

Received _____

Examined _____

**CLASS A
WATER UTILITIES**

U# _____



**2020
ANNUAL REPORT
OF**

SUBURBAN WATER SYSTEMS

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

1325 N. GRAND AVENUE, STE 100

COVINA, CA 91724-4044

(OFFICIAL MAILING ADDRESS)

ZIP

**TO THE
PUBLIC UTILITIES COMMISSION
STATE OF CALIFORNIA
FOR THE
YEAR ENDED DECEMBER 31, 2020**

REPORT MUST BE FILED NO LATER THAN APRIL 30, 2021

TABLE OF CONTENTS

	Page
General Instructions	5
Instructions for Preparation of Selected Financial Data Sheet for All Water Utilities	6
Utility Plant and Capitalization Data	7
Income, Expenses, and Other Data	8
Utility Plant and Capitalization Data - Adjusted to Exclude Non-Regulated Activity	9
Income, Expenses, and Other Data - Adjusted to Exclude Non-Regulated Activity	10
Notes to Adjusted Selected Financial Data Due to Excluding of Non-Regulated Activities	11
Excess Capacity and Non-Tariffed Services	12
General Information	13
Schedule A - Comparative Balance Sheets - Assets and Other Debits	17
Schedule A - Comparative Balance Sheets - Liabilities and Other Credits	18
Schedule B - Income Statement for the Year	19
Schedule A-1 - Account 100 - Utility Plant	20
Schedule A-1a - Account 100.1 - Utility Plant in Service	20-21
Schedule A-1b - Account 101 - Recycled Water Utility Plant	21
Schedule A-1c - Account 302 - Franchises and Consents	21
Schedule A-1d - Account 100.4 - Utility Plant Held for Future Use	22
Schedule A-2 - Account 107 - Utility Plant Adjustments	22
Schedule A-3 - Account 110 - Other Physical Property	22
Schedule A-4 - Rate Base and Working Cash	23
Schedule A-5 - Accounts 250, 251, 252, 253, 259 - Depreciation and Amortization Reserves	24
Schedule A-5a - Account 250 - Analysis of Entries in Depreciation Reserve	25
Schedule A-6 - Account 111 - Investments in Affiliated Companies	26
Schedule A-7 - Account 112 - Other Investments	26
Schedule A-8 - Account 113 - Sinking Funds	26
Schedule A-9 - Account 114 - Miscellaneous Special Funds	27
Schedule A-10 - Account 120 - Cash	27
Schedule A-11 - Account 121 - Special Deposits	27
Schedule A-12 - Account 122 - Working Funds	28
Schedule A-13 - Account 123 - Temporary Cash Investments	28
Schedule A-14 - Account 124 - Notes Receivable	28
Schedule A-15 - Account 125 - Accounts Receivable	28
Schedule A-16 - Account 126 - Receivables from Affiliated Companies	29
Schedule A-17 - Account 131 - Materials and Supplies	29
Schedule A-18 - Account 132 - Prepayments	29
Schedule A-19 - Account 133 - Other Current and Accrued Assets	29
Schedule A-20 - Account 140 - Unamortized Debt Discount and Expense	30
Schedule A-21 - Account 240 - Unamortized Premium on Debt	30
Schedule A-22 - Account 141 - Extraordinary Property Losses	31
Schedule A-23 - Account 142 - Preliminary Survey and Investigation Charges	31
Schedule A-24 - Account 143 - Clearing Accounts	31
Schedule A-25 - Account 145 - Other Work in Progress	31
Schedule A-26 - Account 146 - Other Deferred Debits	32
Schedule A-27 - Account 147 - Accumulated Deferred Income Tax Assets	32
Schedule A-28 - Account 150 - Discount on Capital Stock	32
Schedule A-29 - Account 151 - Capital Stock Expense	32
Schedule A-30 - Account 200 - Common Capital Stock	33

TABLE OF CONTENTS

	Page
Schedule A-30a - Account 201 - Preferred Capital Stock	33
Schedule A-30b - Record of Stockholders at End of Year	33
Schedule A-31 - Account 202 - Stock Liability for Conversion	33
Schedule A-32 - Account 203 - Premiums and Assessments on Capital Stock	34
Schedule A-33 - Account 206 - Subchapter S Corporation Accumulated Adjustments Account	34
Schedule A-34 - Account 270 - Capital Surplus (For Use by Corporations Only)	35
Schedule A-35 - Account 271 - Earned Surplus (For Use by Corporations Only)	35
Schedule A-36 - Account 204 - Proprietary Capital (Sole Proprietor or Partnership)	36
Schedule A-37 - Account 205 - Undistributed Profits of Proprietorship or Partnership	36
Schedule A-38 - Account 210 - Bonds	37
Schedule A-39 - Account 211 - Receivers' Certificates	38
Schedule A-40 - Account 212 - Advances from Affiliated Companies	38
Schedule A-41 - Account 213 - Miscellaneous Long-Term Debt	38
Schedule A-42 - Securities Issued or Assumed During Year	38
Schedule A-43 - Account 220 - Notes Payable	39
Schedule A-44 - Account 221 - Notes Receivable Discounted	39
Schedule A-45 - Account 222 - Accounts Payable	39
Schedule A-46 - Account 223 - Payables to Affiliated Companies	39
Schedule A-47 - Account 224 - Dividends Declared	40
Schedule A-48 - Account 225 - Matured Long-Term Debt	40
Schedule A-49 - Account 226 - Matured Interest	40
Schedule A-50 - Account 227 - Customers' Deposits	41
Schedule A-51 - Account 229 - Interest Accrued	41
Schedule A-52 - Account 230 - Other Current and Accrued Liabilities	41
Schedule A-53 - Account 228 - Taxes Accrued	42
Schedule A-54 - Account 241 - Advances for Construction	43
Schedule A-55 - Account 242 - Other Deferred Credits	44
Schedule A-56 - Accounts 254 to 258, Inclusive - Miscellaneous Reserves	44
Schedule A-57 - Account 265 - Contributions in Aid of Construction	45
Schedule A-58 - Account 266 - Accumulated Deferred Income Taxes - Accelerated Tax Depreciation	46
Schedule A-59 - Account 267 - Accumulated Deferred Income Taxes Liabilities	46
Schedule A-60 - Account 268 - Accumulated Deferred Investment Tax Credits	46
Schedule B-1 - Account 501 - Operating Revenues	47
Schedule B-1a - Operating Revenues Apportioned to Cities and Towns	47
Schedule B-2 - Account 502 - Operating Expenses - For Class A, B, and C Water Utilities	48-50
Schedule B-3 - Account 506 - Property Losses Chargeable to Operations	51
Schedule B-4 - Account 507 - Taxes Charged During Year	51
Schedule B-5 - Reconciliation of Reported Net Income with Taxable Income for Federal Taxes	52
Schedule B-6 - Account 508 - Income from Utility Plant Leased to Others	53
Schedule B-7 - Account 510 - Rent for Lease of Utility Plant	53
Schedule B-8 - Account 521 - Income from Nonutility Operations	53
Schedule B-9 - Account 522 - Revenues from Lease of Other Physical Property	54
Schedule B-10 - Account 523 - Dividend Revenues	54
Schedule B-11 - Account 524 - Interest Revenues	54
Schedule B-12 - Account 525 - Revenues from Sinking and Other Funds	54
Schedule B-13 - Account 526 - Miscellaneous Nonoperating Revenues	55
Schedule B-14 - Account 527 - Nonoperating Revenue Deductions	55

TABLE OF CONTENTS

	<u>Page</u>
Schedule B-15 - Account 530 - Interest on Long-Term Debt	55
Schedule B-16 - Account 531 - Amortization of Debt Discount and Expense	55
Schedule B-17 - Account 532 - Amortization of Premium on Debt - Cr.	56
Schedule B-18 - Account 533 - Taxes Assumed on Interest	56
Schedule B-19 - Account 534 - Interest on Debt to Affiliated Companies	56
Schedule B-20 - Account 535 - Other Interest Charges	56
Schedule B-21 - Account 536 - Interest Charged to Construction - Cr.	57
Schedule B-22 - Account 537 - Miscellaneous Amortization	57
Schedule B-23 - Account 538 - Miscellaneous Income Deductions	57
Schedule B-24 - Account 540 - Miscellaneous Reservations of Net Income	57
Schedule C-1 - Engineering and Management Fees and Expenses, etc., During Year	58
Schedule C-2 - Compensation of Individual Proprietor or Partner	59
Schedule C-3 - Employees and Their Compensation	59
Schedule C-4 - Record of Accidents During Year	59
Schedule C-5 - Expenditures for Political Purposes	60
Schedule C-6 - Loans to Directors, or Officers, or Shareholders	60
Schedule C-7 - Bonuses Paid to Executives & Officers	60-61
Schedule D-1 - Sources of Supply and Water Developed	62
Schedule D-2 - Description of Storage Facilities	62
Schedule D-3 - Description of Transmission and Distribution Facilities	65
Schedule D-4 - Number of Active Service Connections	67
Schedule D-5 - Number of Meters and Services on Pipe Systems at End of Year	67
Schedule D-6 - Meter Testing Data	67
Schedule D-7 - Water Delivered to Metered Customers by Months and Years	68
Schedule D-8 - Status with State Board of Public Health	69
Schedule D-9 - Statement of Material Financial Interest	69
Schedule E-1 - Balancing and Memorandum Accounts	70
Schedule E-2 - Description of Low-Income Rate Assistance Program(s)	71
Schedule E-3 - Description of Water Conservation Program(s)	71
Schedule E-4 - Report on Affiliate Transactions	82
Schedule E-5 - For All Water Companies - Safe Drinking Water Bond Act / State Revolving Fund Data	87-89
Schedule E-6 - Facilities Fees Data	90
Declaration	91
Index	92

GENERAL INSTRUCTIONS

1. Two completed and signed hard copies of this report and one electronic copy must be filed **NO LATER THAN APRIL 30, 2021**, with:

CALIFORNIA PUBLIC UTILITIES COMMISSION
WATER DIVISION
ATTN: BRUCE DEBERRY
505 VAN NESS AVENUE, ROOM 3200
SAN FRANCISCO, CALIFORNIA 94102-3298
bmd@cpuc.ca.gov

2. Failure to file the report on time may subject a utility to the penalties and sanctions provided by the Public Utilities Code.
3. The Declaration on Page 70 must be signed by an authorized officer, partner, or owner.
4. The report must be prepared in accordance with the CPUC Excel annual report template. The Excel file and a PDF of the file is to be submitted to the Commission.
5. The report must be filled in, and every question answered. **LEAVE NO SCHEDULE BLANK.** Insert the words "none" or "not applicable" or "n/a" when appropriate.
6. Certain balance sheet and income statement accounts refer to supplemental schedules. Complete the supplemental schedules **FIRST**. The balances in these schedules will then auto-fill the appropriate boxes in the balance sheet/income statement. Total and subtotal boxes are automatically summed in Excel. Auto-filled and summed boxes are Excel locked and identified by a light coloring of the box. Uncolored boxes can be manually filled. Complete the statements by filling in the uncolored boxes where appropriate.
7. Some schedules provide for a "balance at beginning of year." The amount shown should agree with the "balance at end of year" as shown in the report for the previous year. If there is a difference, it should be explained by footnote.
8. When there is insufficient space in a schedule to permit a complete statement of the requested information, insert sheets should be prepared and identified by the number of the schedule to which it refers. Be certain that the inserts are securely attached to the report. If inserts are needed, prepare all inserts in one separate electronic file in Microsoft Excel format and file it with the electronic file of this report.
9. This report must cover the calendar year from January 1, 2020, through December 31, 2020. Fiscal year reports will not be accepted.
10. Your company's external auditor information, such as external auditor's name, phone number, and address, must be included in Page 13, Item 12, of this report.

I N S T R U C T I O N S

FOR PREPARATION OF SELECTED FINANCIAL DATA SHEET FOR ALL WATER UTILITIES

To prepare the attached data sheets, refer to the Balance Sheet, Income Statement and supporting detail schedules in the annual report for the required data.

Please follow the instructions below:

1. The CPUC annual report Excel template incorporates links so that the selected data in the Balance Sheet, Income Statement and supporting schedules automatically flow to the financial data sheets.
2. For the financial data sheets that are to be adjusted to exclude non-regulated activity, manually adjust the data to exclude non-regulated activity.

UTILITY PLANT AND CAPITALIZATION DATA

Calendar Year 2020

Name of Utility: SUBURBAN WATER SYSTEMS Telephone: (626) 543-2500

Person Responsible for this Report: ROBERT KELLY

	<u>1/1/2020</u>	<u>12/31/2020</u>	<u>Average</u>
UTILITY PLANT DATA			
1 Total Utility Plant	\$ 342,833,132	\$ 368,051,399	\$ 355,442,266
2 Total Utility Plant Reserves	(118,008,252)	(126,430,664)	(122,219,458)
3 Total Utility Plant Less Reserves	224,824,880	241,620,735	233,222,808
4 Advances for Construction	8,228,860	8,038,461	8,133,661
5 Contributions in Aid of Construction	20,775,834	20,676,563	20,726,199
6 Total Accumulated Deferred Taxes	20,261,486	21,936,217	21,098,852
7			
8			
9			
CAPITALIZATION			
11 Common Capital Stock	\$ 745,380	\$ 745,380	\$ 745,380
12 Preferred Capital Stock	3,982,267	3,982,267	3,982,267
13 Paid In Capital	5,008,394	27,416,171	16,212,283
14 Earned Surplus	104,013,502	110,839,849	107,426,676
15 Total Capital Stock	113,749,543	142,983,667	128,366,605
16 Total Proprietary Capital (Individual or Partnership)	-	-	-
17 Total Long-Term Debt	90,000,000	90,000,000	90,000,000

INCOME, EXPENSES, AND OTHER DATA

Calendar Year 2020

Name of Utility: SUBURBAN WATER SYSTEMS

Telephone: (626) 543-2500

		Annual Amount
INCOME/EXPENSES DATA		
1	Operating Revenues	\$ 87,025,058
2	Operating Expenses	\$ 52,323,917
3	Depreciation	\$ 10,687,380
4	Taxes	\$ 6,750,974
5	Income from Nonutility Operations (net)	\$ 334,726
6	Interest on Long-Term Debt	\$ 4,391,696
7	Net Income	\$ 13,302,811
8		
OPERATING EXPENSES DATA		
10	Source of Supply Expense	\$ 20,425,884
11	Pumping Expenses	\$ 4,643,077
12	Water Treatment Expenses	\$ 933,910
13	Transmission and Distribution Expenses	\$ 2,618,101
14	Customer Account Expenses	\$ 2,083,833
15	Sales Expenses	\$ 305,336
16	Recycled Water Expenses	\$ -
17	Administrative and General Expenses	\$ 22,405,336
18	Miscellaneous	\$ (1,091,560)
19	Total Operating Expenses	\$ 52,323,917

OTHER DATA

				Annual Average
23	<u>Active Service Connections</u>	(Exc. Fire Protect.)	Jan. 1	Dec. 31
24				
25	Metered Service Connections		75,247	75,303
26	Flat Rate Service Connections		1,242	1,251
27	Total Active Service Connections		76,489	76,554

INCOME, EXPENSES, AND OTHER DATA
Adjusted to Exclude Non-Regulated Activity
 Calendar Year 2020

Name of Utility: SUBURBAN WATER SYSTEMS

Telephone: (626) 543-2500

INCOME/EXPENSES DATA		Annual Amount
1	Operating Revenues	\$ 87,025,058
2	Operating Expenses	\$ 52,323,917
3	Depreciation	\$ 10,687,380
4	Taxes	\$ 6,620,931
5	Income from Nonutility Operations (net)	\$ -
6	Interest on Long-Term Debt	\$ 4,391,696
7	Net Income	\$ 12,968,085
8		
9	OPERATING EXPENSES DATA	
10	Source of Supply Expense	\$ 20,425,884
11	Pumping Expenses	\$ 4,643,077
12	Water Treatment Expenses	\$ 933,910
13	Transmission and Distribution Expenses	\$ 2,618,101
14	Customer Account Expenses	\$ 2,083,833
15	Sales Expenses	\$ 305,336
16	Recycled Water Expenses	\$ -
17	Administrative and General Expenses	\$ 22,405,336
18	Miscellaneous	\$ (1,091,560)
19	Total Operating Expenses	\$ 52,323,917
20		
21	OTHER DATA	
22		
23	Active Service Connections (Exc. Fire Protect.) _____	Annual Average
24		
25	Metered Service Connections	75,275
26	Flat Rate Service Connections	1,247
27	Total Active Service Connections	76,522

Notes to Adjusted Selected Financial Data Due to Excluding of Non-Regulated Activities

Instructions: Provide reasons for each adjustment to the Selected Financial Data due to non-regulated activities. Detail this information by name of line item (Gross Plant, Operating Revenues, etc.), account number, dollar amount, and by point in time (end of year, beginning of year, or average).

1	California Corp. Franchise Tax, Account #50710,	\$	41,086	(end of year balance), to exclude state tax on non-tariff activity (i.e., non-regulated)
2	Federal Corporate Income Tax, Account #50720,	\$	88,957	(end of year balance), to exclude federal tax on non-tariff activity (i.e., non-regulated)
3	Other Operating and Nonoper. Income and (Exp.), Account #52600,	\$	464,769	(end of year balance), to exclude non-tariff activity (i.e., non-regulated)
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				

Excess Capacity and Non-Tariffed Services

NOTE: In D.00-07-018, D.03-04-028, and D. 04-12-023, the CPUC set forth rules and requirements regarding water utilities provision of non-tariffed services using excess capacity. These decisions require water utilities to: 1) file an advice letter requesting Commission approval of that service, 2) provide information regarding non-tariffed goods/services in each companies Annual Report to the Commission.

Based on the information and filings required in D.00-07-018, D.03-04-028, and D.04-12-023, provide the following information by each individual non-tariffed good and service provided in 2020:

Applies to All Non-Tariffed Goods/Services that require Approval by Advice Letter											
Row Number	Description of Non-Tariffed Goods/Services	Active or Passive	Total Revenue Derived from Non-tariffed Goods/ Services (by account)	Revenue Account Number	Total Expenses Incurred to Provide Non-tariffed Goods/ Services (by account)	Expense Account Number	Advice Letter and/or Resolution Number Approving Non-tariffed Goods/ Services	Total Income Tax Liability Incurred Because of Non-tariffed Goods/ Services (by account)	Income Tax Liability Account Number	Gross Value of Regulated Assets Used in the Provision of a Non-tariffed Goods/ Services (by account)	Regulated Asset Account Number
1	Residential Houeline Program	Active	\$ 574,523	52600=90% 61410=10%	\$ 37,103	52600	Approved by D.00-01-026	\$ 113,338	2280		
2	Antenna-Lease	Passive	\$ 83,621	52600=70% 61415=30%	N/A		Approved by D.00-01-026	\$ 13,695	2280		
3	Recycle Water	Passive	\$ 18,446	52600=70% 61415=30%	N/A		Approved by D.00-01-026	\$ 3,011	2280		
4											
5											
6											
7											
8											
9											
10											
11											
12											
13											
14											
15											
16											
17											
18											
19											
20											

GENERAL INFORMATION

1. Name under which utility is doing business: SUBURBAN WATER SYSTEMS
2. Official mailing address:
1325 N GRAND AVENUE, SUITE 100, COVINA, CALIFORNIA, 91724-4044
3. Name and title of person to whom correspondence should be addressed:
CRAIG GOTT, PRESIDENT Telephone: (626) 543-2500
4. Address where accounting records are maintained:
1325 N GRAND AVENUE, SUITE 100, COVINA, CALIFORNIA, 91724-4044
5. Service Area (Refer to district reports if applicable; IN OR NEAR COVINA, WEST COVINA, LA PUENTE, GLENDORA, HACIENDA HEIGHTS, WHITTIER, LA MIRADA, AND ADJACENT UNINCORPORATED AREAS IN LOS ANGELES COUNTY, AND BUENA PARK, LA HABRA, WALNUT, AND ADJACENT UNINCORPORATED AREAS IN ORANGE COUNTY)
6. Service Manager (If located in or near Service Area.) (Refer to district reports if applicable.)
Name: CRAIG GOTT, PRESIDENT Telephone: (626) 543-2500
Address: 1325 N GRAND AVENUE, SUITE 100, COVINA, CALIFORNIA, 91724-4044
7. OWNERSHIP. Check and fill in appropriate line:
 Individual (name of owner) _____
 Partnership (name of partner) _____
 Partnership (name of partner) _____
 Partnership (name of partner) _____
 Corporation (corporate name) SUBURBAN WATER SYSTEMS
Organized under laws of (state) CALIFORNIA Date: APRIL 15, 1907
- Principal Officers:
Name: CRAIG GOTT Title: PRESIDENT
Name: KIRK B. MICHAEL Title: CFO & TREASURER
Name: WILLIAM K. DIX Title: VP, GENERAL COUNSEL & SECRETARY
Name: _____ Title: _____
8. Names of associated companies: See attached
9. Names of corporations, firms or individuals whose property or portion of property have been acquired during the year, together with date of each acquisition:
Name: _____ Date: _____
Name: _____ Date: _____
10. Use the space below for supplementary information or explanations concerning this report:

11. List Name, Grade, and License Number of all Licensed Operators:
See attached

12. List Name, Address, and Phone Number of your company's external auditor:
Name: PricewaterhouseCoopers Telephone: (713) 356-4000
Address: 1201 Louisiana St., Suite 2900, Houston, TX 77002
13. This annual report was prepared by:
Name of firm or consultant: NONE
Address of firm or consultant: _____
Phone Number of firm or consultant: ()

SUBURBAN AFFILIATES

- 1) 1710 WOODCREEK FARMS, INC.
- 2) ALABAMA UTILITY SYSTEMS, INC.
- 3) CUC HOLDING COMPANY, INC.
- 4) FLORIDA UTILITY SYSTEMS, INC.
- 5) KIAWAH ISLAND UTILITY, INC.
- 6) METRO WATER SYSTEMS, INC.
- 7) MIDWAY WATER UTILITIES, INC.
- 8) MONARCH UTILITIES I L.P.
- 9) MONARCH UTILITIES, INC.
- 10) NEW MEXICO UTILITIES, INC.
- 11) NI AMERICA OPERATING, INC.
- 12) NI AMERICA TEXAS, LLC
- 13) NI SC ENVIRONMENTAL SERVICES, INC.
- 14) NI SOUTH CAROLINA, INC.
- 15) NI SOUTH CAROLINA UTILITIES, INC.
- 16) NORTHWEST UTILITY SYSTEMS, INC.
- 17) OREGON WATER UTILITIES CLINE BUTTE, INC.
- 18) OREGON WATER UTILITIES MOUNTAIN LAKES, INC.
- 19) OREGON WATER UTILITIES, INC.
- 20) PALMETTO UTILITIES, INC.
- 21) PALMETTO WASTEWATER RECLAMATION, INC.
- 22) SHELBY RIDGE UTILITY SYSTEMS LLC
- 23) SOUTH CAROLINA UTILITY SYSTEMS, INC.
- 24) SOUTH CAROLINA WATER UTILITIES - CUC, INC.
- 25) SOUTH CAROLINA WATER UTILITIES, INC.

Cert No.	Grade	First Name	Last Name
15203	T2	Alejandro	Rangel
42471	T1	Ashlee	Arriola
43080	T1	Arturo	Jauregui
40717	T2	Brian	Inman
24372	T4	Chris	Arrighi
29737	T2	Craig	Gott
18823	T2	Curtis	Hansen
3264	T2	Damon	Bingle
26742	T3	Daniel	Gonzalez
31842	T2	Darrin	Carbajal
36312	T2	David	Islas
26294	T3	David	Vaughn
33410	T1	Dominic	Luevano
32347	T2	Elias	Montoya
34511	T1	Eryk	Aguilar
26595	T2	Fernando	Hernandez
40482	T2	Francisco	Garcia
43319	T2	Frank	Reyes
35792	T2	Javier	Tripodi
20769	T2	Jayme	Schaefer
22952	T2	Jerry	Hoing
42899	T1	John	Dominguez
27179	T1	Johnny	Pestano
40866	T2	Jorge	Lopez
43621	T2	Jose	Macias
34503	T2	Kenneth	Reich
35913	T2	Laura	Sainz
22933	T1	Leonard	Gaskin
33174	T2	Luis	Preciado
43874	T1	Marco	Gomez
41746	T2	Martin	Cevallos
27770	T2	Matthew	Martinez
30295	T1	Michael	Chiraulo
32477	T2	Michael	Rodriguez
41184	T2	Miguel	Jimenez
9346	T2	Nicholas	Cafagno
21492	T1	Nora	Velarde
7359	T3	Norris	Fulfer
27538	T2	Omar	Ochoa
12917	T3	Pat	Pooler
38891	T2	Paul	Dimaggio
32303	T2	Phillip	Rodriguez
38871	T2	Ramiro	Garcia
18440	T2	Randy	Keefer
23818	T4	Rosalba	Magana
34872	T1	Steven	Alvarez
38045	T2	Steven	Beard
12233	T3	Thomas	Luczak
12722	T2	Tom	Medina
34197	T2	Victor	Franco
34029	T1	Victor	Mendoza
42600	T1	Zhaohui	Sun

Cert No.	Grade	First Name	Last Name
4851	D3	Alejandro	Rangel
52343	D1	Angela	Lopez
51667	D1	Ashlee	Arriola
48234	D2	Arturo	Jauregui
8928	D2	Brenda	Zuniga
37729	D2	Brian	Inman
50523	D1	Carlos	Farfan
14187	D5	Chris	Arrighi
34337	D3	Craig	Gott
8012	D4	Curtis	Hansen
8917	D3	Damon	Bingle
29009	D3	Daniel	Gonzalez
46263	D1	Daniel	Hernandez
34545	D3	Darrin	Carbajal
38544	D4	David	Islas
28240	D4	David	Vaughn
39543	D2	Dominic	Luevano
35756	D3	Elias	Montoya
53011	D1	Eric	Gutierrez
35802	D1	Eryk	Aguilar
52497	D2	Esmeralda	Aguirre
47746	D1	Felipe	Hernandez
27548	D3	Fernando	Hernandez
47090	D2	Francisco	Garcia
48660	D2	Frank	Reyes
27696	D1	Irene	Standi
48327	D1	Jacqueline	Olivares
42558	D3	Javier	Tripodi
7167	D3	Jayne	Schaefer
7762	D3	Jerry	Hoing
50492	D1	John	Dominguez
29195	D2	Johnny	Pestano
50940	D2	Jose	Macias
42291	D2	Kenneth	Reich
43083	D2	Laura	Sainz
38766	D1	Leonard	Gaskin
52390	D1	Lisa	Lozano
37614	D2	Luis	Preciado
52463	D2	Marco	Gomez
49781	D3	Martin	Cevallos
29191	D3	Matthew	Martinez
30741	D3	Michael	Chiraulo
36162	D2	Michael	Rodriguez
48319	D2	Miguel	Jimenez
44126	D1	Moises	Gonzalez
2849	D4	Nicholas	Cafagno
8199	D2	Nora	Velarde
1700	D4	Norris	Fulfer
30290	D3	Omar	Ochoa
8923	D2	Pam	Nolton
3698	D5	Pat	Pooler
47021	D5	Paul	Dimaggio
35764	D5	Phillip	Rodriguez
40962	D3	Ramiro	Garcia
6734	D4	Randy	Keefer
51928	D1	Raymond	Martinez
51646	D2	Richard	Gutierrez
37031	D1	Robert	Galvez
9395	D2	Rolshun	Monette
14586	D4	Rosalba	Magana
41182	D3	Steven	Alvarez
46240	D3	Steven	Beard
9616	D2	Tanger	Newman
3343	D4	Thomas	Luczak
4078	D5	Tom	Medina
37246	D3	Victor	Franco
33507	D4	Victor	Mendoza
45194	D2	Zhaohui	Sun

**SCHEDULE A
COMPARATIVE BALANCE SHEETS
Assets and Other Debits**

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)
1		I. UTILITY PLANT			
2	100	Utility Plant	A-1, A-1a	368,013,577	342,795,284
3	101	Recycled Water Utility Plant	A-1b	37,822	37,848
4	107	Utility Plant Adjustments	A-2	-	-
5		Total Utility Plant		\$ 368,051,399	\$ 342,833,132
6	250	Reserve for depreciation of utility plant	A-5	(125,404,497)	(117,053,746)
7	251	Reserve for amortization of limited term utility investments	A-5	(1,061,374)	(988,404)
8	252	Reserve for amortization of utility plant acquisition adjustments	A-5	34,568	33,068
9	259	Reserve for depreciation and amortization of recycled water utility plant	A-5	639	830
10		Total utility plant reserves		\$ (126,430,664)	\$ (118,008,252)
11		Total utility plant less reserves		\$ 241,620,735	\$ 224,824,880
12					
13		II. INVESTMENT AND FUND ACCOUNTS			
14	110	Other Physical Property	A-3	125,797	125,797
15	253	Reserve for depreciation and amortization of other property	A-5	(42,860)	(42,860)
16		Other physical property less reserve		\$ 82,937	\$ 82,937
17	111	Investments in Affiliated Companies	A-6	-	-
18	112	Other Investments	A-7	-	-
19	113	Sinking Funds	A-8	-	-
20	114	Miscellaneous Special Funds	A-9	-	-
21		Total investments and fund accounts		\$ 82,937	\$ 82,937
22					
23		III. CURRENT AND ACCRUED ASSETS			
24	120	Cash	A-10	256,081	500,870
25	121	Special Deposits	A-11	-	-
26	122	Working Funds	A-12	-	-
27	123	Temporary Cash Investments	A-13	-	-
28	124	Notes Receivable	A-14	-	-
29	125	Accounts Receivable	A-15	10,127,250	7,917,838
30	126	Receivables from Affiliated Companies	A-16	13,465,048	-
31	131	Materials and Supplies	A-17	487,349	574,038
32	132	Prepayments	A-18	5,873,060	9,059,612
33	133	Other Current and Accrued Assets	A-19	-	-
34		Total Current and Accrued Assets		\$ 30,208,788	\$ 18,052,358
35					
36		IV. DEFERRED DEBITS			
37	140	Unamortized Debt Discount and Expense	A-20	690,441	712,271
38	141	Extraordinary Property Losses	A-22	-	-
39	142	Preliminary Survey and Investigation Charges	A-23	-	-
40	143	Clearing Accounts	A-24	-	-
41	145	Other Work in Progress	A-25	64,037	29,725
42	146	Other Deferred Debits	A-26	17,392,976	18,035,415
43	147	Accumulated Deferred Income Tax Assets	A-27	8,276,929	5,577,269
44		Total Deferred Debits		\$ 26,424,383	\$ 24,354,680
45		Total Assets and Other Debits		\$ 298,336,843	\$ 267,314,855

**SCHEDULE A
COMPARATIVE BALANCE SHEETS
Liabilities and Other Credits**

Line No.	Acct.	Title of Account (a)	Schedule No. (b)	Balance End-of-Year (c)	Balance Beginning of Year (d)	
46		I. CORPORATE CAPITAL AND SURPLUS				
47	200	Common Capital Stock	A-30	745,380	745,380	
48	201	Preferred Capital Stock	A-30a	3,982,267	3,982,267	
49	202	Stock Liability for Conversion	A-31	-	-	
50	203	Premiums and Assessments on Capital Stock	A-32	126,550	126,550	
51	206	Subchapter S Corporation Accumulated Adjustments Account	A-33	-	-	
52	150	Discount on Capital Stock	A-28	-	-	
53	151	Capital Stock Expense	A-29	-	-	
54	270	Capital Surplus	A-34	27,289,621	4,881,844	
55	271	Earned Surplus	A-35	110,839,849	104,013,502	
56		Total Capital Stock		\$ 142,983,667	\$ 113,749,543	
57						
58		II. PROPRIETARY CAPITAL				
59	204	Proprietary Capital	A-36	-	-	
60	205	Undistributed Profits of Proprietorship or Partnership	A-37	-	-	
61		Total Proprietary Capital		\$ -	\$ -	
62						
63		III. LONG-TERM DEBT				
64	210	Bonds	A-38	90,000,000	90,000,000	
65	211	Receivers' Certificates	A-39	-	-	
66	212	Advances from Affiliated Companies	A-40	-	-	
67	213	Miscellaneous Long-Term Debt	A-41	-	-	
68		Total Long-Term Debt		\$ 90,000,000	\$ 90,000,000	
69						
70		IV. CURRENT AND ACCRUED LIABILITIES				
71	220	Notes Payable	A-43	-	-	
72	221	Notes Receivable Discounted	A-44	-	-	
73	222	Accounts Payable	A-45	2,766,605	1,140,396	
74	223	Payables to Affiliated Companies	A-46	-	3,961,711	
75	224	Dividends Declared	A-47	-	-	
76	225	Matured Long-Term Debt	A-48	-	-	
77	226	Matured Interest	A-49	-	-	
78	227	Customers' Deposits	A-50	561,434	876,773	
79	228	Taxes Accrued	A-53	(25,396)	(18,645)	
80	229	Interest Accrued	A-51	813,836	823,707	
81	230	Other Current and Accrued Liabilities	A-52	10,345,919	5,907,464	
82		Total Current and Accrued Liabilities		\$ 14,462,398	\$ 12,691,406	
83						
84		V. DEFERRED CREDITS				
85	240	Unamortized Premium on Debt	A-21	-	-	
86	241	Advances for Construction	A-54	8,038,461	8,228,860	
87	242	Other Deferred Credits	A-55	(1,331,634)	1,301,780	
88		Total Deferred Credits		\$ 6,706,827	\$ 9,530,640	
89						
90		VI. ACCUMULATED DEFERRED TAXES				
	266	Accumulated Deferred Income Taxes - Accelerated Tax Depreciation	A-58	-	-	
	267	Accumulated Deferred Income Taxes - Other	A-59	21,863,422	20,171,652	
	268	Accumulated Deferred Investment Tax Credits	A-60	72,795	89,834	
		Total Accumulated Deferred Taxes		\$ 21,936,217	\$ 20,261,486	
91						
92		VII. RESERVES				
91	254	Reserve for Uncollectible Accounts	A-56	1,571,171	305,946	
92	255	Insurance Reserve	A-56	-	-	
93	256	Injuries and Damages Reserve	A-56	-	-	
94	257	Employees' Provident Reserve	A-56	-	-	
95	258	Other Reserves	A-56	-	-	
96		Total Reserves		\$ 1,571,171	\$ 305,946	
97						
98		VIII. CONTRIBUTIONS IN AID OF CONSTRUCTION				
99	265	Contributions in Aid of Construction	A-57	20,676,563	20,775,834	
100		Total Liabilities and Other Credits		\$ 298,336,843	\$ 267,314,855	

SCHEDULE B
Income Statement for the Year

Line No.	Acct.	Account (a)	Schedule Page No. (b)	Amount (c)
1		I. UTILITY OPERATING INCOME		
2	501	Operating Revenues	B-1	87,025,058
3				
4		Operating Revenue Deductions:		
5	502	Operating Expenses	B-2	52,323,917
6	503	Depreciation	A-5	10,687,380
7	504	Amortization of Limited-term Utility Investments	A-5	72,971
8	505	Amortization of Utility Plant Acquisition Adjustments	A-5	(1,500)
9	506	Property Losses Chargeable to Operations	B-3	-
10	507	Taxes	B-4	6,750,974
11		Total Operating Revenue Deductions		69,833,742
12		Net Operating Revenues		17,191,316
13	508	Income from Utility Plant Leased to Others	B-6	-
14	510	Rent for Lease of Utility Plant	B-7	-
15		Total Utility Operating Income		17,191,316
16				
17		II. OTHER INCOME		
18	521	Income from Nonutility Operations (Net)	B-8	-
19	522	Revenue from Lease of Other Physical Property	B-9	-
20	523	Dividend Revenues	B-10	-
21	524	Interest Revenues	B-11	103,341
22	525	Revenues from Sinking and Other Funds	B-12	-
23	526	Miscellaneous Nonoperating Revenues	B-13	464,769
24	527	Nonoperating Revenue Deductions	B-14	(2,544)
25		Total Other Income		565,566
26		Net Income before Income Deductions		17,756,882
27				
28		III. INCOME DEDUCTIONS		
29	530	Interest on Long-term Debt	B-15	4,391,696
30	531	Amortization of Debt Discount and Expense	B-16	81,346
31	532	Amortization of Premium on Debt - Cr.	B-17	-
32	533	Taxes Assumed on Interest	B-18	-
33	534	Interest on Debt to Affiliated Companies	B-19	(177,237)
34	535	Other Interest Charges	B-20	-
35	536	Interest Charged to Construction - Cr.	B-21	-
36	537	Miscellaneous Amortization	B-22	-
37	538	Miscellaneous Income Deductions	B-23	158,266
38		Total Income Deductions		4,454,071
39		Net Income		13,302,811
40				
41		IV. DISPOSITION OF NET INCOME		
42	540	Miscellaneous Reservations of Net Income	B-24	-
43				
44		Balance transferred to Earned Surplus or		\$ 13,302,811
45		Proprietary Accounts scheduled on pages 32-33		

SCHEDULE A-1
Account 100 - Utility Plant

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	(Retirements) During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1	100.1	Utility Plant in Service (Schedule A-1a)	329,993,701	23,181,781	(612,345)		\$ 352,563,137
2	100.2	Utility Plant Leased to Others	-				\$ -
3	100.3	Construction Work in Progress	10,984,040	26,357,471		(23,366,405)	\$ 13,975,106
4	100.4	Utility Plant Held for Future Use	1,881,583			(342,209)	\$ 1,539,374
5	100.5	Utility Plant Acquisition Adjustments	(64,040)				\$ (64,040)
6	100.6	Utility Plant in Process of Reclassification					\$ -
7		Total utility plant	\$ 342,795,284	\$ 49,539,252	\$ (612,345)	\$ (23,708,614)	\$ 368,013,577

SCHEDULE A-1a
Account 100.1 - Utility Plant in Service

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	(Retirements) During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		I. INTANGIBLE PLANT					
2	301	Organization	24,996				\$ 24,996
3	302	Franchises and Consents	8,040				\$ 8,040
4	303	Other Intangible Plant	3,268,426	1,677,500			\$ 4,945,926
5		Total Intangible Plant	\$ 3,301,462	\$ 1,677,500	\$ -	\$ -	\$ 4,978,962
6							
7		II. LANDED CAPITAL					
8	306	Land and Land Rights	\$ 1,073,663	\$ 66,890			\$ 1,140,553
9							
10		III. SOURCE OF SUPPLY PLANT					
11	311	Structures and Improvements	-				\$ -
12	312	Collecting and Impounding Reservoirs	-				\$ -
13	313	Lake, River and Other Intakes	-				\$ -
14	314	Springs and Tunnels	-				\$ -
15	315	Wells	10,813,578	26,950			\$ 10,840,528
16	316	Supply Mains	7,133,950				\$ 7,133,950
17	317	Other Source of Supply Plant	253,491		-		\$ 253,491
18		Total Source of Supply Plant	\$ 18,201,019	\$ 26,950	\$ -	\$ -	\$ 18,227,969
19							
20		IV. PUMPING PLANT					
21	321	Structures and Improvements	10,226,870	396,796			\$ 10,623,666
22	322	Boiler Plant Equipment	-				\$ -
23	323	Other Power Production Equipment	-				\$ -
24	324	Pumping Equipment	20,946,586	533,715	(33,563)		\$ 21,446,738
25	325	Other Pumping Plant	254,376				\$ 254,376
26		Total Pumping Plant	\$ 31,427,832	\$ 930,511	\$ (33,563)	\$ -	\$ 32,324,780
27							
28		V. WATER TREATMENT PLANT					
29	331	Structures and Improvements	1,187,462	481,207			\$ 1,668,669
30	332	Water Treatment Equipment	4,789,056	301,850	(500)		\$ 5,090,406
31		Total Water Treatment Plant	\$ 5,976,518	\$ 783,057	\$ (500)	\$ -	\$ 6,759,075

SCHEDULE A-1a
Account 100.1 - Utility Plant in Service (Continued)

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	(Retirements) During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
32		VI. TRANSMISSION AND DIST. PLANT					
33	341	Structures and Improvements	425,298	45,170			\$ 470,468
34	342	Reservoirs and Tanks	41,791,411	3,272,789	(22,605)		\$ 45,041,595
35	343	Transmission and Distribution Mains	126,210,934	6,961,929	(63,865)		\$ 133,108,998
36	344	Fire Mains	-				\$ -
37	345	Services	42,016,731	4,064,691	(171,943)		\$ 45,909,479
38	346	Meters	10,218,835	1,863,649	(259,688)		\$ 11,822,796
39	347	Meter Installations	1,132,047	214,492			\$ 1,346,539
40	348	Hydrants	12,865,655	1,385,327	(32,965)		\$ 14,218,017
41	349	Other Transmission and Distribution Plant	-				\$ -
42		Total Transmission and Distribution Plant	\$ 234,660,911	\$ 17,808,047	\$ (551,066)	\$ -	\$ 251,917,892
43							
44		VII. GENERAL PLANT					
45	371	Structures and Improvements	21,275,997	761,400	(13,091)		\$ 22,024,306
46	372	Office Furniture and Equipment	2,162,908	95,243			\$ 2,258,151
47	373	Transportation Equipment	3,562,098	361,556	(7,413)		\$ 3,916,241
48	374	Stores Equipment	-				\$ -
49	375	Laboratory Equipment	-				\$ -
50	376	Communication Equipment	4,860,412	389,653	(6,712)		\$ 5,243,353
51	377	Power Operated Equipment	75,008				\$ 75,008
52	378	Tools, Shop and Garage Equipment	1,223,343	82,250			\$ 1,305,593
53	379	Other General Plant					\$ -
54	381	GIS Mapping Facilities	2,192,530	198,724			\$ 2,391,254
55		Total General Plant	\$ 35,352,296	\$ 1,888,826	\$ (27,216)	\$ -	\$ 37,213,906
56							
57		VIII. UNDISTRIBUTED ITEMS					
58	390	Other Tangible Property					\$ -
59	391	Utility Plant Purchased					\$ -
60	392	Utility Plant Sold					\$ -
61		Total Undistributed Items	\$ -	\$ -	\$ -	\$ -	\$ -
62		Total Utility Plant in Service	\$ 329,993,701	\$ 23,181,781	\$ (612,345)	\$ -	\$ 352,563,137

SCHEDULE A-1b
Account 101 - Recycled Water Utility Plant

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	(Retirements) During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1	3461	Recycle Meter	37,848	2,351	(2,377)		\$ 37,822
2							\$ -
3							\$ -
4			\$ 37,848	\$ 2,351	\$ (2,377)	\$ -	\$ 37,822

SCHEDULE A-1c
Account 302 - Franchises and Consents

Line No.	Name of Original Grantor (a)	Date of Grant (b)	Term in Years (c)	Date of Acquisition by Utility (d)	Balance End of Year ¹ (e)
1	City of Whittier	10/12/10	25	9/20/1930	5,621
2	City of Industry	8/13/59	50	6/25/1959	251
3	City of La Puente	9/27/60	50	9/27/1960	478
4	County of Los Angeles	10/29/20	15	11/28/1980	1,690
5				Total	\$ 8,040

¹ The total should agree with the balance at the end of the year in Account 302 in Schedule A-1a Line 3.

SCHEDULE A-1d				
Account 100.4 - Utility Plant Held for Future Use				
Line No.	Description and Location of Property (a)	Date of Acquisition (b)	Approximate Date When Property Will be Placed in Service (c)	Balance End of Year (d)
1	Cadiz Valley Project	6/11	2023	125,000
2	Walnut Creek on Willow Ave	12/14	2021	887
3	Larimore & Cadwell - Services Replacement	2/15	2023	1,761
4	California & Vine - Services Replacement	2/15	2023	11,160
5	Beckner & Orange - Services Replacement	2/15	2023	4,698
6	Jacqueline and Kimberly Service Replacement	11/17	2023	4,647
7	Water Main Study	06/18	2021	229,932
8	Orange and Francisquito Ave Pipeline Replacement	4/18	2023	29,313
9	Ruthcrest and Frandale Ave Pipeline Replacement	9/18	2023	20,777
10	Plant 128 Reservoir and Pump Station Replacement	6/18	2022	47,957
11	SCADA System Masterplan	8/18	2021	47,777
12	Winton Slipline Project	9/19	2022	827
13	Plant 165 Generator	09/20	2022	12,344
14	Plant 506 Generator	09/20	2022	12,310
15	Covina Hills Reliability	09/20	2022	20,754
16	Senadale & Humbord Pipeline	09/20	2022	538
17	Mulvane & Vanderwell Pipeline	09/20	2021	33,676
18	Plant 121 Reservoir R2 Rehab	12/20	2021	979
19	Cheshire Street Pipeline Replacement	7/17	2023	9,263
20	Watkins Drive and Pescados Dr. Pipeline	7/17	2023	7,412
21	1st Avenue from Whittier Blvd to Lisco Street	10/17	2023	8,812
22	Plant 217 Slope Stability Project	7/18	2023	97,726
23	Orsa and Mart Drive Pipeline Replacement	11/18	2023	18,209
24	Dunton Drive and Laurel Ave Pipeline Replacement	11/18	2023	10,301
25	Cullman Ave between Lisco and Lashburn Pipeline Replacement	11/18	2023	10,259
26	1st Ave and Lashburn St. Pipeline Replacement	5/19	2023	54,330
27	Alleyway Pipeline Replacement Between Colima and Villaverde	5/19	2021	26,424
28	La Pluma and Pastranan Pipeline Replacement	5/19	2023	66,674
29	Plant 413 Electrical Upgrades	02/20	2021	32,707
30	Emergency Back Up Power Supply Generators	9/19	2022	107,000
31	La Mirada Wells Treatment	9/19	2023	406,104
32	Valley View Relocations	9/19	2023	4,438
33	Plant 239 Generator	09/20	2022	13,661
34	Foxley & Shoemaker Pipeline	09/20	2021	7,440
35	Ben Hur & Light Pipeline	09/20	2021	3,550
36	Lanning & Gunn Pipeline	10/20	2021	49,727
Total				\$ 1,539,374

SCHEDULE A-2			
Account 107 - Utility Plant Adjustments			
Line No.	Description of Utility Plant Adjustments (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-3			
Account 110 - Other Physical Property			
Line No.	Name and Description of Property (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Land, Structures, Fences, Landscape, etc.	99,196	99,196
2	Other	26,601	26,601
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15	Total	\$ 125,797	\$ 125,797

**SCHEDULE A-4
RATE BASE AND WORKING CASH**

Line No.	Acct.	Title of Account (a)	Balance 12/31/2020 (c)	Balance 1/1/2020 (d)
		RATE BASE		
1		TOTAL COMPANY		
2		Utility Plant	352,536,919	329,967,509
3		Construction Work in Progress	13,975,106	10,984,040
4		Materials and Supplies	487,349	574,038
5		Working Cash	(7,120,564)	(133,078)
6		Total Gross Plant (=Line 2 + Line 3 + Line 4 + Line 5)	359,878,810	341,392,509
7				
8		LESS DEDUCTIONS FROM RATE BASE		
9		Reserve for Depreciation	125,403,858	117,052,916
10		Unamortized Investment Tax Credits	72,795	89,834
11		Contributions in Aid of Construction	14,192,147	14,657,478
12		Contributions in Aid of Construction - Cal Trans	6,484,416	6,118,356
13		Advances for Construction	8,038,461	8,228,860
14		Accumulated Deferred Federal Income Taxes, Depreciation Timing Differences	12,293,342	12,415,995
15		Accumulated Deferred Taxes Associated with Taxable Advances for Construction	(36,173)	(69,270)
16		Accumulated Deferred Taxes Associated with Taxable CIAC	(2,635,770)	-
17		Pension Reserve	-	-
18		Accumulated Deferred Taxes, Taxable C.I.A.C Gross-Up	1,516,390	-
19		Unamortized Deferred Revenues, Taxable C.I.A.C.	25,926	483
20		Accumulated Deferred Income Taxes - Pension Reserve		
21		Accumulated Deferred Taxes Associated with Interest During Construction (IDC)	(1,996,012)	(1,782,689)
22		Accumulated Deferred Taxes Associated with Amortization of Interest During Construction	452,057	361,681
23		TCJA - Cumulative Unprotected IDC and Other (per AL 337-W)	43,596	
24		TCJA - Protected Excess Deferred Items (per AL 337-W)	(634,991)	
25		SUBTOTAL DEDUCTIONS	163,220,042	157,073,644
26				
27		TOTAL RATE BASE	196,658,768	184,318,865



Working Cash				
28		Determination of Operational Cash Requirement		
29		Operating Expenses, Excluding Taxes, Depreciation & Uncollectible	52,169,086	46,945,716
30		Purchased Power & Commodity for Resale*	3,365,984	2,335,369
31		Meter Revenues: Monthly Billing	84,524,674	78,279,888
32		Other Revenues: Flat Rate Monthly Billing	2,500,384	2,956,774
33		Total Revenues (=Line 31 + Line 32)	87,025,058	81,236,662
34		Ratio - Flat Rate to Total Revenues (=Line 32 / Line 33)	3%	3%
35		5/24 x Line 29 x (100% - Line 34)	10,542,503	9,486,947
36		1/24 x Line 29 x Line 34	65,211	58,682
37		1/12 x Line 30	280,499	194,614
38		Operational Cash Requirement (=Line 35 + Line 36 - Line 37)	10,327,215	9,351,015
		* Electric power, gas or other fuel purchased for pumping and/or purchased commodity for resale billed after receipt (metered).		
		Working cash per D. 14-12-038 workpapers	(7,120,564)	(133,078)

SCHEDULE A-5
Accounts 250, 251, 252, 253, 259 - Depreciation and Amortization Reserves

Line No.	Item (a)	Account 250 Utility Plant (b)	Account 251 Limited-Term Utility Investments (c)	Account 252 Utility Plant Acquisition Adjustments (d)	Account 253 Other Property (e)	Account 259 Recycled Water Utility Plant (f)
1	Balance in reserves at beginning of year	\$ 117,053,746	\$ 988,404	\$ (33,068)	\$ 42,860	\$ (830)
2	Add: Credits to reserves during year					
3	(a) Charged to Account 503 ⁽¹⁾	11,764,336				2,568
	(b) Charged to Account 504 ⁽¹⁾		72,970			
	(c) Charged to Account 505 ⁽¹⁾			(1,500)		
4	(d) Charged to Account 265					
5	(e) Charged to clearing accounts					
6	(f) Salvage recovered	26,235				
7	(g) All other credits ⁽²⁾					
8	Total credits	\$ 11,790,571	\$ 72,970	\$ (1,500)	\$ -	\$ 2,568
9	Deduct: Debits to reserves during year					
10	(a) Book cost of property retired	(612,345)				(2,377)
11	(b) Cost of removal	(2,827,475)				
12	(c) All other debits ⁽³⁾					
13	Total debits	\$ (3,439,820)	\$ -	\$ -	\$ -	\$ (2,377)
14	Balance in reserve at end of year	\$ 125,404,497	\$ 1,061,374	\$ (34,568)	\$ 42,860	\$ (639)
15						
16	(1) COMPOSITE DEPRECIATION RATE USED FOR STRAIGHT LINE REMAINING LIFE:					3.449%
17						
18	(2) EXPLANATION OF ALL OTHER CREDITS:					
19	None					
20						
21						
22						
23						
24						
25						
26						
27	(3) EXPLANATION OF ALL OTHER DEBITS:					
28	None					
29						
30						
31						
32						
33						
34						
35	METHOD USED TO COMPUTE INCOME TAX DEPRECIATION:					
36	(a) Straight Line	()				
37	(b) Liberalized	()				
38	(1) Double declining balance	()				
39	(2) ACRS	()				
40	(3) MACRS	()				DDB with change over to Straight-line (pre 1982 assets)
41	(4) Others	()				ACRS (1982 - 1986 assets)
42	(c) Both straight line and liberalized	(X)				Macrs (1987 - Present)

SCHEDULE A-5a

Account 250 - Analysis of Entries in Depreciation Reserve

(This schedule is to be completed if records are maintained showing depreciation reserve by plant accounts)

Line No.	Acct.	DEPRECIABLE PLANT (a)	Balance Beginning of Year (b)	Credits to Reserve During Year Excl. Salvage (c)	Debits to Reserves (Dr.) During Year Excl. Cost Removal (d)	Salvage and Cost of Removal Net (Dr.) or Cr. (e)	Balance End of Year (f)
1		I. SOURCE OF SUPPLY PLANT					
2	311	Structures and Improvements					\$ -
3	312	Collecting and Impounding Reservoirs					\$ -
4	313	Lake, river and Other Intakes					\$ -
5	314	Springs and Tunnels					\$ -
6	315	Wells	4,684,691	406,989		(4,438)	\$ 5,087,242
7	316	Supply Mains	4,561,290	153,950			\$ 4,715,240
8	317	Other Source of Supply Plant	241,556	3,780			\$ 245,336
9		Total Source of Supply Plant	\$ 9,487,537	\$ 564,719	\$ -	\$ (4,438)	\$ 10,047,818
10							
11		II. PUMPING PLANT					
12	321	Structures and Improvements	3,581,959	335,158			\$ 3,917,117
13	322	Boiler Plant Equipment	-				\$ -
14	323	Other Power Production Equipment	-				\$ -
15	324	Pumping Equipment	11,009,028	1,014,431	(33,563)	(6,968)	\$ 11,982,928
16	325	Other Pumping Plant	127,715	11,574			\$ 139,289
17		Total Pumping Plant	\$ 14,718,702	\$ 1,361,163	\$ (33,563)	\$ (6,968)	\$ 16,039,334
18							
19		III. WATER TREATMENT PLANT					
20	331	Structures and Improvements	516,589	39,417			\$ 556,006
21	332	Water Treatment Equipment	3,128,843	194,926	(499)		\$ 3,323,270
22		Total Water Treatment Plant	\$ 3,645,432	\$ 234,343	\$ (499)	\$ -	\$ 3,879,276
23							
24		IV. TRANS. AND DIST. PLANT					
25	341	Structures and Improvements	301,196	17,974			\$ 319,170
26	342	Reservoirs and Tanks	7,708,628	1,042,328	(22,605)	(5,368)	\$ 8,722,983
27	343	Transmission and Distribution Mains	43,101,357	2,992,111	(63,865)	(1,193,163)	\$ 44,836,440
28	344	Fire Mains	-				\$ -
29	345	Services	18,109,897	3,059,757	(171,943)	(1,212,478)	\$ 19,785,233
30	346	Meters	3,287,988	745,113	(259,688)	(147,936)	\$ 3,625,477
31	347	Meter Installations	330,514	79,582			\$ 410,096
32	348	Hydrants	6,226,105	467,986	(32,965)	(234,558)	\$ 6,426,568
33	349	Other Transmission and Distribution Plant	-				\$ -
34		Total Transmission and Distribution Plant	\$ 79,065,685	\$ 8,404,851	\$ (551,066)	\$ (2,793,503)	\$ 84,125,967
35							
36		V. GENERAL PLANT					
37	371	Structures and Improvements	1,400,560	564,375	(13,091)	(3,745)	\$ 1,948,099
38	372	Office Furniture and Equipment	1,599,421	37,828			\$ 1,637,249
39	373	Transportation Equipment	1,344,480	281,385	(7,414)	7,414	\$ 1,625,865
40	374	Stores Equipment	-				\$ -
41	375	Laboratory Equipment	-				\$ -
42	376	Communication Equipment	3,546,353	177,485	(6,712)		\$ 3,717,126
43	377	Power Operated Equipment	60,420	10,537			\$ 70,957
44	378	Tools, Shop and Garage Equipment	1,022,742	118,106			\$ 1,140,848
45	379	Other General Plant	-				\$ -
46	390	Other Tangible Property	1,162,414	9,544			\$ 1,171,958
47	391	Water Plant Purchased	-				\$ -
48		Total General Plant	\$ 10,136,390	\$ 1,199,260	\$ (27,217)	\$ 3,669	\$ 11,312,102
49		Total	\$ 117,053,746	\$ 11,764,336	\$ (612,345)	\$ (2,801,240)	\$ 125,404,497

SCHEDULE A-6
Account 111 - Investments in Affiliated Companies

Line No.	Class of Security (a)	Name of Issuing Company (b)	Balance Beginning of Year (c)	Balance End of Year (d)	Rate of Interest (e)	Interest Accrued During Year (f)	Interest and Dividends Received During Year (g)
1		NONE					
2							
3							
4							
5							
6							
7			\$ -	\$ -		\$ -	\$ -

SCHEDULE A-7
Account 112 - Other Investments

Line No.	Name and Description of Property (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5			
6			
7			
8			
9	Total	\$ -	\$ -

SCHEDULE A-8
Account 113 - Sinking Funds

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	NONE					\$ -
2						\$ -
3						\$ -
4						\$ -
5						\$ -
6						\$ -
7						\$ -
8						\$ -
9		\$ -	\$ -	\$ -	\$ -	\$ -

SCHEDULE A-9
Account 114 - Miscellaneous Special Funds

Line No.	Name of Fund (a)	Balance Beginning of Year (b)	Additions During Year		Deductions During Year (e)	Balance End of Year (f)
			Principal (c)	Income (d)		
1	NONE					\$ -
2						\$ -
3						\$ -
4						\$ -
5						\$ -
6						\$ -
7						\$ -
8						\$ -
9	Total	\$ -	\$ -	\$ -	\$ -	\$ -

SCHEDULE A-10
Account 120 - Cash

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	Cash	500,870	256,081
2			
3			
4			
5	Total	\$ 500,870	\$ 256,081

SCHEDULE A-11
Account 121 - Special Deposits

Line No.	Name of Depository (a)	Purpose of Deposit (b)	Balance Beg of Year (c)	Balance End of Year (d)
1	NONE			
2				
3				
4				
5				
6	Total		\$ -	\$ -

**SCHEDULE A-12
Account 122 - Working Funds**

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

**SCHEDULE A-13
Account 123 - Temporary Cash Investments**

Line No.	Description of Items (a)	Balance Beg of Year	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

**SCHEDULE A-14
Account 124 - Notes Receivable**

Line No.	Maker (a)	Date of Issue (b)	Date Payable (c)	Balance Beg of Year (d)	Balance End of Year (e)	Interest Rate (f)	Interest Accrued During Year (g)	Interest Received During Year (h)
1				NONE				
2								
3								
4								
5								
6	Total			\$ -	\$ -		\$ -	\$ -

**SCHEDULE A-15
Account 125 - Accounts Receivable**

Line No.	Acct.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	125-1	Accounts Receivable - Customers	6,371,764	9,924,000
2	125-2	Other Accounts Receivable	1,546,074	203,250
3				
4				
5	Total		\$ 7,917,838	\$ 10,127,250

SCHEDULE A-16
Account 126 - Receivables from Affiliated Companies

Line No.	Due from Whom (a)	Balance Beginning of Year (b)	Balance End of Year (c)	Interest Rate (d)	Interest Accrued During Year (e)	Interest Received During Year (f)
1	SouthWest Water	-	13,465,048	3.73%	183,661	183,661
2						
3						
4						
5						
6						
7						
8						
9						
10	Total	\$ -	\$ 13,465,048		\$ 183,661	\$ 183,661

SCHEDULE A-17
Account 131 - Materials and Supplies

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	131.1 Materials and Supplies - Utility	574,038	487,349
2			
3			
4			
5	Total	\$ 574,038	\$ 487,349

SCHEDULE A-18
Account 132 - Prepayments

Line No.	Item (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Security Deposit - La Mirada Office	12,808	12,808
2	Rent Advance - Covina Main Office	21,103	21,103
3	Main San Gabriel Basin Water Storage	1,254,479	1,252,039
4	California Domestic Water Company	1,226,263	-
5	Covina Irrigating 2018-2019	3,433,995	-
6	City Of Whittier Lease MSGB	3,050,438	4,489,179
7	Prepaid Contract & Misc.	60,526	97,931
8			
9		-	-
10		-	-
	Total	\$ 9,059,612	\$ 5,873,060

SCHEDULE A-19
Account 133 - Other Current and Accrued Assets

Line No.	Item (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1			
2			
3	NONE		
4			
5			
6			
7			
8			
9			
10	Total	\$ -	\$ -

SCHEDULE A-20
Account 140 - Unamortized Debt Discount and Expense

Line No.	Designation of long-term debt (a)	Principal amount of securities to which discount and expense, relates (b)	Total discount and expense (c)	AMORTIZATION PERIOD		Balance beginning of year (f)	Debits during year (g)	Credits during year (h)	Balance end of year (i)
				From- (d)	To- (e)				
1									\$ -
2	Series B	8,000,000	384,066	2/20/1992	2/20/2022	27,476		(12,804)	\$ 14,672
3									\$ -
4	Series D	15,000,000	764,013	10/24/2004	10/24/2024	183,575		(38,201)	\$ 145,374
5									\$ -
6	Series E	10,000,000	222,684	10/20/2006	10/20/2026	75,774		(11,134)	\$ 64,640
7									\$ -
8	Series F	25,000,000	233,094	9/30/2015	9/30/2035	183,804		(11,670)	\$ 172,134
9									\$ -
10	Series G	32,000,000	301,483	12/11/2019	12/15/2059	241,642	59,516	(7,537)	\$ 293,621
11									\$ -
12									\$ -
13									\$ -
14									\$ -
15									\$ -
16									\$ -
17									\$ -
18						\$ 712,271	\$ 59,516	\$ (81,346)	\$ 690,441

SCHEDULE A-21
Account 240 - Unamortized Premium on Debt

Line No.	Designation of long-term debt (a)	Principal amount of securities to which premium minus expense, relates (b)	Total Net Premium (c)	AMORTIZATION PERIOD		Balance beginning of year (f)	Debits during year (g)	Credits during year (h)	Balance end of year (i)
				From- (d)	To- (e)				
1									\$ -
2									\$ -
3	NONE								\$ -
4									\$ -
5									\$ -
6									\$ -
7									\$ -
8									\$ -
9									\$ -
10									\$ -
11									\$ -
12									\$ -
13									\$ -
14									\$ -
15									\$ -
16									\$ -
17									\$ -
18						\$ -	\$ -	\$ -	\$ -

SCHEDULE A-22
Account 141 - Extraordinary Property Losses

Line No.	Description of Property Loss or Damage (a)	Total Amount of Loss (b)	Previously Written off (c)	Balance Beg of Year (d)	Written Off During Year		Balance End of year (g)
					Account Charged (e)	Amount (f)	
1	NONE						\$ -
2							\$ -
3							\$ -
4	Total	\$ -	\$ -	\$ -		\$ -	\$ -

SCHEDULE A-23
Account 142 - Preliminary Survey and Investigation Charges

Line No.	Description of Charges (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-24
Account 143 - Clearing Accounts

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-25
Account 145 - Other Work in Progress

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	Other Work in Progress - reimbursable cost to repair damage property	29,725	64,037
2			
3			
4			
5	Total	\$ 29,725	\$ 64,037

SCHEDULE A-26
Account 146 - Other Deferred Debits

Line No.	Item (a)	Balance Beg of Year (b)	Balance End of year (c)
1	Miscellaneous-Other Deferred Debits	601,559	579,559
2	Investments - Co Bank	415,001	447,001
3	Regulatory Assets (FAS 109, Tax Rate)	8,708,317	9,526,662
4	Rate Case Expenses/Regulatory Related	7,360,697	5,757,705
5	Accrued Vacation	949,841	1,082,049
6			
7	Total	\$ 18,035,415	\$ 17,392,976

SCHEDULE A-27
Account 147 - Accumulated Deferred Income Tax Assets

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	Interest During Construction Capitalized (Federal)	1,027,748	1,027,578
2	Reserves (Federal & State)	272,706	442,910
3	Advances & CIAC 1987 to 2020 (Federal)	1,141,127	2,583,142
4	Advances & CIAC 1992 to 2020 (State)	392,829	1,046,526
5	Gross-up tax rate change (Federal)	1,601,397	1,445,831
6	CIAC Deposits - Federal (project not completed)	782,838	1,187,116
7	CIAC Deposits - State (project not completed)	358,624	543,826
8	Total	\$ 5,577,269	\$ 8,276,929

SCHEDULE A-28
Account 150 - Discount on Capital Stock

- Report the balance at end of year of discount on capital stock for each class and series of capital stock.
- If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off during the year and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance Beg of Year (b)	Balance End of year (c)
1	NONE		
2			
3	Total	\$ -	\$ -

SCHEDULE A-29
Account 151- Capital Stock Expense

- Report the balance at end of year of capital stock expense for each class and series of capital stock.
- If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off of capital stock expense and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance Beg of Year (b)	Balance End of year (c)
1	NONE		
2			
3	Total	\$ -	\$ -

SCHEDULE A-30
Account 200 - Common Capital Stock

Line No.	Class of Stock (a)	Number of Shares Authorized by Articles of Incorporation (b)	Par Value of Stock Authorized by Articles of Incorporation (c)	Number of Shares Outstanding ¹ (d)	Balance Beg of Year (e)	Balance End of Year (f)	Dividends Declared During Year	
							Rate (g)	Amount (h)
1	Common Stock	500,000	\$ 5.00	149,076	745,380	745,380	10.5780	6,307,672
2								
3								
4								
5								
6	Total				\$ 745,380	\$ 745,380		\$ 6,307,672

¹ After deduction for amount of reacquired stock held by or for the respondent.

SCHEDULE A-30a
Account 201 - Preferred Capital Stock

Line No.	Class of Stock (a)	Number of Shares Authorized by Articles of Incorporation (b)	Par Value of Stock Authorized by Articles of Incorporation (c)	Number of Shares Outstanding ¹ (d)	Balance Beg of Year (e)	Balance End of Year (f)	Dividends Declared During Year	
							Rate (g)	Amount (h)
1	Series A - Preferred	100,000	\$ 50.00	43,843.83	2,192,192	2,192,192	0.6563	115,090
2	Series B - Preferred	80,000	\$ 50.00	35,801.50	1,790,075	1,790,075	0.3750	53,702
3								
4								
5								
6	Total				\$ 3,982,267	\$ 3,982,267		\$ 168,792

¹ After deduction for amount of reacquired stock held by or for the respondent.

SCHEDULE A-30b
Record of Stockholders at End of Year

Line No.	COMMON STOCK Name (a)	Number Shares (b)	PREFERRED STOCK Name (c)	Number Shares (d)
1	SouthWest Water Company	149,076	SouthWest Water Company	43,843.83
2			SouthWest Water Company	35,801.50
3				
4				
5				
6				
7				
8				
9	Total number of shares	149,076	Total number of shares	79,645.33

SCHEDULE A-31
Account 202 - Stock Liability for Conversion

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-32
Account 203 - Premiums and Assessments on Capital Stock

Line No.	Class of Stock (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	Common Stock	126,550	126,550
2			
3			
4			
5			
6			
7			
8			
9	Total	\$ 126,550	\$ 126,550

SCHEDULE A-33
Account 206 - Subchapter S Corporation Accumulated Adjustments Account

Line No.	Description of Items (a)	Amount (b)
1	NONE	
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		\$ -

SCHEDULE A-34
Account 270 - Capital Surplus (For use by Corporations only)

Line No.	Item (a)	Amount (b)
1	Balance beginning of year	4,881,844
2	CREDITS (Give nature of each credit and state account charged)	
3	27020 - Equity Contribution	22,407,777
4		
5	Total credits	\$ 22,407,777
6	DEBITS (Give nature of each debit and state account credited)	
7		
8		
9		
10	Total debits	\$ -
11	Balance end of year	\$ 27,289,621

SCHEDULE A-35
Account 271 - Earned Surplus (For use by Corporations only)

Line No.	Acct	Account (a)	Amount (b)
1		Balance beginning of year	\$ 104,013,502
2		CREDITS	
3	400	Credit balance transferred from income account	13,302,811
4	401	Miscellaneous credits to surplus (specify)	
5			
6		Total credits	\$ 13,302,811
7		DEBITS	
8	410	Debit balance transferred from income account	-
9	411	Dividend appropriations-preferred stock	168,792
10	412	Dividend appropriations-Common stock	6,307,672
11	413	Miscellaneous reservations of surplus	
12	414	Miscellaneous debits to surplus (specify)	
13			
14		Total debits	\$ 6,476,464
15		Balance end of year	\$ 110,839,849

SCHEDULE A-36
Account 204 - Proprietary Capital
(Sole Proprietor or Partnership)

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	
2	CREDITS	NONE
3	Net income for year	
4	Additional investments during year	
5	Other credits (specify)	
6		
7	Total credits	\$ -
8	DEBITS	
9	Net loss for year	
10	Withdrawals during year	
11	Other debits (specify)	
12		
13	Total debits	\$ -
14	Balance end of year	\$ -

SCHEDULE A-37
Account 205 - Undistributed Profits
of Proprietorship or Partnership
 (The use of this account is optional)

Line No.	Item (a)	Amount (b)
1	Balance Beginning of year	
2	CREDITS	NONE
3	Net income for year	
4	Other credits (specify)	
5		
6	Total credits	\$ -
7	DEBITS	
8	Net loss for year	
9	Withdrawals during year	
10	Other debits (specify)	
11		
12	Total debits	\$ -
13	Balance end of year	\$ -

SCHEDULE A-38
Account 210 - Bonds

Line No.	Class of Bond (a)	Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Balance Beg of Year (e)	Balance End of Year (f)	Rate of Interest (g)	Sinking Fund Added in Current Year (h)	Cost of issuance (i)	Interest Accrued During Year (j)	Interest Paid During Year (k)
1	Series B	2/20/92	2/20/22	8,000,000	8,000,000	8,000,000	9.09%			727,200	727,200
2	Series D	10/19/04	10/1/24	15,000,000	15,000,000	15,000,000	5.64%			846,000	846,000
3	Series E	10/20/06	10/20/26	10,000,000	10,000,000	10,000,000	6.30%			629,496	629,500
4	Series F	9/30/15	9/30/35	25,000,000	25,000,000	25,000,000	4.42%			1,105,000	1,105,000
5	Series G	12/11/19	12/15/59	32,000,000	32,000,000	32,000,000	3.70%			1,184,000	1,193,867
6											
7											
8	Total				\$ 90,000,000	\$ 90,000,000		\$ -	\$ -	\$ 4,491,696	\$ 4,501,567

SCHEDULE A-39
Account 211 - Receivers' Certificates

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-40
Account 212 - Advances from Affiliated Companies

Line No.	Nature of Obligation (a)	Balance Beg of Year (b)	Balance End of Year (c)	Rate of Interest (d)	Interest Accrued During Year (e)	Interest Paid During Year (f)
1	NONE					
2						
3						
4						
5	Total	\$ -	\$ -		\$ -	\$ -

SCHEDULE A-41
Account 213 - Miscellaneous Long-Term Debt

Line No.	Nature of Obligation (a)	Date of Issue (b)	Date of Maturity (c)	Balance Beg of Year (d)	Balance End of Year (e)	Rate of Interest (f)	Interest Accrued During Year (g)	Interest Paid During Year (h)
1	NONE							
2								
3								
4								
5								
6								
7								
8	Total			\$ -	\$ -		\$ -	\$ -

SCHEDULE A-42
Securities Issued or Assumed During Year

Line No.	Class of Security (a)	Commission Authorization (b)	Amount Issued During Year (omit cents) (c)	Discount or Premium (d)	Expenses (e)
1	NONE				
2					
3					
4					
5	Total		\$ -	\$ -	\$ -

SCHEDULE A-43
Account 220 - Notes Payable

Line No.	In Favor of (a)	Date of Issue (b)	Date of Maturity (c)	Balance Beg of Year (d)	Balance End of Year (e)	Rate of Interest (f)	Interest Accrued During Year (g)	Interest Paid During Year (h)
1								
2								
3	NONE							
4								
5								
6								
7			Total	\$ -	\$ -		\$ -	\$ -

SCHEDULE A-44
Account 221 - Notes Receivable Discounted

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1			
2	NONE		
3			
4			
5		Total	\$ -

SCHEDULE A-45
Account 222 - Accounts Payable

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	Accrued Accounts Payable	726,731	2,294,280
2	PUC Use Fees Accrual	268,487	331,051
3	Audit Accrual	97,736	88,977
4	City Utility User Tax Accrual	47,442	52,296
5		Total	\$ 1,140,396

SCHEDULE A-46
Account 223 - Payables to Affiliated Companies

Line No.	Nature of Obligation (a)	Balance Beg of Year (b)	Balance End of Year (c)	Rate of Interest (d)	Interest Accrued During Year (e)	Interest Paid During Year (f)
1	Southwest Water	3,961,711	-			
2						
3						
4						
5						
6						
7		Total	\$ 3,961,711	\$ -	\$ -	\$ -

SCHEDULE A-47
Account 224 - Dividends Declared

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-48
Account 225 - Matured Long-Term Debt

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-49
Account 226 - Matured Interest

Line No.	Description of Items (a)	Balance Beg of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-50
Account 227 - Customers' Deposits

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Customers' Deposit	876,773	561,434
2			
3			
4			
5	Total	\$ 876,773	\$ 561,434

SCHEDULE A-51
Account 229 - Interest Accrued

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	229-1 Interest Accrued on Long-Term Debt	823,707	813,836
2			
3			
4			
5	Total	\$ 823,707	\$ 813,836

SCHEDULE A-52
Account 230 - Other Current and Accrued Liabilities

Line No.	Description (a)	Balance Beginning of Year (a)	Balance End of Year (b)
1	Volume Related	1,530,558	6,060,930
2	Accrued Salaries	1,487,829	1,277,238
3	WIP Retention	620,348	363,371
4	Legal Costs	42,810	771
5	Franchise Fees Payable	2,281,262	2,669,988
6	Unclaimed Refund Contract Payments	18,090	35,854
7	Deferred Rent - Current	19,573	30,807
8	CA-Dept of Public Health	(93,006)	(93,040)
9			
10			
11			
12			
13			
14			
15			
16	Total	\$ 5,907,464	\$ 10,345,919

SCHEDULE A-53
Account 228 - Taxes Accrued

1. This schedule is intended to give particulars of the combined prepaid and accrued tax accounts and to show the total taxes charged to operations and other accounts during the year. Do not include gasoline and other sales taxes which have been charged to the accounts to which the material on which the tax was levied and charged.
2. Taxes, paid during the year and charged direct to final accounts, that is, not charged to prepaid or accrued taxes, should be included in the schedule. Enter the amounts both in columns (d) and (e). The balancing of the schedule is not affected by the inclusion of these taxes.
3. Taxes charged during the year, column (d), include taxes charged to operations and other accounts through (a) accruals credited to taxes accrued, (b) amounts credited to prepaid taxes for proportions of prepaid taxes chargeable to current year, and (c) taxes paid and charged direct to operations or accounts other than accrued and prepaid tax accounts.
4. If any tax covers more than 1 year, the required information of all columns should be shown separately for each year.
5. Enter all adjustments of the accrued and prepaid tax accounts in column (f) and explain each adjustment. Designate debit adjustments by parentheses.
6. See schedule entitled "TAXES CHARGED DURING YEAR," page 51, for a distribution of taxes charged, columns (c) - (f) according to utility departments and accounts.
7. **Do not include in this schedule entries with respect to deferred income taxes or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.**

Line No.	Kind of Tax (See Instruction 5) (a)	BALANCE BEGINNING OF YEAR		Taxes Charged During Year (d)	Paid During Year (e)	Adjustments (f)	BALANCE END OF YEAR	
		Taxes Accrued (b)	Prepaid Taxes (c)				Taxes Accrued (Account 228) (g)	Prepaid Taxes (Incl. in Acct. 132) (h)
1	Taxes on real and personal property	(18,645)	-	1,945,989	2,032,233	79,493	(25,396)	-
2	State corporation franchise tax			1,141,228	1,141,228			
3	State unemployment insurance tax			57,418	57,418			
4	Other state and local taxes							
5	Federal unemployment insurance tax			4,963	4,963			
6	Fed. ins. contr. act (old age retire.)			868,429	868,429			
7	Other federal taxes							
8	Federal income taxes			2,806,816	2,806,816			
9	Licenses							
10	Miscellaneous							
11								
12								
13								
14	Total	\$ (18,645)	\$ -	\$ 6,824,843	\$ 6,911,087	\$ 79,493	\$ (25,396)	\$ -

(1) Fed. & State income tax on CIAC & Advances

SCHEDULE A-54
Account 241 - Advances for Construction

Line No.	Description (a)	Amount (b)
1	Balance beginning of year	8,228,860
2	Additions during year	86,490
2a	Gross-up Tax	19,582
3	Subtotal - Beginning balance plus additions during year	\$ 8,334,932
4	Charges during year	
5	Refunds:	
6	Percentage of revenue basis	
7	Proportionate cost basis	294,235
8	Present worth basis	2,236
9	Total refunds	\$ 296,471
10	Transfers to Acct 265 - Contributions in Aid of Construction	
11	Due to expiration of contracts	
12	Due to present worth discount	
13	Total transfers to Acct. 265	\$ -
14	Securities Exchanged for Contracts (enter detail below)	
15	Subtotal - Charges during year	\$ 296,471
16	Balance end of year	\$ 8,038,461

If stock, bonds, etc., were issued in exchange for construction advance contracts give details below:

Line No.	Type of Security or Other Consideration (Other than Cash) (a)	Dividend or Interest Rate (b)	PUC Decision Number (c)	Amount Issued (d)
17	Common stock			-
18	Preferred stock			-
19	Bonds			-
20	Other (describe)			-
21				
22				

SCHEDULE A-55
Account 242 - Other Deferred Credits

Line No.	Item (a)		Balance Beginning of Year (b)	Balance End of Year (c)
1	Deferred Revenue (CIAC) 242-30		1,585	-
2	Developer Deposits 242-00		2,225,745	2,293,294
3	Lessee Deposits 242-40		20,000	20,000
4	Long-term Refund Contracts Unclaimed 242-89		19,361	21,597
5	Long-term Unclaimed Checks Payable 242-90		7,719	13,263
6	Deferred Rent Non Current 242-50		41,096	-
7a	FASB 109 Federal Reg Liability 242-98		7,455,148	7,421,213
7b	FASB 109 State Reg Liability 242-99		923,997	1,152,022
7c	Balancing Account 242-00		(48,196,967)	(55,853,229)
7d	Balancing Account Contra 242-00		36,856,363	42,850,559
7e	Low Income/Water Conservation 242-00		(1,143,789)	(1,143,789)
7f	Reg Liab-Other 242-95		1,474,660	1,267,985
7g	Reg Liab - Drought Surcharge 242-95		1,616,862	625,451
	Total		\$ 1,301,780	\$ (1,331,634)

SCHEDULE A-56
Accounts 254 to 258, Inclusive - Miscellaneous Reserves

Line No.	Account (a)	Balance Beginning of Year (b)	DEBITS		CREDITS		Balance End of Year (g)
			Nature of Items (c)	Amount (d)	Account Charged (e)	Amount (f)	
1	25400	305,946		102,550		1,367,775	\$ 1,571,171
2							\$ -
3							\$ -
4							\$ -
5							\$ -
6							\$ -
7	Total	\$ 305,946		\$ 102,550		\$ 1,367,775	\$ 1,571,171

SCHEDULE A-57
Account 265 - Contributions in Aid of Construction

Line No.	Item (a)	Total All Columns (b)	Contamination Proceeds 265-1 to 265-6		Other 265-7	
			Depreciable (e)	Non-Depreciable (f)	Depreciable (c)	Non-Depreciable (d)
1	Balance beginning of year	\$ 20,775,834			20,513,044	262,790
2	Add: Credits to account during year					
3	Contributions received during year	\$ 980,253			980,253	
4	Other credits	\$ -			-	
5	Total credits	\$ 980,253	\$ -	\$ -	\$ 980,253	\$ -
6	Deduct: Debits to account during year					
7	Depreciation charges for year	\$ 1,079,524			1,079,524	
8	Nondepreciable donated property retired	\$ -				
9	Other debits	\$ -				
10	Total debits	\$ 1,079,524	\$ -	\$ -	\$ 1,079,524	\$ -
11	Balance end of year	\$ 20,676,563	\$ -	\$ -	\$ 20,413,773	\$ 262,790

SCHEDULE A-58
Account 266 - Accumulated Deferred Income Taxes - Accelerated Tax Depreciation

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	NONE		
2			
3			
4			
5	Total	\$ -	\$ -

SCHEDULE A-59
Account 267 - Accumulated Deferred Income Taxes Liabilities

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Accumulated Depreciation	9,107,019	9,493,492
2	Loss on Assets Retired	371,333	380,240
3	Flow-through Depreciation - Pre 1982	3,066,742	3,450,848
4	Balancing Account	2,381,526	2,730,560
5	Gains on Condemnations Federal	127,625	104,420
6	State Flow-through Depreciation & Other	5,112,372	5,676,624
7	Federal & State Other	5,035	27,238
8	Total	\$ 20,171,652	\$ 21,863,422

SCHEDULE A-60
Account 268 - Accumulated Deferred Investment Tax Credits

Line No.	Description of Items (a)	Balance Beginning of Year (b)	Balance End of Year (c)
1	Deferred ITC (Unamortized Investments Tax Credits-ITC)	89,834	72,795
2			
3			
4			
5	Total	\$ 89,834	\$ 72,795

SCHEDULE B-1
Account 501 - Operating Revenues

Line No.	Acct.	ACCOUNT (a)	Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
1		I. WATER SERVICE REVENUES			
2	601	Metered Sales to General Customers			
3		601-1.1 Residential Sales	62,509,705	57,383,549	\$ 5,126,156
4		601-1.2 Residential Low Income Discount (Debit)			\$ -
5		601-2 Commercial Sales	16,371,187	15,670,528	\$ 700,659
6		601-3 Industrial Sales	1,769,792	1,476,998	\$ 292,794
7		601-4 Sales to Public Authorities	3,873,990	3,748,813	\$ 125,177
8		Sub-total	\$ 84,524,674	\$ 78,279,888	\$ 6,244,786
9	602	Unmetered Sales to General Customers			
10		602-1.1 Residential Sales			\$ -
11		602-1.2 Residential Low Income Discount (Debit)			\$ -
12		602-2 Commercial Sales			\$ -
13		602-3 Industrial Sales			\$ -
14		602-4 Sales to Public Authorities			\$ -
15		Sub-total	\$ -	\$ -	\$ -
16	603	Sales to Irrigation Customers			
17		603.1 Metered sales			\$ -
18		603.2 Flat Rate Sales			\$ -
19		Sub-total	\$ -	\$ -	\$ -
20	604	Private Fire Protection Service	1,488,981	1,423,499	\$ 65,482
21	605	Public Fire Protection Service	-	-	\$ -
22	606	Sales to Other Water Utilities for Resale	39,913	38,490	\$ 1,423
23	607	Sales to Governmental Agencies by Contracts			\$ -
24	608	Interdepartmental Sales			\$ -
25	609	Other Sales or Service			\$ -
26		Sub-total	\$ 1,528,894	\$ 1,461,989	\$ 66,905
27		Total Water Service Revenues	\$ 86,053,568	\$ 79,741,877	\$ 6,311,691
28		II. OTHER WATER REVENUES			
29	610	Customer Surcharges			\$ -
30	611	Miscellaneous Service Revenues	208,985	355,007	\$ (146,022)
31	612	Rent from Water Property	4,800	7,800	\$ (3,000)
32	613	Interdepartmental Rents	-	-	\$ -
33	614	Other Water Revenues	(199,406)	318,125	\$ (517,531)
34	615	Recycled Water Revenues	957,111	813,853	\$ 143,258
35		Total Other Water Revenues	\$ 971,490	\$ 1,494,785	\$ (523,295)
36	501	Total operating revenues	\$ 87,025,058	\$ 81,236,662	\$ 5,788,396

SCHEDULE B-1a
Operating Revenues Apportioned to Cities and Towns

Line No.	Location (a)	Operating Revenues (b)
31	Operations not within incorporated cities ¹	
32	Los Angeles County	22,246,110
33	Orange County	118,276
34		
35	Operations within incorporated territory	
36	City or town of West Covina	24,837,449
37	City or town of La Puente	4,116,934
38	City or town of Glendora	1,722,293
39	City or town of Industry	1,085,676
40	City or town of Walnut	3,474,861
41	City or town of Whittier	13,601,723
42	City or town of La Mirada	14,771,375
43	City or town of Covina	509,248
44	City or town of La Habra	494,996
45	City or town of Buena Park	46,117
46	Total	\$ 87,025,058

¹ Should be segregated to operating districts.

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
			A	B	C			
1		I. SOURCE OF SUPPLY EXPENSE						
2		Operation						
3	701	Operation supervision and engineering	A	B			\$ -	
4	701	Operation supervision, labor and expenses			C		\$ -	
5	702	Operation labor and expenses	A	B			\$ -	
6	703	Miscellaneous expenses	A			4,465,962	1,332,502	\$ 3,133,460
7	704	Purchased water	A	B	C	15,959,922	15,154,041	\$ 805,881
8		Maintenance						
9	706	Maintenance supervision and engineering	A	B			\$ -	
10	706	Maintenance of structures and facilities			C		\$ -	
11	707	Maintenance of structures and improvements	A	B			\$ -	
12	708	Maintenance of collect and impound reservoirs	A				\$ -	
13	708	Maintenance of source of supply facilities		B			\$ -	
14	709	Maintenance of lake, river and other intakes	A				\$ -	
15	710	Maintenance of springs and tunnels	A				\$ -	
16	711	Maintenance of wells	A			-	-	\$ -
17	712	Maintenance of supply mains	A				\$ -	
18	713	Maintenance of other source of supply plant	A	B			\$ -	
19		Total source of supply expense				\$ 20,425,884	\$ 16,486,543	\$ 3,939,341
20		II. PUMPING EXPENSES						
21		Operation						
22	721	Operation supervision and engineering	A	B			\$ -	
23	721	Operation supervision labor and expense			C		\$ -	
24	722	Power production labor and expenses	A				\$ -	
25	722	Power production labor, expenses and fuel		B			\$ -	
26	723	Fuel for power production	A				\$ -	
27	724	Pumping labor and expenses	A	B		569,210	313,358	\$ 255,852
28	725	Miscellaneous expenses	A				\$ -	
29	726	Fuel or power purchased for pumping	A	B	C	3,365,984	2,335,369	\$ 1,030,615
30		Maintenance						
31	729	Maintenance supervision and engineering	A	B			\$ -	
32	729	Maintenance of structures and equipment			C		\$ -	
33	730	Maintenance of structures and improvements	A	B			\$ -	
34	731	Maintenance of power production equipment	A	B			\$ -	
35	732	Maintenance of power pumping equipment	A	B		707,883	685,386	\$ 22,497
36	733	Maintenance of other pumping plant	A	B			\$ -	
37		Total pumping expenses				\$ 4,643,077	\$ 3,334,113	\$ 1,308,964

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
			A	B	C			
38		III. WATER TREATMENT EXPENSES						
39		Operation						
40	741	Operation supervision and engineering	A	B			\$ -	
41	741	Operation supervision, labor and expenses			C		\$ -	
42	742	Operation labor and expenses	A			923,682	835,746	\$ 87,936
43	743	Miscellaneous expenses	A	B			\$ -	
44	744	Chemicals and filtering materials	A	B		(14,439)	106,514	\$ (120,953)
45		Maintenance						
46	746	Maintenance supervision and engineering	A	B			\$ -	
47	746	Maintenance of structures and equipment			C		\$ -	
48	747	Maintenance of structures and improvements	A	B			\$ -	
49	748	Maintenance of water treatment equipment	A	B		24,667	11,909	\$ 12,758
50		Total water treatment expenses				\$ 933,910	\$ 954,169	\$ (20,259)
51		IV. TRANS. AND DIST. EXPENSES						
52		Operation						
53	751	Operation supervision and engineering	A	B			\$ -	
54	751	Operation supervision, labor and expenses			C		\$ -	
55	752	Storage facilities expenses	A			159,558	205,578	\$ (46,020)
56	752	Operation labor and expenses		B			\$ -	
57	753	Transmission and distribution lines expenses	A				\$ -	
58	754	Meter expenses	A				\$ -	
59	755	Customer installations expenses	A				\$ -	
60	756	Miscellaneous expenses	A				\$ -	
61		Maintenance						
62	758	Maintenance supervision and engineering	A	B			\$ -	
63	758	Maintenance of structures and plant			C		\$ -	
64	759	Maintenance of structures and improvements	A	B			\$ -	
65	760	Maintenance of reservoirs and tanks	A	B		19,934	23,980	\$ (4,046)
66	761	Maintenance of trans. and distribution mains	A			1,878,882	1,112,607	\$ 766,275
67	761	Maintenance of mains		B			\$ -	
68	762	Maintenance of fire mains	A				\$ -	
69	763	Maintenance of services	A			513,126	364,686	\$ 148,440
70	763	Maintenance of other trans. and distribution plant		B			\$ -	
71	764	Maintenance of meters	A			36,481	254,383	\$ (217,902)
72	765	Maintenance of hydrants	A			10,120	108,143	\$ (98,023)
73	766	Maintenance of miscellaneous plant	A				\$ -	
74		Total transmission and distribution expenses				\$ 2,618,101	\$ 2,069,377	\$ 548,724

SCHEDULE B-2

Account 502 - Operating Expenses - For Class A, B, and C Water Utilities (Continued)

Respondent should use the group of accounts applicable to its class

Line No.	Acct.	Account (a)	Class			Amount Current Year (b)	Amount Preceding Year (c)	Net Change During Year Show Decrease in (Parenthesis) (d)
			A	B	C			
75		V. CUSTOMER ACCOUNT EXPENSES						
76		Operation						
77	771	Supervision	A	B			\$ -	
78	771	Superv., meter read., other customer acct expenses			C		\$ -	
79	772	Meter reading expenses	A	B		405,857	377,300	\$ 28,557
80	773	Customer records and collection expenses	A			1,523,145	1,530,196	\$ (7,051)
81	773	Customer records and accounts expenses		B				\$ -
82	774	Miscellaneous customer accounts expenses	A					\$ -
83	775	Uncollectible accounts	A	B	C	154,831	136,513	\$ 18,318
84		Total customer account expenses				\$ 2,083,833	\$ 2,044,009	\$ 39,824
85		VI. SALES EXPENSES						
86		Operation						
87	781	Supervision	A	B				\$ -
88	781	Sales expenses			C			\$ -
89	782	Demonstrating selling expenses	A					\$ -
90	783	Advertising expenses	A			305,336	434,176	\$ (128,840)
91	784	Miscellaneous, jobbing and contract work	A					\$ -
92	785	Merchandising, jobbing and contract work	A					\$ -
93		Total sales expenses				\$ 305,336	\$ 434,176	\$ (128,840)
94		VII. RECYCLED WATER EXPENSES						
95		Operation and Maintenance						
96	786	Recycled water operation and maint. expenses						\$ -
97		Total recycled water expenses				\$ -	\$ -	\$ -
98		VIII. ADMIN. AND GENERAL EXPENSES						
99		Operation						
100	791	Administrative and general salaries	A	B	C	4,813,172	4,565,923	\$ 247,249
101	792	Office supplies and other expenses	A	B	C	1,632,840	1,472,606	\$ 160,234
102	793	Property insurance	A			542,610	280,584	\$ 262,026
103	793	Property insurance, injuries and damages		B	C			\$ -
104	794	Injuries and damages	A			460,200	338,922	\$ 121,278
105	795	Employees' pensions and benefits	A	B	C	4,169,119	4,241,141	\$ (72,022)
106	796	Franchise requirements	A	B	C	1,020,630	944,795	\$ 75,835
107	797	Regulatory commission expenses	A	B	C	1,458,959	1,313,329	\$ 145,630
108	798	Outside services employed	A			237,875	624,444	\$ (386,569)
109	798	Miscellaneous other general expenses		B				\$ -
110	798	Miscellaneous other general operation expenses			C			\$ -
111	799	Miscellaneous general expenses	A			7,910,949	246,517	\$ 7,664,432
112		Maintenance						
113	805	Maintenance of general plant	A	B	C	158,982	154,102	\$ 4,880
114		Total administrative and general expenses				\$ 22,405,336	\$ 14,182,363	\$ 8,222,973
115		XI. MISCELLANEOUS						
116	810	Customer surcredits						\$ -
117	811	Rents	A	B	C	642,752	624,939	\$ 17,813
118	812	Administrative expenses transferred - Cr.	A	B	C	(1,734,312)	(1,596,050)	\$ (138,262)
119	813	Duplicate charges - Cr.	A	B	C			\$ -
119a	901	Charges by associated companies, clearing	A			-	7,942,868	\$ (7,942,868)
119b	902	Stores expense, clearing	A					\$ -
119c	903	Transportation expense, clearing	A			-	588,683	\$ (588,683)
119d	906	Tools and work equipment, clearing	A			-	17,039	\$ (17,039)
120		Total miscellaneous				\$ (1,091,560)	\$ 7,577,479	\$ (8,669,039)
121		Total operating expenses				\$ 52,323,917	\$ 47,082,229	\$ 5,241,688

SCHEDULE B-3
Account 506 - Property Losses Chargeable to Operations

Line No.	Description (a)	Amount
1	NONE	
2		
3		
4		
5		
6		
7		
8	Total	\$ -

SCHEDULE B-4
Account 507 - Taxes Charged During Year

1. This schedule is intended to give the account distribution of total taxes charged to operations and other final accounts during the year.
2. Do not include gasoline and other sales taxes which have been charged to accounts to which the material on which the tax was levied was charged. If the actual or estimated amounts of such taxes are known, they should be shown as a footnote and designated whether estimated or actual amounts.
3. The accounts to which taxes charged were distributed should be shown in columns (c) to (f). Show both the utility department and number of account charged except for taxes capitalized.
4. For any tax which it was necessary to apportion to more than one utility department or account, state in a footnote the basis of apportioning such tax.
5. The total taxes charged as shown in column (b) should agree with the amounts shown by column (d) of schedule entitled "Accrued and Prepaid Taxes," page 39.
6. Do not include in this schedule entries with respect to deferred income taxes, or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.

Line No.	Kind of Tax (a)	Total Taxes Charged During Year (b)	DISTRIBUTION OF TAXES CHARGED (Show utility department where applicable and account charged)			
			Water (Account 507) (c)	Nonutility (Account 521) (d)	Other (Account -----) (e)	Capitalized (Omit Account) (f)
1	Federal corporate income taxes	\$ 2,806,816	2,806,816			
2	California corporate franchise taxes	\$ 1,141,228	1,141,228			
3	Property taxes	\$ 1,945,989	1,943,445		2,544	
4	Other taxes	\$ -				
5	State unemployment insurance tax	\$ 57,418	57,418			
6	Federal unemployment insurance tax	\$ 4,963	4,963			
7	Federal insurance contributions act	\$ 868,429	868,429			
8	Payroll taxes capitalized	\$ -	(71,325)			71,325
9	Accrued payroll taxes	\$ -				
10		\$ -				
11		\$ -				
12		\$ -				
13		\$ -				
14	Total	\$ 6,824,843	\$ 6,750,974	\$ -	\$ 2,544	\$ 71,325

SCHEDULE B-5

Reconciliation of Reported Net Income With Taxable Income for Federal Taxes

1. Report hereunder a reconciliation of reported net income for the year with taxable income used in computing Federal income tax accruals and show computation of such tax accruals. The reconciliation shall be submitted even though there is no taxable income for the year. Descriptions should clearly indicate the nature of each reconciling amount.
2. If the utility is a member of a group which files a consolidated Federal tax return, reconcile reported net income with taxable net income as if a separate return were to be filed, indicating, however, inter-company amounts to be eliminated in such consolidated return. State names of group members, tax assigned to each group member, and basis of allocation, assignment, or sharing of the consolidated tax among the group members.
3. Show taxable year if other than calendar year from-----to-----.

Line No.	Particulars (a)	Amount (b)
1	Net income for the year per Schedule B, page 19	\$ 13,302,811
2	Reconciling amounts (list first additional income and unallowable deductions, followed by additional	
3	deductions for non-taxable income):	
4	Federal income taxes	2,806,816
5	State income taxes	1,141,228
6	Taxable income not recorded on books:	
7	Income from deferral of gains on sales of properties	110,498
8	Tax deductions not recorded on books:	
9	Amortization of Contributions	(61,180)
10	State tax (Priv. 2018) California	(964,314)
11	Reversal of Property Tax Benefit	-
12	Flow-through depreciation	(1,444,969)
13	Normalized depreciation - 1982 and forward	(1,840,347)
14	IDC - capitalized interest	(811)
15	Repayment of advances including gross up	(5,260)
16	CIAC Utility Plant (excludes amortization) and CIAC Gross Up	2,619,911
17	Tax Basis Gain (Loss) on Retirement of Assets	(42,412)
18	Book income not recorded on return:	
19	Amortization of deferred revenue associated with contributions	(19,542)
20	Book expenses not deducted on return	(1,550,037)
21		
22		
23		
24		
25		
26		
27		
28		
29		
30	Federal tax net income	\$ 14,052,392
31	Computation of tax:	
32	Federal ta: Calculated Tax	2,951,002
33	Federal tax rate based on 21%*	-
34	Amortization of ITC on '82-89 Assets	(17,039)
35	Tax per return	\$ 2,933,963

SCHEDULE B-6
Account 508 - Income from Utility Plant Leased to Others

Line No.	Acct.	Description of Items (a)	Amount (b)
1			
2			
3		NONE	
4			
5		Total	\$ -

SCHEDULE B-7
Account 510 - Rent for Lease of Utility Plant

Line No.	Description of Items (a)	Amount (b)
1		
2		
3	NONE	
4		
5	Total	\$ -

SCHEDULE B-8
Account 521 - Income from Nonutility Operations

Line No.	Description (a)	Revenue (b)	Expenses (c)	Net Income (d)
1				\$ -
2				\$ -
3	NONE			\$ -
4				\$ -
5	Totals	\$ -	\$ -	\$ -

SCHEDULE B-9
Account 522 - Revenues from Lease of Other Physical Property

Line No.	Description of Items (a)	Amount (b)
1		
2		
3	NONE	
4		
5	Total	\$ -

SCHEDULE B-10
Account 523 - Dividend Revenues

Line No.	Description of Items (a)	Amount (b)
1		
2		
3	NONE	
4		
5	Total	\$ -

SCHEDULE B-11
Account 524 - Interest Revenues

Line No.	Description of Items (a)	Amount (b)
1	Interest Income	103,341
2		
3		
4		
5	Total	\$ 103,341

SCHEDULE B-12
Account 525 - Revenues from Sinking and Other Funds

Line No.	Description of Items (a)	Amount (b)
1		
2		
3	NONE	
4		
5	Total	\$ -

SCHEDULE B-13
Account 526 - Miscellaneous Nonoperating Revenues

Line No.	Description (a)	Amount (b)
1	Misc. Non-Operating Expense (Revenues)	(464,769)
2		
3		
4		
5		
6		
7		
8	Total	\$ (464,769)

SCHEDULE B-14
Account 527 - Nonoperating Revenue Deductions

Line No.	Description (a)	Amount (b)
1	AD Valorem Tax Expense	2,544
2		
3		
4		
5	Total	\$ 2,544

SCHEDULE B-15
Account 530 - Interest on Long-Term Debt

Line No.	Description of Items (a)	Amount (b)
1	Interest Expense - Long Term Debt	4,391,696
2		
3		
4		
5	Total	\$ 4,391,696

SCHEDULE B-16
Account 531 - Amortization of Debt Discount and Expense

Line No.	Description of Items (a)	Amount (b)
1	Amortization of Debt Issue Cost	81,346
2		
3		
4		
5	Total	\$ 81,346

SCHEDULE B-17
Account 532 - Amortization of Premium on Debt - Cr.

Line No.	Description of Items (a)	Amount (b)
1		
2		
3	NONE	
4		
5	Total	\$ -

SCHEDULE B-18
Account 533 - Taxes Assumed on Interest

Line No.	Description of Items (a)	Amount (b)
1		
2		
3	NONE	
4		
5	Total	\$ -

SCHEDULE B-19
Account 534 - Interest on Debt to Affiliated Companies

Line No.	Description of Items (a)	Amount (b)
1	Intercompany Interest Expense (Income)	(177,237)
2		
3		
4		
5	Total	\$ (177,237)

SCHEDULE B-20
Account 535 - Other Interest Charges

Line No.	Description (a)	Amount (b)
1		
2		
3	NONE	
4		
5	Total	\$ -

SCHEDULE B-21
Account 536 - Interest Charged to Construction - Cr.

Line No.	Description of Items (a)	Amount (b)
1		
2		
3	NONE	
4		
5	Total	\$ -

SCHEDULE B-22
Account 537 - Miscellaneous Amortization

Line No.	Description of Items (a)	Amount (b)
1		
2		
3	NONE	
4		
5	Total	\$ -

SCHEDULE B-23
Account 538 - Miscellaneous Income Deductions

Line No.	Description (a)	Amount (b)
1	Donations	44,488
2	Political Contributions- California Water Association, California Chamber of Commerce,	8,800
3	Other Expenses	104,978
4		
5		
	Total	158,266

SCHEDULE B-24
Account 540 - Miscellaneous Reservations of Net Income

Line No.	Description of Items (a)	Amount (b)
1		
2		
3	NONE	
4		
5	Total	\$ -

SCHEDULE C-1

Engineering and Management Fees and Expenses, etc., During Year

Line No.	Give the required particulars of all contracts or other agreements in effect in the course of the year between the respondent and any corporation, association, partnership or person covering supervision and/or management of any department of the respondents affairs such as accounting, engineering, financing, construction or operation, and show the payments under such agreements and also the payments for advice and services to a corporation or corporations which directly or indirectly control respondent through stock ownership		
1	Did the respondent have a contract or other agreement with any organization or person covering supervision and/or management of its own affairs during the year? Answer: Yes <u> X </u> No _____		
2	Name of each organization or person that was a party to such a contract or agreement Suburban Water Systems & SouthWest Water Company		
3	Date of original contract or agreement.	<u> July 14, 1993 </u>	
4	Date of each supplement or agreement.	<u> None </u>	
	Note: File with the report a copy of every contract, agreement, supplement or amendment mentioned above unless a copy of the instrument in due form has been furnished in which case a definite reference to the report of the respondent relative to which it was furnished will suffice.		
5	Amount of compensation paid during the year for supervision or management:		<u> \$8,283,188 </u>
6	To whom paid:	<u> SouthWest Water Company </u>	
7	Nature of payment (salary, traveling expenses, etc.):	<u> See note (1) </u>	
8	Amounts paid for each class of service:		\$ _____
9	Base for determination of such amounts	<u> 3 Factor Allocation, Others </u>	
10	Distribution of payments:		
	(a) Charged to operating expenses		\$ 8,283,188
	(b) Charged to capital accounts		\$ _____
	(c) Charged to other accounts		\$ _____
	Total		\$ <u> 8,283,188 </u>
11	Distribution of charges to operating expenses by primary accounts. Number and Title of Account <u> Various Accounts - Charges - Associated Companies </u>		
	_____ _____ _____ _____ <u>Total</u> \$8,283,188		
12	What relationship, if any, exists between respondent and supervisory and/or managing concerns? Suburban Water Systems is a wholly owned subsidiary of SouthWest Water Company.		
	_____ _____ _____		

SCHEDULE C-2

Compensation of Individual Proprietor or Partner

Do operating expenses include any charge for owner's compensation in the form of salary or wages, rental allowances, or for the use of a private automobile? (If the word "none" truly states the fact it should be given as the answer to this inquiry.)

Line No.	Nature of Allowance (a)	Account Charged (b)	Amount (c)
1			
2			
3	NONE		
4			
5			
6		Total	\$ -

SCHEDULE C-3

Employees and Their Compensation

(Charged to Account 502 - Operating Expenses - Schedule B-2)

Line No.	Classification (a)	Number at End of Year (b)	Total Salaries and Wages Paid During Year (c)
1	Employees - Source of supply		
2	Employees - Pumping	18	1,425,145
3	Employees - Water treatment	8	668,800
4	Employees - Transmission and distribution	22	1,734,751
5	Employees - Customer account	22	1,734,032
6	Employees - Sales		
7	Employees - Administrative		
8	General officers	6	1,622,373
9	General office	56	3,374,930
10	Additional Labor - Transferred		1,275,960
11	Capitalized Labor		(982,403)
12	Affiliated Transactions		(293,557)
13	Total	132	\$ 10,560,031

SCHEDULE C-4

Record of Accidents During Year

Line No.	Date of Accident (a)	TO PERSONS					TO PROPERTY			
		Employees on Duty		Public ¹		Total Number (f)	Company		Other	
		Killed (b)	Injured (c)	Killed (d)	Injured (e)		Number (g)	Amount (h)	Number (i)	Amount (j)
1			2			2	18	149,724		
2			-			-				
3						-				
4	Total	-	2	-	-	2	18	\$ 149,724	-	\$ -

¹ Accidents to employees not on duty should be included in "Public" accidents

**SCHEDULE C-5
Expenditures for Political Purposes**

Hereunder report all direct or indirect expenditures incurred or made to elect or defeat a candidate for public office; or to place any measure on the ballot; at any election, or to keep it from being placed on the ballot at any election, or to support or defeat any measure on the ballot; at an election, or to support or defeat any proposed legislation, also name the account or accounts to which the expenditures herein mentioned have been charged. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1	California Water Association	7,800
2	CAL Chamber of Commerce	1,000
3		
4		
5		
6	Total	\$ 8,800

**SCHEDULE C-6
Loans to Directors, or Officers, or Shareholders**

Show hereunder the amount of loans to directors, or officers, or shareholders and the terms and conditions of such loans. Among other things, show the name of each director, or officer, or shareholder, receiving a loan or loans, the amount of each loan, the rate of interest payable on such loan or loans, the maturity date of each loan or loans, the security given and the date when the shareholders authorized each loan. If such authorization was given. (If the word "none" truly states the fact, it should be given as the answer to this inquiry.)

1		
2		
3	NONE	
4		
5		
6	Total	\$ -

**SCHEDULE C-7
Bonuses Paid to Executives & Officers**

Show hereunder the amount of bonuses paid to Executives and Officers, both cash and non-cash. List by the name of each Executive or Officer receiving the bonus, the dollar value of that bonus, and a description of the bonus if it is non-cash.

1		
2		
3	See Attached	1,637,292
4		
5		
6	Total	\$ 1,637,292

SUBURBAN WATER SYSTEMS
Annual PUC Report
SCHEDULE C-7 (Support)
Bonuses Paid to Executives & Officers
2020

	TOTAL
Anthony Stevens	53,750
William Dix	299,739
Craig Gott	158,274
Jocelyn Padilla	37,170
Kirk Michael	301,080
Jorge Lopez	37,045
Joseph Park	117,086
Robert MacLean	538,174
Robert Kelly	94,974
	<hr/> 1,637,292 <hr/>

SCHEDULE D-1 Sources of Supply and Water Developed

STREAMS				FLOW IN(unit) ²				Annual Quantities Diverted(Unit) ²	Remarks
Line No.	Diverted into*	From Stream or Creek (Name)	Location of Diversion Point	Priority Right		Diversions			
				Claim	Capacity	Max.	Min.		
1									
2									
3			NONE						
4									
5									

WELLS							Annual Quantities Pumped(Unit) ²	Remarks
Line No.	At Plant (Name or Number)	Location	Number	Dimensions	¹ Depth to Water	Pumping Capacity(Unit) ²		
							6	
7								
8				SEE ATTACHED				
9								
10								

TUNNELS AND SPRINGS				FLOW IN(Unit) ²		Annual Quantities Used(Unit) ²	Remarks
Line No.	Designation	Location	Number	Maximum	Minimum		
				11			
12							
13			NONE				
14							
15							

Purchased Water for Resale	
16	Purchased from
17	Annual quantities purchased (Unit chosen) ²
18	SEE ATTACHED
19	

* State ditch, pipe line, reservoir, etc., with name, if any.

¹ Average depth to water surface below ground surface.

² The quantity unit in established use for expressing water stored and used in large amounts is the acre foot, which equals 42,560 cubic feet: in domestic use the thousand gallon or the hundred cubic feet. The rate of flow or discharge in larger amounts is expressed in cubic feet per second, in gallons per minute, in gallons per day, or in the miner's inch. Please be careful to state the unit used.

SCHEDULE D-2 Description of Storage Facilities

Line No.	Type	Number	Combined Capacity (Gallons or Acre Feet)	Remarks
1	A. Collecting reservoirs			
2	Concrete			
3	Earth			
4	Wood			
5	B. Distribution reservoirs		SEE ATTACHED	
6	Concrete			
7	Earth			
8	Wood			
9	C. Tanks			
10	Wood			
11	Metal			
12	Concrete			
13	Total	-	-	

Suburban Water Systems

Wells

Schedule D-1 2020

<u>Plant and Location</u>	<u>Dimensions of Casing</u>	<u>Water Depth</u>	<u>Capacity in G. P. M</u>	<u>Acre Feet Pumped</u>
San Jose Hills District				
121 W1 Stone	16"	195' SWL	1,850	1,306.42
126 W2 Rio Verde	18"	229' SWL	1,147	0.00
139 W2 La Puente CO.	26"	187' SWL	2,510	0.00
139 W4 La Puente CO.	20"	189' SWL	1,800	0.00
139 W5 La Puente CO.	18"	188' SWL	3,300	0.00
140 W3 La Grande	18"	153' SWL	1,107	0.00
140 W4 La Grande	18"	150' SWL	2,657	0.00
140 W5 La Grande	18"	182' PWL	2,800	714.35
142 W2 Vine	16"	219' PWL	2,800	4,495.00
147 W3 Jones	18"	142' SWL	1,220	0.00
151 W2	18"	247' PWL	3,090	<u>2,459.85</u>
<u>Total Acre Feet - San Jose Hills District</u>				8,975.62

<u>Plant and Location</u>	<u>Dimensions of Casing</u>	<u>Water Depth</u>	<u>Capacity in G. P. M</u>	<u>Acre Feet Pumped</u>
Whittier \ La Mirada District				
201 W4 Bartolo	16"	48' SWL	1,920	0.00
201 W7 Bartolo	18"	119' PWL	3,320	2,860.98
201 W8 Bartolo	18"	170' PWL	3,677	2,269.64
201 W9 Bartolo	18"	96' PWL	3,700	4,427.39
201 W10 Bartolo	18"	53 SWL	4,000	968.88
409 W3 Canary	16"	245' PWL	730	468.17
410 W1 Firestone	14"	161' PWL	665	<u>1,094.03</u>
<u>Total Acre Feet - Whittier \ La Mirada District</u>				12,089.99
<u>Total Acre Feet Company</u>				<u>21,064.71</u>

Purchased Water for Resale

San Jose Hills District		<u>Acre feet Purchased</u>
City of Covina		1.91
City of Glendora		9.42
Covina Irrigating Company		4,616.33
La Puente Valley County Water District		2,195.49
Rowland Water District		0.00
Upper San Gabriel Valley Municipal Water District		1,079.75
Valencia Heights Water Company		0.00
Valley Counties Municipal Water District		5,680.63
Walnut Valley Water District		<u>2,379.40</u>
<u>Total Acre Feet San Jose District</u>		15,962.93
Whittier \ La Mirada District		<u>Acre feet Purchased</u>
California Domestic Water Company		8,107.61
Central Basin Municipal Water District		291.34
City of Fullerton		0.00
City of La Habra		0.00
City of Whittier		2.74
La Habra Heights County Water District		0.17
Orchard Dale Water District		(42.40)
San Gabriel Valley Water Company		<u>2.27</u>
<u>Total Acre Feet Whittier \ La Mirada District</u>		8,361.73
<u>Total Acre Feet Company</u>		<u>24,324.66</u>

Suburban Water Systems

Schedule D-2 2020

Reservoirs

<u>San Jose Hills District</u>	<u>Number</u>	<u>Gallons</u>
Concrete	2	1,844,755
Metal Tanks	<u>18</u>	<u>41,972,639</u>
Total	20	43,817,394
<u>Whittier / La Mirada District</u>		
Concrete	2	7,250,000
Metal Tanks	<u>12</u>	<u>24,812,169</u>
Total	14	32,062,169
<u>Company Totals</u>		
Concrete	4	9,094,755
Metal Tanks	<u>30</u>	<u>66,784,808</u>
Total	34	75,879,563

SCHEDULE D-3

Description of Transmission and Distribution Facilities

A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES
Capacities in Cubic Feet Per Second or Miner's Inches (State Which) _____

Line No.		0 to 5	6 to 10	11 to 20	21 to 30	31 to 40	41 to 50	51 to 75	76 to 100
1	Ditch								
2	Flume								
3	Lined conduit								
4					NONE				
5	Total	-	-	-	-	-	-	-	-

A. LENGTH OF DITCHES, FLUMES AND LINED CONDUITS IN MILES FOR VARIOUS CAPACITIES - Continued
Capacities in Cubic Feet Per Second or Miner's Inches (State Which) _____

Line No.		101 to 200	201 to 300	301 to 400	401 to 500	501 to 750	751 to 1000	Over 1000	Total All Lengths
6	Ditch								-
7	Flume				NONE				-
8	Lined conduit								-
9									
10	Total	-	-	-	-	-	-	-	-

B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING

Line No.		1	1 1/2	2	2 1/2	3	4	5	6	8
11	Cast Iron									
12	Cast Iron (cement lined)									
13	Concrete									
14	Copper									
15	Riveted steel				SEE ATTACHED					
16	Standard screw									
17	Screw or welded casing									
18	Cement - asbestos									
19	Welded steel									
20	Wood									
21	Other (specify)									
22	Total	-	-	-	-	-	-	-	-	-

B. FOOTAGES OF PIPE BY INSIDE DIAMETERS IN INCHES - NOT INCLUDING SERVICE PIPING - Continued

Line No.		10	12	14	16	18	20	Other Sizes (Specify Sizes)		Total All Sizes
23	Cast Iron									-
24	Cast Iron (cement lined)									-
25	Concrete									-
26	Copper									-
27	Riveted steel				SEE ATTACHED					-
28	Standard screw									-
29	Screw or welded casing									-
30	Cement - asbestos									-
31	Welded steel									-
32	Wood									-
33	Other (specify)									-
34	Total	-	-	-	-	-	-	-	-	-

Water Main (LF)	Diameter of Main (inch)																						Grand Total (LF)	
	0.75	1	1.5	2	2.5	3	4	5	6	8	10	12	14	16	18	20	24	26	27	28	30	36		42
Asbestos Cement	0	0	0	505	0	913	495,231	337	1,126,859	1,276,023	52,538	423,840	2,276	82,667	11,544	12,307	987	0	0	0	0	4,447	0	3,490,474
Cast Iron	0	0	0	0	0	0	8,845	0	15,423	2,196	0	0	0	0	0	0	0	0	0	0	0	0	0	26,464
Concrete	0	0	0	0	0	0	0	0	0	4	0	844	41	5,315	938	3,948	1,985	448	1,726	1,699	10	1,412	0	18,370
Ductile Iron	0	0	0	122	0	6	251	0	894	3,441	75	3,097	64	59,769	2,940	12,034	26,129	0	0	0	29,782	0	164	138,768
Galvanized Iron	229	210	58	2,516	0	28	18	0	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,071
HDPE	0	0	0	340	0	0	0	0	0	1,545	391	3,112	0	0	0	0	0	0	0	4,321	0	0	0	9,709
GREY PLASTIC	0	0	55	5,191	0	0	10,165	0	1,015	549	0	0	0	0	0	0	0	0	0	0	0	0	0	16,975
PVC	0	0	361	833	0	0	25,052	0	75,968	255,270	1,425	167,089	0	8,596	0	5,864	131	0	0	0	0	0	0	540,589
STEEL	0	0	11	3,766	215	236	18,100	1,777	12,591	48,924	12,150	57,122	12,942	30,947	3,506	7,533	15,515	6,230	10,485	1,603	27,926	409	0	271,988
OTHER	0	59	8	1,922	0	59	8,674	0	13,038	16,100	0	321	4	0	0	0	0	0	0	0	0	0	0	40,185
Grand Total (LF)	229	269	493	15,195	215	1,242	566,336	2,114	1,245,800	1,604,052	66,579	655,425	15,327	187,294	18,928	41,686	44,747	6,678	12,211	7,623	57,718	6,268	164	4,556,593

SCHEDULE D-4				
Number of Active Service Connections				
Classification	Metered - Dec 31		Flat Rate - Dec 31	
	Prior Year	Current Year	Prior Year	Current Year
Residential	62,763	61,075		
Commercial	4,360	4,348		
Industrial	36	36		
Public authorities	288	287		
Low Income	7,716	9,472		
Irrigation	-	-		
Other (specify) Constr Wtr, Recycled	70	71		
Other Utility	14	14		
Subtotal	75,247	75,303	-	-
Private fire connections			759	767
Public fire hydrants			483	484
Total	75,247	75,303	1,242	1,251

SCHEDULE D-5			
Number of Meters and Services on Pipe Systems at End of Year			
Size	WLM Meters	SJH Meters	
5/8 x 3/4 in	5,429	2,105	
3/4 in	20,665	30,858	
1 in	5,659	7,239	
1 1/2 in	678	822	
2 in	632	831	
3 in	82	147	
4 in	67	63	
6 in	11	8	
8 in	1	5	
10 in	-	1	
Other	624	627	
Total	33,848	42,706	76,554

SCHEDULE D-6	
Meter Testing Data	
A. Number of Meters Tested During Year as Prescribed in Section VI of General Order No. 103:	
1. New, after being received . . .	0
2. Used, before repair	11
3. Used, after repair	1
4. Found fast, requiring billing adjustment	0
B. Number of Meters in Service Since Last Test	
1. Ten years or less	36,749
2. More than 10, but less than 15 years	23,028
3. More than 15 years	15,526

SCHEDULE D-7

Water delivered to Metered Customers by Months and Years in hundreds of cubic feet (Unit Chosen)¹

Classification of Service	During Current Year							
	January	February	March	April	May	June	July	Subtotal
Residential	718,716	816,563	821,347	776,102	1,038,000	1,090,520	1,335,048	6,596,296
Commercial	242,960	286,678	290,761	240,252	313,176	345,199	422,669	2,141,695
Industrial	37,334	43,146	40,957	41,997	46,596	44,457	55,227	309,714
Public authorities	17,105	30,464	38,873	25,020	40,210	79,235	97,298	328,205
Irrigation	-	-	-	-	-	-	-	-
Other (specify)	4,744	12,625	12,799	9,864	34,864	33,111	42,209	150,216
								-
Total	1,020,859	1,189,476	1,204,737	1,093,235	1,472,846	1,592,522	1,952,451	9,526,126

Classification of Service	During Current Year							Total Prior Year
	August	September	October	November	December	Subtotal	Total	
Residential	1,422,128	1,385,834	1,232,271	1,084,538	986,376	6,111,147	12,707,443	11,742,922
Commercial	448,689	432,763	405,218	349,459	316,978	1,953,107	4,094,802	4,053,740
Industrial	45,869	45,235	52,161	48,456	41,386	233,107	542,821	472,180
Public authorities	112,161	108,790	79,240	64,980	55,892	421,063	749,268	757,449
Irrigation	-	-	-	-	-	-	-	-
Other (specify)	53,665	36,371	33,225	24,758	25,067	173,086	323,302	278,261
						-	-	
Total	2,082,512	2,008,993	1,802,115	1,572,191	1,425,699	8,891,510	18,417,636	17,304,552

¹ Quantity units to be in hundreds of cubic feet, thousands of gallons, acre-feet, or miner's inch-days.

Total acres irrigated _____ N/A _____

Total population served _____ 299,502 _____

Other (specify)*
 Flood Meter
 Other Utility
 Recycled

SCHEDULE D-8
Status With State Board of Public Health

1. Has the State or Local Health Department reviewed the sanitary condition of your water system during the past year?
Answer: Yes X No

2. Are you having routine laboratory tests made of water served to your consumers?
Answer: Yes X No

3. Do you have a permit from the State Board of Public Health for operation of your water system?
Answer: Yes X No

4. Date of permit: San Jose Hills System - System No. 1910205 - permit date: May 23, 2001
La Mirada System - System No. 1910059 - permit date: May 9, 1995
Whittier System- System No. 1910174 - permit date: October 25, 1962
Glendora System - System No. 1910046 - permit date: May 31, 2016
Covina Knolls System - System No. 1910200 - permit date: November 1, 1961

5. If permit is "temporary", what is the expiration date? N/A

6. If you do not hold a permit, has an application been made for such permit?
Answer: Yes No **N/A**

7. If so, on what date? **N/A**

SCHEDULE D-9
Statement of Material Financial Interest

Use this space to report the information required by Section 2 of General Order No. 104-A. If no material financial interest existed during the year or contemplated at the end of the year, such fact shall be so stated. If additional space is required, attach a supplementary statement with reference made thereto.

No material financial interest, as defined by General 104-A, existed at Suburban Water Systems during 2020, and Suburban did not propose at the conclusion of the report year to become a party to any transaction involving such material financial interest as defined in General Order 104-A.

SCHEDULE E-1

2020 Financial Accounting

Line No.	Description (a)	Authorized by Decision, Resolution No. or Advice Letter (b)	Beginning of Year Balance (c)	Offset Revenues (d)	Offset Expenses (e)	Interest (f)	Surcharge (g)	Surcredit (h)	End of Year Balance (i)
1	Recycled Water Balancing Account	AL 339	136,003		-		(57,269)		78,734
2	Volume Related Balancing Accounts	AL 339	11,204,601		-		(5,936,928)		5,267,673
3	Volume Related Reserve Accounts - July 2019 to December 2020	D 03-06-072	-		7,597,972				7,597,972
4	Recycled Water Reserve Account - July 2019 to December 2020	D 14-12-038	-		58,290				58,290
5	Mandatory Conservation Memorandum Account (MCMA)	RES W-5000	37,021		\$2,050				39,072
6	Water Revenue Adjustment Mechanism (WRAM) Balancing Account	D 14-12-038	(2,459,249)	-	257,386		1,947,565		(254,299)
7	Low Income Ratepayer Assistance Amortization (May 12 to Dec 13)	D 14-12-038	15,762		-		(15,762)		0
8	Low Income Ratepayer Assistance Memorandum Account (Jan 14 -Sep 16)	D 19-05-029	157,925		-		(192,341)		(34,416)
9	Low Income Ratepayer Assistance Memorandum Account (Oct 16 -Dec 20)	D 08-02-037	(165,141)		-		(800,050)	741,798	(223,393)
10	Low Income Customer Data Sharing Memorandum Account	D 11-05-020	3,199		711				3,910
11	Affiliate Transfer Fee Memorandum Account	D 14-12-038	(49,500)		-				(49,500)
12	Military Family Relief Program Memorandum Account	D 03-06-072	6,113		2,050				8,164
13	2017 Water Contamination Litigation Memorandum Account	W-4094	263,758	-	12,246				276,005
14	Employee Healthcare Balancing Account	D 19-05-029	(352,023)		(190,552)				(542,575)
15	2018 Cost of Capital Memorandum Account (CoCMA)	AL 331-W-A	83,719		-				83,719
16	2021 Cost of Capital	D 19-05-029	(110,988)		(55,494)				(166,482)
17	2020 Rate Case Expense	D 19-05-029	(266,147)		215,679				(50,468)
18	School Lead Testing Memorandum Account	AL 321-W-A	5,911		-				5,911
19	Drinking Water Fees Memorandum Account	AL 319-W-A	59,002		-				59,002
20	Asbestos Litigation Memorandum Account (ALMA)	D 18-01-014	20,013		-				20,013
21	Tax Cuts and Jobs Act (TCJA) Memorandum Account	AL 326-W	382,887		(715,002)			595,810	263,695
22	Conservation Expenses One-Way Balancing Account (CEOWBA)	D 19-05-029	-		-				-
23	Allocated Parent Company Information Technology (IT) Rate Base Memo Acct (APCTRBMA)	D 19-05-029	(514,428)		242,126				(272,302)
24	A.17-01-001 Interim Rates Memorandum Account (IRMA)	AL 336	7,315,234		-	103,341	(3,457,161)		3,961,414
25	Security Measures Memorandum Account	D 03-05-078D, 03-05-078	-		-				-
26	American Jobs Creation Act Deduction Memorandum Account	D 06-08-017D, 06-08-017	-		-				-
27	Cornerstone Project Memorandum Account	D 09-03-007	-		-				-
28	Reservoir 216 Land Exchange Memorandum Account (AL 298-W)	D 12-04-009	553,059		-				553,059
29	Catastrophe Event Memo Acct (CEMO)/ Emergency Customer Protections Memo Acct (ECPMA)	D 12-04-009	-		1,211,162				1,211,162
30	Per- and Polyfluoroalkyl Substances Memorandum Account (PFASMA)	AL 343-W-B	-		-				-
31	Transaction Costs for Sativa	Request Pending CPUC Approval	-		339,727				339,727

**SCHEDULE E-1
BALANCING AND MEMORANDUM ACCOUNTS**

2020 Regulatory Accounting

Line No.	Description (a)	Authorized by Decision, Resolution No. or Advice Letter (b)	Beginning of Year Balance (c)	Offset Revenues (d)	Offset Expenses (e)	Interest (f)	Surcharge (g)	Surcredit (h)	End of Year Balance (i)
1	Volume Related Balancing Accounts	AL 339	10,112,564		-		(3,511,008)		6,601,556
2	Recycled Water Balancing Account	AL 339	140,730		-		(62,696)		78,034
3	Mandatory Conservation Memorandum Account (MCMA)	RES W-5000	4,901		-				4,901
4	Water Revenue Adjustment Mechanism (WRAM) Balancing Account	D 14-12-038	(2,459,249)		257,386		1,954,417		(247,447)
5	Low Income Ratepayer Assistance Amortization (May 12 to Dec 13)	D 14-12-038	47,417		(47,417)				(0)
6	Low Income Ratepayer Assistance Amortization (Jan 14 to Sep 16)	D 14-12-038	157,925		-		(192,341)		(34,416)
7	2012 Cost of Capital	D 14-12-038	1,987		(1,987)				(0)
8	Employee Healthcare Balancing Account	D 19-05-029	(41,410)	-	-				(41,410)
9	2018 Cost of Capital Memorandum Account (CoCMA)	AL 331-W-A	-		-				-
10	2021 Cost of Capital	D 19-05-029	55,494		(55,494)				-
11	2020 Rate Case Expense	D 19-05-029	160,192		(160,194)				(2)
12	School Lead Testing Memorandum Account	AL 321-W-A	-		-				-
13	Drinking Water Fees Memorandum Account	AL 319-W-A	-		-				-
14	Asbestos Litigation Memorandum Account (ALMA)	D 18-01-014	-		-				-
15	Tax Cuts and Jobs Act (TCJA) Memorandum Account	AL 326-W	237,979		(824,962)			595,810	8,827
16	Conservation Expenses One-Way Balancing Account (CEOWBA)	D 19-05-029	-		-				-
17	Allocated Parent Company Information Technology (IT) Rate Base Memo Acct (APCTRBMA)	D 19-05-029	-		-				-
18	A.17-01-001 Interim Rates Memorandum Account (IRMA)	AL 336	6,900,345		-	103,341	(3,457,161)		3,546,525
19	Security Measures Memorandum Account	D 03-05-078	-		-				-
20	American Jobs Creation Act Deduction Memorandum Account	D 06-08-017	-		-				-
21	Cornerstone Project Memorandum Account	D 09-03-007	-		-				-
22	Reservoir 216 Land Exchange Memorandum Account (AL 298-W)	D 12-04-009	-		-				-
23	Catastrophe Event Memo Acct (CEMO)/ Emergency Customer Protections Memo Acct (ECPMA)	D 12-04-009	-		-				-
24	Per- and Polyfluoroalkyl Substances Memorandum Account (PFASMA)	AL 343-W-B	-		-				-
25	Transaction Costs for Sativa	Request Pending CPUC Approval	-		-				-

Note 1: For Columns d, e, f, g, & h, provide those amounts booked in the current year.

Schedule E- 2
Description of Low-Income Rate Assistance Program(s)

For all low income rate assistance programs offered by water utility, provide detailed responses to the following items:

SEE ATTACHED

1. Brief description of each low-income rate assistance program provided, by district. This shall include but is not limited to the percent of discount, the dollar amount of discount, what rate is discounted (service charge, quantity, or total bill), qualifying income level, dollar rate increase to remaining customers to pay for this program.
2. Participation rate for Year 2018 (as a percent of total customers served).
3. Detail of balancing or memorandum account authorized to record expenses incurred and revenues collected for low income rate assistance program.

Schedule E- 3
Description of Water Conservation Program(s)

For all water conservation programs offered by water utility, provide detailed responses to the following items:

SEE ATTACHED

1. Brief description of each water conservation program provided, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers) and length of time it was offered.
2. Discuss how each water conservation assistance program is funded, for example, through rates charged to all customers, rates charged to customer receiving water conservation assistance, shareholder contribution, community funding, government funding, or other funding method. Explain why this type of funding was used.
3. Cost of each program.
4. The degree of participation in each district by customer group.

2020 ANNUAL REPORT SCHEDULE E-2

1. Brief description of each low-income rate assistance program provided, by district. This shall include but is not limited to the percent of discount, the dollar amount of discount, what rate is discounted (service charge, quantity, or total bill), qualifying income level, dollar rate increase to remaining customers to pay for this program.

Response:

Suburban implemented low-income rate assistance program on September 1, 2008 for its San Jose Hills and Whittier/La Mirada service areas. The monthly credit of \$7.30 applies to all residential metered water service, and the monthly credit of \$20.00 applies to all non-profit group living facilities, agricultural employee housing facilities, and migrant farm worker housing centers.

Beginning June 1, 2012, Suburban removed the limitation on residential meter size to qualify for the Low Income Assistance Program. To qualify for the credit, the residential customer:

- Must have the water utility bill for service in his or her name.
- Must not be claimed as a dependent on another person's tax return.
- Must re-apply each time you change your personal residence.
- Must renew his or her application every two years, or sooner, if requested.
- Must notify Suburban Water Systems within thirty days if he or she becomes ineligible for Suburban Water Systems' low income assistance program but continue to be a customer of Suburban Water Systems.
- Must provide verification of household income by providing a utility bill showing participation in a low income assistance program for electric or gas utility service or by completing Suburban' self verification form.

Gross annual household income must not exceed the maximum qualifying household income levels specified annually by the California Public Utilities Commission for the CARE program. Gross annual income means the gross income of all persons residing in the household, as further defined below. For Suburban Water Systems' low income assistance program, "gross annual household income" means all money and non-cash benefits available for living expenses, received from all sources, both taxable and non-taxable, before any tax deductions, by or for all persons residing in the household during the most recently ended calendar year.

The income Qualification guidelines (effective June 1, 2020 through May 31, 2021)

Total persons in household	Maximum total combined annual income
1-2	\$34,480
3	\$43,440
4	\$52,400
5	\$61,360
6	\$70,320
7	\$79,280
8	\$88,240

For each additional person, add \$8,960 to the total combined annual income.

In order to support the program, a surcharge of \$0.047 per 100 cubic feet is to be applied to the monthly bills of all metered customers, excluding those customers receiving a low-income rate assistance credit in San Jose Hills and Whittier/La Mirada service areas.

Pursuant to Decision 11-05-020, semi-annually Suburban shares Low-Income Customer Information with energy utilities (Southern CA Edison and Southern California Gas Company) to increase the participation rates in water low-income assistance program. The data matching process requires Suburban to identify customers' eligibility by mailing automatic enrollment and opt-out letters 30 days prior to enrollment to permit those customers to decline to be automatically enrolled.

2. Participation rate for Year 2020 (as a percent of total customers served).

Response:

At the end of 2020 the LIRA participation rate as a percentage of total residential customers 12.0%

3. Detail of balancing or memorandum account authorized to record expenses incurred and revenues collected for low income rate assistance program.

Response:

	San Jose Hills Service Area			Whittier/La Mirada Service Area		
	Surcharge Collected	Benefit Provided	Over/(Under) Collection	Surcharge Collected	Benefit Provided	Over/(Under) Collection
<i>Beg. Bal.</i>	\$2,016,196	\$2,635,683	(\$619,487)	\$1,313,156	\$948,871	\$364,286
Jan-20	\$25,320	\$38,841	(\$13,521)	\$18,658	\$17,289	\$1,369
Feb-20	\$29,074	\$38,478	(\$9,404)	\$23,543	\$17,286	\$6,256
Mar-20	\$29,664	\$38,288	(\$8,625)	\$23,210	\$17,243	\$5,967
Apr-20	\$26,614	\$37,953	(\$11,339)	\$21,233	\$17,119	\$4,115
May-20	\$35,686	\$41,435	(\$5,748)	\$28,221	\$18,564	\$9,658
Jun-20	\$38,355	\$41,778	(\$3,423)	\$31,084	\$18,695	\$12,389
Jul-20	\$47,580	\$41,873	\$5,708	\$37,554	\$18,863	\$18,691
Aug-20	\$50,191	\$44,158	\$6,033	\$40,249	\$19,987	\$20,261
Sep-20	\$48,003	\$46,107	\$1,896	\$38,820	\$20,995	\$17,825
Oct-20	\$43,174	\$47,129	(\$3,955)	\$34,639	\$21,258	\$13,381
Nov-20	\$37,507	\$47,662	(\$10,155)	\$30,289	\$21,535	\$8,754
Dec-20	\$33,948	\$47,793	(\$13,845)	\$27,434	\$21,469	\$5,964
Total	\$2,461,312	\$3,147,177	(\$685,866)	\$1,668,090	\$1,179,174	\$488,916

2020 Low Income Rate Assistance Program Cost Details

<u>No.</u>	<u>Date</u>	<u>Vendor Name</u>	<u>Total By Month</u>	<u>Cumulative Total</u>
		<i>Beginning Balance (as of 1/1/2020)</i>	\$68,920.84	\$68,920.84
1	1/31/2020	CMRS-PB (United States Postal Service)	\$12.00	\$68,932.84
2	2/28/2020	CMRS-PB (United States Postal Service)	\$43.00	\$68,975.84
3	3/31/2020	CMRS-PB (United States Postal Service)	\$599.00	\$69,574.84
4	4/30/2020	CMRS-PB (United States Postal Service)	\$43.50	\$69,618.34
5	5/31/2020	CMRS-PB (United States Postal Service)	\$27.00	\$69,645.34
6	6/30/2020	ACP (WISH Insert)	\$7,685.34	\$77,330.68
7	7/31/2020	CMRS-PB (United States Postal Service)	\$7.50	\$77,338.18
8	8/31/2020	CMRS-PB (United States Postal Service)	\$15.50	\$77,353.68
9	9/30/2020	CMRS-PB (United States Postal Service)	\$15.00	\$77,368.68
10	10/31/2020	CMRS-PB (United States Postal Service)	\$28.00	\$77,396.68
11	11/30/2020	CMRS-PB (United States Postal Service)	\$16.00	\$77,412.68
12	12/31/2020	CMRS-PB (United States Postal Service)	\$0.50	\$77,413.18
			<u>\$77,413.18</u>	

**ATTACHMENT 1
ANNUAL REPORT, SCHEDULE E-3
DESCRIPTION OF WATER CONSERVATION PROGRAMS**

1. Brief description of each water conservation program offered by the water company, by district. This description shall include but not be limited to the type of program offered (such as provision of low-flow plumbing fixtures, leak detection, leak repair, written water conservation tips, or other similar programs to its customers), whether offered with a third party, whether direct install or rebate, and length of time the program was offered.

Response:

No.	Program Type	Service Area Offered	Description	Length of Time Offered
1	Residential Irrigation Surveys and Retrofits	San Jose Hills and Whittier/La Mirada	Suburban contracted with EcoTech Services to provide 202 irrigation surveys and retrofits for residential customers.	10 months
2	Online Educational Videos	San Jose Hills and Whittier/La Mirada	Suburban contracted to have 5 how to videos posted on our public website related to water use and irrigation, leak detection.	8 months
3	Landscape Workshops	San Jose Hills and Whittier/La Mirada	Two workshops were held to teach customers how to plan their landscaping with "firescaping" in mind, which plants to choose, and other things to consider such as spacing near/around structures.	2 days
4	Water Conservation Play	San Jose Hills and Whittier/La Mirada	Suburban contracted with the National Theatre for Children to provide a Water Conservation Play in an online format to 20 schools.	3 months

ATTACHMENT 1
ANNUAL REPORT, SCHEDULE E-3
DESCRIPTION OF WATER CONSERVATION PROGRAMS (Continued)

2 For each water conservation program described above, an estimated conservation savings report in the following basic format (if it is necessary to deviate from this table, provide estimated program savings).

A	B	C	D	E	F	G	H	I	J
Name of measure, as listed in Decision or Settlement*	Description of measure	Authorized \$	# of units / activities purchased, provided, performed	\$ per unit, activity, etc.	Total \$ spent	Designated water savings per unit per year**	Unit lifespan**	Estimated Annual measure savings** (AFY)	Estimated Lifetime measure savings** (AF)
Residential Irrigation Surveys and Retrofits	Residential Irrigation Surveys and Retrofits	\$250,000	202	\$1,331	\$268,891	NA	NA	NA	NA
Advertisements for Surveys and Retrofits	Postage/Print/Mailing of Advertisements	(above)	31,368	\$0.58	\$18,206	NA	NA	NA	NA
UHETs (Ultra High Efficiency Toilets)	Ultra High Efficiency Toilets		3 UHETs	\$200	\$600	.0425 acre feet	10 years	1.275 AFY	12.75 AF
Mulch - West Covina Landscape Project	West Covina Beautiful/Residential Project		1	\$1,200	\$1,200	NA	NA	NA	NA
Public Outreach/Community Events	Sponsored School Education/Theatre Program	\$100,000	20 Programs	\$1,250	\$25,000	NA	NA	NA	NA
Public Outreach/Community Events	Hosted 2 Gardening/Landscape Classes	(above)	2 classes	\$2,000	\$4,000	NA	NA	NA	NA
	Sponsored 5 how-to videos for Public Website	(above)	5 videos	\$900	\$4,500				
Public Outreach/Community Events	Supplies/Materials	(above)	various materials	NA	\$7,354	NA	NA	NA	NA
Total		\$350,000			\$329,751				
Upper San Gabriel Valley MWD Funding	Rebate funding for Residential Irrigation Surveys and Retrofits	(\$24,416)							

**Estimated water savings per Metropolitan Water District

SUBURBAN WATER SYSTEMS

Supplement to CPUC Annual Report

2020 Information-Only Filing

In Compliance With Commission Decisions 11-05-004 and 11-05-020

**ATTACHMENT 1
2020 ANNUAL REPORT
DESCRIPTION OF WATER CONSERVATION PROGRAMS**

See SCHEDULE E-3

**ATTACHMENT 2
2020 INFORMATION-ONLY FILING
CONSERVATION DATA REPORT**

- Baseline average (from 2003-2007 or 10-year baseline if it includes 2003-2007 and only includes years prior to the adoption of a conservation rate design) estimated monthly or bimonthly (depending on billing cycle) per customer or service connection consumption by ratemaking district, separated by customer class and meter size. If the water company elects to use a baseline in reliance on the Department of Water Resources methodology developed to implement SBX7-7 without calendar years 2003-2007, the water company shall attach workpapers to support the use of that baseline.

Response:

Suburban utilized the methodologies developed by Department of Water Resources to calculate baseline per capita water use expressed in gallons per capita per day ("GPCD"). These baselines, which are detailed in Suburban's Draft 2015 Urban Water Management based on method 3 (Demonstrate reduction to 95 percent of the applicable State Hydrologic Region Target) for SJH Service Area; and method 1 (Demonstrate reduction to 80 percent of the base daily per capita water use) for WLM Service Area.

San Jose Hills Service Area = 142

Whittier/La Mirada Service Area = 151

- Average estimated monthly or bimonthly (depending on billing cycle) per customer or service connection consumption in one hundred cubic feet by ratemaking district, separated by customer class and meter size.

Response:

Average Monthly Residential Usage by Meter Size (ccf)					
5/8"x3/4"	3/4"	1"	1.5"	2"	3"

San Jose Hills Service Area:

2020 Monthly Average	12.42	11.83	15.19	40.04	94.13	137.49
----------------------	-------	-------	-------	-------	-------	--------

Whittier/La Mirada Service Area:

2020 Monthly Average	11.40	12.01	15.74	36.96	65.23	137.71
----------------------	-------	-------	-------	-------	-------	--------

- Comparison table including baseline and annual average estimated consumption by ratemaking district, separated by customer class and meter size, for each year following implementation of conservation rate designs, with the percentage reduction in consumption calculated by district and by customer class and meter size within each ratemaking district.

Response:

The method to calculate per capita water use in GPCD is not comparable to the annual average estimated consumption in hundred cubic feet. However, the following Table shows 2020 annual average consumption by service area:

2020 Residential - by Meter Size					
5/8"x3/4"	3/4"	1"	1.5"	2"	3"

San Jose Hills Service Area:

2020 Consumption (ccf)	378,570	5,086,150	1,394,307	221,041	132,154	16,499
2020 Avg. Connections	2,541	35,825	7,651	460	117	10
2020 Avg. Consumption (ccf)	148.98	141.97	182.24	480.52	1,129.52	1,649.90

Whittier/La Mirada Service Area:

2020 Consumption (ccf)	823,904	3,386,658	1,165,174	83,829	15,654	3,305
2020 Avg. Connections	6,022	23,502	6,169	189	20	2
2020 Avg. Consumption (ccf)	136.82	144.10	188.88	443.54	782.70	1,652.50

ATTACHMENT 2 (Continued)

4. For non-low income customers, the average estimated monthly/bimonthly (depending on billing cycle) consumption per tier or block separated by ratemaking district, by meter size, and by customer class, and the # of customers in each sub-grouping.
Response:

Customer Class Conservation: Residential

2020	Meter Size					
	5/8"x3/4"	3/4"	1"	1-1/2"	2"	3"
Average Estimated Monthly Consumption by tier						
<u>San Jose Hills Service Area:</u>						
Tariff Area 1 - Tier 1	12.00	11.04	11.14	35.31	103.42	-
Tariff Area 1 - Tier 2	10.83	10.55	15.65	47.58	11.08	-
Tariff Area 2 - Tier 1	10.47	10.44	14.56	36.86	75.88	156.71
Tariff Area 2 - Tier 2	10.19	11.72	19.60	82.53	212.46	-
Tariff Area 3 - Tier 1	-	9.80	15.40	33.52	167.46	101.27
Tariff Area 3 - Tier 2	-	10.67	14.84	43.37	118.98	-
<u>Whittier/La Mirada Service Area:</u>						
Tariff Area 1 - Tier 1	10.91	11.47	11.40	29.25	-	-
Tariff Area 1 - Tier 2	8.66	8.76	10.40	-	-	-
Tariff Area 2 - Tier 1	11.02	11.71	14.64	37.36	59.79	134.00
Tariff Area 2 - Tier 2	10.36	10.53	20.84	37.01	-	-
Tariff Area 3 - Tier 1	-	10.50	19.02	20.50	46.67	-
Tariff Area 3 - Tier 2	-	-	26.35	-	-	-
Number of Customers in each sub-grouping						
<u>San Jose Hills Service Area:</u>						
Tariff Area 1 - Tier 1	1,202	14,777	1,271	36	6	-
Tariff Area 1 - Tier 2	292	2,357	93	5	2	-
Tariff Area 2 - Tier 1	517	9,628	2,662	133	88	2
Tariff Area 2 - Tier 2	91	1,510	555	31	8	-
Tariff Area 3 - Tier 1	-	1,282	1,847	203	9	8
Tariff Area 3 - Tier 2	-	189	318	26	4	-
<u>Whittier/La Mirada Service Area:</u>						
Tariff Area 1 - Tier 1	1,130	760	255	3	-	-
Tariff Area 1 - Tier 2	130	110	16	-	-	-
Tariff Area 2 - Tier 1	3,752	17,706	4,518	156	19	2
Tariff Area 2 - Tier 2	480	2,630	880	25	-	-
Tariff Area 3 - Tier 1	-	2	80	2	1	-
Tariff Area 3 - Tier 2	-	-	30	-	-	-

5. Estimated monthly or bimonthly (depending on billing cycle) number of customers by district, monthly or bimonthly number of disconnection notices generated to those customers, number of customers disconnected for non-payment, and number of customers reconnected.
Response:

Estimated Monthly - Residential					
SJH Serv. Area			WLM Serv. Area		
Disconnect Notice	Disconnect for Non-Payment	Reconnect Notice	Disconnect Notice	Disconnect for Non-Payment	Reconnect Notice
5,226	331	331	3,655	248	248

6. Estimated monthly Best Management Practices compliance costs, by district, separated by customer class, coverage goals or flex track menu (by measure);.
Response:

Suburban does not separated costs by district nor by customer class, coverage goals, and measure.
 The monthly estimated Best Management Practices compliance costs approximately \$27,479.25

7. Any other district-specific factor (such as changes in weather, increases in supply from recycled water, or economic factors) that might contribute to consumption changes.
Response:

None.

**2020 INFORMATION-ONLY FILING
LOW-INCOME DATA REPORT**

1. Average estimated monthly or bimonthly (depending on billing cycle) per customer or service connection low-income customer consumption in one hundred cubic feet by ratemaking district, separated by meter size.

Response:

Average Monthly LIRA Residential Usage by Meter Size (ccf)								
SJH Service Area				WLM Service Area				
5/8"x3/4"	3/4"	1"	1.5"	5/8"x3/4"	3/4"	1"	1.5"	2"
17.37	16.94	19.60	60.74	16.70	16.33	18.48	34.69	121.92

2. Average estimated monthly or bimonthly (depending on billing cycle) consumption per tier or block separated by ratemaking district, by meter size, and by customer class for low-income customers and the number of customers in each sub-grouping.

Response:

RESIDENTIAL - LOW INCOME CUSTOMERS												
TARIFF AREA 1				TARIFF AREA 2					TARIFF AREA 3			
5/8"x3/4"	3/4"	1"	1.5"	5/8"x3/4"	3/4"	1"	1.5"	2"	5/8"x3/4"	3/4"	1"	1.5"

San Jose Hills Service Area

Tier 1 Average Consumption/Month (ccf):

19.96 19.15 15.28 21.58 19.83 19.48 26.88 60.61 - - 16.89 19.32 64.92

Avg. # Customers:

225 3,142 259 1 99 1,415 277 8 - - 177 216 11

Tier 2 Average Consumption/Month (ccf):

10.09 8.79 11.08 - 9.99 10.01 15.60 40.75 - - 8.36 13.03 58.56

Avg. # Customers:

79 891 23 - 34 414 93 3 - - 43 38 3

Whittier/La Mirada Service Area

Tier 1 Average Consumption/Month (ccf):

19.78 19.01 11.96 - 17.94 18.35 20.32 49.88 121.92 - - 26.11 -

Avg. # Customers:

132 102 57 - 313 1,742 270 2 1 - - 6 -

Tier 2 Average Consumption/Month (ccf):

7.92 8.20 5.50 - 7.42 7.83 15.45 - - - - 19.88 -

Avg. # Customers:

26 23 2 - 61 427 50 - - - - 5 -

3. Estimated monthly or bimonthly (depending on billing cycle) number of participating low-income customers by district, monthly or bimonthly number of disconnection notices generated to those customers, number of customers disconnected for non-payment and number of customers reconnected, for all low-income customers.

Response:

Estimated Monthly LIRA - Residential							
San Jose Hills Service Area				Whittier/La Mirada Service Area			
LIRA Participant	Disconnect Notice	Disconnect for Non-Payment	Reconnect Notice	LIRA Participant	Disconnect Notice	Disconnect for Non-Payment	Reconnect Notice
5,830	582	29	29	2,625	222	13	13

4. Average low-income customer household size and average estimated monthly or bimonthly (depending on billing cycle) consumption by ratemaking district for low-income households of 5 or more, and the number of customers in each subgrouping.

Response:

Not available, due to not all Low Income participants responded to this inquiry.

ATTACHMENT 3 (Continued)

5. Average water revenue adjustment mechanism/Modified Cost Balancing Accounts (WRAM/MCBA) surcharge(s) bill impact on participating low-income customers by ratemaking district. This bill impact should be compared with the same bill under the uniform/standard rate. The bill impact should separately identify bill components, including applicable rates, WRAM/MCBA surcharge(s), and low-income assistance program discount, calculated at average consumption for the typical meter size.

Response:

Suburban has not been authorized a MCBA or conventional decoupling WRAM.

6. Participating low-income customer inclusion in conservation programs offered by the water utility:
a) describe the water conservation program by ratemaking district(s),

Response:

Please see Schedule E 3, number 1

- b) identify whether it is offered with a third party,

Response:

Yes, with partial funding from Metropolitan Water District, and Upper District.

- c) specify how low-income customers are targeted by or included in the program,

Response:

Participation was not limited to low-income customers of the offer.

- d) describe outreach efforts used to reach low-income program participants (application, re-certification, separate outreach),

Response:

Annually Suburban sends out Low Income application to all customers, LIRA re-certification every two-years, LIRA applications were enclosed with Shut-Off Notice/ Disconnect Notice were offered to low-income customers, and semi-annually data sharing with Southern California Edison and Southern California Gas Company. However, in 2020 Suburban did not send out LIRA re-certification due to COVID-19 pandemic, and there was an additional data sharing with energy utilities.

- e) how long has the program been offered, and

Response:

12.3 years for LIRA discount, which qualified customer receives \$7.30 credit on their monthly water bill

- f) what criteria are used to establish the success of the program.

Response:

LIRA program applications are available in Suburban's service area offices, and during conservation events. Each year, all customers receive a bill insert that provides information and application regarding the LIRA program. Suburban also includes LIRA brochures with shut-off notices. Targeted programs to low-income customers include direct mailing of LIRA brochures, distributing flyers at public events, and sending out survey letters to all customers.

ATTACHMENT 3 (In Compliance With D.11-05-020)
2020 INFORMATION-ONLY FILING
LOW-INCOME DATA REPORT

1. For each data file received:

- a) Number of CARE customer records received.
- b) Number of CARE customers not matched to water utility records.
- c) Number of CARE customers successfully matched.

Response:

- a) 243,708
- b) 222,030
- c) 2,060

2. Enrollment Results:

- a) Number of CARE customers automatically enrolled.
- b) Number of customers re-certified for assistance.
- c) Number of CARE customers ineligible for enrollment due to metering conditions (as compared with water utility records).
- d) Number of customers opting-out.
- e) Number of potential customers identified and served with outreach material.

Response:

- a) 1,118
- b) Suburban does not maintain customers' re-certification for assistance from data sharing.
- c) Unknown
- d) None
- e) Suburban does not maintain potential customers identified and served with outreach material data.

3. Program Costs:

Itemized annual expenses specifically incurred for operating and administering the data sharing program, including:

- a) Personnel with number of hours allocated to particular data sharing tasks.
- b) Special equipment.
- c) Translation services.
- d) Printing, mailing and other costs.
- e) Specify if any costs are included in rates.
- f) Summary of annual low-income program discounts, surcharges, and itemized low-income program costs.
- g) Specify which costs are included in rates, if any.

Response:

- a) Suburban does not maintain records for the number of hours allocated to particular data sharing tasks
- b) None
- c) None
- d) The 2020 cost of postage was \$835
- e) None
- f) None
- g) None

Schedule E-4 Report on Affiliate Transactions

Affiliate includes all related companies including but not limited to Parent, Affiliates, and Subsidiaries.

INSTRUCTIONS:

- * For those utilities with specifically authorized affiliate transaction rules, provide all information required by those rules.
- * For those utilities with no specifically authorized affiliate transaction rules, or those utilities whose authorized affiliate transaction rules do not provide the following information, provide the following:
 1. Summary of all transactions between regulated water utility and its affiliated companies for the previous calendar year. The summary shall include a description of each transaction and an accounting of all dollars associated with each transaction although each transaction need not be separately identified where multiple transactions occur in the same account. These transactions shall include:

(a) services provided by regulated water utility to any affiliated company;	See Attached
(b) services provided by any affiliated company to regulated water utility;	See Attached
(c) assets (both tangible and intangible) transferred from regulated water utility to any affiliated company;	N/A
(d) assets (both tangible and intangible) transferred from any affiliated company to regulated water utility;	N/A
(e) employees transferred from regulated water utility to any affiliated company;	See Attached
(f) employees transferred from any affiliated company to regulated water utility; and	N/A
(g) financing arrangements and transactions between regulated water utility and any affiliated company.	See Attached

E-4 (a)

SERVICES PROVIDED BY THE UTILITY TO THE AFFILIATED COMPANIES

Affiliates Transaction Rules (ATRs) - Rules VI F (Pricing of Goods and Services)

Parent Company (1010)	27,669
Southeast Utilities (2000)	17,359
Texas Utilities (2000)	50,832
Kiawah (2060)	3,107
Oregon Water Utilities (7000)	<u>194,590</u>
2020 ATR Employee Costs	<u><u>293,557</u></u>

E-4 (b)

SERVICES PROVIDED BY THE AFFILIATED COMPANIES TO THE UTILITY:

1.	Direct costs from non-parent company affiliates		
	IT and related costs		
2.	Direct costs from parent company		
	Direct Payments Made by (for) SWC:		
	IT Charges		0
	Audit Fees		172,146
	Legal Fees		0
	Bank Charges-Amegy & Wells Fargo bank fees		51,385
	Insurance Charges: (General, Medical, Auto, Worker's Comp)		3,057,067
	Total Direct		3,280,598
3.	Indirect costs from parent company		
	Parent Company Allocation		8,283,188

E-4 (e)
EMPLOYEES TRANSFERRED FROM REGULATED WATER UTILITY
TO ANY AFFILIATED COMPANY

Transferred from Suburban to Monarch Utilities I LP:

Ryan Batista

E-4 (g)

**THE FINANCING ARRANGEMENTS AND TRANSACTIONS BETWEEN THE
UTILITY AND THE AFFILIATED COMPANIES**

INTERCOMPANY LOANS

NONE

INTERCOMPANY MANAGEMENT FEES

\$8,283,188

SCHEDULE E-5
FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA

Please provide the following information relating to each Safe Drinking Water Bond Act (SDWBA) or Safe Drinking Water State Revolving Fund (SRF) loan surcharge collection for the calendar year. Please use one page per loan.

1. Current Fiscal Agent:

Name: **NONE**
 Address: _____
 Phone Number: _____
 Account Number: _____
 Date Hired: _____

2. Total surcharge collected from customers during the 12 month reporting period:

\$ _____

Meter Size	No. of Metered Customers	Monthly Surcharge Per Customer
5/8 X 3/4 inch		
3/4 inch		
1 inch		
1 1/2 inch		
2 inch		
3 inch		
4 inch		
6 inch		
Number of Flat Rate Customers		
Total	-	

3. Summary of the bank account activities showing:

Balance at beginning of year	\$ _____
Add: Surcharge collections	_____
Interest earned	_____
Other deposits	_____
Less: Loan payments	_____
Bank charges	_____
Other withdrawals	_____
Balance at end of year	\$ _____

4. Reason for other deposits/withdrawals

5. Total Accumulated Reserve: \$ _____

**SCHEDULE E-5
FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA (Continued)
Account 100.1 - Utility Plant in Service (funded by SDWBA/SRF)**

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	(Retirements) During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1		I. INTANGIBLE PLANT		NONE			
2	301	Organization					\$ -
3	302	Franchises and Consents					\$ -
4	303	Other Intangible Plant					\$ -
5		Total Intangible Plant	\$ -	\$ -	\$ -	\$ -	\$ -
6							
7		II. LANDED CAPITAL					
8	306	Land and Land Rights					\$ -
9							
10		III. SOURCE OF SUPPLY PLANT					
11	311	Structures and Improvements					\$ -
12	312	Collecting and Impounding Reservoirs					\$ -
13	313	Lake, River and Other Intakes					\$ -
14	314	Springs and Tunnels					\$ -
15	315	Wells					\$ -
16	316	Supply Mains					\$ -
17	317	Other Source of Supply Plant					\$ -
18		Total Source of Supply Plant	\$ -	\$ -	\$ -	\$ -	\$ -
19							
20		IV. PUMPING PLANT					
21	321	Structures and Improvements					\$ -
22	322	Boiler Plant Equipment					\$ -
23	323	Other Power Production Equipment					\$ -
24	324	Pumping Equipment					\$ -
25	325	Other Pumping Plant					\$ -
26		Total Pumping Plant	\$ -	\$ -	\$ -	\$ -	\$ -
27							
28		V. WATER TREATMENT PLANT					
29	331	Structures and Improvements					\$ -
30	332	Water Treatment Equipment					\$ -
31		Total Water Treatment Plant	\$ -	\$ -	\$ -	\$ -	\$ -
32							
33		VI. TRANSMISSION AND DIST. PLANT					
34	341	Structures and Improvements					\$ -
35	342	Reservoirs and Tanks					\$ -
36	343	Transmission and Distribution Mains					\$ -
37	344	Fire Mains					\$ -
38	345	Services					\$ -
39	346	Meters					\$ -
40	347	Meter Installations					\$ -
41	348	Hydrants					\$ -
42	349	Other Transmission and Distribution Plant					\$ -
43		Total Transmission and Distribution Plant	\$ -	\$ -	\$ -	\$ -	\$ -

**SCHEDULE E-5
FOR ALL WATER COMPANIES
SAFE DRINKING WATER BOND ACT/STATE REVOLVING FUND DATA (Continued)
Account 100.1 - Utility Plant in Service (funded by SDWBA/SRF)**

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	(Retirements) During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
44		VII. GENERAL PLANT		NONE			
45	371	Structures and Improvements					\$ -
46	372	Office Furniture and Equipment					\$ -
47	373	Transportation Equipment					\$ -
48	374	Stores Equipment					\$ -
49	375	Laboratory Equipment					\$ -
50	376	Communication Equipment					\$ -
51	377	Power Operated Equipment					\$ -
52	378	Tools, Shop and Garage Equipment					\$ -
53	379	Other General Plant					\$ -
54		Total General Plant	\$ -	\$ -	\$ -	\$ -	\$ -
55							
56		VIII. UNDISTRIBUTED ITEMS					
57	390	Other Tangible Property					\$ -
58	391	Utility Plant Purchased					\$ -
59	392	Utility Plant Sold					\$ -
60		Total Undistributed Items	\$ -	\$ -	\$ -	\$ -	\$ -
61		Total Utility Plant in Service	\$ -	\$ -	\$ -	\$ -	\$ -

Account 101 - Recycled Water Utility Plant (funded by SDWBA/SRF)

Line No.	Acct	Title of Account (a)	Balance Beg of Year (b)	Additions During Year (c)	(Retirements) During Year (d)	Other Debits or (Credits) (e)	Balance End of Year (f)
1	393	Recycled Water Intangible Plant					\$ -
2	394	Recycled Water Land and Land Rights					\$ -
3	395	Recycled Water Depreciable Plant					\$ -
4		Total Recycled Water Utility Plant	\$ -	\$ -	\$ -	\$ -	\$ -

SCHEDULE E-6 FACILITIES FEES DATA

Please provide the following information relating to Facilities Fees for districts or subsidiaries servicing 2,000 or fewer connections for the calendar year. (Per D.91-04-068)

1. Trust Account Information:

Bank Name: NONE

Address: _____

Account Number: _____

Date Opened: _____

2. Facilities Fees collected for new connections during the calendar year:

A. Commercial

NAME	AMOUNT
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

B. Residential

NAME	AMOUNT
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

3. Summary of the bank account activities showing:

	AMOUNT
Balance at beginning of year	\$ _____
Deposits during the year	\$ _____
Interest earned for calendar year	\$ _____
Withdrawals from this account	\$ _____
Balance at end of year	\$ -

4. Reason or Purpose of Withdrawal from this bank account:

DECLARATION

(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)

I, the undersigned _____ Robert Kelly
Officer, Partner, or Owner (Please Print)

of _____ Suburban Water Systems
Name of Utility

under penalty of perjury do declare that this report has been prepared by me, or under my direction, from the books, papers and records of the respondent; that I have carefully examined the same, and declare the same to be a complete and correct statement of the business and affairs of the above-named respondent and the operations of its property for the period of January 1, 2020, through December 31, 2020.

Vice President Regulatory Affairs, SWS
Title (Please Print)

Signature

(626) 523-0001
Telephone Number

Date

INDEX

	<u>PAGE</u>		<u>PAGE</u>
Accidents	59	Operating expenses	48-50
Acres irrigated	68	Operating revenues	47
Advances from affiliated companies	38	Organization and control	13
Advances for construction	43	Other deferred credits	44
Affiliate Transactions	83	Other deferred debits	32
Assets	17	Other income	19
Assets in special funds	26-27	Other investments	26
Balance sheet	17-18	Other physical property	22
Balancing & Memorandum Accounts	70	Payables to affiliated companies	39
Bonds	37	Political expenditures	60
Bonuses paid to executives & officers	61	Population served	68
Capital stock	33	Premium on capital stock	34
Capital surplus	35	Prepayments	29
Contributions in aid of construction	45	Proprietary capital	36
Depreciation and amortization reserves	24	Purchased water for resale	62
Declaration	92	Rate base	23
Discount on capital stock	32	Receivables from affiliated companies	29
Discount and expense on funded debt	30	Revenues apportioned to cities and towns	47
Dividends declared	33	SDWBA/SRF loan data	88-90
Earned surplus	35	Securities issued or assumed	38
		Selected Financial Data Excluding Non-	
Employees and their compensation	59	Regulated Activity	9-11
Engineering and management fees	58	Service connections	67
Excess Capacity and Non-Tariffed Services	12	Sinking funds	26
Facilities Fees	89	Sources of supply and water developed	62
Franchises	21	Special deposits	27
Income statement	19	Status with Board of Public Health	69
Income deductions	19	Stockholders	33
Investments in affiliated companies	26	Storage facilities	62
Liabilities	19	Taxes	51
Loans to directors, or officers, or shareholders	60	Transmission and distribution facilities	65
Low Income Rate Assistance Program(s)	71	Unamortized debt discount and expense	30
Management fees and expenses	58	Unamortized premium on debt	30
Meters and services	67	Undistributed profits	36
Miscellaneous long-term debt	38	Utility plant	20
Miscellaneous reserves	44	Utility plant in service	20-21
Miscellaneous special funds	27	Utility plant held for future use	22
Notes payable	39	Water delivered to metered customers	68
Notes receivable	28	Water Conservation Program(s)	71
Officers	13	Working Cash	23

2020	Goal	Total	Jan	Feb
PHONE SYSTEM				
Total Calls Received		70,281	8,028	5,538
# Calls Answered in 30 Seconds		64,595	7145	4929
% Calls Answered in 30 Seconds	> or = 80%	92%	89%	89%
# Calls Abandoned		2,815	206	195
Abandonment Rate	< or = 5%	4%	3%	4%
BILLING				
Total Bills Scheduled to be Rendered		924,165	76,754	76,557
Total Bills Rendered		919,150	76,329	76,145
Bills Not Rendered in 7 Days (10 for Finals)		4,988	58	40
% Bills Rendered in 7 Days	> or = 99.0%	99.5%	99.4%	99.5%
Inaccurate Bills Rendered		2,889	335	200
% of Inaccurate Bills Rendered	< or = 3.00%	0.31%	0.44%	0.26%
PAYMENTS				
Total Payments Posted		813,990	70,199	61,825
Payment Posting Errors		126	7	6
% of Payment Posting Errors	< or = 1.0%	0.02%	0.01%	0.01%
METER READING				
Total Number of Meter Reads Scheduled		920,705	76,563	76,649
Total Scheduled Reads Not Read		637	10	92
% Meters Not Read	< or = 3.0%	0.07%	0.01%	0.12%
WORK ORDER COMPLETION				
Total Appointments Scheduled		-	-	-
# Scheduled Appointments Missed		-	-	-
% of Scheduled Appointments Missed	< or = 5.0%	N/A	N/A	N/A
Total Customer Requested Work Orders		13,256	1,180	1054
# of Customer Requested Work Orders Missed		0	0	0
% Customer Requested Work Orders Missed	< or = 5.0%	0.00%	0.00%	0.00%
CONSUMER AFFAIRS BRANCH (CAB) COMPLAINTS				
Total # of Connections		928,303	77,319	77,344
Total # of Customers		912,465	76,094	76,094
# of Complaints to Utility from CAB		10	2	0
% of Complaints to Utility from CAB	< or = 0.100%	0.001%	0.003%	0.000%

Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec
6,209	6,648	5,242	6,192	6,416	5,430	5,146	5,184	5,764	4,484
5526	5917	4665	5511	6095	5213	4889	4925	5476	4305
89%	89%	89%	89%	95%	96%	95%	95%	95%	96%
235	322	233	273	281	213	244	216	192	205
4%	5%	4%	4%	4%	4%	5%	4%	3%	5%

76,683	76,562	76,757	76,756	76,624	76,703	76,664	76,724	76,590	80,791
76,324	76,203	76,556	76,282	76,193	76,261	76,202	76,252	76,121	80,282
30	64	42	29	38	47	46	47	59	1,576
99.5%	99.5%	99.7%	99.4%	99.4%	99.4%	99.4%	99.4%	99.4%	99.4%
130	191	575	163	129	152	189	231	226	368
0.17%	0.25%	0.75%	0.21%	0.17%	0.20%	0.25%	0.30%	0.30%	0.46%

73,447	63,971	65,568	76,888	67,240	66,646	67,060	66,650	66,686	67,810
7	6	7	8	15	16	15	15	15	9
0.01%	0.01%	0.01%	0.01%	0.01%	0.01%	0.01%	0.01%	0.01%	0.01%

76,863	76,789	76,702	76,695	76,550	76,815	76,766	76,823	76,745	76,745
47	36	40	17	56	107	48	114	35	35
0.06%	0.05%	0.05%	0.02%	0.07%	0.14%	0.06%	0.15%	0.05%	0.05%

-	-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-	-
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
1064	913	903	1157	1,286	1361	1241	1109	978	1010
0	0	0	0	0	0	0	0	0	0
0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

77,346	77,348	77,352	77,361	77,368	77,370	77,370	77,373	77,374	77,378
76,086	76,086	76,070	76,111	76,146	76,135	76,139	76,153	75,184	76,167
1	0	1	2	0	2	0	2	0	0
0.001%	0.000%	0.001%	0.003%	0.000%	0.003%	0.000%	0.003%	0.000%	0.000%

Supplement to 2020 Annual Report of Suburban Water Systems In Compliance With D.12-01-042

Affiliate Compliance Plan- Attachment 1

Rule IV.C. of the California Public Utilities Commission's (Commission's) *Modified Rules for Water and Sewer Utilities Regarding Affiliate Transactions and the Use of Regulated Assets for Non-Tariffed Utility Services* , contained in Appendix A of D.12-01-042, requires the utility to list all shared directors and officers between the utility and its affiliates in its annual report to the Commission.

Robert MacLean , William K. Dix, and Kirk Michael are shared officers and shared directors.

Rule VIII.C. of the California Public Utilities Commission's (Commission's) *Modified Rules for Water and Sewer Utilities Regarding Affiliate Transactions and the Use of Regulated Assets for Non-Tariffed Utility Services* , contained in Appendix A of D.12-01-042, requires the submission of a Compliance Plan.

Consistent with this rule, Suburban Water Systems (Suburban) has prepared and is herewith filing its Affiliate Compliance Plan with its 2020 annual report to the Commission.

Supplement to 2020 Annual Report of Suburban Water Systems In Compliance With D.12-01-042 (Continued)

Report on Non-Tariffed Products and Services (NTP&S) - Attachment 2

In compliance with Rule X.E. in Appendix A of Decision 12-01-042, Suburban Water Systems ("Suburban") provides the following information regarding its NTP&S activities.

1. A detailed description of each NTP&S activity;
 - a. Residential Houeline Program
Suburban offers a houseline maintenance plan called "LifeLine" which is designed to cover the repair or replacement of residential customers' outside water lines for a monthly fee of \$4.97 which is added to the customers' monthly bill. To be eligible for the Lifeline, the Lateral Line must be no greater than 1-1/2 inches in diameter.
 - b. Antenna Leases
Antenna leases allow cellular service providers to install their antennas on Suburban properties without interference to normal day-to-day operations.
Suburban has 3 antenna leases.
 - c. Recycled Water Operating Charges
Suburban receives monthly operating charges for the maintenance of recycled water system as defined in Section 2(i)(i) and 2(i)(ii) of Agreement for the Construction, Operation and Maintenance of a Recycled Water Distribution System by the Upper San Gabriel Valley Municipal Water District and Suburban Water Systems.
2. Whether and why it is classified active or passive;
Residential Houeline Program is classified as an active NTP&S as designated in the category of Customer Ancillary Services.
Antenna Leases and Recycled Water Operating Charges are classified as passive NTP&S as designated in the category of Use of General Facilities and there is no incremental investment above \$125,000.
3. Gross revenue received;
Please see Attachment A
4. Revenue allocated to ratepayers and to shareholders, as established in the company's current general rate case;
Please see Attachment B
5. A complete identification of all regulated assets used in the transaction;
Assets used in NTP&S transactions represent excess capacity of Suburban, and cannot be separately identified.

6. A complete list of all employees (by position) that participated in providing the non-tariffed service, with amount of time spent on provision of the service;

Employees participating in NTP&S transactions and time spent on NTP&S transactions, other than overtime, cannot be separately identified.

7. If the NTP&S has been classified as active through advice letter submission, provide the number of the advice letter and the authorizing Resolution; and

N/A

8. If the NTP&S did not require approval through advice letter, provide the date notice was given to the Commission.

N/A

**SUBURBAN WATER SYSTEMS 2020-ATR
NTP&S ACTIVITIES**

**Attachment A
GROSS REVENUE RECEIVED**

	2020												Total
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	
GROSS NTP&S REVENUES													
Residential Houeline Program	46,788	46,867	47,284	47,115	47,105	47,588	47,906	48,341	48,621	48,974	48,875	49,059	574,523
Antenna-Lease	6,850	6,850	6,850	6,914	6,914	6,914	7,055	7,055	7,055	7,055	7,055	7,055	83,621
Recycled Water Operating Charges	1,537	1,537	1,537	1,537	1,537	1,537	1,537	1,537	1,537	1,537	1,537	1,537	18,446
Total Gross NTP&S Revenues	55,174	55,254	55,671	55,567	55,557	56,039	56,498	56,933	57,213	57,566	57,467	57,651	676,590

**SUBURBAN WATER SYSTEMS
NTP&S ACTIVITIES**

**Attachment B
REVENUE ALLOCATED TO RATEPAYERS AND TO SHAREHOLDERS**

	2020												Total
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	
REVENUE ALLOCATED TO RATEPAYERS													
Residential Houeline Program	46,788	37,482	4,728	4,712	4,711	4,759	4,791	4,834	4,862	4,897	4,887	4,906	132,356
Antenna-Lease	6,850	6,850	2,055	2,074	2,074	2,074	2,117	2,117	2,117	2,117	2,117	2,117	34,676
Recycled Water Operating Charges	1,537	1,537	461	461	461	461	461	461	461	461	461	461	7,686
Total Revenue Allocated to Ratepayers	55,174	45,869	7,244	7,247	7,246	7,294	7,368	7,412	7,440	7,475	7,465	7,484	174,718

REVENUE ALLOCATED TO SHAREHOLDERS

Residential Houeline Program	0	9,385	42,556	42,404	42,395	42,829	43,115	43,507	43,759	44,077	43,987	44,153	442,167
Antenna-Lease	0	0	4,795	4,840	4,840	4,840	4,939	4,939	4,939	4,939	4,939	4,939	48,946
Recycled Water Operating Charges	0	0	1,076	1,076	1,076	1,076	1,076	1,076	1,076	1,076	1,076	1,076	10,760
Total Revenue Allocated to Shareholders	0	9,385	48,427	48,320	48,311	48,745	49,130	49,521	49,774	50,091	50,002	50,167	501,872