

Received _____

Examined _____

**CLASS A
WATER UTILITIES**

U# **168-W** _____

**2020
ANNUAL REPORT
OF**

San Jose Water Company

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

110 West Taylor Street

San Jose, CA 95110

(OFFICIAL MAILING ADDRESS)

ZIP

**TO THE
PUBLIC UTILITIES COMMISSION
STATE OF CALIFORNIA
FOR THE
YEAR ENDED DECEMBER 31, 2020**

REPORT MUST BE FILED NO LATER THAN MAY 31, 2021

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GENERAL INSTRUCTIONS

1. Two completed and signed hard copies of this report and one electronic copy must be filed **NO LATER THAN MAY 31, 2021**, with:

CALIFORNIA PUBLIC UTILITIES COMMISSION
WATER DIVISION
ATTN: BRUCE DEBERRY
505 VAN NESS AVENUE, ROOM 3200
SAN FRANCISCO, CALIFORNIA 94102-3298
bmd@cpuc.ca.gov

2. Failure to file the report on time may subject a utility to the penalties and sanctions provided by the Public Utilities Code.
3. The Declaration on Page 70 must be signed by an authorized officer, partner, or owner.
4. The report must be prepared in accordance with the CPUC Excel annual report template. The Excel file and a PDF of the file is to be submitted to the Commission.
5. The report must be filled in, and every question answered. **LEAVE NO SCHEDULE BLANK.** Insert the words "none" or "not applicable" or "n/a" when appropriate.
6. Certain balance sheet and income statement accounts refer to supplemental schedules. Complete the supplemental schedules **FIRST**. The balances in these schedules will then auto-fill the appropriate boxes in the balance sheet/income statement. Total and subtotal boxes are automatically summed in Excel. Auto-filled and summed boxes are Excel locked and identified by a light coloring of the box. Uncolored boxes can be manually filled. Complete the statements by filling in the uncolored boxes where appropriate.
7. Some schedules provide for a "balance at beginning of year." The amount shown should agree with the "balance at end of year" as shown in the report for the previous year. If there is a difference, it should be explained by footnote.
8. When there is insufficient space in a schedule to permit a complete statement of the requested information, insert sheets should be prepared and identified by the number of the schedule to which it refers. Be certain that the inserts are securely attached to the report. If inserts are needed, prepare all inserts in one separate electronic file in Microsoft Excel format and file it with the electronic file of this report.
9. This report must cover the calendar year from January 1, 2019, through December 31, 2019. Fiscal year reports will not be accepted.
10. Your company's external auditor information, such as external auditor's name, phone number, and address, must be included in Page 13, Item 12, of this report.

I N S T R U C T I O N S

FOR PREPARATION OF SELECTED FINANCIAL DATA SHEET FOR ALL WATER UTILITIES

To prepare the attached data sheets, refer to the Balance Sheet, Income Statement and supporting detail schedules in the annual report for the required data.

Please follow the instructions below:

1. The CPUC annual report Excel template incorporates links so that the selected data in the Balance Sheet, Income Statement and supporting schedules automatically flow to the financial data sheets.
2. For the financial data sheets that are to be adjusted to exclude non-regulated activity, manually adjust the data to exclude non-regulated activity.

UTILITY PLANT AND CAPITALIZATION DATA

Calendar Year 2020

Name of Utility: San Jose Water Company Telephone: 408-279-7900

Person Responsible for this Report: John Tang

	1/1/2020	12/31/2020	Average
UTILITY PLANT DATA			
1 Total Utility Plant	\$ 1,912,385,071	\$ 1,997,205,690	\$ 1,954,795,381
2 Total Utility Plant Reserves	(614,865,185)	(673,836,663)	(644,350,924)
3 Total Utility Plant Less Reserves	1,297,519,886	1,323,369,026	1,310,444,456
4 Advances for Construction	79,834,286	85,685,577	82,759,932
5 Contributions in Aid of Construction	129,185,602	131,569,018	130,377,310
6 Total Accumulated Deferred Taxes	156,615,480	149,402,380	153,008,930
7			
8			
9			
10 CAPITALIZATION			
11 Common Capital Stock	6	6	6
12 Preferred Capital Stock	-	-	-
13 Earned Surplus	378,837,810	390,003,821	384,420,815
14 Total Capital Stock	477,663,361	516,971,022	497,317,191
15 Total Proprietary Capital (Individual or Partnership)	-	-	-
16 Total Long-Term Debt	440,500,000	440,000,000	440,250,000

INCOME, EXPENSES, AND OTHER DATA

Calendar Year 2020

Name of Utility: San Jose Water Company

Telephone: 408-279-7900

INCOME/EXPENSES DATA		Annual Amount																
1	Operating Revenues	\$ 407,906,053																
2	Operating Expenses	\$ 258,586,698																
3	Depreciation	\$ 62,970,945																
4	Taxes	\$ 26,465,512																
5	Income from Nonutility Operations (net)	\$ 1,048,387																
6	Interest on Long-Term Debt	\$ 25,722,250																
7	Net Income	\$ 41,219,232																
8																		
OPERATING EXPENSES DATA																		
10	Source of Supply Expense	\$ 97,281,767																
11	Pumping Expenses	\$ 86,941,779																
12	Water Treatment Expenses	\$ 6,298,112																
13	Transmission and Distribution Expenses	\$ 17,292,940																
14	Customer Account Expenses	\$ 8,410,578																
15	Sales Expenses	\$ -																
16	Recycled Water Expenses	\$ -																
17	Administrative and General Expenses	\$ 53,827,430																
18	Miscellaneous	\$ (11,465,907)																
19	Total Operating Expenses	\$ 258,586,698																
20																		
OTHER DATA																		
21																		
22																		
23	<u>Active Service Connections</u> (Exc. Fire Protect.)	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 33%;"></th> <th style="width: 33%;">Jan. 1</th> <th style="width: 33%;">Dec. 31</th> <th style="width: 33%;">Annual Average</th> </tr> </thead> <tbody> <tr> <td>25 Metered Service Connections</td> <td style="text-align: center;">222,572</td> <td style="text-align: center;">222,214</td> <td style="text-align: center;">222,393</td> </tr> <tr> <td>26 Flat Rate Service Connections</td> <td style="text-align: center;">4,030</td> <td style="text-align: center;">4,082</td> <td style="text-align: center;">4,056</td> </tr> <tr> <td>27 Total Active Service Connections</td> <td style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">226,602</td> <td style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">226,296</td> <td style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">226,449</td> </tr> </tbody> </table>		Jan. 1	Dec. 31	Annual Average	25 Metered Service Connections	222,572	222,214	222,393	26 Flat Rate Service Connections	4,030	4,082	4,056	27 Total Active Service Connections	226,602	226,296	226,449
	Jan. 1	Dec. 31	Annual Average															
25 Metered Service Connections	222,572	222,214	222,393															
26 Flat Rate Service Connections	4,030	4,082	4,056															
27 Total Active Service Connections	226,602	226,296	226,449															
24																		

UTILITY PLANT AND CAPITALIZATION DATA Adjusted to Exclude Non-Regulated Activity

Calendar Year 2020

Name of Utility: San Jose Water Company Telephone: 408-279-7900

Person Responsible for this Report: John Tang

	<u>1/1/2020</u>	<u>12/31/2020</u>	<u>Average</u>
UTILITY PLANT DATA			
1 Total Utility Plant	\$ 1,912,385,071	\$ 1,997,205,690	\$ 1,954,795,381
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4 Advances for Construction	\$ 79,834,286	\$ 85,685,577	\$ 82,759,932
5 Contributions in Aid of Construction	\$ 129,185,602	\$ 131,569,018	\$ 130,377,310
6 Total Accumulated Deferred Taxes	\$ 156,615,480	\$ 149,402,380	\$ 153,008,930
7			
8			
9			
10 CAPITALIZATION			
11 Common Capital Stock	6	6	\$ 6
12 Preferred Capital Stock	-	-	\$ -
13 Earned Surplus	378,837,810	390,003,821	\$ 384,420,815
14 Total Capital Stock	477,663,361	516,971,022	\$ 497,317,191
15 Total Proprietary Capital (Individual or Partnership)	-	-	\$ -
16 Total Long-Term Debt	440,500,000	440,000,000	\$ 440,250,000

INCOME, EXPENSES, AND OTHER DATA
Adjusted to Exclude Non-Regulated Activity
 Calendar Year 2020

Name of Utility: San Jose Water Company

Telephone: 408-279-7900

		Annual Amount	
INCOME/EXPENSES DATA			
1	Operating Revenues	\$ 407,906,053	
2	Operating Expenses	\$ 258,586,698	
3	Depreciation	\$ 62,970,945	
4	Taxes	\$ 26,465,512	
5	Income from Nonutility Operations (net)	\$ 1,048,387	
6	Interest on Long-Term Debt	\$ 25,722,250	
7	Net Income	\$ 41,219,232	
8			
OPERATING EXPENSES DATA			
10	Source of Supply Expense	\$ 97,281,767	
11	Pumping Expenses	\$ 86,941,779	
12	Water Treatment Expenses	\$ 6,298,112	
13	Transmission and Distribution Expenses	\$ 17,292,940	
14	Customer Account Expenses	\$ 8,410,578	
15	Sales Expenses	\$ -	
16	Recycled Water Expenses	\$ -	
17	Administrative and General Expenses	\$ 53,827,430	
18	Miscellaneous	\$ (11,465,907)	
19	Total Operating Expenses	\$ 258,586,698	
20			
OTHER DATA			
21			
22			
23	<u>Active Service Connections</u> (Exc. Fire Protect.)	Jan. 1	Dec. 31
24			Annual Average
25	Metered Service Connections	222,572	222,214
26	Flat Rate Service Connections	4,030	4,082
27	Total Active Service Connections	226,602	226,296
			222,393
			4,056
			226,449

Notes to Adjusted Selected Financial Data Due to Excluding of Non-Regulated Activities

Instructions: Provide reasons for each adjustment to the Selected Financial Data due to non-regulated activities. Detail this information by name of line item (Gross Plant, Operating Revenues, etc.), account number, dollar amount, and by point in time (end of year, beginning of year, or average).

1	None
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Excess Capacity and Non-Tariffed Services

NOTE: In D.00-07-018, D.03-04-028, and D. 04-12-023, the CPUC set forth rules and requirements regarding water utilities provision of non-tariffed services using excess capacity. These decisions require water utilities to: 1) file an advice letter requesting Commission approval of that service, 2) provide information regarding non-tariffed goods/services in each companies Annual Report to the Commission.

Based on the information and filings required in D.00-07-018, D.03-04-028, and D.04-12-023, provide the following information by each individual non-tariffed good and service provided in 2018:

Applies to All Non-Tariffed Goods/Services that require Approval by Advice Letter											
Row Number	Description of Non-Tariffed Goods/Services	Active or Passive	Total Revenue Derived from Non-tariffed Goods/ Services (by account)	Revenue Account Number	Total Expenses Incurred to Provide Non-tariffed Goods/ Services (by account)	Expense Account Number	Advice Letter and/or Resolution Number Approving Non-tariffed Goods/ Services	Total Income Tax Liability Incurred Because of Non-tariffed Goods/ Services (by account)	Income Tax Liability Account Number	Gross Value of Regulated Assets Used in the Provision of a Non-tariffed Goods/ Services (by account)	Regulated Asset Account Number
1											
2											
3											
4											
5	REDACTED										
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9											
10											
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CONFIDENTIAL

CONFIDENTIAL INFORMATION: Submitted under the protection of Govt. Code §§6254(k), 6255(a); Evid. Code §§1060, 1061; Civ. Code §3426.1(d); Pen. Code §499c(a)(9); and Pub. Util. Code §583.

GENERAL INFORMATION

1. Name under which utility is doing business: SAN JOSE WATER COMPANY
2. Official mailing address:
110 West Taylor Street, San Jose, California 95110
3. Name and title of person to whom correspondence should be addressed:
John Tang, Vice President Telephone: 408-279-7933
4. Address where accounting records are maintained:
110 West Taylor Street, San Jose, California 95110
5. Service Area (Refer to district reports if applicable Portions of San Jose, Cupertino and Santa Clara, and in Campbell, Los Gatos, Monte Sereno, Saratoga and contiguous territory in the County of Santa Clara)
6. Service Manager (If located in or near Service Area.) (Refer to district reports if applicable.)
Name: Tricia Zacharisen Telephone: 408-279-7921
Address: 110 West Taylor Street, San Jose, California 95110

7. OWNERSHIP. Check and fill in appropriate line:
- | | | |
|-------------------------------------|---------------------------------|---|
| <input type="checkbox"/> | Individual (name of owner) | _____ |
| <input type="checkbox"/> | Partnership (name of partner) | _____ |
| <input type="checkbox"/> | Partnership (name of partner) | _____ |
| <input type="checkbox"/> | Partnership (name of partner) | _____ |
| <input checked="" type="checkbox"/> | Corporation (corporate name) | <u>San Jose Water Company</u> |
| | Organized under laws of (state) | <u>California</u> Date: <u>October 24, 1931</u> |

- Principal Officers:
- | | |
|---------------------------------|---|
| Name: <u>Eric W. Thornburg</u> | Title: <u>Chairman & Chief Executive Officer</u> |
| Name: <u>Andrew Gere</u> | Title: <u>President & Chief Operating Officer</u> |
| Name: <u>William S. Tuttle</u> | Title: <u>VP of Engineering</u> |
| Name: <u>Willie Brown</u> | Title: <u>Corporate Secretary</u> |
| Name: <u>James P. Lynch</u> | Title: <u>CFO & Treasurer</u> |
| Name: <u>S. Papazian</u> | Title: <u>Sr. VP & General Counsel</u> |
| Name: <u>Stephanie Orosco</u> | Title: <u>VP of Human Resources</u> |
| Name: <u>Curt Rayer</u> | Title: <u>VP of Operations</u> |
| Name: <u>Tricia Zacharisen</u> | Title: <u>VP of Customer Services</u> |
| Name: <u>John Tang</u> | Title: <u>VP of Regulatory Affairs and Gov. Rel.</u> |
| Name: <u>Wendy Avila-Walker</u> | Title: <u>VP of Finance, Controller, & Asst. Treas.</u> |

8. Names of associated companies: SJW Group, SJW Land Company, SJWTX, Inc. Connecticut Water Company, Maine Water Company, Chester Realty, Inc. and New England Water Utility Services, Inc.
9. Names of corporations, firms or individuals whose property or portion of property have been acquired during the year, together with date of each acquisition:
- | | |
|-------------|-------------|
| Name: _____ | Date: _____ |
| Name: _____ | Date: _____ |
| Name: _____ | Date: _____ |
| Name: _____ | Date: _____ |

10. Use the space below for supplementary information or explanations concerning this report:

11. List Name, Grade, and License Number of all Licensed Operators:
Please see attached list

12. List Name, Address, and Phone Number of your company's external auditor:
Name: Deloitte & Touche LLP Telephone: (702) 893-4273
Address: 225 West Santa Clara Street, Suite 600, San Jose, California 95113

13. This annual report was prepared by:

Name of firm or consultant: N/A

Address of firm or consultant: _____

Phone Number of firm or consultant: ()

SAN JOSE WATER COMPANY

Employee Certifications

as of 12/31/2020

Employee No.	License/Certification Code	License/Certification Description	License/Certification ID
11107	D1	Grade 1 Water Distribution Cert	45306
11371	D1	Grade 1 Water Distribution Cert	51952
10376	D1	Grade 1 Water Distribution Cert	19155
10408	D1	Grade 1 Water Distribution Cert	
10414	D1	Grade 1 Water Distribution Cert	
10414	D1	Grade 1 Water Distribution Cert	34762
10559	D1	Grade 1 Water Distribution Cert	37804
10578	D1	Grade 1 Water Distribution Cert	
10589	D1	Grade 1 Water Distribution Cert	19136
10623	D1	Grade 1 Water Distribution Cert	19134
10623	D1	Grade 1 Water Distribution Cert	19134
10006	D1	Grade 1 Water Distribution Cert	37847
11229	D1	Grade 1 Water Distribution Cert	47315
10051	D1	Grade 1 Water Distribution Cert	19149
10095	D1	Grade 1 Water Distribution Cert	19114
10096	D1	Grade 1 Water Distribution Cert	19129
10915	D1	Grade 1 Water Distribution Cert	40591
10729	D1	Grade 1 Water Distribution Cert	39048
10174	D1	Grade 1 Water Distribution Cert	46317
10189	D1	Grade 1 Water Distribution Cert	19151
10201	D1	Grade 1 Water Distribution Cert	19138
10203	D1	Grade 1 Water Distribution Cert	33104
10694	D1	Grade 1 Water Distribution Cert	37210
10217	D1	Grade 1 Water Distribution Cert	32372
10218	D1	Grade 1 Water Distribution Cert	19118
11092	D1	Grade 1 Water Distribution Cert	45050
11092	D1	Grade 1 Water Distribution Cert	45050
10276	D1	Grade 1 Water Distribution Cert	19135
10296	D1	Grade 1 Water Distribution Cert	19147
10297	D1	Grade 1 Water Distribution Cert	36485
10354	D1	Grade 1 Water Distribution Cert	29674
10362	D1	Grade 1 Water Distribution Cert	35478
10389	D1	Grade 1 Water Distribution Cert	37565
10425	D1	Grade 1 Water Distribution Cert	19150
11281	D1	Grade 1 Water Distribution Cert	50380
11212	D1	Grade 1 Water Distribution Cert	46279
10467	D1	Grade 1 Water Distribution Cert	32727
10580	D1	Grade 1 Water Distribution Cert	19139
10503	D1	Grade 1 Water Distribution Cert	31908
10520	D1	Grade 1 Water Distribution Cert	35586
10698	D1	Grade 1 Water Distribution Cert	33416
10833	D1	Grade 1 Water Distribution Cert	40255
10570	D1	Grade 1 Water Distribution Cert	42386
10572	D1	Grade 1 Water Distribution Cert	36661
10585	D1	Grade 1 Water Distribution Cert	19133
11099	D1	Grade 1 Water Distribution Cert	47624

SAN JOSE WATER COMPANY

Employee Certifications

as of 12/31/2020

Employee No.	License/Certification Code	License/Certification Description	License/Certification ID
10699	D1	Grade 1 Water Distribution Cert	33488
10619	D1	Grade 1 Water Distribution Cert	19120
10624	D1	Grade 1 Water Distribution Cert	35379
10635	D1	Grade 1 Water Distribution Cert	34811
10655	D1	Grade 1 Water Distribution Cert	19130
10656	D1	Grade 1 Water Distribution Cert	28878
11310	D1	Grade 1 Water Treatment Cert	40025
11351	D1	Grade 1 Water Treatment Cert	38377
10240	D1	Grade 1 Water Treatment Cert	13097
10019	D2	Grade 2 Water Distribution Cert	38170
11229	D2	Grade 2 Water Distribution Cert	47315
11310	D2	Grade 2 Water Distribution Cert	47718
11351	D2	Grade 2 Water Distribution Cert	46628
11516	D2	Grade 2 Water Distribution Cert	43580
11139	D2	Grade 2 Water Distribution Cert	48488
11291	D2	Grade 2 Water Distribution Cert	49217
10110	D2	Grade 2 Water Distribution Cert	35068
11292	D2	Grade 2 Water Distribution Cert	52203
10845	D2	Grade 2 Water Distribution Cert	36554
11235	D2	Grade 2 Water Distribution Cert	36194
11478	D2	Grade 2 Water Distribution Cert	51542
10778	D2	Grade 2 Water Distribution Cert	33835
10354	D2	Grade 2 Water Distribution Cert	48800
11203	D2	Grade 2 Water Distribution Cert	43121
11474	D2	Grade 2 Water Distribution Cert	
11186	D2	Grade 2 Water Distribution Cert	44250
10091	D2	Grade 2 Water Distribution Cert	29722
10110	D2	Grade 2 Water Distribution Cert	35068
10199	D2	Grade 2 Water Distribution Cert	30394
10201	D2	Grade 2 Water Distribution Cert	19138
11095	D2	Grade 2 Water Distribution Cert	39145
10247	D2	Grade 2 Water Distribution Cert	46704
10250	D2	Grade 2 Water Distribution Cert	27673
11151	D2	Grade 2 Water Distribution Cert	39461
10695	D2	Grade 2 Water Distribution Cert	32032
11243	D2	Grade 2 Water Distribution Cert	47458
10434	D2	Grade 2 Water Distribution Cert	31515
11063	D2	Grade 2 Water Distribution Cert	39473
11136	D2	Grade 2 Water Distribution Cert	43027
11155	D2	Grade 2 Water Distribution Cert	51236
11351	T2	Grade 2 Water Treatment Cert	38877
11516	T2	Grade 2 Water Treatment Cert	43580
11292	T2	Grade 2 Water Treatment Cert	44255
10729	T2	Grade 2 Water Treatment Cert	33987
11067	T2	Grade 2 Water Treatment Cert	34489
11235	T2	Grade 2 Water Treatment Cert	30818

SAN JOSE WATER COMPANY

Employee Certifications

as of 12/31/2020

Employee No.	License/Certification Code	License/Certification Description	License/Certification ID
11478	T2	Grade 2 Water Treatment Cert	41619
11234	T2	Grade 2 Water Treatment Cert	34950
10778	T2	Grade 2 Water Treatment Cert	30814
11095	T2	Grade 2 Water Treatment Cert	38902
11251	T2	Grade 2 Water Treatment Cert	38471
11203	T2	Grade 2 Water Treatment Cert	39023
11409	T2	Grade 2 Water Treatment Cert	38939
10434	T2	Grade 2 Water Treatment Cert	43967
11285	T2	Grade 2 Water Treatment Cert	36278
11229	T2	Grade 2 Water Treatment Cert	42961
10121	T2	Grade 2 Water Treatment Cert	28355
10138	T2	Grade 2 Water Treatment Cert	25611
11151	T2	Grade 2 Water Treatment Cert	32993
10354	T2	Grade 2 Water Treatment Cert	33785
11157	T2	Grade 2 Water Treatment Cert	3491
11212	T2	Grade 2 Water Treatment Cert	38546
11063	T2	Grade 2 Water Treatment Cert	34596
11378	T2	Grade 2 Water Treatment Cert	41158
11312	T2	Grade 2 Water Treatment Cert	40911
10511	T2	Grade 2 Water Treatment Cert	30838
10842	T2	Grade 2 Water Treatment Cert	38037
10888	T2	Grade 2 Water Treatment Cert	41421
11155	T2	Grade 2 Water Treatment Cert	43190
10656	T2	Grade 2 Water Treatment Cert	28144
11351	D3	Grade 3 Water Distribution Cert	46628
11552	D3	Grade 3 Water Distribution Cert	38234
11291	D3	Grade 3 Water Distribution Cert	49217
11475	D3	Grade 3 Water Distribution Cert	
11234	D3	Grade 3 Water Distribution Cert	40960
11251	D3	Grade 3 Water Distribution Cert	45844
11151	D3	Grade 3 Water Distribution Cert	39461
11409	D3	Grade 3 Water Distribution Cert	50593
10434	D3	Grade 3 Water Distribution Cert	31515
11378	D3	Grade 3 Water Distribution Cert	49233
10888	D3	Grade 3 Water Distribution Cert	36730
11285	D3	Grade 3 Water Distribution Cert	44247
11229	D3	Grade 3 Water Distribution Cert	47315
10121	D3	Grade 3 Water Distribution Cert	27860
10138	D3	Grade 3 Water Distribution Cert	28744
10729	D3	Grade 3 Water Distribution Cert	46137
11067	D3	Grade 3 Water Distribution Cert	42473
11157	D3	Grade 3 Water Distribution Cert	40861
10842	D3	Grade 3 Water Distribution Cert	38007
10888	D3	Grade 3 Water Distribution Cert	36730
11130	D3	Grade 3 Water Distribution Cert	40113
11552	T3	Grade 3 Water Treatment Cert	38937

SAN JOSE WATER COMPANY**Employee Certifications**

as of 12/31/2020

Employee No.	License/Certification Code	License/Certification Description	License/Certification ID
11247	T3	Grade 3 Water Treatment Cert	32811
10155	T3	Grade 3 Water Treatment Cert	24146
10594	T3	Grade 3 Water Treatment Cert	34831
10656	T3	Grade 3 Water Treatment Cert	28144
10091	T3	Grade 3 Water Treatment Cert	28163
10099	T3	Grade 3 Water Treatment Cert	8634
11068	T3	Grade 3 Water Treatment Cert	28328
10500	T3	Grade 3 Water Treatment Cert	19341
11136	T3	Grade 3 Water Treatment Cert	35058
10563	T3	Grade 3 Water Treatment Cert	27629
10593	T3	Grade 3 Water Treatment Cert	16342
10623	T3	Grade 3 Water Treatment Cert	26689
10155	D4	Grade 4 Water Distribution Cert	19107
11234	D4	Grade 4 Water Distribution Cert	40960
10091	T4	Grade 4 Water Treatment Cert	28163
11475	T4	Grade 4 Water Treatment Cert	
10209	T4	Grade 4 Water Treatment Cert	18267
10247	T4	Grade 4 Water Treatment Cert	22380
10594	D5	Grade 5 Water Distribution Cert	36568
11068	D5	Grade 5 Water Distribution Cert	32013
10309	D5	Grade 5 Water Distribution Cert	29183
10500	D5	Grade 5 Water Distribution Cert	19110
10511	D5	Grade 5 Water Distribution Cert	27690
10563	D5	Grade 5 Water Distribution Cert	32062
10593	D5	Grade 5 Water Distribution Cert	19109
10309	T5	Grade 5 Water Treatment Cert	32599

**SCHEDULE A
COMPARATIVE BALANCE SHEETS
Assets and Other Debits**

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
1		I. UTILITY PLANT			
2	100	Utility Plant	A-1, A-1a	1,978,739,085	1,893,918,466
3	101	Recycled Water Utility Plant	A-1b	18,466,605	18,466,605
4	107	Utility Plant Adjustments	A-2	-	-
5		Total Utility Plant		\$ 1,997,205,690	\$ 1,912,385,071
6	250	Reserve for depreciation of utility plant	A-5	(669,736,891)	(611,217,716)
7	251	Reserve for amortization of limited term utility investments	A-5	(560,998)	(544,660)
8	252	Reserve for amortization of utility plant acquisition adjustments	A-5	-	-
9	259	Reserve for depreciation and amortization of recycled water utility plant	A-5	(3,538,774)	(3,102,810)
10		Total utility plant reserves		\$ (673,836,663)	\$ (614,865,185)
11		Total utility plant less reserves		\$ 1,323,369,026	\$ 1,297,519,886
12					
13		II. INVESTMENT AND FUND ACCOUNTS			
14	110	Other Physical Property	A-3	150,161	155,038
15	253	Reserve for depreciation and amortization of other property	A-5	-	-
16		Other physical property less reserve		\$ 150,161	\$ 155,038
17	111	Investments in Affiliated Companies	A-6	-	-
18	112	Other Investments	A-7	5,378,066	4,621,788
19	113	Sinking Funds	A-8	-	-
20	114	Miscellaneous Special Funds	A-9	-	-
21		Total investments and fund accounts		\$ 5,528,227	\$ 4,776,826
22					
23		III. CURRENT AND ACCRUED ASSETS			
24	120	Cash	A-10	3,214,766	7,122,195
25	121	Special Deposits	A-11	390,475	390,475
26	122	Working Funds	A-12	3,750	3,750
27	123	Temporary Cash Investments	A-13	-	-
28	124	Notes Receivable	A-14	-	-
29	125	Accounts Receivable	A-15	31,043,611	22,709,236
30	126	Receivables from Affiliated Companies	A-16	12,318,000	22,365,000
31	131	Materials and Supplies	A-17	925,775	808,432
32	132	Prepayments	A-18	4,562,972	4,125,120
33	133	Other Current and Accrued Assets	A-19	36,068,252	29,330,000
34		Total Current and Accrued Assets		\$ 88,527,601	\$ 86,854,207
35					
36		IV. DEFERRED DEBITS			
37	140	Unamortized Debt Discount and Expense	A-20	3,349,829	3,679,822
38	141	Extraordinary Property Losses	A-22	-	-
39	142	Preliminary Survey and Investigation Charges	A-23	651,836	590,740
40	143	Clearing Accounts	A-24	-	-
41	145	Other Work in Progress	A-25	692,978	177,372
42	146	Other Deferred Debits	A-26	163,608,288	126,160,147
43	147	Accumulated Deferred Income Tax Assets	A-27	55,321,249	49,814,773
44		Total Deferred Debits		\$ 223,624,180	\$ 180,422,854
45		Total Assets and Other Debits		\$ 1,641,049,034	\$ 1,569,573,774

SCHEDULE A
COMPARATIVE BALANCE SHEETS
Liabilities and Other Credits

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
46		I. CORPORATE CAPITAL AND SURPLUS			
47	200	Common Capital Stock	A-30	6	6
48	201	Preferred Capital Stock	A-30a	-	-
49	202	Stock Liability for Conversion	A-31	-	-
50	203	Premiums and Assessments on Capital Stock	A-32	-	-
51	206	Subchapter S Corporation Accumulated Adjustments Account	A-33	-	-
52	150	Discount on Capital Stock	A-28	-	-
53	151	Capital Stock Expense	A-29	-	-
54	270	Capital Surplus	A-34	126,967,195	98,825,544
55	271	Earned Surplus	A-35	390,003,821	378,837,810
56		Total Capital Stock		\$ 516,971,022	\$ 477,663,361
57					
58		II. PROPRIETARY CAPITAL			
59	204	Proprietary Capital	A-36	-	-
60	205	Undistributed Profits of Proprietorship or Partnership	A-37	-	-
61		Total Proprietary Capital		\$ -	\$ -
62					
63		III. LONG-TERM DEBT			
64	210	Bonds	A-38	-	-
65	211	Receivers' Certificates	A-39	-	-
66	212	Advances from Affiliated Companies	A-40	-	500,000
67	213	Miscellaneous Long-Term Debt	A-41	440,000,000	440,000,000
68		Total Long-Term Debt		\$ 440,000,000	\$ 440,500,000
69					
70		IV. CURRENT AND ACCRUED LIABILITIES			
71	220	Notes Payable	A-43	80,000,000	61,000,000
72	221	Notes Receivable Discounted	A-44	-	-
73	222	Accounts Payable	A-45	19,500,877	19,801,699
74	223	Payables to Affiliated Companies	A-46	233,014	1,840
75	224	Dividends Declared	A-47	-	-
76	225	Matured Long-Term Debt	A-48	-	9,993,876
77	226	Matured Interest	A-49	-	-
78	227	Customers' Deposits	A-50	1,315,825	2,152,651
79	228	Taxes Accrued	A-53	(2,243,870)	926,972
80	229	Interest Accrued	A-51	7,532,507	7,572,891
81	230	Other Current and Accrued Liabilities	A-52	137,284,589	116,501,710
82		Total Current and Accrued Liabilities		\$ 243,622,941	\$ 217,951,638
83					
84		V. DEFERRED CREDITS			
85	240	Unamortized Premium on Debt	A-21	-	-
86	241	Advances for Construction	A-54	85,685,577	79,834,286
87	242	Other Deferred Credits	A-55	69,416,189	64,924,486
88		Total Deferred Credits		\$ 155,101,766	\$ 144,758,772
		VI. ACCUMULATED DEFERRED TAXES			
	267	Accumulated Deferred Income Taxes Liabilities	A-59	148,447,780	155,600,880
	268	Accumulated Deferred Investment Tax Credits	A-60	954,600	1,014,600
		Total Accumulated Deferred Taxes		\$ 149,402,380	\$ 156,615,480
89					
90		VII. RESERVES			
91	254	Reserve for Uncollectible Accounts	A-56	3,725,430	200,000
92	255	Insurance Reserve	A-56	-	-
93	256	Injuries and Damages Reserve	A-56	545,311	2,563,631
94	257	Employees' Provident Reserve	A-56	111,167	135,286
95	258	Other Reserves	A-56	-	-
96		Total Reserves		\$ 4,381,907	\$ 2,898,917
97					
98		VIII. CONTRIBUTIONS IN AID OF CONSTRUCTION			
99	265	Contributions in Aid of Construction	A-57	131,569,018	129,185,602
100		Total Liabilities and Other Credits		\$ 1,641,049,034	\$ 1,569,573,774

SCHEDULE B
Income Statement for the Year

Line No.	Acct.	Account (a)	Schedule Page No. (b)	Amount (c)
1		I. UTILITY OPERATING INCOME		
2	501	Operating Revenues	B-1	407,906,053
3				
4		Operating Revenue Deductions:		
5	502	Operating Expenses	B-2	258,586,698
6	503	Depreciation	A-5	62,954,607
7	504	Amortization of Limited-term Utility Investments	A-5	16,339
8	505	Amortization of Utility Plant Acquisition Adjustments	A-5	-
9	506	Property Losses Chargeable to Operations	B-3	-
10	507	Taxes	B-4	26,465,512
11		Total Operating Revenue Deductions		\$ 348,023,156
12		Net Operating Revenues		\$ 59,882,897
13	508	Income from Utility Plant Leased to Others	B-6	-
14	510	Rent for Lease of Utility Plant	B-7	-
15		Total Utility Operating Income		\$ 59,882,897
16				
17		II. OTHER INCOME		
18	521	Income from Nonutility Operations (Net)	B-8	1,048,387
19	522	Revenue from Lease of Other Physical Property	B-9	-
20	523	Dividend Revenues	B-10	-
21	524	Interest Revenues	B-11	491,092
22	525	Revenues from Sinking and Other Funds	B-12	-
23	526	Miscellaneous Nonoperating Revenues	B-13	4,835,461
24	527	Nonoperating Revenue Deductions	B-14	121,912
25		Total Other Income		\$ 6,496,852
26		Net Income before Income Deductions		\$ 66,379,749
27				
28		III. INCOME DEDUCTIONS		
29	530	Interest on Long-term Debt	B-15	25,722,250
30	531	Amortization of Debt Discount and Expense	B-16	294,366
31	532	Amortization of Premium on Debt - Cr.	B-17	-
32	533	Taxes Assumed on Interest	B-18	-
33	534	Interest on Debt to Affiliated Companies	B-19	2,522
34	535	Other Interest Charges	B-20	1,455,352
35	536	Interest Charged to Construction - Cr.	B-21	(2,686,400)
36	537	Miscellaneous Amortization	B-22	-
37	538	Miscellaneous Income Deductions	B-23	372,429
38		Total Income Deductions		\$ 25,160,517
39		Net Income		\$ 41,219,232
40				
41		IV. DISPOSITION OF NET INCOME		
42	540	Miscellaneous Reservations of Net Income	B-24	-
43				
44		Balance transferred to Earned Surplus or		\$ 41,219,232
45		Proprietary Accounts scheduled on pages 32-33		

SCHEDULE A-1
Account 100 - Utility Plant

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	(Retirements) During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1	100.1	Utility Plant in Service (Schedule A-1a)	1,813,600,920	125,138,773	(8,855,363)	(1,230,629)	\$ 1,928,653,701
2	100.2	Utility Plant Leased to Others					\$ -
3	100.3	Construction Work in Progress	81,609,964			(30,316,601)	\$ 51,293,363
4	100.4	Utility Plant Held for Future Use					\$ -
5	100.5	Utility Plant Acquisition Adjustments	(1,292,418)	84,440			\$ (1,207,978)
6	100.6	Utility Plant in Process of Reclassification					\$ -
7		Total utility plant	\$ 1,893,918,466	\$ 125,223,213	\$ (8,855,363)	\$ (31,547,230)	\$ 1,978,739,085

SCHEDULE A-1a
Account 100.1 - Utility Plant in Service

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	(Retirements) During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		I. INTANGIBLE PLANT					
2	301	Organization	68,129				\$ 68,129
3	302	Franchises and Consents	2,413				\$ 2,413
4	303	Other Intangible Plant	969,594				\$ 969,594
5		Total Intangible Plant	\$ 1,040,137	\$ -	\$ -	\$ -	\$ 1,040,137
6							
7		II. LANDED CAPITAL					
8	306	Land and Land Rights	\$ 16,373,726	\$ 796,917	\$ -	\$ -	\$ 17,170,643
9							
10		III. SOURCE OF SUPPLY PLANT					
11	311	Structures and Improvements	13,530,784	3,466,321	-	21,785	\$ 17,018,889
12	312	Collecting and Impounding Reservoirs	14,076,883	-	-	-	\$ 14,076,883
13	313	Lake, River and Other Intakes	6,386,726	-	(10,707)	-	\$ 6,376,019
14	314	Springs and Tunnels	-	-	-	-	\$ -
15	315	Wells	33,633,898	336,074	(72,299)	138,816	\$ 34,036,488
16	316	Supply Mains	24,299,418	1,768,860	(11,419)	60,168	\$ 26,117,027
17	317	Other Source of Supply Plant	1,162,754	-	-	-	\$ 1,162,754
18		Total Source of Supply Plant	\$ 93,090,462	\$ 5,571,254	\$ (94,425)	\$ 220,768	\$ 98,788,060
19							
20		IV. PUMPING PLANT					
21	321	Structures and Improvements	22,487,428	5,726,907	(132,791)	117,149	\$ 28,198,692
22	322	Boiler Plant Equipment	-	-	-	-	\$ -
23	323	Other Power Production Equipment	1,207,624	-	-	-	\$ 1,207,624
24	324	Pumping Equipment	101,729,813	12,642,501	(1,455,035)	49,765	\$ 112,967,044
25	325	Other Pumping Plant	9,069,292	505,211	(10,219)	451	\$ 9,564,735
26		Total Pumping Plant	\$ 134,494,157	\$ 18,874,618	\$ (1,598,045)	\$ 167,365	\$ 151,938,094
27							
28		V. WATER TREATMENT PLANT					
29	331	Structures and Improvements	44,083,519	3,477,419	(3,971)	-	\$ 47,556,968
30	332	Water Treatment Equipment	54,341,168	3,730,864	(56,692)	741	\$ 58,016,082
31		Total Water Treatment Plant	\$ 98,424,687	\$ 7,208,284	\$ (60,662)	\$ 741	\$ 105,573,050

SCHEDULE A-1a
Account 100.1 - Utility Plant in Service (Continued)

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	(Retirements) During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
32		VI. TRANSMISSION AND DIST. PLANT					
33	341	Structures and Improvements	30,309,243	1,139,538	(77,893)	-	\$ 31,370,888
34	342	Reservoirs and Tanks	124,620,555	21,353,669	(3,032,714)	-	\$ 142,941,510
35	343	Transmission and Distribution Mains	825,625,881	35,632,836	(738,371)	389,708	\$ 860,910,054
36	344	Fire Mains	-	-	-	-	\$ -
37	345	Services	250,202,931	13,222,712	(60,898)	(60,488)	\$ 263,304,257
38	346	Meters	27,025,034	1,449,851	(510,544)	-	\$ 27,964,341
39	347	Meter Installations	18,960,993	1,209,726	(1,666)	22,139	\$ 20,191,193
40	348	Hydrants	86,588,169	4,243,016	(112,154)	224,835	\$ 90,943,865
41	349	Other Transmission and Distribution Plant	1,488,731	-	(27,078)	-	\$ 1,461,652
42		Total Transmission and Distribution Plant	\$ 1,364,821,536	\$ 78,251,347	\$ (4,561,317)	\$ 576,194	\$ 1,439,087,760
43							
44		VII. GENERAL PLANT					
45	371	Structures and Improvements	41,173,797	2,100,937	(41,556)	-	\$ 43,233,177
46	372	Office Furniture and Equipment	30,832,872	3,664,758	(2,197,229)	-	\$ 32,300,401
47	373	Transportation Equipment	18,036,501	2,779,245	(216,562)	-	\$ 20,599,185
48	374	Stores Equipment	722,610	5,786	-	-	\$ 728,396
49	375	Laboratory Equipment	-	-	-	-	\$ -
50	376	Communication Equipment	7,250,896	5,846,595	(41,439)	336	\$ 13,056,388
51	377	Power Operated Equipment	3,049,154	72,972	-	-	\$ 3,122,125
52	378	Tools, Shop and Garage Equipment	2,464,433	(19,169)	(44,127)	-	\$ 2,401,136
53	379	Other General Plant	595,324	-	-	-	\$ 595,324
54		Total General Plant	\$ 104,125,586	\$ 14,451,124	\$ (2,540,913)	\$ 336	\$ 116,036,133
55							
56		VIII. UNDISTRIBUTED ITEMS					
57	390	Other - Pension NSC	1,230,628	(14,770)	-	(2,196,034)	\$ (980,176)
58	391	Utility Plant Purchased	-	-	-	-	\$ -
59	392	Utility Plant Sold	-	-	-	-	\$ -
60		Total Undistributed Items	\$ 1,230,628	\$ (14,770)	\$ -	\$ (2,196,034)	\$ (980,176)
61		Total Utility Plant in Service	\$ 1,813,600,920	\$ 125,138,773	\$ (8,855,363)	\$ (1,230,629)	\$ 1,928,653,701

SCHEDULE A-1b
Account 101 - Recycled Water Utility Plant

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	(Retirements) During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1	393	Recycled Water Intangible Plant	-	-	-	-	\$ -
2	394	Recycled Water Land and Land Rights	-	-	-	-	\$ -
3	395	Recycled Water Depreciable Plant	18,466,605	-	-	-	\$ 18,466,605
4		Total Recycled Water Utility Plant	\$ 18,466,605	\$ -	\$ -	\$ -	\$ 18,466,605

SCHEDULE A-1c
Account 302 - Franchises and Consents

Line No.	Name of Original Grantor (a)	Date of Grant (b)	Term in Years (c)	Date of Acquisition by Utility (d)	Balance End of Year ¹ (e)
1	Santa Clara County	2005	15	1952	162
2	City of Saratoga	1957	perpetual	1957	383
3	City of Monte Sereno	1957	perpetual	1957	967
4	City of Cupertino	1958	perpetual	1958	901
5				Total	\$ 2,413

¹ The total should agree with the balance at the end of the year in Account 302 in Schedule A-1a Line 3.

SCHEDULE A-1d
Account 100.4 - Utility Plant Held for Future Use

Line No.	Description and Location of Property (a)	Date of Acquisition (b)	Approximate Date When Property Will be Placed in Service (c)	Balance End of Year (d)
1				
2				
3				
4				
5				
6				
7				
8				
9	Total			\$ -

SCHEDULE A-2
Account 107 - Utility Plant Adjustments

Line No.	Description of Utility Plant Adjustments (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1			
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-3
Account 110 - Other Physical Property

Line No.	Name and Description of Property (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Lot #127 Spring	256	256
2	Lot #268 Metcalf	200	200
3	Proprietary membership	38,000	38,000
4	Lot #209A Bryan Ave	16,403	16,403
5	Lot #209B Almaden Rd.	15,584	15,584
6	Lot #3A Los Gatos Creek	22,731	22,731
7	Lot #61 Beadsley Gulch	555	555
8	Lot #34,35,88,91 Beckwith	4,877	-
9	Lot #8 Lexington	505	505
10	Lot #178 Silver Creek	551	551
11	Lot #85R,40R Cavanee	4,651	4,651
12	Lot #51R Moody Gulch	4,793	4,793
13	Lot #244 Fleury	208	208
14	Calero McKean	45,724	45,724
15	Total	\$ 155,038	\$ 150,161

**SCHEDULE A-4
RATE BASE AND WORKING CASH**

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance 12/31/2020 (c)	Balance 1/1/2020 (d)
RATE BASE					
1		Utility Plant			
2		Plant in Service	A-1, A-1b	1,947,120,306	1,832,067,525
3		Construction Work in Progress	A-1	51,293,363	81,609,964
4		General Office Prorate			
5		Total Gross Plant (=Line 2 + Line 3 + Line 4)		\$ 1,998,413,668	\$ 1,913,677,490
6		Less Accumulated Depreciation			
7		Plant in Service	A	(673,836,663)	(614,865,185)
8		General Office Prorate			
9		Total Accumulated Depreciation (=Line 7 + Line 8)		\$ (673,836,663)	\$ (614,865,185)
10		Less Other Reserves			
11		Deferred Income Taxes	A-59	148,447,780	155,600,880
12		Less Prepaid Deferred Income Tax	A-27	(16,445,939)	(13,947,833)
13		Deferred Investment Tax Credit	A-60	954,600	1,014,600
14		Other Reserves			
15		Total Other Reserves (=Line 11 + Line 12 + Line 13)		\$ 132,956,441	\$ 142,667,647
16		Less Adjustments			
17		Contributions in Aid of Construction	A-57	131,569,018	129,185,602
18		Advances for Construction	A-54	85,685,577	79,834,286
19		Other			
20		Total Adjustments (=Line 16 + Line 17 + Line 18)		\$ 217,254,595	\$ 209,019,888
21		Add Materials and Supplies	A-17	\$ 925,775	\$ 808,432
22		Add Working Cash (=Line 35)		37,344,156	38,327,249
23		TOTAL RATE BASE			
24		(=Line 5 + Line 9 - Line 15 - Line 20 + Line 21 + Line 22)		\$ 1,012,635,900	\$ 986,260,451
Working Cash					
25		Determination of Operational Cash Requirement			
26		Operating Expenses, Excluding Taxes, Depreciation & Uncollectible	B-2	\$ 258,082,698	\$ 228,713,403
27		Purchased Power & Commodity for Resale*	B-2	\$ 175,957,116	\$ 143,485,133
28		Meter Revenues:	B-1	\$ 391,215,909	\$ 393,027,097
29		Other Revenues:	B-1	\$ 16,690,144	\$ (25,418,187)
30		Total Revenues (=Line 28 + Line 29)		\$ 407,906,053	\$ 367,608,910
31		Ratio - Flat Rate to Total Revenues (=Line 29 / Line 30)		0.0409	-0.0691
32		5/24 x Line 25 x (100% - Line 31)		51,567,255	50,943,273
33		1/24 x Line 25 x Line 31		439,995	(658,929)
34		1/12 x Line 27		14,663,093	11,957,094
35		Operational Cash Requirement (=Line 32 + Line 33 - Line 34)		\$ 37,344,156	\$ 38,327,249
		Electric power, gas or other fuel purchased for pumping and/or purchased commodity for resale billed after receipt (metered).			

SCHEDULE A-5
Accounts 250, 251, 252, 253, 259 - Depreciation and Amortization Reserves

Line No.	Item (a)	Account 250 Utility Plant (b)	Account 251 Limited-Term Utility Investments (c)	Account 252 Utility Plant Acquisition Adjustments (d)	Account 253 Other Property (e)	Account 259 Recycled Water Utility Plant (f)
1	Balance in reserves at beginning of year	\$ 611,217,716	\$ 544,660			3,102,810
2	Add: Credits to reserves during year					
3	(a) Charged to Account 503 ⁽¹⁾	62,708,760	16,339			435,965
	(b) Charged to Account 504 ⁽¹⁾					
	(c) Charged to Account 505 ⁽¹⁾					
4	(d) Charged to Account 265	5,427,861				
5	(e) Charged to clearing accounts	1,478,593				
6	(f) Salvage recovered	136,310				
7	(g) All other credits ⁽²⁾	153,803				
8	Total credits	\$ 69,905,327	\$ 16,339	\$ -	\$ -	\$ 435,965
9	Deduct: Debits to reserves during year					
10	(a) Book cost of property retired	(8,855,293)				
11	(b) Cost of removal	(2,515,750)				
12	(c) All other debits ⁽³⁾	(15,109)				
13	Total debits	\$ (11,386,152)	\$ -	\$ -	\$ -	\$ -
14	Balance in reserve at end of year	\$ 669,736,891	\$ 560,998	\$ -	\$ -	\$ 3,538,774
15						
16	(1) COMPOSITE DEPRECIATION RATE USED FOR STRAIGHT LINE REMAINING LIFE:					3.75%
17						
18	(2) EXPLANATION OF ALL OTHER CREDITS:					
19	Depreciation related to SDWRF Asset					
20						
21						
22						
23						
24						
25						
26						
27	(3) EXPLANATION OF ALL OTHER DEBITS:					
28	Current Year Adjustments and ARO Reversal					
29						
30						
31						
32						
33						
34						
35	METHOD USED TO COMPUTE INCOME TAX DEPRECIATION:					
36	(a) Straight Line	()				
37	(b) Liberalized	()				
38	(1) Double declining balance	()				
39	(2) ACRS	()				
40	(3) MACRS	()				
41	(4) Others	()				
42	(c) Both straight line and liberalized	(x)				

SCHEDULE A-5a

Account 250 - Analysis of Entries in Depreciation Reserve

(This schedule is to be completed if records are maintained showing depreciation reserve by plant accounts)

Line No.	Acct.	DEPRECIABLE PLANT (a)	Balance Beginning of Year (b)	Credits to Reserve During Year Excl. Salvage (c)	Debits to Reserves (Dr.) During Year Excl. Cost Removal (d)	Salvage and Cost of Removal Net (Dr.) or Cr. (e)	Balance End of Year (f)
1		I. SOURCE OF SUPPLY PLANT					
2	311	Structures and Improvements	2,600,480	938,396	-	-	\$ 3,538,876
3	312	Collecting and Impounding Reservoirs	4,507,189	163,186	-	-	\$ 4,670,376
4	313	Lake, river and Other Intakes	1,994,029	196,582	(10,707)		\$ 2,179,904
5	314	Springs and Tunnels					
6	315	Wells	8,870,046	1,375,659	(72,299)	(55,198)	\$ 10,118,208
7	316	Supply Mains	6,545,615	853,059	(11,419)		\$ 7,387,255
8	317	Other Source of Supply Plant	(209,790)	14,416	-	-	\$ (195,374)
9		Total Source of Supply Plant	\$ 24,307,570	\$ 3,541,298	\$ (94,425)	\$ (55,198)	\$ 27,699,245
10							
11		II. PUMPING PLANT					
12	321	Structures and Improvements	10,275,669	1,726,649	(132,791)	(38,464)	\$ 11,831,063
13	322	Boiler Plant Equipment					
14	323	Other Power Production Equipment	453,678	57,453			\$ 511,132
15	324	Pumping Equipment	26,155,077	5,705,536	(1,455,035)	(759,905)	\$ 29,645,674
16	325	Other Pumping Plant	5,530,140	818,443	(10,219)	(6,882)	\$ 6,331,482
17		Total Pumping Plant	\$ 42,414,563	\$ 8,308,082	\$ (1,598,045)	\$ (805,250)	\$ 48,319,350
18							
19		III. WATER TREATMENT PLANT					
20	331	Structures and Improvements	8,154,250	1,863,244	(3,971)	(30,518)	\$ 9,983,005
21	332	Water Treatment Equipment	13,658,063	2,275,008	(56,692)	(120,336)	\$ 15,756,044
22		Total Water Treatment Plant	\$ 21,812,313	\$ 4,138,252	\$ (60,662)	\$ (150,854)	\$ 25,739,049
23							
24		IV. TRANS. AND DIST. PLANT					
25	341	Structures and Improvements	13,803,092	1,898,249	(77,893)	(143,707)	\$ 15,479,741
26	342	Reservoirs and Tanks	28,569,341	4,967,183	(3,032,714)	(635,884)	\$ 29,867,927
27	343	Transmission and Distribution Mains	265,122,928	19,478,196	(738,371)	(426,745)	\$ 283,436,008
28	344	Fire Mains					
29	345	Services	123,385,288	15,606,882	(60,898)	89,933	\$ 139,021,206
30	346	Meters	(438,356)	561,825	(510,544)	(0)	\$ (387,075)
31	347	Meter Installations	2,983,521	317,696	(1,666)	(6,376)	\$ 3,293,175
32	348	Hydrants	40,912,776	3,363,022	(112,154)	(88,117)	\$ 44,075,527
33	349	Other Transmission and Distribution Plant	(63,254)	26,069	(27,078)	(102,334)	\$ (166,597)
34		Total Transmission and Distribution Plant	\$ 474,275,336	\$ 46,219,123	\$ (4,561,317)	\$ (1,313,230)	\$ 514,619,913
35							
36		V. GENERAL PLANT					
37	371	Structures and Improvements	14,430,507	1,654,997	(41,556)	(17,131)	\$ 16,026,817
38	372	Office Furniture and Equipment	19,572,669	3,337,308	(2,197,229)	(82,749)	\$ 20,629,999
39	373	Transportation Equipment	9,222,913	1,478,593	(216,562)	44,592	\$ 10,529,537
40	374	Stores Equipment	362,998	31,528	-	-	\$ 394,526
41	375	Laboratory Equipment					\$ -
42	376	Communication Equipment	1,680,633	749,095	(41,439)		\$ 2,388,288
43	377	Power Operated Equipment	1,399,778	169,365	-	-	\$ 1,569,143
44	378	Tools, Shop and Garage Equipment	1,336,027	99,511	(44,127)	-	\$ 1,391,412
45	379	Other General Plant	420,612	26,996	-	-	\$ 447,608
46	390	Other Tangible Property	(18,206)			211	\$ (17,995)
47	391	Water Plant Purchased					\$ -
48		Total General Plant	\$ 48,407,932	\$ 7,547,393	\$ (2,540,913)	\$ (55,077)	\$ 53,359,335
49		Total	\$ 611,217,715	\$ 69,754,148	\$ (8,855,363)	\$ (2,379,609)	\$ 669,736,891

SCHEDULE A-6
Account 111 - Investments in Affiliated Companies

Line No.	Class of Security (a)	Name of Issuing Company (b)	Balance Beginning of Year (c)	Balance End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest and Dividends Received During Year (g)
1		NONE					
2							
3							
4							
5							
6							
7			\$ -	\$ -		\$ -	\$ -

SCHEDULE A-7
Account 112 - Other Investments

Line No.	Name and Description of Property (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Cupertino Water System Lease (net)	4,621,788	4,014,430
2	Other Long Term Assets	-	1,363,636
3			
4			
5			
6			
7			
8			
9	Total	\$ 4,621,788	\$ 5,378,066

SCHEDULE A-8
Account 113 - Sinking Funds

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	NONE					\$ -
2						\$ -
3						\$ -
4						\$ -
5						\$ -
6						\$ -
7						\$ -
8						\$ -
9		\$ -	\$ -	\$ -	\$ -	\$ -

SCHEDULE A-9
Account 114 - Miscellaneous Special Funds

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	NONE					\$ -
2						\$ -
3						\$ -
4						\$ -
5						\$ -
6						\$ -
7						\$ -
8						\$ -
9	Total	\$ -	\$ -	\$ -	\$ -	\$ -

SCHEDULE A-10
Account 120 - Cash

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	Cash in Bank	7,122,195	3,214,766
2			
3			
4			
5	Total	\$ 7,122,195	\$ 3,214,766

SCHEDULE A-11
Account 121 - Special Deposits

Line No.	Name of Depository (a)	Purpose of Deposit (b)	Balance Beg of Year (c)	Balance End of Year (d)
1	Chase Bank	Collateral Deposit CD to City of San Jose	390,475	390,475
2				
3				
4				
5				
6	Total		\$ 390,475	\$ 390,475

**SCHEDULE A-12
Account 122 - Working Funds**

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	Petty Cash	3,750	3,750
2			
3			
4			
5	Total	\$ 3,750	\$ 3,750

**SCHEDULE A-13
Account 123 - Temporary Cash Investments**

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

**SCHEDULE A-14
Account 124 - Notes Receivable**

Line No.	Maker (a)	Date of Issue (b)	Date Payable (c)	Balance Beg of Year (d)	Balance End of Year (e)	Interest Rate (f)	Interest Accrued During Year (g)	Interest Received During Year (h)
1	NONE							
2								
3								
4								
5								
6	Total			\$ -	\$ -		\$ -	\$ -

**SCHEDULE A-15
Account 125 - Accounts Receivable**

Line No.	Acct.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	125-1	Accounts Receivable - Customers	21,966,704	30,199,473
2	125-2	Other Accounts Receivable	742,532	844,138
3				
4				
5	Total		\$ 22,709,236	\$ 31,043,611

SCHEDULE A-16
Account 126 - Receivables from Affiliated Companies

Line No.	Due from Whom (a)	Balance Beginning of Year (b)	Balance End of Year (c)	Interest Rate (d)	Interest Accrued During Year (e)	Interest Received During Year (f)
1	SJW Group	22,365,000	12,318,000	1.55%	490,995	490,995
2						
3						
4						
5						
6						
7						
8						
9						
10	Total	\$ 22,365,000	\$ 12,318,000		\$ 490,995	\$ 490,995

SCHEDULE A-17
Account 131 - Materials and Supplies

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	131.1 Materials and Supplies - Utility	808,432	925,775
2	131.2 Materials and Supplies - Other		
3			
4			
5	Total	\$ 808,432	\$ 925,775

SCHEDULE A-18
Account 132 - Prepayments

Line No.	Item (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Insurance	1,142,548	1,796,190
2	Rent	167,885	129,493
3	Taxes -Other	106,001	190,214
4	Misc.	2,708,687	2,447,076
5			
6			
7			
8			
9			
10	Total	\$ 4,125,120	\$ 4,562,972

SCHEDULE A-19
Account 133 - Other Current and Accrued Assets

Line No.	Item (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Unbilled Revenue	29,330,000	34,000,000
2	Due from Ratepayers	-	2,068,252
3			
4			
5			
6			
7			
8			
9			
10	Total	\$ 29,330,000	\$ 36,068,252

SCHEDULE A-20
Account 140 - Unamortized Debt Discount and Expense

Line No.	Designation of long-term debt (a)	Principal amount of securities to which discount and expense, relates (b)	Total discount and expense (c)	AMORTIZATION PERIOD		Balance beginning of year (f)	Debits during year (g)	Credits during year (h)	Balance end of year (i)
				From- (d)	To- (e)				
1	A	20,000,000	276,589	08-31-92	07-01-22	23,179	(9,271)	-	\$ 13,908
2	B	30,000,000	484,576	11-01-93	01-01-24	526,470	(131,596)	-	\$ 394,874
3	C	10,000,000	197,409	11-01-93	11-01-20	-	-	-	\$ -
4	D	15,000,000	184,617	01-01-96	01-01-26	36,925	(6,154)	-	\$ 30,771
5	E	15,000,000	208,543	12-01-98	12-01-28	61,911	(6,943)	-	\$ 54,968
6	F	20,000,000	101,294	09-24-01	09-01-31	39,501	(3,386)	-	\$ 36,115
7	G	20,000,000	119,904	09-02-03	09-01-33	54,622	(3,997)	-	\$ 50,625
8	H	20,000,000	198,310	01-23-07	01-01-37	112,376	(6,610)	-	\$ 105,766
9	I	20,000,000	126,418	12-17-07	12-17-37	79,893	(4,439)	-	\$ 75,455
10	J	10,000,000	35,750	02-02-09	02-01-24	9,734	(2,384)	-	\$ 7,350
11	K	20,000,000	93,233	05-15-09	05-15-39	60,342	(3,108)	-	\$ 57,234
12	L	50,000,000	855,908	06-16-14	06-01-44	519,391	(21,128)	-	\$ 498,264
13	M	80,000,000	606,821	03-28-19	04-01-49	591,669	(20,246)	-	\$ 571,423
14	PAB	50,000,000	855,908	06-16-10	06-01-40	583,686	(28,530)	-	\$ 555,156
15	PAB 2016	70,000,000	942,705	12-20-16	11-1-46	867,209	(32,218)	-	\$ 834,990
16	SDWSRF	1,634,522	25,534	06-01-05	05-01-25	-	-	-	\$ -
17	SDWSRF	962,161	-	06-01-08	05-01-28	-	-	-	\$ -
18	Line of Credit	293,452	-	-	-	112,913	(49,983)	-	\$ 62,930
19						\$ 3,679,822	\$ (329,993)	\$ -	\$ 3,349,829

SCHEDULE A-21
Account 240 - Unamortized Premium on Debt

Line No.	Designation of long-term debt (a)	Principal amount of securities to which premium minus expense, relates (b)	Total Net Premium (c)	AMORTIZATION PERIOD		Balance beginning of year (f)	Debits during year (g)	Credits during year (h)	Balance end of year (i)
				From- (d)	To- (e)				
1	NONE								\$ -
2									\$ -
3									\$ -
4									\$ -
5									\$ -
6									\$ -
7									\$ -
8									\$ -
9									\$ -
10									\$ -
11									\$ -
12									\$ -
13									\$ -
14									\$ -
15									\$ -
16									\$ -
17									\$ -
18						\$ -	\$ -	\$ -	\$ -

SCHEDULE A-22
Account 141 - Extraordinary Property Losses

Line No.	Description of Property Loss or Damage (a)	Total Amount of Loss (b)	Previously Written off (c)	Balance Beg of Year (d)	Written Off During Year		Balance End of year (g)
					Account Charged (e)	Amount (f)	
1	NONE						\$ -
2							\$ -
3							\$ -
4	Total	\$ -	\$ -	\$ -		\$ -	\$ -

SCHEDULE A-23
Account 142 - Preliminary Survey and Investigation Charges

Line No.	Description of Charges (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	Prelim Survey and Investigation Charges	81,920	82,046
2	Deferred Expense	355,829	452,207
3	SRF Receivable	152,991	117,584
4			
5	Total	\$ 590,740	\$ 651,836

SCHEDULE A-24
Account 143 - Clearing Accounts

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-25
Account 145 - Other Work in Progress

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	Other WIP	177,372	692,978
2			
3			
4			
5	Total	\$ 177,372	\$ 692,978

SCHEDULE A-26
Account 146 - Other Deferred Debits

Line No.	Item (a)	Balance Beg of Year (b)	Balance End of year (c)
1	SALE OF PROPERTY	9,000	9,000
2	CONGRESS SPRINGS TRADEMARK	3,859	3,859
3	ORGANIZATION COSTS	-	181,000
4	REG ASSET - VACATION	1,955,999	2,948,999
5	REG ASSET - BAL/ MEMO ACCT	5,283,397	25,212,859
6	REG ASSET - PENSION NSC	982,205	1,197,211
7	REG ASSET - PENSIONS	81,187,247	99,301,117
8	REG ASSET - DEF'D TAX	35,796,872	33,817,608
9	REG ASSET - LONG-LIVED FA	941,547	936,636
10	Mis- Drought Surcharge	21	-
11	Total	\$ 126,160,147	\$ 163,608,288

SCHEDULE A-27
Account 147 - Accumulated Deferred Income Tax Assets

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	Deferred Tax Assets Non-Rate Base Related	35,866,939	38,875,310
2	Deferred Tax Assets Rate Base Related	13,947,833	16,445,939
3			
4			
5	Total	\$ 49,814,773	\$ 55,321,249

SCHEDULE A-28
Account 150 - Discount on Capital Stock

- Report the balance at end of year of discount on capital stock for each class and series of capital stock.
- If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off during the year and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance Beg of Year (b)	Balance End of year (c)
1	NONE		
2			
3	Total	\$ -	\$ -

SCHEDULE A-29
Account 151- Capital Stock Expense

- Report the balance at end of year of capital stock expense for each class and series of capital stock.
- If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off of capital stock expense and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance Beg of Year (b)	Balance End of year (c)
1	NONE		
2			
3	Total	\$ -	\$ -

SCHEDULE A-30
Account 200 - Common Capital Stock

Line No.	Class of Stock (a)	Number of Shares Authorized by Articles of Incorporation (b)	Par Value of Stock Authorized by Articles of Incorporation (c)	Number of Shares Outstanding ¹ (d)	Balance Beg of Year (e)	Balance End of Year (f)	Dividends Declared During Year	
							Rate (g)	Amount (h)
1	Common Stock	3,000,000	\$ 6.25	1	6.25	6.25		
2								
3								
4								
5								
6	Total				\$ 6.25	\$ 6.25		\$ -

¹ After deduction for amount of reacquired stock held by or for the respondent.

SCHEDULE A-30a
Account 201- Preferred Capital Stock

Line No.	Class of Stock (a)	Number of Shares Authorized by Articles of Incorporation (b)	Par Value of Stock Authorized by Articles of Incorporation (c)	Number of Shares Outstanding ¹ (d)	Balance Beg of Year (e)	Balance End of Year (f)	Dividends Declared During Year	
							Rate (g)	Amount (h)
1	NONE							
2								
3								
4								
5								
6	Total				\$ -	\$ -		\$ -

¹ After deduction for amount of reacquired stock held by or for the respondent.

SCHEDULE A-30b
Record of Stockholders at End of Year

Line No.	COMMON STOCK Name (a)	Number Shares (b)	PREFERRED STOCK Name (c)	Number Shares (d)
1	SJW Group	1		
2				
3				
4				
5				
6				
7				
8				
9	Total number of shares	1	Total number of shares	-

SCHEDULE A-31
Account 202 - Stock Liability for Conversion

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-32
Account 203 - Premiums and Assessments on Capital Stock

Line No.	Class of Stock (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5			
6			
7			
8			
9	Total	\$ -	\$ -

SCHEDULE A-33
Account 206 - Subchapter S Corporation Accumulated Adjustments Account

Line No.	Description of Items (a)	Amount (b)
1	Balance beginning of year	
2	Credit:	
3	Net Income	
4	Accounting Adjustments	
5		
6	Debit:	
7	Net Loss	
8	Accounting Adjustments	
9	Dividends	
10		
11	Balance end of year	\$ -

SCHEDULE A-34
Account 270 - Capital Surplus (For use by Corporations only)

Line No.	Item (a)	Amount (b)
1	Balance beginning of year	\$ 98,825,544
2	CREDITS (Give nature of each credit and state account charged)	25,000,000
3		
4		
5	Total credits	\$ 25,000,000
6	DEBITS (Give nature of each debit and state account credited)	
7	Stock -based compensation SJW Group	(1,066,413)
8	ESPP	(2,075,238)
9		
10	Total debits	\$ (3,141,651)
11	Balance end of year	\$ 126,967,195

SCHEDULE A-35
Account 271 - Earned Surplus (For use by Corporations only)

Line No.	Acct	Account (a)	Amount (b)
1		Balance beginning of year	\$ 378,837,810
2		CREDITS	
3	400	Credit balance transferred from income account	40,170,845
4	401	Miscellaneous credits to surplus (specify)	
5			
6		Total credits	\$ 40,170,845
7		DEBITS	
8	410	Debit balance transferred from income account	
9	411	Dividend appropriations-preferred stock	
10	412	Dividend appropriations-Common stock	
11	413	Miscellaneous reservations of surplus	30,000,000
12	414	Miscellaneous debits to surplus (specify)	(995,165)
13			
14		Total debits	\$ 29,004,835
15		Balance end of year	\$ 390,003,821

SCHEDULE A-36
Account 204 - Proprietary Capital
(Sole Proprietor or Partnership)

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	
2	CREDITS	
3	Net income for year	
4	Additional investments during year	
5	Other credits (specify)	
6		
7	Total credits	\$ -
8	DEBITS	
9	Net loss for year	
10	Withdrawals during year	
11	Other debits (specify)	
12		
13	Total debits	\$ -
14	Balance end of year	\$ -

SCHEDULE A-37
Account 205 - Undistributed Profits
of Proprietorship or Partnership
(The use of this account is optional)

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	
2	CREDITS	
3	Net income for year	
4	Other credits (specify)	
5		
6	Total credits	\$ -
7	DEBITS	
8	Net loss for year	
9	Withdrawals during year	
10	Other debits (specify)	
11		
12	Total debits	\$ -
13	Balance end of year	\$ -

SCHEDULE A-38
Account 210 - Bonds

Line No.	Class of Bond (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Balance Beg of Year (e)	Balance End of Year (f)	Rate of Interest (g)	Sinking Fund Added in Current Year (h)	Cost of issuance (i)	Interest Accrued During Year (j)	Interest Paid During Year (k)
1	NONE										
2											
3											
4											
5											
6											
7											
8				Total	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -

SCHEDULE A-39
Account 211 - Receivers' Certificates

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-40
Account 212 - Advances from Affiliated Companies

Line No.	Nature of Obligation (a)	Balance Beg of Year (b)	Balance End of Year (c)	Rate of Interest (d)	Interest Accrued During Year (e)	Interest Paid During Year (f)
1	Interco Note from SJ Land	500,000	-	2.55%	2,522	2,522
2						
3						
4						
5	Total	\$ 500,000	\$ -		\$ 2,522	\$ 2,522

SCHEDULE A-41
Account 213 - Miscellaneous Long-Term Debt

Line No.	Nature of Obligation (a)	Date of Issue (b)	Date of Maturity (c)	Balance Beg of Year (d)	Balance End of Year (e)	Rate of Interest (f)	Interest Accrued During Year (g)	Interest Paid During Year (h)
1	Series A Senior Notes		07-01-22	20,000,000	20,000,000	8.58%	1,716,000	1,716,000
2	Series B Senior Notes		01-01-24	30,000,000	30,000,000	7.37%	2,211,000	2,211,000
3	Series C Senior Notes		11-01-20	0	0	9.45%	945,000	945,000
4	Series D Senior Notes		01-01-26	15,000,000	15,000,000	7.15%	1,072,500	1,072,500
5	Series E Senior Notes		12-01-28	15,000,000	15,000,000	6.81%	1,021,500	1,021,500
6	Series F Senior Notes		09-01-31	20,000,000	20,000,000	7.20%	1,440,000	1,440,000
7	Series G Senior Notes		09-01-33	20,000,000	20,000,000	5.93%	1,186,000	1,186,000
8	Series H Senior Notes		01-01-37	20,000,000	20,000,000	5.71%	1,142,000	1,142,000
9	Series I Senior Notes		12-17-37	20,000,000	20,000,000	5.93%	1,186,000	1,186,000
10	Series J Senior Notes		02-01-24	10,000,000	10,000,000	6.54%	654,000	654,000
11	Series K Senior Notes		05-01-39	20,000,000	20,000,000	6.75%	1,350,000	1,350,000
12	Series L Senior Notes		07-01-44	50,000,000	50,000,000	5.14%	2,570,000	2,570,000
13	Series M Senior Notes		04-01-49	80,000,000	80,000,000	4.29%	3,432,000	3,432,000
14	PAB		06-01-40	50,000,000	50,000,000	5.10%	2,550,000	2,550,000
15	PAB 2016		11-01-46	70,000,000	70,000,000	4.75%	3,325,000	3,323,658
16								
17	Total			\$ 440,000,000	\$ 440,000,000		\$ 25,801,000	\$ 25,799,658

SCHEDULE A-42
Securities Issued or Assumed During Year

Line No.	Class of Security (a)	Commission Authorization (b)	Amount Issued During Year (omit cents) (c)	Discount or Premium (d)	Expenses (e)
1	None				
2					
3					
4					
5	Total		\$ -	\$ -	\$ -

SCHEDULE A-43
Account 220 - Notes Payable

Line No.	In Favor of (a)	Date of Issue (b)	Date of Maturity (c)	Balance Beg of Year (d)	Balance End of Year (e)	Rate of Interest (f)	Interest Accrued During Year (g)	Interest Paid During Year (h)
1	Line of Credit Chas			61,000,000	80,000,000	various	1,454,331	1,338,557
2								
3								
4								
5								
6								
7	Total			\$ 61,000,000	\$ 80,000,000		\$ 1,454,331	\$ 1,338,557

SCHEDULE A-44
Account 221 - Notes Receivable Discounted

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-45
Account 222 - Accounts Payable

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	Accounts Payable	19,801,699	19,500,877
2			
3			
4			
5	Total	\$ 19,801,699	\$ 19,500,877

SCHEDULE A-46
Account 223 - Payables to Affiliated Companies

Line No.	Nature of Obligation (a)	Balance Beg of Year (b)	Balance End of Year (c)	Rate of Interest (d)	Interest Accrued During Year (e)	Interest Paid During Year (f)
1	Inter Company Payables net	1,840	233,014			
2						
3						
4						
5						
6						
7	Total	\$ 1,840	\$ 233,014		\$ -	\$ -

SCHEDULE A-47
Account 224 - Dividends Declared

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-48
Account 225 - Matured Long-Term Debt

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	Current Portion Long Term Debt	9,993,876	-
2			
3			
4			
5	Total	\$ 9,993,876	\$ -

SCHEDULE A-49
Account 226 - Matured Interest

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-50
Account 227 - Customers' Deposits

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Customer Deposits	2,152,651	1,315,825
2			
3			
4			
5	Total	\$ 2,152,651	\$ 1,315,825

SCHEDULE A-51
Account 229 - Interest Accrued

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	229-1 Interest Accrued on Long-Term Debt	7,448,118	7,291,960
2	229-2 Interest Accrued on Other Liabilities	124,773	240,546
3			
4			
5	Total	\$ 7,572,891	\$ 7,532,507

SCHEDULE A-52
Account 230 - Other Current and Accrued Liabilities

Line No.	Description (a)	Balance Beginning of Year (a)	Balance End of Year (b)
1	Salaries & Wages	5,382,188	5,925,372
2	Pump Taxes	9,739,242	10,965,276
3	Audit & Legal Fees	374,785	274,674
4	Purchased Water	6,108,828	6,911,999
5	Utilities user tax - San Jose	1,209,204	1,201,678
6	Cost Order advance payments	271,788	573,203
7	Unidentified customer overpayments	106	2,413,254
8	Other current and accrued liabilities	1,602,210	2,622,525
9	Power accrual	553,999	650,000
10	P.U.C. surcharge	1,346,161	1,408,127
11	Retirement Plan Employer	88,880,930	104,231,045
12	Drought Surcharges-Cupertino	960,645	-
13	Group Insurance - Employer	71,624	107,437
14			
15			
16	Total	\$ 116,501,710	\$ 137,284,589

SCHEDULE A-53
Account 228 - Taxes Accrued

1. This schedule is intended to give particulars of the combined prepaid and accrued tax accounts and to show the total taxes charged to operations and other accounts during the year. Do not include gasoline and other sales taxes which have been charged to the accounts to which the material on which the tax was levied and charged.
2. Taxes, paid during the year and charged direct to final accounts, that is, not charged to prepaid or accrued taxes, should be included in the schedule. Enter the amounts both in columns (d) and (e). The balancing of the schedule is not affected by the inclusion of these taxes.
3. Taxes charged during the year, column (d), include taxes charged to operations and other accounts through (a) accruals credited to taxes accrued, (b) amounts credited to prepaid taxes for proportions of prepaid taxes chargeable to current year, and (c) taxes paid and charged direct to operations or accounts other than accrued and prepaid tax accounts.
4. If any tax covers more than 1 year, the required information of all columns should be shown separately for each year.
5. Enter all adjustments of the accrued and prepaid tax accounts in column (f) and explain each adjustment. Designate debit adjustments by parentheses.
6. See schedule entitled "TAXES CHARGED DURING YEAR," page 48, for a distribution of taxes charged, columns (c) - (f) according to utility departments and accounts.
7. **Do not include in this schedule entries with respect to deferred income taxes or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.**

Line No.	Kind of Tax (See Instruction 5) (a)	BALANCE BEGINNING OF YEAR		Taxes Charged During Year (d)	Paid During Year (e)	Adjustments (f)	BALANCE END OF YEAR	
		Taxes Accrued (b)	Prepaid Taxes (c)				Taxes Accrued (Account 228) (g)	Prepaid Taxes (Incl. in Acct. 132) (h)
1	Taxes on real and personal property	0		10,639,249	10,716,477	(77,228)	0	-
2	State corporation franchise tax (1)	320,317		5,357,887	2,840,327	2,532,993	304,884	-
3	State unemployment insurance tax	(899)		71,071	71,070	-	(898)	-
4	Other state and local taxes	873,951	(106,001)	1,128,973	1,040,762	81,215	965,161	(190,214)
5	Federal unemployment insurance tax	(5)		16,913	16,913	-	(5)	-
6	Fed. ins. contr. act (old age retire.)	(4)		2,969,830	3,127,041	-	(157,215)	-
7	Other federal taxes	-		-	-	-	-	-
8	Federal income taxes (1)	(639,082)		6,838,233	8,050,971	1,612,176	(3,463,996)	-
9	Local franchise tax- Cupertino Lease	121,533		120,122	117,031	-	124,624	-
10	Fed. Income taxes - Cupertino Lease (1)	123,033		405,837	449,422	146,849	(67,401)	-
11	State corporation franchise tax- Cupertino Lease (1)	128,128		187,405	150,590	113,967	50,976	-
12	Property tax - Cupertino Lease	-		65,395	65,395	-	0	-
13	Payroll tax - Cupertino Lease	-		67,463	67,463	-	-	-
14	Total	\$ 926,972	\$ (106,001)	\$ 27,868,379	\$ 26,713,462	\$ 4,409,972	\$ (2,243,870)	\$ (190,214)

(1) Fed. & State income tax on CIAC & Advances

SCHEDULE A-54
Account 241 - Advances for Construction

Line No.	Description (a)	Amount (b)
1	Balance beginning of year	79,834,286
2	Additions during year	14,335,303
3	Subtotal - Beginning balance plus additions during year	\$ 94,169,589
4	Charges during year	
5	Refunds:	
6	Percentage of revenue basis	
7	Proportionate cost basis	2,767,016
8	Present worth basis	
9	Total refunds	\$ 2,767,016
10	Transfers to Acct 265 - Contributions in Aid of Construction	
11	Due to expiration of contracts	5,535,198
12	Due to present worth discount	181,798
13	Total transfers to Acct. 265	\$ 5,716,996
14	Securities Exchanged for Contracts (enter detail below)	
15	Subtotal - Charges during year	\$ 8,484,012
16	Balance end of year	\$ 85,685,577

If stock, bonds, etc., were issued in exchange for construction advance contracts give details below:

Line No.	Type of Security or Other Consideration (Other than Cash) (a)	Dividend or Interest Rate (b)	PUC Decision Number (c)	Amount Issued (d)
17	Common stock			
18	Preferred stock			
19	Bonds			
20	Other (describe)			
21				
22				

SCHEDULE A-55
Account 242 - Other Deferred Credits

Line No.	Item (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Deferred Tax	(28,852,343)	(21,194,349)
2	Deferred Revenue	3,052,123	3,606,879
3	Regulatory Liability - Income Taxes	88,523,337	84,126,135
4	LT Tax Uncertainty Payable	261,225	287,311
5	Regulatory Liability - Asset Retirement Obligation	941,547	936,636
6	Due to Developers	998,597	1,653,577
7	Total	\$ 64,924,486	\$ 69,416,189

SCHEDULE A-56
Accounts 254 to 258, Inclusive - Miscellaneous Reserves

Line No.	Account (a)	Balance Beginning of Year (b)	DEBITS		CREDITS		Balance End of Year (g)
			Nature of Items (c)	Amount (d)	Account Charged (e)	Amount (f)	
1	254	200,000	Bad Debt Write Off	277,653	775	504,000	\$ 3,725,430
2					125	267,529	
3			COVID AR RESERVE Writeoff		125	3,031,554	
4	255						
5	256	2,563,631	Inj & Damages	2,766,203	794	747,883	\$ 545,311
6	257	135,286	Post Retire. Contb	78,671	795	54,552	\$ 111,167
7	258	-	Reg Asset WCMA	-		-	\$ -
8							\$ -
9	Total	\$ 2,898,917		\$ 3,122,527		\$ 4,605,517	\$ 4,381,907

SCHEDULE A-57
Account 265 - Contributions in Aid of Construction

Line No.	Item (a)	Total All Columns (b)	Contamination Proceeds 265-1 to 265-6		Other 265-7	
			Depreciable (e)	Non-Depreciable (f)	Depreciable (c)	Non-Depreciable (d)
1	Balance beginning of year	\$ 129,185,603	129,166,945.54	18,657		
2	Add: Credits to account during year					
3	Contributions received during year	\$ 7,782,656	7,782,656			
4	Other credits	\$ 28,621	28,621			
5	Total credits	\$ 7,811,276	\$ 7,811,276	\$ -	\$ -	\$ -
6	Deduct: Debits to account during year					
7	Depreciation charges for year	\$ 5,427,861	5,427,861			
8	Nondepreciable donated property retired	\$ -				
9	Other debits	\$ -				
10	Total debits	\$ 5,427,861	\$ 5,427,861	\$ -	\$ -	\$ -
11	Balance end of year	\$ 131,569,018	\$ 131,550,361	\$ 18,657	\$ -	\$ -

SCHEDULE A-59
Account 267 - Accumulated Deferred Income Taxes Liabilities

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Deferred Income Taxes ACRS/MACRAS	155,600,880	148,447,780
2			
3			
4			
5	Total	\$ 155,600,880	\$ 148,447,780

SCHEDULE A-60
Account 268 - Accumulated Deferred Investment Tax Credits

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Investment Tax Credit	1,014,600	954,600
2			
3			
4			
5	Total	\$ 1,014,600	\$ 954,600

SCHEDULE B-1
Account 501 - Operating Revenues

Line No.	Acct.	ACCOUNT (a)	Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
1		I. WATER SERVICE REVENUES			
2	601	Metered Sales to General Customers			
3		601-1.1 Residential Sales	248,299,447	240,162,083	\$ 8,137,364
4		601-1.2 Residential Low Income Discount (Debit)	(3,408,791)	(2,818,504)	\$ (590,287)
5		601-2 Commercial Sales	115,788,070	122,685,817	\$ (6,897,748)
6		601-3 Industrial Sales	983,226	1,226,411	\$ (243,185)
7		601-4 Sales to Public Authorities	16,016,312	17,967,198	\$ (1,950,886)
8		Sub-total	\$ 377,678,264	\$ 379,223,006	\$ (1,544,742)
9	602	Unmetered Sales to General Customers			
10		602-1.1 Residential Sales			\$ -
11		602-1.2 Residential Low Income Discount (Debit)			\$ -
12		602-2 Commercial Sales			\$ -
13		602-3 Industrial Sales			\$ -
14		602-4 Sales to Public Authorities			\$ -
15		Sub-total	\$ -	\$ -	\$ -
16	603	Sales to Irrigation Customers			
17		603.1 Metered sales			\$ -
18		603.2 Flat Rate Sales			\$ -
19		Sub-total	\$ -	\$ -	\$ -
20	604	Private Fire Protection Service	5,147,481	5,162,796	\$ (15,315)
21	605	Public Fire Protection Service			\$ -
22	606	Sales to Other Water Utilities for Resale	1,753,768	1,702,201	\$ 51,568
23	607	Sales to Governmental Agencies by Contracts			\$ -
24	608	Interdepartmental Sales			\$ -
25	609	Other Sales or Service	1,647,215	1,812,755	\$ (165,539)
26		Sub-total	\$ 8,548,465	\$ 8,677,751	\$ (129,286)
27		Total Water Service Revenues	\$ 386,226,729	\$ 387,900,757	\$ (1,674,028)
28		II. OTHER WATER REVENUES			
29	610	Customer Surcharges	3,531,602	3,564,881	\$ (33,279)
30	611	Miscellaneous Service Revenues	26,422	146,439	\$ (120,018)
31	612	Rent from Water Property			\$ -
32	613	Interdepartmental Rents			\$ -
33	614	Other Water Revenues	13,132,121	(29,129,507)	\$ 42,261,628
34	615	Recycled Water Revenues	4,989,180	5,126,340	\$ (137,161)
35		Total Other Water Revenues	\$ 21,679,324	\$ (20,291,847)	\$ 41,971,171
36	501	Total operating revenues	\$ 407,906,053	\$ 367,608,910	\$ 40,297,142

SCHEDULE B-1a
Operating Revenues Apportioned to Cities and Towns

Line No.	Location (a)	Operating Revenues (b)
31	Operations not within incorporated cities ¹	
32	County of Santa Clara	23,138,321
33		
34		
35	Operations within incorporated territory	
36	City or town of San Jose	301,864,321
37	City or town of Campbell	20,176,921
38	City or town of Cupertino	11,086,940
39	City or town of Los Gatos	23,071,970
40	City or town of Monte Sereno	3,525,393
41	City or town of Saratoga	25,036,069
42	City or town of Santa Clara	6,119
43		
44	Total	\$ 407,906,053

¹ Should be segregated to operating districts.

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
			A	B	C			
1		I. SOURCE OF SUPPLY EXPENSE						
2		Operation						
3	701	Operation supervision and engineering	A	B	(223)	890	\$ (1,114)	
4	701	Operation supervision, labor and expenses					\$ -	
5	702	Operation labor and expenses	A	B	1,056,383	1,223,119	\$ (166,735)	
6	703	Miscellaneous expenses	A		1,492,368	1,183,003	\$ 309,364	
7	704	Purchased water	A	B	C	94,591,812	93,393,731	\$ 1,198,082
8		Maintenance						
9	706	Maintenance supervision and engineering	A	B			\$ -	
10	706	Maintenance of structures and facilities					\$ -	
11	707	Maintenance of structures and improvements	A	B	122,861	100,390	\$ 22,471	
12	708	Maintenance of collect and impound reservoirs	A		4,713	53,844	\$ (49,132)	
13	708	Maintenance of source of supply facilities		B			\$ -	
14	709	Maintenance of lake, river and other intakes	A		13,854	20,730	\$ (6,876)	
15	710	Maintenance of springs and tunnels	A				\$ -	
16	711	Maintenance of wells	A		-	-	\$ -	
17	712	Maintenance of supply mains	A				\$ -	
18	713	Maintenance of other source of supply plant	A	B			\$ -	
19		Total source of supply expense			\$ 97,281,767	\$ 95,975,707	\$ 1,306,060	
20		II. PUMPING EXPENSES						
21		Operation						
22	721	Operation supervision and engineering	A	B	1,147,504	1,112,318	\$ 35,186	
23	721	Operation supervision labor and expense					\$ -	
24	722	Power production labor and expenses	A				\$ -	
25	722	Power production labor, expenses and fuel		B			\$ -	
26	723	Fuel for power production	A				\$ -	
27	724	Pumping labor and expenses	A	B	1,981,956	1,650,541	\$ 331,415	
28	725	Miscellaneous expenses	A		1,026,893	1,362,362	\$ (335,468)	
29	726	Fuel or power purchased for pumping	A	B	C	8,489,690	6,244,795	\$ 2,244,895
30	727	Ground water extraction charge	A	B	C	72,875,614	43,846,607	\$ 29,029,007
31		Maintenance						
32	729	Maintenance supervision and engineering	A	B	1,034	1,623	\$ (589)	
33	729	Maintenance of structures and equipment					\$ -	
34	730	Maintenance of structures and improvements	A	B	266,141	312,461	\$ (46,320)	
35	731	Maintenance of power production equipment	A	B			\$ -	
36	732	Maintenance of power pumping equipment	A	B	1,150,496	1,124,608	\$ 25,888	
37	733	Maintenance of other pumping plant	A	B	2,451	5,845	\$ (3,394)	
38		Total pumping expenses			\$ 86,941,779	\$ 55,661,161	\$ 31,280,618	

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
			A	B	C			
38		III. WATER TREATMENT EXPENSES						
39		Operation						
40	741	Operation supervision and engineering	A	B		894,692	1,032,988	\$ (138,296)
41	741	Operation supervision, labor and expenses			C			\$ -
42	742	Operation labor and expenses	A			2,394,367	1,959,121	\$ 435,246
43	743	Miscellaneous expenses	A	B		2,338,987	2,793,947	\$ (454,959)
44	744	Chemicals and filtering materials	A	B		503,595	740,477	\$ (236,882)
45		Maintenance						
46	746	Maintenance supervision and engineering	A	B				\$ -
47	746	Maintenance of structures and equipment			C			\$ -
48	747	Maintenance of structures and improvements	A	B		61,749	72,622	\$ (10,874)
49	748	Maintenance of water treatment equipment	A	B		104,721	165,479	\$ (60,758)
50		Total water treatment expenses				\$ 6,298,112	\$ 6,764,634	\$ (466,522)
51		IV. TRANS. AND DIST. EXPENSES						
52		Operation						
53	751	Operation supervision and engineering	A	B		475,469	410,444	\$ 65,026
54	751	Operation supervision, labor and expenses			C			\$ -
55	752	Storage facilities expenses	A			3,236	8,334	\$ (5,097)
56	752	Operation labor and expenses		B				\$ -
57	753	Transmission and distribution lines expenses	A			1,201,682	941,143	\$ 260,539
58	754	Meter expenses	A			4,420	30,830	\$ (26,410)
59	755	Customer installations expenses	A					\$ -
60	756	Miscellaneous expenses	A			2,940,377	2,890,758	\$ 49,620
61		Maintenance						
62	758	Maintenance supervision and engineering	A	B		921,345	1,004,641	\$ (83,297)
63	758	Maintenance of structures and plant			C			\$ -
64	759	Maintenance of structures and improvements	A	B		460,439	495,161	\$ (34,723)
65	760	Maintenance of reservoirs and tanks	A	B		51,878	214,742	\$ (162,864)
66	761	Maintenance of trans. and distribution mains	A			4,891,713	5,194,224	\$ (302,511)
67	761	Maintenance of mains		B				\$ -
68	762	Maintenance of fire mains	A					\$ -
69	763	Maintenance of services	A			1,105,516	1,386,483	\$ (280,967)
70	763	Maintenance of other trans. and distribution plant		B				\$ -
71	764	Maintenance of meters	A			818,069	987,471	\$ (169,402)
72	765	Maintenance of hydrants	A			349,310	641,631	\$ (292,321)
73	766	Maintenance of miscellaneous plant	A			4,069,485	4,722,049	\$ (652,564)
74		Total transmission and distribution expenses				\$ 17,292,940	\$ 18,927,912	\$ (1,634,972)

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
			A	B	C			
75		V. CUSTOMER ACCOUNT EXPENSES						
76		Operation						
77	771	Supervision	A	B		363,826	152,146	\$ 211,680
78	771	Superv., meter read., other customer acct expenses			C			\$ -
79	772	Meter reading expenses	A	B		1,486,732	1,272,673	\$ 214,059
80	773	Customer records and collection expenses	A			4,912,792	5,144,966	\$ (232,174)
81	773	Customer records and accounts expenses		B				\$ -
82	774	Miscellaneous customer accounts expenses	A			1,143,228	1,980,270	\$ (837,042)
83	775	Uncollectible accounts	A	B	C	504,000	330,266	\$ 173,734
84		Total customer account expenses				\$ 8,410,578	\$ 8,880,322	\$ (469,744)
85		VI. SALES EXPENSES						
86		Operation						
87	781	Supervision	A	B		-	-	\$ -
88	781	Sales expenses			C	-	-	\$ -
89	782	Demonstrating selling expenses	A					\$ -
90	783	Advertising expenses	A					\$ -
91	784	Miscellaneous, jobbing and contract work	A					\$ -
92	785	Merchandising, jobbing and contract work	A					\$ -
93		Total sales expenses				\$ -	\$ -	\$ -
94		VII. RECYCLED WATER EXPENSES						
95		Operation and Maintenance						
96	786	Recycled water operation and maint. expenses						\$ -
97		Total recycled water expenses				\$ -	\$ -	\$ -
98		VIII. ADMIN. AND GENERAL EXPENSES						
99		Operation						
100	791	Administrative and general salaries	A	B	C	12,738,982	12,544,553	\$ 194,430
101	792	Office supplies and other expenses	A	B	C	2,394,048	2,190,529	\$ 203,519
102	793	Property insurance	A			2,601,693	1,911,471	\$ 690,222
103	793	Property insurance, injuries and damages		B	C			\$ -
104	794	Injuries and damages	A					\$ -
105	795	Employees' pensions and benefits	A	B	C	19,227,795	18,643,216	\$ 584,579
106	796	Franchise requirements	A	B	C			\$ -
107	797	Regulatory commission expenses	A	B	C	5,016,117	5,176,109	\$ (159,992)
108	798	Outside services employed	A			6,332,594	4,955,268	\$ 1,377,326
109	798	Miscellaneous other general expenses		B				\$ -
110	798	Miscellaneous other general operation expenses			C			\$ -
111	799	Miscellaneous general expenses	A			2,712,954	4,043,304	\$ (1,330,350)
112		Maintenance						
113	805	Maintenance of general plant	A	B	C	2,803,247	2,345,927	\$ 457,319
114		Total administrative and general expenses				\$ 53,827,430	\$ 51,810,376	\$ 2,017,054
115		XI. MISCELLANEOUS						
116	810	Customer surcredits						\$ -
117	811	Rents	A	B	C	513,861	466,013	\$ 47,848
118	812	Administrative expenses transferred - Cr.	A	B	C	(11,979,768)	(9,442,456)	\$ (2,537,312)
119	813	Duplicate charges - Cr.	A	B	C			\$ -
120		Total miscellaneous				\$ (11,465,907)	\$ (8,976,443)	\$ (2,489,464)
121		Total operating expenses				\$ 258,586,698	\$ 229,043,669	\$ 29,543,030

SCHEDULE B-3
Account 506 - Property Losses Chargeable to Operations

Line No.	Description (a)	Amount
1	None	
2		
3		
4		
5		
6		
7		
8	Total	\$ -

SCHEDULE B-4
Account 507 - Taxes Charged During Year

- This schedule is intended to give the account distribution of total taxes charged to operations and other final accounts during the year.
- Do not include gasoline and other sales taxes which have been charged to accounts to which the material on which the tax was levied was charged. If the actual or estimated amounts of such taxes are known, they should be shown as a footnote and designated whether estimated or actual amounts.
- The accounts to which taxes charged were distributed should be shown in columns (c) to (f). Show both the utility department and number of account charged except for taxes capitalized.
- For any tax which it was necessary to apportion to more than one utility department or account, state in a footnote the basis of apportioning such tax.
- The total taxes charged as shown in column (b) should agree with the amounts shown by column (d) of schedule entitled "Accrued and Prepaid Taxes," page 39.
- Do not include in this schedule entries with respect to deferred income taxes, or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.

Line No.	Kind of Tax (a)	Total Taxes Charged During Year (b)	DISTRIBUTION OF TAXES CHARGED (Show utility department where applicable and account charged)				
			Water (Account 507) (c)	Nonutility (Account 521) (d)	Other (Account -----) (e)	Capitalized (Omit Account) (f)	Other (Account 526) (g)
1	Taxes on real and personal property	\$ 10,639,249	10,370,228	269,021	-	-	-
2	State corp. franchise tax	\$ 5,357,887	5,357,887	-	-	-	-
3	State unemployment insurance tax	\$ 71,071	45,624	-	1,849	21,053	2,545
4	Other state and local taxes	\$ 1,128,973	1,128,973	-	-	-	-
5	Federal unemployment insurance tax	\$ 16,913	6,576	-	751	8,553	1,034
6	Federal insurance contributions act	\$ 2,969,830	2,448,970	-	37,853	430,921	52,086
7	Other federal taxes	\$ -	-	-	-	-	-
8	Federal income tax	\$ 6,838,233	6,838,233	-	-	-	-
9	Franchise tax- Cupertino Lease	\$ 120,122	-	-	-	-	120,122
10	Federal income tax - Cupertino Lease	\$ 405,837	-	-	-	-	405,837
11	State corp. franchise tax - Cupertino Lease	\$ 187,405	-	-	-	-	187,405
12	Property tax - Cupertino Lease	\$ 65,395	-	-	-	-	65,395
13	Payroll tax - Cupertino Lease	\$ 67,463	-	-	-	-	67,463
14	Total	\$ 27,868,379	\$ 26,196,491	\$ 269,021	\$ 40,454	\$ 460,526	\$ 901,886

SCHEDULE B-5
Reconciliation of Reported Net Income With Taxable Income for Federal Taxes

1. Report hereunder a reconciliation of reported net income for the year with taxable income used in computing Federal income tax accruals and show computation of such tax accruals. The reconciliation shall be submitted even though there is no taxable income for the year. Descriptions should clearly indicate the nature of each reconciling amount.
2. If the utility is a member of a group which files a consolidated Federal tax return, reconcile reported net income with taxable net income as if a separate return were to be filed, indicating, however, inter-company amounts to be eliminated in such consolidated return. State names of group members, tax assigned to each group member, and basis of allocation, assignment, or sharing of the consolidated tax among the group members.
3. Show taxable year if other than calendar year from January 1, 2019-to- December 31, 2019.

Line No.	Particulars (a)	Amount (b)
1	Net income for the year per Schedule B, page 15	41,219,232
2	Reconciling amounts (list first additional income and unallowable deductions, followed by additional	
3	deductions for non-taxable income):	
4		
5	Add:	
6	Federal Income Tax	7,244,070
7	Provision for doubtful accounts	693,585
8	Dues	139,470
9	Non-deductible retirement expenses	9,570,882
10	Non-deductible compensation expense	2,533,400
11	California Franchise Tax current year, per books	5,545,292
12	Non-deductible meals, entertainment & travel	31,455
13	Taxable contributions & advances	17,206,910
14	Amortization of debt reacquisition cost per books	294,366
15	Book Depreciation in Excess of Federal	21,931,491
16	Non-deductible contributions	41,785
17	Non-deductible benefits	167,525
18	Accrued Bonus accrued	2,241,000
19	481(a) Adjustment - Accrued Bonus	427,291
20	Uninsured losses accrued	545,310
21	Non-deductible ESPP expense	257,766
22	Amortization of lease concession fee	2,090,182
23	Penalties	4,873,010
24		2,985,819
25	Deduct:	-
26	Accrued Bonus paid	-
27	Uninsured losses paid	1,383,604
28	Bad Debts written off	2,563,631
29	Contribution to Pension Plan & Pension Payments	199,648
30	California Franchise Tax prior year	10,807,019
31	Loss on dispositions (ACRS/MACRS property)	3,762,074
32	Repairs & Maintenance Deduction	3,976,411
33	Deferred Revenue from CIAC	8,490,799
34	Deductible equity compensation expense	644,400
35	Amortization of lease concession fee per tax	1,814,977
36	Cost of retirement in excess of retirement proceeds	2,090,182
37	Reserve for Memorandum Accounts	264,239
38	Amortization of debt reacquisition cost per tax	172,703
39	Federal Depreciation in Excess of Book	874,275
40	Executive Deferred Compensation Accrual	1,319,461
41	Stock - Excess Tax Benefit	141,841
42	Deferred Revenue - Temporary Easement	1,243,363
43		
44		
45	Federal tax net Income/(loss).....	\$ 80,291,213
46	Computation of tax:	
47	Tax at 21% Calculated Tax	16,861,155
48		
49	Tax per return (1)	

Group members included in consolidated Federal tax return:

Name	2020 Tax
SJW Group (1)	(5,868,837)
SJW Land Company (1)	415,843
SJWTX, Inc (1)	2,680,669
SJW New England (1)	160,314

Tax allocated to each group member based on separate company taxable income.

(1) Estimate only - tax returns are on extension and not yet completed.

SCHEDULE B-6
Account 508 - Income from Utility Plant Leased to Others

Line No.	Acct.	Description of Items (a)	Amount (b)
1	508-1	Revenues from Plant Leased to Others	
2	508-2	Expenses of Plant Leased to Others	
3			
4			
5		Total	\$ -

SCHEDULE B-7
Account 510 - Rent for Lease of Utility Plant

Line No.	Description of Items (a)	Amount (b)
1	NONE	
2		
3		
4		
5	Total	\$ -

SCHEDULE B-8
Account 521 - Income from Nonutility Operations

Line No.	Description (a)	Revenue (b)	Expenses (c)	Net Income (d)
1	Sale Of Beckwith Property	1,059,490	11,103	\$ 1,048,387
2				\$ -
3				\$ -
4				\$ -
5	Totals	\$ 1,059,490	\$ 11,103	\$ 1,048,387

SCHEDULE B-9		
Account 522 - Revenues from Lease of Other Physical Property		
Line No.	Description of Items (a)	Amount (b)
1	NONE	
2		
3		
4		
5		Total \$ -

SCHEDULE B-10		
Account 523 - Dividend Revenues		
Line No.	Description of Items (a)	Amount (b)
1	NONE	
2		
3		
4		
5		Total \$ -

SCHEDULE B-11		
Account 524 - Interest Revenues		
Line No.	Description of Items (a)	Amount (b)
1	Interest Revenue	491,092
2		
3		
4		
5		Total \$ 491,092

SCHEDULE B-12		
Account 525 - Revenues from Sinking and Other Funds		
Line No.	Description of Items (a)	Amount (b)
1	NONE	
2		
3		
4		
5		Total \$ -

SCHEDULE B-13
Account 526 - Miscellaneous Nonoperating Revenues

Line No.	Description (a)	Amount (b)
1	Lease Telecommunication	1,222,989
2	Amort. Def. Rev. for CIAC 1987-2000	623,533
3	Lease Cupertino Water System	2,099,247
4	Maintenance Contract for City of San Jose	294,518
5	Miscellaneous	595,174
6		
7		
8	Total	\$ 4,835,461

SCHEDULE B-14
Account 527 - Nonoperating Revenue Deductions

Line No.	Description (a)	Amount (b)
1	Misc	121,912
2		
3		
4		
5	Total	\$ 121,912

SCHEDULE B-15
Account 530 - Interest on Long-Term Debt

Line No.	Description of Items (a)	Amount (b)
1	Int on LTD	25,722,250
2		
3		
4		
5	Total	\$ 25,722,250

SCHEDULE B-16
Account 531 - Amortization of Debt Discount and Expense

Line No.	Description of Items (a)	Amount (b)
1	Amortization of Debt Issuance cost	294,366
2		
3		
4		
5	Total	\$ 294,366

SCHEDULE B-17
Account 532 - Amortization of Premium on Debt - Cr.

Line No.	Description of Items (a)	Amount (b)
1	NONE	
2		
3		
4		
5	Total	\$ -

SCHEDULE B-18
Account 533 - Taxes Assumed on Interest

Line No.	Description of Items (a)	Amount (b)
1	NONE	
2		
3		
4		
5	Total	\$ -

SCHEDULE B-19
Account 534 - Interest on Debt to Affiliated Companies

Line No.	Description of Items (a)	Amount (b)
1	Inter Company Interest	2,522
2		
3		
4		
5	Total	\$ 2,522

SCHEDULE B-20
Account 535 - Other Interest Charges

Line No.	Description (a)	Amount (b)
1	Line of Credit	1,454,331
2	Other	1,021
3		
4		
5	Total	\$ 1,455,352

SCHEDULE B-21
Account 536 - Interest Charged to Construction - Cr.

Line No.	Description of Items (a)	Amount (b)
1	Capitalized Interest	(2,686,400)
2		
3		
4		
5	Total	\$ (2,686,400)

SCHEDULE B-22
Account 537 - Miscellaneous Amortization

Line No.	Description of Items (a)	Amount (b)
1	None	
2		
3		
4		
5	Total	\$ -

SCHEDULE B-23
Account 538 - Miscellaneous Income Deductions

Line No.	Description (a)	Amount (b)
1	Donations	352,479
2	Political Contributions (See Sch C-5 for detail)	19,950
3		
4		
5	Total	\$ 372,429

SCHEDULE B-24
Account 540 - Miscellaneous Reservations of Net Income

Line No.	Description of Items (a)	Amount (b)
1	None	
2		
3		
4		
5	Total	\$ -

SCHEDULE C-1

Engineering and Management Fees and Expenses, etc., During Year

Line No.	Give the required particulars of all contracts or other agreements in effect in the course of the year between the respondent and any corporation, association, partnership or person covering supervision and/or management of any department of the respondents affairs such as accounting, engineering, financing, construction or operation, and show the payments under such agreements and also the payments for advice and services to a corporation or corporations which directly or indirectly control respondent through stock ownership
1	Did the respondent have a contract or other agreement with any organization or person covering supervision and/or management of its own affairs during the year? Answer: Yes _____ No <u> X </u>
2	Name of each organization or person that was a party to such a contract or agreement
3	Date of original contract or agreement. _____
4	Date of each supplement or agreement. _____ <small>Note: File with the report a copy of every contract, agreement, supplement or amendment mentioned above unless a copy of the instrument in due form has been furnished in which case a definite reference to the report of the respondent relative to which it was furnished will suffice.</small>
5	Amount of compensation paid during the year for supervision or management: _____
6	To whom paid: _____
7	Nature of payment (salary, traveling expenses, etc.): _____
8	Amounts paid for each class of service: \$ _____
9	Base for determination of such amounts _____
10	Distribution of payments: (a) Charged to operating expenses \$ _____ (b) Charged to capital accounts \$ _____ (c) Charged to other accounts \$ _____ Total \$ -
11	Distribution of charges to operating expenses by primary accounts. Number and Title of Account _____ _____ _____ Total _____
12	What relationship, if any, exists between respondent and supervisory and/or managing concerns? _____ _____ _____

SCHEDULE C-2			
Compensation of Individual Proprietor or Partner			
Do operating expenses include any charge for owner's compensation in the form of salary or wages, rental allowances, or for the use of a private automobile? (If the word "none" truly states the fact it should be given as the answer to this inquiry.)			
Line No.	Nature of Allowance (a)	Account Charged (b)	Amount (c)
1	NONE		
2			
3			
4			
5			
6		Total	\$ -

SCHEDULE C-3			
Employees and Their Compensation			
(Charged to Account 502 - Operating Expenses - Schedule B-2)			
Line No.	Classification (a)	Number at End of Year (b)	Total Salaries and Wages Paid During Year (c)
1	Employees - Source of supply	8	825,555
2	Employees - Pumping	31	2,885,153
3	Employees - Water treatment	25	2,464,339
4	Employees - Transmission and distribution	113	11,625,295
5	Employees - Customer account	42	4,589,529
6	Employees - Sales		
7	Employees - Administrative		
8	General officers	13	11,181,759
9	General office	50	1,916,768
10	Total	282	\$ 35,488,398

SCHEDULE C-4											
Record of Accidents During Year											
Line No.	Date of Accident (a)	TO PERSONS					Date of Accident (g)	TO PROPERTY			
		Employees on Duty		Public ¹		Total Number (f)		Company		Other	
		Killed (b)	Injured (c)	Killed (d)	Injured (e)			Number (h)	Amount (i)	Number (j)	Amount (k)
1	1/2/2020		0		0	0	1/2/2020	1	0	0	0.00
2	1/21/2020		0		0	0	1/21/2020	1	0	0	0.00
3	1/24/2020		0		0	0	1/24/2020	2	0	0	0.00
4	2/3/2020		0		1	1	02/03/20	0	0	1	1,415.11
5	2/4/2020		0		0	0	02/04/20	1	0	0	0.00
6	2/13/2020		0		0	0	02/13/20	0	0	1	3,558.26
7	2/25/2020		0		0	0	02/25/20	1	2,430	1	1,200.00
8	3/10/2020		0		0	0	03/10/20	1	858	0	0.00
9	5/30/20		0		0	0	05/30/20	1	1,140	0	0.00
10	6/16/2020		0		0	0	06/16/20	0	0	1	487.67
11	6/23/2020		0		0	0	06/23/20	1	466	0	0.00
12	7/10/2020		0		0	0	07/10/20	0	0	0	0.00
13	7/14/2020		0		0	0	07/14/20	1	285	0	0.00
14	7/24/2020		0		0	0	07/24/20	1	458	0	0.00
15	8/8/2020		0		1	1	08/08/20	0	0	1	3,526.28
16	8/21/2020		0		1	1	08/21/20	1	4,969	1	4,726.21
17	8/21/2020		0		0	0	08/21/20	0	0	1	2,589.38
18	9/9/2020		0		0	0	09/09/20	0	0	0	0.00
19	9/15/2020		0		0	0	09/15/20	1	659	0	0.00
20	9/18/2020		0		0	0	09/18/20	0	0	0	0.00
21	9/21/2020		0		0	0	09/21/20	1	180	0	0.00
22	10/19/2020		0		0	0	10/19/20	1	1,109	0	0.00
23	11/6/2020		0		0	0	11/06/20	0	0	0	0.00
24	11/18/2020		0		0	0	11/18/20	0	0	0	0.00
25	11/23/2020		0		0	0	11/23/20	0	0	0	0.00
26	11/30/2020		0		0	0	11/30/20	1	257	0	0.00
27	12/02/20		0		0	0	12/02/20	1	3,100	0	0.00
28	12/16/20		0		0	0	12/16/20	0	0	1	1,100.21
29											
30											
	Totals	0	0	0	3	3		17	\$ 15,911	8	\$ 18,603

SCHEDULE C-5		
Expenditures for Political Purposes		
<p>Hereunder report all direct or indirect expenditures incurred or made to elect or defeat a candidate for public office; or to place any measure on the ballot; at any election, or to keep it from being placed on the ballot at any election, or to support or defeat any measure on the ballot; at an election, or to support or defeat any proposed legislation, also name the account or accounts to which the expenditures herein mentioned have been charged. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)</p>		
1	MILPITAS FAMILIES FOR ANTHONY	250
2	SAFE CLEAN WATER FOR OUR FUTUR	5,000
3	CORTESE FOR SENATE 2020	1,000
4	CUPERTINO CHAMBER PAC	1,500
5	SVO PAC	5,000
6	RE-ELECT DEV DAVIS 2020	600
7	OTTO LEE FOR SUPERVISER 2020	1,000
8	LAN DIEP FOR SJ CITY COUNCIL	600
9	ANN RAVEL FOR SENATE 2020	1,000
10	ANTHONY PHAN FOR ASSEMBLY 2020	1,000
11	EVAN LOW FOR ASSEMBLY 2020	3,000
12		
13		
14		
15		
16	Total	\$ 19,950

SCHEDULE C-6		
Loans to Directors, or Officers, or Shareholders		
<p>Show hereunder the amount of loans to directors, or officers, or shareholders and the terms and conditions of such loans. Among other things, show the name of each director, or officer, or shareholder, receiving a loan or loans, the amount of each loan, the rate of interest payable on such loan or loans, the maturity date of each loan or loans, the security given and the date when the shareholders authorized each loan. If such authorization was given. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)</p>		
1	NONE	
2		
3		
4		
5		
6	Total	\$ -

SCHEDULE C-7				
Bonuses Paid to Executives & Officers				
<p>Show hereunder the amount of bonuses paid to Executives and Officers, both cash and non-cash. List by the name of each Executive or Officer receiving the bonus, the dollar value of that bonus, and a description of the bonus if it is non-cash.</p>				
			Cash	Non-Cash
	Name	Title	Value (1)	Value (2)
1				
2				
3		REDACTED		
4				
5				
6				
7				
8				
9				
10				
11				
12				
1				
2				
15				
16				
3	Total		\$ -	\$ -

1. Short Term Cash Incentive Award and Special Cash Bonus

2. Stock Awards Value Realized on Vesting

CONFIDENTIAL INFORMATION: Submitted under the protection of Govt. Code §§6254(k), 6255(a); Evid. Code §§1060, 1061; Civ. Code §3426.1(d); Pen. Code §499c(a)(9); and Pub. Util. Code §583.

**SCHEDULE D-1
Sources of Supply and Water Developed**

STREAMS				FLOW IN(unit) ²				Annual Quantities Diverted MG	Remarks
Line No.	Diverted into*	From Stream or Creek (Name)	Location of Diversion Point	Priority Right		Diversions			
				Claim	Capacity	Max.	Min.		
1	Almaden Pipe	Alamito	Almaden Intake					0	
2	Saratoga Filter	Saratoga	Congress Springs					111	
3	Montevina	Los Gatos	Ryland Intake					1163.8	
4	Howell	Los Gatos						0.76	
5									

WELLS							Annual Quantities Pumped MG	Remarks
Line No.	At Plant (Name or Number)	Location	Number	Dimensions	¹ Depth to Water	Pumping Capacity(Unit) ²		
6	Bascom	San Jose					275.42	
7	Berryessa	San Jose						
8	Branham	San Jose						
9	Breeding	San Jose					66.34	
10	Buena Vista	San Jose					1618.06	
11	Cottage Grove	San Jose					704.52	
12	Cropley	San Jose						
13	Gish	San Jose					206.01	
14	Grant	San Jose					1.54	
15	Home	San Jose						
16	Jackson	San Jose						
17	Mabury	San Jose						
18	Malone	San Jose					518.51	
19	Meridian	San Jose					1306.97	
20	Needles	San Jose					1112.69	
21	Ridgeley	San Jose						
22	Senter	San Jose					454.74	
23	Seventeenth St.	San Jose					1258.31	
24	3-Mile	San Jose					914.00	
25	Twelfth St.	San Jose					2052.22	
26	Tully	San Jose					1711.78	
27	Virginia	San Jose						
28	Williams	San Jose					4223.43	
29	Willow Glen Wy	San Jose					0.00	
30	Will Wool	San Jose					864.99	

TUNNELS AND SPRINGS				FLOW IN(Unit) ²		Annual Quantities Used(Unit) ²	Remarks
Line No.	Designation	Location	Number	Maximum	Minimum		
11							
12							
13							
14							
15							

Purchased Water for Resale

16	Purchased from Santa Clara Valley Water District	MG ²
17	Annual quantities purchased	20159.667
18		
19		

* State ditch, pipe line, reservoir, etc., with name, if any.
¹ Average depth to water surface below ground surface.
² The quantity unit in established use for expressing water stored and used in large amounts is the acre foot, which equals 42,560 cubic feet: in domestic use the thousand gallon or the hundred cubic feet. The rate of flow or discharge in larger amounts is expressed in cubic feet per second, in gallons per minute, in gallons per day, or in the miner's inch. Please be careful to state the unit used.

**SCHEDULE D-2
Description of Storage Facilities**

Line No.	Type	Number	Combined Capacity (Gallons or Acre Feet)	Remarks
1	A. Collecting reservoirs			See Attached
2	Concrete			
3	Earth			
4	Wood			
5	B. Distribution reservoirs			
6	Concrete			
7	Earth			
8	Wood			
9	C. Tanks			
10	Wood			
11	Metal			
12	Concrete			
13	Total	-	-	

SCHEDULE D-3

"60"

DESCRIPTION OF TRANSMISSION AND DISTRIBUTION FACILITIES
B. FOOTAGE OF PIPE BY INSIDE DIAMETER IN INCHES - NOT INCLUDING SERVICE PIPING

	3/4"	1"	1-1/4"	1-1/2"	2"	2-1/2"	3"	3-1/2"	4"	4-1/2"	5"	6"	6-5/8"
Welded Steel				676		2,975	3,297	134,941	11,861	340	2,316,360	2,138,397	
Std. Screw	372	1,583	105	1,024	110,880	544	4,105	3,226			8,347		
Cast Iron				1,510		10,162		230,661		11,829	334,141		
Copper		325		1,812									
Transite							4,010	99,464			1,069,185	1,876	
Concrete							1,000	152,343			30,443		
Plastic		350		620	10,466			400			200.0		
Polyethylene				1,588									
Total	372	2,258	105	2,320	126,256	544	22,252	3,297	621,055	11,861	12,169	3,758,475	2,140,273

SCHEDULE D-3

"60" page 2

DESCRIPTION OF TRANSMISSION AND DISTRIBUTION FACILITIES
B. FOOTAGE OF PIPE BY INSIDE DIAMETER IN INCHES - NOT INCLUDING SERVICE PIPING

	17"	17-1/4"	18"	19"	19-1/4"	20"	20 3/4"	21"	21-1/4"	21-3/4"	22"	24"	24-3/4"	25"	25-1/4"
Welded Steel	48,380	144,206	160,660	6,623	85,809	63,557	476	6,240	48,942	1,929	5,771	84,529	15,743	120	60,327
Std. Screw			400			135									
Cast Iron			8,692			1,260									
Copper															
Transite															
Concrete			460									145			
Plastic								11							
Polyethylene															
Total	48,380	144,206	170,212	6,623	85,809	64,963	476	6,240	48,942	1,929	5,771	84,674	15,743	120	60,327

6,701,236.0

	7"	8"	8-5/8"	10"	10-3/4"	11"	12"	12-3/4"	13"	14"	15-1/3"	16"	16 3/4"
Welded Steel	1,864	1,255,047	178,486	374,933	55,488	489	717,308	572,236	135	5,882	386	296,638	232
Std. Screw	154	1,824		4,204	151		3,689				1,607		
Cast Iron		114,971		39,033	24		91,338				17,905		
Copper													
Transite													
Concrete		397,049	421,033	116,193	100,372		187,176	532		180	56,436		
Plastic		7,140		1,076			629				2,493		
Polyethylene		636		632			140						
Total	2,018	1,776,667	599,519	536,071	156,035	489	#####	572,768	135	5,882	566	375,079	232

	25-3/4"	26"	28"	30"	31-3/8"	31-7/8"	36"	37-1/4"	37-3/8"	37-7/8"	42"	43-1/2"	48"	48-1/2"
Welded Steel	8,986	152	515	6,648			35,492	3,225	14,376	384	10,215		17,720	4,234
Std. Screw														
Cast Iron													60	
Copper														
Transite														
Concrete														
Plastic	132				22,821	10,612			11,620				1,443	
Polyethylene														
Total	9,118	152	515	6,648	22,821	10,612	35,492	3,225	25,996	384	10,215	1,443	17,780	4,234

5,025,740.5

	TOTAL ALL SIZES														
Welded Steel	8,903,229														
Std. Screw	142,350														
Cast Iron	861,606														
Copper	2,137														
Transite	0														
Concrete	2,500,739														
Plastic	206,571														
Polyethylene	3,596														
343 Total	12,620,227														

SCHEDULE D-4				
Number of Active Service Connections				
Classification	Metered - Dec 31		Flat Rate - Dec 31	
	Prior Year	Current Year	Prior Year	Current Year
Residential	199,963	199,676		
Commercial	20,652	20,573		
Industrial	52	51		
Public authorities	1,292	1,287		
Other Water Utilities	37	37		
Recycled Water	275	285		
Other Portable Meters	301	305		
Subtotal	222,572	222,214	-	-
Private fire connections			4,030	4,082
Public fire hydrants				
Total	222,572	222,214	4,030	4,082

SCHEDULE D-5			
Number of Meters and Services on Pipe Systems at End of Year			
Size	Meters	Size	Services
3/4-in	177,696	1/2-in Installation	
1-in	33,783	3/4-in "	178,105
1-1/2-in	8,642	1-in s	34,655
2-in	9,388	1-in sp	0
3-in	789	1-1/4in S	0
4-in	386	" Sp	0
6-in	95	1-1/2in S	8,812
8-in	22	" Sp	0
10-in	7	2-in S	6,958
Reclaimed water 1"	12	2-in Sp	0
Reclaimed water 1-1/2"	4	Misc Svcs & Singles	252
Reclaimed water 2"	109	3-in S	1,621
Reclaimed water 3"	25	2x2 manf=3"	0
Reclaimed water 4"	22	4-in S	1,824
Reclaimed water 6"	3	6-in S	1,963
Reclaimed water 8"	3	8-in S	1,142
Reclaimed water 10"	1	10-in S	188
		12-in S	23
Total	230,987		235,543

SCHEDULE D-6	
Meter Testing Data	
A. Number of Meters Tested During Year as Prescribed in Section VI of General Order No. 103:	
1. New, after being received . . .	2,650
2. Used, before repair	
3. Used, after repair	2,331
4. Found fast, requiring billing adjustment	
B. Number of Meters in Service Since Last Test	
1. Ten years or less	133,212
2. More than 10, but less than 15 years	63,941
3. More than 15 years	33,834
Total	230,987

SCHEDULE D-7

Water delivered to Metered Customers by Months and Years in _____ CCF _____ (Unit Chosen)¹

Classification of Service	During Current Year							Subtotal
	January	February	March	April	May	June	July	
Residential	2,060,867	1,508,771	1,319,299	1,568,400	45,259	2,636,000	7,384,989	16,523,585
Commercial	1,177,002	1,143,969	1,078,011	1,009,761	586,959	1,451,997	3,115,540	9,563,240
Industrial	9,400	12,865	10,554	10,934	10,065	13,819	12,226	79,863
Public authorities	78,998	99,621	118,191	87,261	130,973	259,377	316,792	1,091,213
Other Water Utilities	11,264	10,623	12,209	8,462	17,617	22,905	23,244	106,324
Raw	7,185	883	6,409	4,842	7,675	17,231	2,651	46,878
Recycle	24,338	34,499	56,405	43,636	76,816	137,181	161,461	534,336
Other Portable Meters	6,761	3,448	5,824	4,672	5,933	5,631	7,596	39,865
Total	3,375,814	2,814,679	2,606,902	2,737,968	881,297	4,544,142	11,024,500	27,985,303

Classification of Service	During Current Year						Subtotal	Total	Prior Year
	August	September	October	November	December	Subtotal	Total	Prior Year	
Residential	1,796,152	3,141,006	2,745,016	3,062,362	2,541,824	13,286,359	29,809,944	25,648,854	
Commercial	1,195,867	1,639,757	1,631,187	1,587,958	1,489,425	7,544,194	17,107,433	16,452,576	
Industrial	14,767	12,712	13,975	11,309	11,799	64,562	144,424	173,520	
Public authorities	315,239	295,170	238,799	198,177	116,470	1,163,855	2,255,068	2,399,764	
Other Water Utilities	21,958	20,753	20,829	17,398	21,120	102,058	208,382	197,760	
Raw	2,802	55,107	13,747	13,236	5,212	90,104	136,982	116,896	
Recycle	146,969	127,456	120,997	86,281	50,773	532,476	1,066,812	978,754	
Other Portable Meters	7,973	3,569	5,303	8,904	2,921	28,670	68,534	92,916	
Total	3,501,728	5,295,529	4,789,852	4,985,625	4,239,544	22,812,277	50,797,580	46,061,038	

¹ Quantity units to be in hundreds of cubic feet, thousands of gallons, acre-feet, or miner's inch-days.

Total acres irrigated _____

Total population served _____ 1,020,000

SCHEDULE D-8 Status With State Board of Public Health

1. Has the State or Local Health Department reviewed the sanitary condition of your water system during the past year?
Answer: Yes X No
2. Are you having routine laboratory tests made of water served to your consumers?
Answer: Yes X No
3. Do you have a permit from the State Board of Public Health for operation of your water system?
Answer: Yes X No
4. Date of permit: Last Amendment 01/20/21
5. If permit is "temporary", what is the expiration date? N/A
6. If you do not hold a permit, has an application been made for such permit?
Answer: Yes No
7. If so, on what date?

SCHEDULE D-9 Statement of Material Financial Interest

Use this space to report the information required by Section 2 of General Order No. 104-A. If no material financial interest existed during the year or contemplated at the end of the year, such fact shall be so stated. If additional space is required, attach a supplementary statement with reference made thereto.

SCHEDULE E-1
Balancing and Memorandum Accounts

Line No.	Description (a)	Authorized by Decision or Resolution No. (b)	Beginning of Year Balance (c)	Offset Revenues (d)	Offset Expenses (e)	Interest (f)	Surcharge (g)	Surcredit (h)	End of Year Balance (i)
1	Balancing Account	Pending (2017)	(\$3,014,305)	\$0	\$0	(\$16,921)	0	0	(\$3,031,226)
2	Balancing Account	Pending (2018)	(\$812,725)	\$0	\$0	(\$4,564)	0	0	(\$817,289)
	Balancing Account	Pending (2019)	(\$122,910)	\$0	\$0	(\$689)	0	0	(\$123,599)
3									
4	<u>Memo-Balancing Acct</u>								
5	Purchased Power	Pending	\$0	\$0	(\$4,422)	\$5	0	0	\$4,427
6	Purchased Power	Pending	\$0	\$0	\$247,360	(\$279)	0	0	(\$247,638)
7	Purchased Power	Pending	\$0	\$0	\$245,983	(\$277)	0	0	(\$246,260)
8	Purchased Power	Pending	\$0	\$0	\$34,622	(\$39)	0	0	(\$34,661)
9	Purchased Water	AL528	\$0	\$0	\$1,823,612	(\$1,858)	0	0	(\$1,825,470)
10	Purchased Water Non-Contract	AL528	\$0	\$0	(\$131,050)	\$118	0	0	\$131,167
11	Purchased Water Recycle	AL528	\$0	\$0	(\$355,365)	\$284	0	0	\$355,649
12	Purchased Water Recycle Well	AL528	\$0	\$0	\$80,747	(\$67)	0	0	(\$80,814)
13	Pump Tax	AL528	\$0	\$0	\$1,857,166	(\$2,175)	0	0	(\$1,859,341)
14	WRAP Discount	AL356 & AL370	\$0	\$3,531,602	\$3,408,791	\$617	0	0	\$123,429
15	Pension Balancing Account	D.18-11-025	\$0	\$7,578,300	\$8,594,000	\$7,550	0	0	(\$1,008,150)
16	SRF Loan I Surcharge	AL364	(\$544,346)	\$126,943	\$0	(\$2,911)	0	0	(\$420,314)
17	SRF Loan II Surcharge	AL392	(\$419,990)	\$62,266	\$0	(\$2,293)	0	0	(\$360,018)
18	<u>Memorandum Acct</u>								\$0
19	Monterey WRAM	D.08-08-030	(\$6,631,902)	\$0	\$5,042,022	(\$46,517)	0	0	(\$11,720,441)
20	Intervenor Compensation	Various	(\$3,006)	\$0	\$0	(\$16)	0	0	(\$3,022)
21	Ground Water Regulation Legal Exp	AL496	(\$150,773)	\$0	\$0	(\$844)	0	0	(\$151,617)
22	Drinking Water Fees 2017/2018	AL 497	(\$418,311)	\$0	\$0	(\$2,348)	0	0	(\$420,659)
23	School Lead Testing	AL 504-A	(\$21,409)	\$0	\$0	(\$119)	0	0	(\$21,528)
24	2018 Cost of Capital	AL 517	\$1,408,906	\$0	\$0	\$7,910	0	0	\$1,416,816
25	CEMA - 2017 Flooding	Res. E-3238	(\$245,226)	\$0	\$0	(\$1,378)	0	0	(\$246,604)
26	CEMA - PSPS 1 October 10, 2019	Res. E-3238	\$ (25,542)	\$0	\$0	(\$146)	0	0	(\$25,688)
27	CEMA - PSPS 2 October 26, 2019	Res. E-3238	\$ (19,256)	\$0	\$0	(\$108)	0	0	\$ (19,364)
28	CEMA - COVID19	Pending	\$0	\$922,819	\$157,261	\$38	0	0	\$ 765,596
29	CEMA - COVID19 AR Reserve	Pending	\$0	(\$3,031,554)	\$0	(\$152)	0	0	(\$3,031,706)
30	PFAS	AL552	\$0	\$ (340,194)	\$0	(\$991)	0	0	(\$341,185)
	Recovery of Undercollection of Balancing								
31	Accounts 2018 GRC	D.18-11-025 & AL 528	(1,198,051)	\$0	\$0	(6,341)	\$76,018	\$0	(\$1,128,374)
	Recovery of Undercollection of								
32	Memorandum Accounts 2018 GRC	D.18-11-025 & AL 528	(1,288,435)	\$0	\$0	(6,852)	\$75,445	\$0	(\$1,219,841)
	Recovery of Net Undercollection Pressure								
	Reducing Valve Modernization and Energy	AL 548A D-19-06-010, D-							
33	Recovery Balancing Account	20-04-003	(\$1,218,992)			(472)	\$110,780		(\$1,108,684)

- For Columns d, e, f, g & h provide those amounts booked in the current year.
- The detail for each individual account includes the Beginning of Year Balance, End of Year Balance, each Offset Expense adjustment during the year, each Offset Revenue adjustment during the year, each Surcharge adjustment during the year, each Surcredit adjustment during the year, and the decision or resolution number associated with each item of detail.

Schedule E- 2

Description of Low-Income Rate Assistance Program(s)

For all low income rate assistance programs offered by water utility, provide detailed responses to the following items:

1.

SJWC's General Rate Case Decision D.04-08-054 adopted a settlement agreement between the Office of Ratepayer Advocates (ORA) and SJWC which allowed SJWC to implement a Water Rate Assistance Payer (WRAP) for low income customers which provides a 15% discount on the total water bill for the customers eligible for the program based on the same income qualification guidelines as is use by PG&E's CARE program (175% of federal poverty level). The program automatically qualifies customers already part of PG&E's CARE program, while customers without PG&E service in their name qualify by providing income verification in accordance with the program guidelines. The program also extends eligibility to customers in mobile homes behind master-meters. In order to fund this program SJWC charges a monthly surcharge of \$1.45 per bill. The surcharge is identified separately on the customer bill and is applied to all SJWC customers who are not recipients of the WRAP program benefits. In accordance with the Settlement Agreement adopted in D.04-08-054, SJWC also maintains a WRAP balancing account to which all revenue and expenses associated with the program will be booked for later recovery or refund.
2.

As of December 31, 2020, 23,252 of SJWC's low income households are participants in the program which represents 10.72% of total metered customers served and 11.96% of residential customers served.
3.

Please see Schedule E-1 for detail of WRAP balancing account authorized to record expenses incurred and revenues collected for low income rate assistance program.

Schedule E- 3

Description of Water Conservation Program(s)

For all water conservation programs offered by water utility, provide detailed responses to the following items:

Please see attached document.

1. Brief description of each water conservation program provided, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers) and length of time it was offered.
2. Discuss how each water conservation assistance program is funded, for example, through rates charged to all customers, rates charged to customer receiving water conservation assistance, shareholder contribution, community funding, government funding, or other funding method. Explain why this type of funding was used.
3. Cost of each program.
4. The degree of participation in each district by customer group.

Schedule E- 2
Description of Low-Income Rate Assistance Program(s)

For all low income rate assistance programs offered by water utility, provide detailed responses to the following items:

1. Brief description of each low-income rate assistance program provided, by district. This shall include but is not limited to the percent of discount, the dollar amount of discount, what rate is discounted (service charge, quantity, or total bill), qualifying income level, dollar rate increase to remaining customers to pay for this program.
2. Participation rate for Year 200_ (as a percent of total customers served).
3. Detail of balancing or memorandum account authorized to record expenses incurred and revenues collected for low income rate assistance program.

Schedule E- 3
Description of Water Conservation Program(s)

For all water conservation programs offered by water utility, provide detailed responses to the following items:

1. Brief description of each water conservation program provided, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers) and length of time it was offered.
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3. Cost of each program.
4. The degree of participation in each district by customer group.

Schedule E- 3

Description of Water Conservation Program(s)

For all water conservation programs offered by water utility, provide detailed responses to the following items:

1. Brief description of each water conservation program provided, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers) and length of time it was offered.
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3. Cost of each program.
4. The degree of participation in each district by customer group.

Response:

San Jose Water Company (SJWC) offers a comprehensive water conservation program to its customers. The program includes services that are offered directly by SJWC such as water audits, as well as programs offered through our wholesale water agency, Valley Water (VW) formerly known as Santa Clara Valley Water District.

VW offers conservation programs that are the most cost effective and sensible to offer at a regional level, such as the landscape rebate program (for turf conversion and irrigation hardware upgrades). These rebates are available to SJWC customers who are made aware of them through advertisements, bill inserts, each agency's website, and in person during water audits or at the customer service office.

In addition, SJWC makes available toilet leak detection tablets and conservation literature that are all available upon request at the VW headquarters or during a water audit at the customer's property. SJWC's "The Guide to Using Water Wisely" provides information about SJWC's water audit program, meter reading instructions, water supply map, indoor and outdoor conservation tips plus landscape information including watering schedules and reference to the company's website about Water Wise Gardening.

The water audit program is an excellent way for customers to learn how to reduce their consumption, as well as identify and fix any leaks. It also allows SJWC to directly interface with its customers and provide personalized water conservation advice. SJWC also prepares customer bill inserts throughout the year, including one sent in the spring promoting "Water

Awareness Night” and the water audit program. In the fall, SJWC prepares a bill insert that focuses on reduction of outdoor water use and promotes the annual Water Appreciation Day event with San Jose State University. This “fall back” insert reminds customers to turn off their irrigation systems in the wet winter months. Unfortunately due to the Pandemic both “Water Awareness Night” and “Water Appreciation Day” did not occur.

SJWC is continuing its educational outreach program for 5th grade students. The in-class program provides a general water and water conservation-related curriculum that takes approximately one week to complete. A lesson plan, including hands-on activities, is provided to the teacher and each student receives a workbook to complete that enhances the teacher’s lesson plan. At the end of the week, students receive a unique home water audit kit. The kit has instructions for the student to perform a water audit with their parents and includes high efficiency devices (showerheads, aerators, dye tablets, etc.) that the student and parents can install at their home. In 2020, this program reached 2,409 5th grade students. The 2016-2018 General Rate Case (GRC) provided additional funding for this well-received program.

The SJWC website provides extensive information to customers regarding water conservation, water-wise landscaping and information on how to read one’s meter and understand one’s usage. SJWC has a video library that contains several videos that demonstrate how to read one’s meter, what occurs during a water audit, and general tips on how to save water.

In addition, SJWC has its own dedicated website for water-wise landscaping. This site contains a plant database with hundreds of pictures of plants that are low water use and beautiful. The site allows customers to build example landscapes and then obtain specific plant information and guidance on irrigating efficiently. The site also includes a water calculator, allowing customers to enter in the specific characteristics of their site and generate a custom irrigation schedule. In 2020, over 5,200 people visited the website and viewed more than 32,400 pages of landscaping information.

Topics include:

- Sustainable Landscaping Fundamentals
- Sustainable Landscape Renovation
- Dealing with Drought
- Using Water Wisely
- Managing Your Soil
- Compost for Healthy Soil
- The Magic of Mulch
- The Right Plant, Right Place
- Pest Management
- Using Permeable Surfaces

- Solving Runoff Problems
- Sustainable Fertilization

This website allows customers to have targeted information on garden options focusing heavily on water conservation. GardenSoft is one of our high-visibility tools to promote drought tolerant landscaping.

In addition to the conservation programs, SJWC is engaged in a significant effort to expand the use of recycled water with the GRC's approval, SJWC has moved forward to expand its recycled water program. Currently, 300+ customers are using recycled water for irrigation, cooling towers or industrial purposes and outreach efforts are underway to customers along the new pipelines. SJWC is paying for the design and construction of customer retrofits that are needed to connect new customers to the recycled water pipelines. This project is critical because every drop of recycled water used helps stretch available drinking water supplies.

Participating in various community events helps spread the conservation message with customers. SJWC planned to attend the following events in 2020 but the Pandemic canceled all of these events:

- The Guadalupe River Run in February
- A variety of Earth Week activities in April, including Cupertino Earth Day.
- San Jose Giants baseball game in May
- Cupertino Fall Festival in September
- Diwali Festival in September
- San Jose State University football game in October
- Rebuilding Together
- Cisco and Xilinx Safety Fairs
- Martha's Kitchen food preparation and serving
- Four community Open House events at different locations within our Service Territory

At these events SJW staff displays an irrigation mock-up showing the latest in efficient landscape technologies. A water meter is also available to show customers how to monitor their own water usage. And a variety of conservation publications spread the message about using water wisely.

- 1) Conservation expenses are included in revenue requirement for all customers.

SJWC has a base water conservation budget of approximately \$175,000 per year. This budget covers things such as bill inserts, conservation promotional items and events, conservation literature, and the upkeep of the water-wise landscaping website. The labor and expenses associated with the company's water audit program is not included in this base budget. The school education program was an additional program with funding that was approved in the

prior GRC. The recycled water retrofit program is considered separately within the GRC. Other programs that are run by VW and available to SJWC customers are paid for through the wholesale water rates that SJWC pays to VW.

2) The base water conservation budget for SJWC is approximately \$175,000 per year. The school education program that was approved in the GRC is approximately \$100,000 per year. Other program costs are not available since the programs are administered by VW.

3) Participation in conservation programs by SJWC customers is normal. SJWC performs approximately 2,500 water audits per year. Unfortunately in 2020 we completed only 760 audits due to the Pandemic and customer apprehension of having someone at their home.

**Schedule E-4
Report on Affiliate Transactions**

Affiliate includes all related companies including but not limited to Parent, Affiliates, and Subsidiaries.

Line No.		SJW Group
1	(a) Description of Services Provided by Regulated Water Utility To Affiliated Companies	Support for G&A, Accounting, Maintenance and Vehicles (\$2,703,559)
2	(b) Description of Services Provided by Affiliated Companies to Regulated Water Utility	None
3	(c) Assets Transferred from Regulated Utility to Affiliated Companies	None
4	(d) Assets Transferred to Regulated Utility from Affiliated Companies	None
5	(e) Employees Transferred from Regulated Utility to Affiliated Companies	None
6	(f) Employees Transferred to Regulated Utility from Affiliated Companies	None
7	(g) Financing Arrangements and Transactions between Regulated Utility and Affiliated Companies	See Schedule A-16 and A-40
8		

**SCHEDULE E-5
FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA**

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) or Safe Drinking Water State Revolving Fund (SRF) loan surcharge collection for the calendar year. Please use one page per loan.

1. Current Fiscal Agent:

Name: _____
 Address: _____
 Phone Number: _____
 Account Number: _____
 Date Hired: _____

2. Total surcharge collected from customers during the 12 month reporting period:

\$ _____ \$126,943 Loan I

\$ _____ \$62,266 Loan II

Meter Size	No. of Metered Customers	Loan I Monthly Surcharge Per Customer	Loan II Monthly Surcharge Per Customer
5/8 X 3/4 in	856	0.04	0.02
3/4 inch	168,006	0.04	0.02
1 inch	36,333	0.05	0.02
1 1/2 inch	4,081	0.10	0.06
2 inch	4,870	0.14	0.09
3 inch	2,961	0.28	0.18
4 inch	785	0.39	0.32
6 inch	327	0.98	0.67
8 inch	72	1.59	1.08
10 inch	15	2.32	1.55
Number of Flat Rate Customers	-		
Total	218,306		

3. Summary of the bank account activities showing:

Balance at beginning of year	\$ _____
Add: Surcharge collections	_____
Interest earned	_____
Other deposits	_____
Less: Loan payments	_____
Bank charges	_____
Other withdrawals	_____
Balance at end of year	\$ _____ -

4. Reason for other deposits/withdrawals

5. Total Accumulated Reserve: \$ _____

**SCHEDULE E-5
FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA (Continued)
Account 100.1 - Utility Plant in Service (funded by SDWBA/SRF)**

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	(Retirements) During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		I. INTANGIBLE PLANT					
2	301	Organization					\$ -
3	302	Franchises and Consents					\$ -
4	303	Other Intangible Plant					\$ -
5		Total Intangible Plant	\$ -	\$ -	\$ -	\$ -	\$ -
6							
7		II. LANDED CAPITAL					
8	306	Land and Land Rights					\$ -
9							
10		III. SOURCE OF SUPPLY PLANT					
11	311	Structures and Improvements					\$ -
12	312	Collecting and Impounding Reservoirs					\$ -
13	313	Lake, River and Other Intakes					\$ -
14	314	Springs and Tunnels					\$ -
15	315	Wells					\$ -
16	316	Supply Mains					\$ -
17	317	Other Source of Supply Plant					\$ -
18		Total Source of Supply Plant	\$ -	\$ -	\$ -	\$ -	\$ -
19							
20		IV. PUMPING PLANT					
21	321	Structures and Improvements					\$ -
22	322	Boiler Plant Equipment					\$ -
23	323	Other Power Production Equipment					\$ -
24	324	Pumping Equipment					\$ -
25	325	Other Pumping Plant					\$ -
26		Total Pumping Plant	\$ -	\$ -	\$ -	\$ -	\$ -
27							
28		V. WATER TREATMENT PLANT					
29	331	Structures and Improvements					\$ -
30	332	Water Treatment Equipment					\$ -
31		Total Water Treatment Plant	\$ -	\$ -	\$ -	\$ -	\$ -
32							
33		VI. TRANSMISSION AND DIST. PLANT					
34	341	Structures and Improvements	3,451,785				\$ 3,451,785
35	342	Reservoirs and Tanks					\$ -
36	343	Transmission and Distribution Mains					\$ -
37	344	Fire Mains					\$ -
38	345	Services					\$ -
39	346	Meters					\$ -
40	347	Meter Installations					\$ -
41	348	Hydrants					\$ -
42	349	Other Transmission and Distribution Plant					\$ -
43		Total Transmission and Distribution Plant	\$ 3,451,785	\$ -	\$ -	\$ -	\$ 3,451,785

**SCHEDULE E-5
FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA (Continued)
Account 100.1 - Utility Plant in Service (funded by SDWBA/SRF)**

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	(Retirements) During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
44		VII. GENERAL PLANT					
45	371	Structures and Improvements					\$ -
46	372	Office Furniture and Equipment					\$ -
47	373	Transportation Equipment					\$ -
48	374	Stores Equipment					\$ -
49	375	Laboratory Equipment					\$ -
50	376	Communication Equipment					\$ -
51	377	Power Operated Equipment					\$ -
52	378	Tools, Shop and Garage Equipment					\$ -
53	379	Other General Plant					\$ -
54		Total General Plant	\$ -	\$ -	\$ -	\$ -	\$ -
55							
56		VIII. UNDISTRIBUTED ITEMS					
57	390	Other Tangible Property					\$ -
58	391	Utility Plant Purchased					\$ -
59	392	Utility Plant Sold					\$ -
60		Total Undistributed Items	\$ -	\$ -	\$ -	\$ -	\$ -
61		Total Utility Plant in Service	\$ 3,451,785	\$ -	\$ -	\$ -	\$ 3,451,785

Account 101 - Recycled Water Utility Plant (funded by SDWBA/SRF)

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	(Retirements) During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1	393	Recycled Water Intangible Plant					\$ -
2	394	Recycled Water Land and Land Rights					\$ -
3	395	Recycled Water Depreciable Plant					\$ -
4		Total Recycled Water Utility Plant	\$ -	\$ -	\$ -	\$ -	\$ -

SCHEDULE E-6 FACILITIES FEES DATA

Please provide the following information relating to Facilities Fees for districts or subsidiaries servicing 2,000 or fewer connections for the calendar year. (Per D.91-04-068)

1. Trust Account Information:

Bank Name: NONE
 Address: _____
 Account Number: _____
 Date Opened: _____

2. Facilities Fees collected for new connections during the calendar year:

A. Commercial

<u>NAME</u>	<u>AMOUNT</u>
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

B. Residential

<u>NAME</u>	<u>AMOUNT</u>
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

3. Summary of the bank account activities showing:

	<u>AMOUNT</u>
Balance at beginning of year	\$ _____
Deposits during the year	\$ _____
Interest earned for calendar year	\$ _____
Withdrawals from this account	\$ _____
Balance at end of year	\$ <u> -</u>

4. Reason or Purpose of Withdrawal from this bank account:

DECLARATION

(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)

I, the undersigned _____ **ANDREW R. GERE**
Officer, Partner, or Owner (Please Print)

of _____ **SAN JOSE WATER COMPANY**
Name of Utility

under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period of January 1, 2019, through December 31, 2019.

President and Chief Operating Officer
Title (Please Print)

/s/ Andrew R. Gere
Signature

408-279-7900
Telephone Number

May 29, 2021
Date

