 License	35068
10121	Distribution 2 License	27860
10199	Distribution 2 License	30394
10207	Distribution 2 License	29054
10250	Distribution 2 License	27673
10309	Distribution 2 License	29183
10317	Distribution 2 License	34270

**SAN JOSE WATER COMPANY**  
**Employee Certifications**  
**As of 12/31/16**

10353	Distribution 2 License	39865
10434	Distribution 2 License	31515
10521	Distribution 2 License	19148
10594	Distribution 2 License	36568
10686	Distribution 2 License	35569
10695	Distribution 2 License	32032
10778	Distribution 2 License	33835
10845	Distribution 2 License	36554
10888	Distribution 2 License	36730
11063	Distribution 2 License	39473
11068	Distribution 2 License	32013
11101	Distribution 2 License	38864
10138	Distribution 3 License	28744
10257	Distribution 3 License	19192
10551	Distribution 3 License	34403
10560	Distribution 3 License	29793
10563	Distribution 3 License	32062
11129	Distribution 3 License	37382
10155	Distribution 4 License	19107
10253	Distribution 4 License	4244
10273	Distribution 4 License	14990
10500	Distribution 4 License	19110
10511	Distribution 4 License	27690
10593	Distribution 4 License	19109
10240	Treatment 1 License	13097
10686	Treatment 1 License	17548
10121	Treatment 2 License	28355
10138	Treatment 2 License	25611
10257	Treatment 2 License	27123
10354	Treatment 2 License	33785
10511	Treatment 2 License	30838
10521	Treatment 2 License	28247
10625	Treatment 2 License	29903
10656	Treatment 2 License	28144
10778	Treatment 2 License	30817
11068	Treatment 2 License	28328
11089	Treatment 2 License	36582
11151	Treatment 2 License	32993
10049	Treatment 3 License	31889
10091	Treatment 3 License	28163
10099	Treatment 3 License	8634
10155	Treatment 3 License	24146
10163	Treatment 3 License	14092
10207	Treatment 3 License	24597
10223	Treatment 3 License	18738
10247	Treatment 3 License	22380
10309	Treatment 3 License	32599
10356	Treatment 3 License	13899
10500	Treatment 3 License	19341
10560	Treatment 3 License	28428
10563	Treatment 3 License	27629
10593	Treatment 3 License	16342
10623	Treatment 3 License	26689
10638	Treatment 3 License	10436
11129	Treatment 3 License	32943
10209	Treatment 4 License	18267
10253	Treatment 4 License	13873
10273	Treatment 5 License	16578

**SCHEDULE A  
COMPARATIVE BALANCE SHEETS  
Assets and Other Debits**

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)	
1		<b>I. UTILITY PLANT</b>				
2	100	Utility plant	A-1	1,532,553,176	1,402,248,831	
3	107	Utility plant adjustments		-	-	
4		Total utility plant		1,532,553,176	1,402,248,831	
5	250	Reserve for depreciation of utility plant	A-3	484,058,118	454,238,545	
6	251	Reserve for amortization of limited term utility investments	A-3	495,644	479,305	
7	252	Reserve for amortization of utility plant acquisition adjustment	A-3			
8		Total utility plant reserves		484,553,762	454,717,850	
9		Total utility plant less reserves		1,047,999,414	947,530,981	
10						
11		<b>II. INVESTMENT AND FUND ACCOUNTS</b>				
12	110	Other physical property	A-2	155,038	109,106	
13	253	Reserve for depreciation and amortization of other property	A-3			
14		Other physical property less reserve				
15	111	Investments in associated companies	A-4			
16	112	Other investments	A-5	2,848,655	3,032,429	
17	113	Sinking funds	A-6			
18	114	Miscellaneous special funds	A-7			
19		Total investments and fund accounts		3,003,693	3,141,535	
20						
21		<b>III. CURRENT AND ACCRUED ASSETS</b>				
22	120	Cash		2,984,949	3,266,795	
23	121	Special deposits	A-8	19,014,607	208,839	
24	122	Working funds		3,750	3,750	
25	123	Temporary cash investments				
26	124	Notes receivable	A-9	-	0	
27	125	Accounts receivable		16,207,780	16,261,350	
28	126	Receivables from associated companies	A-10	4,570,000	11,706,000	
29	131	Materials and supplies		757,954	771,498	
30	132	Prepayments	A-11	29,078,071	27,656,137	
31	133	Other current and accrued assets	A-12	39,798,721	33,485,203	
32		Total current and accrued assets		112,415,832	93,359,572	
33						
34		<b>IV. DEFERRED DEBITS</b>				
35	140	Unamortized debt discount and expense	A-13	4,021,676	3,066,014	
36	141	Extraordinary property losses	A-14	-	0	
37	142	Preliminary survey and investigation charges		362,959	275,426	
38	143	Clearing accounts		-	0	
39	145	Other work in progress		302,043	305,214	
40	146	Other deferred debits	A-15	148,142,389	158,328,109	
41		Total deferred debits		152,829,068	161,974,763	
42		Total assets and other debits		1,316,248,007	1,206,006,851	



**SCHEDULE A**  
**COMPARATIVE BALANCE SHEETS**  
**Liabilities and Other Credits**

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
43		<b>I. CORPORATE CAPITAL AND SURPLUS</b>			
44	200	Common capital stock	A-18	6	6
45	201	Preferred capital stock	A-18	-	0
46	202	Stock liability for conversion			
47	203	Premiums and assessments on capital stock	A-19		
48	150	Discount on capital stock	A-16	( )	( )
49	151	Capital stock expense	A-17	( )	( )
50	270	Capital surplus	A-20	92,172,145	89,822,450
51	271	Earned surplus	A-21	307,397,511	279,541,889
52		Total corporate capital and surplus		399,569,661	369,364,345
53					
54		<b>II. PROPRIETARY CAPITAL</b>			
55	204	Proprietary capital (Individual or partnership)	A-22	-	0
56	205	Undistributed profits of proprietorship or partnership	A-23	-	0
57		Total proprietary capital			
58					
59		<b>III. LONG-TERM DEBT</b>			
60	210	Bonds	A-24	-	0
61	211	Receivers' certificates			
62	212	Advances from associated companies	A-25	-	0
63	213	Miscellaneous long-term debt	A-26	370,000,000	301,747,809
64		Total long-term debt		370,000,000	301,747,809
65					
66		<b>IV. CURRENT AND ACCRUED LIABILITIES</b>			
67	220	Notes payable	A-28	2,000,000	29,600,862
68	221	Notes receivable discounted			
69	222	Accounts payable		18,416,778	15,428,819
70	223	Payables to associated companies		(629,574)	(361,633)
71	224	Dividends declared			
72	225	Matured long-term debt			
73	226	Matured interest			
74	227	Customers' deposits		1,531,074	1,436,292
75	228	Taxes accrued	A-31	(9,798,878)	(11,374,692)
76	229	Interest accrued		6,064,512	5,864,504
77	230	Other current and accrued liabilities	A-30	92,809,019	88,275,676
78		Total current and accrued liabilities		110,392,930	128,869,828
79					
80		<b>V. DEFERRED CREDITS</b>			
81	240	Unamortized premium on debt	A-13		
82	241	Advances for construction	A-32	79,226,433	72,104,059
83	242	Other deferred credits	A-33	236,996,830	214,660,852
84		Total deferred credits		316,223,262	286,764,911
85					
86		<b>VI. RESERVES</b>			
87	254	Reserve for uncollectible accounts	A-34	190,000	190,000
88	255	Insurance reserve	A-34		
89	256	Injuries and damages reserve	A-34	2,095,707	253,222
90	257	Employees' provident reserve	A-34	163,838	168,552
91	258	Other reserves	A-34	(11,046)	2,343,414
92		Total reserves		2,438,499	2,955,188
93					
94		<b>VII. CONTRIBUTIONS IN AID OF CONSTRUCTION</b>			
95	265	Contributions in aid of construction	A-35	117,623,650	116,304,770
96		Total liabilities and other credits		1,316,248,007	1,206,006,851

**SCHEDULE B**  
**Income Account for the Year**

Line No.	Acct.	Account (a)	Schedule Page No. (b)	Amount (c)
1		<b>I. UTILITY OPERATING INCOME</b>		
2	501	Operating revenues	B-1	311,640,629
3				
4		Operating Revenue Deductions:		
5	502	Operating expenses	B-2	176,600,816
6	503	Depreciation		40,743,443
7	504	Amortization of limited-term utility investments	A-3	16,339
8	505	Amortization of utility plant acquisition adjustments	A-3	
9	506	Property losses chargeable to operations	B-3	
10	507	Taxes	B-4	36,923,211
11		Total operating revenue deductions		254,283,809
12		Net operating revenues		57,356,820
13	508	Income from utility plant leased to others		
14	510	Rent for lease of utility plant		
15		Total utility operating income		57,356,820
16				
17		<b>II. OTHER INCOME</b>		
18	521	Income from nonutility operations (Net)	B-6	-
19	522	Revenue from lease of other physical property		
20	523	Dividend revenues		
21	524	Interest revenues		238,668
22	525	Revenues from sinking and other funds		
23	526	Miscellaneous nonoperating revenues	B-7	4,804,871
24	527	Nonoperating revenue deductions - Dr.	B-8	(25,444)
25		Total other income		5,018,095
26		Net income before income deductions		62,374,915
27				
28		<b>III. INCOME DEDUCTIONS</b>		
29	530	Interest on long-term debt		19,321,083
30	531	Amortization of debt discount and expense	A-13	267,041
31	532	Amortization of premium on debt - Cr.		
32	533	Taxes assumed on interest		
33	534	Interest on debt to associated companies		
34	535	Other interest charges	B-9	636,274
35	536	Interest charged to construction - Cr.		(2,188,459)
36	537	Miscellaneous amortization		
37	538	Miscellaneous income deductions	B-10, C-5	378,706
38		Total income deductions		18,414,646
39		Net income		43,960,269
40				
41		<b>IV. DISPOSITION OF NET INCOME</b>		
42	540	Miscellaneous reservations of net income		3
43				
44		Balance transferred to Earned Surplus or		
45		Proprietary Accounts scheduled on A-21		43,960,272

**SCHEDULE A-1  
Account 100 - Utility Plant**

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1	100 - 1	Utility plant in service (Schedule A-1a)	1,359,195,587	119,091,426	(13,938,763)	(100,008)	1,464,248,241
2	100 - 2	Utility plant leased to others					
3	100 - 3	Construction work in progress	44,683,420	-		25,167,252	69,850,672
4	100 - 4	Utility plant held for future use (Sch A-1c)	-	-	-	-	-
5	100 - 5	Utility plant acquisition adjustments	(1,630,176)	84,439			(1,545,737)
6	100 - 6	Utility plant in process of reclassification					
7		Total utility plant	1,402,248,831	119,175,865	(13,938,763)	25,067,244	1,532,553,176

**SCHEDULE A-1a  
Account 100.1 - Utility Plant in Service**

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		<b>I. INTANGIBLE PLANT</b>					
2	301	Organization	68,129				68,129
3	302	Franchises and consents (Schedule A-1b)	2,413				2,413
4	303	Other intangible plant	969,595				969,595
5		Total intangible plant	1,040,137				1,040,137
6			0				0
7		<b>II. LANDED CAPITAL</b>					
8	306	Land and land rights	16,032,654	-	-	(45,935)	15,986,719
9							
10		<b>III. SOURCE OF SUPPLY PLANT</b>					
11	311	Structures and improvements	3,985,494	1,113,322	(14,548)		5,084,268
12	312	Collecting and impounding reservoirs	14,127,398	-	(23,442)		14,103,956
13	313	Lake, river and other intakes	6,629,982	(168,589)	(2,332)		6,459,061
14	314	Springs and tunnels	0				0
15	315	Wells	17,997,551	5,439,807	(58,850)		23,378,508
16	316	Supply mains	19,715,461	309,827	(99,563)		19,925,725
17	317	Other source of supply plant	346,082		(271,801)		74,281
18		Total source of supply plant	62,801,968	6,694,367	(470,536)	-	69,025,799
19							
20		<b>IV. PUMPING PLANT</b>					
21	321	Structures and improvements	18,226,944	1,697,885	(231,520)	-	19,693,309
22	322	Boiler plant equipment	0				0
23	323	Other power production equipment	1,227,124	-			1,227,124
24	324	Pumping equipment	81,539,049	7,132,237	(1,255,704)	-	87,415,582
25	325	Other pumping plant	6,198,306	837,137	(176,822)		6,858,621
26		Total pumping plant	107,191,423	9,667,259	(1,664,046)	-	115,194,636
27							
28		<b>V. WATER TREATMENT PLANT</b>					
29	331	Structures and improvements	11,829,011	29,109	(1,084,284)		10,773,836
30	332	Water treatment equipment	25,487,365	283,930	(4,768,190)		21,003,105
31		Total water treatment plant	37,316,376	313,039	(5,852,474)	-	31,776,941

**SCHEDULE A-1a**  
**Account 100.1 - Utility Plant in Service (Continued)**

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
32		<b>VI. TRANSMISSION AND DIST. PLANT</b>					
33	341	Structures and improvements	20,767,077	2,585,875	(80,988)	-	23,271,964
34	342	Reservoirs and tanks	77,744,532	13,775,671	(363,631)		91,156,572
35	343	Transmission and distribution mains	650,762,179	50,604,750	(1,260,902)	60,716	700,166,742.85
36	344	Fire mains					
37	345	Services	193,594,754	14,971,358	(125,830)	(124,480)	208,315,802
38	346	Meters	24,400,566	1,586,899	(800,039)		25,187,426
39	347	Meter installations	14,186,534	1,062,774	(14,138)	-	15,235,170
40	348	Hydrants	66,762,400	5,261,979	(209,498)	9,691	71,824,572
41	349	Other transmission and distribution plant	1,084,450	182,390	(2,746)		1,264,094
42		Total transmission and distribution plant	1,049,302,492	90,031,696	(2,857,771)	(54,074)	1,136,422,343
43							
44		<b>VII. GENERAL PLANT</b>					
45	371	Structures and improvements	36,472,931	3,415,377	(140,408)		39,747,900
46	372	Office furniture and equipment	31,060,102	4,049,060	(1,516,539)		33,592,623
47	373	Transportation equipment	10,500,113	3,469,499	(709,479)		13,260,133
48	374	Stores equipment	668,919	-	-		668,919
49	375	Laboratory equipment	0				-
50	376	Communication equipment	1,977,749	882,141	(618,173)		2,241,717
51	377	Power operated equipment	2,385,808	358,617	(500)		2,743,925
52	378	Tools, shop and garage equipment	1,948,129	150,550	(97,484)		2,001,195
53	379	Other general plant	496,786	59,821	(11,353)	-	545,254
54		Total general plant	85,510,537	12,385,065	(3,093,936)	-	94,801,666
55							
56		<b>VIII. UNDISTRIBUTED ITEMS</b>					
57	390	Other tangible property					
58	391	Utility plant purchased					
59	392	Utility plant sold					
60		Total undistributed items					
61		Total utility plant in service	1,359,195,587	119,091,426	(13,938,763)	(100,008)	1,464,248,241

**SCHEDULE A-1b**  
**Account 302 - Franchises and Consents**

Line No.	Name of Original Grantor (a)	Date of Grant (b)	Term in Years (c)	Date of Acquisition by Utility (d)	Amount at which Carried in Account <sup>1</sup> (e)
1	Santa Clara County	2005	15	1952	162
2	City of Saratoga	1957	perpetual	1957	383
3	City of Monte Sereno	1957	perpetual	1957	967
4	City of Cupertino	1958	perpetual	1958	901
5	Total				2,413

<sup>1</sup> The total should agree with the balance at the end of the year in Account 302 in Schedule A-1a Line 3.

**SCHEDULE A-1c**  
**Account 100.4 - Utility Plant Held for Future Use**

Line No.	Description and Location of Property (a)	Date of Acquisition (b)	Approximate Date When Property Will be Placed in Service (c)	Balance End of Year (d)
1				
2				
3	Total			

**SCHEDULE A-2**  
**Account 110 - Other Physical Property**

Line No	Name and Description of Property (a)	Book Value End of Year (b)
1	Lot #127 Spring	256
2	Lot #268 Metcalf	200
3	Proprietary membership	38,000
4	Lot #209A Bryan Ave	16,403
5	Lot #209B Almaden Rd.	15,584
6	Lot #3A Los Gatos Creek	22,731
7	Lot #61 Beadsley Gulch	555
8	Lot #34,35,88,91 Beckwith	4,877
9	Lot #8 Lexington	505
10	Lot #178 Silver Creek	551
11	Lot #85R,40R Cavanee	4,651
12	Lot #51R Moody Gulch	4,793
13	Lot #244 Fleury	208
14	Calero McKean	45,724
15		
16		
17	Total	155,038

**SCHEDULE A-1d  
RATE BASE AND WORKING CASH**

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance 12/31/2016 (c)	Balance 1/1/2016 (d)
<b>RATE BASE</b>					
1		Utility Plant			
2		Plant in Service	A-1, A-1a	1,464,248,241	1,359,195,587
3		Construction Work in Progress	A-1, A-1a	69,850,672	44,683,420
4		General Office Prorate			
5		<b>Total Gross Plant (=Line 2 + Line 3 + Line 4)</b>		1,534,098,913	1,403,879,007
6		Less Accumulated Depreciation and Amortization			
7		Plant in Service	A-3	484,058,118	454,238,545
8		Amortization	A-3	495,644	479,305
9		<b>Total Accumulated Depreciation (=Line 7 + Line 8)</b>		484,553,762	454,717,850
10		Less Other Reserves			
11		Deferred Income Taxes	A-33	217,730,844	205,275,700
12		Less Prepaid Deferred Investment Taxes	A-11	(26,020,774)	(24,227,567)
13		Deferred Investment Tax Credit	A-33	1,194,600	1,254,600
14		<b>Total Other Reserves (=Line 11 + Line 12 + Line 13)</b>		192,904,670	182,302,733
15		Less Adjustments			
16		Contributions in Aid of Construction	A-35	117,623,650	116,304,770
17		Advances for Construction	A-32	79,226,433	72,104,059
18		Other			
19		<b>Total Adjustments (=Line 16 + Line 17 + Line 18)</b>		196,850,083	188,408,829
20		<b>Add Materials and Supplies</b>	A	757,954	771,498
21		<b>Add Working Cash (=Line 34)</b>	WC	27,132,923	23,976,550
22		<b>TOTAL RATE BASE</b>		687,681,275	603,197,643
23		<b>(=Line 5 - Line 9 - Line 14 - Line 19 + Line 20 + Line 21)</b>			
<b>Working Cash</b>					
24		<b>Determination of Operational Cash Requirement</b>			
25		Operating Expenses, Excluding Taxes, Depreciation & Uncollectible	B-2	176,600,816	159,223,295
26		Purchased Power & Commodity for Resale*	B-2	106,691,205	94,431,842
27		Meter Revenues: Bimonthly Billing	B-1	303,509,283	264,182,512
28		Other Revenues: Flat Rate Monthly Billing	B-1	8,131,346	13,890,984
29		<b>Total Revenues (=Line 27 + Line 28)</b>		311,640,629	278,073,496
30		Ratio - Flat Rate to Total Revenues (=Line 28 / Line 29)		0.02609	0.04995
31		5/24 x Line 25 x (100% - Line 30)		35,831,862	31,514,458
32		1/24 x Line 25 x Line 30		191,995	331,412
33		1/12 x Line 26		8,890,934	7,869,320
34		<b>Operational Cash Requirement (=Line 31 + Line 32 - Line 33)</b>		27,132,923	23,976,550
		Electric power, gas or other fuel purchased for pumping and/or purchased commodity for resale billed after receipt (metered).			

**SCHEDULE A-3**  
**Accounts 250 to 253, Inclusive - Depreciation and Amortization Reserves**

Line No.	Item (a)	Account 250 Utility Plant (b)	Account 251 Limited-Term Utility Investments (c)	Account 252 Utility Plant Acquisition Adjustments (d)	Account 253 Other Property (e)
1	Balance in reserves at beginning of year	454,238,545	479,305		
2	Add: Credits to reserves during year				
3	(a) Charged to Account 503, 504, 505 <sup>(1)</sup>	41,365,410	16,339		
4	(b) Charged to Account 265	4,442,915			
5	(c) Charged to clearing accounts	926,647			
6	(d) Salvage recovered	124,211			
7	(e) All other credits <sup>(2)</sup>	242,202			
8	Total credits	47,101,385	16,339		
9	Deduct: Debits to reserves during year				
10	(a) Book cost of property retired	-13,938,765			
11	(b) Cost of removal	-3,273,686			
12	(c) All other debits <sup>(3)</sup>	-69,361			
13	Total debits	-17,281,812			
14	Balance in reserve at end of year	484,058,118	495,644		
15					
16	(1) COMPOSITE DEPRECIATION RATE USED FOR STRAIGHT LINE REMAINING LIFE:				3.50%
17					
18	(2) EXPLANATION OF ALL OTHER CREDITS: GIS and SDWFR Depreciation				
19					
20	(3) Adjustments for refundable funded retirements and ARO's/immaterial difference				
21					
22					
23					
24					
25					
26					
27					
28					
29					
30					
31					
32					
33					
34					
35	METHOD USED TO COMPUTE INCOME TAX DEPRECIATION:				
36	(a) Straight Line	( )			
37	(b) Liberalized	( )			
38	(1) Double declining balance	( )			
39	(2) ACRS	( )			
40	(3) MACRS	( )			
41	(4) Others	( )			
42	(c) Both straight line and liberalized	(X )			





**SCHEDULE A-3a**

**Account 250 - Analysis of Entries in Depreciation Reserve**

(This schedule is to be completed if records are maintained showing depreciation reserve by plant accounts)

Line No.	Acct.	DEPRECIABLE PLANT (a)	Balance Beginning of Year (b)	Credits to Reserve During Year Excl. Salvage (c)	Debits to Reserves During Year Excl. Cost Removal (d)	Salvage and Cost of Removal Net (Dr.) or Cr. (e)	Balance End of Year (f)
1		<b>I. SOURCE OF SUPPLY PLANT</b>	See Attached				
2	311	Structures and improvements					
3	312	Collecting and impounding reservoirs					
4	313	Lake, river and other intakes					
5	314	Springs and tunnels					
6	315	Wells					
7	316	Supply mains					
8	317	Other source of supply plant					
9		Total source of supply plant					
10							
11		<b>II. PUMPING PLANT</b>					
12	321	Structures and improvements					
13	322	Boiler plant equipment					
14	323	Other power production equipment					
15	324	Pumping equipment					
16	325	Other pumping plant					
17		Total pumping plant					
18							
19		<b>III. WATER TREATMENT PLANT</b>					
20	331	Structures and improvements					
21	332	Water treatment equipment					
22		Total water treatment plant					
23							
24		<b>IV. TRANS. AND DIST. PLANT</b>					
25	341	Structures and improvements					
26	342	Reservoirs and tanks					
27	343	Transmission and distribution mains					
28	344	Fire mains					
29	345	Services					
30	346	Meters					
31	347	Meter installations					
32	348	Hydrants					
33	349	Other transmission and distribution plant					
34		Total trans. and distribution plant					
35							
36		<b>V. GENERAL PLANT</b>					
37	371	Structures and improvements					
38	372	Office furniture and equipment					
39	373	Transportation equipment					
40	374	Stores equipment					
41	375	Laboratory equipment					
42	376	Communication equipment					
43	377	Power operated equipment					
44	378	Tools, shop and garage equipment					
45	379	Other general plant					
46	390	Other tangible property					
47	391	Water plant purchased					
48		Total general plant					
49		Total					

**SCHEDULE A-4**  
**Account 111 - Investments in Associated Companies**

Line No.	Class of Security (a)	Name of Issuing Company (b)	Par Value End of Year (c)	Ledger Value End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest and Dividends Received During Year (g)
1		NONE					
2							
3							
4							
5							
6							
7		Total <sup>1</sup>					

<sup>1</sup> The total should agree with the balance at the end of the year in Account 111 on page 13.

**SCHEDULE A-5**  
**Account 112 - Other Investments**

Line No.	Name and Description of Property (a)	Balance End of Year (b)
1	Cupertino Water System Lease (net)	\$ 2,848,655
2		
3		
4		
5		
6		
7		
8		
9	Total	

**SCHEDULE A-6**  
**Account 113 - Sinking Funds**

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	NONE					
2						
3						
4						
5						
6						
7						
8						
9	Total					

**SCHEDULE A-7**  
**Account 114 - Miscellaneous Special Funds**

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	NONE					
2						
3						
4						
5						
6						
7						
8						
9	Total					

**SCHEDULE A-8**  
**Account 121 - Special Deposits**

Line No.	Name of Depository (a)	Purpose of Deposit (b)	Balance End of Year (c)
1	Well Fargo Bank NA	SDWSRF Trust Accounts	-
2		PAB	19,000,907
3		PBOP	13,700
4			
5			
6	Total		19,014,607

**SCHEDULE A-9**  
**Account 124 - Notes Receivable**

Line No.	Maker (a)	Date of Issue (b)	Date Payable (c)	Balance End of Year (d)	Interest Rate (e)	Interest Accrued During Year (g)	Interest Received During Year (g)
1							
2							
3							
4							
5							
6	Total						

**SCHEDULE A-10**  
**Account 126 - Receivables from Associated Companies**

Line No.	Due from Whom (a)	Amount (b)	Interest Rate (c)	Interest Accrued During Year (d)	Interest Received During Year (e)
1	SJW Group	4,570,000	2.5	212,974	212,974
2					
3					
4					
5					
6					
7					
8					
9					
10	Total	4,570,000		212,974	212,974

**SCHEDULE A-11**  
**Account 132 - Prepayments**

Line No.	Item (a)	Amount (b)
1	Insurance	\$ 892,853
2	Rent	\$ 160,000
3	Taxes - Other	\$ 13,334
4	Taxes - Income	\$ 26,007,440
5	Misc.	\$ 2,004,444
6		
7		
8		
9		
10	Total	\$ 29,078,071

**SCHEDULE A-12**  
**Account 133 - Other Current and Accrued Assets**

Line No.	Item (a)	Amount (b)
1	Unbilled Revenue	\$ 23,735,000
2	Due from Ratepayers	16,063,721
3		
4		
5		
6		
7		
8		
9		
10	Total	\$ 39,798,721

**SCHEDULE A-13**

**Accounts 140 and 240 - Unamortized Debt Discount and Expense and Unamortized Premium on Debt**

1. Report under separate subheadings for Unamortized Debt Discount and Expense and Unamortized Premium or Debt, particulars of discount and expense of premium applicable to each class and series of long-term debt.
2. Show premium amounts in red or by enclosure in parentheses.
3. In column (b) show the principal amount of bonds or other long-term debt originally issued.
4. In column (c) show the discount and expense or premium with respect to the amount of bonds or other long-term debt originally issued.
5. Furnish particulars regarding the treatment of unamortized debt discount and expense or premium, redemption premiums, and redemption expenses associated with issues redeemed during the year, also, date of the Commission's authorization of treatment other than as specified by the Uniform System of Accounts.
6. Set out separately and identify undisposed amounts applicable to issues which were redeemed in prior years.
7. Explain any debits and credits other than amortization debited to Account 531, Amortization of Debt Discount and Expense, or credited to Account 532, Amortization of Premium on Debt-Credit.

Line No.	Designation of long-term debt (a)	Principal amount of securities to which discount and expense, or premium minus expense, relates (b)	Total discount and expense or net Premium (c)	AMORTIZATION PERIOD		Balance beginning of year (f)	Debits during year (g)	Credits during year (h)	Balance end of year (i)
				From- (d)	To- (e)				
1	Senior Notes Series A	20,000,000	276,589	08-31-92	07-01-22	60,263	9,271		50,992
2	B	30,000,000	484,576	11-01-93	01-01-24	1,052,857	131,598		921,259
3	C	10,000,000	197,409	11-01-93	11-01-20	35,370	7,311		28,059
4	D	15,000,000	184,617	01-01-96	01-01-26	61,540	6,154		55,386
5	E	15,000,000	208,543	12-01-98	12-01-28	89,685	6,944		82,741
6	F	20,000,000	101,294	09-24-01	09-01-31	53,046	3,388		49,658
7	G	20,000,000	119,904	09-02-03	09-01-33	70,609	3,997		66,612
8	H	20,000,000	198,310	01-23-07	01-01-37	138,818	6,609		132,209
9	I	20,000,000	126,418	12-17-07	12-17-37	97,647	4,438		93,209
10	J	10,000,000	35,750	02-02-09	02-01-24	19,270	2,384		16,886
11	K	20,000,000	93,233	05-15-09	05-15-39	72,773	3,108		69,665
12	L	50,000,000	855,908	06-16-14	06-01-44	604,308	21,533	0	582,775
13	PAB	50,000,000	855,908	06-16-10	06-01-40	697,807	28,530		669,277
14	PAB 2016	70,000,000	942,705	12-20-16	11-1-46	-	2,619	942,705.00	940,086
15	Other Long Term Debt SDWSRF	1,634,522	25,534	06-01-05	05-01-25	12,021	-	(12,021)	-
16	Other Long Term Debt SDWSRF	962,161	-	06-01-08	05-01-28	-	29,157	292,019	262,862
17	Line of Credit	293,452	-						
18									
19						3,066,013	267,041	930,684	4,021,676

**SCHEDULE A-14**  
**Account 141 - Extraordinary Property Losses**

Line No.	Description of Property Loss or Damage (a)	Total Amount of Loss (b)	Previously Written off (c)	WRITTEN OFF DURING YEAR		Balance End of year (f)
				Account Charged (d)	Amount (e)	
1	NONE					
2						
3						
4	Total					

**SCHEDULE A-15**  
**Account 146 - Other Deferred Debits**

Line No.	Item (a)	Balance End of year (b)
1	Congress Springs Trade Mark	3,859
2	Regulatory Asset - Pensions	109,794,728
3	Regulatory Asset - Income Taxes	14,891,639
4	Regulatory Asset - Asset Retirement Obligation	3,317,715
5	Regulatory Asset - Vacation	2,536,537
6	Regulatory Asset-MCRAM	7,683,989
7	Regulatory Asset - Balancing Accounts/Memo Accounts	9,838,024
8	Regulatory Asset - Klein Homes Acq.	75,898
9	Total	148,142,389

**SCHEDULE A-16**  
**Account 150 - Discount on Capital Stock**

- Report the balance at end of year of discount on capital stock for each class and series of capital stock.
- If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off during the year and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance End of year (b)
1		
2		
3	Total	

**SCHEDULE A-17**  
**Account 151- Capital Stock Expense**

- Report the balance at end of year of capital stock expense for each class and series of capital stock.
- If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off of capital stock expense and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance End of year (b)
1		
2		
3	Total	

**SCHEDULE A-18**  
**Accounts 200 and 201- Capital Stock**

Line No.	Class of Stock (a)	Number of Shares Authorized by Articles of Incorporation (b)	Par Value of Stock Authorized by Articles of Incorporation (c)	Number of Shares Outstanding <sup>1</sup> (d)	Total Par Value <sup>2</sup> Outstanding End of Year (e)	Dividends Declared During Year	
						Rate (f)	Amount (g)
1	Common Stock	3,000,000	6.25	1	6.25		
2							
3							
4							
5							
6	Total		6.25				

<sup>1</sup> After deduction for amount of reacquired stock held by or for the respondent.

<sup>2</sup> If shares of stock have no par value, show value assigned to stock outstanding.

**SCHEDULE A-18a**  
**Record of Stockholders at End of Year**

Line No.	COMMON STOCK Name (a)	Number Shares (b)	PREFERRED STOCK Name (c)	Number Shares (d)
1	SJW Group	1		
2				
3				
4				
5				
6				
7				
8				
9	Total number of shares	1	Total number of shares	

**SCHEDULE A-19**  
**Account 203 - Premiums and Assessments on Capital Stock**

Line No.	Class of Stock (a)	Balance End of Year (b)
1		
2		
3		
4		
5		
6		
7		
8		
9	Total	

**SCHEDULE A-20**  
**Account 270 - Capital Surplus (For use by Corporations only)**

Line No.	Item (a)	Amount (b)
1	Balance beginning of year	89,822,450
2	CREDITS (Give nature of each credit and state account charged)	
3		
4		
5	Total credits	-
6	DEBITS (Give nature of each debit and state account credited)	
7	Paid in Capital	-
8	Stock-based compensation SJW Group	1,240,326
9	ESPP	1,109,369
10	Total debits	2,349,695
11	Balance end of year	92,172,145

**SCHEDULE A-21**  
**Account 271 - Earned Surplus (For use by Corporations only)**

Line No.	Acct	Account (a)	Amount (b)
1		Balance beginning of year	279,541,889
2		CREDITS	
3	400	Credit balance transferred from income account	43,836,368
4	401	Miscellaneous credits to surplus (specify)	123,904
5			
6		Total credits	43,960,272
7		DEBITS	
8	410	Debit balance transferred from income account	
9	411	Dividend appropriations-preferred stock	
10	412	Dividend appropriations-Common stock	
11	413	Miscellaneous reservations of surplus	16,000,000
12	414	Miscellaneous debits to surplus (specify)	103,509
13			1,141
14		Total debits	16,104,650
15		Balance end of year	307,397,511



**SCHEDULE A-22**  
**Account 204 - Proprietary Capital**  
**(Sole Proprietor or Partnership)**

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	
2	CREDITS	
3	Net income for year	
4	Additional investments during year	
5	Other credits (specify)	
6		
7	Total credits	
8	DEBITS	
9	Net loss for year	
10	Withdrawals during year	
11	Other debits (specify)	
12		
13	Total debits	
14	Balance end of year	

**SCHEDULE A-23**  
**Account 205 - Undistributed Profits**  
**of Proprietorship or Partnership**  
(The use of this account is optional)

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	
2	CREDITS	
3	Net income for year	
4	Other credits (specify)	
5		
6	Total credits	
7	DEBITS	
8	Net loss for year	
9	Withdrawals during year	
10	Other debits (specify)	
11		
12	Total debits	
13	Balance end of year	

**SCHEDULE A-24  
Account 210 - Bonds**

Line No.	Class of Bond (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding <sup>1</sup> per Balance Sheet (e)	Rate of Interest (f)	Sinking Fund Added in Current Year (g)	Cost of Issuance (h)	Interest Accrued During Year (i)	Interest Paid During Year (j)
1										
2										
3										
4										
5										
6										
7										
8	Total									

<sup>1</sup> After deduction for amount of reacquired bonds held by or for the respondent.

**SCHEDULE A-24 (a)**  
**CLASS A WATER COMPANIES**  
**SAFE DRINKING WATER BOND ACT DATA**

**Loan #1**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) loan outstanding during the 12-month reporting period. (Use one sheet for each loan.)

1. Current Fiscal Agent:
 

Name:	Wells Fargo Bank NA
Address:	333 Market Street, 18th Floor, MACAO119-181, San Francisco, CA 94105
Phone Number:	415-371-3353
Date Hired:	12/13/04 with amendment signed on 6/28/06
  
2. Total surcharge collected from customers during the 12 month reporting period: \$ 119,311.00
  
3. Summary of the trust bank account activities showing:
 

Balance at beginning of year	\$	136,551.40
Deposits during the year	\$	63,405.74
Withdrawals made for loan payments	\$	199,957.14
Other withdrawals from this account	\$	-
Balance at end of year	\$	(0.00)
  
4. Account information:
 

Bank Name:	Wells Fargo Bank NA
Account Number:	Reserve Fund 16798601 Debt Service Fund 16798600
Date Opened:	
  
5. Plant amounts included in Schedule A-1a, Account No. 101 -- Water Plant in Service which were funded using SDWBA funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		<b>NON-DEPRECIABLE PLANT</b>					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		<b>DEPRECIABLE PLANT</b>					
6	304	Structures					
7	307	Wells					
8	311	Pumping equipment					
9	317	Other water source plant					
10	320	Water treatment plant	2,382,520				2,382,520
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service					

**SCHEDULE A-24 (a)**  
**CLASS A WATER COMPANIES**  
**SAFE DRINKING WATER BOND ACT DATA**

**Loan #2**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) loan outstanding during the 12-month reporting period. (Use one sheet for each loan.)

1. Current Fiscal Agent:
 

Name:	Wells Fargo Bank NA
Address:	333 Market Street, 18th Floor, MACAO119-181, San Francisco, CA 94105
Phone Number:	415-371-3353
Date Hired:	09/01/06 with amendment signed 02/20/07
  
2. Total surcharge collected from customers during the 12 month reporting period: \$ 68,555.00
  
3. Summary of the trust bank account activities showing:
 

Balance at beginning of year	\$	58,582.00
Deposits during the year	\$	37,897.28
Withdrawals made for loan payments	\$	96,479.28
Other withdrawals from this account	\$	
Balance at end of year	\$	-
  
4. Account information:
 

Bank Name:	Wells Fargo Bank NA
Account Number:	Reserve Fund 20868301 Debt Service Fund 20868300
Date Opened:	
  
5. Plant amounts included in Schedule A-1a, Account No. 101 -- Water Plant in Service which were funded using SDWBA funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		<b>NON-DEPRECIABLE PLANT</b>					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		<b>DEPRECIABLE PLANT</b>					
6	304	Structures					
7	307	Wells					
8	311	Pumping equipment					
9	317	Other water source plant					
10	320	Water treatment plant	1,069,265				1,069,265
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service					

**SCHEDULE A-25**  
**Account 212 - Advances from Associated Companies**

Line No.	Nature of Obligation (a)	Amount of Obligation (b)	Rate of Interest (c)	Interest Accrued During Year (d)	Interest Paid During Year (e)
1					
2					
3					
4					
5	Total				

**SCHEDULE A-26**  
**Account 213 - Miscellaneous Long Term Debt**

Line No.	Nature of Obligation (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding <sup>1</sup> Per Balance Sheet (e)	Rate of Interest (f)	Interest Accrued During Year (g)	Interest Paid During Year (h)
1	Series A Senior Notes		07-01-22	20,000,000	20,000,000	8.58%	1,716,000	1,716,000
2	Series B Senior Notes		01-01-24	30,000,000	30,000,000	7.37%	2,211,000	2,211,000
3	Series C Senior Notes		11-01-20	10,000,000	10,000,000	9.45%	945,000	945,000
4	Series D Senior Notes		01-01-26	15,000,000	15,000,000	7.15%	1,072,500	1,072,500
5	Series E Senior Notes		12-01-28	15,000,000	15,000,000	6.81%	1,021,500	1,021,500
6	Series F Senior Notes		09-01-31	20,000,000	20,000,000	7.20%	1,440,000	1,440,000
7	Series G Senior Notes		09-01-33	20,000,000	20,000,000	5.93%	1,186,000	1,186,000
8	Series H Senior Notes		01-01-37	20,000,000	20,000,000	5.71%	1,142,000	1,142,000
9	Series I Senior Notes		12-17-37	20,000,000	20,000,000	5.93%	1,186,000	1,186,000
10	Series J Senior Notes		02-01-24	10,000,000	10,000,000	6.54%	654,000	654,000
11	Series K Senior Notes		05-01-39	20,000,000	20,000,000	6.75%	1,350,000	1,350,000
12	Series L Senior Notes		07-01-44	50,000,000	50,000,000	5.14%	2,570,000	2,570,000
13	PAB		06-01-40	50,000,000	50,000,000	5.10%	2,550,000	2,549,936
14	PAB 2016		11-01-46	70,000,000	70,000,000	4.75%	277,083	-
15								
16								
17								
18	Total			370,000,000	370,000,000		19,321,083	19,043,936

<sup>1</sup> After deduction for amount of reacquired debt held by or for the respondent.

19,321,083

**SCHEDULE A-27**  
**Securities Issued or Assumed During Year**

Line No.	Class of Security (a)	Commission Authorization (b)	Amount Issued During Year (omit cents) (c)	Discount or Premium (d)	Expenses (e)
1					
2					
3					
4					
5	Total				

**SCHEDULE A-28**  
**Account 220 - Notes Payable**

Line No.	In Favor of (a)	Date of Issue (b)	Date of Maturity (c)	Balance End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest Paid During Year (g)
1	SDWSRF 1	6/1/2005	5/1/2025	-	2.39%	11,174	11,174
2	SDWSRF 2	6/1/2008	5/1/2028	-	2.60%	7,543	7,543
3	Line of Credit	6/1/2016	6/1/2021	2,000,000	various	641,437	704,982
4							
5							
6							
7	Total			2,000,000		660,154	723,699

**SCHEDULE A-29**  
**Account 223 - Payables to Associated Companies**

Line No.	Nature of Obligation (a)	Balance End of Year (b)	Rate of Interest (c)	Interest Accrued During Year (d)	Interest Paid During Year (e)
1					
2					
3					
4					
5					
6					
7	Total				

**SCHEDULE A-30**  
**Account 230 - Other Current and Accrued Liabilities**

Line No.	Description (a)	Balance End of Year (b)
1	Salaries & Wages	3,922,757
2	Pump Taxes	4,796,117
3	Audit & Legal Fees	113,712
4	Purchased Water	5,437,527
5	Utilities user tax - San Jose	979,818
6	Cost Order advance payments	351,340
7	Unidentified customer overpayments	38
8	Other current and accrued liabilities	914,514
9	Power accrual	562,000
10	P.U.C. surcharge	1,067,335
11	Retirement Plan Employer	74,663,863
12		
13		
14		
15		
16	Total	92,809,021

**SCHEDULE A-31**  
**Account 228 - Accrued and Prepaid Taxes**

1. This schedule is intended to give particulars of the combined prepaid and accrued tax accounts and to show the total taxes charged to operations and other accounts during the year. Do not include gasoline and other sales taxes which have been charged to the accounts to which the material on which the tax was levied and charged.
2. Taxes, paid during the year and charged direct to final accounts, that is, not charged to prepaid or accrued taxes, should be included in the schedule. Enter the amounts both in columns (d) and (e). The balancing of the schedule is not affected by the inclusion of these taxes.
3. Taxes charged during the year, column (d), include taxes charged to operations and other accounts through (a) accruals credited to taxes accrued, (b) amounts credited to prepaid taxes for proportions of prepaid taxes chargeable to current year, and (c) taxes paid and charged direct to operations or accounts other than accrued and prepaid tax accounts.
4. If any tax covers more than 1 year, the required information of all columns should be shown separately for each year.
5. Enter all adjustments of the accrued and prepaid tax accounts in column (f) and explain each adjustment. Designate debit adjustments by parentheses.
6. See schedule entitled "TAXES CHARGED DURING YEAR," page 32, for a distribution of taxes charged, column (d) according to utility departments and accounts.
7. Do not include in this schedule entries with respect to deferred income taxes or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.

Line No.	Kind of Tax (See Instruction 5)	BALANCE BEGINNING OF YEAR			Taxes Charged During Year (d)	Paid During Year (e)	Adjustments (f)	BALANCE END OF YEAR	
		Taxes Accrued (b)	Prepaid Taxes (c)	Taxes Accrued (Account 228) (g)				Prepaid Taxes (Incl. in Acct. 132) (h)	
1	Taxes on real and personal property	-	-	7,592,258	7,604,183	(11,925)	-	-	
2	State corporation franchise tax (1)	(2,320,436)	-	3,932,144	6,604,056	(947,470)	(4,044,878)	-	
3	State unemployment insurance tax	2,886	-	96,501	99,306		81	-	
4	Other state and local taxes	583,453	(13,334)	755,088	687,211	(1)	651,331	(13,334)	
5	Federal unemployment insurance tax	524		18,079	18,588		15		
6	Fed. ins. constr. act (old age retire.)	269		2,715,939	2,674,119	45,366	(3,297)		
7	Other federal taxes								
8	Federal income taxes (1)	(9,141,236)		22,217,985	18,847,457	1,170,285	(6,940,993)		
9	Local franchise tax- Cupertino Lease	98,354		103,894	99,598		102,650		
10	Fed. income taxes - Cupertino Lease (1)	(1,007,198)		852,330	984,539	(1,222,674)	83,267		
11	State corporation franchise tax- Cupertino Lease (1)	408,692		236,150	314,725	(22,825)	352,942		
12	Property tax - Cupertino Lease	0		66,303	66,303		0		
13	Payroll tax - Cupertino Lease	-		23,417	23,417		-		
14	Total	(11,374,692)	(13,334)	38,610,092	38,023,502	(989,224)	(9,798,878)	(13,334)	

(1) Adjustment related to ITC amortization, deferred income tax, tax on CIAC & Advances, stock option grant excise & similar instruments, FIN 48 adjustments and prior year tax refund.

**SCHEDULE A-32**  
**Account 241 - Advances for Construction**

Line No.	Description (a)	Amount (b)	Amount (c)
1	Balance beginning of year		72,104,059
2	Additions during year		13,566,315
3	Subtotal - Beginning balance plus additions during year		85,670,374
4	Charges during year		
5	<b>Refunds</b>		
6	Percentage of revenue basis	0	
7	Proportionate cost basis 40 year	2,522,214	
8	Present worth basis		
9	Total refunds		2,522,214
10	<b>Transfers to Acct 265 - Contributions in Aid of Construction</b>		
11	Contribution in Aid of Construction	3,774,254	
12	Reserve for depreciation - salvage	147,473	
13	Construction Work in Progress	-	
14	Total transfers to Acct. 265		3,921,727
15	<b>Securities Exchanged for Contracts (enter detail below)</b>		
16	Subtotal - Charges during year		
17	Balance end of year		79,226,433

If stock, bonds, etc., were issued in exchange for construction advance contracts give details below:

Line No.	Type of Security or Other Consideration (Other than Cash) (a)	Dividend or Interest Rate (b)	PUC Decision Number (c)	Amount Issued (d)
18	Common stock			
19	Preferred stock			
20	Bonds			
21	Other (describe)			
22				
23				



**SCHEDULE A-33**  
**Account 242 - Other Deferred Credits**

Line No.	Item (a)	Balance End of Year (b)
1	Deferred Tax	217,730,844
2	Investment Tax Credit	1,194,600
3	Deferred Revenue	2,533,379
4	Regulatory Liability - Income Taxes	4,752,191
5	LT Tax Uncertainty Payable	1,131,667
6	Regulatory Liability - Asset Retirement Obligation	1,965,879
7	Drought Surcharges	7,688,270
8		
9	Total	236,996,830

**SCHEDULE A-34**  
**Accounts 254 to 258, Inclusive - Miscellaneous Reserves**

Line No.	Account (a)	Balance Beginning of Year (b)	DEBITS		CREDITS		Balance End of Year (g)
			Nature of Items (c)	Amount (d)	Account Charged (e)	Amount (f)	
1	254	190,000	Bad debt write off	635,094	775	467,090	
2					125	168,004	190,000
3	256	253,222	Inj. & damage	2,153,454	794	3,995,939	2,095,707
4	257	168,552	Post Retir. Contr.	109,147	795	104,433	163,838
5	258				131		
6	259	2,343,414	Reg Asset WCMA	2,354,460.00		0	(11,046)
7	Total	2,955,188		5,252,155		4,735,466	2,438,499

## SCHEDULE A-35

### Account 265 - Contributions in Aid of Construction

**Instructions for Preparation of Schedule of Contributions in Aid of Construction:**

<sup>1</sup> The credit balance in the account other than that portion of the balance relating to nondepreciable property (column d), to property retired prior to January 1, 1955 (column e), and to the estimated amount of depreciation accrued to January 1, 1955 (column f), shall be written off through charges to this account and credits to Account 250 - Reserve for Depreciation of Utility Plant over a period equal to the estimated service life of the property involved. Upon retirement of depreciable property for which a depreciation reserve has been created through charges to this account, the cost thereof shall be credited to the appropriate plant account and concurrently charged to Account 250. If the property is retired prior to the service life originally estimated, the balance in this account with respect to the retired property shall be transferred to the depreciation reserve account.

<sup>2</sup> That portion of the balance applicable to nondepreciable property shall remain unchanged until the property is sold or otherwise retired. Upon retirement, the cost of such nondepreciable property acquired by donation or through use of donated funds shall be credited to the appropriate plant account and charged to this account to clear the credit balance carried herein.

<sup>3</sup> That portion of the balance representing donations on property retired prior to January 1, 1955 (column e), and the amount of depreciation accrued to January 1, 1955, on property in service (column f), shall not be transferred from this account or otherwise disposed of without first receiving written authorization from the Commission.

Line No.	Item (a)	Total All Columns (b)	Subject to Amortization		Not Subject to Amortization	
			Property in Service After Dec. 31, 1954		Property Retired Before Jan. 1, 1955 (e)	Depreciation Accrued through Dec. 31, 1954 on Property in Service at Dec. 31, 1954 (f)
			Depreciable (c)	Non-Depreciable (d)		
1	Balance beginning of year	116,304,770	116,286,113	18,657		
2	Add: Credits to account during year					
3	Contributions received during year	5,550,735	5,550,735			
4	Other credits*	0	0			
5	Total credits	5,550,735	5,550,735			
6	Deduct: Debits to account during year					
7	Depreciation charges for year	4,231,855	4,231,855			
8	Nondepreciable donated property retired					
9	Other debits*					
10	Total debits	4,231,854.87	4,231,855			
11	Balance end of year	117,623,650	117,604,993	18,657		

\*Indicate nature of these items and show the accounts affected by the contra entries.

**SCHEDULE B-1**  
**Account 501 - Operating Revenues**

Line No.	Acct.	ACCOUNT (a)	Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
1		<b>I. WATER SERVICE REVENUES</b>			
2	601	Metered sales to general customers			
3		601.1 Commercial sales	282,665,601	246,560,722	36,104,879
4		601.2 Industrial sales	1,200,098	1,034,275	165,823
5		601.3 Sales to public authorities	14,408,683	12,082,449	2,326,234
6		Sub-total	298,274,382	259,677,446	38,596,936
7	602	Unmetered sales to general customers			
8		602.1 Commercial sales			
9		602.2 Industrial sales			
10		602.3 Sales to public authorities			
11		Sub-total			
12	603	Sales to irrigation customers			
13		603.1 Metered sales			
14		603.2 Unmetered sales			
15		Sub-total			
16	604	Private fire protection service	3,243,358	3,058,281	185,077
17	605	Public fire protection service			
18	606	Sales to other water utilities for resale	1,084,799	1,032,718	52,081
19	607	Sales to governmental agencies by contracts			
20	608	Interdepartmental sales			
21	609	Other sales or service	4,150,102	3,472,348	677,754
22		Sub-total	8,478,259	7,563,347	914,912
23		Total water service revenues	306,752,641	267,240,793	39,511,848
24		<b>II. OTHER WATER REVENUES</b>			
25	611	Miscellaneous service revenues	149,010	190,087	(41,077)
26	612	Rent from water property	-	-	-
27	613	Interdepartmental rents			
28	614	Other water revenues Balancing/Memo Accts	4,738,978	10,642,616	(5,903,638)
29		Total other water revenues	4,887,988	10,832,703	(5,944,715)
30	501	Total operating revenues	311,640,629	278,073,496	33,567,133

**SCHEDULE B-1a**  
**Operating Revenues Apportioned to Cities and Towns**

Line No.	Location (a)	Operating Revenues (b)
31	Operations not within incorporated cities <sup>1</sup>	
32	County of Santa Clara	17,196,974
33		
34		
35	Operations within incorporated territory	
36	City or town of San Jose	234,311,366
37	City or town of Campbell	15,124,905
38	City or town of Cupertino	8,168,866
39	City or town of Los Gatos	16,921,164
40	City or town of Monte Sereno	2,388,346
41	City or town of Saratoga	17,524,282
42	City or town of Santa Clara	4,726
43		
44		311,640,629

<sup>1</sup> Should be segregated to operating districts.

**SCHEDULE B-2**

**Account 502 - Operating Expenses - For Class A, B, and C Water Utilities**

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
			A	B	C			
1		<b>I. SOURCE OF SUPPLY EXPENSE</b>						
2		<b>Operation</b>						
3	701	Operation supervision and engineering	A	B		35,291	78,853	(43,562)
4	701	Operation supervision, labor and expenses			C			
5	702	Operation labor and expenses	A	B		574,015	334,936	239,079
6	703	Miscellaneous expenses	A			831,167	671,562	159,605
7	704	Purchased water	A	B	C	69,919,784	57,965,201	11,954,583
8		<b>Maintenance</b>						
9	706	Maintenance supervision and engineering	A	B		-	-	-
10	706	Maintenance of structures and facilities			C			
11	707	Maintenance of structures and improvements	A	B		67,890	66,200	1,690
12	708	Maintenance of collect and impound reservoirs	A			22,806	6,745	16,061
13	708	Maintenance of source of supply facilities		B				
14	709	Maintenance of lake, river and other intakes	A			16,184	17,404	(1,220)
15	710	Maintenance of springs and tunnels	A					
16	711	Maintenance of wells	A			10,482	33,247	(22,765)
17	712	Maintenance of supply mains	A			-	403	(403)
18	713	Maintenance of other source of supply plant	A	B				
19		Total source of supply expense				71,477,619	59,174,551	12,303,068
20		<b>II. PUMPING EXPENSES</b>						
21		<b>Operation</b>						
22	721	Operation supervision and engineering	A	B		540,517	557,005	(16,488)
23	721	Operation supervision labor and expense			C			
24	722	Power production labor and expense	A					
25	722	Power production labor, expenses and fuel		B				
26	723	Fuel for power production	A					
27	724	Pumping labor and expenses	A	B		1,195,250	1,370,732	(175,482)
28	725	Miscellaneous expenses	A			1,251,924	1,114,879	137,045
29	726	Fuel or power purchased for pumping	A	B	C	5,316,925	5,398,080	(81,155)
29	727	Ground water extraction charge	A	B	C	31,454,496	31,068,561	385,935
30		<b>Maintenance</b>						
31	729	Maintenance supervision and engineering	A	B		75,010	93,960	(18,950)
32	729	Maintenance of structures and equipment			C			
33	730	Maintenance of structures and improvements	A	B		372,486	217,059	155,427
34	731	Maintenance of power production equipment	A	B				
35	732	Maintenance of pumping equipment	A	B		1,095,778	949,088	146,690
36	733	Maintenance of other pumping plant	A	B		14,053	6,097	7,956
38		Total pumping expenses				41,316,439	40,775,461	540,978

**SCHEDULE B-2**

**Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)**

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
			A	B	C			
39		<b>III. WATER TREATMENT EXPENSES</b>						
40		<b>Operation</b>						
41	741	Operation supervision and engineering	A	B		946,379	639,817	306,562
42	741	Operation supervision, labor and expenses			C			
43	742	Operation labor and expenses	A			1,283,782	1,037,641	246,141
44	743	Miscellaneous expenses	A	B		1,120,629	1,276,435	(155,806)
45	744	Chemicals and filtering materials	A	B		283,721	284,114	(393)
46		<b>Maintenance</b>						
47	746	Maintenance supervision and engineering	A	B		-	128	(128)
48	746	Maintenance of structures and equipment			C	8,839	18,565	(9,726)
49	747	Maintenance of structures and improvements	A	B				
50	748	Maintenance of water treatment equipment	A	B		165,394	95,876	69,518
51		Total water treatment expenses				3,808,744	3,352,576	456,168
52		<b>IV. TRANS. AND DIST. EXPENSES</b>						
53		<b>Operation</b>						
54	751	Operation supervision and engineering	A	B		335,688	354,248	(18,560)
55	751	Operation supervision, labor and expenses			C			
56	752	Storage facilities expenses	A			15,678	65,144	(49,466)
57	752	Operation labor and expenses		B				
58	753	Transmission and distribution lines expenses	A			786,367	918,529	(132,162)
59	754	Meter expenses	A			-	2,385	(2,385)
60	755	Customer installations expenses	A					
61	756	Miscellaneous expenses	A			2,585,207	2,364,686	220,521
62		<b>Maintenance</b>						
63	758	Maintenance supervision and engineering	A	B		805,182	897,123	(91,941)
64	758	Maintenance of structures and plant			C			
65	759	Maintenance of structures and improvements	A	B		393,161	352,038	41,123
66	760	Maintenance of reservoirs and tanks	A	B		35,739	57,417	(21,678)
67	761	Maintenance of trans. and distribution mains	A			4,491,527	4,172,324	319,203
68	761	Maintenance of mains		B				
69	762	Maintenance of fire mains	A					
70	763	Maintenance of services	A			1,356,659	1,576,883	(220,224)
71	763	Maintenance of other trans. and distribution plant		B				
72	764	Maintenance of meters	A			764,662	741,414	23,248
73	765	Maintenance of hydrants	A			448,647	400,102	48,545
74	766	Maintenance of miscellaneous plant	A			6,141,727	4,066,788	2,074,939
75		<b>Total transmission and distribution expenses</b>				18,160,244	15,969,081	2,191,163

**SCHEDULE B-2**

**Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)**

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
			A	B	C			
75		<b>V. CUSTOMER ACCOUNT EXPENSES</b>						
76		<b>Operation</b>						
77	771	Supervision	A	B		256,045	247,642	8,403
78	771	Superv., meter read., other customer acct expenses			C			
79	772	Meter reading expenses	A	B		1,159,333	1,115,744	43,589
80	773	Customer records and collection expenses	A			4,820,604	4,433,999	386,605
81	773	Customer records and accounts expenses		B				
82	774	Miscellaneous customer accounts expenses	A			1,336,948	942,915	394,033
83	775	Uncollectible accounts	A	B	C	467,090	369,671	97,419
84		Total customer account expenses				8,040,020	7,109,971	930,049
85		<b>VI. SALES EXPENSES</b>						
86		<b>Operation</b>						
87	781	Supervision	A	B				
88	781	Sales expenses			C			
89	782	Demonstrating and selling expenses	A					
90	783	Advertising expenses	A					
91	784	Miscellaneous sales expenses	A					
92	785	Merchandising, jobbing and contract work	A					
93		Total sales expenses				-	-	
94		<b>VII. ADMIN. AND GENERAL EXPENSES</b>						
95		<b>Operation</b>						
96	791	Administrative and general salaries	A	B	C	9,490,334	8,976,928	513,406
97	792	Office supplies and other expenses	A	B	C	1,645,361	1,374,097	271,264
98	793	Property insurance	A			1,434,248	1,297,978	136,270
99	793	Property insurance, injuries and damages		B	C			
100	794	Injuries and damages	A					
101	795	Employees' pensions and benefits	A	B	C	17,151,920	17,815,934	(664,014)
102	796	Franchise requirements	A	B	C			
103	797	Regulatory commission expenses	A	B	C	3,806,802	4,604,997	(798,195)
104	798	Outside services employed	A			2,677,283	2,089,420	587,863
105	798	Miscellaneous other general expenses		B				
106	798	Miscellaneous other general operation expenses			C			
107	799	Miscellaneous general expenses	A			2,958,865	2,733,349	225,516
108		<b>Maintenance</b>						
109	805	Maintenance of general plant	A	B	C	1,567,484	1,327,006	240,478
110		Total administrative and general expenses				40732297	40,219,709	512,588
111		<b>VIII. MISCELLANEOUS</b>						
112	811	Rents	A	B	C	484,288	485,038	(750)
113	812	Administrative expenses transferred - Cr.	A	B	C	(7,418,835)	(7,863,092)	444,257
114	813	Duplicate charges - Cr.	A	B	C	-	-	-
115		Total miscellaneous				(6,934,547)	(7,378,054)	443,507
116		<b>Total operating expenses</b>				176,600,816	159,223,295	17,377,521

**SCHEDULE B-3  
Account 506 - Property Losses Chargeable to Operations**

Line No.	Description (a)	Amount
1		
2		
3		
4		
5		
6		
7		
8	Total	

**SCHEDULE B-4  
Account 507 - Taxes Charged During Year**

- This schedule is intended to give the account distribution of total taxes charged to operations and other final accounts during the year.
- Do not include gasoline and other sales taxes which have been charged to accounts to which the material on which the tax was levied was charged. If the actual or estimated amounts of such taxes are known, they should be shown as a footnote and designated whether estimated or actual amounts.
- The accounts to which taxes charged were distributed should be shown in columns (c) to (f). Show both the utility department and number of account charged except for taxes capitalized.
- For any tax which it was necessary to apportion to more than one utility department or account, state in a footnote the basis of apportioning such tax.
- The total taxes charged as shown in column (b) should agree with the amounts shown by column (d) of schedule entitled "Accrued and Prepaid Taxes," page 34.
- Do not include in this schedule entries with respect to deferred income taxes, or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.

Line No.	Kind of Tax (a)	DISTRIBUTION OF TAXES CHARGED					Capitalized (Omit Account) (f)	Other (Account 526) (g)
		Total Taxes Charged During Year (b)	Water (Account 507) (c)	Nonutility (Account 521) (d)	Other (Account ----) (e)	Other (Account 526) (g)		
14	Taxes on real and personal property	7,592,258	7,295,667	296,591	-	-	-	
15	State corp. franchise tax	3,932,144	3,932,144	-	-	-	-	
16	State unemployment insurance tax	96,501	81,151	-	1,503	12,312	1,535	
17	Other state and local taxes	755,088	755,088	-	-	-	-	
18	Federal unemployment insurance tax	18,079	15,236	-	278	2,281	284	
19	Federal insurance contributions act	2,715,939	2,329,347	-	37,857	310,076	38,659	
20	Other federal taxes	-	-	-	-	-	-	
21	Federal income tax	22,217,987	22,217,987	-	-	-	-	
22	Franchise tax - Cupertino Lease	103,894	-	-	-	-	103,894	
23	Federal income tax - Cupertino Lease	852,330	-	-	-	-	852,330	
24	State corp. franchise tax - Cupertino Lease	236,150	-	-	-	-	236,150	
25	Property tax - Cupertino Lease	66,303	-	-	-	-	66,303	
26	Payroll tax - Cupertino Lease	23,417	-	-	-	-	23,417	
27	Total	38,610,090	36,626,620	296,591	39,638	324,669	1,322,572	

**SCHEDULE B-5**  
**Reconciliation of Reported Net Income With Taxable Income for Federal Taxes**

1. Report hereunder a reconciliation of reported net income for the year with taxable income used in computing Federal income tax accruals and show computation of such tax accruals. The reconciliation shall be submitted even though there is no taxable income for the year. Descriptions should clearly indicate the nature of each reconciling amount.
2. If the utility is a member of a group which files a consolidated Federal tax return, reconcile reported net income with taxable net income as if a separate return were to be filed, indicating, however, inter-company amounts to be eliminated in such consolidated return. State names of group members, tax assigned to each group member, and basis of allocation, assignment, or sharing of the consolidated tax among the group members.
3. Show taxable year if other than calendar year from-----to-----.

Line No.	Particulars (a)	Amount (b)
1	Net income for the year per Schedule B, page 4.....	43,960,272
	Reconciling amounts (list first additional income and unallowable deductions, followed by additional deductions and non-taxable income):	
	Add:	
2	Federal Income Tax	23,070,317
3	Provision for doubtful accounts	189,686
4	Dues	127,874
5	Non-deductible retirement expenses	9,299,195
6	Non-deductible equity compensation expense	1,221,666
7	California Franchise Tax current year, per books	4,168,295
8	Non-deductible meals, entertainment & travel	116,408
9	Taxable contributions & advances	2,798,900
10	Amortization of debt reacquisition cost per books	267,039
11	Book Depreciation in Excess of Federal	0
12	Non-deductible and In-Kind deductible contributions	14,800
13	481(a) Adjustment - Lease Amortization	663,000
14	Executive Deferred Compensation Accrual	95,101
15	Uninsured losses accrued	2,095,706
16	Non-deductible ESPP expense	114,716
17	Amortization of lease concession fee	272,000
18	Penalties	22,240
19	Asset Write off Reserve	1,243,363
20	Deferred Revenue - Temporary Easement	965,438
	Deduct:	
21	Uninsured losses paid	253,221
22	Bad Debts written off	136,923
23	Contribution to Pension Plan & Pension Payments	9,470,974
24	California Franchise Tax prior year	3,715,797
25	Loss on dispositions (ACRS/MACRS property)	5,914,654
26	2016 Repairs & Maintenance Deduction	20,611,076
27	Def. revenue	351,295
28	Deductible equity compensation expense	1,679,635
29	Amortization of lease concession fee per tax	272,000
30	Cost of retirement in excess of retirement proceeds	358,330
31	Reserve for Memorandum Accounts	431,122
32	Amortization of debt reacquisition cost per tax	145,377
33	Federal Depreciation in Excess of Book	4,372,556
34	Section 199 Deduction	1,717,336
35	Federal tax net Income/(loss).....	41,273,719
	Computation of tax:	
36	Tax at 35%	14,445,802
37	Tax per return (1)	14,445,802

Group members included in consolidated Federal tax return:

Name	2016 Tax
SJW Group (1)	304,975
SJW Land Company (1)	5,799,494
SJWTX, Inc (1)	1,577,279
Texas Water Alliance Limited (1)	(309,732)

Tax allocated to each group member based on separate company taxable income.

(1) Estimate only - tax returns are on extension and not yet completed.



<b>SCHEDULE B-6</b>				
<b>Account 521 - Income from Nonutility Operations</b>				
Line No.	Description (a)	Revenue (b)	Expenses (c)	Net Income (d)
1				
2				
3				
4				
5	Total			

<b>SCHEDULE B-7</b>		
<b>Account 526 - Miscellaneous Nonoperating Revenue</b>		
Line No.	Description (a)	Amount (b)
1	Lease telecommunication	1,080,880
2	Amort. Def. Rev. for CIAC 1987-2000	351,295
3	Sale of non-utility property	123,904
4	Lease Cupertino Water System	1,957,417
5	Maintenance Contract for City of San Jose	503,878
6	Miscellaneous	787,497
7		
8		
9		
	Total	4,804,871

<b>SCHEDULE B-8</b>		
<b>Account 527 - Nonoperating Revenue Deductions (Dr.)</b>		
Line No.	Description	Amount (b)
1	Miscellaneous	25,444
2		
3		
4		
5		
	Total	

<b>SCHEDULE B-9</b>		
<b>Account 535 - Other Interest Charges</b>		
Line No.	Description (a)	Amount (b)
1		
2	Line of Credit	634,650
3	CA Franchise Tax interest	1,564
4	United States Treasury	60
	Total	636,274

<b>SCHEDULE B-10</b>		
<b>Account 538 - Miscellaneous Income Deductions</b>		
Line No.	Description (a)	Amount (b)
1		
2		
3	See Attached	363,906
4		
5		

**B-10 Attachment**

12/31/2016

<b>Name of Organization</b>	<b>Total</b>
ACT FOR MENTAL HEALTH, INC	1,000
ALEARN	2,500
ASIAN AMERICANS	1,500
BAYWORK	6,105
BILL WILSON CENTER	350
BOOKS ALOUD INC	1,000
BOYS & GIRLS CLUB OF SILICON V	2,500
CAMPBELL UNION SCHOOL DISTRICT	1,500
CAMPUS COMMUNITY ASSOCIATION	2,000
CANCER CAREPOINT	2,500
CHAMBERPAC	5,500
CHILD ADVOCATES OF SILICON VAL	2,500
CHRISTMAS IN THE PARK	2,500
CHRISTMAS IN THE PARK	500
CITY OF SAN JOSE	1,000
COUNTY OF SANTA CLARA	1,000
CUPERTINO CHAMBER OF COMMERCE	2,650
CUPERTINO CHAMBER PAC	1,000
CUPERTINO TOYOKAWA SISTER CITI	500
FAMILY SUPPORTIVE HOUSING INC	5,000
FOOTHILL-DE ANZA COLLEGES FOUN	1,250
FOOTHILL-DEANZA COMMUNITY COLL	1,000
GUADALUPE RIVER PARK CONSERVAN	160,000
HACIENDA ENV'L SCIENCE MAGNET	500
HAPPY HOLLOW FOUNDATION	7,500
HISTORY SAN JOSE	500
HOMEFIRST SCC	2,500
JUNIOR ACHIEVEMENT OF NORTHERN	2,500
LIFE MOVES	1,500
LOAVES & FISHES	1,523
LUCILE PACKARD CHILDREN'S HOSPITAL	1,000
MAKE-A-WISH GREATER BAY AREA	500
MARINE SCIENCE INSTITUTE	2,500
MARTHA'S KITCHEN	15,000
MORRIS ANIMAL FOUNDATION	250
MY NEW RED SHOES	1,000
NEIGHBORS FOR A SAFER SAN JOSE	1,000
PARTI PROGRAM	500
REBUILDING TOGETHER SILICON VA	10,000
RED-WHITE AND BLUE CHARITY	1,000
ROTARY CLUB OF CUPERTINO	1,750
ROTARY CLUB OF SAN JOSE	3,000
SAN JOSE CHAMBER OF COMMERCE	2,900
SAN JOSE CONSERV CORP AND CHAR	2,500
SAN JOSE DAY NURSERY	2,500
SAN JOSE DOWNTOWN FOUNDATION	2,500
SAN JOSE MUSEUM OF ART	2,500
SAN JOSE MUSICAL THEATER	1,000
SAN JOSE SPORTS AUTHORITY	2,500
SAN JOSE STAGE CO	1,500
SAN JOSE STATE UNIVERSITY	2,000
SAN JOSEANS FOR JOBS	1,000
SANTA CLARA CO CONSTRUCTION CA	500
SANTA CLARA COUNTY COMMISSION	450
SANTA CLARA COUNTY FIRESAFE CO	5,000
SANTA CLARA UNIVERSITY	802
SANTA VISITS ALVISO	500
SCBTC PAC	1,500
SCHOOL OF ARTS AND CULTURE	2,500
SHRINERS INTERNATIONAL	100
SILICON VALLEY BLACK CHAMBER	2,500
SILICON VALLEY CHILDREN'S FUND	1,000
SILICON VALLEY COUNCIL OF NONP	2,500
SILICON VALLEY CTR FOR ENTREPR	2,500
SILICON VALLEY LEADERSHIP GROUP	14,276
SILICON VALLEY MONTEREY BAY CO	1,800
SILICON VALLEY MONTEREY BAY CO	1,800
SJWC EMPLOYEES COMMUNITY	10,200
STROKE AWARENESS FOUNDATION	1,000
SUSTAINABLE SILICON VALLEY	700
TECH MUSEUM OF INNOVATION	1,000
TEEN CHALLENGE	1,000
THE HEALTH TRUST	1,500
THE TABARD THEATRE COMPANY	2,500
THE VMC FOUNDATION	1,000
TOWER FOUNDATION	13,000
UNITY CARE GROUP INC	1,500
WASHINGTON ELEMENTARY SCHOOL P	500
WEST VALLEY CHARITABLE FOUNDAT P	2,000
WEST VALLEY COMMUNITY SERVICES	2,500
YMCA OF SILICON VALLEY	7,500
<b>GRAND TOTAL</b>	<b>\$ 363,906</b>

**SCHEDULE C-1**

**Engineering and Management Fees and Expenses, etc., During Year**

Line No.	Give the required particulars of all contracts or other agreements in effect in the course of the year between the respondent and any corporation, association, partnership or person covering supervision and/or management of any department of the respondents affairs such as accounting, engineering, financing, construction or operation, and show the payments under such agreements and also the payments for advice and services to a corporation or corporations which directly or indirectly control respondent through stock ownership
1	Did the respondent have a contract or other agreement with any organization or person covering supervision and/or management of its own affairs during the year? <b>Answer:</b> Yes _____                                      No <u>XX</u> _____
2	Name of each organization or person that was a party to such a contract or agreement
3	Date of original contract or agreement. _____
4	Date of each supplement or agreement. _____ <small>Note: File with the report a copy of every contract, agreement, supplement or amendment mentioned above unless a copy of the instrument in due form has been furnished in which case a definite reference to the report of the respondent relative to which it was furnished will suffice.</small>
5	Amount of compensation paid during the year for supervision or management: _____
6	To whom paid: _____
7	Nature of payment (salary, traveling expenses, etc.): _____
8	Amounts paid for each class of service: _____ \$ _____
9	Base for determination of such amounts _____
10	Distribution of payments: (a) Charged to operating expenses _____ \$ _____ (b) Charged to capital accounts _____ \$ _____ (c) Charged to other accounts _____ \$ _____ Total _____ \$ _____
11	Distribution of charges to operating expenses by primary accounts. Number and Title of Account _____ _____ _____ Total _____
12	What relationship, if any, exists between respondent and supervisory and/or managing concerns? _____ _____ _____

SCHEDULE C-2 Compensation of Individual Proprietor or Partner			
Do operating expenses include any charge for owner's compensation in the form of salary or wages, rental allowances, or for the use of a private automobile? (If the word "none" truly states the fact it should be given as the answer to this inquiry.)			
Line No.	Nature of Allowance (a)	Account Charged (b)	Amount (c)
1			
2			
3			
4			
5			
6	Total		

SCHEDULE C-3 Employees and Their Compensation (Charged to Account 502 - Operating Expenses - Schedule B-2)			
Line No.	Classification (a)	Number at End of Year (b)	Total Salaries and Wages Paid During Year (c)
1	Employees - Source of supply	9	887,512
2	Employees - Pumping	22	2,217,106
3	Employees - Water treatment	23	2,226,647
4	Employees - Transmission and distribution	118	9,917,380
5	Employees - Customer account	53	3,949,784
6	Employees - Sales		
7	Employees - Administrative		
8	General officers	10	6,332,841
9	General office	44	3,425,953
10	Total	279	28,959,223

SCHEDULE C-4 Record of Accidents During Year											
Line No.	Date of Accident (a)	TO PERSONS				Date of Accident (g)	TO PROPERTY				
		Employees on Duty (b)	Injured (c)	Public <sup>1</sup> Killed (d)	Injured (e)		Total Number (f)	Company Number (h)	Amount (i)	Other Number (j)	Amount (k)
1	1/12/2016		1			1	1/7/2016	1	\$2,343.25		\$ -
2	2/4/2016		1			1	1/8/2016	1	\$121.75		\$ -
3	2/11/2016		1			1	1/12/2016	1	\$1,328.75		\$ -
4	2/24/2016		1			1	2/9/2016	1	\$1,171.31		\$ -
5	3/2/2016		1			1	2/11/2016	1	\$6,934.64		\$ -
6	3/15/2016		1			1	2/16/2016	1	\$436.64		\$ -
7	3/24/2016		2			2	2/16/2016	1	\$452.36		\$ -
8	4/6/2016		2			2	2/19/2016	1	\$836.23		\$ -
9	4/28/2016		1			1	2/25/2016			1	\$ 1,140
10	6/20/2016		1			1	3/1/2016	1	\$125.00		\$ -
11	6/27/2016		1			1	3/6/2016	1	\$1,076.05		\$ -
12	7/14/2016		1			1	3/11/2016	1	\$185.00		\$ -
13	7/25/2016		1			1	3/16/2016	1	\$2,352.85		\$ -
14	7/28/2016		1			1	3/22/2016	1	\$432.88		\$ -
15	8/8/2016		1			1	3/24/2016	1	\$448.16		\$ -
16	8/30/2016		1			1	3/31/2016	1	\$827.75		\$ -
17	9/4/2016		1			1	4/9/2016	1	\$662.72		\$ -
18	9/6/2016		1			1	4/22/2016			1	\$ 3,686
19	9/8/2016		1			1	5/3/2016			1	\$ 2,233
20	10/4/2016		1			1	5/9/2016	1	\$1,404.32		\$ -
21	10/20/2016		1			1	5/16/2016	1	\$6,870.67		\$ -
22	10/26/2016		1			1	5/16/2016	1	\$11,281.33	1	\$ 8,442
23	11/30/2016		1			1	5/23/2016	1	\$1,227.63		\$ -
24	12/9/2016		1			1	6/2/2016	1	\$2,343.71		\$ -
25	12/14/2016		1			1	6/3/2016	1	\$702.97		\$ -
26							6/16/2016			1	\$ 1,792
27							6/20/2016	1	\$227.25		\$ -
28							6/23/2016	1	\$359.41		\$ -
29							6/24/2016	1	\$30,469.61		\$ -
30							6/27/2016			1	\$ 1,696
31							7/1/2016	1	\$80.69		\$ -
32							8/1/2016	1	\$57.82		\$ -
33							8/2/2016	1	\$823.06		\$ -
34							9/1/2016	1	\$837.34		\$ -
35							9/2/2016	1	\$125.00		\$ -
36							9/9/2016	1	\$763.99		\$ -
37							9/19/2016			1	\$ 681
38							9/28/2016			1	\$ 861
39							10/6/2016			1	\$ 579
40							10/13/2016	1	\$367.91		\$ -
41							10/14/2016	1	\$3,173.10		\$ 2,500
42							10/25/2016	1	\$446.16		\$ -
43							11/2/2016	1	\$2,768.32		\$ -
44							11/9/2016	1	\$6,615.16		\$ -
45							11/18/2016	1	\$844.81		\$ -
46							12/6/2016	1	\$648.22		\$ -
47							12/12/2016	1	\$3,190.86		\$ -
48											\$ -
49											\$ -
50											\$ -
51											\$ -
52											\$ -
53											\$ -
54											\$ -
55											\$ -
56											\$ -
Totals		0	27	0	0	27		39	\$95,362.68	9	\$23,608.62

**SCHEDULE C-5  
Expenditures for Political Purposes**

Hereunder report all direct or indirect expenditures incurred or made to elect or defeat a candidate for public office; or to place any measure on the ballot; at any election, or to keep it from being placed on the ballot at any election, or to support or defeat any measure on the ballot; at an election, or to support or defeat any proposed legislation, also name the account or accounts to which the expenditures herein mentioned have been charged. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1	EVAN LOW FOR ASSEMBLY 2016	1,250.00
2	DEV DAVIS 2016 - D6 CITY COUN	600.00
3	ERIK FONG FOR CITY COUNCIL, DI	600.00
4	NEIGHBORHD RES FOR DENISE BELI	600.00
5	ELIAS PORTALES FOR CITY COUNCI	600.00
6	JOE LOPEZ FOR SJ CITY COUNCIL	600.00
7	PAT WAITE FOR CITY COUNCIL, 20	600.00
8	STEVE K. BROWN FOR CITY COUNCI	600.00
9	VOTE JIMMY NGUYEN FOR CITY COU	600.00
10	JIM BEALL FOR SENATE 2016	500.00
11	CHRIS ROTH FOR SAN JOSE CITY C	600.00
12	PETER ALLEN FOR SAN JOSE CITY	600.00
13	NORA CAMPOS FOR SENATE 2016	500.00
14	WATERMAN FOR CITY COUNCIL 2016	500.00
15	DEV DAVIS 2016 - D6 CITY COUN	600.00
16	STEVE BROWN FOR CITY COUNCIL	600.00
17	GILBERT WONG FOR FOOTHILL-DE A	750.00
18	VOTE JIMMY NGUYEN FOR CITY COU	600.00
19	LARRY KLEIN FOR COUNCIL SPECIA	500.00
20	MARGARET ABE-KOGA MV CITY COUN	500.00
21	MADISON NGUYEN FOR CA ST ASSEM	1,000.00
22	DAVID FUNG FOR CITY COUNCIL 20	500.00
23	JERRY LIU FOR CITY COUNCIL 201	500.00
24	ROSEMARY KAMEI FOR TRUSTEE 201	250.00
25	NEIGHBORS FOR SYLVIA ARENAS	250.00
26		
27		
28	Total Political	\$ 14,800
29		
30		
31		
32		

**SCHEDULE C-6  
Loans to Directors, or Officers, or Shareholders**

Show hereunder the amount of loans to directors, or officers, or shareholders and the terms and conditions of such loans. Among other things, show the name of each director, or officer, or shareholder, receiving a loan or loans, the amount of each loan, the rate of interest payable on such loan or loans, the maturity date of each loan or loans, the security given and the date when the shareholders authorized each loan. If such authorization was given. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1		
2		
3		
4		
5		
6		

**SCHEDULE C-7  
Bonuses Paid to Executives & Officers**

Show hereunder the amount of bonuses paid to Executives and Officers, both cash and non-cash. List by the name of each Executive or Officer receiving the bonus, the dollar value of that bonus, and a description of the bonus if it is non-cash.

		Non-Cash	
		Cash Value (1)	Value (2)
1	Avila- Walker Controller	\$25,371	\$15,024
2	Drysdale Vice President	\$66,245	\$16,934
3	Gere President	\$71,091	\$123,511
4	Giordano Vice President	\$48,241	\$20,051
5	Jensen Senior Vice President of Regulatory Affairs	\$144,877	\$196,473
6	Leal Vice President	\$21,941	\$10,154
7	Lynch CFO, Treasurer	\$120,920	\$207,211
8	Papazian Corporate Secretary	\$48,241	\$104,877
9	Rayer Vice President	\$0	\$0
10	Roth President & CEO	\$230,927	\$999,047
11	Tang Vice President	\$30,439	\$3,646
12	Walters Chief Administrative Officer	\$92,811	\$164,742

1. STI Short Term Cash Incentive Award
2. Stock Awards Value Realized on Vesting

**SCHEDULE D-1  
Sources of Supply and Water Developed**

Line No.	Diverted into*	STREAMS		FLOW IN MG <sup>2</sup>				Annual Quantities Diverted MG <sup>2</sup>	Remarks
		From Stream or Creek (Name)	Location of Diversion Point	Priority Right		Diversions			
				Claim	Capacity	Max.	Min.		
1	Almaden Pipe	Alamito	Almaden Intake					0.000	
2	Saratoga Filter	Saratoga	Congress Springs					240.213	
3	Montevina	Los Gatos	Ryland Intake					2223.600	
4	Howell	Los Gatos						0.836	
5								2,464.649	

Line No.	At Plant (Name or Number)	Location	Number	Dimensions	1Depth to Water	Pumping Capacity .....(Unit) <sup>2</sup>	Annual Quantities Pumped MG <sup>2</sup>	Remarks
6	Bascom	San Jose					477.243	
7	Berryessa	San Jose					0.329	
8	Branham	San Jose					0	
9	Breeding	San Jose					3.65	
10	Buena Vista	San Jose					569.286	
11	Cottage Grove	San Jose					1058.986	
12	Cropley	San Jose					0	
13	Gish	San Jose					0	
14	Grant	San Jose					1,659,445.1	
15	Home	San Jose					0	
16	Jackson	San Jose					0	
17	Mabury	San Jose					0	
18	Malone	San Jose					443.645	
19	Meridian	San Jose					296.48034	
20	Needles	San Jose					939.956	
21	Ridgeley	San Jose					0	
22	Senter	San Jose					114.5591148	
23	Seventeen St.	San Jose					485.0507624	
24	3- Mile	San Jose					497.8512051	
25	Twelve St.	San Jose					1874.178033	
26	Tully	San Jose					1084.087814	
27	Virginia	San Jose					0	
28	Williams	San Jose					1809.195361	
29	Willow Glen Wy	San Jose					777.451045	
30	Will Wool	San Jose					1.232	
31						Total Wells	10,434.840	
32								
33								
34								
35								
36								
37								
38								

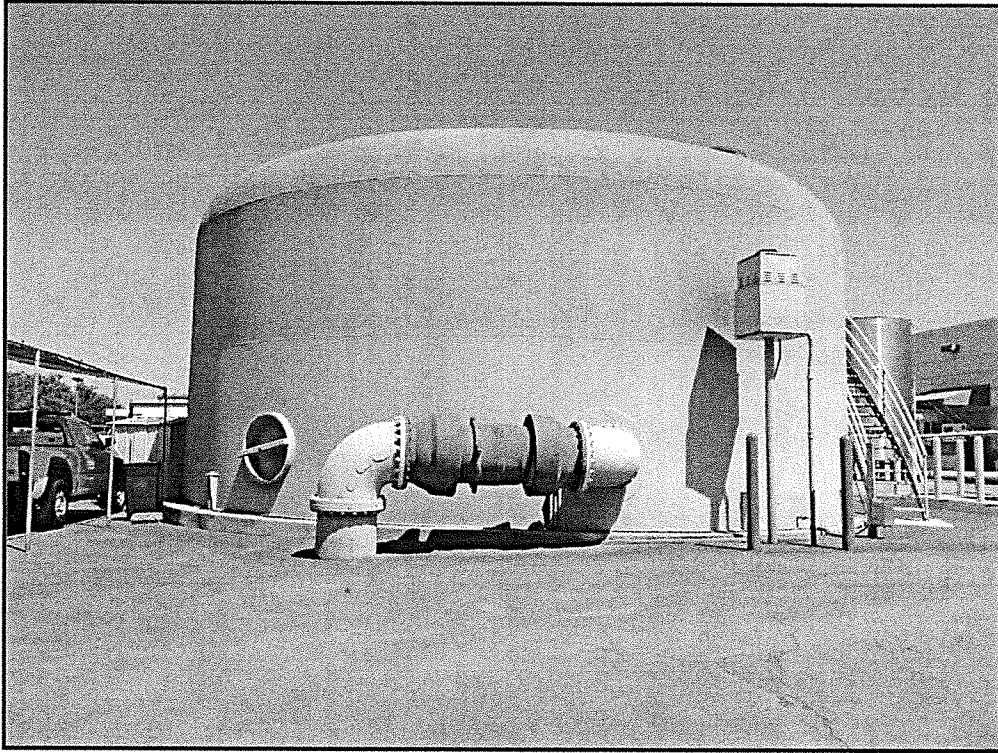
Line No.	Designation	Location	Number	FLOW IN .....(Unit) <sup>2</sup>		Annual Quantities Used .....(Unit) <sup>2</sup>	Remarks
				Maximum	Minimum		
39							
40							
41							
42							
43							

Purchased Water for Resale		
44	Purchased from	Santa Clara Valley Water District
45	Annual quantities purchased	20,164,882 (Unit chosen) <sup>2</sup>
46		
47		

\* State ditch, pipe line, reservoir, etc., with name, if any.  
1 Average depth to water surface below ground surface.  
2 The quantity unit in established use for expressing water stored and used in large amounts is the acre foot, which equals 42,560 cubic feet; in domestic use the thousand gallon or the hundred cubic feet. The rate of flow or discharge in larger amounts is expressed in cubic feet per second, in gallons per minute, in gallons per day, or in the miner's inch. Please be careful to state the unit used.

**SCHEDULE D-2  
Description of Storage Facilities**

Line No.	Type	Number	Combined Capacity (Gallons or Acre Feet)	Remarks
1	A. Collecting reservoirs			SEE ATTACHED
2	Concrete			
3	Earth			
4	Wood			
5	B. Distribution reservoirs			
6	Concrete			
7	Earth			
8	Wood			
9	C. Tanks			
10	Wood			
11	Metal			
12	Concrete			
13	Total			



**DEFINITIONS:**

**Impound** - Stores runoff water in a non-roofed basin created by a dam in a valley.

**Distribution** - Roofed basin or tank for storage and distribution of potable water.

**Collection** - Roofed basin or tank for storage and distribution of potable water from wells.

**Pressure Tank** - Used to sustain a given pressure in a zone so the booster can shut down when demands are low, not used for storage.

a. Impound

Site	Facility	Capacity (gals.)
Lake Cozzens*	Earth-filled dam	50,160,000
Lake Elsman	Earth-filled dam	2,005,000,000
Lake Kittredge*	Earth-filled dam	79,600,000
Lake McKenzie	Earth-filled dam	70,000,000
Lake Williams	Concrete dam	51,173,000
<b>Total Impound Capacity</b>		<b>2,255,933,000</b>

b. Distribution

Type	Number	Capacity (gals.)
Basins	26	150,501,000
Tanks	71	96,996,750
<b>Total Distribution Capacity</b>		<b>247,497,750</b>

c. Collection

<b>Total Collection Capacity (gals.)</b>	<b>2,115,350</b>
<b>Total Number of Collection Tanks</b>	<b>16</b>

\*Water not used by Operations



**STORAGE FACILITIES (cont.)**

d. **Pressure Tanks\*\***

<b>Storage Site</b>	<b>Capacity (gals.)</b>
Batista	2,500
Bear Creek	80
Central (3 pressure tanks)	502
Chablis	81
Dow (3 pressure tanks)	5160
Glenview (4 pressure tanks)	632
Kyburz (2 pressure tanks)	634
Montevina	10,000
Montgomery Highlands	119
Perie	1,000
San Ramon (3 pressure tanks)	633
Santa Rosa	165
Summit Rd. (3 pressure tanks)	150
Tybalt (5 pressure tanks)	595
Varner Ct.	119
Vista De Almaden	1,000
Wooded View	80
<b>Total Pressure Tank Capacity</b>	<b>27,450</b>

\*\*Not included in storage capacity totals.

e. All Storage Facilities

Note: R = Replaced  
P = Purchased

**Code**  
I = Impounding  
D-B = Distribution-Basin  
D-T = Distribution-Tank  
C = Collection  
PT = Pressure Tank

Storage Site	Date Installed	#	Facility Type	Code	Capacity (Gal.)	Total Capacity (Gal.) Per Storage Site
Alamitos	8/57	2	Redwood	D-T	92,000	191,000
	10/63	3	Steel, bolted	D-T	99,000	
Almaden Valley	12/65		Earth, concrete-lined	D-B	8,896,000	8,896,000
Alum Rock	2008	3	Steel, welded	D-T	1,036,000	2,496,000
	4/61	2	Steel, welded	D-T	1,460,000	
Aztec Ridge	R 2002		Steel, bolted	D-T	150,000	150,000
Batista	2004		Steel, welded	D-T	2,020,000	2,020,000
	2004		Pressure tank	PT	N/A	
Bascom	1927		Concrete	C	42,000	42,000
Bayview Dr.	1965		Concrete, hypalon-lined	D-B	100,000	100,000
Bear Creek	2005		Pressure tank	PT	N/A	N/A
Beatrice Cir.	1965		Concrete, hypalon-lined	D-B	100,000	100,000
Beckwith	R 1985		Steel, bolted	D-T	78,000	78,000
Belgatos	1/57	1	Earth, polypropylene lined	D-B	4,715,000	9,485,000
	1/57	2	Earth, polypropylene lined	D-B	4,770,000	
Big Basin	R 1998	1	Steel, bolted	D-T	391,000	
Branham	R 2010		Glass fused to-steel, bolted	C	100,000	100,000
Breeding	5/68		Steel, bolted	C	81,000	81,000
Buena Vista	2006	1	Steel, welded	C	193,350	193,350
Cahalan	6/72		Steel, welded	D-T	8,809,000	8,809,000

Storage Site	Date Installed	#	Facility Type	Code	Capacity (Gal.)	Total Capacity (Gal.) Per Storage Site
Cambrian	1890	1	Earth, gunite-lined	D-B	3,904,000	16,050,000
	1921	2	Earth, gunite-lined	D-B	12,146,000	
Canyon View	1974	1	Steel, bolted	D-T	41,000	41,000
Central	R 2017		Pressure tank	PT	N/A	N/A
	2017		Pressure tank	PT	N/A	N/A
	2017		Pressure tank	PT	N/A	N/A
Chablis	2010		Pressure tank	PT	N/A	N/A
Cheim	1984		Steel, welded	D-T	2,420,000	2,420,000
Clayton	10/72		Steel, welded	D-T	494,000	494,000
Columbine	5/63		Earth, concrete-lined	D-B	19,568,000	19,568,000
Cottage Grove	R 7/89		Steel, bolted	C	65,000	65,000
Cox	3/55	1	Earth, concrete-lined	D-B	5,280,000	20,587,000
	3/55	2	Earth, concrete-lined	D-B	5,250,000	
	12/61	3	Earth, concrete-lined	D-B	10,057,000	
Cristo Rey	(leased)		Steel, welded	D-T	1,976,000	1,976,000
Crothers	1980		Steel, welded	D-T	411,000	411,000
Cypress	R 1998		Steel, bolted	D-T	145,000	145,000
Dow	R 2003	1	Steel, welded	D-T	15,661,000	31,210,000
	8/70	2	Steel	D-T	15,549,000	
	2001		Pressure tank	PT	N/A	
	2012		Pressure tank	PT	N/A	
	2017		Pressure tank	PT	N/A	
Dutard Heights	R 1992		Steel, bolted	D-T	253,000	253,000
Dutard	2/59		Earth, asphalt-plank-lined, membrane lined	D-B	5,113,000	5,113,000
Elwood	7/63	1	Steel	D-T	1,037,000	1,037,000
Fleming	1927	2	Earth, gunite-lined	D-B	2,675,000	7,838,000
	7/51	3	Steel	D-T	966,000	
	10/63	4	Earth, concrete-lined	D-B	3,160,000	

Storage Site	Date Installed	#	Facility Type	Code	Capacity (Gal.)	Total Capacity (Gal.) Per Storage Site
Glenview	1998		Steel, welded	D-T	179,000	
	2016		Pressure tank	PT	N/A	
	2016		Pressure tank	PT	N/A	
	2016		Pressure tank	PT	N/A	
	2016		Pressure tank	PT	N/A	179,000
Graystone Heights	R 2010		Glass fused to-steel, bolted	D-T	42,000	42,000
Greenridge	R 2010	1	Steel, welded	D-T	1,523,000	
	R 2010	2	Steel, welded	D-T	1,523,000	3,046,000
Harwood Court	1980		Steel	D-T	445,000	445,000
Hickerson	4/53	1	Steel	D-T	970,000	
	9/58	2	Steel	D-T	978,000	1,948,000
High	9/64		Steel, bolted	D-T	100,000	100,000
Highlands of Los Gatos	2013		Steel, welded	D-T	279,000	279,000
Kyburz	R 2017		Pressure tank	PT	N/A	N/A
	2017		Pressure tank	PT	N/A	N/A
Lake Cozzens			Earth-filled dam	I	50,160,000	50,160,000
Lake Elsman			Earth-filled dam	I	2,005,000,000	2,005,000,000
Lake Kittredge			Earth-filled dam	I	79,600,000	
	R 2006	1	Crosslink-Polyethylene	D-T	10,000	
	R 2006	2	Crosslink-Polyethylene	D-T	1,000	79,611,000
Lake McKenzie			Earth-filled dam	I	70,000,000	70,000,000
Lake Williams			Concrete dam	I	51,173,000	51,173,000
Locust Dr.	1965		Concrete	D-B	100,000	100,000
Lumbertown	R 1994		Steel, bolted	D-T	244,000	244,000
Mabury	11/65		Steel, bolted	C	81,000	81,000
Mann	(leased)		Steel	C	187,000	187,000
Malone	2005		Glass fused to-steel, bolted	C	60,000	60,000
Mercedes	(leased)	1	Steel	D-T	1,997,000	
	(leased)	2	Steel	D-T	1,997,000	3,994,000
Meridian	R 1992		Steel, bolted	C	141,000	141,000
Miguelito	1927	1	Earth, gunite-lined	D-B	1,728,000	
	7/52	2	Steel	D-T	64,000	
	12/62	3	Earth, concrete-lined	D-B	1,931,000	0
Mireval	9/64		Steel, bolted	D-T	81,000	81,000

Storage Site	Date Installed	#	Facility Type	Code	Capacity (Gal.)	Total Capacity (Gal.) Per Storage Site
Montego	8/70		Steel	D-T	497,000	497,000
Montevina	1898	1	Earth, hypalon lined	D-B	1,000,000	
	9/64	3	Steel, welded	D-T	6,242,000	
	1981	5	Pressure tank	PT	N/A	7,242,000
Montgomery Highlands	R 2003		Steel, welded	D-T	166,000	
	2003		Pressure tank	PT	N/A	166,000
More	12/63	1	Earth, concrete-lined	D-B	11,787,000	
	6/65	2	Earth, concrete-lined	D-B	11,668,000	23,455,000
Mountain Springs	1896		Earth, gunite-lined, membrane lined	D-B	4,040,000	4,040,000
Northwood, Lower	12/64		Steel, welded	D-T	915,000	915,000
Northwood, Upper	7/65	1	Steel, welded	D-T	1,428,000	
	10/70	2	Steel, welded	D-T	1,428,000	2,856,000
Overlook	12/63	2	Steel, welded	D-T	1,020,000	
	2016	3	Concrete	D-T	1,640,000	2,660,000
Pavilion	2010		Glass fused to-steel, bolted	D-T	99,000	99,000
Perie	P 1980		Steel	D-T	458,000	
	R 2016		Pressure tank	PT	N/A	458,000
Phillips	9/60		Steel, welded	D-T	477,000	477,000
Picea	1984		Steel, welded	D-T	250,000	250,000
Pike	1982		Steel, welded	D-T	179,000	179,000
Pleasant Vista	R 1990	1	Steel, bolted	D-T	203,000	203,000
Prospect	1/62		Prestressed concrete	D-T	3,936,000	3,936,000
			steel, bolted	D-T	60,000	60,000
Ravinia	3/99		Steel, bolted	D-T	107,000	107,000
Redhill	R 1990	1	Steel, bolted	D-T	121,000	
	R 1998	2	Steel, bolted	D-T	117,000	238,000
Regnart	8/59	1	Earth, gunite-lined	D-B	10,625,000	
	2/62	2	Earth, gunite-lined	D-B	10,263,000	20,888,000
Regnart Canyon	R 1995		Steel, bolted	D-T	148,000	148,000
Regnart Heights	R 2014	1	Steel, welded	D-T	25,000	
	R 2014	2	Steel, welded	D-T	147,000	172,000

Storage Site	Date Installed	#	Facility Type	Code	Capacity (Gal.)	Total Capacity (Gal.) Per Storage Site
San Ramon	R 2015		Pressure tank	PT	N/A	N/A
	2015		Pressure tank	PT	N/A	N/A
	2015		Pressure tank	PT	N/A	N/A
Santa Rosa	1980		Steel	D-T	298,000	
	1991		Pressure tank	PT	N/A	298,000
Saratoga Hills	R 2012	1	Steel, welded	D-T	1,425,000	
	R 2013	2	Steel, welded	D-T	1,425,000	2,850,000
Scenic Vista Res.	R 1995		Steel, bolted	D-T	162,000	162,000
Seven Mile	1871	1	Earth, gunite-lined	D-B	5,620,000	
	3/59	2	Earth, gunite-lined	D-B	5,880,000	11,500,000
Seventeenth St.	1911		Concrete	C	226,000	226,000
Summit Rd.	1965		Concrete, hyplon- lined	D-B	125,000	
	1990	1	Pressure Tank	PT	N/A	
	1990	2	Pressure Tank	PT	N/A	
	1990	3	Pressure Tank	PT	N/A	125,000
Sweigert	9/90		Steel, welded	D-T	205,000	205,000
Tank Farm	2001		Steel, bolted	D-T	96,000	96,000
Three Mile	R 1997		Steel, welded	C	140,000	140,000
Tully	R 1993		Steel, bolted	C	168,000	168,000
Twelfth Street	1988		Steel, bolted	C	207,000	207,000
Tybalt	P 1990		Steel, welded	D-T	141,000	
	R 2014		Pressure tank	PT	N/A	
	R 2014		Pressure tank	PT	N/A	
	R 2014		Pressure tank	PT	N/A	
	R 2014		Pressure tank	PT	N/A	
	R2014		Pressure tank	PT	N/A	141,000
Varner Ct.	P 1995		Pressure tank	PT	N/A	N/A
	1995		Steel, welded	D-T	5,750	5,750
Via Campagna	1997		Steel, bolted	D-T	450,000	450,000
Vickery	2014	1	Steel	D-T	2,214,000	
	2016	2	Steel	D-T	6,481,000	8,695,000
View Oaks	R 1996		Steel, bolted	D-T	171,000	171,000
Vista De Almaden	P 2007		Steel, welded	D-T	95,000	
	2016		Pressure tank	PT	N/A	95,000
Webb Canyon	12/67		Steel	D-T	499,000	499,000

Storage Site	Date Installed	#	Facility Type	Code	Capacity (Gal.)	Total Capacity (Gal.) Per Storage Site
Williams	R 1993	2	Steel, bolted	C	132,000	332,000
	R 1994	3	Steel, bolted	C	200,000	
Willow Glen	R 2010		Glass fused to-steel,	C	92,000	92,000
			bolted			
Wooded View	R 1991	1	Steel	D-T	145,000	145,000

f. Storage Totals

	Number	Capacity (Gal.)
Impounding Storage (I)	5	2,255,933,000
Distribution Storage (D-B & D-T)	97	247,497,750
Collection Storage (C)	16	2,115,350
Pressure Tank (PT)	32	N/A
<b>Total</b>	<b>150</b>	<b>2,505,546,100</b>

### SCHEDULE D-3

DESCRIPTION OF TRANSMISSION AND DISTRIBUTION FACILITIES  
B. FOOTAGE OF PIPE BY INSIDE DIAMETER IN INCHES - NOT INCLUDING SERVICE PIPING

"49"

	3/4"	1"	1-1/4"	1-1/2"	2"	2-1/2"	3"	3-1/2"	4"	4-1/2"	5"	6"	6-5/8"
Welded Steel													
Std. Screw	372	1,583	105	1,142	114,651	544	2,975	3,297	113,829	12,982	340	2,163,100	2,249,383
Cast Iron					1,695		4,105		5,241			8,485	0
Copper		360			1,812		12,563		266,814		12,299	373,069	
Transite													
Concrete							4,010		106,864			1,108,102	2,536
Plastic	0	350		620	10,466		1,000		154,589			30,014	
Polyethylene					1,588				400				0
<b>Total</b>	<b>372</b>	<b>2,293</b>	<b>105</b>	<b>1,762</b>	<b>130,212</b>	<b>544</b>	<b>24,653</b>	<b>3,297</b>	<b>647,737</b>	<b>12,982</b>	<b>12,639</b>	<b>3,682,770</b>	<b>2,251,919</b>

	7"	8"	8-5/8"	10"	10-3/4"	11"	12"	12-3/4"	13"	14"	15-1/3"	16"	16 3/4"
Welded Steel													
Std. Screw	1,864	1,121,031	179,006	364,229	56,587	489	667,649	594,077	135	5,726	386	284,685	232
Cast Iron	154	1,499		4,204	151		2,011					1,607	
Copper		130,348		40,099			99,981					17,929	
Transite													
Concrete							188,322	1,338			180	56,443	
Plastic		403,273	436,491	117,990	109,381		629					2,492	
Polyethylene		7,140		1,076			140						
<b>Total</b>	<b>2,018</b>	<b>1,663,597</b>	<b>615,497</b>	<b>527,598</b>	<b>166,119</b>	<b>489</b>	<b>958,732</b>	<b>595,415</b>	<b>135</b>	<b>5,726</b>	<b>566</b>	<b>363,156</b>	<b>232</b>



SCHEDULE D-4 Number of Active Service Connections				
Classification	Metered - Dec 31		Flat Rate - Dec 31	
	Prior Year	Current Year	Prior Year	Current Year
Residential	198,953	198,567		
Commercial	19,947	20,477		
Industrial	53	53		
Public authorities	1,327	1,322		
Other Water Utility	38	38		
Recycled Water	214	219		
Misc.	197	207		
Subtotal	220,729	220,883		
Private fire connections	-	-	3,711	3,765
Public fire hydrants				
Total	220,729	220,883	3,711	3,765

SCHEDULE D-5 Number of Meters and Services on Pipe Systems at End of Year			
Size	Meters	Size	Services
3/4-in	178,157	1/2-in Installation	
1-in	33,168	3/4-in "	178,583
1-1/2-in	7,544	1-in s	34,039
2-in	9,020	1-in sp	
3-in	744	1-1/4in S	
4-in	363	" Sp	
6-in	88	1-1/2in S	7,917
8-in	22	" Sp	
10-in	7	2-in S	6,726
Reclaimed water 1"	8	2-in Sp	
Reclaimed water 1-1/2"	4	Misc Svcs & Singles	263
Reclaimed water 2"	89	3-in S	1,573
Reclaimed water 3"	19	2x2 manf=3"	
Reclaimed water 4"	22	4-in S	1,739
Reclaimed water 6"	1	6-in S	1,837
Reclaimed water 8"	3	8-in S	1,082
Reclaimed water 10"	1	10-in S	174
		12-in S	16
Total	<u>229,260</u>		<u>233,949</u>

SCHEDULE D-6 Meter Testing Data	
A. Number of Meters Tested During Year as Prescribed in Section VI of General Order No. 103:	
1. New, after being received . . .	1,087
2. Used, before repair . . . . .	651
3. Used, after repair . . . . .	4,030
4. Found fast, requiring billing adjustment . . . . .	-
B. Number of Meters in Service Since Last Test	
1. Ten years or less . . . . .	142,477
2. More than 10, but less than 15 years . . . . .	33,354
3. More than 15 years . . . . .	53,429

## SCHEDULE D-7

### Water delivered to Metered Customers by Months and Years in CCF's<sup>1</sup>

Classification of Service	During Current Year												Subtotal		
	January	February	March	April	May	June	July	August	September	October	November	December			
Residential	1,635,146	1,363,675	1,294,861	1,315,126	1,575,149	1,772,529	2,370,033								11,326,519
Business	1,055,179	994,196	965,952	1,089,229	1,140,044	1,397,928	1,501,918								8,144,446
Industrial	13,203	14,295	12,735	15,854	15,146	19,408	16,560								107,201
Public authorities	65,095	62,609	74,798	85,380	156,575	264,624	319,281								1,028,362
Resale	10,281	8,658	8,473	10,837	12,623	17,047	19,968								87,887
Other (specify)	2,273	1,719	10,622	(3,811)	1,521	2,969	2,968								18,261
Recycled	18,511	21,266	21,005	41,724	71,964	122,823	139,478								436,771
Raw	621	521	516	651	735	1,026	3,478								7,548
<b>Total</b>	<b>2,800,309</b>	<b>2,466,939</b>	<b>2,388,962</b>	<b>2,554,990</b>	<b>2,973,757</b>	<b>3,598,354</b>	<b>4,373,684</b>								<b>21,156,995</b>
Classification of Service	During Current Year												Total		
	August	September	October	November	December	Subtotal	Total	Prior Year							
Residential	2,379,134	2,634,365	2,202,034	2,195,999	1,739,169	11,150,701	22,477,220	23,960,923							
Business	1,510,346	1,586,334	1,571,051	1,301,438	1,309,260	7,278,429	15,422,875	15,565,943							
Industrial	15,775	18,355	20,639	16,082	19,400	90,251	197,452	200,707							
Public authorities	299,224	316,498	272,822	125,230	94,618	1,108,392	2,136,754	2,098,006							
Resale	20,448	19,637	20,787	11,082	11,077	83,031	170,918	178,079							
Other (specify)	9,078	3,136	10,075	4,198	2,148	28,635	46,896	65,354							
Recycled	97,781	100,431	88,592	96,386	31,722	414,912	851,683	854,636							
Raw	8,166	2,608	2,964	2,717	3,031	19,486	27,034	56,265							
<b>Total</b>	<b>4,339,952</b>	<b>4,681,364</b>	<b>4,188,964</b>	<b>3,753,132</b>	<b>3,210,425</b>	<b>20,173,837</b>	<b>41,330,832</b>	<b>42,979,913</b>							

<sup>1</sup> Quantity units to be in hundreds of cubic feet, thousands of gallons, acre-feet, or miners inch-days.

Total acres irrigated \_\_\_\_\_

Total population served \_\_\_\_\_ 993,000

**SCHEDULE D-8  
Status With State Board of Public Health**

1. Has the State or Local Health Department reviewed the sanitary condition of your water system during the past year?  
**Answer:**      Yes XX                      No \_\_\_\_\_
2. Are you having routine laboratory tests made of water served to your consumers?  
**Answer:**      Yes XX                      No \_\_\_\_\_
3. Do you have a permit from the State Board of Public Health for operation of your water system?  
**Answer:**      Yes XX                      No \_\_\_\_\_
4. Date of permit: Original permit issued 2/3/1917 and last amended on 09/13/2016
5. If permit is "temporary", what is the expiration date? \_\_\_\_\_
6. If you do not hold a permit, has an application been made for such permit?  
**Answer:**      Yes \_\_\_\_\_                      No \_\_\_\_\_
7. If so, on what date? \_\_\_\_\_

**SCHEDULE D-9  
Statement of Material Financial Interest**

Use this space to report the information required by Section 2 of General Order No. 104-A. If no material financial interest existed during the year or contemplated at the end of the year, such fact shall be so stated. If additional space is required, attach a supplementary statement with reference made thereto.


**SCHEDULE E-1**  
**Balancing and Memorandum Accounts**

Line No.	Description (a)	Authorized by Decision or Resolution No. (b)	Beginning of Year Balance (c)	Offset Revenues (d)	Offset Expenses (e)	Interest (f)	Surcharge (g)	Surcredit (h)	End of Year Balance (i)
1	Balancing Account	Pending (2014)	\$2,480,908	\$0	\$0	\$12,932	0	0	\$2,493,840
2	Balancing Account	Pending (2015)	(\$780,849)	\$0	\$0	(\$4,070)	0	0	(\$784,919)
3									
4									
5									
6	<u>Memo-Balancing Acct</u>								
7	Purchased Power	Pending	\$0	\$0	\$278,278	(\$815)	0	0	(\$279,093)
8	Purchased Power	Pending	\$0	\$0	\$148,812	(\$369)	0	0	(\$149,181)
9	Purchased Power	Pending	\$0	\$0	(\$1,391)	\$2	0	0	\$1,393
10	Purchased Power	Pending	\$0	\$0	\$2,441	(\$2)	0	0	(\$2,443)
11	Purchased Water	AL490	\$0	\$4,848,304	\$5,736,422	(\$3,146)	0	0	(\$891,264)
12	Purchased Water Non-Contract	AL490	\$0	\$0	\$903,086	(\$1,453)	0	0	(\$904,539)
13	Purchased Water Recycle	D.16-06-004	\$0	\$0	\$179,377	(\$441)	0	0	(\$179,818)
14	Purchased Water Recycle	AL490	\$0	\$124,184	\$135,287	(\$30)	0	0	(\$11,133)
15	Pump Tax	AL490	\$0	\$3,253,479	\$2,835,408	(\$469)	0	0	\$417,602
16	WRAP Discount	AL356 & AL370	\$0	\$3,195,039	\$2,742,843	\$1,190	0	0	\$453,385
17	Pension Balancing Account	D.16-06-004	\$0	\$6,700,000	\$7,820,001	(\$345)	0	0	(\$1,120,345)
18	Memo/BA Remaining Balances	D.14-08-006	\$0	\$152,595	\$0	\$47	0	0	\$152,642
19	SRF Loan I Surcharge	AL364	\$0	\$119,311	\$999,212	(\$2,845)	0	0	(\$882,746)
20	SRF Loan II Surcharge	AL392	\$0	\$68,555	\$683,190	(\$2,000)	0	0	(\$616,635)
21									
22	<u>Memorandum Acct</u>								
23	Calero Tank	D.97-07-047	(\$358,759)	\$0	\$0	(\$1,872)	0	0	(\$360,631)
24	Monterey WRAM	D.08-08-030	(\$1,931,322)	\$0	\$1,344,481	(\$14,933)	0	0	(\$3,290,736)
25	MCMA	AL 456-A	(\$603,160)	\$0	\$596,233	(\$4,801)	0	0	(\$1,204,194)
26	Intervenor Compensation	D.09-05-014,016 & 017	(\$44,678)	\$17,286	\$298	(\$186)	0	0	(\$27,875)
27	Ground Water Regulation Legal Exp	AL496	\$0	\$0	\$109,167	(\$106)	0	0	(\$109,273)
28	WCMA 2016	AL 479-A	\$0	\$0	\$16,666,127	(\$41,626)	0	0	(\$16,707,753)
29	Drought Surcharges 2016	AL 473-A	\$0	\$23,433,117	\$0	\$57,928	0	0	\$23,491,045
30									
31	2014 MCRAMA Balance Recovery	AL 477	(\$4,223,304)	\$0	\$0	(\$14,206)	\$ 3,132,601	0	(\$1,104,909)
32	2015 MCRAMA Balance Recovery	AL 477	(\$7,667,713)	\$0	\$0	(\$32,812)	\$ 3,994,342	0	(\$3,706,183)
33	Recovery of Undercollection of 2013 GRC								
34	Interim Rates	D.14-08-006	(32,145,683)	\$0	\$0	(137,231)	\$11,890,713	\$0	(\$20,392,201)
35	Recovery of Undercollection of 2016 GRC	D.16-06-004/15-03-048							
36	Interim Rates & 2013 Rehearing	AL 492A	0	\$0	\$8,767,390	(20,444)	\$3,244,067	\$0	(\$5,543,767)
37	Recovery of Undercollection of Balancing Accounts 2016 GRC	D.16-06-004	0	\$0	\$4,773,572	(12,172)	\$2,099,292	\$0	(\$2,686,452)
38	Refund of Overcollection of								
39	Memorandum Accounts 2016 GRC	D.16-06-004	\$0	\$977,233	\$0	\$2,562	0	\$417,972	\$561,823
40									
41									
42									

- For Columns d, e, f, g & h provide those amounts booked in the current year.
- The detail for each individual account includes the Beginning of Year Balance, End of Year Balance, each Offset Expense adjustment during the year, each Offset Revenue adjustment during the year, each Surcharge adjustment during the year, each Surcredit adjustment during the year, and the decision or resolution number associated with each item of detail.

**Schedule E- 2**  
**Description of Low-Income Rate Assistance Program(s)**

For all low income rate assistance programs offered by water utility, provide detailed responses to the following items:

SJWC's General Rate Case Decision D.04-08-054 adopted a settlement agreement between the Office of Ratepayer Advocates (ORA) and SJWC which allowed SJWC to implement a Water Rate Assistance Payer (WRAP) for low income customers which provides a 15% discount on the total water bill for the customers eligible for the program based on the same income qualification guidelines as is use by PG&E's CARE program (175% of federal poverty level). The program automatically qualifies customers already part of PG&E's CARE program, while customers without PG&E service in their name qualify by providing income verification in accordance with the program guidelines. The program also extends eligibility to customers in mobile homes behind master-meters. In order to fund this program SJWC charges a monthly surcharge of \$1.45 per bill. The surcharge is identified separately on the customer bill and is applied to all SJWC customers who are not recipients of the WRAP program benefits. In accordance with the Settlement Agreement adopted in D.04-08-054, SJWC also maintains a WRAP balancing account to which all revenue and expenses associated with the program will be booked for later recovery or refund.

As of December 31, 2016, 19,566 of SJWC's low income households are participants in the program which represents 8.71% of total customers served and 9.85% of residential customers served.

Please see Schedule E-1 for detail of WRAP balancing account authorized to record expenses incurred and revenues collected for low income rate assistance program.

**Schedule E- 3**  
**Description of Water Conservation Program(s)**

For all water conservation programs offered by water utility, provide detailed responses to the following items:

1. Brief description of each water conservation program provided, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers) and length of time it was offered.
2. Discuss how each water conservation assistance program is funded, for example, through rates charged to all customers, rates charged to customer receiving water conservation assistance, shareholder contribution, community funding, government funding, or other funding method. Explain why this type of funding was used.
3. Cost of each program.
4. The degree of participation in each district by customer group.

Please see attached document.

## Schedule E- 3

### Description of Water Conservation Program(s)

For all water conservation programs offered by water utility, provide detailed responses to the following items:

1. Brief description of each water conservation program provided, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers) and length of time it was offered.
2. Discuss how each water conservation assistance program is funded, for example, through rates charged to all customers, rates charged to customer receiving water conservation assistance, shareholder contribution, community funding, government funding, or other funding method. Explain why this type of funding was used.
3. Cost of each program.
4. The degree of participation in each district by customer group.

Response:

San Jose Water Company (SJWC) offers a comprehensive water conservation program to its customers. The program includes services that are offered directly by SJWC such as water audits, as well as programs offered through the wholesale water agency, the Santa Clara Valley Water District (SCVWD). SCVWD offers conservation programs that are the most cost effective and sensible to offer at a regional level, such as the landscape rebate program (for turf conversion and irrigation hardware upgrades) as well as rebates for high efficiency fixture replacement for toilets and washing machines. These rebates are available to SJWC customers who are made aware of them through advertisements, bill inserts, each agency's website, and in person during water audits or at the customer service office. In addition, SJWC makes available high efficiency showerheads and faucet aerators for no cost to its customers. These, as well as toilet leak detection tablets and conservation literature are all available upon request at the SCVWD headquarters or during a water audit at the customer's property. New for 2016 is the latest edition of "The Guide to Using Water Wisely." This brochure provides information about SJWC's water audit program, meter reading instructions, water supply map, indoor and outdoor conservation tips plus landscape information including watering schedules and reference to the company's website about Water Wise Gardening.

The water audit program is an excellent way for customers to learn how to reduce their consumption, as well as identify and fix any leaks. It also allows SJWC to directly interface with its customers. SJWC also prepares customer bill inserts throughout the year, including one sent in the spring promoting "Water Awareness Night" and the water audit program. In the fall, SJWC prepares a bill insert that focuses on reduction of outdoor water use and promotes the annual Water Appreciation Day event with San Jose State University. This "fall back" insert reminds

customers to turn off their irrigation systems in the wet winter months. The SJWC website has comprehensive water conservation information prominently promoted on its banner page.

San Jose Water Company is continuing its educational outreach program for 5<sup>th</sup> grade students. The in-class program provides a general water and water conservation-related curriculum that takes approximately one week to complete. A lesson plan, including hands-on activities, is provided to the teacher and each student receives a workbook to complete that enhances the teacher's lesson plan. At the end of the week, students receive a unique home water audit kit. The kit has instructions for the student to perform a water audit with their parents and includes high efficiency devices (showerheads, aerators, dye tablets, etc) that the student and parents can install at their home. In 2015, this program reached 2,350 5<sup>th</sup> grade students. The 2016-2018 General Rate Case (GRC) provided additional funding for this well-received program. Due to the delay in decision of the GRC, the program was delayed for 2016 but restarted in early 2017. SJWC provides extensive information on its website regarding water conservation, water-wise landscaping and information on how to read one's meter and understand one's usage. SJWC has a video library that contains several videos that demonstrate how to read one's meter, what occurs during a water audit, and general tips on how to save water. In addition, SJWC has its own dedicated website for water-wise landscaping. This site contains a plant database with hundreds of pictures of plants that are low water use and beautiful. The site allows customers to build example landscapes and then obtain specific plant information and guidance on irrigating efficiently. The site also includes a water calculator, allowing customers to enter in the specific characteristics of their site and generate a custom irrigation schedule. In 2016, more than 25,000 people visited the website and viewed more than 144,000 pages of landscaping information.

In addition to the conservation programs, SJWC is engaged in a significant effort to expand the use of recycled water. With the GRC's approval, SJWC has moved forward to expand its recycled water program. Currently, 250+ customers are currently using recycled water for irrigation, cooling towers or industrial purposes and outreach efforts are underway to customers along the new pipelines. SJWC is paying for the design and construction of customer retrofits that are needed to connect new customers to the recycled water pipelines. This project is critical because every drop of recycled water used helps stretch available drinking water supplies.

Participating in various community events helps spread the conservation message with customers. SJWC attends the following events on a regular basis:

- The Guadalupe River Run in February
- A variety of Earth Week activities in April
- San Jose Giants baseball game in May
- San Jose State University football game in November

An irrigation mock-up shows the latest in efficient landscape technologies. A water meter is available to show customers how to monitor their own water usage. And a variety of conservation publications spread the message about using water wisely.

- 1) Conservation expenses are included in revenue requirement for all customers.

SJWC has a base water conservation budget of approximately \$130,000 per year. This budget covers things such as bill inserts, conservation promotional items and events, conservation literature, and the upkeep of the water-wise landscaping website. The labor and expenses associated with the company's water audit program is not included in this base budget. The school education program was an additional program with funding that was approved in the prior GRC. The recycled water retrofit program is considered separately within the GRC. Other programs that are run by SCVWD and available to SJWC customers are paid for through the wholesale water rates that SJWC pays to SCVWD.

- 2) The base water conservation budget for SJWC is approximately \$140,000 per year. The school education program that was approved in the GRC is approximately \$100,000 per year. Other program costs are not available since the programs are administered by SCVWD.

- 3) Participation in conservation programs by SJWC customers is high. SJWC performs approximately 2,500 water audits per year. However in 2016 we completed approximately 2,617 audits due to increased drought demand. As the drought continues to subside we anticipate a reduction in water audit requests since so many of our customers participated in this program over the past three years. Participation in SCVWD programs is available through SCVWD.



**Schedule E-4  
Report on Affiliate Transactions**

Line No.			TWA
1	(a) Description of Services Provided by Regulated Water Utility To Affiliated Companies	Support for G&A, Accounting, Maintenance and Vehicles (\$547,175)	None
2	(b) Description of Services Provided by Affiliated Companies to Regulated Water Utility	None	None
3	(c) Assets Transferred from Regulated Utility to Affiliated Companies	None	None
4	(d) Assets Transferred to Regulated Utility from Affiliated Companies	None	None
5	(e) Employees Transferred from Regulated Utility to Affiliated Companies	None	None
6	(f) Employees Transferred to Regulated Utility from Affiliated Companies	None	None
7	(g) Financing Arrangements and Transactions between Regulated Utility and Affiliated Companies	None	None
8			None

**FOR ALL WATER COMPANIES  
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) or Safe Drinking Water State Revolving Fund loan surcharge collection for the calendar year. Please use one page per loan.

1. Current Fiscal Agent:

Name: Wells Fargo Bank NA  
 Address: 333 Market Street, 18th Floor, MACAO119-181, San Francisco, CA 94105  
 Phone Number: 415-371-3353  
 Account Number: Reserve Fund 16798601 Debt Service Fund 16798600  
 Date Hired: 12/13/04 with amendment signed on 6/28/06

2. Total surcharge collected from customers during the 12 month reporting period:

\$ 119,311.00

Meter Size	No. of Metered Customers	Monthly Surcharge Per Customer
5/8 x 3/4 inch	889	0.04
3/4 inch	173,636	0.04
1 inch	35,476	0.05
1 1/2 inch	3,926	0.10
2 inch	4,662	0.14
3 inch	1,449	0.28
4 inch	400	0.39
6 inch	177	0.98
8 inch	32	1.59
10 inch	7	2.32
Number of Flat Rate Customers		
<b>Total</b>	<b>220,654</b>	

3. Summary of the bank account activities showing:

Balance at beginning of year	\$ 136,551.40
Deposits during the year	<u>63,405.74</u>
Interest earned for calendar year	
Withdrawals from this account	<u>199,957.14</u>
Balance at end of year	<u>-</u>

4. Reason or Purpose of Withdrawal from this bank account:

Scheduled principal and interest payments  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**FOR ALL WATER COMPANIES  
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) or Safe Drinking Water State Revolving Fund loan surcharge collection for the calendar year. Please use one page per loan.

1. Current Fiscal Agent:

Name: Wells Fargo Bank NA  
 Address: 333 Market Street, 18th Floor, MACAO119-181, San Francisco, CA 94105  
 Phone Number: 415-371-3353  
 Account Number: Reserve Fund 20868301 Debt Service Fund 20868300  
 Date Hired: 09/01/06 with amendment signed on 02/20/07

2. Total surcharge collected from customers during the 12 month reporting period:

\$ 68,555

Meter Size	No. of Metered Customers	Monthly Surcharge Per Customer
5/8 x 3/4 inch	889	0.02
3/4 inch	173,636	0.02
1 inch	35,476	0.02
1 1/2 inch	3,926	0.06
2 inch	4,662	0.09
3 inch	1,449	0.18
4 inch	400	0.32
6 inch	177	0.67
8 inch	32	1.08
10 inch	7	1.55
Number of Flat Rate Customers		
<b>Total</b>	<b>220,654</b>	

3. Summary of the bank account activities showing:

Balance at beginning of year	\$ 58,582.00
Deposits during the year	<u>37,897.28</u>
Interest earned for calendar year	-
Withdrawals from this account	<u>96,479.28</u>
Balance at end of year	<u>-</u>

4. Reason or Purpose of Withdrawal from this bank account:

Scheduled principal and interest payments

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**FOR ALL WATER COMPANIES  
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA (Continued)**

5. Plant amounts included in Schedule A-1a, Account No. 101--Water Plant in Service which were funded using SDWBA or SRF funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits* or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		DEPRECIABLE PLANT					
6	304	Structures					
7	307	Wells					
8	317	Other water source plant					
9	311	Pumping equipment					
10	320	Water treatment plant	2,382,520				2,382,520
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service					

**FOR ALL WATER COMPANIES  
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA (Continued)**

5. Plant amounts included in Schedule A-1a, Account No. 101--Water Plant in Service which were funded using SDWBA or SRF funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits* or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant					
5		DEPRECIABLE PLANT					
6	304	Structures					
7	307	Wells					
8	317	Other water source plant					
9	311	Pumping equipment					
10	320	Water treatment plant	1,069,265				1,069,265
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant					
20		Total water plant in service					

## FACILITIES FEES DATA

Please provide the following information relating to Facilities Fees for districts or subsidiaries servicing 2,000 or fewer connections for the calendar year. (Per D.91-04-068)

1. Trust Account Information: N/A

Bank Name: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 Account Number: \_\_\_\_\_  
 Date Opened: \_\_\_\_\_

2. Facilities Fees collected for new connections during the calendar year:

**A. Commercial**

NAME	AMOUNT
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

**B. Residential**

NAME	AMOUNT
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

3. Summary of the bank account activities showing:

	AMOUNT
Balance at beginning of year	\$ _____
Deposits during the year	\$ _____
Interest earned for calendar year	\$ _____
Withdrawals from this account	\$ _____
Balance at end of year	\$ _____

4. Reason or Purpose of Withdrawal from this bank account:

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**DECLARATION**

**(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)**

I, the undersigned \_\_\_\_\_ W.R. Roth  
Chief Executive Officer

of \_\_\_\_\_ San Jose Wate Company  
Name of Utility

under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period of January 1, 2016, through December 31, 2016.

\_\_\_\_\_  
Chief Executive Officer  
Title (Please Print)

\_\_\_\_\_  
/s/ W.R. ROTH  
Signature

\_\_\_\_\_  
408-279-7900  
Telephone Number

\_\_\_\_\_  
May 31, 2016  
Date

## INDEX

	<u>PAGE</u>		<u>PAGE</u>
Accidents	46	Operating expenses	39-41
Acres irrigated	51	Operating revenues	38
Advances from associated companies	32	Organization and control	12
Advances for construction	35	Other deferred credits	36
Affiliate Transactions	55	Other deferred debits	26
Assets	13	Other income	15
Assets in special funds	22	Other investments	22
Balance Sheet	13-14	Other physical property	18
Balancing & Memorandum Accounts	53	Payables to associated companies	33
Bonds	30	Political expenditures	47
Bonuses	47	Population served	51
Capital stock	27	Premium on capital stock	27
Capital surplus	28	Prepayments	24
Contributions in aid of construction	37	Proprietary capital	29
Depreciation and amortization reserves	20-21	Purchased water for resale	48
Declaration	61	Rate Base	19
Discount on capital stock	26	Receivables from associated companies	24
Discount and expense on funded debt	25	Revenues apportioned to cities	38
Dividends declared	27	SDWBA Loan Data	56-59
Earned surplus	28	Securities issued or assumed	32
		Selected Financial Data Excluding Non-	
Employees and their compensation	46	Regulated Activity	8-10
Engineering and management fees	45	Service connections	50
Excess Capacity and Non-Tariffed Services	11	Sinking funds	22
Facilities Fees	60	Sources of supply and water developed	48
Franchises	17	Special deposits	23
Income account	15	Status with Board of Health	52
Income deductions	15	Stockholders	27
Investments in associated companies	22	Storage facilities	48
Liabilities	14	Taxes	42
Loans to officers, directors, or shareholders	47	Transmission and distribution facilities	49
Low Income Rate Assistance Program	54	Unamortized debt discount and expense	25
Management compensation	45	Unamortized premium on debt	25
Meters and services	50	Undistributed profits	29
Miscellaneous long-term debt	32	Utility plant	16
Miscellaneous reserves	36	Utility plant in service	16-17
Miscellaneous special funds	23	Utility plant held for future use	18
Notes payable	33	Water delivered to metered customers	51
Notes receivable	23	Water Conservation Program(s)	54
Officers	12	Working Cash	19