

Received _____

Examined _____

CLASS A
WATER UTILITIES

U# _____

2016
ANNUAL REPORT
OF

SUBURBAN WATER SYSTEMS

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

1325 N. GRAND AVENUE, STE 100

COVINA, CA 91724-4044

(OFFICIAL MAILING ADDRESS)

ZIP

TO THE
PUBLIC UTILITIES COMMISSION
STATE OF CALIFORNIA
FOR THE
YEAR ENDED DECEMBER 31, 2016

REPORT MUST BE FILED NOT LATER THAN MARCH 31, 2017

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GENERAL INSTRUCTIONS

1. Two completed and signed hard copies of this report and one electronic copy must be filed **NOT LATER THAN MARCH 31, 2017**, with:

CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS
ATTN: BRUCE DEBERRY
505 VAN NESS AVENUE, ROOM 3106
SAN FRANCISCO, CALIFORNIA 94102-3298
bruce.deberry@cpuc.ca.gov

2. Failure to file the report on time may subject a utility to the penalties and sanctions provided by the Public Utilities Code.
3. The Oath on Page 60, must be signed by an authorized officer, partner, or owner.
4. The report must be prepared in ink or by the use of a typewriter. Computer generated report forms may be substituted.
5. The report must be filled in, and every question answered. **LEAVE NO SCHEDULE BLANK.** Insert the words "none" or "not applicable" or "n/a" when appropriate.
6. Certain balance sheet and income statement accounts refer to supplemental schedules. The totals of the details in the latter must agree with the balances of the accounts to which they refer.
7. Some schedules provide for a "balance at beginning of year." The amount shown should agree with the "balance at end of year" as shown in the report for the previous year. If there is a difference, it should be explained by footnote.
8. When there is insufficient space in a schedule to permit a complete statement of the requested information, insert sheets should be prepared and identified by the number of the schedule to which it refers. Be certain that the inserts are securely attached to the report. If inserts are needed, prepare all inserts in one separate electronic file in Microsoft Excel format and file it with the electronic file of this report.
9. This report must cover the calendar year from January 1, 2016, through December 31, 2016. Fiscal year reports will not be accepted.
10. Your company's external auditor information, such as external auditor's name, phone number, and address, must be included in Page 12, Item 12, of this report.

INSTRUCTIONS

FOR PREPARATION OF SELECTED FINANCIAL DATA SHEET FOR ALL WATER UTILITIES

To prepare the attached data sheets, refer to the Balance Sheet, Income Statement and supporting detail schedules in the annual report for the required data.

Please follow the instructions below:

1. Common plant allocated to water should be indicated with water plant figures in Net Plant Investment.
2. The capitalization section for those reporting on both California Only and Total System Forms should be identical and completed with Total Company Data. Be sure that Advances for Construction include California water data only.
3. Complete the operation section with California water revenues and expenses, but if necessary, remove interdepartmental revenues and expenses.
4. All other utility and nonutility revenues and expenses are netted on Line No. 38.

BALANCE SHEET AND CAPITALIZATION DATA

Calendar Year 2016

Name of Utility: SUBURBAN WATER SYSTEMS Telephone: (626) 543-2500

Person Responsible for this Report: BOB KELLY

	<u>1/1/2016</u>	<u>12/31/2016</u>	<u>Average</u>
BALANCE SHEET DATA			
1 Intangible Plant	1,264,823	1,264,823	1,264,823
2 Land and Land Rights	1,070,591	1,073,663	1,072,127
3 Depreciable Plant	249,999,897	260,459,121	255,229,509
4 Gross Plant in Service	252,335,311	262,797,607	257,566,459
5 Less: Accumulated Depreciation	(90,105,780)	(96,516,195)	(93,310,988)
6 Net Water Plant in Service	162,229,531	166,281,412	164,255,472
7 Water Plant Held for Future Use	1,470,724	629,446	1,050,085
8 Construction Work in Progress	1,229,834	6,847,795	4,038,815
9 Materials and Supplies	394,049	443,677	418,863
10 Less: Advances for Construction	(6,757,984)	(6,498,773)	(6,628,379)
11 Less: Contributions in Aid of Construction	(15,097,885)	(15,146,936)	(15,122,411)
12 Less: Accumulated Deferred Income and Investment Tax Credits	(186,570)	(159,322)	(172,946)
13 Net Plant Investment	<u>143,281,699</u>	<u>152,397,299</u>	<u>147,839,499</u>
CAPITALIZATION			
14 Common Stock	745,380	745,380	745,380
15 Proprietary Capital (Individual or Partnership)	0	0	0
16 Paid-in Capital	5,008,394	5,008,394	5,008,394
17 Retained Earnings	79,355,301	84,102,793	81,729,047
18 Common Stock and Equity (Lines 14 through 17)	85,109,075	89,856,567	87,482,821
19 Preferred Stock	3,982,267	3,982,267	3,982,267
20 Long-Term Debt	58,000,000	58,000,000	58,000,000
21 Notes Payable	0	0	0
22 Total Capitalization (Lines 18 through 21)	<u>147,091,342</u>	<u>151,838,834</u>	<u>149,465,088</u>

INCOME STATEMENT AND OTHER DATA

Calendar Year 2016

Name of Utility: SUBURBAN WATER SYSTEMS Telephone: (626) 543-2500

	Annual Amount
INCOME STATEMENT	
23 Unmetered Water Revenue	582,035
24 Fire Protection Revenue	1,204,420
25 Irrigation Revenue	0
26 Metered Water Revenue	70,615,319
27 Total Operating Revenue	72,401,774
28 <u>Operating Expenses</u>	43,650,090
29 Depreciation Expense (Composite Rate: 3.7%)	8,095,021
30 Amortization and Property Losses	159,822
31 Property Taxes	1,311,887
32 Taxes Other Than Income Taxes	652,675
33 Total Operating Revenue Deduction Before Taxes	53,869,495
34 California Corp. Franchise Tax	1,424,703
35 Federal Corporate Income Tax	5,142,792
36 Total Operating Revenue Deduction After Taxes	60,436,990
37 Net Operating Income (Loss) - California Water Operations	11,964,784
38 Other Operating and Nonoperating. Income and Exp. - Net (Exclude Interest Expense)	(225,068)
39 Income Available for Fixed Charges	12,189,852
40 Interest Expense	2,640,793
41 Net Income (Loss) Before Dividends	9,549,059
42 Preferred Stock Dividends	168,792
43 Net Income (Loss) Available for Common Stock	9,380,267
OTHER DATA	
44 Refunds of Advances for Construction	259,211
45 Total Payroll Charged to Operating Expenses	8,656,323
46 Purchased Water	9,031,618
47 Power	2,820,355
48 Class A Water Companies Only:	
a. Pre-TRA 1986 Contributions in Aid of Construction	1,187,543
b. Pre-TRA 1986 Advances for Construction	174,187
c. Post TRA 1986 Contributions in Aid of Construction	13,959,393
d. Post TRA 1986 Advances for Construction	6,324,586

	(Exc. Fire Protect.)	Jan. 1	Dec. 31	Annual Average
<u>Active Service Connections</u>				
49 Metered Service Connections		74,955	74,968	74,962
50 Flat Rate Service Connections		1,169	1,184	1,177
51 Total Active Service Connections		76,124	76,152	76,138

BALANCE SHEET AND CAPITALIZATION DATA

Adjusted to Exclude Non-Regulated Activity

Calendar Year 2016

Name of Utility: SUBURBAN WATER SYSTEMS Telephone: (626) 543-2500

Person Responsible for this Report: BOB KELLY

	<u>1/1/2016</u>	<u>12/31/2016</u>	<u>Average</u>
BALANCE SHEET DATA			
1 Intangible Plant	1,264,823	1,264,823	1,264,823
2 Land and Land Rights	1,070,591	1,073,663	1,072,127
3 Depreciable Plant	249,999,897	260,459,121	255,229,509
4 Gross Plant in Service	252,335,311	262,797,607	257,566,459
5 Less: Accumulated Depreciation	(90,105,780)	(96,516,195)	(93,310,988)
6 Net Water Plant in Service	162,229,531	166,281,412	164,255,472
7 Water Plant Held for Future Use	1,470,724	629,446	1,050,085
8 Construction Work in Progress	1,229,834	6,847,795	4,038,815
9 Materials and Supplies	394,049	443,677	418,863
10 Less: Advances for Construction	(6,757,984)	(6,498,773)	(6,628,379)
11 Less: Contributions in Aid of Construction	(15,097,885)	(15,146,936)	(15,122,411)
12 Less: Accumulated Deferred Income and Investment Tax Credits	(186,570)	(159,322)	(172,946)
13 Net Plant Investment	143,281,699	152,397,299	147,839,499
 CAPITALIZATION			
14 Common Stock	745,380	745,380	745,380
15 Proprietary Capital (Individual or Partnership)	0	0	0
16 Paid-in Capital	5,008,394	5,008,394	5,008,394
17 Retained Earnings	77,154,562	81,670,701	79,412,631
18 Common Stock and Equity (Lines 14 through 17)	82,908,336	87,424,475	85,166,405
19 Preferred Stock	3,982,267	3,982,267	3,982,267
20 Long-Term Debt	58,000,000	58,000,000	58,000,000
21 Notes Payable	0	0	0
22 Total Capitalization (Lines 18 through 21)	144,890,603	149,406,742	147,148,672

Note: _____

INCOME STATEMENT AND OTHER DATA

Adjusted to Exclude Non-Regulated Activity

Calendar Year 2016

Name of Utility: SUBURBAN WATER SYSTEMS Telephone: (626) 543-2500

INCOME STATEMENT

	Annual Amount
23 Unmetered Water Revenue	582,035
24 Fire Protection Revenue	1,204,420
25 Irrigation Revenue	0
26 Metered Water Revenue	70,615,319
27 Total Operating Revenue	72,401,774
28 <u>Operating Expenses</u>	43,650,090
29 Depreciation Expense (Composite Rate: 3.7%)	8,095,021
30 Amortization and Property Losses	159,822
31 Property Taxes	1,311,887
32 Taxes Other Than Income Taxes	652,675
33 Total Operating Revenue Deduction Before Taxes	53,869,495
34 California Corp. Franchise Tax	1,390,185
35 Federal Corporate Income Tax	5,018,193
36 Total Operating Revenue Deduction After Taxes	60,277,873
37 Net Operating Income (Loss) - California Water Operations	12,123,901
38 Other Operating and Nonoperating. Income and Exp. - Net (Exclude Interest Expense)	165,402
39 Income Available for Fixed Charges	11,958,499
40 Interest Expense	2,640,793
41 Net Income (Loss) Before Dividends	9,317,706
42 Preferred Stock Dividends	168,792
43 Net Income (Loss) Available for Common Stock	9,148,914

OTHER DATA

44 Refunds of Advances for Construction	259,211
45 Total Payroll Charged to Operating Expenses	8,656,323
46 Purchased Water	9,031,618
47 Power	2,820,355
48 Class A Water Companies Only:	
a. Pre-TRA 1986 Contributions in Aid of Construction	1,187,543
b. Pre-TRA 1986 Advances for Construction	174,187
c. Post TRA 1986 Contributions in Aid of Construction	13,959,393
d. Post TRA 1986 Advances for Construction	6,324,586

			Annual Average
<u>Active Service Connections</u>	(Exc. Fire Protect.)	Jan. 1	Dec. 31
49 Metered Service Connections		74,955	74,968
50 Flat Rate Service Connections		1,169	1,184
51 Total Active Service Connections		76,124	76,152
		74,962	1,177
		76,138	

Notes to Adjusted Selected Financial Data

Instructions: Provide reasons for each adjustment to the Selected Financial Data due to non-regulated activities. Detail this information by name of line item (Gross Plant, Operating Revenues, etc.), account number, dollar amount, and by point in time (end of year, beginning of year, or average).

1	California Corp. Franchise Tax, Account #50710, \$34,518 (end of year balance), to exclude state tax on non-tariff activity (i.e., non-regulated)
2	Federal Corporate Income Tax, Account #50720, \$124,599 (end of year balance), to exclude federal tax on non-tariff activity (i.e., non-regulated)
3	Other Operating and Nonoper. Income and (Exp.), Account #52600, \$390,470 (end of year balance), to exclude non-tariff activity (i.e., non-regulated)
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Excess Capacity and Non-Tariffed Services

NOTE: In D.00-07-018, D.03-04-028, and D. 04-12-023, the CPUC set forth rules and requirements regarding water utilities provision of non-tariffed services using excess capacity. These decisions require water utilities to: 1) file an advice letter requesting Commission approval of that service, 2) provide information regarding non-tariffed goods/services in each companies Annual Report to the Commission.

Based on the information and filings required in D.00-07-018, D.03-04-028, and D.04-12-023, provide the following information by each individual non-tariffed good and service provided in 2015:

Applies to All Non-Tariffed Goods/Services that require Approval by Advice Letter											
Row Number	Description of Non-Tariffed Goods/Services	Active or Passive	Total Revenue Derived from Non-tariffed Goods/ Services (by account)	Revenue Account Number	Total Expenses Incurred to Provide Non-tariffed Goods/ Services (by account)	Expense Account Number	Advice Letter and/or Resolution Number Approving Non-tariffed Goods/ Services	Total Income Tax Liability Incurred Because of Non-tariffed Goods/ Services (by account)	Income Tax Liability Account Number	Gross Value of Regulated Assets Used in the Provision of a Non-tariffed Goods/ Services (by account)	Regulated Asset Account Number
1	Residential Houseline Program	Active	579,202	52600=90% 61410=10%	N/A		Approval not required	236,025	2280		
2	Antenna-Lease	Passive	74,239	52600=70% 61415=30%	N/A		Approval not required	30,252	2280		
3	Recycle Water	Passive	16,974	52600=70% 61415=30%	N/A		Approval not required	6,917	2280		

GENERAL INFORMATION

1. Name under which utility is doing business: SUBURBAN WATER SYSTEMS

2. Official mailing address:
1325 N GRAND AVENUE, COVINA, CALIFORNIA, 91724-4044

3. Name and title of person to whom correspondence should be addressed:
RICHARD RICH, GENERAL MANAGER Telephone: (626) 543-2500

4. Address where accounting records are maintained:
1325 N GRAND AVENUE, SUITE 100, COVINA, CALIFORNIA, 91724-4044

5. Service Area (Refer to district reports if applicable): IN OR NEAR COVINA, WEST COVINA, LA PUENTE, GLENDORA, HACIENDA HEIGHTS, WHITTIER, LA MIRADA, AND ADJACENT UNINCORPORATED AREAS IN LOS ANGELES COUNTY, AND BUENA PARK, LA HABRA, WALNUT, AND ADJACENT UNINCORPORATED AREAS IN ORANGE COUNTY

6. Service Manager (If located in or near Service Area.) (Refer to district reports if applicable.)
Name: RICHARD RICH, GENERAL MANAGER Telephone: (626) 543-2500
Address: 1325 N GRAND AVENUE, SUITE 100, COVINA, CALIFORNIA, 91724-4044

7. OWNERSHIP. Check and fill in appropriate line:
 Individual (name of owner) _____
 Partnership (name of partner) _____
 Partnership (name of partner) _____
 Partnership (name of partner) _____
 Corporation (corporate name) SUBURBAN WATER SYSTEMS
Organized under laws of (state) CALIFORNIA Date: APRIL 15, 1907

Principal Officers:
Name: MICHAEL O. QUINN Title: PRESIDENT & CEO
Name: KIRK B. MICHAEL Title: CFO, VP FINANCE & TREASURER
Name: WILLIAM K. DIX Title: VP, GENERAL COUNSEL & SECRETARY
Name: _____ Title: _____

8. Names of associated companies: SOUTHWEST WATER COMPANY

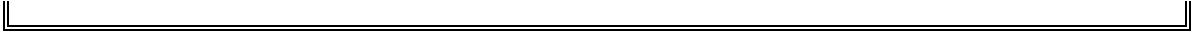
9. Names of corporations, firms or individuals whose property or portion of property have been acquired during the year, together with date of each acquisition:
Name: NONE Date: _____
Name: _____ Date: _____
Name: _____ Date: _____
Name: _____ Date: _____

10. Use the space below for supplementary information or explanations concerning this report:

11. List Name, Grade, and License Number of all Licensed Operators:
See attached

12. List Name, Address, and Phone Number of your company's external auditor:
Name: Price Waterhouse Coopers Telephone: (713) 356-4000
Address: 1201 Louisiana St., Suite 2900, Houston, TX 77002

13. This annual report was prepared by:
Name of firm or consultant: NONE
Address of firm or consultant: _____
Phone Number of firm or consultant: ()



Suburban affiliates

- 1) California Domestic Water Company
- 2) Covina Irrigating Company
- 3) ECO Resources, Inc.
- 4) New Mexico Utilities, Inc.
- 5) Kiawah Island Utility, Inc.
- 6) KIU Holdings, L.L.C.
- 7) Monarch Utilities, Inc.
- 8) Monarch Utilities I, L.P.
- 9) Ni America Texas, LLC
- 10) North County Water Reclamation, Inc.
- 11) Northwest Utility Systems Inc
- 12) Southeast Utility Systems, Inc.
- 13) SW Merger Acquisition Corporation
- 14) SouthWest Water Company
- 15) SWWC Services, Inc.
- 16) Texas Water Services Group, LLC.
- 17) TWC Utility Company, LLC.
- 18) SWWC Operations, Inc.

Cert No.	Grade	First Name	Last Name
38175	T2	Aaron	Sanchez
15203	T2	Alejandro	Rangel
23687	T3	Bob	McEntyre
33011	T2	Brandon	Van Veldhuizen
31915	T2	Brendon	Keathley
24372	T4	Chris	Arrighi
29737	T2	Craig	Gott
18823	T2	Curtis	Hansen
3264	T2	Damon	Bingle
26742	T2	Daniel	Gonzalez
31842	T2	Darrin	Carbajal
19467	T2	David	Button
36312	T1	David	Islas
33410	T1	Dominic	Luevano
34511	T1	Eric	Aguilar
26595	T2	Fernando	Hernandez
39011	T2	Jaime	Gonzalez
20769	T2	Jayne	Schaefer
22952	T2	Jerry	Hoing
27045	T3	Jesse	Malinowski
36176	T1	John	Maguire
27179	T1	Johnny	Pestano
26294	T3	Josh	Vaughn
18482	T1	Kathleen	Medina
34503	T2	Kenneth	Reich
35913	T1	Laura	Sainz
22933	T1	Leonard	Gaskin
33174	T1	Luis	Preciado
27770	T2	Matthew	Martinez
30295	T1	Michael	Chiraulo
32477	T2	Michael	Rodriguez
21840	T2	Mona	Kort-Boers
9346	T2	Nicholas	Cafagno
7359	T3	Norris	Fulfer
27538	T2	Omar	Ochoa
38181	T1	Pablo	Martinez
12917	T3	Pat	Pooler
32347	T2	Patricio	Montoya
38891	T2	Paul	Dimaggio
30840	T2	Pedro	Angel
32303	T2	Phillip	Rodriguez
38871	T1	Ramiro	Garcia
18440	T2	Randy	Keefer
31881	T2	Richard	Rich
23818	T4	Rosalba	Magana
34872	T1	Steven	Alvarez
12233	T3	Thomas	Luczak
21486	T2	Timothy	Tillery
12722	T2	Tom	Medina
34197	T1	Victor	Franco

Cert No.	Grade	First Name	Last Name
44629	D2	Aaron	Sanchez
47575	D1	Alberto	Martinez
4851	D3	Alejandro	Rangel
25918	D4	Bob	McEntyre
36056	D2	Brandon	Van Veldhuizen
8928	D2	Brenda	Zuniga
33930	D3	Brendon	Keathley
37729	D2	Brian	Inman
14187	D5	Chris	Arrighi
47077	D2	Christian	Lucero
37337	D3	Craig	Gott
8012	D4	Curtis	Hansen
8917	D3	Damon	Bingle
29009	D3	Daniel	Gonzalez
33462	D2	Darleen	Phares
34545	D3	Darrin	Carbajal
7262	D5	David	Button
38544	D3	David	Islas
28240	D4	David	Vaughn
39543	D2	Dominic	Luevano
7151	D2	Dominica	Briseno
35802	D1	Eric	Aguilar
47746	D1	Felipe	Hernandez
27548	D3	Fernando	Hernandez
47090	D2	Francisco	Garcia
27696	D1	Irene	Standi
47477	D2	Jaime	Gonzalez
42558	D2	Javier	Tripodi
7167	D3	Jayme	Schaefer
7762	D3	Jerry	Hoing
30263	D3	Jesse	Malinowski
42330	D1	Jocelyn	Padilla
42457	D2	Joel	Salmeron
41632	D1	John	Maguire
29195	D2	Johnny	Pestano
28240	D4	Josh	Vaughn
8921	D2	Kathleen	Medina
42291	D2	Kenneth	Reich
43083	D1	Laura	Sainz
38766	D1	Leonard	Gaskin
37614	D2	Luis	Preciado
29191	D3	Matthew	Martinez
30741	D1	Michael	Chiraulo
36162	D2	Michael	Rodriguez
8920	D3	Mona	Kort-Boers
2849	D4	Nicholas	Cafagno
8199	D2	Nora	Velarde
1700	D4	Norris	Fulfer
30290	D2	Omar	Ochoa
43351	D2	Pablo	Martinez
8923	D2	Pam	Nolton
3698	D5	Pat	Pooler
35756	D3	Patricio	Montoya
47021	D2	Paul	Dimaggio
36735	D2	Pedro	Angel
35764	D4	Phillip	Rodriguez
40962	D2	Ramiro	Garcia
6734	D4	Randy	Keefer
38187	D3	Richard	Rich
37031	D1	Robert	Galvez
9395	D2	Rolshun	Monette
14586	D4	Rosalba	Magana
47233	D1	Ryan	Batista
38722	D1	Salvatore	Bertola
45899	D1	Samuel	Perez

SCHEDULE A
COMPARATIVE BALANCE SHEETS
Assets and Other Debits

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
1		I. UTILITY PLANT			
2	100	Utility Plant in Service	A-1	270,274,848	255,035,869
3	107	Utility Plant Adjustments		-	-
4		Total Utility Plant		270,274,848	255,035,869
5	250	Reserve for Depreciation of Utility Plant	A-3	(95,878,765)	(89,625,892)
6	251	Reserve for amortization of limited term utility investments	A-3	(665,980)	(506,921)
7	252	Reserve for amortization of utility plant acquisition adjustment	A-3	28,550	27,033
8		Total utility plant reserves		(96,516,195)	(90,105,780)
9		Total utility plant less reserves		173,758,653	164,930,089
10					
11		II. INVESTMENT AND FUND ACCOUNTS			
12	110	Other Physical Property	A-2	125,797	107,383
13	253	Reserve for depreciation and amortization of other property	A-3	(42,860)	(21,374)
14		Other physical property less reserve		82,937	86,009
15	111	Investments in Associated Companies	A-4	-	-
16	112	Other Investments	A-5	-	-
17	113	Sinking Funds	A-6	-	-
18	114	Miscellaneous Special Funds	A-7	-	-
19		Total investments and fund accounts		82,937	86,009
20					
21		III. CURRENT AND ACCRUED ASSETS			
22	120	Cash		154,719	110,312
23	121	Special Deposits	A-8	-	-
24	122	Working Funds		-	-
25	123	Temporary Cash Investments		-	-
26	124	Notes Receivable	A-9	-	-
27	125	Accounts Receivable		6,892,516	6,546,192
28	126	Receivables from Associated Companies	A-10	10,021,929	10,659,438
29	131	Materials and Supplies		443,677	394,049
30	132	Prepayments	A-11	2,140,390	2,756,616
31	133	Other Current and Accrued Assets	A-12	-	-
32		Total Current and Accrued Assets		19,653,231	20,466,607
33					
34		IV. DEFERRED DEBITS			
35	140	Unamortized Debt Discount and Expense	A-13	692,055	754,394
36	141	Extraordinary Property Losses	A-14	-	-
37	142	Preliminary Survey and Investigation Charges		-	-
38	143	Clearing Accounts		-	-
39	145	Other Work in Progress		23,179	87,130
40	146	Other Deferred Debits	A-15	12,957,325	12,246,593
41		Total Deferred Debits		13,672,559	13,088,117
42		Total Assets and Other Debits		207,167,380	198,570,822
43					

SCHEDULE A
COMPARATIVE BALANCE SHEETS
Liabilities and Other Credits

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
1		I. CORPORATE CAPITAL AND SURPLUS			
2	200	Common Capital Stock	A-18	745,380	745,380
3	201	Preferred Capital Stock	A-18	3,982,267	3,982,267
4	202	Stock liability for Conversion		-	-
5	203	Premiums and Assessments on Capital Stock	A-19	126,550	126,550
6	150	Discount on Capital Stock	A-16	-	-
7	151	Capital Stock Expense	A-17	-	-
8	270	Capital Surplus	A-20	4,881,844	4,881,844
9	271	Earned Surplus	A-21	84,102,793	79,355,301
10		Total Corporate Capital and Surplus		93,838,834	89,091,342
11					
12		II. PROPRIETARY CAPITAL			
13	204	Proprietary Capital (Individual or Partnership)	A-22	-	-
14	205	Undistributed Profits of Proprietorship or Partnership	A-23	-	-
15		Total Proprietary Capital		-	-
16					
17		III. LONG-TERM DEBT			
18	210	Bonds	A-24	58,000,000	58,000,000
19	211	Receivers' Certificates		-	-
20	212	Advances from Associated Companies	A-25	-	-
21	213	Miscellaneous Long-term Debt	A-26	-	-
22		Total Long-term Debt		58,000,000	58,000,000
23					
24		IV. CURRENT AND ACCRUED LIABILITIES			
25	220	Notes Payable	A-28	-	-
26	221	Notes Receivable Discounted		-	-
27	222	Accounts Payable		1,328,420	1,019,023
28	223	Payables to Associated Companies	A-29	-	-
29	224	Dividends Declared		-	-
30	225	Matured Long-term Debt		-	-
31	226	Matured Interest		-	-
32	227	Customers' Deposits		681,183	417,861
33	228	Taxes Accrued	A-31	3,792	(15,462)
34	229	Interest Accrued		813,852	813,856
35	230	Other Current and Accrued Liabilities	A-30	5,325,138	5,707,544
36		Total Current and Accrued Liabilities		8,152,385	7,942,822
37					
38		V. DEFERRED CREDITS			
39	240	Unamortized Premium on Debt	A-13	-	-
40	241	Advances for Construction	A-32	6,498,773	6,757,984
41	242	Other Deferred Credits	A-33	25,356,195	21,544,218
42		Total deferred credits		31,854,968	28,302,202
43					
44		VI. RESERVES			
45	254	Reserve for Uncollectible Accounts	A-34	174,257	136,571
46	255	Insurance Reserve	A-34	-	-
47	256	Injuries and Damages Reserve	A-34	-	-
48	257	Employees' Provident Reserve	A-34	-	-
49	258	Other Reserves	A-34	-	-
50		Total Reserves		174,257	136,571
51					
52		VII. CONTRIBUTIONS IN AID OF CONSTRUCTION			
53	265	Contributions in Aid of Construction	A-35	15,146,936	15,097,885
54		Total Liabilities and Other Credits		207,167,380	198,570,822

SCHEDULE B
Income Account for the Year

Line No.	Acct.	Account (a)	Schedule Page No. (b)	Amount (c)
1		I. UTILITY OPERATING INCOME		
2	501	Operating Revenues	B-1	72,401,774
3				
4		Operating Revenue Deductions:		
5	502	Operating Expenses	B-2	43,650,090
6	503	Depreciation	A-3	8,095,021
7	504	Amortization of Limited-term Utility Investments	A-3	161,339
8	505	Amortization of Utility Plant Acquisition Adjustments	A-3	(1,517)
9	506	Property Losses Chargeable to Operations	B-3	-
10	507	Taxes	B-4	8,532,057
11		Total Operating Revenue Deductions		60,436,990
12		Net Operating Revenues		11,964,784
13	508	Income from Utility Plant Leased to Others		-
14	510	Rent for Lease of Utility Plant		-
15		Total Utility Operating Income		11,964,784
16				
17		II. OTHER INCOME		
18	521	Income from Nonutility Operations (Net)	B-6	-
19	522	Revenue from Lease of Other Physical Property		-
20	523	Dividend Revenues		-
21	524	Interest Revenues		-
22	525	Revenues from Sinking and Other Funds		-
23	526	Miscellaneous Nonoperating Revenues	B-7	390,470
24	527	Nonoperating Revenue Deductions - Dr.	B-8	(6,882)
25		Total Other Income		383,588
26		Net Income before Income Deductions		12,348,372
27				
28		III. INCOME DEDUCTIONS		
29	530	Interest on Long-term Debt		3,256,846
30	531	Amortization of Debt Discount and Expense	A-13	73,671
31	532	Amortization of Premium on Debt - Cr.		-
32	533	Taxes Assumed on Interest		-
33	534	Interest on Debt to Associated Companies		(689,724)
34	535	Other Interest Charges	B-9	-
35	536	Interest Charged to Construction - Cr.		-
36	537	Miscellaneous Amortization		-
37	538	Miscellaneous Income Deductions	B-10	158,520
38		Total Income Deductions		2,799,313
39		Net Income		9,549,059
40				
41		IV. DISPOSITION OF NET INCOME		
42	540	Miscellaneous Reservations of Net Income		-
43				
44		Balance transferred to Earned Surplus or		-
45		Proprietary Accounts scheduled on page 21		
46				

SCHEDULE A-1
Account 100 - Utility Plant

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1	100.1	Utility Plant in Service (Schedule A-1a)	252,399,351	10,927,982	(468,758)	3,072	262,861,647
2	100.2	Utility Plant Leased to Others	-				-
3	100.3	Construction Work in Progress	1,229,834	5,617,961			6,847,795
4	100.4	Utility Plant Held for Future Use (Sch A-1c)	1,470,724	(841,278)			629,446
5	100.5	Utility Plant Acquisition Adjustments	(64,040)				(64,040)
6	100.6	Utility Plant in Process of Reclassification					-
7		Total utility plant	255,035,869	15,704,665	(468,758)	3,072	270,274,848

SCHEDULE A-1a
Account 100.1 - Utility Plant in Service

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		I. INTANGIBLE PLANT					
2	301	Organization	24,996				24,996
3	302	Franchises and Consents (Schedule A-1b)	8,040				8,040
4	303	Other Intangible Plant	1,231,787				1,231,787
5		Total Intangible Plant	1,264,823	-	-	-	1,264,823
6							
7		II. LANDED CAPITAL					
8	306	Land and Land Rights	1,070,591			3,072	1,073,663
9							
10		III. SOURCE OF SUPPLY PLANT					
11	311	Structures and Improvements	-				-
12	312	Collecting and Impounding Reservoirs	-				-
13	313	Lake, River and Other Intakes	-				-
14	314	Springs and Tunnels	-				-
15	315	Wells	10,029,859				10,029,859
16	316	Supply Mains	7,133,950				7,133,950
17	317	Other Source of Supply Plant	255,705				255,705
18		Total Source of Supply Plant	17,419,514	-	-	-	17,419,514
19							
20		IV. PUMPING PLANT					
21	321	Structures and Improvements	8,778,267		(7,181)		8,771,086
22	322	Boiler Plant Equipment	-				-
23	323	Other Power Production Equipment	-				-
24	324	Pumping Equipment	18,498,239	189,230	(6,693)		18,680,776
25	325	Other Pumping Plant	254,376				254,376
26		Total Pumping Plant	27,530,882	189,230	(13,874)	-	27,706,238
27							
28		V. WATER TREATMENT PLANT					
29	331	Structures and Improvements	1,155,664				1,155,664
30	332	Water Treatment Equipment	3,826,826	187,614			4,014,440
31		Total Water Treatment Plant	4,982,490	187,614	-	-	5,170,104

SCHEDULE A-1a
Account 100.1 - Utility Plant in Service (Continued)

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
32		VI. TRANSMISSION AND DIST. PLANT					
33	341	Structures and Improvements	405,137				405,137
34	342	Reservoirs and Tanks	33,807,876	1,058,934			34,866,810
35	343	Transmission and Distribution Mains	101,424,437	3,530,793	(14,041)		104,941,189
36	344	Fire Mains	-				-
37	345	Services	29,731,769	2,713,449	(121,279)		32,323,939
38	346	Meters	6,072,401	546,294	(86,872)		6,531,823
39	347	Meter Installations	553,212	118,224			671,436
40	348	Hydrants	9,203,467	637,999	(26,332)		9,815,134
41	349	Other Transmission and Distribution Plant	-				-
42		Total Transmission and Distribution Plant	181,198,299	8,605,693	(248,524)	-	189,555,468
43							
44		VII. GENERAL PLANT					
45	371	Structures and Improvements	10,717,499	598,269	(27,557)		11,288,211
46	372	Office Furniture and Equipment	1,425,472	97,190	(36,638)		1,486,024
47	373	Transportation Equipment	1,003,674	476,467			1,480,141
48	374	Stores Equipment	-				-
49	375	Laboratory Equipment	-				-
50	376	Communication Equipment	3,241,091	593,746			3,834,837
51	377	Power Operated Equipment	75,008				75,008
52	378	Tools, Shop and Garage Equipment	886,185	93,535	(142,165)		837,555
53	379	Other General Plant	-				-
54	381	GIS Mapping Facilities	1,583,823	86,238			1,670,061
55		Total General Plant	18,932,752	1,945,445	(206,360)	-	20,671,837
56							
57		VIII. UNDISTRIBUTED ITEMS					
58	390	Other Tangible Property	-				-
59	391	Utility Plant Purchased	-				-
60	392	Utility Plant Sold	-				-
61		Total Undistributed Items	-	-	-	-	-
62		Total Utility Plant in Service	252,399,351	10,927,982	(468,758)	3,072	262,861,647

SCHEDULE A-1b
Account 302 - Franchises and Consents

Line No.	Name of Original Grantor (a)	Date of Grant (b)	Term in Years (c)	Date of Acquisition by Utility (d)	Amount at which Carried in Account ¹ (e)
1	City of Whittier	10/12/10	25	9/20/1930	5,621
2	City of Industry	8/13/59	50	6/25/1959	251
3	City of La Puente	9/27/60	50	9/27/1960	478
4	County of Los Angeles	10/27/05	15	11/28/1980	1,690
5	Total				8,040

¹ The total should agree with the balance at the end of the year in Account 302 in Schedule A-1a Line 10.

SCHEDULE A-1c
Account 100.4 - Utility Plant Held for Future Use

Line No.	Description and Location of Property (a)	Date of Acquisition (b)	Approximate Date When Property Will be Placed in Service (c)	Balance End of Year (d)
1	Cadiz Valley Project	6/11	2018	125,000
2	Interconnection with San Gabriel Valley Company at Hacienda Blvd & La Monde St.	3/12	2018	1,550
3	Plant 507 R-1 and R-2	8/13	2018/2019	927
4	I-10 Widening Project (Citrus to Route 57)	11/13	2018	60,948
5	Sunset and Delvalle Service replacements	2/10	2018	9,440
6	Walnut Creek on Willow Ave	12/14	2018	74
7	Larimore & Cadwell - Services Replacement	2/15	2018	328
8	California & Vine - Service Replacements	2/15	2018	10,379
9	Beckner & Orange - Services Replacements	2/15	2018	4,524
10	I-5 Widening Project (Phase 2)	4/12	2019	200,966
11	340 Zone Reliability Project	2/13	2018	14,697
12	La Mirada and Weeks Valve Station Replacement	12/14	2018	8,538
13	La Mirada and Leffingwell Valve Station Replacement	12/14	2017	18,072
14	Whittier Blvd Crossing	9/15	2018	43,692
15	La Mirada and Alondra Pipeline	4/16	2018	13,366
16	Plant 413 Suction Line (D-Line)	5/16	2017	100,605
17	Oakbury and La Fonda Pipeline Replacement	7/16	2017	6,925
18	Stanton and Watkins	8/16	2018	9,415
19				
	Total			629,446

SCHEDULE A-2
Account 110 - Other Physical Property

Line No	Name and Description of Property (a)	Book Value End of Year (b)
1	Land, Structures, Fences, Landscaping, etc.	99,196
2	Other	26,601
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15	Total	125,797

**SCHEDULE A-1d
RATE BASE AND WORKING CASH**

Line No.	Acct.	Title of Account (a)	Balance 12/31/2016 (c)	Balance 1/1/2016 (d)
		RATE BASE		
1		TOTAL COMPANY		
2		Utility Plant	262,797,607	252,335,311
3		Construction Work in Progress	6,847,795	1,229,834
4		Materials and Supplies	443,677	394,049
5		Working Cash	(3,140,656)	(3,140,655)
6		Total Gross Plant (=Line 2 + Line 3 + Line 4)	266,948,423	250,818,539
7				
8		LESS DEDUCTIONS FROM RATE BASE		
9		Reserve for Depreciation	95,878,765	89,625,892
10		Unamortized Investment Tax Credits	159,322	186,570
11		Contributions in Aid of Construction	15,146,936	15,097,885
12		Advances for Construction	6,498,773	6,757,984
13		Accumulated Deferred Federal Income Taxes, Depreciation Timing Differences	13,834,412	14,415,650
14		Accumulated Deferred Taxes Associated with Taxable Advances for Construction	(84,610)	(90,921)
15		Accumulated Deferred Taxes Associated with Taxable CIAC	-	-
16		Pension Reserve	-	-
17		Unamortized Deferred Revenues, Taxable C.I.A.C.	12,128	18,097
18		Accumulated Deferred Income Taxes - Pension Reserve	-	-
19		Accumulated Deferred Taxes Associated with Interest During Construction (IDC)	929,329	783,385
20		Accumulated Deferred Taxes Associated with Amortization of Interest During Construction	(153,424)	(119,169)
21		SUBTOTAL DEDUCTIONS	132,221,631	126,675,373
22				
23		TOTAL RATE BASE	134,726,792	124,143,166
24				
25				
26				

Working Cash				
27		Determination of Operational Cash Requirement		
28		Operating Expenses, Excluding Taxes, Depreciation & Uncollectible	43,533,883	42,470,406
29		Purchased Power & Commodity for Resale*	2,820,355	2,870,197
30		Meter Revenues: Monthly Billing	70,480,367	68,802,208
31		Other Revenues: Flat Rate Monthly Billing	1,921,407	2,168,812
32		Total Revenues (=Line 30 + Line 31)	72,401,774	70,971,020
33		Ratio - Flat Rate to Total Revenues (=Line 31 / Line 32)	3%	3%
34		5/24 x Line 28 x (100% - Line 33)	8,797,472	8,582,561
35		1/24 x Line 28 x Line 33	54,417	53,088
36		1/12 x Line 29	235,030	239,183
37		Operational Cash Requirement (=Line 34 + Line 35 - Line 36)	8,616,859	8,396,466
		* Electric power, gas or other fuel purchased for pumping and/or purchased commodity for resale billed after receipt (metered).		
		Working cash per D. 14-12-038 workpapers	(3,140,656)	(3,140,655)

SCHEDULE A-3
Accounts 250 to 253, Inclusive - Depreciation and Amortization Reserves

Line No.	Item (a)	Account 250 Utility Plant (b)	Account 251 Limited-Term Utility Investments (c)	Account 252 Utility Plant Acquisition Adjustments (d)	Account 253 Other Property (e)
1	Balance in reserves at beginning of year	89,625,892	506,921	(27,033)	21,374
2	Add: Credits to reserves during year				
3	(a) Charged to Account 503, 504, 505 ⁽¹⁾	9,068,373	161,339	(1,517)	21,486
4	(b) Charged to Account 265				
5	(c) Charged to clearing accounts				
6	(d) Salvage recovered	12,320			
7	(e) All other credits ⁽²⁾	-			-
8	Total credits	9,080,693	161,339	(1,517)	21,486
9	Deduct: Debits to reserves during year				
10	(a) Book cost of property retired	466,478	2,280		
11	(b) Cost of removal	2,361,342			
12	(c) All other debits ⁽³⁾				
13	Total debits	2,827,820	2,280	-	-
14	Balance in reserve at end of year	95,878,765	665,980	(28,550)	42,860
15					
16	(1) COMPOSITE DEPRECIATION RATE USED FOR STRAIGHT LINE REMAINING LIFE:				3.652%
17					
18	(2) EXPLANATION OF ALL OTHER CREDITS:				
19	None				
20					
21					
22					
23					
24					
25					
26					
27	(3) EXPLANATION OF ALL OTHER DEBITS:				
28	None				
29					
30					
31					
32					
33					
34					
35	METHOD USED TO COMPUTE INCOME TAX DEPRECIATION:				
36	(a) Straight Line	()			
37	(b) Liberalized	()			
38	(1) Double declining balance	()			
39	(2) ACRS	()			
40	(3) MACRS	()			
41	(4) Others	()			
42	(c) Both straight line and liberalized	(X)			
					DDB with change-over to Straight-line (pre 1982 assets)
					ACRS (1982 - 1986 assets)
					Macrs (1987 - Present)

SCHEDULE A-3a
Account 250 - Analysis of Entries in Depreciation Reserve

(This schedule is to be completed if records are maintained showing depreciation reserve by plant accounts)

Line No.	Acct.	DEPRECIABLE PLANT (a)	Balance Beginning of Year (b)	Credits to Reserve During Year Excl. Salvage (c)	Debits to Reserves During Year Excl. Cost Removal (d)	Salvage and Cost of Removal Net (Dr.) or Cr. (e)	Balance End of Year (f)
1		I. SOURCE OF SUPPLY PLANT					
2	311	Structures and Improvements					
3	312	Collecting and Impounding Reservoirs					
4	313	Lake, river and Other Intakes					
5	314	Springs and Tunnels					
6	315	Wells	3,178,115	393,572		(175)	3,571,512
7	316	Supply Mains	3,934,358	159,515			4,093,873
8	317	Other Source of Supply Plant	249,605	3,749			253,354
9		Total Source of Supply Plant	7,362,078	556,836	-	(175)	7,918,739
10							
11		II. PUMPING PLANT					
12	321	Structures and Improvements	2,511,942	294,140	(7,181)		2,798,901
13	322	Boiler Plant Equipment					
14	323	Other Power Production Equipment					
15	324	Pumping Equipment	7,706,112	917,844	(6,693)		8,617,263
16	325	Other Pumping Plant	106,240	12,365		(26,404)	92,201
17		Total Pumping Plant	10,324,294	1,224,349	(13,874)	(26,404)	11,508,365
18							
19		III. WATER TREATMENT PLANT					
20	331	Structures and Improvements	365,597	38,068			403,665
21	332	Water Treatment Equipment	2,406,750	166,934			2,573,684
22		Total Water Treatment Plant	2,772,347	205,002	-	-	2,977,349
23							
24		IV. TRANS. AND DIST. PLANT					
25	341	Structures and Improvements	228,615	19,284			247,899
26	342	Reservoirs and Tanks	5,938,921	912,489		(909,969)	5,941,441
27	343	Transmission and Distribution Mains	35,576,792	2,420,043	(14,041)	(497,491)	37,485,303
28	344	Fire Mains					
29	345	Services	12,463,290	2,201,202	(121,279)	(716,974)	13,826,239
30	346	Meters	2,165,754	458,842	(86,872)	(85,762)	2,451,962
31	347	Meter Installations	125,416	37,814			163,230
32	348	Hydrants	5,114,000	337,085	(26,332)	(97,572)	5,327,181
33	349	Other Transmission and Distribution Plant					
34		Total Transmission and Distribution Plant	61,612,788	6,386,759	(248,524)	(2,307,768)	65,443,255
35							
36		V. GENERAL PLANT					
37	371	Structures and Improvements	419,666	254,419	(25,277)	(13,475)	635,333
38	372	Office Furniture and Equipment	1,418,840	187,131	(36,638)	(1,200)	1,568,133
39	373	Transportation Equipment	478,382	157,363			635,745
40	374	Stores Equipment					
41	375	Laboratory Equipment					
42	376	Communication Equipment	2,960,838	155,151			3,115,989
43	377	Power Operated Equipment	45,970	(3,312)			42,658
44	378	Tools, Shop and Garage Equipment	658,486	151,920	(142,165)		668,241
45	379	Other General Plant					
46	381	GIS Mapping	1,572,203	(207,245)			1,364,958
47	390	Other Tangible Property	-				-
48	391	Water Plant Purchased	-				-
49		Total General Plant	7,554,385	695,427	(204,080)	(14,675)	8,031,057
50		Total	89,625,892	9,068,373	(466,478)	(2,349,022)	95,878,765

SCHEDULE A-4
Account 111 - Investments in Associated Companies

Line No.	Class of Security (a)	Name of Issuing Company (b)	Par Value End of Year (c)	Ledger Value End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest and Dividends Received During Year (g)
1							
2		NONE					
3							
4							
5							
6							
7		Total ¹					\$ -

1 The total should agree with the balance at the end of the year in Account 111 on page 5.

SCHEDULE A-5
Account 112 - Other Investments

Line No.	Name and Description of Property (a)	Balance End of Year (b)
1		
2	NONE	
3		
4		
5		
6		
7		
8		
9	Total	\$ -

SCHEDULE A-6
Account 113 - Sinking Funds

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1						
2	NONE					
3						
4						
5						
6						
7						
8						
9	Total					\$ -

SCHEDULE A-7
Account 114 - Miscellaneous Special Funds

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1						
2	NONE					
3						
4						
5						
6						
7						
8						
9	Total					\$ -

SCHEDULE A-8
Account 121 - Special Deposits

Line No.	Name of Depository (a)	Purpose of Deposit (b)	Balance End of Year (c)
1			
2	NONE		
3			
4			
5			
6	Total		\$ -

SCHEDULE A-9
Account 124 - Notes Receivable

Line No.	Maker (a)	Date of Issue (b)	Date Payable (c)	Balance End of Year (d)	Interest Rate (e)	Interest Accrued During Year (g)	Interest Received During Year (g)
1							
2	NONE						
3							
4							
5							
6	Total						\$ -

SCHEDULE A-10
Account 126 - Receivables from Associated Companies

Line No.	Due from Whom (a)	Balance End of Year (b)	Interest Rate (c)	Interest Accrued During Year (d)	Interest Received During Year (e)
1	SouthWest Water Company	10,021,929	6.670%	689,724	689,724
2					
3					
4					
5					
6					
7					
8					
9					
10	Total	10,021,929		689,724	689,724

SCHEDULE A-11
Account 132 - Prepayments

Line No.	Item (a)	Amount (b)
1	Security Deposit-La Mirada Office	8,631
2		
3	United Healthcare-Initial Payment	158,711
4		
5	Main San Gabriel Basin Water Storage	1,254,479
6		
7	Canyon Water Lease 2013 - 2016	642,635
8		
9	Prepaid Contracts & Misc.	75,934
10	Total	2,140,390

SCHEDULE A-12
Account 133 - Other Current and Accrued Assets

Line No.	Item (a)	Amount (b)
1		
2		
3	NONE	
4		
5		
6		
7		
8		
9		
10	Total	

SCHEDULE A-13
Accounts 140 and 240 - Unamortized Debt Discount and Expense and Unamortized Premium on Debt

1. Report under separate subheadings for Unamortized Debt Discount and Expense and Unamortized Premium or Debt, particulars of discount and expense of premium applicable to each class and series of long-term debt.
2. Show premium amounts in red or by enclosure in parentheses.
3. In column (b) show the principal amount of bonds or other long-term debt originally issued.
4. In column (c) show the discount and expense or premium with respect to the amount of bonds or other long-term debt originally issued.
5. Furnish particulars regarding the treatment of unamortized debt discount and expense or premium, redemption premiums, and redemption expenses associated with issues redeemed during the year, also, date of the Commission's authorization of treatment other than as specified by the Uniform System of Accounts.
6. Set out separately and identify undisposed amounts applicable to issues which were redeemed in prior years.
7. Explain any debits and credits other than amortization debited to Account 531, Amortization of Debt Discount and Expense, or credited to Account 532, Amortization of Premium on Debt-Credit.

Line No.	Designation of long-term debt (a)	Principal amount of securities to which discount and expense, or premium minus expense, relates (b)	Total discount and expense or net Premium (c)	AMORTIZATION PERIOD		Balance beginning of year (f)	Debits during year (g)	Credits during year (h)	Balance end of year (i)
				From- (d)	To- (e)				
1									
2	Series B	8,000,000	384,066	2/20/1992	2/20/2022	78,689		12,803	65,886
3									
4	Series D	15,000,000	764,013	10/24/2004	10/1/2024	336,378		38,201	298,177
5									
6	Series E	10,000,000	222,684	10/20/2006	10/20/2026	120,311		11,134	109,177
7									
8	Series F	25,000,000	233,093	9/30/2015	9/30/2035	219,016.00	11,332	11,533	218,815
9									
10	Total	58,000,000	1,603,856			754,394	11,332	73,671	692,055
11									
12									
13									
14									
15									
16									
17									
18									

SCHEDULE A-14
Account 141 - Extraordinary Property Losses

Line No.	Description of Property Loss or Damage (a)	Total Amount of Loss (b)	Previously Written off (c)	WRITTEN OFF DURING YEAR		Balance End of year (f)
				Account Charged (d)	Amount (e)	
1	NONE					
2						
3						
4	Total					\$ -

SCHEDULE A-15
Account 146 - Other Deferred Debits

Line No.	Item (a)	Balance End of year (b)
1	Miscellaneous-Other Deferred Debits	559,347
2	Investments-Co Bank	326,000
3	FASB 109, Regulatory assets	8,592,384
4	Rate Case Expenses/Regulatory Related	930,868
5	Accrued Vacation	722,306
7	Goodwill	1,826,420
	Total	12,957,325

SCHEDULE A-16
Account 150 - Discount on Capital Stock

1. Report the balance at end of year of discount on capital stock for each class and series of capital stock.
2. If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off during the year and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance End of year (b)
1	NONE	
2		
3	Total	\$ -

SCHEDULE A-17
Account 151- Capital Stock Expense

1. Report the balance at end of year of capital stock expense for each class and series of capital stock.
2. If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off of capital stock expense and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance End of year (b)
1	NONE	
2		
3	Total	\$ -

SCHEDULE A-18
Accounts 200 and 201- Capital Stock

Line No.	Class of Stock (a)	Number of Shares Authorized by Articles of Incorporation (b)	Par Value of Stock Authorized by Articles of Incorporation (c)	Number of Shares Outstanding ¹ (d)	Total Par Value ² Outstanding End of Year (e)	Dividends Declared During Year	
						Rate (f)	Amount (g)
1	Common Stock	500,000	5	149,076.00	745,380	7.7692	4,632,775
2	Series A - Preferred	100,000	50	43,843.83	2,192,192	0.6563	115,090
3	Series B - Preferred	80,000	50	35,801.50	1,790,075	0.375	53,702
4							
5							
6	Total	680,000		228,721.33	4,727,647		4,801,567

¹ After deduction for amount of reacquired stock held by or for the respondent.

² If shares of stock have no par value, show value assigned to stock outstanding.

SCHEDULE A-18a
Record of Stockholders at End of Year

Line No.	COMMON STOCK		PREFERRED STOCK	
	Name (a)	Number Shares (b)	Name (c)	Number Shares (d)
1	SouthWest Water Company	149,076.0	SouthWest Water Company	43,843.83
2			SouthWest Water Company	35,801.50
3				
4				
5				
6				
7				
8				
9	Total number of shares	149,076.0	Total number of shares	79,645.33

SCHEDULE A-19
Account 203 - Premiums and Assessments on Capital Stock

Line No.	Class of Stock (a)	Balance End of Year (b)
1	Common Stock	126,550
2		
3		
4		
5		
6		
7		
8		
9	Total	126,550

SCHEDULE A-20
Account 270 - Capital Surplus (For use by Corporations only)

Line No.	Item (a)	Amount (b)
1	Balance beginning of year	4,881,844
2	CREDITS (Give nature of each credit and state account charged)	
3		
4		
5	Total credits	4,881,844
6	DEBITS (Give nature of each debit and state account credited)	
7		
8		
9		
10	Total debits	-
11	Balance end of year	4,881,844

SCHEDULE A-21
Account 271 - Earned Surplus (For use by Corporations only)

Line No.	Acct	Account (a)	Amount (b)
1		Balance beginning of year	79,355,301
2		CREDITS	
3	400	Credit balance transferred from income account	9,549,059
4	401	Miscellaneous credits to surplus (specify)	-
5			
6		Total credits	88,904,360
7		DEBITS	
8	410	Debit balance transferred from income account	-
9	411	Dividend appropriations-preferred stock	168,792
10	412	Dividend appropriations-Common stock	4,632,775
11	413	Miscellaneous reservations of surplus	-
12	414	Miscellaneous debits to surplus (specify)	-
13			
14		Total debits	4,801,567
15		Balance end of year	84,102,793

SCHEDULE A-22
Account 204 - Proprietary Capital
(Sole Proprietor or Partnership)

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	\$ -
2	CREDITS	N/A
3	Net income for year	
4	Additional investments during year	
5	Other credits (specify)	
6		
7	Total credits	\$ -
8	DEBITS	
9	Net loss for year	
10	Withdrawals during year	
11	Other debits (specify)	
12		
13	Total debits	\$ -
14	Balance end of year	\$ -

SCHEDULE A-23
Account 205 - Undistributed Profits
of Proprietorship or Partnership
(The use of this account is optional)

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	\$ -
2	CREDITS	N/A
3	Net income for year	
4	Other credits (specify)	
5		
6	Total credits	\$ -
7	DEBITS	
8	Net loss for year	
9	Withdrawals during year	
10	Other debits (specify)	
11		
12	Total debits	\$ -
13	Balance end of year	\$ -

SCHEDULE A-24
Account 210 - Bonds

Line No.	Class of Bond (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding ¹ per Balance Sheet (e)	Rate of Interest (f)	Sinking Fund Added in Current Year (g)	Cost of Issuance (h)	Interest Accrued During Year (i)	Interest Paid During Year (j)
1	Series B	2/20/1992	2/20/2022	8,000,000	8,000,000	9.09%			727,200	727,200
2	Series D	10/19/2004	10/1/2024	15,000,000	15,000,000	5.64%			846,000	846,000
3	Series E	10/20/2006	10/20/2026	10,000,000	10,000,000	6.30%			629,496	629,500
4	Series F	9/30/2015	9/30/2035	25,000,000	25,000,000	4.42%			1,105,000	1,105,000
5										
6										
7										
8	Totals			58,000,000	58,000,000				3,307,696	3,307,700

¹ After deduction for amount of reacquired bonds held by or for the respondent.

SCHEDULE A-24 (a)
CLASS A WATER COMPANIES
SAFE DRINKING WATER BOND ACT DATA

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) loan outstanding during the 12-month reporting period. (Use one sheet for each loan.)

1. Current Fiscal Agent:
 Name: N/A
 Address: _____
 Phone Number: _____
 Date Hired: _____

2. Total surcharge collected from customers during the 12 month reporting period: \$ N/A

3. Summary of the trust bank account activities showing:

Balance at beginning of year	\$	<u>N/A</u>
Deposits during the year	\$	_____
Withdrawals made for loan payments	\$	_____
Other withdrawals from this account	\$	_____
Balance at end of year	\$	_____

4. Account information:
 Bank Name: N/A
 Account Number: _____
 Date Opened: _____

5. Plant amounts included in Schedule A-1a, Account No. 101 -- Water Plant in Service which were funded using SDWBA funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant					
3	303	Land					
4		Total non-depreciable plant	N/A				N/A
5		DEPRECIABLE PLANT					
6	304	Structures					
7	307	Wells					
8	311	Pumping equipment					
9	317	Other water source plant					
10	320	Water treatment plant					
11	330	Reservoirs, tanks and sandpipes					
12	331	Water mains					
13	333	Services and meter installations					
14	334	Meters					
15	335	Hydrants					
16	339	Other equipment					
17	340	Office furniture and equipment					
18	341	Transportation equipment					
19		Total depreciable plant	N/A				N/A
20		Total water plant in service	N/A				N/A

SCHEDULE A-25
Account 212 - Advances from Associated Companies

Line No.	Nature of Obligation (a)	Amount of Obligation (b)	Rate of Interest (c)	Interest Accrued During Year (d)	Interest Paid During Year (e)
1					
2	****NONE****				
3					
4					
5	Total				\$ -

SCHEDULE A-26
Account 213 - Miscellaneous Long Term Debt

Line No.	Nature of Obligation (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding ¹ Per Balance Sheet (e)	Rate of Interest (f)	Interest Accrued During Year (g)	Interest Paid During Year (h)
1								
2								
3								
4	****NONE****							
5								
6								
7								
8	Total							\$ -

¹ After deduction for amount of reacquired debt held by or for the respondent.

SCHEDULE A-27
Securities Issued or Assumed During Year

Line No.	Class of Security (a)	Commission Authorization (b)	Amount Issued During Year (omit cents) (c)	Discount or Premium (d)	Expenses (e)
1					
2					
3	****NONE****				
4					
5	Total				\$ -

SCHEDULE A-28
Account 220 - Notes Payable

Line No.	In Favor of (a)	Date of Issue (b)	Date of Maturity (c)	Balance End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest Paid During Year (g)
1							
2							
3	****NONE****						
4							
5							
6							
7	Total						\$ -

SCHEDULE A-29
Account 223 - Payables to Associated Companies

Line No.	Nature of Obligation (a)	Balance End of Year (b)	Rate of Interest (c)	Interest Accrued During Year (d)	Interest Paid During Year (e)
1					
2	****NONE****				
3					
4					
5					
6					
7	Total	0		0	0

SCHEDULE A-30
Account 230 - Other Current and Accrued Liabilities

Line No.	Description (a)	Balance End of Year (b)
1	Volume Related	1,408,294
2	Accrued Salaries	1,042,731
3	WIP Retention	268,279
4	Legal Costs	193,448
5	Consulting Fees	35,158
6	Consulting Obligation - Short Term	54,942
7	Franchise Fees Payable	2,222,007
8	Unclaimed Refund Contract Payments	23,662
9	Deferred Rent - Current	(2,775)
10	CA-Dept of Public Health	79,392
11		
12		
13		
14		
15		
16		
17	Total	5,325,138

SCHEDULE A-31

Account 228 - Accrued and Prepaid Taxes

1. This schedule is intended to give particulars of the combined prepaid and accrued tax accounts and to show the total taxes charged to operations and other accounts during the year. Do not include gasoline and other sales taxes which have been charged to the accounts to which the material on which the tax was levied and charged.
2. Taxes, paid during the year and charged direct to final accounts, that is, not charged to prepaid or accrued taxes, should be included in the schedule. Enter the amounts both in columns (d) and (e). The balancing of the schedule is not affected by the inclusion of these taxes.
3. Taxes charged during the year, column (d), include taxes charged to operations and other accounts through (a) accruals credited to taxes accrued, (b) amounts credited to prepaid taxes for proportions of prepaid taxes chargeable to current year, and (c) taxes paid and charged direct to operations or accounts other than accrued and prepaid tax accounts.
4. If any tax covers more than 1 year, the required information of all columns should be shown separately for each year.
5. Enter all adjustments of the accrued and prepaid tax accounts in column (f) and explain each adjustment. Designate debit adjustments by parentheses.
6. See schedule entitled "TAXES CHARGED DURING YEAR," page 32, for a distribution of taxes charged, column (d) according to utility departments and accounts.
7. **Do not include in this schedule entries with respect to deferred income taxes or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.**

Line No.	Kind of Tax (See Instruction 5) (a)	BALANCE BEGINNING OF YEAR		Taxes Charged During Year (d)	Paid During Year (e)	Adjustments (f)	BALANCE END OF YEAR	
		Taxes Accrued (b)	Prepaid Taxes (c)				Taxes Accrued (Account 228) (g)	Prepaid Taxes (Incl. in Acct. 132) (h)
1	Taxes on real and personal property	(15,462)		1,318,769	1,317,461	17,946	3,792	
2	State corporation franchise tax	0		1,424,703	1,424,703		0	
3	State unemployment insurance tax	0		57,773	57,773		0	
4	Other state and local taxes	0					0	
5	Federal unemployment insurance tax	0		2,935	2,935		0	
6	Fed. ins. contr. act (old age retire.)	0		659,079	659,079		0	
7	Other federal taxes	0					0	
8	Federal income taxes	0		5,142,792	5,142,792		0	
	Subtotal	(15,462)	0	8,606,051	8,604,743	17,946	3,792	0
9	Accrued payroll tax	0		0			0	
10								
11								
12								
13								
14	Total	(15,462)	0	8,606,051	8,604,743	17,946	3,792	0

SCHEDULE A-32
Account 241 - Advances for Construction

Line No.	Description (a)	Amount (b)	Amount (c)
1	Balance beginning of year		6,757,984
2	Additions during year		0
3	Subtotal - Beginning balance plus additions during year		6,757,984
4	Charges during year		
5	Refunds		
6	Percentage of revenue basis		
7	Proportionate cost basis	252,231	
8	Present worth basis	6,980	
9	Total refunds		259,211
10	Transfers to Acct 265 - Contributions in Aid of Construction		
11	Due to expiration of contracts		
12	Due to present worth discount		
13	Total transfers to Acct. 265		0
14	Securities Exchanged for Contracts (enter detail below)		
15	Subtotal - Charges during year		259,211
16	Balance end of year		6,498,773

If stock, bonds, etc., were issued in exchange for construction advance contracts give details below:

Line No.	Type of Security or Other Consideration (Other than Cash) (a)	Dividend or Interest Rate (b)	PUC Decision Number (c)	Amount Issued (d)
17	Common stock			0.00
18	Preferred stock			0.00
19	Bonds			0.00
20	Other (describe)			0.00
21				
22				

SCHEDULE A-33
Account 242 - Other Deferred Credits

Line No.	Item (a)	Balance End of Year (b)
1	Deferred Revenue (CIAC) 242-30	15,902
2	Developer Deposits 242-00	2,176,748
3	Lessee Deposits 242-40	20,000
4	Long-term Refund Contracts Unclaimed 242-89	8,577
5	Long-term Unclaimed Checks Payable 242-90	13,372
6	Other Long Term Liab. - Consulting Obligation 242-91	541,492
7a	Deferred Rent Non Current 242-50	10,585
7b	Deferred ITC (Unamortized Investments Tax Credits-ITC) 242-96	159,322
7c	FASB 109 Federal Reg Liability 242-98	83,445
7d	FASB 109 State Reg Liability 242-99	386,329
7e	Balancing Account 242-00	(36,251,486)
7f	Balancing Account Contra 242-00	32,091,875
7g	Low Income/Water Conservation 242-00	(1,143,789)
7h	Reg Liab-Other 242-95	425,410
7i	Reg Liab - Drought Surcharge 242-95	3,570,788
7j	Deferred tax 242-96	23,247,625
	Total	25,356,195

SCHEDULE A-34
Accounts 254 to 258, Inclusive - Miscellaneous Reserves

Line No.	Account (a)	Balance Beginning of Year (b)	DEBITS		CREDITS		Balance End of Year (g)
			Nature of Items (c)	Amount (d)	Account Charged (e)	Amount (f)	
1	25400	136,571		80,817		118,503	174,257
2							
3							
4							
5							
6							
7	Total	136,571		80,817		118,503	174,257

SCHEDULE A-35

Account 265 - Contributions in Aid of Construction

Instructions for Preparation of Schedule of Contributions in Aid of Construction:

¹ The credit balance in the account other than that portion of the balance relating to nondepreciable property (column d), to property retired prior to January 1, 1995 (column e), and to the estimated amount of depreciation accrued to January 1, 1955 (column f), shall be written off through charges to this account and credits to Account 250 - Reserve for Depreciation of Utility Plant over a period equal to the estimated service life of the property involved. Upon retirement of depreciable property for which a depreciation reserve has been created through charges to this account, the cost thereof shall be credited to the appropriate plant account and concurrently charged to Account 250. If the property is retired prior to the service life originally estimated, the balance in this account with respect to the retired property shall be transferred to the depreciation reserve account.

² That portion of the balance applicable to nondepreciable property shall remain unchanged until the property is sold or otherwise retired. Upon retirement, the cost of such nondepreciable property acquired by donation or through use of donated funds shall be credited to the appropriate plant account and charged to this account to clear the credit balance carried herein.

³ That portion of the balance representing donations on property retired prior to January 1, 1955 (column e), and the amount of depreciation accrued to January 1, 1955, on property in service (column f), shall not be transferred from this account or otherwise disposed of without first receiving written authorization from the Commission.

Line No.	Item (a)	Total All Columns (b)	Subject to Amortization		Not Subject to Amortization	
			Property in Service After Dec. 31, 1954		Property Retired Before Jan. 1, 1955 (e)	Depreciation Accrued through Dec. 31, 1954 on Property in Service at Dec. 31, 1954 (f)
			Depreciable (c)	Non-Depreciable (d)		
1	Balance beginning of year	15,097,885	14,835,095	262,790		
2	Add: Credits to account during year					
3	Contributions received during year	1,022,403	1,022,403			
4	Other credits*					
5	Total credits	1,022,403	1,022,403			
6	Deduct: Debits to account during year					
7	Depreciation charges for year	973,352	973,352			
8	Nondepreciable donated property retired					
9	Other debits*					
10	Total debits	973,352	973,352			
11	Balance end of year	15,146,936	14,884,146	262,790		

*Indicate nature of these items and show the accounts affected by the contra entries.

SCHEDULE B-1
Account 501 - Operating Revenues

Line No.	Acct.	ACCOUNT (a)	Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
1		I. WATER SERVICE REVENUES			
2	601	Metered sales to general customers	51,604,008	50,905,421	698,587
3		601.1 Commercial sales	13,182,511	12,418,206	764,305
4		601.2 Industrial sales	1,295,062	1,296,086	(1,024)
5		601.3 Sales to public authorities	3,556,717	3,316,158	240,559
6		601.34 Recycled water revenues	842,069	866,337	(24,268)
7		Sub-total	70,480,367	68,802,208	1,678,159
8		601.4 Metered flooding	99,288	71,651	27,637
9	602	Unmetered sales to general customers	-	-	-
10		602.1 Commercial sales	-	-	-
11		602.2 Industrial sales	-	-	-
12		602.3 Sales to public authorities	-	-	-
13		Sub-total	99,288	71,651	27,637
14	603	Sales to irrigation customers	-	-	-
15		603.1 Metered sales	-	-	-
16		603.2 Unmetered sales	-	-	-
17		Sub-total	-	-	-
18	604	Private fire protection service	1,204,420	1,164,701	39,719
19	605	Public fire protection service	-	-	-
20	606	Sales to other water utilities for resale	35,664	32,827	2,837
21	607	Sales to governmental agencies by contracts	-	-	-
22	608	Interdepartmental sales	-	-	-
23	609	Other sales or service	-	-	-
24		Sub-total	1,240,084	1,197,528	42,556
25		Total water service revenues	71,819,739	70,071,387	1,748,352
26		II. OTHER WATER REVENUES			
27	611	Miscellaneous service revenues	364,745	260,475	104,270
28	612	Rent from water property	-	-	-
29	613	Interdepartmental rents	-	-	-
30	614	Other water revenues	217,290	639,158	(421,868)
31		Total other water revenues	582,035	899,633	(317,598)
32	501	Total operating revenues	72,401,774	70,971,020	1,430,754

SCHEDULE B-1a
Operating Revenues Apportioned to Cities and Towns

Line No.	Location (a)	Operating Revenues (b)
31	Operations not within incorporated cities ¹	
32	Los Angeles County	18,487,069
33	Orange County	356,941
34		
35	Operations within incorporated territory	
36	City or town of West Covina	20,788,721
37	City or town of La Puente	3,461,529
38	City or town of Glendora	1,436,451
39	City or town of Industry	933,983
40	City or town of Walnut	2,935,892
41	City or town of Whittier	11,081,816
42	City or town of La Mirada	12,295,993
43	City or town of Covina	411,242
44	City or town of La Habra	169,420
45	City or town of Buena Park	42,717
46	Total	72,401,774

¹ Should be segregated to operating districts.

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
			A	B	C			
1		I. SOURCE OF SUPPLY EXPENSE						
2		Operation						
3	701	Operation supervision and engineering	A	B		-	-	
4	701	Operation supervision, labor and expenses			C	-	-	
5	702	Operation labor and expenses	A	B		-	-	
6	703	Miscellaneous expenses	A			6,320,522	2,857,869	3,462,653
7	704	Purchased water	A	B	C	9,031,618	12,399,871	(3,368,253)
7a	70401	Contributions from cooperating respondents	A	B	C	-	-	-
8		Maintenance						
9	706	Maintenance supervision and engineering	A	B		-	-	-
10	706	Maintenance of structures and facilities			C	-	-	-
11	707	Maintenance of structures and improvements	A	B		-	-	-
12	708	Maintenance of collect and impound reservoirs	A			-	-	-
13	708	Maintenance of source of supply facilities		B		-	-	-
14	709	Maintenance of lake, river and other intakes	A			-	-	-
15	710	Maintenance of springs and tunnels	A			-	-	-
16	711	Maintenance of wells	A			1,020	2,116	(1,096)
17	712	Maintenance of supply mains	A			-	-	-
18	713	Maintenance of other source of supply plant	A	B		-	-	-
19		Total source of supply expense				15,353,160	15,259,856	93,304
20		II. PUMPING EXPENSES						
21		Operation						
22	721	Operation supervision and engineering	A	B		-	-	-
23	721	Operation supervision labor and expense			C	-	-	-
24	722	Power production labor and expense	A			-	-	-
25	722	Power production labor, expenses and fuel		B		-	-	-
26	723	Fuel for power production	A			-	-	-
27	724	Pumping labor and expenses	A	B		420,645	407,291	13,354
28	725	Miscellaneous expenses	A			-	-	-
29	726	Fuel or power purchased for pumping	A	B	C	2,820,355	2,870,197	(49,842)
30		Maintenance						
31	729	Maintenance supervision and engineering	A	B		-	-	-
32	729	Maintenance of structures and equipment			C	-	-	-
33	730	Maintenance of structures and improvements	A	B		8,198	51,607	(43,409)
34	731	Maintenance of power production equipment	A	B		-	-	-
35	732	Maintenance of pumping equipment	A	B		354,308	345,415	8,893
36	733	Maintenance of other pumping plant	A	B		-	-	-
37		Total pumping expenses				3,603,506	3,674,510	(71,004)

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
			A	B	C			
38		III. WATER TREATMENT EXPENSES						
39		Operation						
40	741	Operation supervision and engineering	A	B		-	-	
41	741	Operation supervision, labor and expenses			C	-	-	
42	742	Operation labor and expenses	A			768,089	692,052	
43	743	Miscellaneous expenses	A	B		-	-	
44	744	Chemicals and filtering materials	A	B		135,036	91,881	
45		Maintenance						
46	746	Maintenance supervision and engineering	A	B		-	-	
47	746	Maintenance of structures and equipment			C	-	-	
48	747	Maintenance of structures and improvements	A	B		-	-	
49	748	Maintenance of water treatment equipment	A	B		3,144	7,443	
50		Total water treatment expenses				906,269	791,376	
51		IV. TRANS. AND DIST. EXPENSES						
52		Operation						
53	751	Operation supervision and engineering	A	B		-	-	
54	751	Operation supervision, labor and expenses			C	-	-	
55	752	Storage facilities expenses	A			118,828	104,248	
56	752	Operation labor and expenses		B		-	-	
57	753	Transmission and distribution lines expenses	A			-	-	
58	754	Meter expenses	A			-	-	
59	755	Customer installations expenses	A			-	-	
60	756	Miscellaneous expenses	A			-	-	
61		Maintenance						
62	758	Maintenance supervision and engineering	A	B		-	-	
63	758	Maintenance of structures and plant			C	-	-	
64	759	Maintenance of structures and improvements	A	B		-	-	
65	760	Maintenance of reservoirs and tanks	A	B		22,122	31,405	
66	761	Maintenance of trans. and distribution mains	A			982,124	1,022,083	
67	761	Maintenance of mains		B		-	-	
68	762	Maintenance of fire mains	A			-	-	
69	763	Maintenance of services	A			429,793	333,351	
70	763	Maintenance of other trans. and distribution plant		B		-	-	
71	764	Maintenance of meters	A			115,809	108,574	
72	765	Maintenance of hydrants	A			20,771	32,493	
73	766	Maintenance of miscellaneous plant	A			-	-	
74		Total transmission and distribution expenses				1,689,447	1,632,154	

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
			A	B	C			
75		V. CUSTOMER ACCOUNT EXPENSES						
76		Operation						
77	771	Supervision	A	B		-	-	
78	771	Superv., meter read., other customer acct expenses			C	-	-	
79	772	Meter reading expenses	A	B		303,894	302,293	
80	773	Customer records and collection expenses	A			1,483,043	1,368,991	
81	773	Customer records and accounts expenses		B		-	-	
82	774	Miscellaneous customer accounts expenses	A			-	-	
83	775	Uncollectible accounts	A	B	C	116,207	102,341	
84		Total customer account expenses				1,903,144	1,773,625	
85		VI. SALES EXPENSES						
86		Operation						
87	781	Supervision	A	B		-	-	
88	781	Sales expenses			C	-	-	
89	782	Demonstrating and selling expenses	A			-	-	
90	783	Advertising expenses	A			351,587	363,099	
91	784	Miscellaneous sales expenses	A			-	-	
92	785	Merchandising, jobbing and contract work	A			-	-	
93		Total sales expenses				351,587	363,099	
94		VII. ADMIN. AND GENERAL EXPENSES						
95		Operation						
96	791	Administrative and general salaries	A	B	C	4,113,772	3,864,199	
97	792	Office supplies and other expenses	A	B	C	1,081,276	1,117,748	
98	793	Property insurance	A			159,801	151,149	
99	793	Property insurance, injuries and damages		B	C	-	-	
100	794	Injuries and damages	A			453,036	604,458	
101	795	Employees' pensions and benefits	A	B	C	3,532,011	3,271,797	
102	796	Franchise requirements	A	B	C	791,244	868,543	
103	797	Regulatory commission expenses	A	B	C	1,322,151	1,525,388	
104	798	Outside services employed	A			809,355	421,827	
105	798	Miscellaneous other general expenses		B		-	-	
106	798	Miscellaneous other general operation expenses			C	-	-	
107	799	Miscellaneous general expenses	A			104,553	116,411	
108		Maintenance						
109	805	Maintenance of general plant	A	B	C	144,137	137,688	
110		Total administrative and general expenses				12,511,336	12,079,208	
111		VIII. MISCELLANEOUS						
112	811	Rents	A	B	C	544,553	502,270	
113	812	Administrative expenses transferred - Cr.	A	B	C	(793,882)	(760,299)	
114	813	Duplicate charges - Cr.	A	B	C	-	-	
114a	901	Charges by associated companies, clearing	A			7,155,179	6,719,310	
114b	902	Stores expense, clearing	A			-	-	
114c	903	Transportation expense, clearing	A			389,292	519,712	
114d	906	Tools and work equipment, clearing	A			36,499	17,926	
115		Total miscellaneous				7,331,641	6,998,919	
116		Total operating expenses				43,650,090	42,572,747	

SCHEDULE B-3
Account 506 - Property Losses Chargeable to Operations

Line No.	Description (a)	Amount
1		
2	NONE	
3		
4		
5		
6		
7		
8	Total	

SCHEDULE B-4
Account 507 - Taxes Charged During Year

1. This schedule is intended to give the account distribution of total taxes charged to operations and other final accounts during the year.
2. Do not include gasoline and other sales taxes which have been charged to accounts to which the material on which the tax was levied was charged. If the actual or
3. The accounts to which taxes charged were distributed should be shown in columns (c) to (f). Show both the utility department and number of account charged except for
4. For any tax which it was necessary to apportion to more than one utility department or account, state in a footnote the basis of apportioning such tax.
5. The total taxes charged as shown in column (b) should agree with the amounts shown by column (d) of schedule entitled "Accrued and Prepaid Taxes," page 24.
6. Do not include in this schedule entries with respect to deferred income taxes, or taxes collected through payroll deductions or otherwise pending transmittal of such taxes

Line No.	Kind of Tax (a)	Total Taxes Charged During Year (b)	DISTRIBUTION OF TAXES CHARGED (Show utility department where applicable and account charged)			
			Water (Account 507) (c)	Nonutility (Account 521) (d)	Other (Account ----) (e)	Capitalized (Omit Account) (f)
1	Taxes on real and personal property	1,318,769	1,311,887		6,882	
2	State corp. franchise tax	1,424,703	1,424,703			
3	State unemployment insurance tax	57,773	57,773			
4	Other state and local taxes	0				
5	Federal unemployment insurance tax	2,935	2,935			
6	Federal insurance contributions act	659,079	659,079			
7	Other federal taxes	0				
8	Federal income tax	5,142,792	5,142,792			
9	Accrued payroll taxes	0				
10	Payroll taxes capitalized	0	(67,112)			67,112
11						
12						
13						
14	Total	8,606,051	8,532,057	0	6,882	67,112

SCHEDULE B-5
Reconciliation of Reported Net Income With Taxable Income for Federal Taxes

1. Report hereunder a reconciliation of reported net income for the year with taxable income used in computing Federal income tax accruals and show computation of such tax accruals. The reconciliation shall be submitted even though there is no taxable income for the year. Descriptions should clearly indicate the nature of each reconciling amount.
2. If the utility is a member of a group which files a consolidated Federal tax return, reconcile reported net income with taxable net income as if a separate return were to be filed, indicating, however, inter-company amounts to be eliminated in such consolidated return. State names of group members, tax assigned to each group member, and basis of allocation, assignment, or sharing of the consolidated tax among the group members.
3. Show taxable year if other than calendar year from-----to-----.

Line No.	Particulars (a)	Amount (b)
1	Net income for the year per Schedule B, page 13	
2	Reconciling amounts (list first additional income and unallowable deductions, followed by additional	
3	deductions for non-taxable income):	9,549,059
4	Federal income taxes	5,142,792
5	State income taxes	1,424,703
	Taxable income not recorded on books:	
6	Income from deferral of gains on sales of properties	114,242
7	Tax deductions not recorded on books:	
8	Amortization of Contributions	-
9	State tax (Priv. 2016) California	(1,250,599)
10	Reversal of Property Tax Benefit	-
11	Flow-through depreciation	(1,007,202)
12	Normalized depreciation - 1982 and forward	160,339
13	IDC - capitalized interest	740,764
14	Repayment of advances including gross up	(86,773)
15	Tax Basis Gain (Loss) on Retirement of Assets	(16,542)
16		-
17	Book income not recorded on return:	
18	Amortization of deferred revenue associated with contributions	(4,772)
19	Book expenses not deducted on return	3,168,309
20	Federal taxable income	17,934,320
21	Federal tax rate (35%)	6,277,012
22	Federal tax rate based on 35%*	-
23	Amortization of ITC on '82-89 Assets	(27,248)
24	Tax per 2016 return	6,249,764

* Federal tax rate is 35% if federal taxable income is over \$10,000,000

SCHEDULE B-6				
Account 521 - Income from Nonutility Operations				
Line No.	Description (a)	Revenue (b)	Expenses (c)	Net Income (d)
1	NONE			
2				
3				
4				
5	Total			

SCHEDULE B-7		
Account 526 - Miscellaneous Nonoperating Revenue		
Line No.	Description (a)	Amount (b)
1	Misc. Non-Operating Expense (Revenues)	(390,470)
2		
3		
4		
5		
6		
7		
8	Total	(390,470)

SCHEDULE B-8		
Account 527 - Nonoperating Revenue Deductions (Dr.)		
Line No.	Description (a)	Amount (b)
1	AD Valorem Tax Expense	6,882
2		
3		
4		
5	Total	6,882

SCHEDULE B-9		
Account 535 - Other Interest Charges		
Line No.	Description (a)	Amount (b)
1	NONE	-
2		
3		
4	Total	

SCHEDULE B-10		
Account 538 - Miscellaneous Income Deductions		
Line No.	Description (a)	Amount (b)
1	Donations	48,725
2	Political Contributions - California Water Association, Consumer For Clean Water PAC	14,000
3	Other Expense	95,795
4	Total	158,520

SCHEDULE C-1

Engineering and Management Fees and Expenses, etc., During Year

Line No.	Give the required particulars of all contracts or other agreements in effect in the course of the year between the respondent and any corporation, association, partnership or person covering supervision and/or management of any department of the respondents affairs such as accounting, engineering, financing, construction or operation, and show the payments under such agreements and also the payments for advice and services to a corporation or corporations which directly or indirectly control respondent through stock ownership	
1	Did the respondent have a contract or other agreement with any organization or person covering supervision and/or management of its own affairs during the year? Answer: Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
2	Name of each organization or person that was a party to such a contract or agreement Suburban Water Systems & SouthWest Water Company	
3	Date of original contract or agreement.	<u>July 14, 1993</u>
4	Date of each supplement or agreement.	<u>None</u>
Note: File with the report a copy of every contract, agreement, supplement or amendment mentioned above unless a copy of the instrument in due form has been furnished in which case a definite reference to the report of the respondent relative to which it was furnished will suffice.		
5	Amount of compensation paid during the year for supervision or management:	<u>\$7,155,179</u>
6	To whom paid:	<u>SouthWest Water Company</u>
7	Nature of payment (salary, traveling expenses, etc.):	<u>See note (1)</u>
8	Amounts paid for each class of service:	\$ _____
9	Base for determination of such amounts	<u>3 Factor Allocation, Others</u>
10	Distribution of payments:	
	(a) Charged to operating expenses	\$ <u>7,155,179</u>
	(b) Charged to capital accounts	\$ _____
	(c) Charged to other accounts	\$ _____
	Total	\$ <u>7,155,179</u>
11	Distribution of charges to operating expenses by primary accounts. Number and Title of Account Various Accounts - Charges - Associated Companies	
	Total	<u>\$7,155,179</u>
12	What relationship, if any, exists between respondent and supervisory and/or managing concerns? Suburban Water Systems is a wholly owned subsidiary of SouthWest Water Company.	

Note (1): Represents allocation of all indirect costs which include supervision and management

SCHEDULE C-2
Compensation of Individual Proprietor or Partner

Do operating expenses include any charge for owner's compensation in the form of salary or wages, rental allowances, or for the use of a private automobile? (If the word "none" truly states the fact it should be given as the answer to this inquiry.)

Line No.	Nature of Allowance (a)	Account Charged (b)	Amount (c)
1			
2			
3	NONE		
4			
5			
6	Total		0

SCHEDULE C-3
Employees and Their Compensation
 (Charged to Account 502 - Operating Expenses - Schedule B-2)

Line No.	Classification (a)	Number at End of Year (b)	Total Salaries and Wages Paid During Year (c)
1	Employees - Source of supply		
2	Employees - Pumping	12	838,456
3	Employees - Water treatment	8	519,170
4	Employees - Transmission and distribution	17	1,182,355
5	Employees - Customer account	20	1,336,202
6	Employees - Sales		
7	Employees - Administrative		
8	General officers	6	1,361,538
9	General office	64	3,418,602
10	Total	127	8,656,323

SCHEDULE C-4
Record of Accidents During Year

Line No.	Date of Accident (a)	TO PERSONS					TO PROPERTY			
		Employees on Duty		Public ¹		Total Number (f)	Company		Other	
		Killed (b)	Injured (c)	Killed (d)	Injured (e)		Number (g)	Amount (h)	Number (i)	Amount (j)
1		0	4	0	1	5	21	78,575	0	0
2										
3										
4	Total	0	4	0	1	5	21	78,575	0	0

¹ Accidents to employees not on duty should be included in "Public" accidents

SCHEDULE C-5 Expenditures for Political Purposes

Hereunder report all direct or indirect expenditures incurred or made to elect or defeat a candidate for public office; or to place any measure on the ballot; at any election, or to keep it from being placed on the ballot at any election, or to support or defeat any measure on the ballot; at an election, or to support or defeat any proposed legislation, also name the account or accounts to which the expenditures herein mentioned have been charged. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1	California Water Association	7,000
2	Consumers For Clean Water PAC	7,000
3		
4		
5		
6	TOTAL	14,000

SCHEDULE C-6 Loans to Directors, or Officers, or Shareholders

Show hereunder the amount of loans to directors, or officers, or shareholders and the terms and conditions of such loans. Among other things, show the name of each director, or officer, or shareholder, receiving a loan or loans, the amount of each loan, the rate of interest payable on such loan or loans, the maturity date of each loan or loans, the security given and the date when the shareholders authorized each loan. If such authorization was given. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1	
2	
3	NONE
4	
5	
6	

SCHEDULE C-7 Bonuses Paid to Executives & Officers

Show hereunder the amount of bonuses paid to Executives and Officers, both cash and non-cash. List by the name of each Executive or Officer receiving the bonus, the dollar value of that bonus, and a description of the bonus if it is non-cash.

1		
2		
3	SEE ATTACHED	1,255,409
4		
5		
6	TOTAL	1,255,409

SUBURBAN WATER SYSTEMS
SCHEDULE C-7 (Support)
Bonuses Paid to Executives & Officers
2016

Anthony Stevens	33,350.00
Craig Gott	47,963.00
Jocelyn Padilla	12,537.00
John Brettl	35,896.00
Jorge Lopez	22,075.00
Richard Rich	110,689.00
William Dix	254,372.00
Kirk Michael	250,140.00
Michael Quinn	488,387.00
	<u>1,255,409.00</u>

**SCHEDULE D-1
Sources of Supply and Water Developed**

STREAMS				FLOW IN(unit) ²				Annual Quantities Diverted(Unit) ²	Remarks
Line No.	Diverted into*	From Stream or Creek (Name)	Location of Diversion Point	Priority Right		Diversions			
				Claim	Capacity	Max.	Min.		
1									
2									
3			***** NONE *****						
4									
5									
WELLS							Annual Quantities Pumped(Unit) ²	Remarks	
Line No.	At Plant (Name or Number)	Location	Number	Dimensions	¹ Depth to Water	Pumping Capacity(Unit) ²			
6									
7			*****	SEE ATTACHED	*****				
8									
9									
10									
TUNNELS AND SPRINGS				FLOW IN(Unit) ²			Annual Quantities Used(Unit) ²	Remarks	
Line No.	Designation	Location	Number	Maximum	Minimum				
11									
12			***** NONE *****						
13									
14									
15									
Purchased Water for Resale									
16	Purchased from								
17	Annual quantities purchased						(Unit chosen) ²		
18	***** SEE ATTACHED *****								
19									

* State ditch, pipe line, reservoir, etc., with name, if any.
¹ Average depth to water surface below ground surface.
² The quantity unit in established use for expressing water stored and used in large amounts is the acre foot, which equals 42,560 cubic feet; in domestic use the thousand gallon or the hundred cubic feet. The rate of flow or discharge in larger amounts is expressed in cubic feet per second, in gallons per minute, in gallons per day, or in the miner's inch. Please be careful to state the unit used.

**SCHEDULE D-2
Description of Storage Facilities**

Line No.	Type	Number	Combined Capacity (Gallons or Acre Feet)	Remarks
1	A. Collecting reservoirs			
2	Concrete			
3	Earth			
4	Wood			
5	B. Distribution reservoirs			
6	Concrete	4	9,060,000	
7	Earth			
8	Wood			
9	C. Tanks			
10	Wood			
11	Metal	26	59,870,000	
12	Concrete			
13	Total	30	68,930,000	

Suburban Water Systems
Schedule D-1 2016
Wells

<u>Plant and Location</u>	<u>Dimensions of Casing</u>	<u>Water Depth</u>	<u>Capacity in G. P. M.</u>	<u>Acre Feet Pumped</u>
San Jose Hills District				
121 W1 Stone	16"	209' SWL	1,735	1,767.08
126 W2 Rio Verde	18"	229' SWL	1,147	0.00
139 W2 La Puente CO.	26"	187' SWL	2,510	0.00
139 W4 La Puente CO.	20"	189' SWL	1,800	0.00
139 W5 La Puente CO.	18"	188' SWL	3,300	0.00
140 W3 La Grande	18"	153' SWL	1,107	0.00
140 W4 La Grande	18"	150' SWL	2,657	0.00
140 W5 La Grande	18"	185' PWL	2,800	843.66
142 W2 Vine	16"	231' PWL	2,741	3,564.47
147 W3 Jones	18"	142' SWL	1,220	1,583.00
151 W2	18"	262' PWL	3,497	<u>3,881.12</u>
<u>Total Acre Feet - San Jose Hills District</u>				11,639.33

<u>Plant and Location</u>	<u>Dimensions of Casing</u>	<u>Water Depth</u>	<u>Capacity in G. P. M.</u>	<u>Acre Feet Pumped</u>
Whittier \ La Mirada District				
201 W4 Bartolo	16"	48' SWL	1,920	0.00
201 W7 Bartolo	18"	107' PWL	3,325	3,962.84
201 W8 Bartolo	18"	75' PWL	2,955	1,887.83
201 W9 Bartolo	18"	75' PWL	3,790	2,454.88
201 W10 Bartolo	18"	53' SWL	4,000	1,563.17
409 W3 Canary	16"	246' PWL	1,408	2,066.19
410 W1 Firestone	14"	143' PWL	616	<u>857.86</u>
<u>Total Acre Feet - Whittier \ La Mirada District</u>				12,792.77
<u>Total Acre Feet Company</u>				<u>24,432.10</u>

Purchased Water for Resale

San Jose Hills District	<u>Acre feet Purchased</u>
City of Covina	0.00
City of Glendora	18.91
Covina Irrigating Company	3,301.98
La Puente Valley County Water District	2,109.58
Rowland Water District	(0.03)
Upper San Gabriel Valley Municipal Water District	939.31
Valencia Heights Water Company	0.00
Valley Counties Municipal Water District	3,883.14
Walnut Valley Water District	1,855.51
<u>Total Acre Feet - San Jose Hills District</u>	12,108.40
Whittier \ La Mirada District	<u>Acre feet Purchased</u>
California Domestic Water Company	5,589.28
Central Basin Municipal Water District	0.00
City of La Habra	0.08
City of Whittier	0.00
La Habra Heights County Water District	0.20
Orchard Dale Water District	6.56
San Gabriel Valley Water Company	<u>3.17</u>
<u>Total Acre Feet - Whittier \ La Mirada District</u>	5,599.29
<u>Total Acre Feet - Company</u>	<u>17,707.69</u>

Suburban Water Systems
Schedule D-2 2016
Reservoirs

<u>San Jose Hills District</u>	<u>Number</u>	<u>Gallons</u>
Concrete	2	2,000,000
Metal Tanks	<u>17</u>	<u>41,920,000</u>
Total	19	43,920,000
 <u>Whittier / La Mirada District</u>		
Concrete	2	7,060,000
Metal Tanks	<u>9</u>	<u>17,950,000</u>
Total	11	25,010,000
 <u>Company Totals</u>		
Concrete	4	9,060,000
Metal Tanks	<u>26</u>	<u>59,870,000</u>
Total	30	68,930,000

SCHEDULE D-3 Description of Transmission and Distribution Facilities

A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES
Capacities in Cubic Feet Per Second or Miner's Inches (State Which) _____

Line No.		0 to 5	6 to 10	11 to 20	21 to 30	31 to 40	41 to 50	51 to 75	76 to 100
1	Ditch								
2	Flume								
3	Lined conduit				***NONE***				
4									
5	Total								

A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES - Continued
Capacities in Cubic Feet Per Second or Miner's Inches (State Which) _____

Line No.		101 to 200	201 to 300	301 to 400	401 to 500	501 to 750	751 to 1000	Over 1000	Total All Lengths
6	Ditch								
7	Flume				***NONE***				
8	Lined conduit								
9									
10	Total								

B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING

Line No.		1	1 1/2	2	2 1/2	3	4	5	6	8
11	Cast Iron									
12	Cast Iron (cement lined)									
13	Concrete									
14	Copper									
15	Riveted steel	***SEE ATTACHED***								
16	Standard screw									
17	Screw or welded casing									
18	Cement - asbestos									
19	Welded steel									
20	Wood									
21	Other (specify)									
22	Total									

B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING - Continued

Line No.		10	12	14	16	18	20	Other Sizes (Specify Sizes)		Total All Sizes
23	Cast Iron									
24	Cast Iron (cement lined)									
25	Concrete									
26	Copper									
27	Riveted steel	***SEE ATTACHED***								
28	Standard screw									
29	Screw or welded casing									
30	Cement - asbestos									
31	Welded steel									
32	Wood									
33	Other (specify)									
34	Total									

All Water Main (LF)	Diameter of Main (inch)																						Grand Total (LF)	
	3/4	1	1 1/2	2	2 1/2	3	4	5	6	8	10	12	14	16	18	20	24	26	27	28	30	36		42
Asbestos Cement				253		913	503,176	337	1,134,373	1,288,598	51,394	428,930	2,276	82,740	11,539	12,317	987					4,447		3,522,280
Cast Iron							11,677		16,913	2,175														30,765
Concrete												1,006	36	8,461	1,015	4,485	1,985	448	3,377	31	174	1,412		22,430
Ductile Iron				122		6	238		894	3,320	75	3,245	74	59,450	2,921	11,953	25,381						49,522	157,365
Galvanized Iron	229	210	58	2,436			75		12															3,020
Gray Plastic		172	55	7,583			14,441		1,015	549														23,815
HDPE				1,007					1,545	695	5,194									4,321				12,762
PVC			2	828		5	16,393		72,492	211,921	1,050	156,121		8,555		5,977								473,344
Steel			11	5,010	215	543	16,525	1,496	11,944	46,823	14,095	57,371	12,970	29,245	3,618	8,010	14,818	6,230	10,485	2,404	27,465	409		269,687
Other		59		1,321		38	12,889		14,303	23,674	77	155	4											52,520
Grand Total (LF)	229	441	126	18,560	215	1,505	575,414	1,833	1,251,946	1,578,605	67,386	652,022	15,360	188,451	19,093	42,742	43,171	6,678	13,862	6,756	77,161	6,268	164	4,567,988

**SCHEDULE D-4
Number of Active Service Connections**

Classification	Metered - Dec 31		Flat Rate - Dec 31	
	Prior Year	Current Year	Prior Year	Current Year
Residential	61,236	61,718		
Commercial	3,363	3,585		
Industrial	34	33		
Public authorities	479	470		
Low Income	9,766	9,082		
Irrigation				
Other (specify) Constr Wtr, Recycled	63	66		
Other Utility	14	14		
Subtotal	74,955	74,968		
Private fire connections			708	722
Public fire hydrants			461	462
Total	74,955	74,968	1,169	1,184

**SCHEDULE D-5
Number of Meters and Services on
Pipe Systems at End of Year**

Size	WLM Meters	SJH Meters	
5/8 x 3/4 in	5,451	2,056	
3/4 in	20,756	31,041	
1 in	5,604	7,191	
1 1/2 in	683	893	
2 in	666	881	
3 in	75	137	
4 in	65	63	
6 in	12	9	
8 in	1	5	
other	396	376	
Total	33,709	42,652	76,361

**SCHEDULE D-6
Meter Testing Data**

A. Number of Meters Tested During Year as Prescribed in Section VI of General Order No. 103:	
1. New, after being received . . .	0
2. Used, before repair	115
3. Used, after repair	0
4. Found fast, requiring billing adjustment	0
B. Number of Meters in Service Since Last Test	
1. Ten years or less	57,185
2. More than 10, but less than 15 years	14,449
3. More than 15 years	4,726

SCHEDULE D-7

Water delivered to Metered Customers by Months and Years in hundreds of cubic feet (Unit Chosen)¹

Classification Service	During Current Year							Subtotal
	January	February	March	April	May	June	July	
Commercial	244,000	217,352	242,539	288,804	330,833	339,292	382,066	2,044,886
Industrial	33,588	34,220	35,417	31,450	35,293	36,592	40,116	246,676
Public authorities	40,353	31,932	41,962	62,070	75,129	97,556	132,432	481,434
Irrigation	-	-	-	-	-	-	-	-
Other (specify)*	795,739	723,938	772,148	904,921	937,409	1,087,867	1,332,026	6,554,048
Total	1,113,680	1,007,442	1,092,066	1,287,245	1,378,664	1,561,307	1,886,640	9,327,044

Classification Service	During Current Year						Subtotal	Total Prior Year
	August	September	October	November	December	Total		
Commercial	379,898	401,061	362,437	333,760	269,353	1,746,509	3,791,395	3,539,237
Industrial	39,472	41,109	39,764	31,904	34,656	186,905	433,581	440,274
Public authorities	141,061	157,525	109,774	87,323	53,275	548,958	1,030,392	957,418
Irrigation	-	-	-	-	-	-	-	-
Other (specify)*	1,250,111	1,300,666	1,143,291	975,730	871,536	5,541,334	12,095,382	12,447,934
Total	1,810,542	1,900,361	1,655,266	1,428,717	1,228,820	8,023,706	17,350,750	17,384,863

¹ Quantity units to be in hundreds of cubic feet, thousands of gallons, acre-feet, or miner's inch-days.

Total acres irrigated N/A

Total population served 300,000

- * Other (specify):
- Residential (Non LIRA/LIRA)
- Flood Meter
- Other Utility
- Recycled

**SCHEDULE D-8
Status With State Board of Public Health**

1. Has the State or Local Health Department reviewed the sanitary condition of your water system during the past year?

Answer: Yes X No

2. Are you having routine laboratory tests made of water served to your consumers?

Answer: Yes X No

3. Do you have a permit from the State Water Resources Control Board, Division of Drinking Water,

Answer: Yes X No

4. Date of permits: San Jose Hills System - System No. 1910205 - permit date: May 23, 2001

La Mirada System - System No. 1910059 - permit date: January 20, 1967

Whittier System - System No. 1910174 - permit date: October 25, 1962

Glendora System - System No. 1910046 - permit date: May 31, 2016

Covina Knolls System - System No. 1910200 - permit date: November 1, 1961

5. If permit is "temporary", what is the expiration date? N/A

6. If you do not hold a permit, has an application been made for such permit?

Answer: Yes No **N/A**

7. If so, on what date? **N/A**

**SCHEDULE D-9
Statement of Material Financial Interest**

Use this space to report the information required by Section 2 of General Order No. 104-A. If no material financial interest existed during the year or contemplated at the end of the year, such fact shall be so stated. If additional space is required, attach a supplementary statement with reference made thereto.

No material financial interest, as defined by General Order 104-A, existed at Suburban Water Systems during 2016, and Suburban did not propose at the conclusion of the report year to become a party to any transaction involving such material financial interest as defined in General Order 104-A.

**SCHEDULE E-1
Balancing and Memorandum Accounts**

Financial Accounting

Line No.	Description (a)	Authorized by Decision or Resolution No. (b)	Beginning of Year Balance (c)	Offset Revenues (d)	Offset Expenses (e)	Interest (f)	Surcharge (g)	Surcredit (h)	End of Year Balance (i)
1	Volume Related Balancing Accounts - May 2013 thru September 2014 (AL 308)	D.03-06-072	4,470,271		(2,595,427)				1,874,845
2	Recycled Water Balancing Account (AL 308)	D.14-12-038	29,978		(31,256)				(1,278)
3	Volume Related Balancing Accounts - October 2014 thru June 2015 (AL 313)	D.03-06-072	1,419,046		(1,423,639)				(4,593)
4	Recycled Water Balancing Account (AL 313)	D.14-12-038	8,577		(7,206)				1,371
5	Volume Related Reserve Accounts - July 2015 to December 2016	D.03-06-072	1,081,588		1,207,679				2,289,267
6	Water Revenue Adjustment Mechanism (WRAM) Balancing Account	D.14-12-038	(1,165,960)	554,819	(400,358)				(1,011,499)
7	Over-Refunded Surcredit (Various Accounts)	D.14-12-038	197,139		2				197,142
8	2016 Water Contamination Litigation Memorandum Account	W-4094	128,805		228,963				357,767
9	2012 Cost of Capital Litigation Memorandum Account	D.14-12-038	19,710				(3,869)		15,840
10	2018 Cost of Capital Litigation Memorandum Account	D.14-12-038	(48,144)	144,433	(48,144)				48,144
11	Low Income Ratepayer Assistance Amortization (May 12 to Dec 13)	D.14-12-038	62,222		(37,144)		(9,336)		15,742
12	Low Income Ratepayer Assistance Memorandum Account (Jan 14 -Dec 15)	08-02-036	354,696		(26,576)			17,599	345,719
13	Rate Case Expense 2015	D.14-12-038	368,611		(183,809)				184,802
14	Rate Case Expense 2018	D.14-12-038	(206,868)		31,721				(175,147)
15	Employee Healthcare Balancing Account	D.14-12-038	(270,965)		(97,433)				(368,399)
16	Security Measures Memorandum Account	D.03-05-078	-		-				-
17	Military Family Relief Program Memorandum Account	AB 1666	3,425		2,095				5,520
18	American Jobs Creation Act Deduction Memorandum Account	D.06-08-017	-		-				-
19	Cornerstone Project Memorandum Account	D.09-03-007	-		-				-
20	Mandatory Conservation Memorandum Account (MCMA)	RES W-5000	186,565		70,821				257,386
21	2015 Intervenor Compensation	CPUC 1807.a	1,022		(1,022)				-
22	Low Income Customer Data Sharing Memorandum Account	D.11-05-020	3,256		1,223				4,479

Note 1: For Columns d, e, f, g, & h, provide those amounts booked in the current year.

Note 2: The detail for each individual account includes the Beginning of Year Balance, End of Year Balance, each Offset Expense adjustment during the year, each Offset Revenue adjustment during the year, each Surcharge adjustment during the year, each Surcredit adjustment during the year, and the decision or resolution number associated with each item of detail.

Note 3: The following table reflects certain of the above memorandum accounts on a PUC reporting basis irrespective of financial accounting requirements

Regulatory Accounting

Line No.	Description (a)	Authorized by Decision or Resolution No. (b)	Beginning of Year Balance (c)	Offset Revenues (d)	Offset Expenses (e)	Interest (f)	Surcharge (g)	Surcredit (h)	End of Year Balance (i)
1	Volume Related Balancing Accounts - May 2013 thru September 2014 (AL 308)	D.03-06-072	4,470,271		(2,595,427)				1,874,844
2	Recycled Water Balancing Account (AL 308)	D.14-12-038	29,978		(31,256)				(1,277)
3	Volume Related Balancing Accounts - October 2014 thru June 2015 (AL 313)	D.03-06-072	1,419,046		(1,423,639)				(4,593)
4	Recycled Water Balancing Account (AL 313)	D.14-12-038	8,577		(7,206)				1,371
5	Water Revenue Adjustment Mechanism (WRAM) Balancing Account	D.14-12-038	(1,165,960)	554,819	684,662				73,521
6	2016 Water Contamination Litigation Memorandum Account	W-4094	-		-				-
7	2012 Cost of Capital Litigation Memorandum Account	D.14-12-038	21,697				(3,869)		17,828
8	2018 Cost of Capital Litigation Memorandum Account	D.14-12-038	(48,144)	144,433	(48,144)				48,145
9	Low Income Ratepayer Assistance Amortization (May 12 to Dec 13)	D.14-12-038	56,733		(9,336)				47,397
10	Rate Case Expense 2015	D.14-12-038	441,268		(220,634)				220,634
11	Rate Case Expense 2018	D.14-12-038	(206,868)	620,605	(206,868)				206,869
12	Employee Healthcare Balancing Account	D.14-12-038	(312,375)		(98,297)				(410,672)
13	Security Measures Memorandum Account	D.03-05-078	-		-				-
14	Military Family Relief Program Memorandum Account	AB 1666	-		-				-
15	American Jobs Creation Act Deduction Memorandum Account	D.06-08-017	-		-				-
16	Cornerstone Project Memorandum Account	D.09-03-007	-		-				-
17	Mandatory Conservation Memorandum Account (MCMA)	RES W-5000	-		-				-
18	Low Income Customer Data Sharing Memorandum Account	D.11-05-020	3,256		1,223				4,479
19	Reservoir 216 Land Exchange Memorandum Account (AL 298-W)	D.12-04-009	-		-				-
20	Affiliate Transfer Fee Memorandum Account	D.14-12-038	-		-				-
21	Credit/Debit Card Program Memorandum Account	D.14-12-038	-		-				-

Schedule E- 2
Description of Low-Income Rate Assistance Program(s)

For all low income rate assistance programs offered by water utility, provide detailed responses to the following items:

****SEE ATTACHED****

1. Brief description of each low-income rate assistance program provided, by district. This shall include but is not limited to the percent of discount, the dollar amount of discount, what rate is discounted (service charge, quantity, or total bill), qualifying income level, dollar rate increase to remaining customers to pay for this program.
2. Participation rate for Year 2016 (as a percent of total customers served).
3. Detail of balancing or memorandum account authorized to record expenses incurred and revenues collected for low income rate assistance program.

Schedule E- 3
Description of Water Conservation Program(s)

For all water conservation programs offered by water utility, provide detailed responses to the following items:

****SEE ATTACHED****

1. Brief description of each water conservation program provided, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers) and length of time it was offered.
2. Discuss how each water conservation assistance program is funded, for example, through rates charged to all customers, rates charged to customer receiving water conservation assistance, shareholder contribution, community funding, government funding, or other funding method. Explain why this type of funding was used.
3. Cost of each program.
4. The degree of participation in each district by customer group.

2016 ANNUAL REPORT SCHEDULE E-2

1. Brief description of each low-income rate assistance program provided, by district. This shall include but is not limited to the percent of discount, the dollar amount of discount, what rate is discounted (service charge, quantity, or total bill), qualifying income level, dollar rate increase to remaining customers to pay for this program.

Response:

Suburban implemented low-income rate assistance program on September 1, 2008 for its San Jose Hills and Whittier/La Mirada service areas. The monthly credit of \$6.50 applies to all residential metered water service, and the monthly credit of \$20.00 applies to all non-profit group living facilities, agricultural employee housing facilities, and migrant farm worker housing centers.

Beginning June 1, 2012, Suburban removed the limitation on residential meter size to qualify for the Low Income Assistance Program. To qualify for the credit, the residential customer:

- Must have the water utility bill for service in his or her name.
- Must not be claimed as a dependent on another person's tax return.
- Must re-apply each time you change your personal residence.
- Must renew his or her application every two years, or sooner, if requested.
- Must notify Suburban Water Systems within thirty days if he or she becomes ineligible for Suburban Water Systems' low income assistance program but continue to be a customer of Suburban Water Systems.
- Must provide verification of household income by providing a utility bill showing participation in a low income assistance program for electric or gas utility service or by completing Suburban' self verification form.

Gross annual household income must not exceed the maximum qualifying household income levels specified annually by the California Public Utilities Commission for the CARE program. Gross annual income means the gross income of all persons residing in the household, as further defined below. For Suburban Water Systems' low income assistance program, "gross annual household income" means all money and non-cash benefits available for living expenses, received from all sources, both taxable and non-taxable, before any tax deductions, by or for all persons residing in the household during the most recently ended calendar year.

The income Qualification guidelines (effective June 1, 2016 through May 31, 2017):

Total persons in household	Maximum total combined annual income
1-2	\$32,040
3	\$40,320
4	\$48,600
5	\$56,880
6	\$65,160
7	\$73,460
8	\$81,780

For each additional person, add \$8,320 to the total combined annual income.

In order to support the program, a surcharge of \$0.014 per 100 cubic feet is to be applied to the monthly bills of all metered customers, excluding those customers receiving a low-income rate assistance credit in San Jose Hills and Whittier/La Mirada service areas.

Pursuant to Decision 11-05-020, semi-annually Suburban shares Low-Income Customer Information with energy utilities (Southern CA Edison and Southern California Gas Company) to increase the participation rates in water low-income assistance program. The data matching process requires Suburban to identify customers' eligibility by mailing automatic enrollment and opt-out letters 30 days prior to enrollment to permit those customers to decline to be automatically enrolled.

2. Participation rate for Year 2016 (as a percent of total customers served).

Response:

At the end of 2016 the LIRA participation rate as a percentage of total residential customers served was 12.8%.

3. Detail of balancing or memorandum account authorized to record expenses incurred and revenues collected for low income rate assistance program.

Response:

	San Jose Hills Service Area			Whittier/La Mirada Service Area		
	Surcharge Collected	Benefit Profided	Over/(Under) Collection	Surcharge Collected	Benefit Profided	Over/(Under) Collection
<i>Beg. Bal.</i>	\$513,153	\$864,078	(\$350,925)	\$401,540	\$378,736	\$22,805
Jan-16	\$23,530	\$34,821	(\$11,291)	\$18,024	\$14,333	\$3,692
Feb-16	\$20,921	\$34,899	(\$13,978)	\$16,210	\$14,300	\$1,910
Mar-16	\$22,440	\$34,892	(\$12,452)	\$17,877	\$14,846	\$3,031
Apr-16	\$25,817	\$37,707	(\$11,890)	\$21,641	\$15,977	\$5,664
May-16	\$27,317	\$39,520	(\$12,203)	\$23,847	\$16,283	\$7,565
Jun-16	\$31,645	\$39,845	(\$8,200)	\$26,170	\$16,250	\$9,920
Jul-16	\$38,820	\$39,826	(\$1,006)	\$31,002	\$15,964	\$15,038
Aug-16	\$36,760	\$39,897	(\$3,137)	\$30,171	\$15,633	\$14,539
Sep-16	\$38,698	\$40,989	(\$2,291)	\$31,453	\$16,991	\$14,462
Oct-16	\$34,216	\$40,450	(\$6,234)	\$27,140	\$17,186	\$9,954
Nov-16	\$28,979	\$41,789	(\$12,810)	\$23,764	\$17,784	\$5,980
Dec-16	\$25,603	\$41,503	(\$15,899)	\$19,611	\$17,576	\$2,035
Total	\$867,898	\$1,330,212	(\$462,314)	\$688,452	\$571,857	\$116,595

2016 Low Income Rate Assistance Program Cost Details

<u>No.</u>	<u>Date</u>	<u>Vendor Name</u>	<u>Amount</u>	<u>Total By Month</u>
Beg Bal			\$26,576.28	\$26,576.28
1	6/25/2016	ACP	\$8,141.21	\$8,141.21
2	7/20/2016	ACP	\$397.85	\$397.85
3	8/3/2016	CMRS-PB (United States Postal Service)	\$186.47	
4	8/3/2016	ACP (Envelopes)	\$397.85	\$584.32
5	9/2/2016	CMRS-PB (United States Postal Service)	\$492.90	
6	9/30/2016	CMRS-PB (United States Postal Service)	\$371.53	\$864.43
7	10/30/2016	CMRS-PB (United States Postal Service)	\$51.15	\$51.15
8	11/30/2016	CMRS-PB (United States Postal Service)	\$122.30	\$122.30
			\$36,737.54	\$36,737.54

**ATTACHMENT 1
ANNUAL REPORT, SCHEDULE E-3
DESCRIPTION OF WATER CONSERVATION PROGRAMS**

1. Brief description of each water conservation program offered by the water company, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers), whether offered with a third party, whether direct install or rebate, and length of time the program was offered.

Response:

No.	Program Type	Service Area Offered	Description	Length of Time Offered
1.	Distribution of High Efficiency Toilets	San Jose Hills and Whittier/La Mirada	Suburban contracted with EcoTech Serv. to distribute 1,316 UHETs.	12 months
2.	Various public Events	San Jose Hills and Whittier/La Mirada	Suburban distributed conservation materials, coloring books and water conserving landscape tips to customers in the community on 14 different occasions.	14 days
3.	Gardening workshops	San Jose Hills and Whittier/La Mirada	The workshop showed customers how to adjust their irrigation systems , choose low maintenance plants, and how to save water.	4 days
4.	Water Conservation Play	San Jose Hills and Whittier/La Mirada	Suburban contracted with the National Theatre for Children to perform a Water Conservation Play in 23 schools.	3 months
5.	School Retrofits	Whittier/La Mirada	Contributed money for school school plumbing retrofits through Central Basin Municipal Water District.	6 months

**ATTACHMENT 1
ANNUAL REPORT, SCHEDULE E-3
DESCRIPTION OF WATER CONSERVATION PROGRAMS (Continued)**

2 For each water conservation program described above, an estimated conservation savings report in the

following basic format (if it is necessary to deviate from this table, provide estimated program savings).

A	B	C	D	E	F	G	H	I	J
Name of measure, as listed in Decision or Settlement*	Description of measure	Authorized \$	# of units / activities purchased, provided, performed	\$ per unit, activity, etc.	Total \$ spent	Designated water savings per unit per year**	Unit lifespan**	Estimated Annual measure savings** (AFY)	Estimated Lifetime measure savings** (AF)
High Efficiency Toilet Distributions	Distribute high efficiency toilets to customers	\$300,000							
Company Funded			1,316	\$195 per HET	\$209,750	.0425 acre feet	10	55.93 AFY	559 AF
Central Basin Rebate Funded					\$15,800				
Upper District Rebate Funded					\$31,200				
High Efficiency Toilet Distributions	Postcards mailed to customers to advertise HET Programs	(above)	4,400	\$0.44	\$1,951	NA	NA	NA	NA
School Plumbing Retrofits	Central Basin Project - Suburban*		4 school sites	various	\$100,000	NA	NA	NA	NA
Public Outreach/Community Events	Participated in 12 Public Events	\$51,478	14 Public Events	NA	0	NA	NA	NA	NA
Public Outreach/Community Events	Hosted 4 California Friendly Gardening Landscape Classes	(above)	4 classes	\$850	\$3,400	NA	NA	NA	NA
Public Outreach/Community Events	Sponsored School Education/Theatre Program	(above)	23 Programs	\$1,250	\$28,750	NA	NA	NA	NA
Public Outreach/Community Events	Supplies/Materials for public events	(above)	various materials	NA	\$3,258	NA	NA	NA	NA
Public Outreach/Community Events	Postage/Print/Mailing of materials	(above)	various materials	NA	\$4,478				
Total		\$351,478			\$398,587				

**Estimated water savings per Metropolitan Water District

*This was the amount that Suburban contributed to the program, the total costs were greater and were paid by the wholesale district(s)

** This may not apply to all measures, e.g., public information / education

SUBURBAN WATER SYSTEMS

Supplement to CPUC Annual Report

2016 Information-Only Filing

In Compliance With Commission Decisions 11-05-004 and 11-05-020

**ATTACHMENT 2
2016 INFORMATION-ONLY FILING
CONSERVATION DATA REPORT**

1. Baseline average (from 2003-2007 or 10-year baseline if it includes 2003-2007 and only includes years prior to the adoption of a conservation rate design) estimated monthly or bimonthly (depending on billing cycle) per customer or service connection consumption by ratemaking district, separated by customer class and meter size. If the water company elects to use a baseline in reliance on the Department of Water Resources methodology developed to implement SBX7-7 without calendar years 2003-2007, the water company shall attach workpapers to support the use of that baseline.

Response:

Suburban utilized the methodologies developed by Department of Water Resources to calculate baseline per capita water use expressed in gallons per capita per day ("GPCD"). These baselines, which are detailed in Suburban's Draft 2015 Urban Water Management based on method 3 (Demonstrate reduction to 95 percent of the applicable State Hydrologic Region Target) for SJH Service Area; and method 1 (Demonstrate reduction to 80 percent of the base daily per capita water use) for WLM Service Area.

San Jose Hills Service Area = 142

Whittier/La Mirada Service Area = 151

2. Average estimated monthly or bimonthly (depending on billing cycle) per customer or service connection consumption in one hundred cubic feet by ratemaking district, separated by customer class and meter size.

Response:

		Average Monthly Residential Usage by Meter Size (ccf)					
		5/8"x3/4"	3/4"	1"	1.5"	2"	3"
<u>San Jose Hills Service Area:</u>							
2016 Monthly Average	14.02	12.58	16.74	44.78	75.60	144.04	
<u>Whittier/La Mirada Service Area:</u>							
2016 Monthly Average	11.96	12.53	17.72	46.67	82.35	229.25	

3. Comparison table including baseline and annual average estimated consumption by ratemaking district, separated by customer class and meter size, for each year following implementation of conservation rate designs, with the percentage reduction in consumption calculated by district and by customer class and meter size within each ratemaking district.

Response:

The method to calculate per capita water use in GPCD is not comparable to the annual average estimated consumption in hundred cubic feet. However, the following Table shows 2016 annual average consumption by service area:

		2016 Residential - by Meter Size					
		5/8"x3/4"	3/4"	1"	1.5"	2"	3"
<u>San Jose Hills Service Area:</u>							
2016 Consumption (ccf)	342,973	4,621,164	1,323,980	235,907	111,592	12,099	
2016 Avg. Connections	2,038	30,619	6,591	439	123	7	
2016 Avg. Consumption (ccf)	168.3	150.9	200.9	537.4	907.3	1,728.4	
<u>Whittier/La Mirada Service Area:</u>							
2016 Consumption (ccf)	765,897	3,077,450	1,095,430	143,936	27,669	2,751	
2016 Avg. Connections	5,337	20,465	5,152	257	28	1	
2016 Avg. Consumption (ccf)	143.5	150.4	212.6	560.1	988.2	2,751.0	

ATTACHMENT 2 (Continued)

4. For non-low income customers, the average estimated monthly/bimonthly (depending on billing cycle) consumption per tier or block separated by ratemaking district, by meter size, and by customer class, and the # of customers in each sub-grouping.

Response:

Customer Class Conservation: Residential

Meter Size						
5/8"x3/4"	3/4"	1"	1-1/2"	2"	3"	

Average Estimated Monthly Consumption by tier

San Jose Hills Service Area:

Tariff Area 1 - Tier 1	12.53	11.63	10.91	40.51	100.86	-
Tariff Area 1 - Tier 2	8.18	6.57	15.19	63.20	30.50	-
Tariff Area 2 - Tier 1	11.55	10.93	15.87	39.09	65.84	-
Tariff Area 2 - Tier 2	7.49	7.37	14.80	52.83	65.65	-
Tariff Area 3 - Tier 1	-	9.86	15.64	33.89	91.93	137.57
Tariff Area 3 - Tier 2	-	7.27	10.17	25.91	76.67	-

Whittier/La Mirada Service Area:

Tariff Area 1 - Tier 1	10.87	11.02	10.56	37.67	-	-
Tariff Area 1 - Tier 2	5.73	4.63	6.78	11.42	-	-
Tariff Area 2 - Tier 1	11.13	11.56	14.82	40.05	79.53	229.25
Tariff Area 2 - Tier 2	6.49	6.26	15.88	38.49	23.25	-
Tariff Area 3 - Tier 1	-	4.00	21.12	20.38	91.67	-
Tariff Area 3 - Tier 2	-	-	18.94	-	-	-

Number of Customers in each sub-grouping

San Jose Hills Service Area:

Tariff Area 1 - Tier 1	1,149	14,223	1,448	56	9	-
Tariff Area 1 - Tier 2	281	2,605	123	15	1	-
Tariff Area 2 - Tier 1	468	9,050	2,780	151	102	-
Tariff Area 2 - Tier 2	80	1,566	662	31	6	-
Tariff Area 3 - Tier 1	-	1,177	1,518	218	11	7
Tariff Area 3 - Tier 2	-	155	263	27	3	-

Whittier/La Mirada Service Area:

Tariff Area 1 - Tier 1	1,101	758	271	19	-	-
Tariff Area 1 - Tier 2	146	107	18	1	-	-
Tariff Area 2 - Tier 1	3,647	17,362	4,477	233	26	1
Tariff Area 2 - Tier 2	552	2,961	939	46	1	-
Tariff Area 3 - Tier 1	-	1	85	2	1	-
Tariff Area 3 - Tier 2	-	-	42	-	-	-

5. Estimated monthly or bimonthly (depending on billing cycle) number of customers by district, monthly or bimonthly number of disconnection notices generated to those customers, number of customers disconnected for non-payment, and number of customers reconnected.

Response:

Estimated Monthly - Residential

SJH Serv. Area			WLM Serv. Area		
Disconnect Notice	Disconnect for Non-Payment	Reconnect Notice	Disconnect Notice	Disconnect for Non-Payment	Reconnect Notice
14,510	2,679	2,679	10,531	1,798	1,798

6. Estimated monthly Best Management Practices compliance costs, by district, separated by customer class, coverage goals or flex track menu (by measure);

Response:

Suburban does not separated costs by district nor by customer class, coverage goals, and measure.

The monthly estimated Best Management Practices compliance costs approximately \$33,216

7. Any other district-specific factor (such as changes in weather, increases in supply from recycled water, or economic factors) that might contribute to consumption changes.

Response:

None.

**ATTACHMENT 3
2016 INFORMATION-ONLY FILING
LOW-INCOME DATA REPORT**

1. Average estimated monthly or bimonthly (depending on billing cycle) per customer or service connection low-income customer consumption in one hundred cubic feet by ratemaking district, separated by meter size.

Response:

Average Monthly LIRA Residential Usage by Meter Size (ccf)								
SJH Service Area				WLM Service Area				
5/8"x3/4"	3/4"	1"	1.5"	2"	5/8"x3/4"	3/4"	1"	1.5"
13.97	12.87	14.60	62.71	10.33	11.65	12.11	13.98	62.71

2. Average estimated monthly or bimonthly (depending on billing cycle) consumption per tier or block separated by ratemaking district, by meter size, and by customer class for low-income customers and the number of customers in each sub-grouping.

Response:

RESIDENTIAL - LOW INCOME CUSTOMERS											
TARIFF AREA 1				TARIFF AREA 2				TARIFF AREA 3			
5/8"x3/4"	3/4"	1"	2"	5/8"x3/4"	3/4"	1"	1.5"	5/8"x3/4"	3/4"	1"	1.5"

San Jose Hills Service Area

Tier 1 Average Consumption/Month (ccf):	12.55	12.05	10.50	10.33	12.10	11.21	15.43	15.43	-	11.15	14.28	34.00
Avg. # Customers:	297	3,972	301	1	125	2,008	355	6	-	190	189	13
Tier 2 Average Consumption/Month (ccf):	6.41	6.07	6.96		8.16	6.44	11.20		-	6.69	7.68	
Avg. # Customers:	68	741	12		25	344	66	1	-	29	23	1

Whittier/La Mirada Service Area

Tier 1 Average Consumption/Month (ccf):	10.54	11.23	9.59		11.14	11.26	12.89	61.71			25.79	
Avg. # Customers:	199	122	41		390	2,221	276	2			2	
Tier 2 Average Consumption/Month (ccf):	4.08	5.70	#DIV/0!		6.22	5.77	11.83				15.33	
Avg. # Customers:	21	17	-		54	328	36.00	24.00			2.00	

3. Estimated monthly or bimonthly (depending on billing cycle) number of participating low-income customers by district, monthly or bimonthly number of disconnection notices generated to those customers, number of customers disconnected for non-payment and number of customers reconnected, for all low-income customers.

Response:

Estimated Monthly LIRA - Residential							
San Jose Hills Service Area				Whittier/La Mirada Service Area			
LIRA Participant	Disconnect Notice	Disconnect for Non-Payment	Reconnect Notice	LIRA Participant	Disconnect Notice	Disconnect for Non-Payment	Reconnect Notice
5,976	4,384	763	763	2,476	1,872	289	289

4. Average low-income customer household size and average estimated monthly or bimonthly (depending on billing cycle) consumption by ratemaking district for low-income households of 5 or more, and the number of customers in each subgrouping.

Response:

Not available, due to not all Low Income participants responded to this inquiry.

ATTACHMENT 3 (Continued)

5. Average water revenue adjustment mechanism/Modified Cost Balancing Accounts (WRAM/MCBA) surcharge(s) bill impact on participating low-income customers by ratemaking district district. This bill impact should be compared with the same bill under the uniform/standard rate. The bill impact should separately identify bill components, including applicable rates, WRAM/MCBA surcharge(s), and low-income assistance program discount, calculated at average consumption for the typical meter size.

Response:

Suburban has not been authorized a MCBA or conventional decoupling WRAM.

6. Participating low-income customer inclusion in conservation programs offered by the water utility:

- a) describe the water conservation program by ratemaking district(s),

Response:

Please see Schedule E 3, number 1

- b) identify whether it is offered with a third party,

Response:

Yes, with partial funding from Metropolitan Water District, Central Basin Water District, and Upper District.

- c) specify how low-income customers are targeted by or included in the program,

Response:

Participation was not limited to low-income customers of the offer.

- d) describe outreach efforts used to reach low-income program participants (application, re-certification, separate outreach),

Response:

Annually Suburban sends out Low Income application to all customers, LIRA re-certification every two-years, LIRA applications were enclosed with Shut-Off Notice/ Disconnect Notice, HET program were offered to low-income customers, and semi-annually data sharing with Southern California Edison and Southern California Gas Company.

- e) how long has the program been offered, and

Response:

8.3 years for LIRA discount, which qualified customer receives \$6.50 credit on their monthly water bill, 4 months for HET direct installation program.

- f) what criteria are used to establish the success of the program.

Response:

LIRA program applications are available in Suburban's service area offices, and during conservation events. Each year, all customers receive a bill insert that provides information and application regarding the LIRA program. Suburban also includes LIRA brochures with shut-off notices. Targeted programs to low-income customers include direct mailing of LIRA brochures, distributing flyers at public events, and sending out survey letters to all customers who participated in the HET program.

ATTACHMENT 3 (In Compliance With D.11-05-020)
2016 INFORMATION-ONLY FILING
LOW-INCOME DATA REPORT

1. For each data file received:

- a) Number of CARE customer records received.
- b) Number of CARE customers not matched to water utility records.
- c) Number of CARE customers successfully matched.

Response:

- a) 152,940
- b) 137,493
- c) 2,712

2. Enrollment Results:

- a) Number of CARE customers automatically enrolled.
- b) Number of customers re-certified for assistance.
- c) Number of CARE customers ineligible for enrollment due to metering conditions (as compared with water utility records).
- d) Number of customers opting-out.
- e) Number of potential customers identified and served with outreach material.

Response:

- a) 1877
- b) Suburban does not maintain customers' re-certification for assistance from data sharing.
- c) unknown
- d) 50
- e) Suburban does not maintain potential customers identified and served with outreach material data.

3. Program Costs:

Itemized annual expenses specifically incurred for operating and administering the data sharing program, including:

- a) Personnel with number of hours allocated to particular data sharing tasks.
- b) Special equipment.
- c) Translation services.
- d) Printing, mailing and other costs.
- e) Specify if any costs are included in rates.
- f) Summary of annual low-income program discounts, surcharges, and itemized low-income program costs.
- g) Specify which costs are included in rates, if any.

Response:

- a) Suburban does not maintain records for the number of hours allocated to particular data sharing tasks
- b) None
- c) None
- d) the 2016 cost of postage was \$1223
- e) None
- f) None
- g) None

Schedule E- 4 Report on Affiliate Transactions

Affiliate includes all related companies including but not limited to Parent, Affiliates, and Subsidiaries.

INSTRUCTIONS:

* For those utilities with specifically authorized affiliate transaction rules, provide all information required by those rules.

* For those utilities with no specifically authorized affiliate transaction rules, or those utilities whose authorized affiliate transaction rules do not provide the following information, provide the following:

1. Summary of all transactions between regulated water utility and its affiliated companies for the previous calendar year. The summary shall include a description of each transaction and an accounting of all dollars associated with each transaction although each transaction need not be separately identified where multiple transactions occur in the same account. These transactions shall include:

- | | |
|---|--------------|
| (a) services provided by regulated water utility to any affiliated company; | See Attached |
| (b) services provided by any affiliated company to regulated water utility; | See Attached |
| (c) assets (both tangible and intangible) transferred from regulated water utility to any affiliated company; | N/A |
| (d) assets (both tangible and intangible) transferred from any affiliated company to regulated water utility; | N/A |
| (e) employees transferred from regulated water utility to any affiliated company; | N/A |
| (f) employees transferred from any affiliated company to regulated water utility; and | N/A |
| (g) financing arrangements and transactions between regulated water utility and any affiliated company. | See Attached |

SERVICES PROVIDED BY THE UTILITY TO THE AFFILIATED COMPANIES

Affiliates Transaction Rules (ATRs) - Rules VI F (Pricing of Goods and Services)

Parent Company (1010)	36,462
Kiawah (1010)	7,814
Southeast Utilities (2000)	19,976
Texas Utilities (2000)	<u>40,533</u>
2016 ATR Employee Costs	<u><u>104,785</u></u>

SERVICES PROVIDED BY THE AFFILIATED COMPANIES TO THE UTILITY:

1.	Direct costs from non-parent company affiliates		
	IT and related costs		
2.	Direct costs from parent company		
	Direct Payments Made by (for) SWC:		
	IT Charges		-
	Audit Fees		154,500
	Legal Fees		-
	Bank Charges-Amegy bank fees		57,036
	Insurance Charges: (General, Medical, Auto, Worker's Comp)		2,586,780
	Total Direct		2,798,316
3.	Indirect costs from parent company		
	Corporate Management Fee Allocation		-
	Utility Group Management Fee Allocation		427,431
	Parent Company Allocation		6,165,956
	IT Depreciation & Amortization Allocation		561,792
	Total Indirect		7,155,179

E-4 (g)

**THE FINANCING ARRANGEMENTS AND TRANSACTIONS BETWEEN THE
UTILITY AND THE AFFILIATED COMPANIES**

INTERCOMPANY LOANS

NONE

INTERCOMPANY MANAGEMENT FEES

\$7,155,179

E-4 (g)

**FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) or Safe Drinking Water State Revolving Fund loan surcharge collection for the calendar year. Please use one page per loan.

1. Current Fiscal Agent:

Name: N/A
 Address: _____
 Phone Number: _____
 Account Number: _____
 Date Hired: _____

2. Total surcharge collected from customers during the 12 month reporting period:

\$ _____

Meter Size	No. of Metered Customers	Monthly Surcharge Per Customer
5/8 X 3/4 inch		
3/4 inch		
1 inch		
1 1/2 inch		
2 inch		
3 inch		
4 inch		
6 inch		
Number of Flat Rate Customers		
Total		

3. Summary of the bank account activities showing:

Balance at	\$ _____
Deposits during the year	_____
Interest earned for calendar year	_____
Withdrawals from this account	_____
Balance at end of year	_____

4. Reason or Purpose of Withdrawal from this bank account:

**FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA (Continued)**

5. Plant amounts included in Schedule A-1a, Account No. 101--Water Plant in Service which were funded using SDWBA or SRF funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits* or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible plant	N/A				N/A
3	303	Land					
4		Total non-depreciable plant					
5		DEPRECIABLE PLANT					
6	304	Structures	N/A				N/A
7	307	Wells	N/A				N/A
8	317	Other water source plant	N/A				N/A
9	311	Pumping equipment	N/A				N/A
10	320	Water treatment plant	N/A				N/A
11	330	Reservoirs, tanks and sandpipes	N/A				N/A
12	331	Water mains	N/A				N/A
13	333	Services and meter installations	N/A				N/A
14	334	Meters	N/A				N/A
15	335	Hydrants	N/A				N/A
16	339	Other equipment	N/A				N/A
17	340	Office furniture and equipment	N/A				N/A
18	341	Transportation equipment	N/A				N/A
19		Total depreciable plant					
20		Total water plant in service					

FACILITIES FEES DATA

Please provide the following information relating to Facilities Fees for districts or subsidiaries servicing 2,000 or fewer connections for the calendar year. (Per D.91-04-068)

1. Trust Account Information:

Bank Name: _____ **N/A** _____
 Address: _____
 Account Number: _____
 Date Opened: _____

2. Facilities Fees collected for new connections during the calendar year:

A. Commercial

NAME	AMOUNT
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

B. Residential

NAME	AMOUNT
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

3. Summary of the bank account activities showing:

	AMOUNT
Balance at beginning of year	\$ _____
Deposits during the year	\$ _____
Interest earned for calendar year	\$ _____
Withdrawals from this account	\$ _____
Balance at end of year	\$ _____

4. Reason or Purpose of Withdrawal from this bank account:

DECLARATION

(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)

I, the undersigned Robert Kelly
Officer, Partner, or Owner (Please Print)

of Suburban Water Systems
Name of Utility

under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period of January 1, 2016, through December 31, 2016.

Vice President Regulatory Affairs, SWWC
Title (Please Print)

Robert Kelly
Signature

(626) 543 2590
Telephone Number

July 26, 2017
Date

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Supplement to 2016 Annual Report of Suburban Water Systems In Compliance With D.12-01-042

Affiliate Compliance Plan- Attachment 1

Rule IV.C. of the California Public Utilities Commission's (Commission's) *Modified Rules for Water and Sewer Utilities Regarding Affiliate Transactions and the Use of Regulated Assets for Non-Tariffed Utility Services*, contained in Appendix A of D.12-01-042, requires the utility to list all shared directors and officers between the utility and its affiliates in its annual report to the Commission.

Michael Quinn, William K. Dix, and Kirk Michael are shared officers and shared directors.

Rule VIII.C. of the California Public Utilities Commission's (Commission's) *Modified Rules for Water and Sewer Utilities Regarding Affiliate Transactions and the Use of Regulated Assets for Non-Tariffed Utility Services*, contained in Appendix A of D.12-01-042, requires the submission of a Compliance Plan.

Consistent with this rule, Suburban Water Systems (Suburban) has prepared and is herewith filing its Affiliate Compliance Plan with its 2016 annual report to the Commission.

Supplement to 2016 Annual Report of Suburban Water Systems In Compliance With D.12-01-042 (Continued)

Report on Non-Tariffed Products and Services (NTP&S) - Attachment 2

In compliance with Rule X.E. in Appendix A of Decision 12-01-042, Suburban Water Systems ("Suburban") provides the following information regarding its NTP&S activities.

1. A detailed description of each NTP&S activity;

a. Residential Houeline Program

Suburban offers a houseline maintenance plan called "LifeLine" which is designed to cover the repair or replacement of residential customers' outside water lines for a monthly fee of \$4.97 which is added to the customers' monthly bill. To be eligible for the Lifeline, the Lateral Line must be no greater than 1-1/2 inches in diameter.

b. Antenna Leases

Antenna leases allow cellular service providers to install their antennas on Suburban properties without interference to normal day-to-day operations. Suburban has 3 antenna leases.

c. Recycled Water Operating Charges

Suburban receives monthly operating charges for the maintenance of recycled water system as defined in Section 2(i)(i) and 2(i)(ii) of Agreement for the Construction, Operation and Maintenance of a Recycled Water Distribution System by the Upper San Gabriel Valley Municipal Water District and Suburban Water Systems.

2. Whether and why it is classified active or passive;

Residential Houeline Program is classified as an active NTP&S as designated in the category of Customer Ancillary Services.

Antenna Leases and Recycled Water Operating Charges are classified as passive NTP&S as designated in the category of Use of General Facilities and there is no incremental investment above \$125,000.

3. Gross revenue received;

Please see Attachment A

4. Revenue allocated to ratepayers and to shareholders, as established in the company's current general rate case;

Please see Attachment B

5. A complete identification of all regulated assets used in the transaction;

Assets used in NTP&S transactions represent excess capacity of Suburban, and cannot be separately identified.

6. A complete list of all employees (by position) that participated in providing the non-tariffed service, with amount of time spent on provision of the service;
Employees participating in NTP&S transactions and time spent on NTP&S transactions, other than overtime, cannot be separately identified.

7. If the NTP&S has been classified as active through advice letter submission, provide the number of the advice letter and the authorizing Resolution; and
N/A

8. If the NTP&S did not require approval through advice letter, provide the date notice was given to the Commission.
N/A

**SUBURBAN WATER SYSTEMS
NTP&S ACTIVITIES**

**Attachment A
GROSS REVENUE RECEIVED**

	2016													
	Jan	Feb	Mar	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
GROSS NTP&S REVENUES														
Residential Houseline Program	47,379	37,620	10,288	48,182	48,483	48,532	48,482	48,388	48,308	47,774	48,423	48,736	48,607	579,202
Antenna-Lease	6,086	6,086	0	6,086	6,086	6,143	6,143	6,268	6,268	6,268	6,268	6,268	6,268	74,239
Recycled Water Operating Charges	1,415	1,415	0	1,415	1,415	1,415	1,415	1,415	1,415	1,415	1,415	1,415	1,415	16,974
Total Gross NTP&S Revenues	54,879	45,121	10,288	55,682	55,983	56,090	56,040	56,071	55,991	55,457	56,106	56,419	56,289	670,415

**SUBURBAN WATER SYSTEMS
NTP&S ACTIVITIES**

**Attachment B
REVENUE ALLOCATED TO RATEPAYERS AND TO SHAREHOLDERS**

	Jan	Feb	Mar	Mar	Apr	May	2016 Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
REVENUE ALLOCATED TO RATEPAYERS														
Residential Houeline Program	47,379	37,620	1,029	4,818	4,848	4,853	4,848	4,839	4,831	4,777	4,842	4,874	4,861	134,420
Antenna-Lease	6,086	6,086	0	1,826	1,826	1,843	1,843	1,881	1,881	1,881	1,881	1,881	1,881	30,792
Recycled Water Operating Charges	1,415	1,415	0	424	424	424	424	424	424	424	424	424	424	7,073
Total Revenue Allocated to Ratepayers	54,879	45,121	1,029	7,068	7,098	7,120	7,116	7,144	7,136	7,082	7,147	7,178	7,166	172,284
REVENUE ALLOCATED TO SHAREHOLDERS														
Residential Houeline Program			9,259	43,364	43,635	43,679	43,634	43,549	43,478	42,997	43,580	43,862	43,746	444,782
Antenna-Lease			0	4,260	4,260	4,300	4,300	4,388	4,388	4,388	4,388	4,388	4,388	43,447
Recycled Water Operating Charges			0	990	990	990	990	990	990	990	990	990	990	9,902
Total Revenue Allocated to Shareholders	0	0	9,259	48,614	48,885	48,969	48,924	48,927	48,856	48,375	48,958	49,240	49,124	498,131

**Attachment A - GO 103A Customer Service Performance Measures
Companywide Information 2016**

	Goal	2016 Q1	2016 Q2	2016 Q3	2016 Q4	YTD
PHONE SYSTEM						
Total Calls Received		25,764	28,675	29,470	24,894	108,803
# Calls Answered in 30 seconds		25,139	27,657	28,254	23,443	104,493
% Calls Answered in 30 seconds	> or = 80%	98%	96%	96%	94%	96%
# Calls Abandoned		625	1,018	1,216	1,451	4,310
Abandonment Rate	< or = 5%	2%	4%	4%	6%	4%
BILLING						
Total Bills Scheduled to be Rendered		228,397	228,503	228,608	228,570	914,078
Total Bills Rendered		228,942	228,077	228,626	228,986	914,631
Bills Not Rendered in 7 days (10 for finals)		473	548	458	458	1,937
% Bills Rendered in 7 days	> or = 99.0%	99.8%	99.8%	99.8%	99.8%	99.8%
Inaccurate Bills Rendered		730	363	125	167	1,385
% of Inaccurate Bills Rendered	< or = 3.00%	0.32%	0.16%	0.05%	0.07%	0.15%
PAYMENTS						
Total Payments Posted		201,189	222,693	227,318	226,731	877,931
Payment Posting Errors		267	130	221	138	756
% of Payment Posting Errors	< or = 1.0%	0.13%	0.06%	0.10%	0.06%	0.09%
METER READING						
Total Number of Meter Reads Scheduled		228,779	228,793	228,884	229,016	915,472
Total Scheduled Reads Not Read		87	97	76	108	368
% Meters Not Read	< or = 3.0%	0.04%	0.04%	0.03%	0.05%	0.04%
WORK ORDER COMPLETION						
Total Appointments Scheduled		N/A	N/A	N/A	N/A	-
# Scheduled Appointments Missed		N/A	N/A	N/A	N/A	-
% of Scheduled Appointments Missed	< or = 5.0%	N/A	N/A	N/A	N/A	N/A
Total Customer Requested Work Orders		4,576	6,303	7,171	5,168	23,218
# of Customer Requested Work Orders Missed		65	235	200	141	641
% Customer Requested Work Orders Missed	< or = 5.0%	1.42%	3.73%	2.79%	2.73%	2.76%
CONSUMER AFFAIRS BRANCH (CAB) COMPLAINTS						
Total # of Connections		230,592	230,674	230,796	230,894	922,956
Total # of Customers		228,397	228,503	228,608	228,570	914,078
# of Complaints to Utility from CAB		4	3	7	7	21
% of Complaints to Utility from CAB	< or = 0.100%	0.002%	0.001%	0.003%	0.003%	0.002%