



March 15, 2017

California Public Utilities Commission  
Filing Clerk, Room 201  
505 Van Ness Avenue  
San Francisco, CA 94102

To Whom It May Concern:

Enclosed is our U133M Report required under General Order No. 77-M for the twelve months ended December 31, 2016. Included in the Report are the following items:

- Officers and selected (those with compensation greater than \$85,000) employees compensation (salary and bonus) schedule
- Officers and selected (those with compensation greater than \$85,000) employees compensation (salary and bonus) schedule for public inspection with employee names redacted
- Contributions, dues and subscription schedule
- Attorney disbursement schedule

If you have any further questions, I can be reached at 909-394-3600, Ext. 759.

Sincerely,

Bryan K. Switzer  
Vice President- Regulatory Affairs

BKS  
Enclosures

Golden State Water Company  
Report on General Order No. 77-M  
Twelve Months Ended December 31, 2016  
Officers and Selected Employees Remuneration Schedule

Title	Cash Compensation	Expenses Reimbursement	Contingency Fee
Accountant	86,755	-	-
Accountant	85,407	-	-
Accounts Payable Supervisor	109,719	-	-
Acct Supv - BVES	93,648	62	-
Applications System Manager	160,636	42	-
Associate Engineer	87,854	-	-
Audit Manager	174,397	-	-
CAD Technician III	105,394	163	-
Capital Program Engineer	131,692	-	-
Capital Program Engineer	117,627	37	-
Capital Program Engineer	117,890	-	-
Capital Program Manager	159,410	-	-
Capital Program Manager	119,448	362	-
Civil Engineer	98,709	120	-
Civil Engineer	97,851	129	-
Civil Engineer	94,515	229	-
Civil Engineer	89,982	55	-
Civil Engineer	93,765	229	-
Contract Administrator	87,379	-	-
Controller	199,489	155	-
Customer Service Mgr	181,560	535	-
Customer Service Supervisor	98,305	-	-
Custr Service Superintendent	89,574	-	-
Director & Chief of IT	257,072	285	-
Director HCM, Rsk Svc & Sr Csl	253,960	72	-
Director of BVE	173,350	-	-
Director of Capital Programs	196,130	579	-
Director of Capital Programs	171,406	-	-
Director, Regulatory Affairs	239,965	364	-
Electrician	91,017	-	-
Electrician	89,194	-	-
Electrician	108,431	-	-
Emergency Mgmt & Sec. Admin.	87,716	1,474	-
Energy Analyst	104,261	-	-
Energy Resources Manager	160,636	-	-
Energy Supply Specialist	123,831	-	-
Engineering & Planning Sup BVE	132,286	-	-
Engineering Design Manager	211,276	185	-
Engineering/Planning Manager	159,208	226	-

Golden State Water Company  
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 Officers and Selected Employees Remuneration Schedule

Title	Cash	Expenses	Contingency Fee
	Compensation	Reimbursement	
Environmental Compl Mgr	172,626	2,994	-
Exec Asst to CEO	123,677	-	-
Field Inspector	95,045	640	-
Field Opers Superintendent BVE	124,903	-	-
Field Service Person - Elect	91,810	-	-
Finance Manager	186,514	-	-
Financial Planning Supervisor	103,807	2,666	-
Financial Rptg Supervisor	125,653	-	-
General Manager	193,955	-	-
General Manager	226,687	-	-
General Manager	186,067	105	-
General Manager	213,822	106	-
General Manager	209,011	719	-
General Manager	202,359	264	-
General Manager	136,498	-	-
HC Business Prtnr	85,144	244	-
HCM Total Rewards Supv	120,563	156	-
Inspector II	95,125	-	-
Inspector II	90,024	-	-
Inspector II	90,444	-	-
Inspector II	96,849	60	-
Inspector II	89,840	-	-
Inspector II	94,227	-	-
Inspector II	85,364	-	-
Interim, Director of BVE	181,927	204	-
Internal Auditor	89,320	-	-
Learn & Dev Specialist	91,420	-	-
Light Crew Foreman - Elect	205,210	100	-
Light Crew Foreman - Elect	154,221	542	-
Lineman	102,258	100	-
Lineman - Hourly	103,563	100	-
Lineman - Hourly	147,101	100	-
Lineman - Hourly	182,710	1,040	-
Lineman - Hourly	177,268	-	-
Manager of Service Desk	142,882	12	-
Meter Testperson	115,941	-	-
Mgr of Technical Services	177,478	-	-
Mgr. of Planning & Analysis	172,751	329	-
Mgr. of Procurement Svcs	137,482	58	-

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Title	Cash Compensation	Expenses Reimbursement	Contingency Fee
Network & Data Ctr Mgr	186,317	-	-
New Business Manager	153,673	-	-
Operations Engineer	110,716	105	-
Operations Engineer	105,177	779	-
Operations Engineer	113,714	-	-
Operations Engineer	106,119	-	-
Operations Engineer	95,293	-	-
Operations Superintendent	91,085	100	-
Operations Superintendent	97,659	90	-
Operations Superintendent	94,035	-	-
Operations Superintendent	99,998	563	-
Operations Superintendent	101,452	90	-
Operations Superintendent	90,103	180	-
Operations Superintendent	93,013	105	-
Ops Financ Analyst Supv	117,562	2,312	-
President/CEO	1,236,366	1,772	-
Project Engineer II	90,336	-	-
Project Engineer II	90,730	60	-
Project Engineer II	99,969	-	-
Project Engineer II	88,072	-	-
Property Accounting Supervisor	85,107	-	-
Real Est Svc & Sp Cont Mgr	150,955	530	-
Reg Compliance Proj Engineer	85,538	680	-
Reg Util Financ Analyst	102,178	-	-
Regional Water Quality Manager	182,317	-	-
Regulatory Affairs Manager	169,725	38	-
Regulatory Affairs Manager	173,515	-	-
Regulatory Affairs Manager	155,933	1,410	-
SCADA Technician	99,347	-	-
Senior Application Support	99,297	-	-
Senior Civil Engineer	118,837	-	-
Senior Civil Engineer	117,185	724	-
Senior Civil Engineer	100,870	138	-
Senior Civil Engineer	108,026	129	-
Senior Civil Engineer	99,835	188	-
Senior Financial Analyst	96,158	-	-
Senior Regulatory Analyst	94,399	-	-
Senior Regulatory Analyst	97,611	-	-
Senior Regulatory Analyst	95,883	434	-

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Title	Cash	Expenses	Contingency Fee
	Compensation	Reimbursement	
Senior Regulatory Specialist	113,405	19	-
Service Crew Foreman - Elect	199,740	310	-
Service Crew Foreman - Elect	189,074	839	-
Service Desk Supp Analyst Sr.	90,164	-	-
Service Desk Supp Analyst Sr.	87,931	85	-
SOX Compliance Supv	128,231	53	-
Sr JDE Systems Admin	102,217	-	-
Sr Network Administrator	101,858	-	-
Sr Oracle Database Admin	122,814	-	-
Sr Oracle Database Admin	116,686	-	-
Sr System Programmer	114,675	-	-
Sr Systems Analyst	132,028	97	-
Sr Systems Analyst	114,698	-	-
Sr Systems Analyst	93,546	18	-
Sr Systems Analyst	125,553	-	-
Sr VP Fin, CFO & Secy	552,352	1,541	-
Sr VP Regulated Utilities	555,009	968	-
Sr. Acct & Billing Sys Analyst	132,149	-	-
Sr. Environ Spec - Supv	117,309	-	-
Sr. Financial Accountant	107,076	-	-
Sr. Hydrogeologist	126,951	-	-
Sr. Systems Administrator	111,457	-	-
Sr. Systems Administrator	117,096	-	-
Sr. Tech Ops Supp Spec	90,796	-	-
Sr. Wtr Resource Analyst, Supv	114,315	-	-
Systems Administrator	98,489	-	-
Tax Director	236,900	-	-
Tax Project and Team Mgr	151,783	128	-
Train & Comp Supv	130,006	246	-
USDP Manager	112,255	199	-
Utility Plant Supv.	105,673	-	-
VP Environmental Quality	339,357	-	-
VP Fin, Treas, Asst Secy	331,933	-	-
VP Regulatory Affairs	347,697	61	-
VP Water Operations	236,329	100	-
VP Water Operations	402,603	38	-
Water Conservation Analyst	92,829	333	-
Water Dist Sup't.	105,692	315	-
Water Dist Sup't.	170,976	-	-

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Title	Cash Compensation	Expenses Reimbursement	Contingency Fee
Water Dist Sup't.	101,574	-	-
Water Dist Sup't.	111,842	-	-
Water Dist Sup't.	107,774	105	-
Water Dist Sup't.	106,371	424	-
Water Dist Sup't.	87,977	-	-
Water Dist Sup't.	93,415	-	-
Water Dist Sup't.	97,081	-	-
Water Dist Sup't.	88,041	105	-
Water Distribution Oper II	108,410	-	-
Water Distribution Oper II	88,350	-	-
Water Distribution Oper II	94,467	-	-
Water Distribution Oper II	86,840	-	-
Water Distribution Oper II	88,874	-	-
Water Distribution Oper II	87,459	-	-
Water Distribution Oper II	104,668	70	-
Water Distribution Oper II	93,300	-	-
Water Distribution Oper II	96,365	-	-
Water Distribution Oper II	95,759	-	-
Water Distribution Oper II	96,750	70	-
Water Distribution Oper II	87,374	-	-
Water Distribution Oper II	104,816	-	-
Water Distribution Oper II	86,827	-	-
Water Distribution Oper II	89,831	-	-
Water Distribution Oper III	89,342	-	-
Water Distribution Oper III	111,006	-	-
Water Distribution Oper III	104,143	-	-
Water Distribution Oper III	100,678	-	-
Water Distribution Oper III	106,750	65	-
Water Quality Engineer	101,345	-	-
Water Quality Engineer	101,522	577	-
Water Quality Engineer	94,015	-	-
Water Quality Mgr	185,949	28	-
Water Resource Mgr/Chf Hydrog	184,366	494	-
Water Supply Operator II	88,024	-	-
Water Supply Operator III	94,529	60	-
Water Supply Operator III	86,725	150	-
Water Supply Operator III	99,929	-	-
Water Supply Operator III	101,349	265	-
Water Supply Operator III	92,397	-	-

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Title	Cash Compensation	Expenses Reimbursement	Contingency Fee
Water Supply Operator III	103,182	-	-
Water Supply Operator III	93,355	-	-
Water Supply Operator III	102,484	-	-
Water Supply Supt	98,176	-	-
Water Supply Supt	98,391	-	-
Water Supply Supt	90,380	-	-
Water Supply Supt	103,430	-	-
Water Supply Supt	89,280	-	-
Water Use Efficiency Manager	130,006	307	-
<b>Total</b>	<b>\$ 27,730,968</b>	<b>\$ 34,337</b>	<b>\$ -</b>

Golden State Water Company  
 Report on General Oder No. 77-M  
 Twelve Months Ended December 31, 2016  
 Contributions, Dues, and Subscription Schedule

Vendor Number	Payee	Account Number	Electric Operation	Water Operation	Total Company
28872	Acl Services, Ltd.	79201	0	514	514
48887	Alliance For Water Efficiency	79901	0	3,840	3,840
22477	Amazon.Com	79201	0	(16)	(16)
22477	Amazon.Com	79902	0	63	63
9480	American Backflow Prevention Association	79902	0	80	80
7147	American Institute Of Cpa'S	79902	0	445	445
*	American Public Works	79902	0	230	230
20959	American Society Of Civil Engineers-Asce	79902	0	2,768	2,768
9636	American Society Of Safety Engineers	79902	0	180	180
4054	American Water Works Association	79201	0	670	670
4054	American Water Works Association	79901	0	25,984	25,984
4054	American Water Works Association	79902	0	7,285	7,285
29577	Apple Valley Chamber Of Commer	79902	0	425	425
51190	Artesia Chamber Of Commerce	79901	0	350	350
7114	Association Of California Wate	79901	0	2,550	2,550
8727	Association Of Water Agencies	79901	0	3,250	3,250
*	Atd	79201	0	428	428
4118	Barstow Chamber Of Commerce	79902	0	225	225
29318	Bay Point Chamber Of Commerce	79901	0	500	500
29318	Bay Point Chamber Of Commerce	79902	0	500	500
4134	Big Bear Chamber Of Commerce	92121	1,600	0	1,600
56672	Big Bear Grizzly	92121	57	0	57
53395	Big Bear Valley Mountain Mutua	92121	1,700	0	1,700
5161	Bureau Of National Affairs Inc	79201	0	5,414	5,414
53694	Business & Legal Resources	79201	0	2,690	2,690
6215	Business Week	79201	0	20	20
4176	Ca Urban Water Conservation Council	79901	0	4,092	4,092
50994	Calcpa	79902	0	2,590	2,590
4186	California Chamber Of Commerce	79901	0	6,500	6,500
4186	California Chamber Of Commerce	79902	0	155	155
43519	California Groundwater Coaliti	79901	0	9,500	9,500
5654	California Nevada Section, Awwa	79902	0	424	424
4200	California Society Of Cpa'S	79902	0	728	728
6858	California Taxpayers' Association	79901	0	700	700
47116	California Urban Water Conservation	79901	0	12,276	12,276
29993	California Utilities Emergency Assoc	79902	0	1,586	1,586
4205	California Water Association	79901	0	304,356	304,356
9635	Cal-Osha Reporter	79902	0	395	395
6525	Ca-Nv Section Awwa	79902	0	530	530
21103	Carson Chamber Of Commerce	79901	0	440	440
23723	Cch	79201	0	5,804	5,804
20286	Central Basin Water Associatio	79901	0	8,270	8,270
4270	City Of Cerritos	79901	0	314	314
20563	Claremont Chamber	79902	0	210	210
7702	Claremont Couriers	79201	0	49	49
9924	Contra Costa Newspaper	79201	0	393	393
50626	Cordova Community Council Foun	79901	0	5,000	5,000
20188	County Of Sacramento	79901	0	4,404	4,404
*	Courier Subscription	79201	0	56	56
20694	Csu, Sacramento-Office Of Water Programs	79902	0	115	115
4405	Culver City Chamber Of Commerc	79902	0	545	545
56473	Customweather, Inc	92121	2,210	0	2,210
*	Cwa	79901	0	0	0
6193	Cypress Chamber Of Commerce	79901	0	540	540
*	D&B*Mdr Invoices	79201	0	413	413
8587	Daily Bulletin	79201	0	464	464
6213	Department Of Consumer Affairs	79902	0	1,254	1,254
37526	Doctors Care	79201	0	135	135
39812	Dun & Bradstreet Inc.	92121	1,185	0	1,185



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 Contributions, Dues, and Subscription Schedule

Vendor Number	Payee	Account Number	Electric Operation	Water Operation	Total Company
38933	Eri Economic Research Institut	79201	0	5,644	5,644
20423	Fortune Magazine	79201	0	67	67
6407	Fred Pryor Seminars	79901	0	409	409
33432	Groundwater Resources Association Of Ca	79902	0	125	125
28380	Harvard Business Review	79201	0	174	174
50428	Hawthorne Chamber Of Commerce	79901	0	750	750
*	Hds*Transmission& Dis	92121	55	0	55
51959	Ihs Global Inc.	92121	97,249	0	97,249
6220	Imperial Valley Press	79201	0	159	159
47320	Inglewood/ Airport Area Chambe	79901	0	500	500
20979	Institute Of Internal Auditors	79902	0	490	490
57353	International Association Of L	79901	0	396	396
57016	International Foundation Of Em	79201	0	325	325
53153	International Risk Management	79201	0	70	70
*	Irs Tax Pro Ptin Fee	79902	0	50	50
4649	Itron Inc.	92121	10,790	0	10,790
57214	Kiwanis Club Of Barstow	79902	0	200	200
35799	Kiwanis Club Of Claremont	79902	0	370	370
4695	Kiwanis Club Of Hawthorne	79902	0	700	700
9369	Lake County Record Bee	79201	0	270	270
4753	Lexis Nexis Matthew Bender	79201	0	978	978
4725	Los Angeles Area Chamber Of Co	79901	0	1,545	1,545
8650	Los Osos/Baywood Pk Chamber Of	79901	0	150	150
36453	Mercer	79201	0	613	613
*	Nals, Inc.	79902	0	120	120
4818	National Association Of Water Companies	79901	0	205,908	205,908
4818	National Association Of Water Companies	79902	0	4,215	4,215
22644	National Ground Water Association	79201	0	90	90
22644	National Ground Water Association	79902	0	580	580
*	Natl/Padget 8006825061	79902	0	299	299
52248	Navex Global Inc.	79201	0	3,500	3,500
38686	New Resources Group Inc.	79901	0	750	750
*	Nna Services Lc	92121	99	0	99
6374	Norwalk Chamber Of Commerce	79901	0	515	515
56945	Norwalk Lion'S Club Of Califor	79902	0	150	150
6262	Ojai Valley Chamber Of Commerc	79901	0	415	415
6169	Ojai Valley News	79201	0	52	52
47620	Oracle America Inc	79201	0	14,717	14,717
51642	Orange County Business Council	79901	0	10,000	10,000
8347	Orange County Water Association	79902	0	150	150
48873	Our Weekly	79201	0	299	299
*	Owpsacstate	79902	0	120	120
*	Paypal *Chinovalley	79902	0	52	52
*	Paypal *Euserc	92121	100	0	100
*	Paypal *Messengerpg	79201	0	28	28
*	Paypal *Orangecount	79902	0	60	60
4907	Placentia Chamber Of Commerce	79902	0	550	550
54165	Planetbids, Inc	79201	0	17,558	17,558
54165	Planetbids, Inc	92121	6,674	0	6,674
38309	Platts A Div Of Mcgraw Hill Co	92121	8,310	0	8,310
*	Pp*Mountaineer	79201	0	20	20
9294	Professionals In Human Resources Assoc.	79201	0	125	125
9294	Professionals In Human Resources Assoc.	79902	0	125	125
6334	Progressive Business Publicati	79201	0	299	299
*	Prop 65 Ch	79201	0	497	497
27857	Rancho Cordova Chamber Of Comm	79901	0	2,500	2,500
53191	Rancho Cordova Rotary Charitable	79902	0	200	200
5320	Refund Of Surplus Special Asse	79201	0	(35,399)	(35,399)

Golden State Water Company  
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 Contributions, Dues, and Subscription Schedule

Vendor Number	Payee	Account Number	Electric Operation	Water Operation	Total Company
4994	Regional Water Authority	79901	0	30,652	30,652
28859	Rosemead Chamber Of Commerce	79901	0	265	265
53956	Rotary Club Of Apple Valley	79902	0	420	420
53201	Rotary Club Of Apple Valley Fo	79902	0	50	50
43366	Rotary Club Of Clearlake	79902	0	175	175
54021	Rotary Club Of Cypress	79902	0	1,020	1,020
51214	Rotary Club Of Lawndale Inc	79902	0	150	150
8807	Rotary Club Of Placentia	79902	0	915	915
28101	Sacramento Groundwater Authority	79901	0	6,556	6,556
39571	Samba Holdings Inc.	79201	0	2,040	2,040
*	Samsclub #6610	79901	0	45	45
*	Samsclub #6616	79902	0	45	45
52784	San Gabriel Chamber Of Commerce	79901	0	745	745
5009	San Gabriel Valley Protective	79902	0	50	50
*	Scribd.Com	79201	0	48	48
8930	Simi Valley Chamber Of Commerc	79901	0	400	400
6646	Simi Valley Rotary Club	79901	0	116	116
*	Socialsciencelect	79201	0	5	5
7796	Society For Human Resource Management	79902	0	570	570
22451	South Gate Chamber Of Commerce	79901	0	500	500
8335	Southern California Water Util	79902	0	25	25
57291	Southwest Megaregion Alliance	79901	0	500	500
*	Sq *Capital Live Scan	79902	0	61	61
54092	State Water Resource Control Board	79201	0	105	105
54092	State Water Resource Control Board	79902	0	8,475	8,475
25198	Tax Executives Institute Inc La Chapter	79902	0	225	225
50720	Temple City Chamber Of Commerc	79901	0	300	300
53836	The Corporate Executive Board	79201	0	9,600	9,600
42269	The Greater Lakewood Chamber O	79901	0	625	625
33990	The Sacramento Bee	79201	0	322	322
7183	The Ventura County Star	79201	0	350	350
35000	Thomson Reuters (Tax & Account	79201	0	274	274
50889	Thomson West	79201	0	1,087	1,087
*	Timevalue Software	79901	0	500	500
*	Torrance Daily Breeze	79201	0	334	334
5234	Underground Service Alert	79901	0	2,715	2,715
56801	Unifyhr Llc	79201	0	642	642
22062	Upper Foothill Road Property O	79901	0	200	200
*	Usps 05157807335001973	79201	0	129	129
22336	Wall Street Journal	79201	0	985	985
5184	Wall Street Journal, The	79201	0	1,338	1,338
6579	Water Policy Report	79902	0	100	100
25005	Water Research Foundation	79201	0	73,836	73,836
5320	West Basin Water Association	79901	0	8,282	8,282
50540	Western Power Trading Forum	92121	5,500	0	5,500
*	Win 911 Software	79201	0	495	495
57432	Workiva Inc	79201	0	15,002	15,002
52934	Workiva Llc	79201	0	22,073	22,073
37808	Worldatwork	79201	0	245	245
37808	Worldatwork	79902	0	245	245
38788	Wpc, Inc.	79902	0	125	125
22689	Yorba Linda Chamber	79901	0	340	340
<b>Total</b>			<b>\$ 135,528</b>	<b>\$ 867,411</b>	<b>\$ 1,002,939</b>

\* Supplier not in Address Book, no vendor number (purchase card or reimbursement)

Golden State Water Company  
Report on General Order No. 77-M  
Twelve Months Ended December 31, 2016  
Attorney Disbursement Schedule

Vendor Number	Payee	Account Number	Electric Operation	Water Operation	Total Company
4829	NOSSAMAN LLP	79801	-	24,629	24,629
		79802	-	3,205	3,205
4839	O'MELVENY & MYERS LLP	79801	-	62,092	62,092
32429	MANATT PHELPS & PHILLIPS LLP	10100	-	17,536	17,536
		79801	-	6,964,667	6,964,667
33900	WINSTON AND STRAWN LLP	79801	-	1,248,855	1,248,855
37068	BROWNSTEIN HYATT FARBER SCHREC	14620	-	68,269	68,269
		79801	-	430,367	430,367
		79802	-	39,511	39,511
37277	KING, CHENG & MILLER LLP	79801	-	41,077	41,077
42767	MITCHELL SILBERBERG & KNUPP LLP	79801	-	10,726	10,726
51530	MORRIS POLICH & PURDY LLP	79801	-	2,790	2,790
51624	ELLISON, SCHNEIDER & HARRIS LL	24487	35,008	-	35,008
		92321	153,025	-	153,025
52601	YANNEY LAW OFFICE	14815	236,754	-	236,754
		92321	288,055	-	288,055
53261	EPSTEIN BECKER & GREEN PC	79801	-	571,220	571,220
53471	MCCARTER & ENGLISH, LLP	92321	43,592	-	43,592
53568	JACKSON WALKER LLP	10100	-	119,806	119,806
		379801	-	29,122	29,122
		79801	-	51,624	51,624
56747	MORRISON & FOERSTER LLP	79801	-	44,813	44,813
57111	LINER LLP	79801	-	46,958	46,958
20620	NORTON ROSE FULBRIGHT US LLP	92321	575	-	575
57263	LOSSING FRANCES E	79801	-	100,730	100,730
		79802	-	9,380	9,380
		92321	385	-	385
57486	CRANFILL SUMNER & HARTZOG LLP	79801	-	905	905
57497	DIEHL & RODEWALD APC	10100	-	2,300	2,300
57721	DAVIS WRIGHT TREMAINE LLP	92321	4,312	-	4,312
57812	SUTHERLAND ASBILL & BRENNAN LL	79801	-	20,186	20,186
<b>Total</b>			<b>761,706</b>	<b>9,910,768</b>	<b>10,672,474</b>