

Public Utilities Commission of the
State of California

IN THE MATTER OF THE PREPARATION OF MONTHLY REPORTS SHOWING RECEIPTS AND DISBURSEMENTS FROM THE SALE OF STOCKS, BONDS AND OTHER EVIDENCES OF INDEBTEDNESS OF PUBLIC UTILITIES, WHICH HAVE BEEN AUTHORIZED TO BE ISSUED BY THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA, UNDER SECTION 824 OF THE PUBLIC UTILITIES CODE.

Approved April 21, 1964. Effective July 1, 1964
(Resolution No. A-3015)

On or before the 25th day of each month, the following statements for the preceding month, certified by a responsible officer of the corporation issuing stocks, bonds or other evidences of indebtedness, or by the partnership or individual authorized to issue bonds or other evidences of indebtedness shall be filed with the Commission :

A. RECEIPTS

1. A list of the certificates of stock issued during the month under the authority of the Commission, which shall show :
 - a. The numbers of the certificates issued ;
 - b. To whom issued ;
 - c. Number of shares represented by each certificate ;
 - d. The par value, if any, of each certificate ;
 - e. The brokerage or commissions if any, paid for sale of stock represented by each certificate ;
 - f. The consideration received for each certificate in money, or the cash value of labor or property, if any.
 2. The total amount of stock issued under the order of the Commission and outstanding at the end of the month, which shall show :
 - a. The total number of certificates so issued ;
 - b. The total number of shares represented by such certificates ;
 - c. The total par value, if any, of such shares ;
 - d. The total brokerage or commissions paid for sale of such shares to date ;
 - e. The total consideration received for such certificates in money, and the total cash value of labor or property, if any.
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3. A list of the bonds or other evidences of indebtedness, issued during the month under the authority of the Commission, which shall show:

- a. The numbers of such bonds or other evidences of indebtedness issued;
- b. To whom issued;
- c. The face value of such bonds or other evidences of indebtedness;
- d. The brokerage or commissions paid on each sale;
- e. The consideration realized in money on each sale, or the cash value of labor or property, if any.

4. The total bonds or other evidences of indebtedness issued under the order of the Commission and outstanding at the end of the month, which shall show:

- a. The total number of such bonds or other evidences of indebtedness issued;
- b. The total face value thereof;
- c. The total brokerage or commissions paid thereon to date;
- d. The total consideration which has been received in money from the sale thereof, and the total cash value of labor or property, if any.

E. DISBURSEMENTS

Each utility authorized to issue stock, bonds or other evidences of indebtedness shall file monthly reports showing the purposes for which it expended the proceeds realized from the sale of said stock, bonds or other evidences of indebtedness.

The expenditures shall be set forth in such manner as will enable the Commission to ascertain their compliance with Section 817 of the Public Utilities Code and with the authorizing decision.

C. FUNDS TO BE PLACED IN SPECIAL BANK ACCOUNT

A separate bank account shall be opened with a state or national bank, to which shall be charged or credited all receipts and disbursements of money derived from the sale of stocks, bonds or other evidences of indebtedness authorized to be issued by this Commission.

A statement of this account shall be furnished the Commission each month showing the balance in cash on hand to the credit of the fund at the end of the preceding month.

Approved and dated at San Francisco this 21st day of April, 1964.

PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

By **NOËL COLEMAN**
Acting Secretary