

Received _____
Examined _____

CLASS D
WATER UTILITIES

U# _____

2016
ANNUAL REPORT
OF

Del Oro Water Co., Inc.

Mount Lassen District

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

Drawer 5172

Chico, CA
(OFFICIAL MAILING ADDRESS)

95927
ZIP

TO THE
PUBLIC UTILITIES COMMISSION
STATE OF CALIFORNIA
FOR THE YEAR ENDED DECEMBER 31, 2016

REPORT MUST BE FILED NOT LATER THAN MARCH 31, 2017

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INSTRUCTIONS

1. Two completed and signed hard copies of this report and one electronic copy must be filed **NOT LATER THAN MARCH 31, 2017**, with:

**CALIFORNIA PUBLIC UTILITIES COMMISSION
WATER DIVISION
ATTN: BRUCE DEBERRY
505 VAN NESS AVENUE, ROOM 3106
SAN FRANCISCO, CALIFORNIA 94102-3298
bmd@cpuc.ca.gov**

2. Failure to file the report on time may subject a utility to the penalties and sanctions provided by the Public Utilities Code.
3. The Oath on Page12, must be signed by an authorized officer, partner, or owner.
4. The report must be prepared in ink, typed or computer generated.
5. The report must be filled in, and every question answered. LEAVE NO SCHEDULE BLANK. Insert the words "none" or "not applicable" or "n/a" when appropriate.
6. Certain balance sheet and income statement accounts flow to supplemental schedules. The totals of the details in the latter must agree with the balances of the accounts to which they refer.
7. Some schedules provide for a "balance at beginning of year." The amount shown should agree with the "balance at end of year" as shown in the report for the previous year. If there is a difference it should be explained by footnote.
8. When there is insufficient space in a schedule to permit a complete statement of the requested information, insert sheets should be prepared and identified by the number of the schedule to which it refers. Be certain that the inserts are securely attached to the report. If inserts are needed, prepare all inserts in one separate electronic file in Microsoft Excel format and file it with the electronic file of this report.
9. This report must cover the calendar year from January 1, 2016, through December 31, 2016. Fiscal year reports will not be accepted.

CLASS D WATER UTILITIES
(HAVING LESS THAN 500 SERVICE CONNECTIONS)

Del Oro Water Co., Inc. - Mount Lassen District
(Name under which corporation, partnership or individual is doing business)

Drawer 5172, Chico, CA 95927
(Official mailing address)

Shingletown, Shasta County
(Service Area - Town and County)

Telephone Number: 530-894-1100 ext 103 **Fax Number:** 530-894-7645

Email Address: jeh@corporatecenter.us

GENERAL INFORMATION
(Attach a supplementary statement, if necessary)
RETURN ORIGINAL TO COMMISSION, NO PHOTOCOPIES.

1. If a corporation show:
(A) Date of organization 1963 incorporated in the State of California

(B) Names, titles and addresses of principal officers:
Robert S. Fortino, Chief Executive Officer-Director
Bryan Fortino, Chief Financial Officer - Director
Paul Matulich, Asst Secretary-Director
Janice Hanna - Secretary
Drawer 5172, Chico, CA 95927

2. If unincorporated provide the name and address of the owner(s) or the partners:

3. Name, title, and telephone number of:
(A) One person listed above to receive correspondence: Janice Hanna, Secretary, Director of Corporate Accounting, 530
(B) Person responsible for operations and services: Paul Matulich, Asst. Secretary/Director, 530-894-1100 ext. 104

4. Were any contracts or agreements in effect with any organization or person covering service, supervision and/or management of your business affairs during the year? (Yes or No) **NO**
If so, what was the nature and the amount of each payment made under the agreement, to whom were payments made, and to what account was each payment charged?

5. State the names of associated companies or persons which, directly or indirectly, or through one or more intermediaries, control, or are controlled by, or are under common control with respondent:

PUBLIC HEALTH STATUS

- 6. Has state or local health department inspection been made during the year?
- 7. Are routine laboratory tests of water being made?
- 8. Has state health department water supply permit been obtained? (Indicate date)
- 9. If no permit has been obtained, state whether application has been made and when.
- 10. Show expiration date if state permit is temporary.

Yes	No	Latest Date
	X	12/23/2015
	X	Dec-16
	X	N/A - Environmental Health

11. List Name, Grade, and License Number of all Licensed Operators:
Jim Roberts T2-13963 & D3-20597
Keith Moore T2-33061 & D2-40926
Jake Kevwitch T2-31539 & D2-36018
Richie J McDonald T3-34495 & D3-40246
Kristopher Howland T1-39098 & D1-45221

12. This annual report was prepared by:
Name of firm or consultant: _____
Address of firm or consultant: _____
Phone Number of firm or consultant: _____

Excess Capacity and Non-Tariffed Services

NOTE: In D.00-07-018, D.03-04-028, and D. 04-12-023, the CPUC set forth rules and requirements regarding water utilities provision of non-tariffed services using excess capacity. These decisions require water utilities to: 1) file an advice letter requesting Commission approval of that service, 2) provide information regarding non-tariffed goods/services in each company's Annual Report to the Commission.

Based on the information and filings required in D.00-07-018, D.03-04-028, and D.04-12-023, provide the following information by each individual non-tariffed goods and services provided in calendar year 2016:

Applies to All Non-Tariffed Goods/Services that require Approval by Advice Letter											
Row No.	Description of Non-Tariffed Good/Service	Active or Passive	Total Revenue Derived From Non-Tariffed Goods/ Services (by account)	Revenue Account Number	Total Expenses Incurred to Provide Non-Tariffed Goods/ Services (by Account)	Expense Account Number	Advice Letter and/or Resolution Number Approving Non-Tariffed Goods/ Services	Total Income Tax Liability Incurred Because of Non-Tariffed Goods/ Services (by Account)	Income Tax Liability Account Number	Gross Value of Regulated Assets Used in the Provision of a Non-Tariffed Goods/ Services (by Account)	Regulated Asset Account Number
See combined annual report											

**FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) or Safe Drinking Water State Revolving Fund (SRF) loan surcharge collection for the calendar year. Please use one page per loan.

1. Current Fiscal Agent: **N/A**

Name: _____
 Address: _____
 Phone Number: _____
 Account Number: _____
 Date Hired: _____

2. Total surcharge collected from customers during the 12 month reporting period:

\$ _____

Meter Size	No. of Metered Customers	Monthly Surcharge Per Customer
5/8 X 3/4 inch		
3/4 inch		
1 inch		
1 1/2 inch		
2 inch		
3 inch		
4 inch		
6 inch		
Number of Flat Rate Customers		
Total	0	

3. Summary of the bank account activities showing:

Balance at beginning of year	\$ _____
Deposits during the year	_____
Interest earned for calendar year	_____
Withdrawals from this account	_____
Balance at end of year	<u>0</u>

4. Reason or Purpose of Withdrawal from this bank account:

FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA (Continued)
N/A

5. Plant amounts included in Schedule A-1a, Account No. 101--Water Plant in Service which were funded using SDWBA or SRF funds:

Line No.	Acct. No.	Title of Account (a)	Balance Beginning of Year (b)	Plant Additions During Year (c)	Plant Retirements During Year (d)	Other Debits* or (Credits) (e)	Balance End of Year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible Plant					0
3	303	Land					0
4		Total non-depreciable plant	0	0	0	0	0
5		DEPRECIABLE PLANT					
6	304	Structures					0
7	307	Wells					0
8	317	Other Water Source Plant					0
9	311	Pumping Equipment					0
10	320	Water Treatment Plant					0
11	330	Reservoirs, Tanks and Standpipes					0
12	331	Water Mains					0
13	333	Services and Meter Installations					0
14	334	Meters					0
15	335	Hydrants					0
16	339	Other Equipment					0
17	340	Office Furniture and Equipment					0
18	341	Transportation Equipment					0
19		Total depreciable plant	0	0	0	0	0
20		Total water plant in service	0	0	0	0	0

SCHEDULE A - BALANCE SHEET (AS OF DECEMBER 31, 2016)

Line	Acct.	Assets	Balance
1	101	Water Plant in Service (Excluding SDWBA/SRF)	\$2,591,535
2	101.1	Water Plant in Service - SDWBA/SRF	
3	101.2	Water Plant in Service - Prop 50	
4	103	Water Plant Held for Future Use	
5	104	Water Plant Purchased or Sold	
6	105	Water Plant Construction Work in Progress	
7	100.3	Construction Work in Progress (Prop 50)	
8	108	Accumulated Depreciation of Water Plant	(\$618,634)
9	108.1	Accumulated Amortization of SDWBA/SRF Loan	\$0
10	114	Water Plant Acquisition Adjustments	
11	121	Non-water Utility Property and Other Assets	
12	121.3	Cash - Miscellaneous Special Deposits (Prop 50)	
13	122	Accumulated Depreciation of Non-water Utility Property	
14	124	Other Investments	
15	131	Cash	\$26,968
16	131.3	Cash - Miscellaneous Special Deposits (Prop 50)	
17	132	Special Deposits	
18	141	Accounts Receivable - Customers	\$67
19	142	Receivables from Associated Companies	
20	143	Accumulated Provision for Uncollectible Accounts	
21	151	Materials and Supplies	
22	174	Other Current Assets	\$983
23	180	Deferred Charges	
24			
25			
26		Total Assets	\$2,000,918
Line	Acct.	Equity and Liabilities	Balance
27	201	Common Stock (for corporations only)	\$0
28	204	Preferred Stock (for corporations only)	\$0
29	211	Other Paid-in Capital (for corporations only)	\$215,171
30	215	Retained earnings (for corporations only)	(\$133,664)
31	218	Proprietary Capital (for proprietorships and partnerships only)	
32	224	Long-Term Debt	\$0
33	231	Accounts Payable	\$3,070
34	232	Short-Term Notes Payable	
35	233	Customer Deposits	
36	236	Taxes Accrued	
37	237	Interest Accrued	
38	241	Other Current Liabilities	\$13,239
39	250	Reserve for Depreciation of Utility Plant (Prop 50)	
40	252	Advances for Construction	
41	253	Other Credits	\$164
42	255	Accumulated Deferred Investment Tax Credits	
43	266.0	Contributions - Publicly Grant-Funded Plant (Prop 50)	
44	266.1	Accumulated Amortization of Contributions - Publicly Grant-Funded Plant (Prop 50)	
45	271	Contributions in Aid of Construction	\$2,372,500
46	272	Accumulated Amortization of Contributions	(\$469,561)
47	282	Accumulated Deferred Income Taxes - ACRS Depreciation	
48	283	Accumulated Deferred Income Taxes - Other	
49			
50			
51		Total Equity and Liabilities	\$2,000,918

SCHEDULE B - WATER PLANT IN SERVICE (AS OF DECEMBER 31, 2016)

Line	Acct	Title of Account	Balance Beg of Year	Plant Additions During year	Plant Retirements During year	Other Debits or (Credits)	Balance End of year
1	301	Intangible Plant	\$10,738				\$10,738
2	303	Land	\$0				\$0
3	304	Structures	\$409,000				\$409,000
4	307	Wells	\$18,000				\$18,000
5	311	Pumping Equipment	\$222,753	\$854			\$223,607
6	317	Other Water Source Plant	\$0				\$0
7	320	Water Treatment Plant	\$0				\$0
8	330	Reservoirs, Tanks and Standpipes	\$400,000				\$400,000
9	331	Water Mains	\$1,350,534				\$1,350,534
10	333	Services and Meter Installations	\$158,400				\$158,400
11	334	Meters	\$256				\$256
12	335	Hydrants	\$5,000				\$5,000
13	339	Other Equipment	\$16,000				\$16,000
14	340	Office Furniture and Equipment	\$0				\$0
15	341	Transportation Equipment	\$0				\$0
16		Total water plant in service	\$2,590,680	\$854	\$0	\$0	\$2,591,535

* Debit or credit entries should be explained by footnotes or supplementary schedules

SCHEDULE C - RESERVE FOR DEPRECIATION OF UTILITY PLANT (AS OF DECEMBER 31, 2016)

Line	Item	Account 108 Water Plant	Account 108.1 SDWBA/SRF Loans	Water Plant Funded by Prop 50 (For information only)	
1	Balance in reserves at beginning of year	\$540,432	\$0	\$0	A. Method used to compute
2	Add: Credits to reserves during year				depreciation expense
3	(a) Charged to Account No. 272	\$59,313			(Acct. 403) and rate.
4	(b) Charged to Account No. 403	\$18,018			
5	(c) Charged to Account No. 407				B. Amount of depreciation expense
6	(d) Salvage recovered				or to be claimed on utility property
7	(e) All other credits	\$871			in your federal income tax return
8	Total credits	\$78,202	\$0	\$0	for the year covered by this
9	Deduct: Debits to reserves during year				report \$
10	(a) Book cost of property retired				
11	(b) Cost of removal				C. State method used to compute
12	(c) All other debits				tax depreciation.
13	Total debits	\$0	\$0	\$0	
14	Balance in reserve at end of year	\$618,634	\$0	\$0	
15	(1) Explanation of all other credits	12/31/15 CPA Adj			
16	(2) Explanation of all other debits				

SCHEDULE D - CAPITAL STOCK OUTSTANDING (AS OF DECEMBER 31, 2016)

Line	Description	Shareholder Name	Number of Shares	Par Value
1	Common Stocks			
2	Common Stocks			
3	Common Stocks			
4	Common Stocks			
5	Common Stocks			
6	Common Stocks			
7	Common Stocks			
8	Common Stocks			
9	Common Stocks			
10	Common Stocks			
11	Common Stocks			
12	Common Stocks			
13	Common Stocks			
14	Common Stocks			
15	Common Stocks			
16	Total - Common Stocks			
17	Preferred Stocks			
18	Preferred Stocks			
19	Preferred Stocks			
20	Preferred Stocks			
21	Preferred Stocks			
22	Preferred Stocks			
23	Preferred Stocks			
24	Preferred Stocks			
25	Preferred Stocks			
26	Preferred Stocks			
27	Preferred Stocks			
28	Preferred Stocks			
29	Preferred Stocks			
30	Preferred Stocks			
31	Preferred Stocks			
32	Total - Preferred Stocks			
33	Total annual dividends declared and/or paid to common stockholders			\$
34	Percentage of total annual dividend to common stockholders as a percentage of total net income (%)			0
35	Total annual dividends declared and/or paid to preferred stock shareholders			\$ 0
36	Percentage of total annual dividend to preferred stockholders as a percentage of total net income (%)			0.00%

Note: Please use duplicate page(s) to meet your company's needs if additional lines are needed.

SCHEDULE E - LONG-TERM DEBTS

Line	Lender Name	CPUC Order No.	Class	Date of Issuance	Date of Maturity	Principal Amount Authorized	Interest Rate (%)	Accrued Interest for Current Year	Interest Paid for Current Year	Outstanding Balance as of 12/31/2016
1										
2	None									
3										
4										
5										
6										
7										
8										
9										
10										
11	Total					\$0		\$0	\$0	\$0

Note: Please use duplicate page(s) to meet your company's needs if additional lines are needed.

SCHEDULE F - INCOME STATEMENT

Line	Acct.	Description	Balance
1		Operating Revenues:	
2	460	Unmetered Water Revenue	\$55,130
3	462	Fire Protection Revenue	
4	465	Irrigation Revenue	
5	470	Metered Water Revenue	\$752
6	475	Approved Total Surcharge Revenue(s)	
7	480	Other water revenue	\$10
8		Total Revenue Received	\$55,892
9		Less: Revenue Deductions	
10		SDWBA/SRF Surcharge Revenue	
11		Total Operating Revenue	\$55,892
12		Operating Expenses:	
13	610	Purchased Water	
14	615	Power	\$4,692
15	618	Other Volume Related Expenses	
16	630	Employee Labor	\$13,465
17	640	Materials	\$493
18	650	Contract Work	\$100
19	660	Transportation Expense	\$4,262
20	664	Other Plant Maintenance Expenses	
21	670	Office Salaries	\$9,940
22	671	Management Salaries	\$2,289
23	674	Employee Pensions and Benefits	\$3,990
24	676	Uncollectible Accounts Expense	
25	678	Office Services and Rentals	\$171
26	681	Office Supplies and Expense	\$5,294
27	682	Professional Services	\$303
28	684	Insurance	\$4,054
29	688	Regulatory Commission Expense	
30	689	General Expense	\$1,082
31		Total Operating Expenses	\$50,136
32	403	Depreciation Expense	\$18,018
33	407	SDWBA/SRF Loan Amortization Expense	\$0
34	408	Taxes Other Than Income Taxes	\$3,467
35	409	State Corporate Income Tax Expense	
36	410	Federal Corporate Income Tax Expense	
37		Total Operating Revenue Deductions	\$21,485
38		Utility Operating Income	(\$15,728)
39	421	Non-utility Income	
40	426	Miscellaneous Non-utility Expense	
41	427	Interest Expense	
42		Net Income	(\$15,728)

SCHEDULE G - SOURCES OF SUPPLY AND WATER DEVELOPED WELLS					
Location	No.	Diam. Inch	Depth to Water Feet	Pumping Capacity (g.p.m.)	Annual Quantities Pumped - 100 CF
Well #1	1	8	45	180	64
Well #2	1	8	45	180	58
Well #3 - Inactive	1	8	N/A	95	0
OTHER					
Streams or Springs Location of Diversion Point	Flow in Priority Right		(Unit) Diversions		Annual Quantities Diverted
	Claim	Capacity	Max	Min	100 CF
Purchased water (100 CF)				Annual Quantity	
Supplier:					

Flushing
Flushing

SCHEDULE H - WATER DELIVERED TO METERED CUSTOMERS			
(If figures are available) (100 CF)			
Classification of Service	Month of Year		Total for Year
	Maximum	Minimum	
Residential	Nov	Jan	11
Commercial			
Industrial			
Fire Protection			
Irrigation			
Other (specify)			
Total			11

SCHEDULE I - EMPLOYEES AND THEIR COMPENSATION						
Line	Acct	Account	Number at End of Year	Salaries Charged to Expense	Salaries Charged to Plant Accounts	Total Salaries and Wages Paid
1	630	Employee Labor	2	13,465		13,465
2	670	Office salaries	11	9,940		9,940
3	671	Management salaries	1	2,289		2,289
4		Total	14	25,694	0	25,694

SCHEDULE J - ADVANCES FOR CONSTRUCTION	
Balance beginning of year	
Additions during year	
Subtotal - Beginning balance plus additions during year	0
Refunds	
Transfers to Acct. 271 - Contributions in Aid of Construction	
Balance end of year	0

SCHEDULE K - TOTAL METERS AND SERVICES (Active and Inactive)		
Size	Meters	Services
5/8 x 3/4-in		
3/4-in		
1-in	2	132
-in		
-in		
Total	2	132

SCHEDULE L - METER-TESTING DATA	
Number of meters tested during year	
1 Used, before repair	
2 Used, after repair	
3 Fast, requiring refund	
Numbers of meters in service requiring test per General Order No. 103	

SCHEDULE M - SERVICE CONNECTIONS AT END OF YEAR								
Classification	Active			Inactive			Total connections	
	Metered	Flat	Total	Metered	Flat	Total	Metered	Flat
Residences	2		2		130	130	2	130
Industrial/Commercial			0			0	0	0
Irrigation			0			0	0	0
Fire Protection (public)			0			0	0	0
Fire Protection (private)			0			0	0	0
Other (specify)			0			0	0	0
			0			0	0	0
Total	2	0	2	0	130	130	2	130

NOTE: Total connections (metered plus flat) should agree with total services in Schedule K.

SCHEDULE N - STORAGE FACILITIES			SCHEDULE O - FOOTAGES OF PIPE (EXCLUDING SERVICE PIPES)							
Description	No.	Combined capacity in gallons	Description	2" and under	2 1/4 to 3 1/4	4"	Other sizes (specify)			Totals
				6"	8"	12"				
Concrete			Cast Iron							0
Earth			Welded steel							0
Wood			Standard screw							0
Steel	2	437,000	Cement-asbestos							0
Other			Plastic				1,700	6,050		7,750
			Other (specify)							0
										0
										0
Total	2	437,000	Total	0	0	0	1,700	6,050	0	7,750

FACILITY FEES DATA

Please provide the following information relating to Facility Fees collected for the calendar year 2016, pursuant to Resolution No. W-4110.

1. Trust Account Information: **N/A**

Bank Name: _____
 Address: _____
 Account Number: _____
 Date Opened: _____

2. Facilities Fees collected for new connections during the calendar year:

A. Commercial

NAME	AMOUNT
_____	_____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

B. Residential

NAME	AMOUNT
_____	_____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

3. Summary of the bank account activities showing:

	AMOUNT
Balance at beginning of year	\$ _____
Deposits during the year	_____
Interest earned for calendar year	_____
Withdrawals from this account	_____
Balance at end of year	_____ 0

4. Reason or Purpose of Withdrawal from this bank account:

DECLARATION

(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)

I, the undersigned _____ Janice Hanna
Officer, Partner, or Owner (Please Print)

of _____ Del Oro Water Co., Inc. - Mount Lassen District
Name of Utility

under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period of January 1, 2016, through December 31, 2016.

Secretary/Director Corporate Accounting

Title (Please Print)

Signature

530-894-1100 ext 103

Telephone Number

3/31/2017

Date