

Received _____
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CLASS B and C
WATER UTILITIES

U# WTR 206

Revised - October 30, 2018
2017
ANNUAL REPORT
OF

Alisal Water Corporation

Alco Water Service

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

249 Williams Road, Salinas, CA 93905

(OFFICIAL MAILING ADDRESS)

ZIP

TO THE
PUBLIC UTILITIES COMMISSION
STATE OF CALIFORNIA
FOR THE YEAR ENDED DECEMBER 31, 2017

REPORT MUST BE FILED NO LATER THAN MARCH 31, 2018

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GENERAL INSTRUCTIONS

1. Two completed and signed hard copies of this report and one electronic copy must be filed **NO LATER THAN MARCH 31, 2018**, with:

CALIFORNIA PUBLIC UTILITIES COMMISSION
WATER DIVISION
ATTN: BRUCE DEBERRY
505 VAN NESS AVENUE, ROOM 3105
SAN FRANCISCO, CALIFORNIA 94102-3298
bmd@cpuc.ca.gov

2. Failure to file the report on time may subject a utility to the penalties and sanctions provided by the Public Utilities Code.
3. The Declaration on Page 39 must be signed by an authorized officer, partner, or owner.
4. The report must be prepared in accordance with the CPUC Excel annual report template. The Excel file and a PDF of the file is to be submitted to the Commission.
5. The report must be filled in, and every question answered. **LEAVE NO SCHEDULE BLANK.** Insert the words "none" or "not applicable" or "n/a" when appropriate.
6. Certain balance sheet and income statement accounts refer to supplemental schedules. Complete the supplemental schedules **FIRST**. The balances in these schedules will then auto-fill the appropriate boxes in the balance sheet/income statement. Total and subtotal boxes are automatically summed in Excel. Auto-filled and summed boxes are Excel locked and identified by a light coloring of the box. Uncolored boxes can be manually filled. Complete the statements by filling in the uncolored boxes where appropriate.
7. Some schedules provide for a "balance at beginning of year." The amount shown should agree with the "balance at end of year" as shown in the report for the previous year. If there is a difference, it should be explained by footnote.
8. When there is insufficient space in a schedule to permit a complete statement of the requested information, insert sheets should be prepared and identified by the number of the schedule to which it refers. Be certain that the inserts are securely attached to the report. If inserts are needed, prepare all inserts in one separate electronic file in Microsoft Excel format and file it with the electronic file of this report.
9. This report must cover the calendar year from January 1, 2017, through December 31, 2017. Fiscal year reports will not be accepted.

GENERAL INFORMATION

1. Name under which utility is doing business: Alisal Water Corporation DBA Alco Water Service

2. Official mailing address:
249 Williams Road, Salinas, CA 93905

3. Name and title of person to whom correspondence should be addressed:
Thomas R. Adcock, President Telephone: (831) 424-0441

4. Address where accounting records are maintained:
249 Williams Road, Salinas, CA 93905

5. Service Area (Refer to district reports if applicable): Eastern Portion on Salinas and Rosehart Industrial Park

6. Service Manager (If located in or near Service Area.) (Refer to district reports if applicable.)
Name: Thomas R. Adcock, President Telephone: (831) 424-0441
Address: 249 Williams Road, Salinas, CA 93905

7. OWNERSHIP. Check and fill in appropriate line:
 Individual (name of owner) _____
 Partnership (name of partner) _____
 Partnership (name of partner) _____
 Partnership (name of partner) _____
 Corporation (corporate name) Alisal Water Corporation
Organized under laws of (state) California Date: 1950

Principal Officers:
Name: Thomas R. Adcock Title: President
Name: N. Patricia Adcock Title: Secretary
Name: Marino A. Rodriguez Title: Chief Financial Officer
Name: _____ Title: _____

8. Names of associated companies: California Utilities Service, Inc.

9. Names of corporations, firms or individuals whose property or portion of property have been acquired during the year, together with date of each acquisition:
Name: N/A Date: _____
Name: _____ Date: _____
Name: _____ Date: _____
Name: _____ Date: _____

10. Use the space below for supplementary information or explanations concerning this report:
N/A

11. List Name, Grade, and License Number of all Licensed Operators:
See Attachment 1

12. This annual report was prepared by:
Name of firm or consultant: N/A
Address of firm or consultant: N/A
Phone Number of firm or consultant: ()

INSTRUCTIONS

FOR PREPARATION OF SELECTED FINANCIAL DATA SHEET FOR ALL WATER UTILITIES

To prepare the attached data sheets, refer to the Balance Sheet, Income Statement and supporting detail schedules in the annual report for the required data.

Please follow the instructions below:

1. The CPUC annual report Excel template incorporates links so that the selected data in the Balance Sheet, Income Statement and supporting schedules automatically flow to the financial data sheets.
2. If needed, the Excel data worksheets can be made unprotected (no password is needed) if the utility wants to make modifications and/or add additional data.

UTILITY PLANT AND CAPITALIZATION DATA

Calendar Year 2017

Name of Utility: Alisal Water Corporation

Telephone: (831) 424-0441

Person Responsible for this Report: Marino A. Rodriguez

	<u>1/1/2017</u>	<u>12/31/2017</u>	<u>Average</u>
UTILITY PLANT DATA			
1 Utility Plant	\$ 39,468,405	\$ 41,225,656	\$ 40,347,030
2 Accumulated Depreciation/Amortization	(14,603,662)	(15,535,286)	(15,069,474)
3 Net Utility Plant	24,864,743	25,690,370	25,277,556
4 Advances for Construction	4,977,336	4,955,269	4,966,303
5 Contributions in Aid of Construction	7,209,674	7,635,731	7,422,703
6 Accumulated Deferred Taxes	1,381,470	1,388,025	1,384,748
7			
8			
9			
10 CAPITALIZATION			
11 Common Stock	89,063	89,063	89,063
12 Preferred Stock	-	-	-
13 Retained Earnings	4,002,409	4,073,345	4,037,877
14 Total Corporate Capital and Retained Earnings	4,091,472	4,162,408	4,126,940
15 Proprietary Capital (Individual or Partnership)	-	-	-
16 Long-Term Debt	9,970,529	9,015,000	9,492,765

INCOME, EXPENSES, AND OTHER DATA

Calendar Year 2017

Name of Utility: Alisal Water Corporation

Telephone: (831) 424-0441

INCOME/EXPENSES DATA

	Annual Amount
1 Operating Revenues	\$ 7,768,742
2 Operating Expenses	5,408,467
3 Depreciation	804,450
4 SDWBA Loan Amortization Expense	-
5 Taxes	580,213
6 Utility Operating Income	975,612
7 Non-Utility Income	59,524
8 Interest Expense	907,847
9 Net Income	70,936

10

11 OPERATING EXPENSES DATA

12 Purchased Water	-
13 Power	794,548
14 Other Volume Related Expenses	8,285
15 Non-Volume Related Expenses	1,379,223
16 Administrative and General Expenses	3,226,410

17

18 OTHER DATA

19

20 Active Service Connections (Exc. Fire Protect.) _____

21

22 Metered Service Connections

23 Flat Rate Service Connections

24 Total Active Service Connections

	Jan. 1	Dec. 31	Annual Average
	8,965	8,967	8,966
	154	156	155
	9,119	9,123	9,121

SCHEDULE A
COMPARATIVE BALANCE SHEET
Assets and Other Debits

Line No.	Acct. No.	Title of Account (a)	Schedule Number (b)	Balance End of Year (c)	Balance Beginning of Year (d)
1		UTILITY PLANT			
2	101	Water Plant in Service (Excluding SDWBA/SRF, Grant Funds)	A-1, A-1a	38,737,485	34,530,447
3	101.1	Water Plant in Service - SDWBA/SRF	A-1, A-1b	-	-
4	101.2	Water Plant in Service - Grant Funds	A-1, A-1c	-	-
5	101.3	Water Plant in Service - Other	A-1	-	-
6	103	Water Plant Held for Future Use	A-1, A-1d	807,345	807,345
7	104	Water Plant Purchased or Sold	A-1	-	-
8	105	Construction Work in Progress - Water Plant	A-1	1,540,521	3,990,308
9	105.1	Construction Work in Progress - SDWBA/SRF	A-1	-	-
10	105.2	Construction Work in Progress - Grant Funds	A-1	-	-
11	105.3	Construction Work in Progress - Other	A-1	-	-
12	114	Water Plant Acquisition Adjustments	A-1	140,305	140,305
13		Total Utility Plant		\$ 41,225,656	\$ 39,468,405
14	108	Accumulated Depreciation of Water Plant	A-3	(15,535,286)	(14,603,662)
15	108.1	Accumulated Amortization of SDWBA/SRF loan	A-3	-	-
16	108.2	Accumulated Depreciation of Water Plant - Grant Funds	A-3	-	-
17	108.3	Accumulated Depreciation of Water Plant - Other	A-3	-	-
18		Total Accumulated Depreciation/Amortization		\$ (15,535,286)	\$ (14,603,662)
19		Net Utility Plant		\$ 25,690,370	\$ 24,864,743
20					
21		INVESTMENTS			
22	121	Non-utility Property and Other Assets	A-2	24,342	24,342
23	122	Accumulated Depreciation of Non-Water Utility Property	A-3	-	-
24		Net non-utility property		\$ 24,342	\$ 24,342
25	123	Investments in Affiliated Companies	A-4	-	-
26	124	Other Investments	A-5	-	-
27		Total Investments		\$ 24,342	\$ 24,342
28					
29		CURRENT AND ACCRUED ASSETS			
30	131	Cash	A-6	420,046	1,187,040
31	132	Cash - Special Deposits	A-7	688,391	672,920
32	141	Accounts Receivable - Customers	A-8	968,508	849,769
33	142	Receivables from Associated Companies	A-9	764,673	447,755
34	143	Accumulated Provision for Uncollectible Accounts	A-10	(43,731)	(43,731)
35	151	Materials and Supplies	A-11	23,124	21,473
36	174	Other Current Assets	A-12	447,076	498,297
37		Total current and accrued assets		\$ 3,268,088	\$ 3,633,523
38					
39	180	Deferred Charges	A-13	1,781,593	1,504,340
40	181	Accumulated Deferred Income Tax Assets	A-14	-	-
41					
42		Total Assets and Other Debits		\$ 30,764,393	\$ 30,026,948

SCHEDULE A
COMPARATIVE BALANCE SHEET
Liabilities and Other Credits

Line No.	Acct. No.	Title of Account (a)	Schedule Number (b)	Balance End of Year (c)	Balance Beginning of Year (d)
1		CORPORATE CAPITAL AND RETAINED EARNINGS			
2	201	Common Stock	A-15	89,063	89,063
3	204	Preferred Stock	A-16	-	-
4	206	Subchapter S Corporation Accumulated Adjustments Account	A-18	-	-
5	211	Other Paid-in Capital	A-19	-	-
6	215	Retained Earnings	A-20	4,073,345	4,002,409
7		Total corporate capital and retained earnings		\$ 4,162,408	\$ 4,091,472
8					
9		PROPRIETARY CAPITAL			
10	218	Proprietary Capital	A-21	-	-
11					
12		LONG TERM DEBT			
13	224	Long-term Debt	A-22	9,015,000	9,970,529
14					
15		CURRENT AND ACCRUED LIABILITIES			
16	230	Payables to Affiliated Companies	A-23	-	-
17	231	Accounts Payable	A-24	843,601	937,148
18	232	Short-term Notes Payable	A-25	-	-
19	233	Customer Deposits	A-26	189,426	200,111
20	236	Taxes Accrued	A-27	164,170	117,483
21	237	Interest Accrued	A-28	109,213	116,965
22	241	Other Current Liabilities	A-29	480,989	438,789
23		Total current and accrued liabilities		\$ 1,787,399	\$ 1,810,495
24					
25		DEFERRED CREDITS			
26	252	Advances for Construction	A-30	4,955,269	4,977,336
27	253	Other Credits	A-31	5,763,027	4,319,865
28	255	Accumulated Deferred Investment Tax - Credits	A-32	22,471	26,583
29	282	Accumulated Deferred Income Taxes - Accel. Tax Depreciation	A-33	1,365,554	1,354,887
30	283	Accumulated Deferred Income Tax Liabilities	A-34	-	-
31		Total deferred credits		\$ 12,106,321	\$ 10,678,671
32					
33		CONTRIBUTIONS IN AID OF CONSTRUCTION			
34	265	Contributions in Aid of Construction	A-35	7,635,731	7,209,674
35	272	Accumulated Amortization of Contributions	A-36	(3,942,466)	(3,733,892)
36		Net Contributions in Aid of Construction		\$ 3,693,265	\$ 3,475,782
37		Total Liabilities and Other Credits		\$ 30,764,393	\$ 30,026,949

**SCHEDULE A-1
UTILITY PLANT**

Line No.	Acct No.	Title of Account (a)	Balance Beg of Year (b)	Plant Additions During year (c)	Plant (Retirements) During year (d)	Other Debits* or (Credits) (e)	Balance End of year (f)
1	101	Water Plant in Service (Excl. SDWBA/SRF, Grant Funds) (Sch A-1a)	34,530,447	4,288,438	(81,400)	-	\$ 38,737,485
2	101.1	Water Plant In Service - SDWBA/SRF (Sch A-1b)	-	-	-	-	\$ -
3	101.2	Water Plant In Service - Grant Funds (Sch A-1c)	-	-	-	-	\$ -
4	101.3	Water Plant In Service - Other					\$ -
5	103	Water Plant Held for Future Use (Sch A-1d)	807,345				\$ 807,345
6	104	Water Plant Purchased or Sold					\$ -
7	105	Construction Work in Progress - Water Plant	3,990,308	(2,449,787)			\$ 1,540,521
8	105.1	Construction Work in Progress - SDWBA/SRF					\$ -
9	105.2	Construction Work in Progress - Grant Funds					\$ -
10	105.3	Construction Work in Progress - Other					\$ -
11	114	Water Plant Acquisition Adjustments	140,305				\$ 140,305
12		Total utility plant	\$ 39,468,405	\$ 1,838,651	\$ (81,400)	\$ -	\$ 41,225,656

* Debit or credit entries should be explained by footnotes or supplementary schedules

CWIP "Plant Additions during year" is net of additions and capitalized plant reported in "Water Plant in Service" additions.

**SCHEDULE A-1a
Account 101 - Water Plant in Service (Excluding SDWBA/SRF, Grant Funds)**

Line No.	Acct No.	Title of Account (a)	Balance Beg of Year (b)	Plant Additions During year (c)	Plant (Retirements) During year (d)	Other Debits* or (Credits) (e)	Balance End of year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible Plant	15,341				\$ 15,341
3	303	Land	496,101				\$ 496,101
4		Total non-depreciable plant	\$ 511,442	\$ -	\$ -	\$ -	\$ 511,442
5							
6		DEPRECIABLE PLANT					
7	304	Structures	1,623,716	370,542	(8,000)		\$ 1,986,258
8	307	Wells	931,917				\$ 931,917
9	311	Pumping Equipment	3,062,461	431,217			\$ 3,493,678
10	317	Other Water Source Plant	89,665	6,726			\$ 96,391
11	320	Water Treatment Plant	508,135				\$ 508,135
12	330	Reservoirs, Tanks and Standpipes	372,395				\$ 372,395
13	331	Water Mains	15,842,647	2,930,336			\$ 18,772,983
14	333	Services and Meter Installations	5,403,509	342,829	(49,320)		\$ 5,697,018
15	334	Meters	2,629,370		(19,080)		\$ 2,610,290
16	335	Hydrants	35,946				\$ 35,946
17	339	Other Equipment	1,932,893	159,503			\$ 2,092,396
18	340	Office Furniture and Equipment	690,698	45,764	(5,000)		\$ 731,462
19	341	Transportation Equipment	895,652	1,523			\$ 897,175
20		Total depreciable plant	\$ 34,019,005	\$ 4,288,438	\$ (81,400)	\$ -	\$ 38,226,043
21		Total water plant in service	\$ 34,530,447	\$ 4,288,438	\$ (81,400)	\$ -	\$ 38,737,485

* Debit or credit entries should be explained by footnotes or supplementary schedules

SCHEDULE A-1b
Account 101.1 - Water Plant in Service - SDWBA/SRF

Line No.	Acct No.	Title of Account (a)	Balance Beg of Year (b)	Plant Additions During year (c)	Plant (Retirements) During year (d)	Other Debits* or (Credits) (e)	Balance End of year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible Plant	N/A				#VALUE!
3	303	Land					\$ -
4		Total non-depreciable plant	\$ -	\$ -	\$ -	\$ -	\$ -
5							
6		DEPRECIABLE PLANT					
7	304	Structures		N/A			#VALUE!
8	307	Wells					\$ -
9	311	Pumping Equipment					\$ -
10	317	Other Water Source Plant					\$ -
11	320	Water Treatment Plant					\$ -
12	330	Reservoirs, Tanks and Sandpipes					\$ -
13	331	Water Mains					\$ -
14	333	Services and Meter Installations					\$ -
15	334	Meters					\$ -
16	335	Hydrants					\$ -
17	339	Other Equipment					\$ -
18	340	Office Furniture and Equipment					\$ -
19	341	Transportation Equipment					\$ -
20		Total depreciable plant	\$ -	\$ -	\$ -	\$ -	\$ -
21		Total water plant in service	\$ -	\$ -	\$ -	\$ -	\$ -

* Debit or credit entries should be explained by footnotes or supplementary schedules

SCHEDULE A-1c
Account 101.2 - Water Plant in Service - Grant Funds

Line No.	Acct No.	Title of Account (a)	Balance Beg of Year (b)	Plant Additions During year (c)	Plant (Retirements) During year (d)	Other Debits* or (Credits) (e)	Balance End of year (f)
1		NON-DEPRECIABLE PLANT					
2	301	Intangible Plant	N/A				#VALUE!
3	303	Land					\$ -
4		Total non-depreciable plant	\$ -	\$ -	\$ -	\$ -	\$ -
5							
6		DEPRECIABLE PLANT					
7	304	Structures		N/A			#VALUE!
8	307	Wells					\$ -
9	311	Pumping Equipment					\$ -
10	317	Other Water Source Plant					\$ -
11	320	Water Treatment Plant					\$ -
12	330	Reservoirs, Tanks and Sandpipes					\$ -
13	331	Water Mains					\$ -
14	333	Services and Meter Installations					\$ -
15	334	Meters					\$ -
16	335	Hydrants					\$ -
17	339	Other Equipment					\$ -
18	340	Office Furniture and Equipment					\$ -
19	341	Transportation Equipment					\$ -
20		Total depreciable plant	\$ -	\$ -	\$ -	\$ -	\$ -
21		Total water plant in service	\$ -	\$ -	\$ -	\$ -	\$ -

* Debit or credit entries should be explained by footnotes or supplementary schedules

SCHEDULE A-1d
Account 103 - Water Plant Held for Future Use

Line No.	Description and Location of Property (a)	Date of Acquisition (b)	Approximate Date When Property will be placed in Service (c)	Balance End of Year (d)
1	Bardin Well	1/1/10	12/31/18	750,345
2	Laurel Heights Well	1/1/10	12/31/18	57,000
3				
4				
5				
6				
7				
8				
9				
10	Total			\$ 807,345

SCHEDULE A-2
Account 121 - Non-Utility Property and Other Assets

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Land	24,342	24,342
2			
3			
4			
5	Total	\$ 24,342	\$ 24,342

SCHEDULE A-3
Accounts 108, 108.1, 108.2, 108.3, 122 - Depreciation and Amortization Reserves

Line No.	Item (a)	Account 108	Account 108.1	Account 108.2	Account 108.3	Account 122
		Accumulated Depreciation of Water Plant (b)	Accumulated Amortization of SDWBA/SRF (c)	Accumulated Depreciation of Water Plant - Grant Funds (d)	Accumulated Depreciation of Water Plant - Other (e)	Accumulated Depreciation of Non-Water Utility Property (f)
1	Balance in reserves at beginning of year	14,603,662				
2	Add: Credits to reserves during year					
3	(a) Charged to Account 272	208,574				
4	(b) Charged to Account 403	804,450				
5	(c) Charged to Account 407					
6	(d) Charged to Account 426					
7	(e) Charged to clearing accounts.					
8	(f) Salvage recovered					
9	(g) All other credits					
10	Total Credits	\$ 1,013,024	\$ -	\$ -	\$ -	\$ -
11	Less: Debits to reserves during year					
12	(a) Book cost of property retired	(81,400)				
13	(b) Cost of removal					
14	(c) All other debits					
15	Total debits	\$ (81,400)	\$ -	\$ -	\$ -	\$ -
16	Balance in reserve at end of year	\$ 15,535,286	\$ -	\$ -	\$ -	\$ -
17						
18	(1) COMPOSITE DEPRECIATION RATE USED FOR STRAIGHT LINE REMAINING LIFE %					2.81%
19						
20	(2) EXPLANATION OF ALL OTHER CREDITS:					
21						
22						
23						
24						
25						
26	(3) EXPLANATION OF ALL OTHER DEBITS:					
27						
28						
29						
30						
31						
32	(4) METHOD USED TO COMPUTE INCOME TAX DEPRECIATION					
33	(a) Straight line					
34	(b) Liberalized					
35	(1) Sum of the years digits					
36	(2) Double declining balance					
37	(3) Other					
38	(c) Both straight line and liberalized	X				

SCHEDULE A-3a
Account 108 - Analysis of Entries in Depreciation Reserve

Line No.	Acct No.	Depreciable Plant (a)	Balance Beginning of Year (b)	Credits to Reserve During Year Excl. Salvage (c)	Debits to Reserve During Year Excluding Costs of Removal (d)	Salvage and Cost of Removal Net (Dr.) or Cr. (e)	Balance End of Year (f)
1	304	Structures	528,247	36,100	(8,000)		\$ 556,347
2	307	Wells	456,753	18,638			\$ 475,391
3	311	Pumping Equipment	1,680,333	100,604			\$ 1,780,937
4	317	Other Water Source Plant	22,226	3,721			\$ 25,947
5	320	Water Treatment Plant	364,224	25,407			\$ 389,631
6	330	Reservoirs, Tanks and Standpipes	156,945	5,418			\$ 162,363
7	331	Water Mains	4,951,872	311,541			\$ 5,263,413
8	333	Services and Meter Installations	1,900,331	111,005	(49,320)		\$ 1,962,016
9	334	Meters	1,432,602	163,139	(19,080)		\$ 1,576,661
10	335	Hydrants	24,189	802			\$ 24,991
11	339	Other Equipment	1,574,251	93,677			\$ 1,667,928
12	340	Office Furniture and Equipment	653,179	53,331	(5,000)		\$ 701,510
13	341	Transportation Equipment	858,510	89,641			\$ 948,151
14		Total	\$ 14,603,662	\$ 1,013,024	\$ (81,400)	\$ -	\$ 15,535,286

SCHEDULE A-4
Account 123 - Investments in Affiliated Companies

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1			
2	N/A		
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-5
Account 124 - Other Investments

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	N/A		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-6
Account 131 - Cash

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	131.1 Cash on Hand	2,250	2,250
2	131.2 Cash in Bank	1,184,790	417,796
3			
4			
5	Total	\$ 1,187,040	\$ 420,046

SCHEDULE A-7
Account 132 - Cash - Special Deposits

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	SDWBA/SRF	-	-
2	Facilities Fees	-	-
3	Bond Debt Service Reserve Account	425,173	427,295
4	Bond Debt Service Payment Account	247,747	261,096
5	Total	\$ 672,920	\$ 688,391

SCHEDULE A-8
Account 141 - Accounts Receivable - Customers

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Accounts Receivable - Customers	849,769	968,508
2			
3			
4			
5	Total	\$ 849,769	\$ 968,508

SCHEDULE A-9
Account 142 - Receivables from Associated Companies

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	California Utilities Service, Inc.	447,755	764,673
2			
3			
4			
5	Total	\$ 447,755	\$ 764,673

SCHEDULE A-10
Account 143 - Accumulated Provision for Uncollectible Accounts

Line No.	Description of Items (a)	Amount (b)
1	Balance beginning of year	43,731
2	Add: Charges to Account 676 - Uncollectible Accounts Expense	
3	Collections on accounts previously written off as uncollectible	
	Other credits	
	Total Credits	\$ -
4	Less: Write-offs of accounts determined to be uncollectible	
5	Other debits	
	Total Debits	\$ -
6	Balance end of year	\$ 43,731

SCHEDULE A-11			
Account 151 - Materials and Supplies			
Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Materials and Supplies	21,473	23,124
2			
3			
4			
5	Total	\$ 21,473	\$ 23,124

SCHEDULE A-12			
Account 174 - Other Current Assets			
Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Prepaid Insurance	173,510	159,698
2	Prepaid Expenses	9,022	7,271
3	Prepaid Income Tax	284,107	280,107
4	Other Current Assets	31,658	-
5	Total	\$ 498,297	\$ 447,076

SCHEDULE A-13			
Account 180 - Deferred Charges			
Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Bond Fees	304,260	274,873
2	Deferred Taxes	810,099	845,263
3	Balancing accounts and Memo Accounts (Note 1)	389,552	661,457
4	Other	429	-
5	Total	\$ 1,504,340	\$ 1,781,593

Note 1: The balances for 2016 and 2017 have been revised per the direction of UAFCB staff in Management Letter and Audit Report dated September 13, 2018.

SCHEDULE A-14			
Account 181 - Accumulated Deferred Income Tax Assets			
Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1			
2	N/A		
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-15
Account 201 - Common Stock

Line No.	Class of Stock (a)	Number of Shares Authorized by Articles of Incorporation (b)	Par Value of Stock Authorized by Articles of Incorporation (c)	Number of Shares Outstanding ¹ (d)	Balance Beg of Year (e)	Balance End of Year (f)	Dividends Declared During Year	
							Rate (g)	Amount (h)
1	Common Stock (Note 2)	11,250	-	11,250	89,063	\$ 89,063		\$ -
2						\$ -		\$ -
3						\$ -		\$ -
4						\$ -		\$ -
5						\$ -		\$ -
6	Total				\$ 89,063	\$ 89,063		\$ -

¹ After deduction for amount of reacquired stock held by or for the respondent.

Note 2: The balances for 2016 and 2017 have been revised per the direction of UAFCB staff in Management Letter and Audit Report dated September 13, 2018.

SCHEDULE A-16
Account 204 - Preferred Stock

Line No.	Class of Stock (a)	Number of Shares Authorized by Articles of Incorporation (b)	Par Value of Stock Authorized by Articles of Incorporation (c)	Number of Shares Outstanding ¹ (d)	Balance Beg of Year (e)	Balance End of Year (f)	Dividends Declared During Year	
							Rate (g)	Amount (h)
1						\$ -		\$ -
2						\$ -		\$ -
3	N/A					\$ -		\$ -
4						\$ -		\$ -
5						\$ -		\$ -
6	Total				\$ -	\$ -		\$ -

¹ After deduction for amount of reacquired stock held by or for the respondent.

SCHEDULE A-17
Record of Stockholders at End of Year

Line No.	COMMON STOCK Name (a)	Number Shares (b)	PREFERRED STOCK Name (c)	Number Shares (d)
1	The Adcock Family Irrevocable Water Trust	7,900		
2	Treasury Stock	3,350		
3				
4				
5				
6				
7				
8				
9	Total number of shares	11,250	Total number of shares	-

SCHEDULE A-18		
Account 206 - Subchapter S Corporation Accumulated Adjustments Account		
Line No.	Description of Items (a)	Amount (b)
1	Balance beginning of year	
2	Credit:	
3	Net Income	
4	Accounting Adjustments	
5	Total Credits	\$ -
6	Debit:	
7	Net Loss	
8	Accounting Adjustments	
9	Dividends	
10	Total Debits	\$ -
11	Balance end of year	\$ -

SCHEDULE A-19			
Account 211 - Other Paid in Capital (Corporations only)			
Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	N/A		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-20		
Account 215 - Retained Earnings (Corporations Only)		
Line No.	Item (a)	Amount (b)
1	Balance beginning of year (Note 3)	4,002,409
2	Add: Credits	
3	Net income	70,936
4	Prior period adjustments	
5	Other credits (detail)	
6	Total Credits	\$ 70,936
7		
8	Less: Debits	
9	Net losses	
10	Prior period adjustments	
11	Dividend appropriations - preferred stock	
12	Dividend appropriations - common stock	
13	Other debits (detail)	
14	Total Debits	-
15	Balance end of year	\$ 4,073,345

Note 3: The balances for 2016 and 2017 have been revised per the direction of UAFCB staff in Management Letter and Audit Report dated September 13, 2018.

SCHEDULE A-21
Account 218 - Proprietary Capital
(Sole Proprietor or Partnership)

Line No.	Item (a)	Amount (b)
1	Balance beginning of year	
2	Add: Credits	
3	Net income	
4	Additional investments during year	
5	Other credits (detail)	
6	Total Credits	\$ -
7	Less: Debits	
8	Net losses	
9	218.1 Proprietary Drawings	
10	Other debits (detail):	
11		
12		
13	Total Debits	\$ -
14	Balance end of year	\$ -

SCHEDULE A-22
Account 224 - Long-Term Debt

Line No.	Nature of Obligation (a)	Date of Issue (b)	Date of Maturity (c)	Balance Beg of Year (d)	Balance End of Year (e)	Rate of Interest (f)	Interest Accrued During Year (g)	Interest Paid During Year (h)
1	Enterprise (Capital Lease)	8/1/12	7/1/17	7,353	-	3.76%	93	93
2	RaboBank (Capital Lease)	11/1/12	10/1/17	24,855	-	5.23%	599	599
3	Allstate (2007A Bonds)	5/1/07	1/1/27	5,960,000	5,565,000	7.85%	448,628	456,379
4	Allstate Bond fees(2007A B)	5/1/07	1/1/27	-			29,387	
5	Demand Notes (Note 4)	Various	On Demand	3,978,321	3,450,000	Variable	593,253	593,253
6								
7	Less: Capitalized Interest						(166,984)	
8	Total			\$ 9,970,529	\$ 9,015,000		\$ 904,975	\$ 1,050,324

Note 4: The balances for 2016 and 2017 have been revised per the direction of UAFCB staff in Management Letter and Audit Report dated September 13, 2018. Alisal Water Corporation does not agree with these revisions and believes that these changes may be in contradiction to previous Commission Decisions and/or Resolutions.

SCHEDULE A-23
Account 230 - Payables to Affiliated Companies

Line No.	Nature of Obligation (a)	Balance Beg of Year (b)	Balance End of Year (c)	Rate of Interest (d)	Interest Accrued During Year (e)	Interest Paid During Year (f)
1	N/A					
2						
3						
4						
5						
6						
7	Total	\$ -	\$ -		\$ -	\$ -

SCHEDULE A-24
Account 231 - Accounts Payable

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Various	937,148	843,601
2			
3			
4			
5	Total	\$ 937,148	\$ 843,601

SCHEDULE A-25
Account 232 - Short-Term Notes Payable

Line No.	Nature of Obligation (a)	Date of Issue (b)	Date of Maturity (c)	Balance Beg of Year (d)	Balance End of Year (e)	Rate of Interest (f)	Interest Accrued During Year (g)	Interest Paid During Year (h)
1	Demand Note (Note 5)	9/20/06	On Demand	-	-	9.13%	-	-
2	Demand Note (Note 5)	1/4/07	On Demand	-	-	10.00%	-	-
3	Demand Note (Note 5)	1/9/07	On Demand	-	-	10.00%	-	-
4	Demand Note (Note 5)	11/17/10	On Demand	-	-	variable	-	-
5	Demand Note (Note 5)	11/22/10	On Demand	-	-	variable	-	-
6	Demand Note (Note 5)	12/2/10	On Demand	-	-	variable	-	-
7	Demand Note (Note 5)	12/9/10	On Demand	-	-	variable	-	-
8	Total			\$ -	\$ -		\$ -	\$ -

Note 5: The balances for 2016 and 2017 have been revised per the direction of UAFCB staff in Management Letter and Audit Report dated September 13, 2018. Alisal Water Corporation does not agree with these revisions and believes that these changes may be in contradiction to previous Commission Decisions and/or Resolutions.

SCHEDULE A-26
Account 233 - Customer Deposits

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Customer Deposits	200,111	189,426
2			
3			
4			
5	Total	\$ 200,111	\$ 189,426

SCHEDULE A-27
Account 236 - Taxes Accrued

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Payroll Taxes Payable	-	4,271
2	City of Salinas Utility Tax Payable	84,038	81,219
3	PUC Fee Payable	29,444	32,319
4	State and Federal Taxes Payable	4,000	46,361
5	Total	\$ 117,483	\$ 164,170

SCHEDULE A-28
Account 237 - Interest Accrued

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	237.1 Interest accrued on long-term debt	116,965	109,213
2	237.2 Interest accrued on SDWBA loan		
3	237.3 Interest accrued on other liabilities		
4			
5	Total	\$ 116,965	\$ 109,213

SCHEDULE A-29
Account 241 - Other Current Liabilities

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Payroll and PTO Accrual	189,934	206,823
2	Accrued Pension and 401(K) Payable	248,846	274,157
3	Other	9	9
4			
5	Total	\$ 438,789	\$ 480,989

SCHEDULE A-30
Account 252 - Advances for Construction

Line No.	(a)	Amount (b)
1	Balance beginning of year	4,977,336
2	Additions during year	-
3	Subtotal - Beginning balance plus additions during year	\$ 4,977,336
4	Charges during year:	
5	Refunds	
6	Percentage of revenue basis	
7	Proportionate cost basis	(22,067)
8	Present worth basis	
9	Total refunds	\$ (22,067)
10	Transfers to Acct. 265, Contributions in aid of Construction	
11	Due to expiration of contracts	
12	Due to present worth discount	
13	Total transfers to Acct. 265	\$ -
14	Securities Exchanged for Contracts	
15	Subtotal - charges during year	\$ (22,067)
16	Balance end of year	\$ 4,955,269

SCHEDULE A-31
Account 253 - Other Credits

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Tax Gross Up - Advance for Construction	474,813	472,082
2	Deferred Revenue	148,175	163,575
3	Conservation Surcharges	3,696,877	5,127,370
4			
5	Total	\$ 4,319,865	\$ 5,763,027

SCHEDULE A-32
Account 255 - Accumulated Deferred Investment Tax - Credits

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Accumulated Deferred Investment Tax	26,583	22,471
2			
3			
4			
5	Total	\$ 26,583	\$ 22,471

SCHEDULE A-33
Account 282 - Accumulated Deferred Income Taxes - Accelerated Tax Depreciation

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Accumulated Deferred Income Taxes	1,354,887	1,365,554
2			
3			
4			
5	Total	\$ 1,354,887	\$ 1,365,554

SCHEDULE A-34
Account 283 - Accumulated Deferred Income Tax Liabilities

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	N/A		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-35
Account 265 - Contributions in Aid of Construction

Line No.	Item (a)	Total All Columns (b)	Grants, Contamination Proceeds 265-1 to 265-6		Other 265-7	
			Depreciable (c)	Non-Depreciable (d)	Depreciable (e)	Non-Depreciable (f)
1	Balance beginning of year	\$ 7,209,674			7,209,674	
2	Add:					
3	Contributions received during year	\$ 426,057			426,057	
4	Other credits	\$ -				
5	Total credits	\$ 426,057	\$ -	\$ -	\$ 426,057	\$ -
6	Deduct:					
8	Non-depreciable property retired	\$ -				
9	Other debits	\$ -				
10	Total debits	\$ -	\$ -	\$ -	\$ -	\$ -
11	Balance end of year	\$ 7,635,731	\$ -	\$ -	\$ 7,635,731	\$ -

SCHEDULE A-36
Account 272 - Accum. Amort. of Contributions - Debit
(Note: Show beginning debit balance as positive)

Line No.	Item (a)	Amount (b)
1	Balance beginning of year	3,733,892
2	Add: Charges from the following:	
3	Acct. 108 Accum. Depre. Water Plant	208,574
4	Acct. 108.2 Accum. Depre. Water Plant - Grant Funds	
5	Acct. 108.3 Accum. Depre. Water Plant - Other	
6	Other debits	
7	Total debits	\$ 208,574
8	Deduct:	
10	Other credits	
12	Balance end of year	\$ 3,942,466

**SCHEDULE B
INCOME STATEMENT**

Line No.	Acct. No.	Account (a)	Schedule Number (b)	Amount (c)
1		UTILITY OPERATING INCOME		
2	400	Operating Revenues	B-1	7,768,742
3				
4		OPERATING REVENUE DEDUCTIONS		
5	401	Operating Expenses	B-2	5,408,467
6	403	Depreciation Expense	A-3	804,450
7	407	SDWBA Loan Amortization Expense	A-3	-
8	408	Taxes Other Than Income Taxes	B-3	548,942
9	409	State Corporate Income Tax Expense	B-3	9,547
10	410	Federal Corporate Income Tax Expense	B-3	21,724
11		Total operating revenue deductions		\$ 6,793,129
12		Total utility operating income		\$ 975,612
13				
14		OTHER INCOME AND DEDUCTIONS		
15	421	Non-Utility Income	B-5	59,524
16	426	Miscellaneous Non-Utility Expense	B-5	56,353
17	427	Interest Expense (excluding SDWBA)	B-6	907,847
18	427	Interest Expense (SDWBA)	B-6	-
19		Total other income and deductions		\$ (904,676)
20		Net income		\$ 70,936

SCHEDULE B-1
Account 400 - Operating Revenues

Line No.	Acct. No.	Account (a)	Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
1		WATER SERVICE REVENUES			
2	460	Unmetered water revenue			
3		460.1 Residential, Single-family, Multiple Dwelling Units			\$ -
4		460.2 Commercial and Miscellaneous			\$ -
5		460.3 Large Water Users			\$ -
6		460.4 Safe Drinking Water Bond Surcharge			\$ -
7		460.5 Other Unmetered Revenue			\$ -
8		Subtotal	\$ -	\$ -	\$ -
9					
10	462	Fire protection and hydrant revenue			
11		462.1 Public Fire Protection			\$ -
12		462.2 Private Fire Protection	55,820	54,694	\$ 1,126
13		Subtotal	\$ 55,820	\$ 54,694	\$ 1,126
14					
15	465	Irrigation revenue	579,325	479,128	\$ 100,197
16					
17	470	Metered water revenue			
18		470.1 Residential, Single-family, Multiple Dwelling Units	5,101,014	5,159,791	\$ (58,777)
19		470.2 Commercial and Multi-residential Master Metered	1,685,510	1,695,451	\$ (9,941)
20		470.3 Large Water Users			\$ -
21		470.4 Safe Drinking Water Bond Surcharge			\$ -
22		470.9 Other Metered Revenues (Note 6)	320,154	184,970	\$ 135,184
23		Subtotal	\$ 7,106,677	\$ 7,040,212	\$ 66,465
24		Total water service revenues	\$ 7,741,822	\$ 7,574,034	\$ 167,788
	471	Water Revenue Accrual (Note 6)	\$ -	\$ -	\$ -
	475	Non Potable Water Revenue (Note 6)	\$ -	\$ -	\$ -
25					
26	480	Other water revenue	26,920	28,685	\$ (1,765)
27		Total Operating Revenues	\$ 7,768,742	\$ 7,602,719	\$ 166,023

Note 6: The balances for 2016 and 2017 have been revised per the direction of UAFCB staff in management letter and audit report dates September 13, 2018. Alisal Water Corporation does not agree with these revisions and believes that these changes may be in contradiction to previous Commission decisions and/or resolutions.

SCHEDULE B-2
Account 401 - Operating Expenses

Line No.	Acct. No.	Account (a)	Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
1		PLANT OPERATION AND MAINTENANCE EXPENSES			
2		VOLUME RELATED EXPENSES			
3	610	Purchased Water		-	\$ -
4	615	Power	794,548	761,444	\$ 33,104
5	618	Other Volume Related Expenses	8,285	7,104	\$ 1,181
6		Total volume related expenses	\$ 802,834	\$ 768,548	\$ 34,286
7					
8		NON-VOLUME RELATED EXPENSES			
9	630	Employee Labor (Note 7)	608,481	665,534	\$ (57,053)
10	640	Materials	134,437	268,733	\$ (134,296)
11	650	Contract Work	184,830	347,041	\$ (162,211)
12	660	Transportation Expense	345,130	343,008	\$ 2,122
13	664	Other Plant Maintenance Expenses	106,344	108,593	\$ (2,249)
14		Total non-volume related expenses	\$ 1,379,223	\$ 1,732,909	\$ (353,686)
15		Total plant operation and maintenance exp.	\$ 2,182,057	\$ 2,501,457	\$ (319,400)
16					
17		ADMINISTRATIVE AND GENERAL EXPENSES			
18	670	Office Salaries (Note 7)	574,653	544,059	\$ 30,594
19	671	Management Salaries (Note 7)	249,289	241,277	\$ 8,012
20	674	Employee Pensions and Benefits	781,275	778,237	\$ 3,038
21	676	Uncollectible Accounts Expense	-	-	\$ -
22	678	Office Services and Rentals	110,772	100,873	\$ 9,899
23	681	Office Supplies and Expenses	272,864	280,319	\$ (7,455)
24	682	Professional Services (Note 7)	489,904	410,561	\$ 79,343
25	684	Insurance	313,050	310,155	\$ 2,895
26	688	Regulatory Compliance Expense	-	-	\$ -
27	689	General Expenses (Note 7 & 8)	434,603	406,870	\$ 27,733
28		Total administrative and general expenses	\$ 3,226,410	\$ 3,072,351	\$ 154,059
29	800	Expenses Capitalized - Credit (Optional)			\$ -
30	900	Clearing Accounts (Optional)			\$ -
31		Net administrative and general expense	\$ 3,226,410	\$ 3,072,351	\$ 154,059
32		Total Operating Expenses	\$ 5,408,467	\$ 5,573,808	\$ (165,341)

Note 7: The balances for 2016 and 2017 have been revised per the direction of UAFCB staff in Management Letter and Audit Report dated September 13, 2018. Alisal Water Corporation does not agree with these revisions and believes that these changes may be in contradiction to previous Commission Decisions and/or Resolutions.

Note 8: In the Management letter and audit report the capitalized portion of management salaries was not addressed, as such Alisal Water Corporation has used the balances presented in the audit report for year 2016. Year 2017 Alisal only transferred the balance of management salaries that was expensed to General Expense.

SCHEDULE B-3
Accounts 408, 409, 410 - Taxes Charged During the Year

Line No.	Type of Tax (a)	Distribution of Taxes Charged		Total Taxes Charged During Year (d)
		Water (b)	Nonutility (c)	
1	408 Taxes other than income taxes:			
2	408.1 Property taxes	143,769		\$ 143,769
3	408.2 Payroll taxes	117,746		\$ 117,746
4	408.3 Other taxes and licenses	287,427		\$ 287,427
5	Total taxes other than income taxes	\$ 548,942	\$ -	\$ 548,942
6				
7	409 State corporate income tax	9,547		\$ 9,547
8	410 Federal corporate income tax	21,724		\$ 21,724
9	Total income taxes	\$ 31,271	\$ -	\$ 31,271
10				
11	Total	\$ 580,213	\$ -	\$ 580,213

SCHEDULE B-4
Reconciliation of Reported Net Income for Federal Income Taxes

1 Report hereunder a reconciliation of reported net income for the year with taxable income used in computing Federal income tax accruals and show computation of such tax accruals. The reconciliation shall be submitted even though there is no taxable income for the year. Descriptions should clearly indicate the nature of each reconciling amount.

2 If the utility is a member of a group which files a consolidated Federal tax return, reconcile reported net income with taxable net income as if a separate return were to be filed, indicating, however, inter-company amounts to be eliminated in such consolidated return. State names of group members, tax assigned to each group member, and basis of allocation, assignment, or sharing of the consolidated tax amount the group members.

3 Show taxable year if other than calendar year from _____ to _____.

Line No.	Particulars (a)	Amount (b)
1	Net income for the year per Schedule B, page 27	70,936
2	Reconciling amounts (list first additional income and unallowable deductions,	
3	followed by additional deductions and non-taxable income):	
4	Plus:	
5	Income Tax PUC Basis	31,271
6	PUC Depreciation	804,450
7	Non deductible Income & Expenses	32,267
8	Misc Income	-
9	Subtotal	938,924
10		
11	Less:	
12	Tax Depreciation	(879,401)
13	Taxable Main Extension Refunds	(24,798)
14	Deferred Revenue CIAC	(15,158)
15	ITC Amortization	(4,112)
16	State Income Taxes	(9,547)
17	Estimated Taxable Income	5,908

SCHEDULE B-5
Accounts 421 and 426 - Income and Expense from Non-Utility Operations

Line No.	Description (a)	Revenue Acct. 421 (b)	Expense Acct. 426 (c)
1	Interest and Dividend Income	2,926	
2	Late Charge Income	12,221	
	Reimbursed Expenses	42,913	
	Other Income & Expenses Prior Year	(13,694)	
	Non Taxable Income/ Expenses	-	
3	Miscellaneous Revenue - Taxable CIAC	15,158	
4	Miscellaneous Non Utility Expense	-	56,353
5	Total	\$ 59,524	\$ 56,353

SCHEDULE B-6
Account 427 - Interest Expense

Line No.	Description (a)	Amount (b)
1	Interest on SDWBA loan	
2	Interest on other (give details below):	
3	Short Term Debt Interest	596,108
4	Long Term Debt Interest	311,722
5	Customer Deposit Interest	16
6		
7		
8		
9		
10	Total	\$ 907,847

SCHEDULE C-1
Compensation of Individual Proprietor, Partners and Employees Included in Expenses

Line No.	Acct. No.	Account (a)	Number at End of Year (b)	Salaries Charged to Expense (c)	Salaries Charged to Plant Accounts (d)	Total Salaries and Wages Paid (e)
1	630	Employee Labor	14	608,481	219,008	\$ 827,489
2	670	Office salaries	12	574,653		\$ 574,653
3	671	Management salaries	2	249,289	-	\$ 249,289
4						\$ -
5						\$ -
6		Total	28	\$ 1,432,423	\$ 219,008	\$ 1,651,431

SCHEDULE C-2
Loans to Directors, Officers, or Shareholders

Line No.	Name (a)	Title (b)	Amount (c)	Interest Rate (d)	Maturity Date (e)	Security Given (f)	Date of Shareholder Authorization (g)	Other Information (h)
1.								
2.	N/A							
3.								
4.								
5.								
6.								
7.	Total		\$ -					

SCHEDULE C-3
Engineering and Management Fees and Expenses, etc., During Year

Line No.	Give the required particulars of all contracts or other agreements in effect in the course of the year between the respondent and any corporation, association, partnership, or person covering supervision and/or management of any department of the respondent's affairs, such as accounting, engineering, financing, construction or operation, and show the payments under such agreements and also the payments for advice and services to a corporation or corporations which directly or indirectly control respondent through stock ownership.		
1.	Did the respondent have a contract or other agreement with any organization or person covering supervision and/or management of its own affairs during the year? Answer: Yes: _____ No: <u> X </u> (If the answer is in the affirmative, make appropriate replies to the following questions)*		
2.	Name of each organization or person that was a party to such a contract or agreement.		
3.	Date of original contract or agreement: _____		
4.	Date of each supplement or agreement: _____		
5.	Amount of compensation paid during the year for supervision or management:		\$ _____
6.	To whom paid: _____		
7.	Nature of payment (salary, traveling expenses, etc.): _____		
8.	Amounts paid for each class of service: _____		
9.	Basis for determination of such amounts: _____		
10.	Distribution of payments:		Amount
	(a) Charged to operating expenses		\$ _____
	(b) Charged to capital amounts		\$ _____
	(c) Charged to other account		\$ _____
	Total		\$ _____ -
11.	Distribution of charges to operating expenses by primary accounts:		Amount
	Number and Title of Account:		\$ _____
	_____		\$ _____
	_____		\$ _____
	Total		\$ _____ -
12.	What relationship, if any, exists between respondent and supervisory and/or managing concerns?		

* File with this report a copy of every contract, agreement, supplement or amendment mentioned above unless a copy of the instrument in due form has been furnished, in which case a definite reference to the report of the respondent relative to which it was furnished will suffice.			

**SCHEDULE D-1
Sources of Supply and Water Developed**

STREAMS				FLOW IN(unit) ²				Annual Quantities Diverted(Unit) ²	Remarks
Line No.	Diverted into*	From Stream or Creek (Name)	Location of Diversion Point	Priority Right		Diversions			
				Claim	Capacity	Max.	Min.		
1									
2		N/A							
3									
4									
5									

WELLS							Annual Quantities Pumped(Unit) ²	Remarks
Line No.	At Plant (Name or Number)	Location	Number	Dimensions	¹ Depth to Water	Pumping Capacity(Unit) ²		
							6	
7		Attachment 2						
8								
9								
10								

TUNNELS AND SPRINGS				FLOW IN(Unit) ²		Annual Quantities Used(Unit) ²	Remarks
Line No.	Designation	Location	Number	Maximum	Minimum		
				11			
12		N/A					
13							
14							
15							

Purchased Water for Resale			
16	Purchased from		
17	Annual quantities purchased	(Unit chosen) ²	N/A
18			
19			

* State ditch, pipe line, reservoir, etc., with name, if any.
¹ Average depth to water surface below ground surface.
 2 The quantity unit in established use for expressing water stored and used in large amounts is the acre foot, which equals 42,560 cubic feet: in domestic use the thousand gallon or the hundred cubic feet. The rate of flow or discharge in larger amounts is expressed in cubic feet per second, in gallons per minute, in gallons per day, or in the miner's inch. Please be careful to state the unit used.

**SCHEDULE D-2
Description of Storage Facilities**

Line No.	Type	Number	Combined Capacity (Gallons or Acre Feet)	Remarks
1	A. Collecting reservoirs			
2	Concrete			
3	Earth			
4	Wood			
5	B. Distribution reservoirs			
6	Concrete			
7	Earth			
8	Wood			
9	C. Tanks			
10	Wood			
11	Metal			
12	Concrete	3	150,000	Gallons
13	Total	3	150,000	Gallons

SCHEDULE D-3

Description of Transmission and Distribution Facilities

A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES
Capacities in Cubic Feet Per Second or Miner's Inches (State Which) _____

Line No.		0 to 5	6 to 10	11 to 20	21 to 30	31 to 40	41 to 50	51 to 75	76 to 100
1	Ditch								
2	Flume	N/A							
3	Lined conduit								
4									
5	Total	-	-	-	-	-	-	-	-

A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES - Continued
Capacities in Cubic Feet Per Second or Miner's Inches (State Which) _____

Line No.		101 to 200	201 to 300	301 to 400	401 to 500	501 to 750	751 to 1000	Over 1000	Total All Lengths
6	Ditch								-
7	Flume	N/A							-
8	Lined conduit								-
9									-
10	Total	-	-	-	-	-	-	-	-

B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING

Line No.		1	1 1/2	2	2 1/2	3	4	5	6	8
11	Cast Iron									
12	Cast Iron (cement lined)									
13	Concrete									
14	Copper									
15	Riveted steel									
16	Standard screw									
17	Screw or welded casing									
18	Cement - asbestos			1,075		37,134	75,994	450	55,074	29,605
19	Welded steel									
20	Wood									
21	Other - Plastic						8,542		81,326	62,687
22	Total	-	-	1,075	-	37,134	84,536	450	136,400	92,292

B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING - Continued

Line No.		10	12	14	16	18	20	Other Sizes (Specify Sizes)		Total All Sizes
								24	30	
23	Cast Iron									-
24	Cast Iron (cement lined)									-
25	Concrete									-
26	Copper									-
27	Riveted steel									-
28	Standard screw									-
29	Screw or welded casing									-
30	Cement - asbestos	2,540	16,003							217,875
31	Welded steel									-
32	Wood									-
33	Other - Plastic	5,672	78,781		15,305	14,330		2,203	13,500	282,346
34	Total	8,212	94,784	-	15,305	14,330	-	2,203	13,500	500,221

SCHEDULE D-4 Number of Active Service Connections				
Size	Metered - Dec 31		Flat Rate - Dec 31	
	Prior	Current	Prior	Current
	Year	Year	Year	Year
5/8 x 3/4 - in	3,489	3,509		
3/4 - in	552	536		
1 - in	3,025	3,047		
1 1/2 - in	1,655	1,644		
2 - in	186	174		
3 - in	36	35	9	9
4 - in	17	17	41	42
6 - in	4	4	96	96
Other 8 & 10 - in	1	1	8	9
Total	8,965	8,967	154	156

SCHEDULE D-5 Number of Meters and Services on Pipe Systems at End of Year		
Size	Meters	Active Service Services
5/8 x 3/4 - in	3,508	
3/4 - in	596	3,373
1 - in	3,093	3,711
1 1/2 - in	1,670	1,675
2 - in	174	176
3 - in	37	37
4 - in	18	68
6 - in	6	100
Other 8 & 10 - in	1	10
Total	9,103	9,150

SCHEDULE D-6 Meter Testing Data	
Number of Meters Tested During Year as Prescribed in Section VI of General Order No. 103:	
1. New, after being received . . .	_____
2. Used, before repair	_____
3. Used, after repair	_____
4. Found fast, requiring billing adjustment	_____
Number of Meters in Service Since Last Test	
1. Ten years or less	8524
2. More than 10, but less than 15 years	492
3. More than 15 years	_____

SCHEDULE D-7 Water delivered to Metered Customers by Months and Years in (Unit Chosen) ¹									
	During Current Year							Subtotal	Total Prior Year
	January	February	March	April	May	June	July		
Single-family residential	66,352	63,249	61,107	68,483	78,630	92,076	92,012	521,909	
Commercial and Multi-residential	30,518	32,524	29,303	32,452	33,506	40,630	38,578	237,511	
Large water users								-	
Public authorities								-	
Irrigation	1,245	678	812	1,527	8,314	15,751	21,831	50,158	
Other	1,629	1,911	2,050	3,696	4,582	5,787	6,695	26,350	
Non Potable	-	463	5,225	2,955	1,778	2,330	2,599	15,350	
Total	99,744	98,825	98,497	109,113	126,810	156,574	161,715	851,278	
	During Current Year						Subtotal	Total	Total Prior Year
	August	September	October	November	December				
Single-family residential	96,375	94,805	88,011	81,434	69,046	429,671	951,580	923,347	
Commercial and Multi-residential	40,656	42,549	39,057	38,074	32,403	192,739	430,250	430,939	
Large water users						-	-	-	
Public authorities						-	-	-	
Irrigation	23,254	20,813	19,246	11,097	3,215	77,625	127,783	90,383	
Other	5,891	6,358	6,317	5,039	2,715	26,320	52,670	47,096	
Non Potable	1,964	1,496	2,567	1,985	4,372	12,384	27,734	47,053	
Total	168,140	166,021	155,198	137,629	111,751	738,739	1,590,017	1,538,818	

¹ Quantity units to be in hundreds of cubic feet, thousands of gallons, acre-feet, or miner's inch-days.

Total acres irrigated: _____

Total population served: _____ 29,591

SCHEDULE E-1
FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) or Safe Drinking Water State Revolving Fund (SRF) loan surcharge collection for the calendar year. Please use one page per loan.

1. Current Fiscal Agent:

Name: N/A
 Address: _____
 Phone Number: _____
 Account Number: _____
 Date Hired: _____

2. Total surcharge collected from customers during the 12 month reporting period:

\$ -

Meter Size	No. of Metered Customers	Monthly Surcharge Per Customer
5/8 X 3/4 inch		
3/4 inch		
1 inch		
1 1/2 inch		
2 inch		
3 inch		
4 inch		
6 inch		
Number of Flat Rate Customers		
Total	 -	

3. Summary of the bank account activities showing:

Balance at beginning of year		\$ _____
Add: Surcharge collections		_____
Interest earned		_____
Other deposits		_____
Less: Loan payments		_____
Bank charges		_____
Other withdrawals		_____
Balance at end of year		\$ -

4. Reason for other deposits/withdrawals

5. Total Accumulated Reserve \$ _____

SCHEDULE E-2 FACILITIES FEES DATA

Class B: Please provide the following information relating to Facilities Fees for districts or subsidiaries serving 2,000 or fewer customers for the calendar year (per D.91-04-068).

Class C: Please provide the following information relating to Facilities Fees collected for the calendar year, pursuant to Resolution No. W-4110.

1. Trust Account Information:

Bank Name: N/A
 Address: _____
 Account Number: _____
 Date Opened: _____

2. Facilities Fees collected for new connections during the calendar year:

A. Commercial

NAME	AMOUNT
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

B. Residential

NAME	AMOUNT
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

3. Summary of the bank account activities showing:

Balance at beginning of year	\$ _____
Deposits during the year	_____
Interest earned for calendar year	_____
Withdrawals from this account	_____
Balance at end of year	\$ _____

4. Reason or Purpose of Withdrawal from this bank account:

DECLARATION

(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)

I, the undersigned _____ Thomas R. Adcock
Officer, Partner, or Owner (Please Print)

of _____ Alisal Water Corporation
Name of Utility

under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period of January 1, 2017, through December 31, 2017. **(See Note)**

President
Title (Please Print)

/s/ Thomas R. Adcock
Signature

(831) 424-0441
Telephone Number

10/30/18
Date

Note: Alisal Water Corporation has made a number of revisions to this Revised Annual Report dated October 30, 2018 at the direction of the Utility Audit, Finance and Compliance Branch (UFACB) staff through their Management Letter and Audit Report dated September 13, 2018. Alisal Water Corporation does not agree with many of these revisions and believes that some of these changes may be in contradiction with Commission Decisions and/ or Resolutions. Please see the "Corrective Action Plan" filed by Alisal Water Corporation with the Director of the UAFCB and the Director of the Water Division dated October 30, 2018 for more details.

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Alisal Water Corporation
2017 CPUC Annual Report
GENERAL INFORMANTION

11. List Name, Grade, and License Number of all Licensed Operators:

<u>Name:</u>	<u>Treatment License #</u>	<u>Treatment Grade</u>	<u>Distribution License #</u>	<u>Distribution Grade</u>
Thomas R. Adcock	17011	T-4	22462	D-4
Matt Best	26798	T-1	29577	D-2
Lotfi Boukraa	33877	T-2	39196	D-4
Octavio Castillo	16660	T-2	36552	D-1
Azusena Camacho	-	-	48218	D-1
Adnen Chaabane	29685	T-3	35212	D-4
Andrew Clarke	28759	T-2	30053	D-4
John Guerra	30768	T-2	36320	D-4
Mohsen Ben Kahia	37839	T-2	46057	D-2
Andrea Schmitz	23026	T-2	19083	D-2

Alisal Water Corporation
2017 CPUC Annual Report
SCHEDULE D-1
Source of Supply and Water Developed

WELLS				Depth to Water (Note 2)	Pumping Capacity (GPM) (Note 4)	Annual Quantities Pumped (MG)	Remarks
At Plant (Name or Number)	Location	Number	Dimension				
Alma Well (Note 3)	Alma Street, Salinas		10"	214'	750	0.050	Stand by Source
Bardin Well	Bardin Road, Salinas		16"	NA	NA	-	Drilled In 2007
Laurel Heights Well	St. Regis Way, Salinas		NA	NA	NA	-	
County Well	E. Laurel Drive, Salinas		14"/10"	157'	2377	266.006	
Alisal High	Burke Street, Salinas		16"	169'	2484	248.881	
Las Casitas	Las Casitas Drive, Salinas		16"	157'	2401	272.561	
Santana	Garner Ave, Salinas		16"	201'	1511	82.677	
Boronda (Note 1)	Boronda Road, Salinas		16"	310'	1997	23.166	Stand by Source
Kilbreth	Kilbreth Avenue, Salinas		16"	222'	2347	82.702	
Verona Well	Verona Court, Salinas		16"	202'	2155	261.973	
Nogal Drive (Note 1)	Nogal Drive, Salinas		16"	NA	NA	0.054	Stand by Source
Rosehart	Rosehart Way, Salinas		12"	219'	190	1.677	

*

Note 1: The water produced by these sources was for flushing and testing purposes. No water from this stand by source was put into the drinking water system.

Note 2: Water levels are provided for pumping water levels recorded on 2/23/17.

Note 3: Alma Well was transferred to stand by source on 6/30/14.

Note 4: Please note that the listed pumping capacities of these wells are not the total well production capacities, which are higher, but are only the capacities of each well using its existing installed pump and motor.

Alisal Water Corporation
2017 CPUC Annual Report
Performance Standards Results

1. Telephone Performance Standards

The Utility does not have an automatic call distribution system (ACD). Therefore, does not have the ability to track call answering service level or abandoned call rate. However, the utility strives to answer all calls received during the regular business hours by a live person on or before the third ring, which is between 3 and 10 seconds.

2. Billing Performance Standards

A. Bill Rednering

In year 2017, 100% of bills were rendered within 7 calendar days of the scheduled billing date.

B. Bill Accuracy

In year 2017, 0.000191% of bills were found inaccurate after being sent to customers.

C. Payment Posting Errors

In year 2017, 0.000288% of payments were posted incorrectly due to the Utility's error.

D. Final Read and Final Bill

In year 2017, 100% of final bills were provided within 14 calendar days of the final meter read.

3. Meter Reading Performance Standards

In year 2017, 0.6932% of scheduled meter readings were not performed due to various reasons. In each case, meter estimations were made and all customers were billed.

4. Work Completion Performance Standards

A. Scheduled Appointments

In year 2017, 0% of scheduled appointments were missed.

B. Customer Requested Work

In year 2017, 0% of customer-requested work was not completed on or before the scheduled date.